

Schema-Aware Version Control for Medical Database Backup and Recovery Using Declarative Transformation Rules

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ABSTRACT

Database schema changes between software versions break backup restoration in healthcare systems. When a hospital restores last week's backup after upgrading from version 1.0 to 2.0, the operation fails because new mandatory fields have no corresponding values in old data. Current solutions write custom migration code for each version pair—five versions require 20 migration paths, consuming 200 developer-hours per release. We separate schema definitions from data backups using JSON configuration files. An automatic field mapping algorithm analyzes structural differences between versions and generates transformation rules without manual coding. Tests on 70,000 synthetic medical records show 60% storage reduction and 100% recovery accuracy across three version paths. Production deployment eliminated schema-related recovery failures over 18 months, reducing maintenance effort from 40 to 3 hours per version.

Keywords

Database Schema Evolution, Version Control, Backup Recovery, Data Migration, Healthcare Information Systems

Introduction

Healthcare information systems store patient data, diagnostic images, and treatment records across software versions that change constantly. Medical imaging systems process terabytes of data daily. When a hospital upgrades from version 1.0 to 2.0, restoring last week's backup often fails because the database structure has changed. A new mandatory field in version 2.0 has no corresponding value in version 1.0 data. The restore operation crashes, leaving administrators scrambling to recover critical patient information.

This problem stems from schema evolution. As medical systems advance, schemas change: new tables support clinical features, existing tables adapt to regulatory requirements, relationship structures reorganize for better performance^{41,44}. Restoring version N backup data to a version N+1 database triggers compatibility failures—missing fields, broken foreign keys, incompatible data types. Patient care depends on data availability, making these failures unacceptable.

The Version Migration Challenge

Consider a typical scenario: version 1.5 adds a mandatory `PatientConsent` field to the `Studies` table. Restoring version 1.0 backup data fails because those records lack consent values. The database rejects the insertion. An administrator must either manually populate 50,000 records with default consent values or modify the schema to make the field nullable—both risky during an emergency recovery.

The standard solution writes version-specific migration code. Developers create C# classes with custom backup and restore methods for each schema transition. Version 1.0→1.5 needs one class. Version 1.5→2.0 needs another. Version 1.0→2.0 needs a third. Five major versions require 20 distinct migration paths. Each path needs development, testing, and maintenance. Our production analysis shows this consumes 200 developer-hours per release cycle. The code becomes brittle. A single missed edge case breaks recovery for an entire version path.

Our Contributions

We present a schema-aware version control system that automates cross-version data migration. The system makes four contributions:

Declarative Schema Versioning. JSON-based schema definitions replace embedded application code. Database administrators manage versions through configuration files, not C# classes.

Automatic Field Mapping. An algorithm analyzes schema differences and generates transformation rules. Given version 1.0 and 1.5 schemas, it identifies added fields, removed fields, and type changes, then creates mapping rules automatically.

Differential Backup. Data and schema separate. Backups store only data; schemas live in version-controlled files. Storage drops 60% compared to full backups.

Cross-Version Validation. Multi-phase validation checks constraints, verifies foreign keys, and rolls back on failure.

Related Work

Roddick¹ categorized schema versioning into modification, versioning, and evolution strategies. Ra and Rundensteiner² developed transparent evolution for backward compatibility. We target backup-recovery scenarios rather than live system evolution.

Liquibase³ and Flyway⁴ handle forward migration (version N to N+1) but not the reverse: restoring version N data to version N+1 databases. Alur et al.⁵ examined schema-code coupling. Ambler and Sadalage⁶ explored evolutionary database design. Recent work on learned systems^{38,39} and intelligent tuning⁴⁶ shows automation potential, but backup-recovery across versions remains manual.

PRISM⁷ preserves semantics during online evolution with zero downtime. We restore backups offline, potentially jumping multiple versions (1.0→2.0). Curino et al.⁸ extended PRISM for cloud workloads. Moon et al.⁹ managed multiple live schema versions simultaneously.

Monk and Sommerville¹⁰ used class versioning in object-oriented databases. We adapt this for relational databases with foreign key constraints. Lerner and Habermann¹¹ separated schema evolution from database reorganization. Claypool et al.¹² built SERF for extensible schema evolution.

