

MATH 624 Algebraic Geometry

David Zhu

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1 Prevarieties and Varieties

We will assume that $K|k$ a finite extension, K is algebraically closed. We will use $\mathbb{A}^n(K) = K^n = \mathbb{A}_K^n$ to denote the underlying set, not the n -dimensional affine space. Given a point $a = (a_1, \dots, a_n) \in \mathbb{A}_k^n$, we will use φ_a to denote the evaluation map $k[X] \rightarrow k$. Similarly, given $f \in k[x]$, we have the evaluation map $\tilde{f} : \mathbb{A}_k \rightarrow k$. This gives a morphism of k -algebras $k[x] \rightarrow \text{Maps}_k(\mathbb{A}_k, k)$ given by $f \mapsto \tilde{f}$.

Definition 1.0.1. Given $\Sigma \subset k[x]$, define $V(\Sigma) = \{a \in \mathbb{A}_k : f(a) = 0 \text{ for every } f \in \Sigma\}$. This is called the affine k -algebraic set defined by Σ . If $\Sigma = \{f\}$, then $H_f := V(\Sigma) = V(f)$ defines a hyperplane in \mathbb{A}_k .

Example 1.0.1. Easy examples

1. $V((0)) = \mathbb{A}_k$.
2. $V((1)) = \emptyset$
3. Let $k = \mathbb{C}$. Then, in \mathbb{A}_k^1 , $V(x^2 - 1) = \{\pm 1\}$. In \mathbb{A}_k^2 , $V(x^2 - 1) = \{(\pm 1, n) : n \in k\}$

Definition 1.0.2. Given $V \subset \mathbb{A}_k^n$, defined $I(V) = \{f \in k[x] : f(V) = 0\}$. This is called the ideal of V .

Proposition 1.0.1.

1. Let $I_\Sigma \subset k[x]$ be the ideal generated by Σ . Then, $V(\Sigma) = V(I)$.
2. There exists a finite system f_1, \dots, f_m such that $V(\Sigma) = V(f_1, \dots, f_m)$
3. If $\Sigma_1 \subset \Sigma_2$, then $V(\Sigma_1) \supset V(\Sigma_2)$
4. Given \mathfrak{a} an ideal, then $I(V(\mathfrak{a})) = \mathfrak{a}$ iff $\mathfrak{a} = \sqrt{\mathfrak{a}}$.
5. Given ideals $\mathfrak{a}, \mathfrak{b}$, then $V(\mathfrak{a}) = V(\mathfrak{b})$ iff $\sqrt{\mathfrak{a}} = \sqrt{\mathfrak{b}}$.

Definition 1.0.3. Let $\mathcal{A}_K^n := \{V \subset \mathbb{A}_K^n : V \text{ affine } k\text{-algebraic sets}\}$. Given $V \in \mathcal{A}_K^n$, let $k[V] := k[x]/I(V)$ be the affine coordinate ring generated by V .

Let $Id^{rd}(k[x])$ be the set of reduced ideals of $k[x]$. Let R_n be the set of reduced k -algebras with n -generators.

Theorem 1.1. There is a canonical bijection between the set of reduced affine k -algebras and reduced ideals of $k[x]$, given by the maps

$$R_n \rightarrow Id^{re}(k[X]) \rightarrow \mathcal{A}_K^k$$

$$k[\underline{x}] \mapsto \mathfrak{a} := \ker(k[x] \xrightarrow{f} k) \mapsto V(\mathfrak{a})$$

with f given by $x \mapsto \underline{x}$.

1.1 The Zariski Topology

Given $V \in \mathcal{A}_K^n$, there is a canonical map $K[X] \rightarrow K[V]$ given by $f \mapsto f_V$.

