

# Financial Firm ABC®

May 21, 2021

Trade Confirmation - Account # 123456789

Jane Doe  
123 Retail Customer Street  
Portland, ME 12345

Financial Firm ABC  
123 Main Street  
Portland, ME 12345  
(123) 456-7890

**Trade Confirmation - Account # 123456789**  
We are pleased to confirm the below transaction:



Trade

CUSIP 99999999 SCHOOL DISTRICT BOND Unlimited Tax General Obligation 3.25% Due 04/01/36

You bought: **15,000** Price: **100.000**

Order number: 000000001

**Trade Calculation**

|                            |             |                  |            |
|----------------------------|-------------|------------------|------------|
| Principal Amount*:         | \$15,000.00 | Trade Date:      | 05/21/2021 |
| Accrued Interest:          | \$70.42     | Trade Time       | 2:55 PM    |
| Transaction Fee:           | \$4.95      | Settlement Date: | 05/23/2021 |
| Total:                     | \$15,075.37 |                  |            |
| Bank Qualified:            | No          |                  |            |
| State:                     | ME          |                  |            |
| Bank Qualified:            | No          |                  |            |
| Dated to Date:             | 04/01/1996  |                  |            |
| Yield to Maturity:         | 3.25        |                  |            |
| Yield to Call:             | 3.25        |                  |            |
| Callable 04 / 01 / 27 @100 | Yes         |                  |            |
| Federally Tax Exempt       |             |                  |            |
| Capacity:                  | Principal   |                  |            |
| Bond Form:                 | Book Entry  |                  |            |

\*This Principal Amount includes a mark-up of \$150.00 (1.00% of the prevailing market price of the security). A mark-up is the amount you paid to Financial Firm ABC over and above the prevailing market price of the security. It typically includes compensation to your financial advisor and an additional amount that may account for Financial Firm ABC's expenses in the transaction and/or risk taken by Financial Firm ABC.

For more information about this security (including the official statement and trade and price history), visit <https://emma.msjib.org/CUSIP/999999999>.