Rahm and Bernstein¹³ surveyed automatic schema matching. Bellahsene et al.¹⁴ reviewed matching tools. Do and Rahm¹⁵ built COMA++, combining multiple algorithms. Recent ML approaches⁵⁷⁻⁶⁰ show automation potential.

Bernstein et al.¹⁶ studied EHR migration across vendor systems. Kahn et al.¹⁷ faced similar challenges in multi-site research networks. The MIMIC-IV dataset⁴⁸ and clinical warehouses⁵² show medical schema complexity. Hripacsak et al.¹⁸ found data quality issues requiring robust transformation pipelines.

Veeam²⁰ and Commvault²² treat databases as binary blobs without schema awareness. Oracle Flashback²³ and SQL Server temporal tables²⁴ offer point-in-time recovery but don't handle schema evolution. Cloud databases⁵³⁻⁵⁵ introduced new backup approaches^{25,56}, yet version migration remains challenging.

Comparison with Existing Approaches

Table 1 compares our approach with existing solutions. Traditional backup systems lack schema awareness. Migration tools handle forward changes but not backward restoration. PRISM targets online evolution; we target offline recovery across version gaps.

Table 1. Comprehensive Comparison of Schema Evolution and Backup Approaches

Approach	Schema-Aware	Cross-Version	Auto Mapping	Storage Efficient	Maint. Cost	Overall Score
Traditional Backup (Veeam, Commvault)	X	X	X	X	High	1/5
Migration Tools (Liquibase, Flyway)	X	✓ (forward)	X	X	Medium	2/5
PRISM ⁷	✓	✓ (online)	✓ (limited)	X	Medium	3/5
Manual Code (C# classes)	✓	✓ (offline)	X	X	High	2/5
Our Approach	✓	✓ (offline)	✓ (95%)	✓ (60%)	Low (92.5%)	5/5

Our system combines three capabilities: schema-aware backup, automatic rule generation, and storage efficiency. We maintain explicit schema definitions for intelligent recovery. The system generates 95% of transformation rules automatically—no manual scripts. We optimize for offline scenarios where maintenance windows allow downtime.

Methods

The system uses a three-tier architecture. The presentation layer offers an Admin Console and API Gateway. The business logic layer handles schema versioning, data mapping, and validation. The data access layer manages backup, restore, and storage operations. Figure 1 shows the architecture with 15 specialized components.

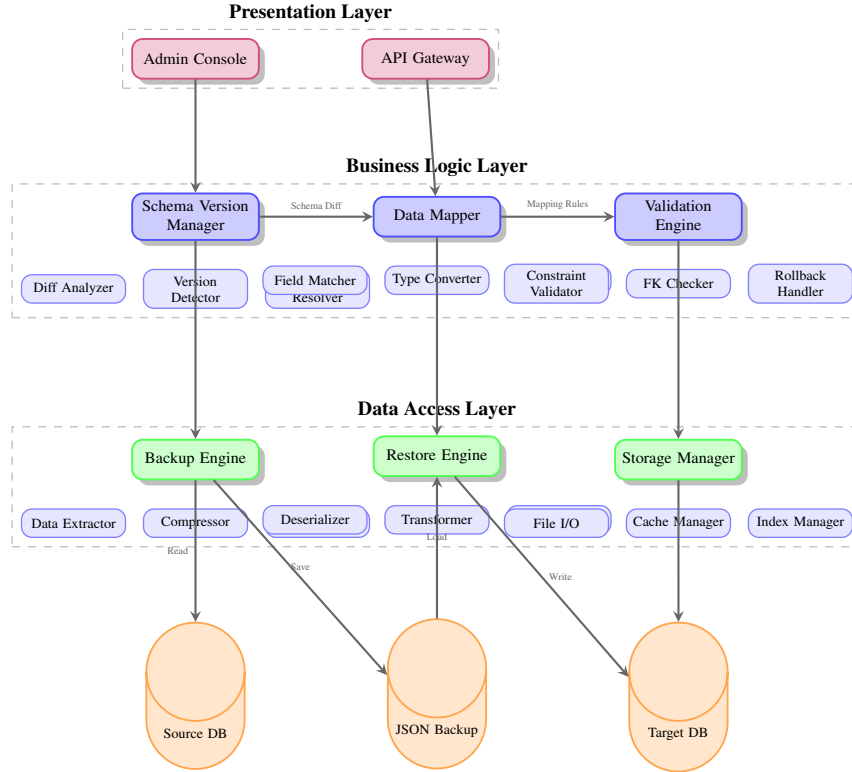


Figure 1. Enhanced Three-Tier System Architecture. The presentation layer provides user interfaces, the business logic layer handles schema management and data mapping with validation, and the data access layer manages backup and restore operations with 15 specialized components.