Proposition 1.1.1. Let $\Sigma_i \subset k[X]$, and $f \in k[X]$ be given. then

1. $V(\cup_i \Sigma_i) = \cap_i V(\Sigma_i)$
2. $V(\prod \Sigma_i) = \cup V(\Sigma_i)$
3. $V((0)) = \mathbb{A}_k^n$; $V((1)) = \emptyset$

By the proposition above, we can define the Zariski topology on \mathbb{A}_k^n

Definition 1.1.1. The Zariski topology on \mathbb{A}_k^n is given by the closed sets $V(\Sigma)$, with $\Sigma \in k[X]$. In particular, the sets $D_f := \mathbb{A}_k^n - H_f$ is an open set and forms a basis for the topology.

Note that the zariski topology on product spaces is not the product of zariski topologies. Moreover, the connectedness/irreducibility is dependent on $K|k$. A point is called a generic point of V if its closure contains V .

Example 1.1.1. If $K|k = \mathbb{C}|\mathbb{Q}$, then $V(x_1^2 - 2x_2^2)$ is connected and irreducible. If $K|k = \mathbb{C}|\mathbb{Q}[\sqrt{2}]$, then $V(x_1^2 - 2x_2^2)$ is connected but not irreducible.

Remark 1.1.1. For a topological space, X , the following are equivalent:

1. Every descending chain of closed subsets is stationary.
2. Every ascending chain of open subsets is stationary.

A topological space satisfying the above is called **Noetherian**. For example, $\text{Spec}(R)$ is Noetherian if R is Noetherian. Note that if X is Noetherian, then it is automatically quasi-compact. Moreover, there are only finitely many irreducible components and connected components of X .

Proposition 1.1.2. The following hold:

1. The Zariski topology is Noetherian on \mathbb{A}_K , therefore also on any $V \in \mathcal{A}_K^n$.
2. For every $V \in \mathcal{A}_K$, there are only finitely many irreducible components and connected components.
3. $V \in \mathcal{A}_K$ is irreducible iff $I(V)$ is a prime ideal.
4. Given $V_0 \subset V$, V_0 is irreducible iff $I_V(V_0) := I(V_0)/I(V) \in \text{Spec}(k(V))$ is minimal.
5. The connected components in $V \in \mathcal{A}_K$ correspond bijectively to the indecomposable idempotents of $k[V]$.
6. For $V \in \mathcal{A}_K$, $a \in V$ is a generic point iff the evaluation map $k[V] \rightarrow k[a]$ is an isomorphism of k -algebras.

Definition 1.1.2. Let T be a topological space, and let $V \subset T$.

1. $\dim(V) := \sup \{ \text{chain of irreducible components ending in } V : \}$
2. $\text{codim}(V) := \sup \{ \text{chain of irreducible components starting with } V \text{ and ending in } T : \}$

Note that if $V = \cup V_\alpha$, then $\dim(V) = \sup \dim(V_\alpha)$, and similarly for codimensions. Moreover, $\dim(V) = \dim(\overline{V})$.

Proposition 1.1.3. (Notions of dimension) Let $V \in \mathcal{A}_K$ be irreducible. Then, the dimension of V is the same as the krull dimension of $K[V]$.

Proposition 1.1.4. Suppose irreducible $W \subset V \in \mathcal{A}_K$. Then,

$$\dim(W) + \text{codim}_V(W) = \dim(V)$$

Proposition 1.1.5. $V \in \mathcal{A}_K$ has generic points a iff $\text{td}(K|k) \geq \dim(V) = \text{td}(k(V))$.

1.2 Base change and Rational Points

Definition 1.1.3. Suppose there is an embedding

$$\begin{array}{ccc} K & \longrightarrow & L \\ \uparrow & & \uparrow \\ k & \longrightarrow & l \end{array}$$

Then, there is a natural morphism $k[x] \rightarrow l[x]$, which induces a pushforward of ideals and a map $\mathcal{A}_K \rightarrow \mathcal{A}_L$. Take the vanishing locus of the pushforward of $I(V)$ gives the base change of V .

Remark 1.1.2. Base change does not preserve connectedness or irreducibility.

Definition 1.1.4. $V \in \mathcal{A}_K$ is called **absolutely (geometrically) irreducible** if V_l is irreducible for all field extension $l|k$. It is **geometrically connected** if V_l is connected for all $l|k$.

