



**KTH Computer Science  
and Communication**

# **A study of Sudoku solving algorithms**

PATRIK BERGGREN, DAVID NILSSON

Bachelor's Thesis at NADA  
Supervisor: Alexander Baltatzis  
Examiner: Mårten Björkman

TRITA xxx yyyy-nn



# Abstract

This is a bachelor thesis that studies and compare four different Sudoku solving algorithms. Those algorithms are rule-based, backtrack, and Boltzmann machine. The comparison consisted of measuring the algorithms, including variations of the algorithms, against a database of 49 151 different 17-clue Sudoku puzzles. The results show that ...

# Referat

## En studie om Sudokulösningsalgorithmer

TODO:

## **Statement of collaboration**

# Contents

Statement of collaboration . . . . .	
<b>1 Introduction</b>	<b>1</b>
1.1 Problem specification . . . . .	1
1.2 Scope . . . . .	1
1.3 Purpose . . . . .	2
1.3.1 Definitions . . . . .	2
<b>2 Background</b>	<b>3</b>
2.1 Sudoku fundamentals . . . . .	3
2.2 Computational perspective . . . . .	3
2.3 Evaluated algorithms . . . . .	4
2.3.1 Backtrack . . . . .	5
2.3.2 Rule-based . . . . .	5
2.3.3 Boltzmann machine . . . . .	6
<b>3 Method</b>	<b>9</b>
3.1 Test setup . . . . .	9
3.2 Comparison Methods . . . . .	10
3.2.1 Solving . . . . .	10
3.2.2 Puzzle difficulty . . . . .	10
3.2.3 Generation and parallelization . . . . .	10
3.3 Benchmark puzzles . . . . .	11
3.4 Statistical analysis . . . . .	11
3.4.1 Statistical tests . . . . .	12
3.4.2 Computational constraints . . . . .	13
3.5 Benchmark puzzles . . . . .	13
<b>4 Analysis</b>	<b>15</b>
4.1 Time distributions . . . . .	15
4.1.1 Rule-based solver . . . . .	15
4.1.2 Backtrack solver . . . . .	17
4.1.3 Boltzmann machine solver . . . . .	21
4.2 Comparison . . . . .	22

4.3	Puzzle difficulty . . . . .	23
4.4	Generation and parallelization . . . . .	23
<b>5</b>	<b>Conclusion</b>	<b>25</b>
<b>6</b>	<b>References</b>	<b>27</b>
	<b>Bibliography</b>	<b>29</b>
	<b>Appendices</b>	<b>30</b>
<b>A</b>	<b>RDF</b>	<b>31</b>





# Chapter 1

## Introduction

Sudoku is a game that under recent years have gained popularity throughout the world. Many newspaper today contain Sudoku puzzles and there are also competitions devoted to Sudoku solving. It is therefore of interest to study how one can solve, generate and rate such puzzles by the help of computers algorithms.

### 1.1 Problem specification

There are multiple algorithms available for solving Sudoku puzzles. This report is limited to the study of three different algorithms, each demonstrating different properties and results. Primarily the focus is on solving ability, but some results regarding difficulty rating are also presented. Solving ability is studied by measuring solving times on a wide range of puzzles in order to determine the general behaviour. All results are presented with time distributions indicating more properties than only average solving time. There is also a discussion on parallelization abilities and an overlook on the area of puzzle generation. The chosen algorithms for evaluation are a backtrack, rule-based and Boltzmann machines. All algorithms with their respective implementations are further discussed in the background section.

### 1.2 Scope

As this project is quite limited in time available and in expected scope, there are several limitations on what can and will be done. These are the limitations of the project:

- Limited number of algorithms: Because of the time available is limited we have choosen to limit the number of algorithms studied to three.
- Optimization: All algorithms are implemented by ourselves and optimization is therefore an issue. We have therefore only aimed for exploring the underlying ideas of the algorithms and not the algorithms themselves. There is however the possibility that some dramatic optimization could have been done that

affect the result, and we have therefore been quite cautious about this particular issue. There is however still a lot of things that can be determined with certainty.

- Special Sudokus: There are several variations of Sudoku including different sizes of the grid. This thesis will however be limited to the study of ordinary Sudoku, which is 9 by 9 grids.
- The results of this thesis will be applicable to other areas apart from Sudoku related topics, but those will only be mentioned briefly and no extensive study regarding the use of the results in other areas will be done.

### 1.3 Purpose

As already mentioned, Sudoku is today a popular game throughout the world and it appears in multiple medias, including websites, newspapers and books. As a result, it is of interest to find effective Sudoku solving and generating algorithms. For most purposes there already exist satisfactory algorithms, and as a result one might struggle to see the use in studying Sudoku solving algorithms. There is however still some value in studying Sudoku solving algorithms as it might reveal how one can deal with harder variations of Sudoku, such as puzzles with a 16 by 16 grid. Sudoku is also, as will be discussed in section 2, an NP-Complete problem which means that it is one of many computational difficult problems. One hope of this study is to contribute to the discussion about how one can deal with such puzzles. We will as mentioned in section 1.2 not discuss how our algorithms could be used in other areas, but seen as many NP-Complete problems can frequently be transformed into others it is still plausible that our result could be valueable. Sudoku is one of those NP-complete problems [1] which briefly speaking means that

#### 1.3.1 Definitions

## Chapter 2

# Background

The background gives an introduction to Sudoku solving and the various approaches to creating efficient solvers.

### 2.1 Sudoku fundamentals

A Sudoku game consists of a 9x9 grid of numbers, each belonging to the range 1-9. Initially a subset of the grid is revealed and the goal is to fill the remaining grid with valid numbers. The grid is guarded by certain rules restricting which values that are valid insertions, with the initial subset always being valid. The three main rules are: rows and columns can only contain all 1-9 digits exactly once, which also applies to each one of the nine 3x3 subgrids [2]. In order to be regarded as a proper Sudoku puzzle it is also required that a unique solution exists, a property which can be analyzed by studying the size of the initial subset and solving for all possible solutions.

The size of the given subset, typically referred to as the number of clues, determine the difficulty of finding all grid values and thereby solving the Sudoku. Commonly the number of clues are reduced for increased difficulty with difficulty levels such as "easy", "medium" and "hard" being common ratings in newspapers. Rating puzzles is however not just that simple but requires more extensive analysis, something which has been studied (<http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.100.6263&rep=>

There is however a lower limit on the number of clues given that results in a unique solution. This limit was proven to be 17 [2], limiting the interesting number of clues to the range of 17-80.

### 2.2 Computational perspective

Sudoku solving is an research area in computer science and mathematics, with areas such as solving, puzzle difficulty rating and puzzle generation being researched [6](<http://www.springerlink.com/index/L14T86X63XQ7402T.pdf>, "Research on Construting of Sudoku Puzzles") [11].

The problem of solving  $n^2 * n^2$  Sudoku puzzles is NP-complete [1]. While being theoretically interesting as a result it has also motivated research into heuristics, resulting in a wide range of available solving methods. Some of these algorithms include backtrack [9], rule-based [4], cultural genetic with variations [6], and Boltzmann machines [5].