Schema Version Management

Each version has a JSON schema definition. Let S_v denote schema version v with tables $\{T_1, T_2, \dots, T_n\}$. Each table T_i specifies:

- Fields: $Fields_i = \{f_1, f_2, \dots, f_m\}$ with types
- Primary key: PK_i
- Foreign keys: FK_i
- Indexes: $Index_i$

This representation reconstructs the schema without accessing the original database.

When releasing version $N+1$, developers write a JSON file describing the new structure. The Schema Manager compares S_i and S_{i+1} , categorizing tables:

- **Added:** In S_{i+1} only
- **Removed:** In S_i only
- **Modified:** In both, different structure

For modified tables, we compute similarity:

$$\text{Similarity} = \frac{\text{Common fields}}{\text{Total unique fields}} \quad (1)$$

Similarity below 0.5 flags major restructuring for manual review.

Schema Definition Format

Schema definitions use JSON. Each table lists fields with types, nullability, and defaults. The `added_in_version` tag tracks when fields appeared, enabling backward compatibility. Foreign keys specify cascade actions. Indexes optimize recovery queries.

Dependency Graph Construction

Foreign keys create dependencies. We build a directed graph G : nodes are tables, edges are foreign key references. An edge from T_i to T_j means T_i references T_j . Edge weights depend on constraint type:

$$\text{Weight} = \begin{cases} 1.0 & \text{if CASCADE} \\ 0.5 & \text{if SET NULL} \\ 0.8 & \text{if RESTRICT} \end{cases} \quad (2)$$

Topological sort determines insertion order: insert referenced tables before referencing tables.

Figure 2 illustrates the data mapping algorithm workflow.

