

# Feedback Matrices and the Lights Out Game on Directed Graphs

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September 2, 2025

MR Subject Classifications: 05C20, 05C35, 05C78

Keywords: Lights out, light-switching game, feedback arc set, digraph, tournament

## Abstract

For a directed graph  $D$ , the neighborhood lights out game begins with a labeling of  $V(D)$  with elements of  $\mathbb{Z}_r$  for  $r \geq 2$ . When a vertex  $v$  is toggled, the labels of  $v$  and any vertex that  $v$  dominates are increased by 1 mod  $r$ . The game is won when each vertex has label 0. We say that  $D$  is  $r$ -Always Winnable (also written  $r$ -AW) if the game can be won for every initial labeling with elements of  $\mathbb{Z}_r$ . We introduce the *feedback matrix* of  $D$ , which is a matrix derived from ordering  $V(D)$  and using the feedback arcs from this ordering. Feedback matrices are useful in determining whether or not a directed graph is  $r$ -AW. We use this to determine whether various directed graphs, including some upset tournaments and tournaments with feedback arc sets that are certain unions of disjoint directed paths, are  $r$ -AW.

## 1 Introduction

Lights out is a one-player game that can be played on graphs and digraphs. It began as an electronic game created by Tiger Electronics in 1995 that was played on a  $5 \times 5$  grid. There are now several variations of the game. Some are direct generalizations of the original game, like the  $\sigma^+$ -game in [Sut90] and the neighborhood lights out game developed independently in [GP13] and [Ara12]. This was generalized further to a matrix-generated version in [KP24]. Other versions are explored in [Pel87], [CMP09], and [PZ21].

The basic elements are the same in each version of the game. We begin with a labeling of the vertices, usually by elements of  $\mathbb{Z}_r$  for some  $r \geq 2$ . We play the game by toggling the vertices, which changes the labels of some vertices in a way that usually depends on the adjacency of vertices with the toggled vertex. The game is won when we achieve some desired labeling, usually where each vertex has label 0.

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A directed graph version of the neighborhood lights out game was introduced in [DP]. For a digraph  $D$ , we denote the vertex set by  $V(D)$  and the arc set by  $A(D)$ . In the neighborhood lights out game, we begin with a *labeling*  $\lambda : V(D) \rightarrow \mathbb{Z}_r$  of the vertices with elements of  $\mathbb{Z}_r$ . Each time a vertex  $v$  is toggled, the label of  $v$  and each vertex it dominates (i.e. each  $w$  such that  $vw \in A(D)$ ) is increased by 1 modulo  $r$ . The object of the game is to toggle the vertices so that all vertices have label 0. If  $N$  is the neighborhood matrix of  $D$  (i.e.  $N = A + I$ , where  $A$  is the adjacency matrix and  $I$  is the identity matrix), we call this game the  $(N, r)$ -lights out game, as defined in [KP24]. We drop the  $N$  in our notation for this paper and simply call it the  $r$ -lights out game, since we only study the neighborhood version of the game here. A labeling  $\lambda$  with labels in  $\mathbb{Z}_r$  is called  $r$ -winnable if it is possible to win the game when we begin with the labeling  $\lambda$ . We say that  $D$  is  $r$ -always winnable (or  $r$ -AW) if every labeling of  $V(D)$  with labels in  $\mathbb{Z}_r$  is  $r$ -winnable.

The general problem we address is which digraphs are  $r$ -AW for  $r \geq 2$ . Our strategy for this problem has two stages. In the first stage, we order the vertices of  $D$  and look at the resulting *feedback arcs* and some related concepts as defined below.

**Definition.** Let  $D$  be a digraph with vertex ordering  $\sigma = v_1, v_2, \dots, v_n$ .

- The *feedback arc set with respect to  $\sigma$*  (denoted  $A_\sigma(D)$ ) is the set of all arcs  $v_j v_i \in A(D)$  such that  $i < j$ .
- A *minimum feedback arc set* is a feedback arc set of minimum cardinality among all possible vertex orderings of  $D$ .
- If  $vw \in A_\sigma(D)$ , we call  $v$  a *tail feedback vertex* and  $w$  a *head feedback vertex*.
- If  $v \in V(D)$  such that  $vw, wv \notin A_\sigma(D)$  for all  $w \in V(D)$ , we call  $v$  a *non-feedback vertex*.
- We define  $F_\sigma(D)$  to be the set of all feedback vertices,  $H_\sigma(D)$  to be the set of all head feedback vertices, and  $T_\sigma(D)$  to be the set of all tail feedback vertices.