Given the large variety of solvers available it is interesting to group them together with similar features in mind and try to make generic statements about their performance and other aspects. One selection criteria is their underlying method of traversing the search space, in this case deterministic and stochastic methods. Deterministic solvers include backtrack and rule-based. The typical layout of these is a predetermined selection of rules and a deterministic way of traversing all possible solutions. They can be seen as performing discrete steps and at every moment some transformation is applied in a deterministic way. Stochastic solvers include genetic algorithms and Boltzmann machines. They are typically based on a different stochastic selection criteria that decides how candidate solutions are constructed and how the general search path is built up. While providing more flexibility and more a more generic approach to Sudoku solving there are weaker guarantees surrounding execution time until completion, since a solution can become apparent at any moment, but also take longer time [6].

## 2.3 Evaluated algorithms

Given the large amount of different algorithms available it is necessary to reduce the candidates, while still providing a quantitative study with broad results. With these requirements in mind, three different algorithms were chosen: backtrack, rule-based and Boltzmann machine. These represent different groups of solvers and were all possible to implement within a reasonable timeframe. A short description is given below with further in depth studies in the following subsections.

- **Backtrack:** Backtrack is probably the most basic Sudoku solving strategy for computer algorithms. It is a kind of a brute force method which tries different numbers and if it fails it backtracks and try a different number.
- **Rule-based:** This method consists of using several rules that logically proves that a square either must have a certain number or rules out numbers that are impossible (which for instance could lead to a square with only one possible number). This method is very similar to how humans solve Sudoku and the rules used is in fact derived from human solving methods.
- **Boltzmann machine:** Modeling a Sudoku by using a constraint solving artificial neural network. Puzzles are seen as constraints describing which nodes that can not be connected to each other. These constraints are encoded into weights of an artificial neural network and then solved until a valid solution appears, with active nodes indicating chosen digits.

## 2.3. EVALUATED ALGORITHMS

### 2.3.1 Backtrack

The backtracking algorithm for solving Sudoku is a brute force method. One might view it as guessing which numbers goes where. When a deadend is reached, the algorithm backtracks to a earlier guess and tries something else. This means that the backtracking algorithm does an extensive search to find a solution, which means that a solution is guaranteed to be found if enough time is provided. Even though this algorithm runs in exponential time, it is plausible to try it since it is widely thought that no polynomial time algorithms exists for NP-complete problem such as sudoku. This method may also be used to determine if a solution is unique for a puzzle as the algorithm can easily be modified to continue searching after finding one solution. As a result it could be used to generate valid Sudoku puzzles (with unique solutions), which will be discussed in section 4.4.

There are several interesting variations of this algorithm that might prove to be more or less efficient. One must at each guess decide which square to use for the guess. The most trivial method would be to take the first empty square. This might however be very inefficient since there are worst case scenarios where the first squares have very many candidates. Another approach would be to take a random square and this would avoid the above mentioned problem with worst case scenarios. There is however a better approach. When dealing with search trees one greatly benefit from having as few branches at the root of the search tree. To achieve this one shall therefore choose the square with least candidates. Note that this approach solves very easy puzzles without backtracking. That is because very easy puzzles always have one square with only one candidate (Naked single).

### 2.3.2 Rule-based

This algorithm builds on a heuristic for solving sudokus. The algorithm consists of testing a puzzle for certain rules that fills in squares or eliminates candidates. Those rules are very similar to the ones human uses when solving sudoku. The rules humans uses when solving sudoku vary slightly and there are also different levels of those rules that are normally classified as beginner, intermediate and advanced rules. The algorithm that is used in this thesis is one that implements three of those rules.

**Naked Single** This means that a square only have one candidate number.

**Hidden Single** If a row, column or box contains only one square which can hold a specific number then that number must go into that square.

**Naked pair** If a row, column or box contains two squares which each only have two specific candidates. If one such pair exists, then all occurrences of these two candidates may be removed from all squares that share a row, column or box with both of the squares in the pair. This concept can also be extended to three or more squares.

**Hidden pair** If a row, column or box contains only two squares which can hold two specific candidates, then those squares are a hidden pair. It is hidden because those squares might also include several other candidates. Since one already know which two numbers have to go into the two squares one might remove other candidates for those two squares. Those squares will now be a naked pair and one could therefore apply the rule for removal of further candidates. Similar to naked pairs this concept may also be extended to three or more squares.

**Guessing or Nishio** The solver finds an empty square and fills in one of the candidates for that square. It then continues from there and sees if the guess leads to a solution or an invalid puzzle. If an invalid puzzle comes up the solver return to the point where it made its guess and makes another guess. The reader might recognize this approach from the backtracking algorithm and it is indeed the same method. The same method for choosing which square to begin with is also used.

Before continueing the reader shall note that naked tuples and hidden tuples actually are the same rules but inversed. Consider for instance a row with five empty squares. If three of those form a naked triple the other two must form a hidden pair. The implemented rules therefore are naked single, naked tuples and guessing. Note that naked single and naked tuples are different as the naked single rule fills in numbers in squares whilst the naked tuple rule only deals with candidates for squares. The reason for choosing this rules is because none of them needs to search more than one region at once which could otherwise make the search space very big. It would however be of interest to study which rules is most effiecent, but as this thesis is not primarily about the rulebased algorithm only those rules are considered.

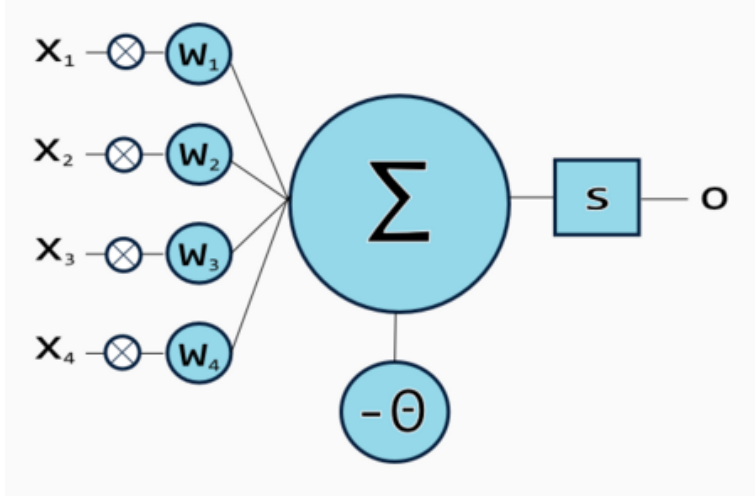
At the beginning of this section it was stated that this algorithm was built on a heuristik which is true. It is however a combination between a bruteforce method and a heuristik. This is because of the guess rule which is necessary to guarantee that the algorithm will find a solution. Without the guess rule one might end up with an unsolved puzzle where none of the other two rules are applicable. Given however that one is presented with an easy enough puzzle where no more rule than naked single and naked tuple are needed the algorithm will produce a solution in polynomial time.

### 2.3.3 Boltzmann machine

The concept of Boltzmann machines is gradually introduced by beginning with the neuron, network and finally concluding with a discussion on simulation techniques.

The central part of an artificial neural network (ANN) is the neuron, as pictured in 2.1. It decides if to fire an output signal by summing upp all inputs, tresholding the value and applying an activation function producing a binary output value.

### 2.3. EVALUATED ALGORITHMS



**Figure 2.1.** A single neuron with showing weighted inputs from other neurons, bias threshold, and activation function.