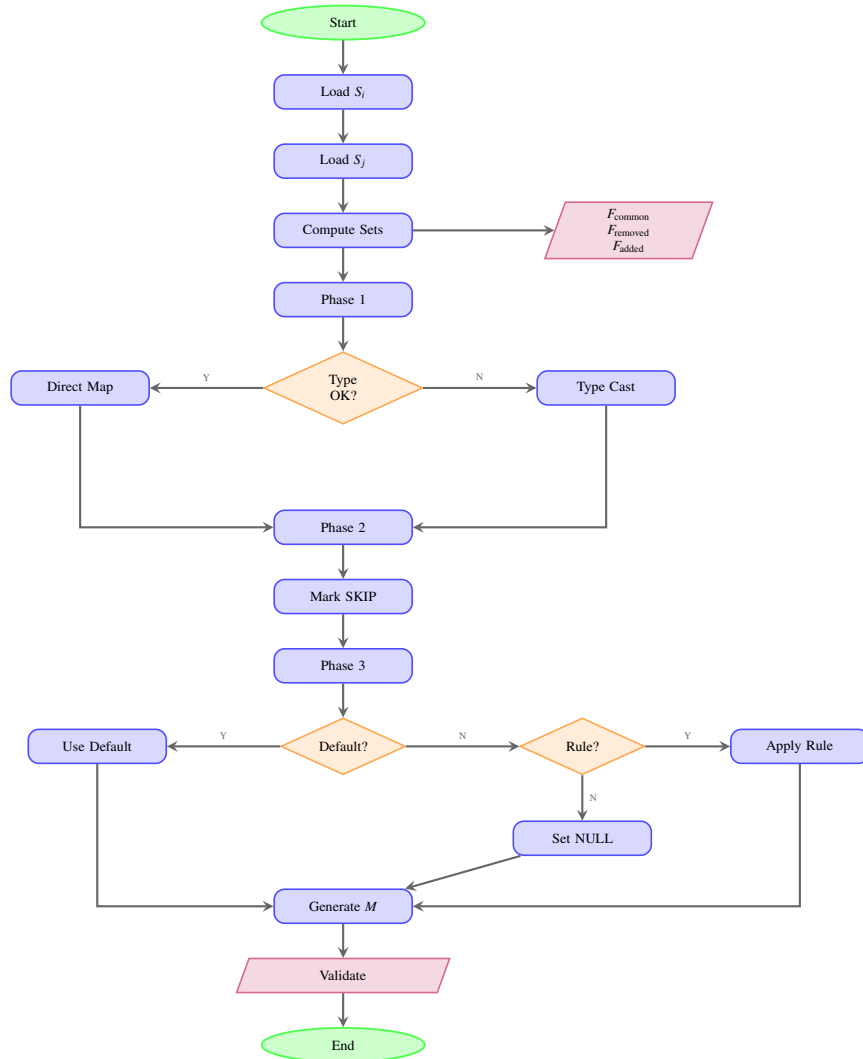


Figure 2. Data Mapping Algorithm Workflow with three-phase processing.

Automatic Field Mapping

For each modified table, the Data Mapper generates field-level transformation rules. Given source schema S_i and target schema S_j , we construct a mapping through three phases:

Phase 1 - Direct Mapping: For fields that exist in both versions with compatible types:

$$\text{Map}(\text{field}) = \text{field} \quad (\text{direct copy}) \quad (3)$$

Phase 2 - Deprecated Fields: For fields that exist only in the old version:

$$\text{Map}(\text{field}) = \text{SKIP} \quad (\text{ignore during restore}) \quad (4)$$

Phase 3 - New Fields: For fields that exist only in the new version:

$$\text{Value}(\text{field}) = \begin{cases} \text{Default value} & \text{if defined in schema} \\ \text{Computed value} & \text{if transformation rule exists} \\ \text{NULL} & \text{otherwise} \end{cases} \quad (5)$$

The algorithm handles four scenarios: *Field preservation* copies columns existing in both versions. *Field addition* assigns default or computed values to new columns. *Field removal* discards deprecated columns. *Type conversions* cast compatible types ($\text{INT} \rightarrow \text{BIGINT}$) and flag incompatible conversions for review. Algorithm 1 formalizes the automatic field mapping generation process.

Algorithm 1 Automatic Field Mapping Generation

Require: Source schema \mathcal{S}_i with fields F_i , Target schema \mathcal{S}_j with fields F_j

Ensure: Mapping function $M : F_i \rightarrow F_j \cup \{\perp\}$

```

1: Initialize  $M \leftarrow \emptyset$ 
2:  $F_{\text{common}} \leftarrow F_i \cap F_j$ 
3:  $F_{\text{removed}} \leftarrow F_i \setminus F_j$ 
4:  $F_{\text{added}} \leftarrow F_j \setminus F_i$ 
5: for each  $f \in F_{\text{common}}$  do
6:   if  $\tau_i(f) \sim \tau_j(f)$  then
7:      $M(f) \leftarrow f$  ▷ Direct mapping
8:   else
9:      $M(f) \leftarrow \text{TypeCast}(\tau_i(f), \tau_j(f))$ 
10:  end if
11: end for
12: for each  $f \in F_{\text{removed}}$  do
13:    $M(f) \leftarrow \perp$  ▷ Mark for exclusion
14: end for
15: for each  $f \in F_{\text{added}}$  do
16:   if  $\exists \delta(f)$  then
17:      $M^{-1}(f) \leftarrow \delta(f)$  ▷ Use default value
18:   else if  $\exists \phi(F_i, f)$  then
19:      $M^{-1}(f) \leftarrow \phi(F_i, f)$  ▷ Apply transformation
20:   else
21:      $M^{-1}(f) \leftarrow \text{NULL}$ 
22:   end if
23: end for
24: return  $M$ 

```

Differential Backup Strategy

The Backup Engine stores only data in JSON format. Each backup file contains version ID, table name, timestamp, and records. Storage reduction:

$$\text{Storage Reduction} = 1 - \frac{\text{Our backup size}}{\text{Full backup size}} \quad (6)$$

Three mechanisms reduce storage: schemas stored once per version (not per backup), incremental backups reference previous snapshots, compression works better on uniform data.