Proposition 1.1.6. Let $V \in \mathcal{A}_K$ be affine k -algebraic set. Then the following are equivalent:

1. V is absolutely irreducible.
2. V_{k^s} is irreducible.
3. $V_{\overline{k}}$ is irreducible.

The key observation is that $K^s[x] \rightarrow \overline{k}[X]$ is an integral extensions of domains. Therefore, we have going up and going down, and it is straightforward to show that $\text{Spec}(k^s[X]) \rightarrow \text{Spec}(\overline{k}[X])$ is a homeomorphism. Thus, we have (2) \implies (3).

To (3) \implies (1), apply the following:

Lemma 1.2. For every $V \in \mathcal{A}_K$, one has $V(\bar{k})$ is zariski dense in V . Therefore, $V_{\bar{k}}$ irreducible implies V irreducible.

The proof is exercise. The key point is that if there exists f with k -coefficients such that f vanishes on all of A

Proposition 1.2.1. Let $V \in \mathcal{A}_K$ be affine k -algebraic set. Then the following are equivalent:

1. V is geometrically connected.
2. V_{K^s} is connected.
3. $V_{\bar{k}}$ is connected.

2 The category of quasi-affine k -algebraic sets

Definition 2.0.1. A quasi-affine k -algebraic set is any zariski open subset $U \subset V$ for $V \in \mathcal{A}_K$.

The complement of hyperplanes is a basis of quasi-affine k -algebraic sets. Let $V \in \mathcal{A}_K$ be non-empty, $f \in K[V]$. Then, the evaluation map $f : V \rightarrow \mathcal{A}_K$ is continuous. Moreover, $\varphi = (f_1, \dots, f_n)$ is also continuous.

Definition 2.0.2. Let $V \in \mathcal{A}_K$ and $\mathcal{V} \subset V$ be zariski dense. Then, a functions $\varphi : \mathcal{V} \rightarrow \mathcal{A}_K$ is called regular at $x \in V$ if there exists $f_x, g_x \in k[x]$ and $\mathcal{U} \subset \mathcal{V}$ such that $g_x \neq 0$ everywhere on \mathcal{U}_x and $\varphi = \frac{f_x}{g_x}$. A function $\varphi : \mathcal{V} \rightarrow \mathcal{A}_K$ is regular if it is regular at every point in V . Let $\mathcal{O}_x := \{\varphi \in \text{Maps}(\mathcal{V}, K) : \varphi \text{ regular at } x\}$. Define an equivalence relation on \mathcal{O}_x by equivalence on any open neighborhood around x . $\mathcal{O}(V)$ is the set of regular functions on V .

Proposition 2.0.1. (rings of regular functions) We have the following:

1. $k[V] \rightarrow \hat{\mathcal{O}}(V)$ is an isomorphism of k -algebra.
2. $k[V]_f \rightarrow \hat{\mathcal{O}}(U_f)$ is an isomorphism of k -algebra.

It is helpful to remember that Zariski open sets are dense. Thus, it suffices to show that a function is zero on a basic open U_f to deduce it is globally zero.

3 Presheaves and Sheaves

Definition 3.0.1. Let \mathcal{C} be a concrete category such as **Top**, **Set**, **Ab**. Let X be a topological space with topology τ_X . Then, τ_X is naturally poset category where morphisms are inclusions. A presheaf is a contravariant functor $\mathcal{P} : \tau_X \rightarrow \mathcal{C}$.

Explicitly, \mathcal{P} is given by two data: 1. $\mathcal{P}(U) \in \text{Obj}(\mathcal{C})$ for every $U \in \tau_X$. 2. $\rho_{u', u''} : \mathcal{P}(U'') \rightarrow \mathcal{P}(U')$ for every $U' \subset U''$. The elements in the set $\mathcal{P}(U)$ are called sections above U . The image of a section under ρ is called the restriction.

Definition 3.0.2. A presheaf is a **sheaf** if it has the covering property: given an open cover of an open set $U = \cup_i U_i$, with $U_i \cap U_j := U_{i,j}$ with $s_i \in \mathcal{P}(U_i)$ such that $\rho_{U_i, U_{i,j}}(s_i) = \rho_{U_j, U_{i,j}}(s_j)$, then there exists $s \in \mathcal{P}(U)$ such that $s_i = \rho_{U, U_i}(s)$ for every U_i .