In the case of Boltzmann machines the activation function is stochastic and the probability of a neuron being active is defined as follows:

$$p_{i=on} = \frac{1}{1 + e^{-\frac{\Delta E_i}{T}}}$$

$E_i$  is the summed up energy of the whole network into neuron  $i$ , which is a fully connected to all other neurons.  $T$  is a temperature constant controlling the rate of change during several evaluations with the probability  $p_{i=on}$  during simulation.  $E_i$  is defined as follows [13]:

$$\Delta E_i = \sum_j w_{ij} s_j - \theta$$

where  $s_j$  is a binary value set if neuron  $j$  is in a active state, which occurs with probability  $p_{i=on}$ , and  $w_{ij}$  are weights between the current node and node  $j$ .  $\theta$  is a constant offset used to control the overall activation.

The state of every node and the associated weights describes the entire network and encodes the problem to be solved. In the case of Sudoku there is a need to represent all 81 grid values, each having 9 possible values. The resulting  $81 \times 9 = 729$  nodes are fully connected and have a binary state which is updated at every discrete timestep. Some of these nodes will have predetermined outputs since the initial puzzle will fix certain grid values and simplify the problem. In order to produce valid solutions it is necessary to insert weights describing known relations. This is done by inserting negative weights, making the interconnected nodes less likely to fire at the same time, resulting in reduced probability of conflicts. Negative weights are placed in rows, columns, 9x9 subgrids, and between nodes in the same square, since a single square should only contain a single active digit.

## CHAPTER 2. BACKGROUND

In order to produce a solution the network is simulated in discrete timesteps. For every step, all probabilities are evaluated and states are assigned active with the given probability. Finally the grid is checked for conflicts and no conflicts implies a valid solution, which is gathered by inspecting which nodes are in a active state.

Even though the procedure detailed above eventually will find a solution, there are enhanced techniques used in order to converge faster to a valid solution. The temperature,  $T$ , can be controlled over time and is used to adjust the rate of change in the network while still allowing larger mutations to occur. A typical scheme being used is simulated annealing (ref: <http://www.sciencemag.org/content/220/4598/671.short>). By starting off with a high temperature (typically  $T = 100$ ) and gradually decreasing the value as time progresses, it is possible to reach a global minima. Due to practical constraints it is not possible to guarantee a solution but simulated annealing provides a good foundation which was used.

There are some implications of using a one-pass temperature descent which was chosen to fit puzzles as best as possible. Typically solutions are much less likely to appear in a Boltzmann machine before the temperature has been lowered enough to a critical level. This is due to the scaling of probabilities in the activation function. At a big temperature all probabilities are more or less equal, even though the energy is vastly different. With a low temperature the exponential function will produce a wider range of values, resulting in increasing probability of ending up with less conflicts. This motivates the choice of an exponential decline in temperature over time; allowing solutions at lower temperatures to appear earlier.



## Chapter 3

# Method

Since this report have several aims, this section have been divided into different parts to clearly depict what aspects have been considered regarding the different aims. Those sections will also describe in detail how the results was generated. Section 3.1 is devoted to explaining the test setup which includes hardware specifications but also an overview picture of the setup. Section 3.2 focuses on how and what aspects of the algorithms where analysed. Section 3.3 explains the process of choosing test data. The last subsection 3.4 gives an overview of the statistical analyses which was performed on the test data. This also includes what computational limitations was present and how this effect the results.

### 3.1 Test setup

The central part of the test setup is the framework which extracts timing and test every algorithm on different puzzles. In order to provide flexibility, the test framework was implemented as a separate part, which made it possible to guarantee correct timing and also solving correctness of the algorithms. Every algorithm was called from the test framework for each puzzle in the set to be solved. All execution times were measured and logged for further analysis. Since there might be variations in processor performance and an element of randomness in stochastic algorithms, multiple tests were performed on each puzzle. Lastly when all values satisfied the given confidence intervals a single value was recorded, gradually building up the solving time distribution.

All tests were run on a system using a Intel Q9550 quad core processor @ 2.83 GHz, 4 GB of RAM running on Ubuntu 10.04 x64. Both the test framework and all solvers were compiled using GNU GCC with optimizations enabled on the *-O2* level.

## 3.2 Comparison Methods

Multiple aspects of the results was considered when analysing and comparing the algorithms. The following three subsections describes those aspects in more detail.

### 3.2.1 Solving

The solving ability of an algorithm is ofcourse the most interesting aspect. By measuring the time it takes for a sudoku to solve different puzzles one can determine which algorithms are more effective. Solving ability is often given in the form of a mean value, but since puzzles vary greatly in difficulty this misses the bigger picture. An algorithm might for instance be equally good at all puzzles and one algorithm might be really good for one special kind of puzzles while performing poorly at others. They can still have the same mean value which illustrates why that is not a good enough representation of the algorithms effectiveness. We will therefore present histograms that shows the frequency at which it solves puzzles at a certain time intervall. This does not only depict a more interesting view of the sudoku solvers performance, but also shows possible underlying features such as if the sudoku solver solves the puzzle with an already known distribution. Those topics are mostly studied for each algorithm, but will also to some extent be compared between the algorithms.

### 3.2.2 Puzzle difficulty

One can often find difficulty ratings associated to Sudoku puzzles in puzzle books etc. Those are often based on the level of human solving techniques that are needed to solve the puzzle in question. [14] This study will similarly measure the puzzles difficulty, but will not rely on which level of human solving techniques that are needed, but instead on how well each algorithm performs at solving each puzzle. The test will primarily consist of determining if certain puzzles are inherently difficult, meaning that all algorithms rate them as hard. During the implementation process it was discovered that the Boltzmann machine performed much worse than the other algorithms and could therefore not be tested on the same set of puzzles. The comparison are therefore focused on the rule-based and backtracking algorithms.

### 3.2.3 Generation and parallelization

This is a more theoretical aspect of the comparison and no tests will be done. It is however still possible to discuss how well the algorithms are suited for generating puzzle and how well they can be parallelized. Generation of puzzles is obviously interesting because that is mainly what one want to do if constructing a puzzle collection. Parallelization is however not entirely obvious why it is of interest. Normal Sudoku puzzles can be solved in a matter of milliseconds by the best Sudoku solvers and one might therefore struggle to see the need for parallelization those solvers. And truly this topic is quite irrelevant for normal Sudoku puzzles but the

### 3.3. BENCHMARK PUZZLES

discussion that will be held about the algorithms is however still of practical interest since one might want to solve  $n$  by  $n$  puzzles which can get extremely difficult fast when  $n$  grows. Since the algorithms to some extent also can be applied to other NP-complete problems, the discussion is also relevant in determining which type of algorithms might be useful in other areas.

## 3.3 Benchmark puzzles

The test data consisted of multiple puzzles that was chosen beforehand. Since the set of test puzzles can affect the outcome of this study it is appropriate to motivate the choice of puzzles. As was discovered during the study the Boltzmann machine algorithm did not perform as well as the other algorithms and some modifications to which puzzles was used was therefore done. The backtracking and rule-based algorithms was however both tested on a set of 49151 17-clue puzzles. Those was found on [12] and is claimed by the author Royle to be a collection of all 17-clue puzzles that he has been able to find on the Internet. The reason for choosing this specific database is because the generation of the puzzles does not involve a specific algorithm but is rather a collection of puzzles found by different puzzle generating algorithms. The puzzles are therefore assumed to be representative of all 17-clue puzzles. This assumption is the main motivating factor for choosing this set of puzzles, but there is however also other factors that makes this set of puzzles suitable. As recently discovered by Tugemann and Civario, no 16-clue puzzle exists which means that puzzles must contain 17 clues to have unique solutions. [2] As discussed under section 3.2.2 difficulty rating is poorly measured by the number of clues in a puzzle, but one can however see a correlation between the number of clues and the difficulty. [14] This means that the chosen set of 17-clue puzzles shall contain some of the hardest Sudoku puzzles that exists. This is ofcourse a wanted feature since one then can see how the algorithms performs at puzzles of all difficulties.