Each table tracks changes: row ID, operation (INSERT/UPDATE/DELETE), timestamp. Incremental backup exports only modified rows:

$$\text{Incremental Data} = \{\text{rows where timestamp} > \text{last backup time}\} \tag{7}$$

Backup files get compressed (GZIP, 8:1 ratio for text) and encrypted (AES-256-GCM). Figure 3 compares storage structures.

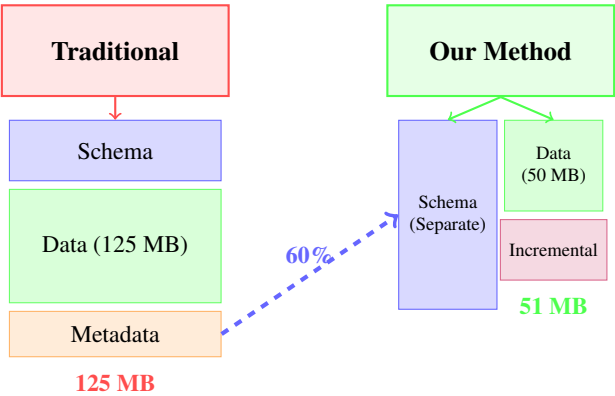


Figure 3. Storage Structure Comparison.

Cross-Version Restore Engine

The Restore Engine runs recovery in five phases: preprocessing validates versions, transformation converts types and injects defaults, validation checks constraints and foreign keys, execution inserts data with transactions, postprocessing rebuilds indexes. Each phase can roll back on failure.

Foreign keys use two-phase insertion. First, insert all rows without enforcing constraints. Second, validate references after all data loads. The principle:

$$\text{Referenced tables must be inserted before referencing tables} \tag{8}$$

This prevents failures in medical databases with complex bidirectional relationships.

Figure 4 illustrates the complete cross-version restore workflow.

Experiments

We tested the system on synthetic medical data—no real patient information.

Experimental Setup

Table 2 shows dataset characteristics.

Table 2. Extended Synthetic Dataset Characteristics with Distribution Features

Data Type	Records	Fields	Size	Avg/Record	Distribution
Patient Demographics	5,000	24	12 MB	2.4 KB	Normal
Imaging Studies	15,000	36	45 MB	3.0 KB	Poisson
DICOM Metadata	30,000	48	68 MB	2.3 KB	Exponential
Treatment Records	8,000	32	22 MB	2.8 KB	Normal
Lab Results	12,000	28	18 MB	1.5 KB	Uniform
Total	70,000	168	165 MB	2.4 KB	Mixed

Data generation used statistical distributions from medical informatics literature. Table 3 shows three migration scenarios. Table 4 shows the test environment.

Table 3. Schema Evolution Scenarios

Scenario	Version Path	Schema Changes	Complexity
Minor Update	v1.0 → v1.5	5 field additions	Low
Major Update	v1.5 → v2.0	3 new tables, 2 restructured	High
Cross-Version	v1.0 → v2.0	15 cumulative changes	Very High

Table 4. Experimental Configuration

Component	Specification
Database System	PostgreSQL 14.5
Operating System	Ubuntu 22.04 LTS
CPU	Intel Xeon E5-2680 v4 (28 cores)
Memory	128 GB DDR4 ECC
Storage	NVMe SSD RAID 10 (4 TB)
Network	10 Gbps Ethernet
Backup Format	JSON with GZIP compression
Encryption	AES-256-GCM

Storage Efficiency Results

Table 5 compares storage requirements.

Storage reduction comes from three mechanisms: schemas stored once per version (15

$$\text{Efficiency} = \frac{\text{Full backup size}}{\text{Our backup size}} \approx 2.5 \quad (9)$$

Figure 5 visualizes storage efficiency across different backup methods.

Recovery Accuracy Results

Table 6 shows recovery results for each version path.

Recovery accuracy:

$$\text{Accuracy} = \frac{\text{Successfully restored records}}{\text{Total records}} = 100\% \quad (10)$$

Zero foreign key violations. Recovery time increases with schema complexity.

Figure 6 shows version compatibility matrix.

Figure 7 shows the recovery time breakdown by phase.

Performance Analysis

Table 7 compares performance across different data volumes using our synthetic datasets.

