

# K Theory of Fields

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# Table of Contents

1 Rigidity

2 Corollaries of Rigidity

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## 1 Rigidity

## 2 Corollaries of Rigidity

## Conjecture (Quillen-Lichtenbaum, circa 1972)

Let  $F$  be an algebraically closed field of characteristic exponent  $p$ . Then for  $i \geq 1$ ,  $K_{2i}(F)$  is a divisible torsion-free abelian group, and  $K_{2i-1}(F)$  is a divisible group whose torsion subgroup is isomorphic to  $\mathbb{Q}/\mathbb{Z}[\frac{1}{p}]$

- For  $F = \overline{\mathbb{F}}_p$  computation of  $K_*(\mathbb{F}_p)$ , and the a colimit argument

## Conjecture (Quillen-Lichtenbaum, circa 1972)

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- For  $F = \overline{\mathbb{F}}_p$  computation of  $K_*(\mathbb{F}_p)$ , and the a colimit argument
- For  $K_1$ ,  $K_1(F) \cong F^\times$
- For  $K_2$ , a theorem by Tate.
- Then conjectured relationship between  $K$ -theory and special values of zeta functions.

## Theorem (Harris-Segal, 1975)

*Let  $R$  be the ring of integers of some number field  $F$ . Then,  $K_{2i-1}(R)$  contains an explicit cyclic summand that maps isomorphically to  $K_{2i-1}(F)$ .*

The explicit cyclic summand is determined as follows: Harris-Segal showed that for  $R = \mathcal{O}_F$ , one may construct homomorphism

$$\phi : R \rightarrow \mathbb{F}_q$$

such that the induced homomorphism

$$\phi_* : K_{2i-1}(R) \rightarrow K_{2i-1}(\mathbb{F}_q)$$

becomes a split surjection on  $l$  primary parts. The splitting arises from choosing a subgroup in  $GL_i(\mathbb{F}_q)$  and some representation theoretical tools.

For a field  $F$ , let  $\mu = \mu(F)$  be the group of all roots of unity in its closure.

## Definition

For each  $i$ , we define the  $i$ th **Tate twist** of  $\mu$  as the  $G := \text{Gal}(\overline{F}/F)$ -module structure on  $\mu$  by

$$g \cdot \zeta = g^i(\zeta)$$

denoted by  $\mu(i)$ . We let  $w_i := |\mu(i)^G|$  and  $w_i^{(l)G} = |\mu(i)_{(l)}|$

From the known  $K_{2i-1}(\mathbb{F}_q)$ , one determines the explicit cyclic summand to be  $\mathbb{Z}/w_i$ . Such summand is detected by the natural map

$$e : K_{2i-1}(F)_{\text{tor}} \rightarrow K_{2i-1}(\overline{F})_{\text{tor}}^G \cong \mu(i)^G$$

which is called the **e-invariant**.

## Fact

The classical Adams e-invariant detects a summand in the stable homotopy groups of sphere.



# Homotopy Groups with Coefficients

Recall the Moore space  $M(G, k)$  is characterized by having its reduced homology concentrated in degree  $k$  and  $\tilde{H}^k(M(G, k), \mathbb{Z}) = G$ . For  $G$  cyclic of order  $n$ ,  $M(G, k)$  can be constructed by gluing a  $k$ -cell to  $S^{k-1}$  by a degree  $n$  map.

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## Definition

Let  $M(\mathbb{Z}/n, m)$  be the Moore space of  $\mathbb{Z}/n$ . The mod  $n$  homotopy group of a space  $X$  is defined by

$$\pi_m(X; \mathbb{Z}/n) := [M(\mathbb{Z}/n, m), X]$$

# K-theory with finite coefficients

For a general space  $X$ ,  $\pi_m(X, \mathbb{Z}/n)$  is only a group when  $m \geq 2$ . For infinite loop spaces, these homotopy groups with coefficients are all abelian groups.

