

# Teichmüller Space and the Moduli of Complex Curves

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## 1 Teichmüller Space

### 1.1 Definition

Let  $S$  be a surface with  $\chi(S) < 0$ . (The reason for this assumption is that now  $S$  admits a hyperbolic metric) By a **hyperbolic structure** on  $S$  we mean a diffeomorphism  $S \rightarrow X$ , where  $X$  is a hyperbolic surface with finite volume and a totally geodesic boundary. The pair  $(X, \phi)$  will be called a marked hyperbolic surface, with  $\phi$  the marking. Two hyperbolic structures are homotopic if there is an isometry on the  $X$ 's, mapping one marking to the same homotopy class of the other. Then define  $Teich(S)$  to be the set of hyperbolic structures modulo homotopy.

The purpose of this definition is that we can pullback the hyperbolic metrics on the  $X$ 's along the markings to give  $S$  hyperbolic metric. Thus equivalently, we can define  $Teich(S)$  to be the set of hyperbolic metrics on  $S$  modulo pullback via diffeomorphisms of  $S$  to itself.

There is a change of marking: let  $(X, \phi)$  and  $(Y, \psi)$  be two hyperbolic structures, then they give a bijection from  $Homeo(S)$  to  $Homeo(X, Y)$ , given by  $f \mapsto \psi f \phi^{-1}$ .

### 1.2 Length Functions

Let  $\mathcal{X} \in Teich(S)$  be a representative of an isotopy class of hyperbolic structures. Let  $\mathcal{S}$  denote the isotopy classes of simple closed curves in  $S$ . Then define the length function  $\ell_{\mathcal{X}}$  on  $\mathcal{S}$ , sending a curve  $c \in \mathcal{S}$  to the length of the unique geodesic in the isotopy class of  $\phi(c)$ . (uniqueness is shown in Proposition 1.3. The rough idea is that the universal cover of  $S$ , since it is a simply connected hyperbolic surface as well, is necessarily isometric to  $\mathbb{H}^2$  with the metric  $\frac{dx^2 + dy^2}{y^2}$ , and any geodesic in  $\mathbb{H}^2$  is determined by where it meets  $\partial\mathbb{H}^2$ ).

### 1.3 $Teich(\mathbb{T}^2)$

Recall that our definition only works for surfaces of negative Euler characteristic. Now  $\chi(\mathbb{T}^2) = 0$ , and we define  $Teich(\mathbb{T}^2)$  to be the set of unit-area flat metrics on  $\mathbb{T}^2$ . Equivalently, in the definition we can replace this by homotopy classes of  $(X, \phi)$ , where instead of a hyperbolic surface,  $X$  is now a surface with a flat metric. We will prove that  $Teich(\mathbb{T}^2) \simeq_{\text{Set}} \mathbb{H}^2$ . Then  $Teich(\mathbb{T}^2)$  can be given a topology by declaring the bijection to be a homeomorphism.

A quick explanation is as follows (slightly different from Farb-Margalit): Firstly, we notice that  $Teich(\mathbb{T}^2)$  is in bijection with orientation-preserving isomorphisms of  $\mathbb{R}^2$  modulo dilation or rotation. This is because maps between flat metrics on  $\mathbb{T}^2$  correspond to lattices in  $\mathbb{R}^2$  modulo homothety and rotation, as the two basis vectors of the lattices (forming its fundamental domain) can be taken to be the orthonormal basis under a metric. Equivalently these correspond to orientation-preserving automorphisms of  $\mathbb{R}^2$  modulo dilation and rotation. The flat metrics are the same if  $A$  is a rotation or dilation. Alternatively, we can say that the flat metrics on  $\mathbb{T}^2$  correspond to lattices in  $\mathbb{R}^2$  modulo homothety and rotation. Now consider the Iwasawa decomposition (in the theory of Shimura varieties, this is called the horospherical decomposition)  $GL_2^+(\mathbb{R}) = \mathbb{R}^+ \cdot SL_2(\mathbb{R}) = \mathbb{R}^+ \cdot (KAN)$ , with  $K = SO_2(\mathbb{R})$ ,  $A = \{diag(r, r^{-1}) : r > 0\}$  and  $N$  the unipotent subgroup  $\left\{ \begin{bmatrix} 1 & x \\ 0 & 1 \end{bmatrix} : x \in \mathbb{R} \right\}$ . It is then clear that  $AN$  is in bijection with  $\mathbb{H}^2$ .

Another explanation is as follows: giving a metric structure and a symplectic structure (the area form is a natural symplectic form on  $\mathbb{T}^2$ ) is equivalent to giving  $\mathbb{T}^2$  a complex structure. Then  $\mathbb{C}/\Gamma$ , with  $\Gamma$  a lattice, can always be uniformized into  $\mathbb{C}/\mathbb{Z} + \tau\mathbb{Z}$ , with  $\tau \in \mathbb{H}^2$ .