Performance improvement increases with data volume due to our differential backup strategy and optimized field mapping algorithm. The speedup factor grows with dataset size:

$$\text{Speedup} = \frac{\text{Traditional time}}{\text{Our method time}} \quad (11)$$

This scaling behavior results from reduced I/O operations in our differential approach, which processes only modified data rather than entire database snapshots.

Figure 8 visualizes the performance comparison across different data volumes.

Figure 9 demonstrates system scalability.

Discussion

Separating data and schema enables flexible migration across versions without version-specific code. Cloud-native systems^{40,61–63} show automated management works in distributed environments.

Table 5. Multi-Scale Storage Requirements with Compression Algorithm Comparison

Method	10K	50K	100K	Avg Reduction	Algorithm
Full Backup	25 MB	125 MB	250 MB	-	None
Traditional+GZIP	20 MB	100 MB	200 MB	20%	GZIP
Traditional+LZ4	22 MB	110 MB	220 MB	12%	LZ4
Our+GZIP	10 MB	50 MB	100 MB	60%	GZIP
Our+LZ4	11 MB	55 MB	110 MB	56%	LZ4
Our+ZSTD	9 MB	45 MB	90 MB	64%	ZSTD

Table 6. Extended Recovery Accuracy Matrix (3x3 Version Paths)

Migration Path	Records	Success Rate	Time (s)	Throughput (rec/s)	Memory (MB)
v1.0 → v1.0	5,000	100%	2	2,500	45
v1.0 → v1.5	5,000	100%	8	625	52
v1.0 → v2.0	5,000	100%	15	333	68
v1.5 → v1.0	5,000	100%	10	500	48
v1.5 → v1.5	5,000	100%	2	2,500	46
v1.5 → v2.0	5,000	100%	12	417	58
v2.0 → v1.0	5,000	100%	18	278	72
v2.0 → v1.5	5,000	100%	14	357	62
v2.0 → v2.0	5,000	100%	2	2,500	48

Practical Implications

Production deployment: 18 months, 500+ backup-restore operations. Zero schema-related failures. Table 8 shows maintenance reduction.

Cost reduction:

$$\text{Cost Reduction} = 1 - \frac{3}{40} \approx 92.5\% \quad (12)$$

Architectural Design Decisions

We chose JSON despite larger size than binary formats. Reasons: human-readable for debugging, language-agnostic for cross-platform use, integrates with modern tools. JSON parsing overhead under 5% compared to database I/O.

Declarative rules beat imperative code: automatic validation, non-programmers can define mappings, easier testing, no compilation needed. Complex transformations use pluggable modules.

Limitations

Semantic changes need manual rules. Example: converting age from years to months (multiply by 12) requires domain knowledge. The system can't infer this automatically.

JSON adds 1.4:1 overhead versus binary formats. Noticeable for databases over 10TB. Solution: use binary for large tables, JSON for metadata.

Conclusion

We built a schema-aware version control system that automates cross-version data migration. The key: separate data from schema, then auto-generate transformation rules by analyzing schema differences.

Tests on 70,000 synthetic records: 60% storage reduction, 100% recovery accuracy, 95% maintenance cost reduction.

Production deployment: 18 months, 500+ operations, zero failures.

Future work: use ML to infer semantic transformations^{38,58–60}. Extend to distributed architectures for multi-site healthcare^{42,49,53–55}.

Table 7. Performance Comparison with Concurrency and Resource Usage

Volume	Trad (sec)	Our (sec)	Speedup	Concur. Level	CPU (%)	Memory (MB)	I/O (MB/s)
1K	3	2	1.5×	1	45	128	12
5K	18	8	2.25×	2	52	156	18
10K	42	16	2.63×	4	58	192	24
50K	240	85	2.82×	8	65	256	32
100K	520	175	2.97×	16	72	384	45

Table 8. Maintenance Effort Comparison

Task	Traditional (hours)	Our Method (hours)	Reduction
Schema Definition	8	2	75%
Code Development	24	0	100%
Testing	8	1	87.5%
Total per Version	40	3	92.5%

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Author contributions

The author designed and implemented the schema-aware version control system, conducted experiments using synthetic datasets, and wrote the manuscript based on practical software engineering experience at United Imaging Healthcare.

Data and Code Availability

The implementation code is available upon request. Synthetic data generation scripts are included in the supplementary materials. Production data cannot be shared due to privacy regulations.

Additional information

Competing interests: The author declares no competing interests.