## Definition

We define the  $K$ -theory of a ring  $R$  with  $\mathbb{Z}/n$  coefficients as

$$K_m(R; \mathbb{Z}/n) := \pi_m(K(R); \mathbb{Z}/n)$$

# Universal Coefficient Theorem

The mod  $n$  homotopy groups satisfy the following exact sequence

$$0 \longrightarrow \pi_n(X) \otimes \mathbb{Z}/p \longrightarrow \pi_n(X; \mathbb{Z}/p) \longrightarrow \mathrm{Tor}_1(\pi_{n-1}X, \mathbb{Z}/p) \longrightarrow 0$$

- The group  $\pi_n(X) \otimes \mathbb{Z}/p$  measures how far  $\pi_n(X)$  is from being  $p$ -divisible.
- The group  $\mathrm{Tor}_1(\pi_{n-1}X, \mathbb{Z}/p)$  detects  $p$ -torsion in  $\pi_{n-1}X$ .

## Theorem (Suslin, 83)

Let  $i : k \rightarrow L$  be an extension of algebraically closed fields and  $n$  an integer such that  $\gcd(n, \text{char}(k)) = 1$ . Then,

$$i_* : K_*(k, \mathbb{Z}/n) \rightarrow K_*(L; \mathbb{Z}/n)$$

is an isomorphism.

From Quillen's computation of  $K_*(\mathbb{F}_p)$ , the conjecture of Quillen-Lichtenbaum is solved for positive characteristic.

# Proof of Suslin Rigidity

## Preliminary Reduction

A Zorn's lemma argument allows reduction to the case where  $\text{Trdeg}_k(L) = 1$ .

Given such an  $L$ , we can realize  $L$  as a colimit

$$L \cong \varinjlim_{A \subset L} A$$

where  $A$  is a finitely generated, integrally closed  $k$ -subalgebra of  $L$ .

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where  $A$  is a finitely generated, integrally closed  $k$ -subalgebra of  $L$ . This induces the isomorphism of  $K$ -groups

$$K(L; \mathbb{Z}/n) \cong \varinjlim_{A \subset L} K(A; \mathbb{Z}/n)$$



We may view  $A$  as a smooth  $k$ -curve. A point  $x \in \operatorname{Spec}(A)$  gives rise to a splitting

$$k \xrightarrow{i} A \xrightarrow{/x} k$$

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$$K_*(k; \mathbb{Z}/n) \xrightarrow{i_*} K_*(A; \mathbb{Z}/n)$$

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is injective. We may deduce

$$K_*(k; \mathbb{Z}/n) \rightarrow K_*(L; \mathbb{Z}/n)$$

is injective after passage to colimit.

# Further Reduction

Let  $\alpha \in K_*(L; \mathbb{Z}/n)$  be an arbitrary element. By the colimit characterization,  $\alpha$  is in the image of some

$$K_*(A; \mathbb{Z}/n) \rightarrow K_*(L; \mathbb{Z}/n)$$

for some subalgebra  $A$ . The next “trick” helps us to reduce the problem to the following proposition:

## Proposition

If  $A$  is a finitely generated smooth  $k$ -algebra (of  $\dim 1$ ), then two  $k$ -points  $x, y$  of  $\operatorname{Spec}(A)$  induces the same map

$$x_* = y_* : K_*(A; \mathbb{Z}/n) \rightarrow K_*(k; \mathbb{Z}/n)$$

# The Trick

The inclusion  $A \xrightarrow{i} L$  factors as

$$A \xrightarrow{Id \otimes 1} A \otimes_k L \xrightarrow{i_0} L$$

where  $i_0$  is induced by the inclusion  $A \hookrightarrow L$ .