We should note that there are two different definitions of feedback arc sets in the literature. Our definition is equivalent to the one given in [IN04], where a feedback arc set is defined to be a set of arcs that when reversed makes the resulting graph acyclic. The standard definition (see [BJG09] and [Kud22]) replaces *reversed* with *removed*. The definitions are not equivalent in general but *are* equivalent for *minimum* feedback arc sets.

For the second stage, we use the vertex ordering and feedback arc set to reduce winning the game to solving a manageable system of linear equations. The coefficients of these equations are elements of  $\mathbb{Z}_r$ . Since  $\mathbb{Z}_r$  is not a field when  $r$  is composite, we require some methods from linear algebra over commutative rings to study the system's solutions. We use the following facts.

**Proposition 1.1.** [Bro93] Let  $A$  be an  $n \times n$  matrix over a commutative ring  $R$  with identity.

1.  $A$  is invertible if and only if  $\det(A)$  is a unit in  $R$ .
2. If we take a multiple of one row of  $A$  and add it to another row, then the determinant of the resulting matrix is  $\det(A)$ .
3. If we switch two rows of  $A$ , the resulting matrix has determinant  $-\det(A)$ .
4. If we multiply one row of  $A$  by  $r \in R$ , the resulting matrix has determinant  $r \det(A)$ .

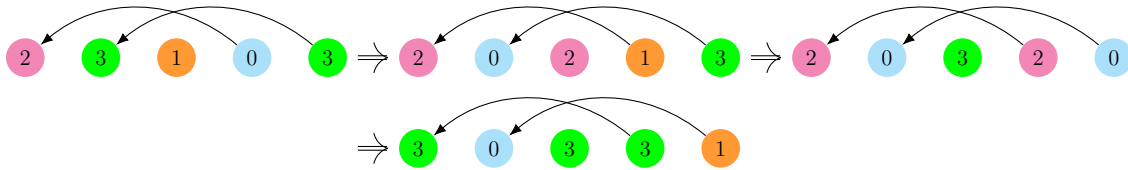
We also use the following well known determinant result on block matrices.

**Proposition 1.2.** Let  $R$  be a commutative ring, let  $A$  be an  $m \times m$  matrix over  $R$ , and let  $B$  be an  $n \times n$  matrix over  $R$  for  $m, n \in \mathbb{N}$ . We then have

$$\det \begin{pmatrix} A & \mathbf{0} \\ * & B \end{pmatrix} = \det \begin{pmatrix} A & * \\ \mathbf{0} & B \end{pmatrix} = \det(A) \det(B)$$

In [IN03], Isaak and Narayan classify minimum feedback arc sets of tournaments that are disjoint unions of directed paths. Note that a *tournament* is a digraph in which every pair of vertices has precisely one arc between them. While the results of this paper do not explicitly assume our feedback arc sets to be minimum, our main theorems are oriented toward tournaments with feedback arc sets that are disjoint unions of directed paths. Some of these results are on *upset tournaments*, which are studied in [BL83] and formally defined in [PS98]. These are tournaments having feedback arc sets that arc-induce a directed path digraph.

Since our main results are on tournaments, for convenience we denote a given tournament by indicating the ordering of the vertices and its feedback arcs. All arcs not shown are assumed to go from left to right. Here is what that looks like on a game with labels in  $\mathbb{Z}_4$  where we toggle the second, third, and fourth vertices.



In Section 2, we define *feedback matrices* and prove that a digraph is  $r$ -AW if and only if its feedback matrix is invertible over  $\mathbb{Z}_r$ . In Section 3, we use our results on feedback matrices to determine when certain digraphs are  $r$ -AW based on whether or not some of their subdigraphs are  $r$ -AW. We then turn our attention to upset tournaments in Sections 4 and 5, where we determine the feedback matrices and their determinants for upset tournaments whose feedback arcs are all between consecutive vertices in the vertex ordering. Finally, in section 6, we prove a winnability result on tournaments whose feedback arc sets are certain disjoint unions of directed paths.

