

Introduction to Public-Key Cryptography

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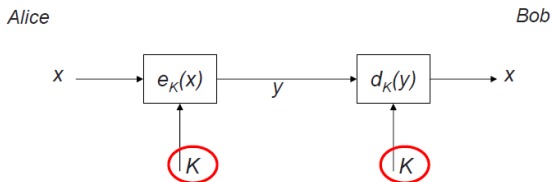
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Symmetric Cryptography revisited



Two properties of symmetric (secret-key) crypto-systems:

- The **same secret key K** is used for encryption and decryption
- Encryption and Decryption are very **similar** (or even identical) functions

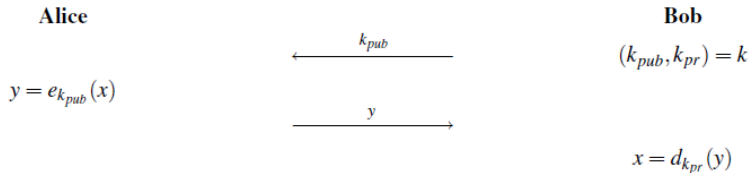
Symmetric Cryptography: Shortcomings

- 1 **Key Distribution Problem**: the secret key must be transported securely.
- 2 **Number of Keys**: n users in the network require $\frac{n(n-1)}{2}$ key pairs, each user stores $n - 1$ keys without KDC.
- 3 **No Protection Against Cheating**: Alice or Bob can cheat each other, because they have identical keys.

Public-key Cryptography: Motivation

- 1 Key Distribution Problem: No need secure channel
- 2 Number of Keys: reduce key pairs , each user only store one key.
- 3 No Protection Against Cheating: nonrepudiation.

Basic protocol for public-key encryption



- public key k_{pub} & private key k_{pr} ;
- secure depend on: easy to compute k_{pub} from k_{pr} , but hard to compute k_{pr} from k_{pub}
- Good one-way function is needed.

Public-key Cryptography: Applications

- 1 **Encryption**: such as RSA, ElGamal, ECC etc. but too slow
- 2 **Digital Signature**: RSA, DSA, ECDSA etc. perfectly no cheating
- 3 **Key-exchange**: such as DHKE, ECDHKE etc. to solve key distribution problem
- 4 **Important Public-Key Algorithms**:
 - Integer-Factorization Schemes
 - Discrete Logarithm Schemes
 - Elliptic Curve (EC) Schemes

1 Public-Key Cryptography: the idea

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Some Notation

- ▶ \mathbb{Z} : the set of integers: $\{\dots -3, -2, -1, 0, 1, 2, 3, \dots\}$
- ▶ $a \in A$: this means that a is an element of a set A
 - $2 \in \mathbb{Z}$: 2 is element of set of integers \mathbb{Z} , or just 2 is an integer
 - $\frac{4}{5} \in \mathbb{Q}$: $\frac{4}{5}$ is a rational number
- ▶ \forall : *for all* or *for every*
 - $\forall a \in \mathbb{Z} : a + 1 \in \mathbb{Z}$: for every integer a , $a+1$ is also an integer
- ▶ \exists : *there exists*
 - $\forall a \in \mathbb{Z}, \exists b \in \mathbb{Z} : a + b = 0$ means: for every integer there exists an integer that when added to that integer gives 0
- ▶ $C = A \setminus B$ (set minus): C contains elements of A that are not in B
- ▶ $\#A$: the cardinality of a set, the number of elements it has
 - $\#\{\text{January, February, } \dots, \text{December}\} = 12$

Residue classes modulo n

- ▶ In cryptography we want to work with finite sets
- ▶ One such finite set is the set of integers $\{0, 1, \dots, n-1\}$
- ▶ We can do arithmetic on them, *modulo* n
- ▶ The underlying mathematics is the theory of residue classes

One writes $\mathbb{Z}/n\mathbb{Z}$ for the set of residue classes modulo n :

$$\mathbb{Z}/n\mathbb{Z} = \{\overline{0}, \overline{1}, \overline{2}, \dots, \overline{n-1}\}$$

with $\overline{m} = \{k \mid k \equiv m \pmod{n}\}$

$\#(\mathbb{Z}/n\mathbb{Z}) = n$, We represent \overline{m} of $\mathbb{Z}/n\mathbb{Z}$ by its member in the interval $[0, n-1]$