## 1.4 Teichmüller Spaces of Curves of Higher Genus

### 1.4.1 $Teich(S_g)$ as a set

We first recall that  $Isom(\mathbb{H}^2) \simeq PGL_2(\mathbb{R})$  and  $Isom^+(\mathbb{H}^2) \simeq PSL_2(\mathbb{R})$ . Denote  $DF(\pi_1(S_g), PSL_2(\mathbb{R}))$  the set of faithful representations of  $\pi_1(S_g)$  on  $PSL_2(\mathbb{R})$  with discrete image.  $Isom(\mathbb{H}^2)$  acts on it via conjugation. Then we have the bijection

$$Teich(S_g) \leftrightarrow DF(\pi_1(S_g), PSL_2(\mathbb{R})) / PGL_2(\mathbb{R})$$

Here is the rough explanation: let  $(X, \phi)$  be a representative in  $Teich(S_g)$ . Then  $\tilde{X}$  is isometric to  $\mathbb{H}^2$ . Now  $\phi$  gives an isomorphism from  $\pi_1(S_g)$  to  $\pi_1(X)$ , and they act by deck transformations. This gives a faithful  $\rho : \pi_1(S_g) \rightarrow PSL_2(\mathbb{R})$ . Note that changing the isometry  $\tilde{X} \rightarrow \mathbb{H}^2$  amounts to conjugating  $\rho$ . This also does not depend on the representative chosen for  $[(X, \phi)]$ , since changing  $\phi$  by isotopy lifts to an isometry of  $\mathbb{H}^2$  to itself, where points move a finite distance.

Thus points of  $\partial\mathbb{H}^2$  will stay fixed, and then we note that isometries of  $\mathbb{H}^2$  are determined by their actions on  $\partial\mathbb{H}^2$ .

On the other hand, for any  $\rho \in DF(\pi_1(S_g), PSL_2(\mathbb{R}))$ , it induces a covering space action on  $\mathbb{H}^2$ . Then let  $X = \mathbb{H}^2/\rho(\pi_1(S_g))$ , and we know that  $X$  is diffeomorphic to  $S_g$ . Note that  $\rho$  induces an isomorphism from  $\pi_1(S_g)$  to  $\pi_1(X)$ . To get a diffeomorphism from  $S_g$  to  $X$ , we use the following arguments: notice that  $S_g$  and  $X$  are  $K(\pi_1(S_g), 1)$ -spaces, so homotopy equivalences between them is unique (modulo homotopy of homotopy equivalences.) Now every homotopy equivalence on a closed surface is homotopic to a homeomorphism, and for closed surfaces every homeomorphism is homotopic to a diffeomorphism. This gives the marking.

#### 1.4.2 Algebraic Topology on $Teich(S_g)$

The so-called algebraic topology of  $Teich(S_g)$  is by identifying  $DF(\pi_1(S_g), PSL_2(\mathbb{R}))$  as a subset of  $Hom(\pi_1(S_g), PSL_2(\mathbb{R}))$ . Since closed surfaces have a unique smooth structure, that homeomorphism is homotopic to a diffeomorphism), which has the compact open topology. Then give  $Teich(S_g)$  the quotient topology.

Now we have the following proposition:

**Proposition 1.1.** *Let  $\gamma \in \pi_1(S_g)$ . Then the evaluation-of-trace-on- $\gamma$  function on  $DF(\pi_1(S_g), PSL_2(\mathbb{R}))/PGL_2(\mathbb{R})$  is a continuous function. If for  $\mathcal{X} \in Teich(S_g)$ ,  $\rho_{\mathcal{X}}$  is the corresponding representation, then  $\ell_{\mathcal{X}}(\gamma) = 2 \cosh^{-1}(tr(\rho_{\mathcal{X}}(\gamma)/2)$ . Specifically we know that  $\ell_{\mathcal{X}}$  is continuous on  $Teich(S_g)$ .*

Here is a rough sketch of where the formula comes from. Let  $A \in Isom^+(\mathbb{H}^2) = PSL_2(\mathbb{R})$ . Then let  $d(A) = \inf_{x \in \mathbb{H}^2} d(x, Ax)$ . If  $A$  is elliptic/parabolic, then  $d(A) = 0$ . If  $A$  is hyperbolic, then  $d(A)$  is given by the expression in the proposition, with trace of  $A$  being the absolute value. Now every  $\rho(\gamma)$  is hyperbolic, since if it has an elliptic point, then that point is of finite order. By faithfulness of  $\rho$ , there is an element of  $\pi_1(S_g)$  that has finite order, impossible. On the other hand, if it were parabolic, then  $\mathbb{H}^2/Im(\rho)$ , with  $Im(\rho)$  the image of  $\rho \leq PSL_2(\mathbb{R})$  would have a cusp, so it would not be compact. In  $\mathbb{H}^2$ , the set of points satisfying  $d(\rho(\gamma))$  is the unique geodesic in  $\mathbb{H}^2$  that is fixed by translation by  $\rho(\gamma)$ ;  $\rho(\gamma)$  acts on it via translation by  $d(\rho(\gamma))$ , and this geodesic projects down on  $S_g$  as the unique geodesic in the free homotopy class of  $\gamma$ .