## 3.4 Statistical analysis

Due to several reasons statistical analyses is required to make a rigorous statement about the results. This is mainly due to two reasons. Firstly the results contain a very large dataset and secondly there are some randomness in the test results which can only be dealt with by using statistical models. Most statistical tests give a confidence in the results to depict how surely one can be about the results of the statistical test. Naturally a higher confidence and more precise results leads to higher requirements on the statistical test. As described in section 3.4.2 some of the statistical tests have been limited by computational constraints and a lower confidence level in combination with a more imprecise result have therefore been needed for those tests.

### 3.4.1 Statistical tests

This section explains which statistical tests and methods are used in the study. The first statistical method that is applied is to make sure that variance in processor performance does not affect the results considerable. This is done by measuring a specific algorithms solving time for a specific puzzle multiple times. The mean value of those times are then calculated and bootstrapping are used to attain a 95% confidence interval of 0.05 seconds. The reason bootstrapping is used is because it does not require the stochastic variable to be a certain distribution. This is necessary since the distribution of the processor performance is unknown and also since the distribution might vary between different puzzles.

The meanvalues are then saved as described in section 3.1. It is now that the real analyses of the algorithms begins. Even if the representation of the results does not really classify as a statistical method it is appropriate to mention that the results are displayed as histograms which means that the data are sorted and divided into bars of equal width. For this study this means each bar represents a fixed size solution time interval. The height of the bars are proportional to the frequency data points falls into that bar's time interval. After the histogram are displayed one can easily compare the results between different algorithms and also consider the distribution of the solution times of individual algorithms.

The first thing one might think of looking for is how the different algorithms compare in solving ability. This means that one want to find out if one algorithm is better than other algorithms. Since the distribution is unknown one has to rely on more general statistical tests. One of those are wilcoxon's sign test. This makes use of the fact that the difference in solving times between two algorithms will have a mean value of 0 if there is no difference between the two algorithms. The tests uses the binomial distribution to see if the sign of the difference is unevenly distributed. The null hypothesis is that the two algorithms perform equally and to attain a confidence for the result one compute the probability that one falsely rejects the null hypothesis given the test results.

Difficulty distribution among the puzzles can be seen by looking at the histograms for each algorithm. One aspect that is of interest is however if some of the puzzles are inherently difficult/easy independent on which algorithm is used for solving it. The method used for determining this is built on the fact that independent events, say A and B, must follow the following property:

$$P(A \cap B) = P(A)P(B)$$

To illustrate what this means for this thesis lets consider the following scenario. A is chosen to be the event that a puzzle is within algorithm one's worst 10% puzzles. B is similarly chosen to be the event that a puzzle is within the 10 % worst puzzles for algorithm 2. The event  $A \cap B$  shall if the algorithms are independent then have a probability of 1%. To test if this is the case one again uses the binomial distribution with the null hypothesis that the two algorithms are independent. This hypothesis is then tested in the same way as the above described method (wilcoxon's sign test).

### 3.5. BENCHMARK PUZZLES

#### 3.4.2 Computational constraints

The computational constraints of the computations done in relation to this thesis mainly originates from processor performance. This was as above described handled by running multiple tests on the same algorithm with each puzzle. The problem is that bootstrapping which was used to determine confidence levels of the measured mean value, requires a large data set to attain a high confidence level. At the same time the puzzle set was very big which required a compromise which led to a confidence interval of 0.05 seconds to a confidence level of 95%. The number of tests that was allowed for each puzzle was also limited to 100 tries. The puzzles that could not pass the requirements for the confidence interval was marked as unstable measurement.

Another problematic aspect concerning computational constraints is the running time for each algorithm. During the project it was discovered that backtracking was slow for some puzzle with 17 clues and the Boltzmann machine was discovered to be much too slow for all 17 clue puzzle. The way this was handled was by setting a runtime limit of 20 seconds for each test run for the backtracking solver. The Boltzmann machine required a more dramatic solution and the test puzzles were exchanged with ones with 51 clues instead of 17. This was quite unfortunate as this leaves some of the comparison aspects with only two algorithms.

### 3.5 Benchmark puzzles

This thesis relies highly on measuring how long it takes different algorithms to solve puzzles. To measure this, puzzles are needed and consideration shall therefore be taken to choose those puzzles wisely. The puzzles that have been chosen to test the solving capabilities of the algorithms are a collection consisting of 49 151 puzzles which each have 17 clues (meaning 17 squares are filled in). [12] Those have been collected by Gordon Royle from different sites as well as from his own database. The reason for choosing this collection is because it is believed not to be biased towards a specific solving idea. This is because it is a collection that comes from collecting as many of the 17-clue puzzles that have been found as possible rather than using a specific generation method. Another benefit of those puzzles are that all are qualitatively different, meaning no pair of puzzles is within the same equivalence class. This means that a puzzle can not be transformed into another puzzle using a certain set of transformations. The allowed transformations are those that preserve the solution. Relabelling the numbers is for instance an allowed transformation since the solution is preserved (the numbers in the solution are also relabelled). Exchanging two boxes is however not allowed since this will change the solution or even make the puzzle invalid.



## Chapter 4

# Analysis

In this section multiple results are presented together with a discussion about how the results could be interpreted. Section 4.1 is devoted to presenting how different algorithms perform. Section 4.2 show how the algorithms performs relative to the others and discusses different aspect of comparison. Section 4.3 explains how different puzzles can be correlated in terms of difficulty of solving. Section 4.4 compares the algorithms in there ability to generate puzzles and how well they are suited for parallellising.