Our digraph notation and terminology are primarily the same as in [BJG09]. A digraph  $D$  consists of a set of *vertices*  $V(D)$  along with a set  $A(D)$  of ordered pairs of vertices called *arcs*. For  $v, w \in V(D)$ , we usually express the arc as  $vw$  or  $v \rightarrow w$ . In this arc, we

call  $v$  the *tail* and  $w$  the *head* of the arc and say that  $v$  dominates  $w$ . The *outdegree* of a vertex is the number of vertices it dominates, and the *score-list* of a digraph is a multiset of the outdegrees of its vertices. If  $U \subseteq V(D)$ , we define the subdigraph *induced* by  $U$  to be the subdigraph that includes all vertices in  $U$  and all arcs between vertices in  $U$ . If  $B \subseteq A(D)$ , we define the subdigraph *arc-induced* by  $B$  to have arc set  $B$  and vertex set consisting of all vertices incident with an arc in  $B$ .

## 2 Feedback Matrices

Systems of linear equations play a central role in determining winning togglings in the neighborhood lights out game on both graphs and digraphs. The most common way this is done is as in [AF98], [AMW14], [GP13], [KP24], and [EEJ+10]. For a graph or digraph  $D$ , we create a vertex ordering  $\sigma = v_1, v_2, \dots, v_n$  of the vertices and express the initial labeling  $\lambda : V(D) \rightarrow \mathbb{Z}_r$  as a column vector  $\mathbf{b}$ , where  $\mathbf{b}[j] = \lambda(v_j)$ . If each vertex  $v_i$  is toggled  $x_i$  times mod  $r$ , we can also express this as a column vector  $\mathbf{x}$ , where  $\mathbf{x}[i] = x_i$ . It follows that the final label for each  $v_j$  is  $\lambda(v_j) + \sum_{i=1}^n a_{ij}x_i$ , where  $a_{ij} = 1$  if either  $i = j$  or  $v_i v_j \in A(D)$ , and  $a_{ij} = 0$  otherwise. Setting each label equal to 0, we get the matrix equation  $N\mathbf{x} + \mathbf{b} = \mathbf{0}$ , where  $N = [a_{ij}]$  is the neighborhood matrix of  $D$ .

While this matrix equation can be applied to all neighborhood lights out games, determining the existence of solutions of these systems is often difficult. In [GP13] and [DP], we found smaller and simpler matrix equations that determine when the game can be won by first toggling the vertices to obtain a helpful labeling. For digraphs, we do this by toggling the vertices in the order given by  $\sigma$ . At each step, we toggle the given vertex until it has label 0. After the last vertex has been toggled, the only vertices (if any) that have a nonzero label are among the head feedback vertices, giving us the following.

**Lemma 2.1.** ([DP, Lem. 4.4]) Let  $D$  be a digraph with vertex ordering  $\sigma$ , and let  $\lambda : V(D) \rightarrow \mathbb{Z}_r$  be a labeling of  $V(D)$ . Then the vertices of  $D$  can be toggled such that each vertex not in  $H_\sigma(D)$  has label 0.

This enables us to assume that all initial labelings are zero on  $V(D) - H_\sigma(D)$ . Toggling from this point is much more straightforward. The following terminology will be helpful.

**Definition.** Let  $D$  be a digraph with vertex ordering  $\sigma$ .

- Let  $v, w \in V(D)$  such that  $v = w$  or  $v$  precedes  $w$  in  $\sigma$ . The *interval between  $v$  and  $w$*  is the set of all vertices between  $v$  and  $w$  in  $\sigma$ , inclusive. We can also simply say *interval* without reference to  $v$  and  $w$ .
- A *non-feedback interval* is an interval consisting of non-feedback vertices.

The next lemma describes how vertices in  $V(D) - H_\sigma(D)$  must be toggled when  $\lambda(V(D) - H_\sigma(D)) = \{0\}$  to have a possibility of winning the game.