Definition 3.0.3. Suppose that limits exists in \mathcal{C} . Then $\mathcal{P}_x := \mathcal{P}(U_x)$ is called the **stalk** of \mathcal{P} at x .

Proposition 3.0.1. \mathcal{P} is a sheaf iff for every $U \in \tau_X$, the map $\varphi_U : U \rightarrow \coprod_{x \in U} \mathcal{P}_x$ is injective.

Proposition 3.0.2. For every presheaf \mathcal{P} , there is a sheafification functor $\mathcal{P} \rightarrow \mathcal{F}$ that induces isomorphism on stalks.

Definition 3.0.4. Let $f : X \rightarrow Y$ be a continuous map of topological spaces. Then,

1. Given a (pre)sheaf \mathcal{P} on X , then the **direct image** (pre)sheaf $f_*\mathcal{P}$ on Y is defined by $f_*\mathcal{P}(V) := \mathcal{P}(f^{-1}(V))$ for all $V \in \tau_Y$. In particular, the direct image sheaf is also a sheaf.
2. Given a presheaf \mathcal{P} on Y . There is an **inverse image** sheaf $f^{-1}\mathcal{P}$ on X defined by the limit:

$$f^{-1}\mathcal{P}(U) := \varprojlim_{U \subset U'} \mathcal{P}(f(U'))$$

where $U \subset U'$ and $f(U')$ is open.

Remark 3.0.1. Note that the preimage sheaf is always a preseeaf, but not necessarily a sheaf.

Definition 3.0.5. A (locally) **ringed space** is a pair (X, \mathcal{F}) , where X is a topological space and \mathcal{F} a sheaf of rings on X such that the stalks at each point is a local ring.

Definition 3.0.6. Given locally ringed spaces (X, \mathcal{F}) , (Y, \mathcal{G}) , a morphism of locally ringed space is a pair (f, f^\sharp) such that $f : X \rightarrow Y$ is continuous and $f^\sharp : \mathcal{G} \rightarrow f_*\mathcal{F}$ a morphism of sheaves.

4 Back to Varieties

Proposition 4.0.1. Let V be an affine k -algebraic set, $U \subset V$ zariski open.

1. The assignment $\tau_U, U' \mapsto \tilde{\mathcal{O}}(U')$ defined a locally ringed space on U .
2. A morphism of quasi-affine algebraic set $T \rightarrow U$ is any morphism of locally ringed spaces $(f, f^\sharp) : (T, \mathcal{O}_T) \rightarrow (U, \mathcal{O}_U)$

The checks are fulfilled by proposition 2.0.1.

Proposition 4.0.2. Let $(T, \mathcal{O}_T), (U, \mathcal{O}_U)$, and $\Phi : T \rightarrow U$ continuous. Then,

1. Φ defined a morphism of locally ringed spaces iff $\mathcal{O}_U \circ \varphi \subset \mathcal{O}_T$, i.e for every U and T' open such that $\Phi(T') \subset U$ and $\varphi \in \mathcal{O}_U(U')$, then $\varphi \circ \Phi \in \mathcal{O}_T(T')$.
2. Suppose Φ defines such a morphism, and let $U \subset \mathbb{A}_K^n$, $p : \mathbb{A}_K^n \rightarrow K$ the i th projection, then $p_i|_U \circ \Phi$ completely determines Φ .

Remark 4.0.1. Let $U_f := \{x \in V | f(x) \neq 0 : \}$ be a basic open. Consider $W_f \subset \mathbb{A}_K^n$ defined by $W_f := \{(a, b) | a \in \mathbb{A}_K^n, b \in \mathbb{A}_K^1 : f(a)b - 1 = 0\}$ is an algebraic set in \mathbb{A}_K^{n+1} . Prove that $\Phi : W_f \rightarrow U_f$ given by $(a, b) \mapsto a$ is an isomorphism of quasi affine k -algebraic sets. Then inverse is given by $\psi : U_f \rightarrow W_f$ given by $a \mapsto (a, \frac{1}{f(a)})$.