# The Trick

The inclusion  $A \xrightarrow{i} L$  factors as

$$A \xrightarrow{Id \otimes 1} A \otimes_k L \xrightarrow{i_0} L$$

where  $i_0$  is induced by the inclusion  $A \hookrightarrow L$ . On the other hand, each choice of a  $k$  point  $x \in \operatorname{Spec}(A)$  gives rise to a composition

$$A \xrightarrow{/x} k \xrightarrow{i} L$$

which induces another morphism

$$A \otimes_k L \xrightarrow{i_x} L$$

# The Trick

Assuming the Proposition, the two maps

$$A \otimes_k L \begin{array}{c} \xrightarrow{i_0} \\ \xrightarrow{i_x} \end{array} L$$

induce the same map on  $K$ -theory, so we have two equivalent compositions.

$$K_*(A; \mathbb{Z}/n) \longrightarrow K_*(A \otimes_k L; \mathbb{Z}/n) \begin{array}{c} \xrightarrow{(i_0)_*} \\ \xrightarrow{(i_x)_*} \end{array} K(L; \mathbb{Z}/n)$$

The top composition is just the map induced by the inclusion  $A \hookrightarrow L$ ;

# The Trick

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The top composition is just the map induced by the inclusion  $A \hookrightarrow L$ ; the second map also factors as

$$K(A; \mathbb{Z}/n) \xrightarrow{(\iota_x)_*} K(k; \mathbb{Z}/n) \xrightarrow{(i)_*} K(L; \mathbb{Z}/n)$$

therefore  $\alpha$  is in the image of  $i_*$ , and we have surjectivity.



## Definition

If  $f : R \rightarrow S$  is a ring map such that  $S$  becomes a finitely generated  $R$ -module, then the forgetful exact functor

$$M(S) \rightarrow M(R)$$

induce the **transfer map**

$$f^* : G(S) \rightarrow G(R)$$

Recall for regular Noetherian rings, the  $G$ -theory and  $K$ -theory agree, as a consequence of the resolution theorem. Therefore, we have a transfer map between  $K$ -theory as well.

Now let  $R$  be a Dedekind domain (Noetherian, integrally closed, Krull dimension 1), with  $F := \text{Frac}(R)$ . Localizing at the non-zero elements gives us the LES

$$K_{n+1}(F) \xrightarrow{\partial} \bigoplus_{\mathfrak{p}} K(R/\mathfrak{p}) \xrightarrow{\bigoplus \mathfrak{p}^*} K_n(R) \longrightarrow K_n(F)$$

and the middle map is the sum of the individual transfer maps from the projection  $R \rightarrow R/\mathfrak{p}$ .

Now let  $C = \operatorname{Spec}(A)$  be a smooth  $k$ -curve, and  $x$  a closed point on  $C$ . Each stalk  $\mathcal{O}_x$  is then a DVR. Let  $\pi_x$  be a choice of uniformizing parameter, which induces a residue map  $\pi_s : \mathcal{O}_x \rightarrow k$ . The localization sequence for  $\mathcal{O}_x$  looks like

$$G(k)/n \longrightarrow G(\mathcal{O}_x)/n \longrightarrow G(F)/n$$

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$$G(k)/n \longrightarrow G(\mathcal{O}_x)/n \longrightarrow G(F)/n$$

This is a sequence of  $K(\mathcal{O}_x)$ -modules. We will investigate how the module structure interact with the product structure.

The module structure gives us pairings

$$K_p(\mathcal{O}_x) \otimes K_q(-; \mathbb{Z}/n) \rightarrow K_{p+q}(-; \mathbb{Z}/n)$$

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We are interested in the following composition

$$\begin{array}{ccc} K_1(\mathcal{O}_x) \otimes K_q(F; \mathbb{Z}/n) & \xrightarrow{i_* \otimes 1} & K_1(F) \otimes K_q(F; \mathbb{Z}/n) \xrightarrow{\sim} K_{1+q}(F; \mathbb{Z}/n) \\ & & \downarrow \partial \\ & & K_q(k; \mathbb{Z}/n) \end{array}$$

where  $\partial$  comes from the localization.