### 4.1 Time distributions

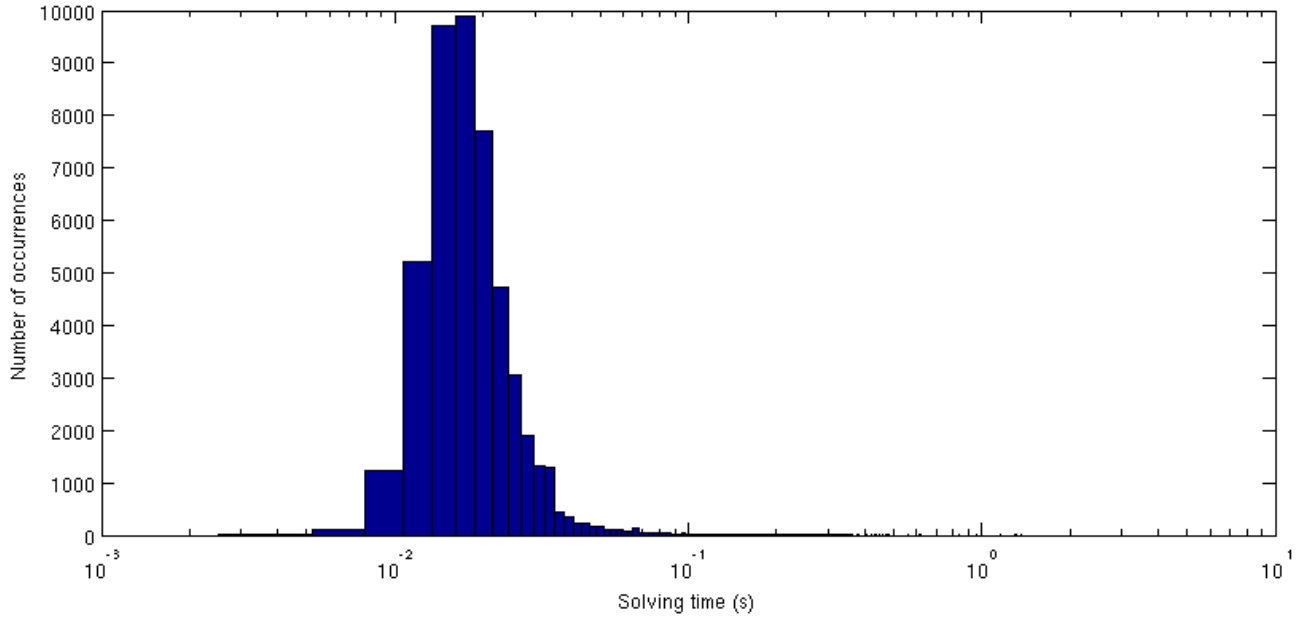
To get an idea of how each algorithm performs one can plot the solving times in a histogram. Another way of displaying the performance is to sort the solving times and plot puzzle index versus solving time. Both of those are of interest however since they can reveal different things about the algorithms performance.

#### 4.1.1 Rule-based solver

The rule-based solver was by far the fastest algorithm in the study and also had the lowest standard deviation. The result was a mean value of 0.02 seconds and a standard deviation of 0.02 seconds as well. The time distribution for the rule-based solver can be seen in figure 4.5.

The figure is a closed in view of the histogram showing the rule-based solvers time distribution with all 49151 puzzles. All puzzles were solved and all measurements were stable. The solving times was measured to be within 0.05 seconds of the recorded time with a confidence level of 95 %.

The maximum solution time was 1.36 seconds but as only very few measurements exceeded 0.2 seconds, those have been removed from the figure to make it clearer. As the figure displays, the solution times increase up to a peak at about 0.17 seconds. The solution times then drops at an approximately exponential rate. This is believed to due to the use of backtracking. The reason why backtracking is thought to result

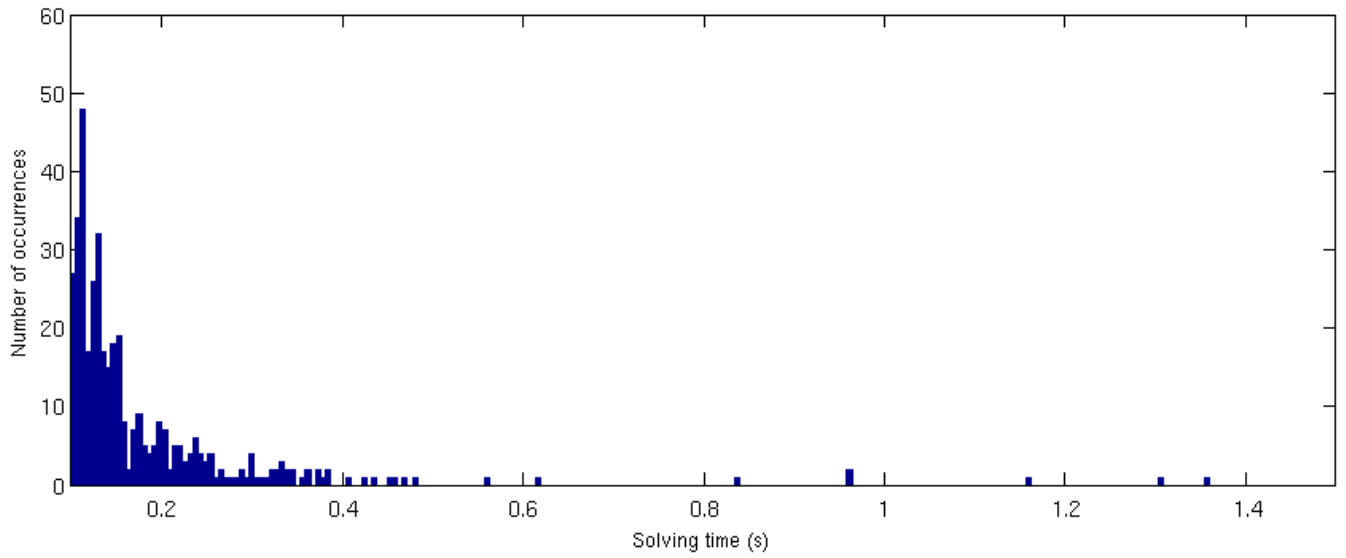


**Figure 4.1.** A zoomed in view of the histogram showing the rule-based solvers time distribution among all 49151 puzzles. All puzzles was solved and none had an unstable measurment in running time. The confidence level for the measured solving times was 95 % at an intervall of 0.05 seconds.

in this behaviour is because all search trees are believed to be equally probable and one can therefore expect to find the solution at any leaf in the search tree. This in turn leads to the classical property of memorylessness in the exponential distribution since you can not know if