Modular addition

- ▶ $\mathbb{Z}/n\mathbb{Z}$ represented by positive integers smaller than n including zero
- ▶ Consider addition modulo n as an operation:
 - (1) $c \leftarrow a + b$
 - (2) if $c \geq n$, $c \leftarrow c - n$
- ▶ Notation: $a + b \bmod n$ or just $a + b$
- ▶ Interesting properties
 - the result of $a + b \bmod n$ is in $\mathbb{Z}/n\mathbb{Z}$
 - $a + b \bmod n = b + a \bmod n$: the order does not matter
 - $(a + b \bmod n) + c \bmod n = (a + (b + c) \bmod n) \bmod n$: the order of execution does not matter
 - $a + 0 \bmod n = a$: adding 0 has no effect
 - $a + b \bmod n = 0$ if $b = n - a$. So for every a there is a value b so that their sum is 0

Modular multiplication

- ▶ Consider now multiplication modulo n as an operation
 - (1) $c \leftarrow a \cdot b$
 - (2) do the result modulo n : $c \leftarrow c \bmod n$
- ▶ Notation: $a \cdot b \bmod n$ or $a \times b$
- ▶ Interesting properties:
 - the result of $a \cdot b \bmod n$ is in $\mathbb{Z}/n\mathbb{Z}$
 - $a \cdot b \bmod n = b \cdot a \bmod n$: the order does not matter
 - $((a \cdot b) \bmod n \cdot c) \bmod n = (a \cdot (b \cdot c) \bmod n) \bmod n$: the order of execution does not matter
 - $a \cdot 1 \bmod n = a$: multiplying by 1 has no effect
 - $a \cdot 0 \bmod n = 0$: multiplying by 0 always gives 0
 - $a \cdot b \bmod n = 1$ if, \dots well, hmm, let's keep that for later

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Group

A group $\langle G, \circ \rangle$ has the following properties:

- 1 **closed**. That is, for all $a, b \in G$, it holds that $a \circ b = c \in G$.
- 2 **associative**. That is, $a \circ (b \circ c) = (a \circ b) \circ c$.
- 3 **neutral element (or identity element)**: $a \circ 1 = 1 \circ a = a$ for all $a \in G$.
- 4 **inverse** of a : For each $a \in G$ there exists an element $a^{-1} \in G$, such that $a \circ a^{-1} = a^{-1} \circ a = 1$.

A group G is **abelian (or commutative)** if, furthermore,
 $a \circ b = b \circ a$ for all $a, b \in G$.

Terminology: Group order

Order of a finite group $\langle G, \circ \rangle$, denoted $\#G$, is a number of elements in G

Examples of groups and non-groups

► Groups

- $\langle \mathbb{Z}, + \rangle, \langle \mathbb{Q}, + \rangle, \langle \mathbb{R}, + \rangle, \langle \mathbb{C}, + \rangle$
- $\langle \mathbb{Q} \setminus \{0\}, \cdot \rangle, \langle \mathbb{R} \setminus \{0\}, \cdot \rangle, \langle \mathbb{C} \setminus \{0\}, \cdot \rangle$

► Non-groups

- $\langle \mathbb{N}, + \rangle$: no neutral element, no inverses
- $\langle \mathbb{Z} \setminus \{0\}, \cdot \rangle$: elements without inverse
- $\langle \mathbb{Q}, \cdot \rangle$: zero has no inverse

Addition modulo n is a group

- ▶ Notation: $\langle \mathbb{Z}/n\mathbb{Z}, + \rangle$
 - the set $\langle \mathbb{Z}/n\mathbb{Z}, + \rangle$ with operation modular addition $+$
 - if operation is clear from the context, denoted as $\mathbb{Z}/n\mathbb{Z}$
- ▶ satisfies all required group properties and is abelian
- ▶ $\langle \mathbb{Z}/n\mathbb{Z}, + \rangle$ is a group of order n

Multiplication modulo n is a group?

- ▶ Notation: $\langle \mathbb{Z}/n\mathbb{Z}, \times \rangle$
- ▶ **0 has no inverse**, so $\langle \mathbb{Z}/n\mathbb{Z}, \times \rangle$ is not a group
- ▶ maybe removing **0** may fix the problem?