# Product Formula

Let  $a \in K_1(\mathcal{O}_x)$  and  $b \in K_q(F; \mathbb{Z}/n)$ . We have the product formula

$$\partial(i_*(a) \smile b) = (\pi_s)_*(a) \smile \partial b$$

This follows from everything being a  $K(\mathcal{O}_x)$  module homomorphism, and the Leibniz rule.

**Critical Observation:**  $(\pi_s)_*(a)$  lives in  $K_1(k) \cong k^*$ , which is  $n$ -divisible by assumption on characteristic. Thus, the composition must be 0!

# Specialization

Now a choice of uniformizing parameter gives us a splitting of

$$0 \longrightarrow \mathcal{O}_x^* \longrightarrow F^* \longrightarrow \mathbb{Z} \longrightarrow 0$$

which is an isomorphism  $K_1(F) \cong \mathbb{Z} \oplus K_1(\mathcal{O}_x)$ .



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which is an isomorphism  $K_1(F) \cong \mathbb{Z} \oplus K_1(\mathcal{O}_x)$ .

Thus, there is a **specialization map**

$$s_x : K_*(F; \mathbb{Z}/n) \rightarrow K_*(k; \mathbb{Z}/n)$$

that makes

$$\begin{array}{ccc} K_1(F) \otimes K_q(F; \mathbb{Z}/n) & \longrightarrow & \mathbb{Z} \otimes K_q(F; \mathbb{Z}/n) \\ \downarrow & & \downarrow s_x \\ K_{1+q}(F; \mathbb{Z}/n) & \longrightarrow & K_q(k; \mathbb{Z}/n) \end{array}$$

commute.

# Properties of Specialization

The diagram

$$\begin{array}{ccc} K_1(F) \otimes K_q(F; \mathbb{Z}/n) & \longrightarrow & \mathbb{Z} \otimes K_q(F; \mathbb{Z}/n) \\ \downarrow \smile & & \downarrow s_x \\ K_{1+q}(F; \mathbb{Z}/n) & \xrightarrow{\partial} & K_q(k; \mathbb{Z}/n) \end{array}$$

gives us the formula for specialization

$$s_x(a) = \partial(\pi_x \smile a)$$

# Properties of Specialization

## Lemma

The diagram

$$\begin{array}{ccc} K_q(A; \mathbb{Z}/n) & & \\ \downarrow & \searrow^{(-1)^q x_*} & \\ K_q(F; \mathbb{Z}/n) & \xrightarrow{s_x} & K_q(k; \mathbb{Z}/n) \end{array}$$

commutes.

- 1 We know  $x_*$  is a split surjection, so each specialization map  $s_x$  is a surjection as well.

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- 1 We know  $x_*$  is a split surjection, so each specialization map  $s_x$  is a surjection as well.
- 2 The boundary differential is also a surjection by the formula for specialization;

We look at the localization sequence again:

$$K_{q+1}(F; \mathbb{Z}/n) \xrightarrow{\partial} K_q(k; \mathbb{Z}/n) \xrightarrow{(\pi_x)^*} K_q(\mathcal{O}_x; \mathbb{Z}/n) \longrightarrow K_q(F; \mathbb{Z}/n)$$

The boundary differential being a surjection implies the transfer  $(\pi_x)^*$  is the zero map; we may further deduce the inclusion homomorphism

$$K_q(\mathcal{O}_x; \mathbb{Z}/n) \rightarrow K_q(F; \mathbb{Z}/n)$$

is an injection for all  $x \in A$ . It is clear then the inclusion homomorphism

$$K_*(A; \mathbb{Z}/n) \rightarrow K_*(F; \mathbb{Z}/n)$$

is an injection as well.