**Lemma 2.2.** Let  $D$  be a digraph with vertex ordering  $\sigma = v_1, v_2, \dots, v_n$ . Let  $\lambda : V(D) \rightarrow \mathbb{Z}_r$  be a labeling such that  $\lambda(V(D) - H_\sigma(D)) = \{0\}$ . Suppose we perform a toggling of  $V(D)$  that leaves all vertices in  $V(D) - H_\sigma(D)$  with label 0 and such that each  $w \in H_\sigma(D)$  is toggled  $x_w$  times.

1. Let  $v \in V(D) - H_\sigma(D)$ , and suppose we toggle the vertices in the order given by  $\sigma$ . If  $\ell$  is the label of  $v$  just before we toggle  $v$ , then  $v$  must be toggled  $-\ell$  times.
2. If  $D$  is a tournament, let  $v$  be a non-feedback vertex whose predecessor in  $\sigma$  is also a non-feedback vertex. Then  $v$  is toggled zero times.
3. If  $D$  is a tournament, let  $v$  be the first vertex in a non-feedback interval, let  $u \in T_\sigma(D)$  such that  $v < u$  in  $\sigma$ , and let  $H_u = \{w \in H_\sigma(D) : w < v \text{ and } uw \in A_\sigma(D)\}$ . After  $v$  is toggled, the label of  $u$  is  $\lambda(u) - \sum_{w \in H_u} x_w$ .

*Proof.* For (1), assume  $v \in V(D) - H_\sigma(D)$ . Thus,  $v$  dominates every vertex that follows it in  $\sigma$ . This implies that once it is time to toggle  $v$ , there are no untoggled vertices that dominate  $v$ . It follows that after toggling  $v$ , it must be left with label 0. This requires  $v$  to be toggled  $-\ell$  times.

For (2), since the order we toggle the vertices does not affect the number of times we toggle each vertex for a winning toggling, we can assume that we toggle the vertices in the order given by  $\sigma$ . Let  $v \in V(D) - F_\sigma(D)$ . Then  $v = v_k$  for some  $1 \leq k \leq n$ . Let  $m$  be maximum such that each  $v_i \notin F_\sigma(D)$  for all  $k - m \leq i \leq k$ . By assumption,  $v_{k-1}$  is not a feedback vertex, so  $m \geq 1$ . Consider  $v_i$  with  $k - m \leq i \leq k$ . Since  $v_i$  is not a feedback vertex, every time a vertex before  $v_{k-m}$  is toggled, it increases the label of  $v_i$  by 1 mod  $r$ . Since all non-feedback vertices start with label 0, when it is time to toggle  $v_{k-m}$ ,  $v_i$  has the same label  $\ell$  as  $v_{k-m}$ . By (1),  $v_{k-m}$  is toggled  $-\ell$  times, which leaves  $v_i$  with label 0. We now prove our result by induction on  $m$ . For  $m = 1$ , we have just toggled  $v_{k-1}$ , so it is time to toggle  $v_k$ . Since the label of  $v_k$  is 0, (1) implies that  $v_k$  is toggled 0 times. For  $m > 1$ , by induction,  $v_i$  gets toggled 0 times for  $k - m + 1 \leq i \leq k - 1$ . Thus, when it is time to toggle  $v_k$ , its label is still 0. By (1),  $v_k$  is toggled 0 times, which proves the result.

For (3), let  $x$  be the sum of all toggles of vertices that come before  $v$ . Since  $v$  is a non-feedback vertex and  $\lambda(v) = 0$ , right before  $v$  is toggled its label is  $x$ . Since  $u$  dominates the vertices in  $H_u$ , toggling vertices in  $H_u$  has no effect on the label of  $u$ . Thus, the label of  $u$  right before  $v$  is toggled is  $\lambda(u) + x - \sum_{w \in H_u} x_w$ . By (1),  $v$  is toggled  $-x$  times, leaving  $u$  with label  $(\lambda(u) + x - \sum_{w \in H_u} x_w) - x = \lambda(u) - \sum_{w \in H_u} x_w$ .  $\square$

If  $D$  is a tournament, Lemma 2.2(2) implies that the first vertex in a non-feedback interval is the only vertex in the non-feedback interval that has any influence on whether or not  $D$  is  $r$ -AW. Thus, the length of a non-feedback interval has no effect on whether or not a digraph is  $r$ -AW.