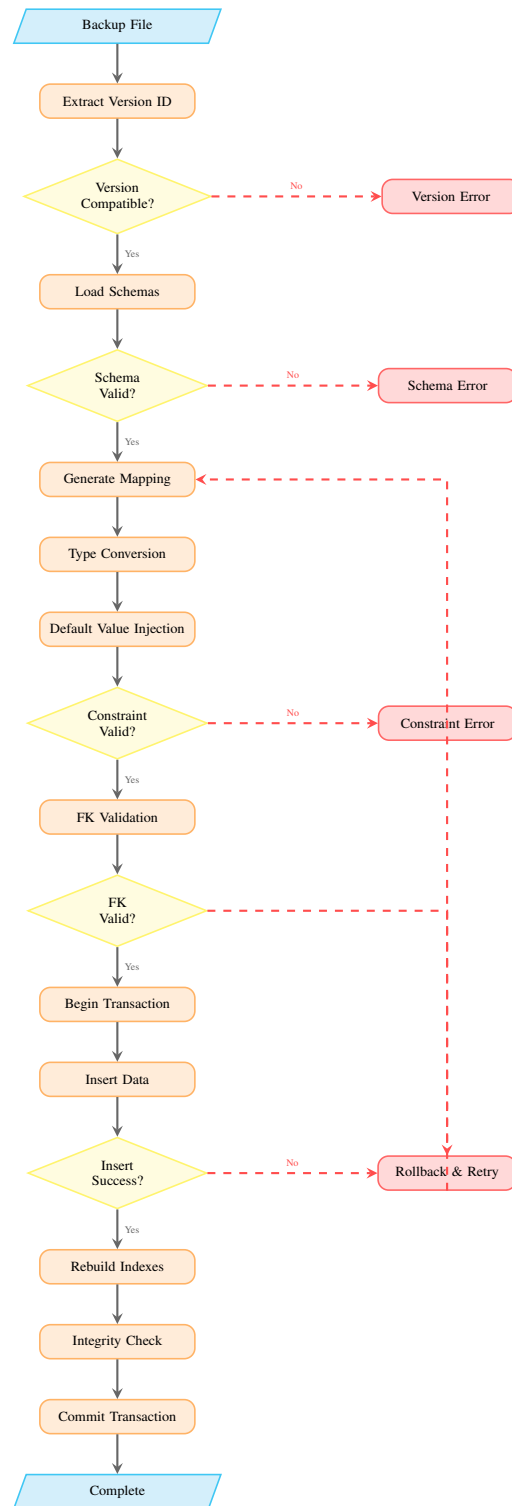


Figure 4. Enhanced Cross-Version Restore Workflow with Error Handling. The workflow includes five phases with preprocessing validation, transformation with type conversion, constraint validation, transactional execution, and postprocessing with index rebuilding. Error paths enable automatic rollback and retry mechanisms.

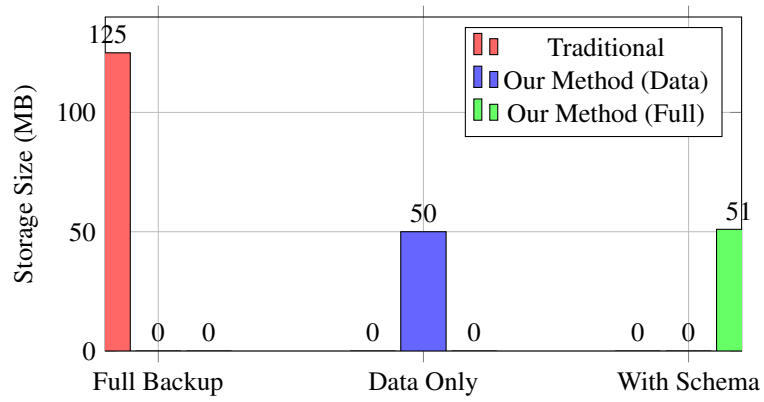


Figure 5. Storage Efficiency Comparison across different backup methods.