# Reduction to Birational

$$\begin{array}{ccc} K_q(A; \mathbb{Z}/n) & & \\ \downarrow \text{inject} & \searrow (-1)^q x_* & \\ K_q(F; \mathbb{Z}/n) & \xrightarrow{s_x} & K_q(k; \mathbb{Z}/n) \end{array}$$

We may further reduce Proposition to the following

## Main Theorem

Let  $C$  be a smooth curve over  $k$ , and let  $F := k(C)$  be its function field. If  $x, y$  are two closed  $k$ -points on the curve, then the **specialization maps**

$$s_x, x_y : K_*(F; \mathbb{Z}/n) \rightarrow K_*(k; \mathbb{Z}/n)$$

are equal.

# Proving the Main Theorem

First, we may complete the smooth curve  $C$  to a smooth projective curve, which does not alter the function field. And here some algebraic geometry comes in.

Recall the set of cartier divisors  $\text{Cart}(C)$  is the free abelian group of closed points on  $C$ . Consider the homomorphism

$$\lambda : \text{Cart}(C) \rightarrow \text{Hom}(K_*(F; \mathbb{Z}/n), K_*(k; \mathbb{Z}/n))$$

by sending  $[c]$  to the specialization map  $s_c$ .



# Proving the Main Theorem

We have the exact sequence

$$0 \rightarrow F^* \xrightarrow{\text{div}} \text{Cart}(C) \rightarrow \text{Pic}(C) \rightarrow 0$$

where  $\text{div}(f) = \sum_{f(p)=0} e_c[p] - \sum_{f(p)=\infty} e_c[p]$ .

## Proposition

The composition  $\lambda \circ \text{div}$  is 0 on  $F^*$ .

# Deducing Rigidity

Since the composition  $\lambda \circ \text{div} = 0$ , we know  $\lambda$  factors through the cokernel of  $\text{div}$ , which is  $\text{Pic}(C)$ . Moreover, classes of the form  $[c_0] - [c_1]$  all live in the Jacobian  $J(C)$ , which is the kernel the degree map

$$J(C) \rightarrow \text{Pic}(C) \xrightarrow{\deg} \mathbb{Z}$$

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$$J(C) \rightarrow \text{Pic}(C) \xrightarrow{\deg} \mathbb{Z}$$

## Fact (Abelian Varieties)

The group  $J(C)$  is the  $k$ -point of the **Jacobian variety**, which is an abelian variety. All abelian varieties are divisible.

However, we see the target group  $\text{Hom}(K_*(F; \mathbb{Z}/n), K_*(k; \mathbb{Z}/n))$  is of exponent  $n$ , so  $\lambda$  is 0 on  $J(C)$ , which implies  $s_{c_0} = s_{c_1}$  for all  $c_0, c_1$ .

# Overview and Preview

The main ingredients of Suslin's rigidity is:

- 1 Analyze transfers/specialization maps in  $K$ -theory

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- ① Analyze transfers/specialization maps in  $K$ -theory
- ② A certain action of finite correspondences on  $K(-; \mathbb{Z}/n)$  factors through the Jacobian of a smooth projective curve
- ③ This action is trivial as the Jacobian is divisible.

The main ingredients of Suslin's rigidity is:

- 1 Analyze transfers/specialization maps in  $K$ -theory
- 2 A certain action of finite correspondences on  $K(-; \mathbb{Z}/n)$  factors through the Jacobian of a smooth projective curve
- 3 This action is trivial as the Jacobian is divisible.

The same ingredients also appeared in many subsequent rigidity-type results in  $K$ -theory. Later on, motivic homotopy theorists generalized these results to sheaves with transfers.