The next lemma shows how we can determine when a lights out game can be won using a system of linear equations based on the head feedback vertices of  $D$ .

**Lemma 2.3.** Let  $D$  be a digraph with vertex ordering  $\sigma = v_1, v_2, \dots, v_n$ . Let  $\lambda : V(D) \rightarrow \mathbb{Z}_r$  be a labeling such that  $\lambda(V(D) - H_\sigma(D)) = 0$ . Suppose that each  $w \in H_\sigma(D)$  is toggled  $x_w$  times with initial labeling  $\lambda$ .

1. For all  $v \in V(D) - H_\sigma(D)$ , there exist unique  $y_v \in \mathbb{Z}_r$  such that if each  $v \in V(D) - H_\sigma(D)$  is toggled  $y_v$  times, then each  $v \in V(D) - H_\sigma(D)$  has label 0.
2. For all  $v \in V(D) - H_\sigma(D)$ ,  $w \in H_\sigma(D)$ , there exist unique  $a_{v,w} \in \mathbb{Z}_r$  that do not depend on the  $x_w$  such that  $y_v = \sum_{w \in H_\sigma(D)} a_{v,w} x_w$ .
3. If each  $v \in V(D) - H_\sigma(D)$  is toggled  $y_v$  times, then for each  $u, w \in H_\sigma(D)$ , there exist unique  $h_{u,w} \in \mathbb{Z}_r$  that do not depend on the  $x_w$  such that the final labeling of  $u$  is  $\lambda(u) + \sum_{w \in H_\sigma(D)} h_{u,w} x_w$ .
4. For each  $u, w \in H_\sigma(D)$ , let  $h_{u,w}$  be as in (3). Then  $\lambda$  is  $r$ -winnable if and only if there exists  $x_w \in \mathbb{Z}_r$  for each  $w \in H_\sigma(D)$  such that  $\lambda(u) + \sum_{w \in W} h_{u,w} x_w = 0$  for all  $u \in H_\sigma(D)$ .

*Proof.* For (1), let  $v \in V(D) - H_\sigma(D)$ . Then  $v = v_k$  for some  $1 \leq k \leq n$ . We prove our result by induction on  $k$ . If  $k = 1$ , since  $v_1 = v$  is not a head feedback vertex, it begins the game with label 0. Since it is the first vertex in the ordering  $\sigma$ , it is the first to be toggled. By Lemma 2.2(1),  $v_1$  is toggled 0 times, which is unique. Furthermore, since no vertex dominates  $v$ , its label remains 0 for the entire game, which proves the  $k = 1$  case. For  $k > 1$ , the label  $\ell$  of  $v$  just before it is toggled is the sum of the numbers of toggles on the vertices that are toggled before  $v$  and dominate  $v$ . This includes some or all of the head feedback vertices (whose numbers of toggles are unique by assumption) and all of the preceding vertices not in  $H_\sigma(D)$  (whose numbers of toggles are unique by induction). Thus,  $\ell$  is uniquely determined by how many times each preceding vertex is toggled and its arc relationship with  $v$ . By Lemma 2.3(1),  $v$  must be toggled  $-\ell$  times, which is also unique. After  $v$  is toggled, there are no remaining vertices to be toggled that dominate  $v$ . Thus, the label of  $v$  remains 0 throughout the game, which proves the induction step.