Multiplication table, e.g., for $n = 7$:

$\mathbb{Z}/7\mathbb{Z}$	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	1	2	3	4	5	6
2	0	2	4	6	1	3	5
3	0	3	6	2	5	1	4
4	0	4	1	5	2	6	3
5	0	5	3	1	6	4	2
6	0	6	5	4	3	2	1

Cyclic behaviour in finite groups

- ▶ Let $a \in A$ with $\langle A, \star \rangle$ a group
- ▶ Consider the sequence:
 - $i = 1 : a$
 - $i = 2 : a \star a$
 - \dots
 - $i = n : [n]a$ (additive) or a^n (multiplicative)
- ▶ In a finite group $\langle A, \star \rangle$:
 - $\forall a \in A$ this sequence is periodic
 - period of this sequence: order of a , denoted $\text{ord}(a)$

Terminology: Order of a group element

The order of a group element a , denoted $\text{ord}\langle a \rangle$, is the smallest integer $k > 0$ such that $a^k = 1$ (multiplicative) or $k[a] = 0$ (additive)

Cyclic groups and generators

- ▶ Let $g \in \langle A, \star \rangle$
- ▶ Consider the set $[0]g, [1]g, [2]g, \dots$
- ▶ This is a group, called a cyclic group, denoted: $\langle g \rangle$
 - Composition law: $[i]g + [j]g = [i + j \bmod \text{ord} \langle g \rangle]g$
 - Neutral element $[0]g$
 - Inverse of $[i]g$: $[\text{ord} \langle g \rangle - i]g$
- ▶ g is called the **generator** of this cyclic group
- ▶ Example of cyclic group $\langle \mathbb{Z}/n\mathbb{Z}, + \rangle$
 - generator: $g = 1$
 - $[i]g = i$

Subgroups

A subset B of A that is also a group (under the same operation) is called a **subgroup** of A .

- ▶ (B, \star) is a subgroup of (A, \star) if
 - B is a subset of A
 - (B, \star) is a group

Lagrange's Theorem

If (B, \star) is a subgroup of (A, \star) : $\#B$ divides $\#A$

Case of cyclic Subgroup: $\forall a \in A : \langle a \rangle$ is a subgroup of (A, \star)

Corollary (for order of elements)

For any element $a \in A$: $\text{ord}(a)$ divides $\#A$

Example of orders: $\langle \mathbb{Z}/21\mathbb{Z}, + \rangle$

- ▶ Order of $\mathbb{Z}/21\mathbb{Z}$: 21
- ▶ Order of 0: 1
- ▶ Order of 1: 21
- ▶ Order of 2: 21
- ▶ Order of 3: 7
- ▶ ...

Find the smallest i such that $i \cdot x$ is a multiple of n

Fact: order of an element in $\langle \mathbb{Z}/n\mathbb{Z}, + \rangle$

$\text{ord}(x) = n/\text{gcd}(n, x)$ with $\text{gcd}(n, x)$: the greatest common divisor of x and n

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Prime numbers and factorization

- A number is **prime** if it is divisible only by 1 and by itself
- Each number can be written in a unique way as product of primes (possibly multiple times), as in:
$$30 = 2 \cdot 3 \cdot 5 \quad 100 = 2^2 \cdot 5^5 \quad 12345 = 3 \cdot 5 \cdot 823$$
- Finding the prime number factorization is a computationally **hard problem**
 - Easy for $143 = 11 \cdot 13$ but already hard for $2021 = 43 \cdot 47$
 - Recently, factoring a 250-digit (829 bits) number $n = p \cdot q$ took 2700 Intel Xeon Gold 6130 CPU core-years (2.1GHz)
- One can base public-key cryptosystem on the hardness of factoring

Greatest common divisor

- Definition:

$\gcd(n, m)$: greatest integer k that divides both n and m

- Examples:

$$\gcd(20, 15) = 5 \quad \gcd(78, 12) = 6 \quad \gcd(15, 8) = 1$$

- Properties:

- $\gcd(n, m) = \gcd(m, n)$
- $\gcd(n, m) = \gcd(n, -m)$
- $\gcd(n, 0) = n$

Terminology: relatively prime (or coprime)

If $\gcd(n, m) = 1$, one calls n, m relatively prime or coprime

Euclidean Algorithm

Property (assume $n > m > 0$):