## Theorem (Gillet-Thomason, 84)

*Let  $k$  be a separably closed field,  $R$  a strictly Henselian regular  $k$ -algebra of geometrical type, and  $n$  be an integer invertible in  $k$ . Then,*

$$K(k; \mathbb{Z}/n) \rightarrow K(R; \mathbb{Z}/n)$$

*is an isomorphism.*

## Theorem (Gabber, 92)

*Let  $R$  be a Henselian local ring with residue field  $k$  and assume  $n$  is an integer invertible in  $R$ . Then*

$$K(R; \mathbb{Z}/n) \rightarrow K(k; \mathbb{Z}/n)$$

*is an isomorphism.*



# Table of Contents

1 Rigidity

2 Corollaries of Rigidity

Suppose  $R$  contains a primitive  $p$ th root of unity  $\zeta$ .

$$0 \longrightarrow K_2(R) \otimes \mathbb{Z}/p \longrightarrow K_2(R; \mathbb{Z}/p) \longrightarrow \mathrm{Tor}_1(K_1(R), \mathbb{Z}/p) \longrightarrow 0$$

## Definition

An element in  $K_2(R; \mathbb{Z}/p)$  that maps to  $\zeta \in \mathrm{Tor}_1(K_1(R), \mathbb{Z}/p)$  is called a **Bott element**.

Note the mod 2 Moore spectrum is not a ring spectrum, but Browder still proves a product structure on K theory with coefficients.

## Theorem (Browder, 78)

*The K-theory with coefficients  $K_*(\mathbb{F}_p; \mathbb{Z}/n)$  admits a graded ring structure for all  $n$ .*

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## Theorem (Browder, 78)

*The K-theory with coefficients  $K_*(\mathbb{F}_p; \mathbb{Z}/n)$  admits a graded ring structure for all  $n$ .*

So from the ring structure, we have a canonical homomorphism

$$\mathbb{Z}/n[\beta, \zeta] \rightarrow K_*(\mathbb{F}_p; \mathbb{Z}/n)$$

# Example

## Theorem (Browder, 78)

For  $l \neq p$ , we have

$$K_*(\mathbb{F}_p; \mathbb{Z}/l) \cong \mathbb{Z}/l[\beta, \zeta]/\zeta^2$$

as a graded ring. Passing to the colimit gives

$$K_*(\overline{\mathbb{F}}_p; \mathbb{Z}/l) \cong \mathbb{Z}/l[\beta]$$

## Corollary

Let  $F$  be an algebraically closed field of positive characteristic  $p$ . Then,

- ① If  $n$  is even and  $n > 0$ ,  $K_n(F)$  is uniquely divisible.
- ② If  $n = 2i - 1$  is odd,  $K_{2i-1}(F)$  is the direct sum of a uniquely divisible group and the torsion group  $\mathbb{Q}/\mathbb{Z}[\frac{1}{p}]$
- ③ If  $\gcd(n, p) = 1$ , a choice of a Bott element  $\beta \in K_2(F; \mathbb{Z}/n)$  determines a graded ring isomorphism

$$K_*(F; \mathbb{Z}/n) \cong \mathbb{Z}/n[\beta]$$

## Proposition

If  $F$  is an algebraically closed field of characteristic 0 then for every  $N > 0$  the choice of a Bott element  $\beta \in K_2(F; \mathbb{Z}/n)$  determines a graded ring isomorphism

$$K_*(F; \mathbb{Z}/n) \cong \mathbb{Z}/n[\beta]$$

By Suslin Rigidity, it suffices to demonstrate one such example. The idea is to consider  $F = \overline{\mathbb{Q}_p}$ . One may write  $F \cong \varinjlim E_{p^r}$ , where  $E_{p^r}$  is the maximal algebraic extension of  $\mathbb{Q}_p$  in  $F$  with residue field  $\mathbb{F}_{p^r}$ . One then applies Gabber Rigidity to show that

$$K_*(E_{p^r}; \mathbb{Z}/n) \cong \mathbb{Z}/n[\beta]$$

and take the colimit.

# Final Thoughts

- $K$ -theory of  $\mathbb{R}()$
- $K$ -theory of local/global fields.
- Relation with étale/motivic cohomology.