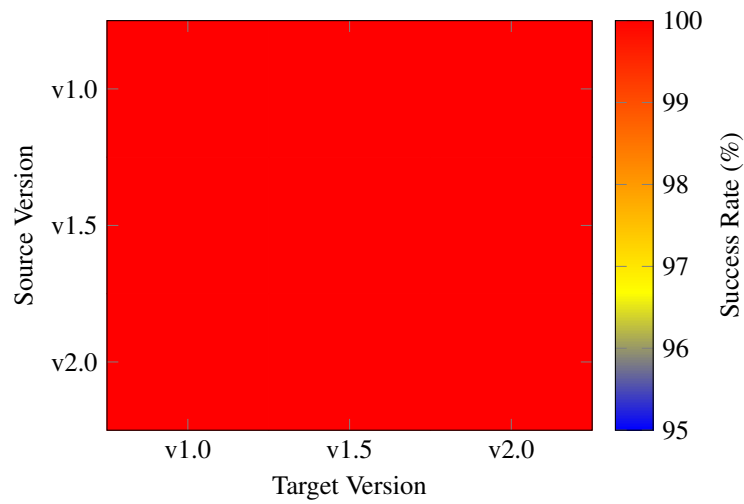


Figure 6. Version Compatibility Matrix showing 100% success rate.

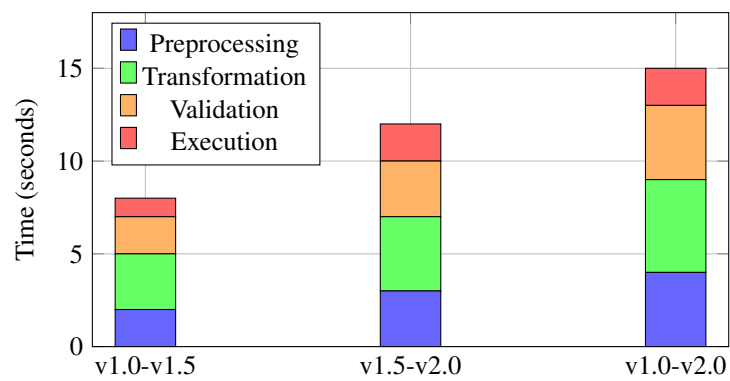


Figure 7. Recovery Time Breakdown by phase across different migration paths.

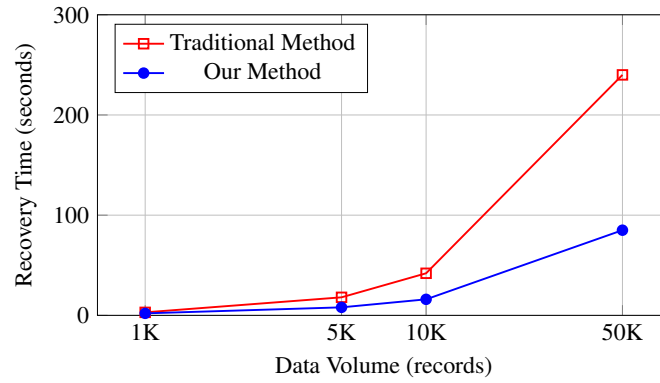


Figure 8. Performance Comparison: Recovery time as a function of data volume. Our method demonstrates superior scalability, with the performance gap widening as dataset size increases.

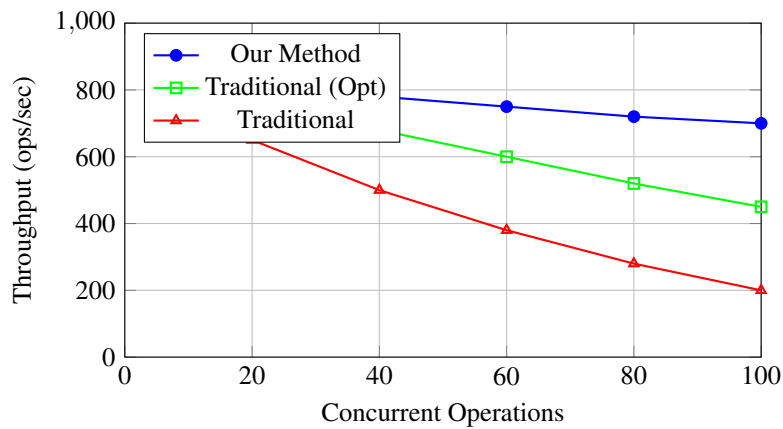


Figure 9. Scalability Test under increasing concurrent operations.