Proposition 4.0.3. Every quasi-affine k -algebraic set contains a n zariski dense k -algebraic set.

Definition 4.0.1. A quasi-affine k -algebraic set is called affine if it is isomorphic as a locally ringed space to an affine k -algebraic set.

Theorem 4.1. The following hold:

1. The catgeory of K -valued affine k -algebraic sets, \mathcal{A}_k , is anti-equivalent to the category of reduced k -algebras of finite type. In particular, a k -algebraic set $V \subset \mathcal{A}_K$ is mapped to $k[V]$. Note that the projection maps $V \rightarrow W \rightarrow \mathcal{A}_k$ defined a regular function on V , and by proposition 4.0.2 determined the morphism of the algebraic set. There is a canonical map from the ring of regular functions on V to the coordinate ring $k[V]$ by proposition 2.0.1.
2. Let U be a quasi-affine k -algebraic set, W and affine k -algebraic set. Then, a morphism $\Phi : U \rightarrow W$ is determined by a map $\Phi^* : k[W] \rightarrow \tilde{\mathcal{O}}(U)$.

Definition 4.1.1. $\mathcal{A}_k^n := (\mathcal{A}_K^n, \tilde{\mathcal{O}}_{\mathcal{A}_K^n})$ is called the n-dimensional affine sapce.

Definition 4.1.2. An open immersion of quasi-affine k -algebraic setd $j : U \rightarrow T$ is any k -morphism which is a zariksi open immersion and $\tilde{\mathcal{O}}_U = \tilde{\mathcal{O}}_T \circ j$

Definition 4.1.3. A closed immersion of quasi-affine k -algebraic sets $i : U \rightarrow T$ is a topological closed immersion and $i_* \mathcal{O}_U$ is a factor sheaf of \mathcal{O}_T . In other words, the map $\Phi^* : \tilde{\mathcal{O}}_T(T') \rightarrow \tilde{\mathcal{O}}_U(U')$ is surjective.

Definition 4.1.4. A k -prevariety is any quasi-compact locally ringed space X that is locally isomorphic to K -valued affine k - algebraic sets. Locally isomorphic here means that there exists an finite open cover $X = \cup X_\alpha$ and isomorphism of locally ringed spaces $\varphi_\alpha : X_\alpha \rightarrow V_\alpha$, where V_α is affine k -algebraic set. Moreover, the transition maps are isomorphisms of quasi-affine k -algebraic sets.

Remark 4.1.1. A **k -morphism** of k -prevarieties is a morphism of locally ringed spaces, such that there exists $X = \cup X_\alpha, Y = \cup Y_\alpha$ and $f(X_\alpha) \subset Y_\alpha$, and the structure maps induce a map of affine k -algebraic sets.

Definition 4.1.5. Let $f : X \rightarrow Y$ be a k -morphism of k -prevarieties. Then,

1. f is an open immersion iff f induced structure maps is an open immersions of affine k -algebraic sets.
2. f is a closed immersion iff f induced structure maps is a closed immersions of affine k -algebraic sets.
3. X is called affine if it is isomorphic as a k -prevariety to an affine k -algebraic set.
4. X is called quasi-affine if there is an open immersion into a affine k -prevariety.

Proposition 4.1.1. (Glueing datat for k -prevarieties and k -morphisms)

1. (X_i) be a finite set of k -prevarieties.
2. $X_{ij} \subset X_i$ open for every i, j
3. $\varphi_{ij} : X_{ij} \rightarrow X_{ji}$ a k -isomorphism such that $\varphi_{ii} = Id$, $\varphi_{ij} = \varphi_{ji}^{-1}$ and $\varphi_{ij} \circ \varphi_{jk} = \varphi_{ik}$.
4. A solution is $X = \cup X'_i$ and k -isomorphisms $X'_i \rightarrow X_i$

Remark 4.1.2. The solution is unique up to k -isomorphism.