We proceed similarly for (2). If  $v \in V(D) - H_\sigma(D)$ , then  $v = v_k$  for some  $1 \leq k \leq n$ , and we induct on  $k$ . For  $k = 1$ ,  $v_1$  is toggled 0 times as noted above. Since the  $x_w$  can have any value in  $\mathbb{Z}_r$ , the only way for 0 to be linear in the  $x_w$ 's is for  $a_{v,w} = 0$  for all  $w \in H_\sigma(D)$ , which proves the  $k = 1$  case. For  $k > 1$ , as noted above, the label of  $v$  right before it is toggled is the sum of all numbers of toggles on the vertices that are toggled before  $v$  and dominate  $v$ . This may include some or all of the head feedback vertices  $w$ , which are toggled  $x_w$  times. It may also include preceding vertices  $u$  not in  $H_\sigma(D)$ , which are toggled  $\sum_{w \in H_\sigma(D)} a_{u,w} x_w$  for some constants  $a_{u,w}$  by induction. Each of these numbers of toggles are linear in the  $x_w$ 's, and so adding them will also be a linear expression in the  $x_w$ 's. Thus, the label  $\ell$  of  $v$  right before it is toggled is a linear expression in the  $x_w$ 's. By Lemma 2.2(1),  $v$  is toggled  $-\ell$  times, which is also a linear expression in the  $x_w$ 's. Also, since the coefficients  $a_{u,w}$  are unique for all preceding vertices  $u$  and similarly for the  $x_w$  toggles for head feedback vertices  $w$  that dominate  $v$ , the coefficients  $a_{v,w}$  are also unique. This completes the proof.

For (3), let  $v \in H_\sigma(D)$ . The final label of  $u$  is the sum of  $\lambda(u)$ ,  $x_u$  (since toggling  $u$  affects its label), and the numbers of toggles of the vertices that dominate  $u$ . Each of these vertices  $w$  is either in  $H_\sigma(D)$  (which increases the label of  $u$  by  $x_w \bmod r$ ); or not in  $H_\sigma(D)$  (which, by (2), adds a unique linear expression in the  $x_w$ 's to the label of  $u$ ). Adding them together results in a unique linear expression in the  $x_w$ 's, which proves the result.

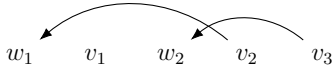
For (4),  $\lambda$  is  $r$ -winnable if and only if we can choose an appropriate number of toggles for each vertex so that the final label of each vertex is 0. By (1), these numbers of toggles are completely determined by our choice of  $x_w$  for each  $w \in H_\sigma(D)$ . Once we choose each  $x_w$  and each  $v \in V(D) - H_\sigma(D)$  is toggled  $y_v$  times, the final label of each vertex in  $V(D) - H_\sigma(D)$  is 0 by (1), and the final label of each  $u \in H_\sigma(D)$  is  $\lambda(u) + \sum_{w \in W} h_{u,w} x_w$  by (3). Since  $\lambda$  is  $r$ -winnable if and only if each of these labels is 0, this completes the proof.  $\square$

We can express the system of linear equations given in Lemma 2.3(4) as a matrix equation. For a vertex ordering  $\sigma$  of  $D$ , let  $H_\sigma(D) = \{w_1, w_2, \dots, w_m\}$ . For convenience, we can write  $h_{w_i, w_j}$  as  $h_{ij}$ , which gives us the matrix  $M_\sigma(D) = [h_{ij}]$ . We define the column vectors  $\mathbf{b}$  and  $\mathbf{x}$  by  $\mathbf{b}[i] = \lambda(w_i)$  and  $\mathbf{x}[i] = x_i$ . Then the system of equations becomes  $M_\sigma(D)\mathbf{x} + \mathbf{b} = \mathbf{0}$ . The next result follows directly from this equation.

**Theorem 2.4.** Let  $r \in \mathbb{N}$ . A digraph  $D$  is  $r$ -AW if and only if  $\det(M_\sigma(D))$  is a unit in  $\mathbb{Z}_r$ .

We call  $M_\sigma(D)$  the *feedback matrix* of  $D$  for  $\sigma$ .