#### 1.4.3 Metric Topology on $Teich(S_g)$

By the Riemann uniformization theorem, any Riemann surface of  $g \geq 2$  is biholomorphic to  $\Delta/\Gamma$ , with  $\Delta$  the unit disc and  $\Gamma$  a group of biholomorphic automorphisms of  $\Delta$ . Any biholomorphic automorphism of  $\Delta$  takes the form  $z \mapsto e^{i\theta} \frac{z-a}{1-\bar{a}z}$ , for  $a \in \Delta$ , so by computation it preserves the hyperbolic metric on the Poincare disc. Thus  $\Delta/\Gamma$  has an induced hyperbolic structure. Thus

we have the bijection between isomorphism (biholomorphic homeomorphism) classes of Riemann surfaces homeomorphic to  $S_g$  and the isometry classes of hyperbolic surfaces homeomorphic to  $S_g$ . Thus  $Teich(S_g)$  can be identified with the set of conformal classes of Riemannian metrics on  $S_g$ .

Let  $f : \mathbb{C} \rightarrow \mathbb{C}$ , with  $df = f_z dz + f_{\bar{z}} d\bar{z}$ . If  $f$  is an orientation-preserving homeomorphism that has only finitely many non-smooth points, then define  $K_f(p) = \frac{1+|\mu_f(p)|}{1-|\mu_f(p)|}$ , where  $\mu_f = f_z/f_{\bar{z}}$ . Note that  $\log(K_f(p))/2$  is the distance between  $\mu_f(p)$  and 0 in the Poincaré disc model for  $\mathbb{H}^2$ . It can be interpreted as follows:  $df$  maps a circle in  $T_p\mathbb{R}^2$  to an ellipse in  $T_{f(p)}\mathbb{R}^2$ , and  $K_f(p)$  is the ratio between the lengths of the two axes. Say  $f$  is quasiconformal if  $K_f = \sup_p K_f(p) < \infty$ . Now define the Teichmüller distance on  $Teich(S_g)$  as follows:  $d_{Teich}(\mathcal{X}, \mathcal{Y}) = \frac{1}{2} \log K_h$ , where  $h$  is the unique diffeomorphism from  $X$  to  $Y$  that is quasiconformal, and such that  $K_h$  is minimal (existence proved by Teichmüller). It can actually be shown that  $d_{Teich}$  gives a metric topology on  $Teich(S_g)$ .

#### 1.4.4 Dimension of $Teich(S_g)$

Here is a heuristic argument that  $\dim_{\mathbb{R}} Teich(S_g) = 3g - 3$ . Firstly, note that  $\dim_{\mathbb{R}} PGL_2(\mathbb{R}) = 3$ , so the dimension of the Teichmüller space should be  $\dim_{\mathbb{R}} DF(\pi_1(S_g), PSL_2(\mathbb{R}))$ . Now  $\pi_1(S_g) = \langle r_1, \dots, r_{2g} | [r_1, r_2] \cdots [r_{2g-1}, r_{2g}] = I \rangle$ . So choosing elements  $\rho(r_i) \in PSL_2(\mathbb{R})$  each adds dimension 3, and there is one relation, so it reduces the dimension by 3.

The formal argument is called the Fenchel-Nielsen Homeomorphism, which states that  $DF(\pi_1(S_g), PSL_2(\mathbb{R}))$  is homeomorphic to  $\mathbb{R}_+^{3g-3} \times \mathbb{R}^{3g-3}$ , with the first  $3g - 3$  coordinates coming from the following fact:  $S_g$  can be seen as  $g - 1$  pairs of pants ( $S_{0,3}$  with 3 boundary components) glued along simple closed curves. The Teichmüller Space of a pair of pants is homeomorphic to  $\mathbb{R}_+^3$ ,  $\mathcal{X} \mapsto (\ell_{\mathcal{X}}(a_1), \ell_{\mathcal{X}}(a_2), \ell_{\mathcal{X}}(a_3))$ , with  $a_1, a_2, a_3$  being the boundary components. Then the other  $3g - 3$  coordinates provide information about the simple closed curves where the pairs of pants glue.

## 2 Topological construction of $\mathcal{M}_g$

We will construct  $\mathcal{M}_g$ , the coarse moduli space of genus- $g$  Riemann surfaces.

### 2.1 The construction

Note that since Riemann surfaces are symplectic (with the area form being symplectic),  $Teich(S_g)$  can be understood equivalently as the set of complex structures of  $S_g$ . Now  $Mod(S_g)$  acts on  $Teich(S_g)$  by  $f \cdot [(\phi, X)] = [(\phi \circ f^{-1}, X)]$ . Then define  $\mathcal{M}_g = Teich(\mathbb{T}_g)/Mod(\mathbb{T}_g)$ . A result of Mumford says that  $\forall r > 0$ ,  $\{X; \inf_{\gamma \text{ s.c.c}} \ell_X(\gamma) \geq r\}$  is a compact subset of  $\mathcal{M}_g$ .