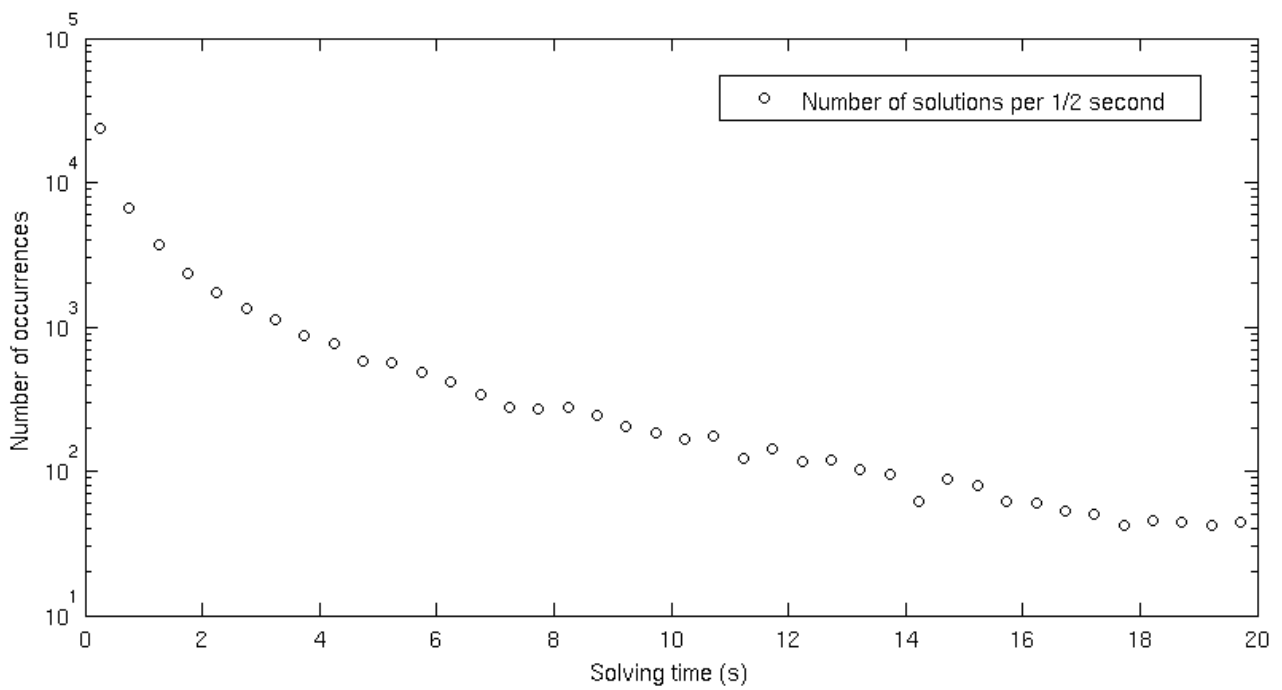


#### 4.1. TIME DISTRIBUTIONS



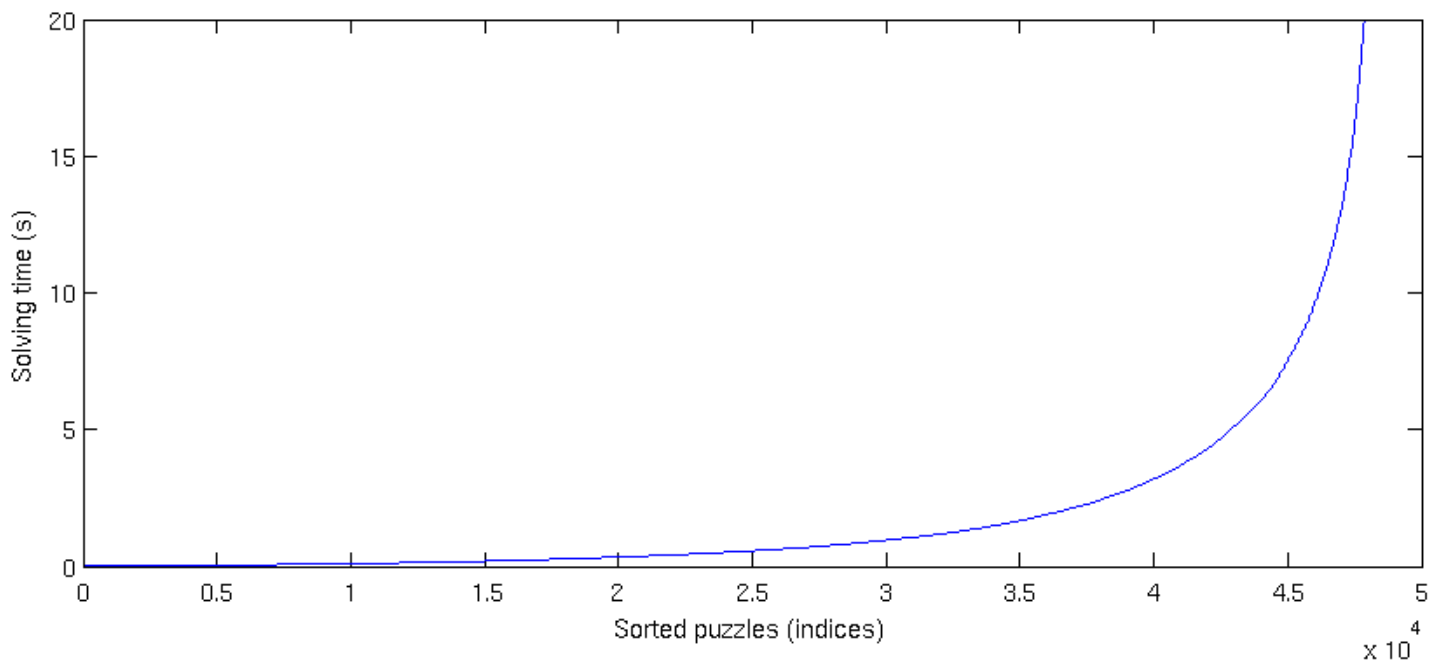
**Figure 4.2.** A zoomed in view of the histogram showing the rule-based solvers time distribution among all 49151 puzzles. All puzzles was solved and none had an unstable measurment in running time. The confidence level for the measured solving times was 95 % at an intervall of 0.05 seconds.

##### 4.1.2 Backtrack solver

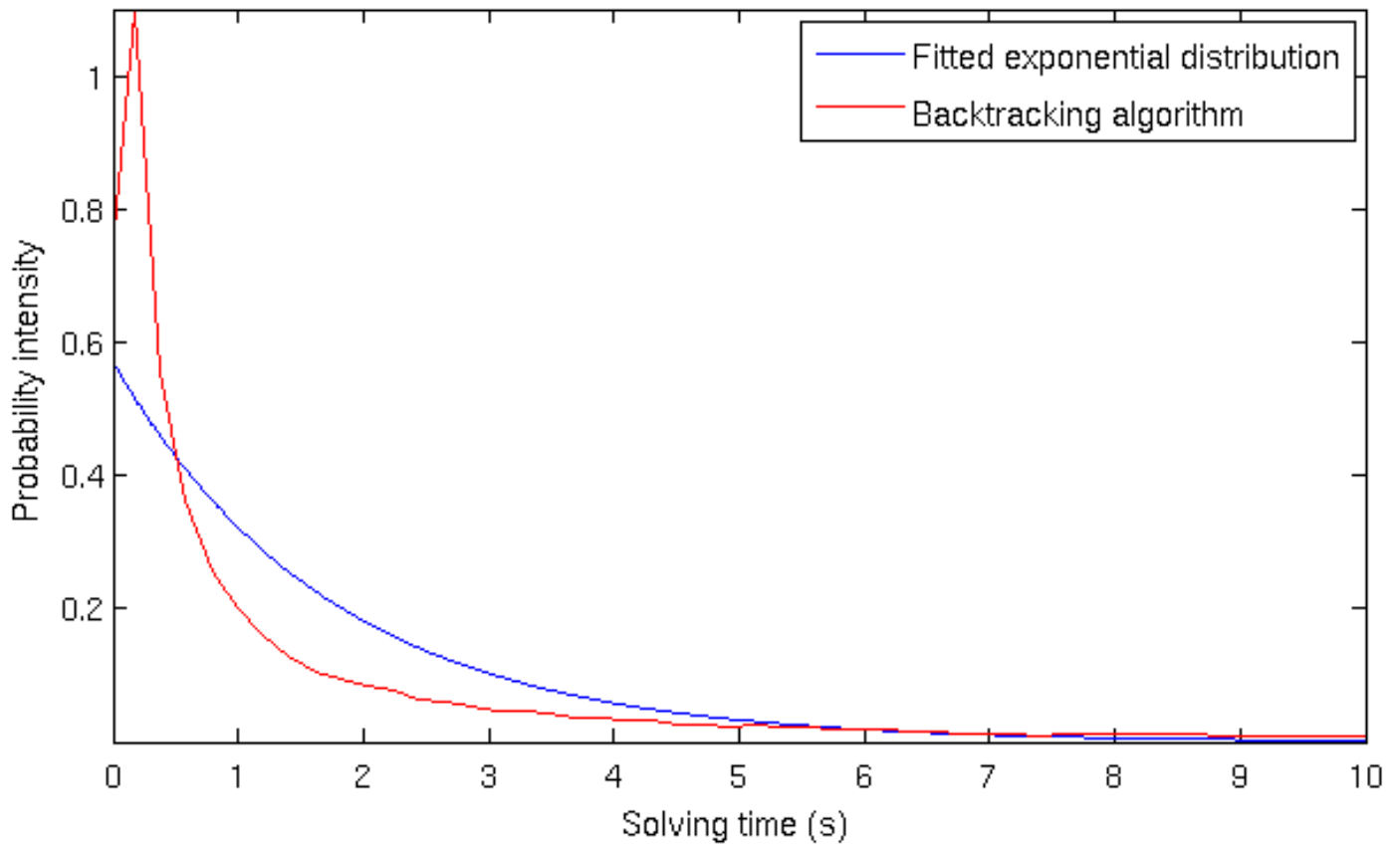


**Figure 4.3.** A zoomed in view of the histogram showing the rule-based solvers time distribution among all 49151 puzzles. All puzzles was solved and none had an unstable measurment in running time. The confidence level for the measured solving times was 95 % at an intervall of 0.05 seconds.