■ $\gcd(n, m) = \gcd(m, n \bmod m)$

This can be applied iteratively until one of arguments is 0

$$\begin{aligned}\gcd(171, 111) &= \gcd(111, 171 \bmod 111) = \gcd(111, 60) \\ &= \gcd(60, 111 \bmod 60) = \gcd(60, 51) \\ &= \gcd(51, 60 \bmod 51) = \gcd(51, 9) \\ &= \gcd(9, 51 \bmod 9) = \gcd(9, 6) \\ &= \gcd(6, 9 \bmod 6) = \gcd(6, 3) \\ &= \gcd(3, 6 \bmod 3) = \gcd(3, 0) = 3\end{aligned}$$

Variant allowing negative numbers :

$$\begin{aligned}\gcd(171, 111) &= \gcd(111, 171 \bmod 111) = \gcd(111, -51) \\ &= \gcd(51, 111 \bmod 51) = \gcd(51, 9) \\ &= \gcd(9, 51 \bmod 9) = \gcd(9, -3) \\ &= \gcd(3, 9 \bmod 3) = \gcd(3, 0) = 3\end{aligned}$$

Extended Euclidean Algorithm

The **extended** Euclidean algorithm returns a pair $x, y \in \mathbb{Z}$ with
 $n \cdot x + m \cdot y = \gcd(n, m)$

Our earlier example:

$$\begin{aligned}-51 &= 171 - 2 \cdot 111 \\ 9 &= 111 + 2 \cdot (-51) \\ 3 &= (-51) + 6 \cdot 9 \\ 0 &= (-9) + 3 \cdot 3\end{aligned}$$

And now backward substitution:

$$\begin{aligned}3 &= (-51) + 6 \cdot 9 \\ 3 &= (-51) + 6 \cdot (111 + 2 \cdot (-51)) \\ 3 &= (-51) + 6 \cdot 111 + 12 \cdot (-51) \\ 3 &= 6 \cdot 111 + 13 \cdot (-51) \\ 3 &= 6 \cdot 111 + 13 \cdot (171 - 2 \cdot 111) \\ 3 &= 6 \cdot 111 + 13 \cdot 171 - 26 \cdot 111 \\ 3 &= 13 \cdot 171 - 20 \cdot 111\end{aligned}$$

Invertibility modulo n

Invertibility criterion

m has multiplicative inverse modulo n (i.e., in $\mathbb{Z}/n\mathbb{Z}$) iff $\gcd(m,n) = 1$

Note: you can compute inverse with extended Euclidean algorithm!

Corollary

For p a prime, every non-zero $m \in \mathbb{Z}/p\mathbb{Z}$ has an inverse.

Euler's Phi Function

how many numbers in \mathbb{Z}_m are relatively prime to m ?

Euler's Phi Function

The number of integers in \mathbb{Z}_m relatively prime to m is denoted by $\Phi(m)$.

Example 1

Let $m = 6$. The associated set is $\mathbb{Z}_6 = \{0, 1, 2, 3, 4, 5\}$. then $\Phi(6) = ?$

Example 2

Let $m = 5$. The associated set is $\mathbb{Z}_5 = \{0, 1, 2, 3, 4, \}$. then $\Phi(5) = ?$

Euler's Phi Function

Theorem 3

Let m have the following canonical factorization

$$m = p_1^{e_1} \cdot p_2^{e_2} \cdots p_n^{e_n},$$

where the p_i are distinct prime numbers and e_i are positive integers, then

$$\Phi(m) = \prod_{i=1}^n (p_i^{e_i} - p_i^{e_i-1})$$

Example 4

Let $m = 240$, so $\Phi(m) = ?$

Fermat's Little Theorem

Theorem 5 (Fermat's Little Theorem)

Let a be an integer and p be a prime, then:

$$a^p \equiv a \pmod{p}.$$

Especially, in finite fields $GF(p)$, The theorem can be stated in the form:

$$a^{p-1} \equiv 1 \pmod{p}.$$

furthermore,

$$a^{-1} \equiv a^{p-2} \pmod{p}.$$

Euler's Theorem

Theorem 6 (Euler)

Let a and m be integers with $\gcd(a, m) = 1$, then:

$$a^{\varphi(m)} \equiv 1 \pmod{m}.$$

Example

Calculate $2^{2019} \pmod{107}$

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$(\mathbb{Z}/p\mathbb{Z})^*$ with prime p

Multiplicative prime groups

$(\mathbb{Z}/p\mathbb{Z})^*$ is a circle group of order $p - 1$

Alternative way of seeing it:

- Find a generator $g \in (\mathbb{Z}/p\mathbb{Z})^*$
- Write elements as power of generator: g^i
- Multiplication: find c such that $g^c = g^a \times g^b$
- Clearly: $g^a \times g^b = g^{a+b} = g^{a+b \bmod p-1}$
- So $c = a + b \bmod p - 1$

$(\mathbb{Z}/p\mathbb{Z})^*$ is just $\mathbb{Z}/(p-1)\mathbb{Z}$ in disguise!