#### 4.1. TIME DISTRIBUTIONS



**Figure 4.4.** A zoomed in view of the histogram showing the rule-based solvers time distribution among all 49151 puzzles. All puzzles was solved and none had an unstable measurment in running time. The confidence level for the measured solving times was 95 % at an intervall of 0.05 seconds.



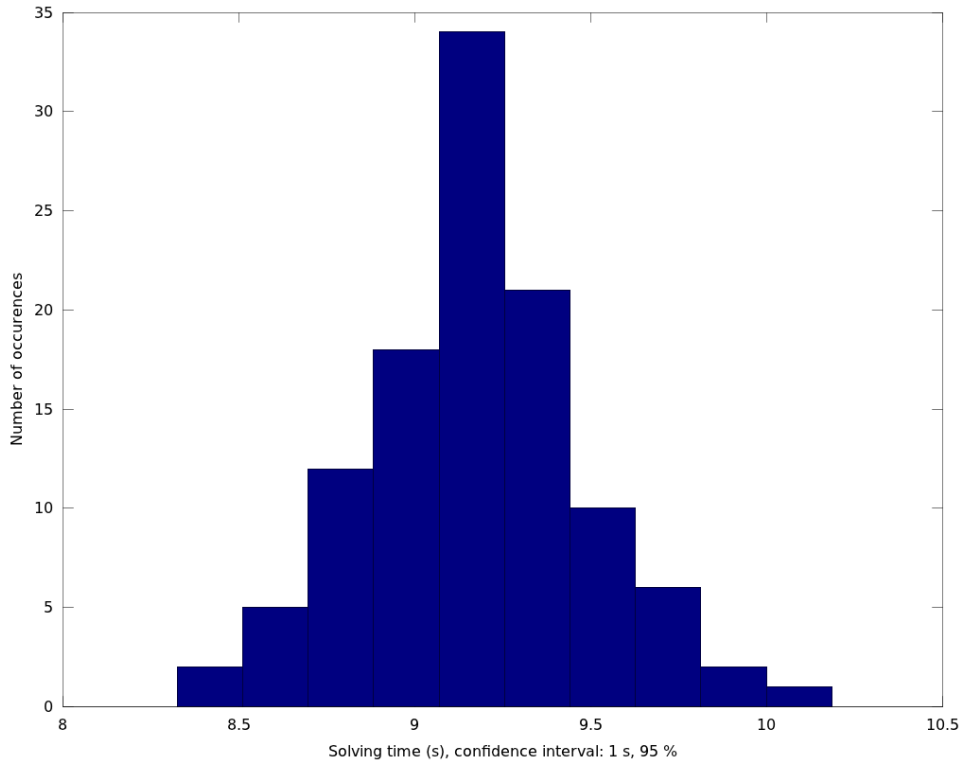
**Figure 4.5.** A zoomed in view of the histogram showing the rule-based solvers time distribution among all 49151 puzzles. All puzzles was solved and none had an unstable measurment in running time. The confidence level for the measured solving times was 95 % at an intervall of 0.05 seconds.

## 4.1. TIME DISTRIBUTIONS

### 4.1.3 Boltzmann machine solver

The Boltzmann machine solver did not perform as well as others and required to be run on puzzles of difficulty 46, in order to have reasonable execution times. Figure 4.7 shows all resulting execution times, belonging to a 95% confidence interval of 1 second. Only puzzles being solved within the strict 20 second limit are shown, representing TODO% of all tested puzzles. Given the requirement of a less strict confidence interval, due to higher variance within estimates of single puzzles, there is a higher margin of error in the results. Inspecting the resulting distribution implies that all solved puzzles are completed within a relatively small interval, with further conclusions being limited by the margin of error.

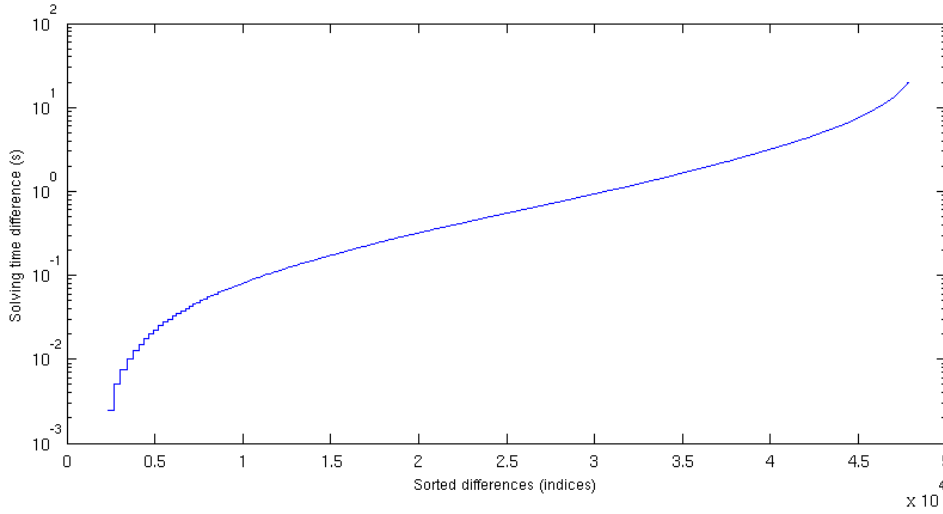
A strong reason for the big representation of solutions around TODO seconds is the general layout of the Boltzmann solver. Given that solutions are more likely to be observed at lower temperatures, as explained in the background section, it is expected to have more solutions at the end of the spectrum. The value of TODO-TODO seconds is equivalent to a temperature of about 1%, leading to a conclusion of this being a critical temperature for solutions to stabilize. After this temperature interval there were no puzzles being solved within the limit of 20 seconds.



**Figure 4.6.** Histogram showing distribution of boltzmann machine results running on TODO puzzles with 46 clues. All results belong to a 95% confidence interval of 1 s. The image only contains puzzles being solved under the 20 second limit, which were TODO% of all tested puzzles.

## 4.2 Comparison

### 4.3. PUZZLE DIFFICULTY



**Figure 4.7.** Histogram showing distribution of boltzmann machine results running on TODO puzzles with 46 clues. All results belong to a 95% confidence interval of 1 s. The image only contains puzzles being solved under the 20 second limit, which were TODO% of all tested puzzles.

## 4.3 Puzzle difficulty

Both the backtrack solver and the rule-based solver was runned on the same set of puzzles. One interesting aspect to study is to see if some of those puzzles is difficult for both algorithms or if the algorithms are independent when it comes to which puzzles they perform good/bad at. Even if the rule-based solver uses backtracking as a last resort it is not clear whetever the most difficult puzzles correlates between the two algorithms. The reason for this is because a puzzle can be very hard for the backtracking algorithm, but still trivial for the rule-based solver. This has to do with the naked tuple rule in the rule-based solver that quickly can reduce the number of candidates in each square.

To test for independence the statistical method described in section 3.4.1 is used. The measurements shows that about 20% of the worst 10% puzzles is common for the two algorithms. This hints that some puzzles indeed are inherently difficult regardless of which of the two algorithms are used. If that would not have been the case only 10% of the worst puzzles for one algorithm shall have been among the 10% worst puzzles. The statistical test also confirms this with a very high confidence level (greater than 99.9%).

## 4.4 Generation and parallelization

As already mentioned no tests was performed to measure the algorithms puzzle-generating abilities or their improvement when parallellised. Those are however

qualities that can be discussed purely theoretically. To start of with generation one has to make sure that the generated puzzle is valid and has a unique solution. Puzzles with multiple solutions are often disregarded as sudoku puzzles and are also unpractical for human solvers since one must guess during the solving process to be able to complete the puzzle.

The generation process can be implemented multiple ways, but since this thesis is about sudoku solving algorithms only this viewpoint is presented. The way one generates a puzzle is by randomly inserting numbers into an empty sudoku board and then trying to solve the puzzle. If succesfull the puzzle is valid and one would then want to find out if the solution is unique. Both the rule-based solver and the backtracking solver can do this by backtracking even thought a solution was found. This practically means that they can search the whole search tree to guarantee



## Chapter 5

# Conclusion

Three different Sudoku solvers have been studied; backtrack search, rulebased solver and Boltzmann machines. All solvers were tested using a test framework with statistically significant results being produced. They have shown to be dissimilar to each other in terms of performance and general behaviour.

Backtrack search and rulebased solvers are deterministic and form execution time distributions that conform to standard distributions, namely TODO and TODO. Their execution time was shown to have rather low variance when sampling the same puzzle repeatedly, which is believed to result from the highly deterministic behaviour.

The Boltzmann machine solver was not capable of solving harder puzzles with less clues within a reasonable timeframe. A suitable number of clues was found to be 46 with a 20 second execution time limit, resulting in vastly worse general capabilities than the other solvers. Due to stochastic behaviour, which is a central part of the Boltzmann solver, there was a relatively large variance when sampling the execution time of a single puzzle. Another important aspect of the Boltzmann is the method of temperature descent, in this case selected to be simulated annealing with a single descent. This affected the resulting distribution times in a way that makes the probability of puzzles being solved under a certain critical temperature limit high. The temperature was found to be about TODO% of the starting temperature, with very no puzzles being solved after this interval.

All results indicate that deterministic solvers based on a set of rules perform well and are capable of solving Sudokus with a low amount of clues. Boltzmann machines were found to be relatively complex and requires implementation of temperature descent and adjustment of parameters.

Future work includes studying the behaviour of Boltzmann machines in relation to the final distribution of execution times. The large variance and stochastic behaviour most likely demands a study with access to large amounts of computational power. It is also interesting to study the influence of different temperature descents used in Boltzmann machines, with restarting being a suitable alternative to endlessly decreasing temperatures.



## Chapter 6

## References



# Bibliography

- [1] Takayuki Y, Takahiro S. Complexity and Completeness of Finding Another Solution and Its Application to Puzzles. [homepage on the Internet]. No date [cited 2012 Mar 8]. Available from: The University of Tokyo, Web site: <http://www-imai.is.s.u-tokyo.ac.jp/~yato/data2/SIGAL87-2.pdf>
- [2] Tugemann B, Civario G. There is no 16-Clue Sudoku: Solving the Sudoku Minimum Number of Clues Problem. [homepage on the Internet]. 2012 [cited 2012 Mar 8]. Available from: University College Dublin, Web site: [http://www.math.ie/McGuire\\_V1.pdf](http://www.math.ie/McGuire_V1.pdf)
- [3] Felgenhauer B, Jarvis F. Enumerating possible Sudoku grids. [homepage on the Internet]. 2005 [cited 2012 Mar 8]. Available from: University of Sheffield, Web site: <http://www.afjarvis.staff.shef.ac.uk/sudoku/sudoku.pdf>
- [4] Astraware Limited. Techniques For Solving Sudoku. [homepage on the Internet]. 2008 [cited 2012 Mar 8]. Available from:, Web site: <http://www.sudokuoftheday.com/pages/techniques-overview.php>
- [5] Ekeberg. Boltzmann Machines. [homepage on the Internet]. 2012 [cited 2012 Mar 8]. Available from:, Web site: <http://www.csc.kth.se/utbildning/kth/kurser/DD2432/ann12/forelasningsanteckningar/07-boltzmann.pdf>
- [6] Marwala T. Stochastic Optimization Approaches for Solving Sudoku. [homepage on the Internet]. 2008 [cited 2012 Mar 8]. Available from:, Web site: <http://arxiv.org/abs/0805.0697>
- [7] . An Incomplete Review of Sudoku Solver Implementations. [homepage on the Internet]. 2011 [cited 2012 Mar 8]. Available from:, Web site: <http://attractivechaos.wordpress.com/2011/06/19/an-incomplete-review-of-sudoku-solver-implementations/>
- [8] Harvey W, Ginsberg M. Limited discrepancy search. [homepage on the Internet]. No date [cited 2012 Mar 13]. Available from: University of Oregon, Web site: <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.34.2426&rep=rep1&type=pdf>

## BIBLIOGRAPHY

- [9] Cazenave Cazenave T. A search based Sudoku solver. [homepage on the Internet]. No date [cited 2012 Mar 13]. Available from: Université Paris, Dept. Informatique Web site: <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.64.459&rep=rep1&type=pdf>
- [10] Mantere T, Koljonen J. Sudoku Solving with Cultural Swarms. AI and Machine Consciousness [homepage on the Internet]. 2008 [cited 2012 Mar 13]. Available from: University of Vaasa, Department of Electrical Engineering and Automation Web site: <http://www.stes.fi/step2008/proceedings/step2008proceedings.pdf#page=60>
- [11] Morrow J. Generating Sudoku Puzzles as an Inverse Problem. [homepage on the Internet]. 2008 [cited 2012 Mar 13]. Available from: University of Washington, Department of Mathematics Web site: <http://www.math.washington.edu/morrow/mcm/team2306.pdf>
- [12] Royle G. Minimum Sudoku. [homepage on the Internet]. No date [cited 2012 Mar 13]. Available from: The University of Western Australia, Web site: <http://www.math.washington.edu/morrow/mcm/team2306.pdf>
- [13] Ackley D, Hinton G. A Learning Algorithm for Boltzmann Machines. [homepage on the Internet]. 1985 [cited 2012 Mar 13]. Available from: The University of Western Australia, Web site: <http://learning.cs.toronto.edu/hinton/absps/cogscibm.pdf>
- [14] blargh

# Appendix A

## RDF

And here is a figure

**Figure A.1.** Several statements describing the same resource.

that we refer to here: A.1