These groups are **isomorphic**, such as $\langle (\mathbb{Z}/23\mathbb{Z})^*, \times \rangle$ and $\langle (\mathbb{Z}/22\mathbb{Z}), + \rangle$

Properties of multiplication in $\langle G \rangle$

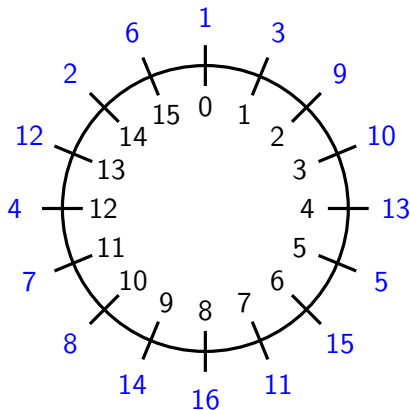
- If $A, B \in \langle G \rangle$ then $A \times B \in \langle G \rangle$
- If the order of G modulo p is q , then
 - for any integer $x : G^x = G^{x \bmod q}$
 - $G^q = G^0 = 1$
 - $A \times B = G^a \times G^b = G^{a+b} = G^{a+b \bmod q}$

Correspondence between $\langle G \rangle$ and $\mathbb{Z}/q\mathbb{Z}$

For every $A \in \langle G \rangle$ there is a number $a \in \mathbb{Z}/q\mathbb{Z}$ such that $A = G^a$

- We call a the exponent of A
- We denote elements of $\langle G \rangle$ as X and their exponents as x
- There is a one-to-one mapping between $\mathbb{Z}/q\mathbb{Z}$ and $\langle G \rangle$

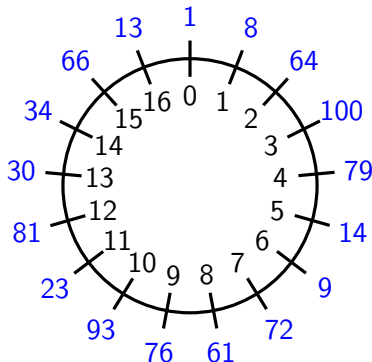
Illustration with circle diagram: $(\mathbb{Z}/17\mathbb{Z})^*$ and $\mathbb{Z}/16\mathbb{Z}$



For a black element $i \in \mathbb{Z}/16\mathbb{Z}$, we have a blue element $3^i \in (\mathbb{Z}/16\mathbb{Z})^*$

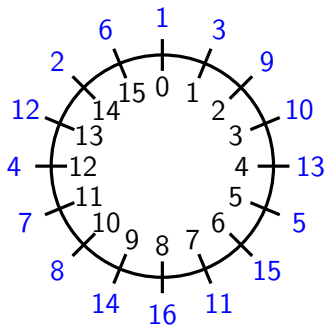
Illustration with a circle subgroup of $(\mathbb{Z}/p\mathbb{Z})^*$

Any cyclic group $\langle g \rangle$ is isomorphic to $\mathbb{Z}/ord(g)\mathbb{Z}$



Here $g = 8 \in (\mathbb{Z}/103\mathbb{Z})^*$ and $ord(g) = 17$
For each $i \in \mathbb{Z}/17\mathbb{Z}$ we have $8^i \in (\mathbb{Z}/103\mathbb{Z})^*$

Illustration for $p = 17$ and $G = 3 : q = 16$



For each blue element $3^i \in \langle 3 \rangle$ we have a black element $i \in \mathbb{Z}/16\mathbb{Z}$

- $C = A \times B = A \cdot B \bmod 17$ maps to $c = a + b \bmod 16$
- $C = A^e \bmod 17$ maps to $c = a \cdot e \bmod 16$

Thanks & Questions