**Example 2.5.** Consider the following tournament  $D$ .



To compute  $M_\sigma(D)$ , we first note that  $w_1$  and  $w_2$  are the head feedback vertices, so the initial labeling gives  $w_1$  and  $w_2$  arbitrary labels  $\lambda(w_1)$  and  $\lambda(w_2)$ , respectively, and the remaining vertices label 0. Then  $w_1$  and  $w_2$  are toggled  $x_1$  and  $x_2$  times, respectively. We toggle from left to right. After toggling  $w_1$ ,  $v_1$  has label  $x_1$ , so we toggle it  $-x_1$  times. That leaves  $w_1$  with label  $\lambda(w_1) + x_1$ ,  $v_2$  with label  $-x_1$ , and the other vertices with their original labels. Then  $w_2$  is toggled  $x_2$  times. At this point,  $w_2$  has label  $\lambda(w_2) + x_2$ ,  $v_2$  has label  $-x_1 + x_2$ , and  $v_3$  has label 0, so  $v_2$  is toggled  $x_1 - x_2$  times. That leaves  $w_1$  with label  $\lambda(w_1) + 2x_1 - x_2$  and  $v_3$  with label  $x_1 - x_2$ , so  $v_3$  is toggled  $-x_1 + x_2$  times. This leaves  $w_2$  with label  $\lambda(w_2) + 2x_2 - x_1$ . At this point, all vertices have label 0 except  $w_1$  with label  $\lambda(w_1) + 2x_1 - x_2$  and  $w_2$  with label  $\lambda(w_2) - x_1 + 2x_2$ . We win the game by solving  $\lambda(w_1) + 2x_1 - x_2 = 0$  and  $\lambda(w_2) - x_1 + 2x_2 = 0$ . The feedback matrix we get from this is  $\begin{bmatrix} 2 & -1 \\ -1 & 2 \end{bmatrix}$ , which has determinant  $2 \cdot 2 - (-1) \cdot (-1) = 3$ . Thus,  $D$  is  $r$ -AW if and only if  $\gcd(r, 3) = 1$ .



### 3 Determining Winnability from Subdigraphs

In determining when a digraph is  $r$ -AW, it can be helpful to reduce the problem to determining when some of its subdigraphs are  $r$ -AW. We ask the following:

**Question 3.1.** Let  $D_1$  and  $D_2$  be digraphs with vertex orderings  $\sigma_1$  and  $\sigma_2$ , respectively. How can we combine  $D_1$  and  $D_2$  into a larger digraph  $D$  whose vertices are ordered in a way consistent with  $\sigma_1$  and  $\sigma_2$  such that  $\det(M_\sigma(D)) = \det(M_{\sigma_1}(D_1)) \det(M_{\sigma_2}(D_2))$ ?

By Theorem 2.4 and the fact that  $\det(M_\sigma(D))$  is a unit in  $\mathbb{Z}_r$  if and only if  $\det(M_{\sigma_1}(D_1))$  and  $\det(M_{\sigma_2}(D_2))$  are units in  $\mathbb{Z}_r$ , this would imply that  $D$  is  $r$ -AW if and only if  $D_1$  and  $D_2$  are  $r$ -AW. Before getting to the main results, we prove a lemma.

**Lemma 3.2.** Let  $D$  be a digraph with vertex ordering  $\sigma$  and let  $\lambda : V(D) \rightarrow \mathbb{Z}_r$  be a labeling. For each assignment  $A$  of values in  $\mathbb{Z}_r$  to the variables  $s_1, s_2, \dots, s_n$ , define  $\lambda_A : V(D) \rightarrow \mathbb{Z}_r$  by  $\lambda_A(v) = \lambda(v) + \sum_{i=1}^n a_{v,i} s_i$ , where each  $a_{v,i}$  is constant with respect to the  $s_i$ . Suppose each  $w \in H_\sigma(D)$  is toggled  $y_w$  times with initial labeling  $\lambda$  and  $x_w$  times with initial labeling  $\lambda_A$ , and that we toggle the remaining vertices for both labelings so that all vertices that are not head feedback vertices have label 0. If the final label for each  $v \in H_\sigma(D)$  is  $\lambda(v) + \sum_{w \in H_\sigma(D)} b_{v,w} y_w$  when the initial labeling is  $\lambda$ , where each  $b_{v,w}$  is a constant with respect to the  $y_w$ , then the final label of  $v$  is  $\lambda(v) + z_v + \sum_{w \in H_\sigma(D)} b_{v,w} x_w$  when the initial labeling is  $\lambda_A$ , where  $z_v$  is a linear combination of the  $s_i$ .

*Proof.* We first prove, for each vertex  $v \in V(D)$ , that if  $v$  is toggled  $\sum_{w \in H_\sigma(D)} c_{v,w} y_w$  times under the labeling  $\lambda$ , where each  $c_{v,w}$  is constant, then  $v$  is toggled  $t_v + \sum_{w \in H_\sigma(D)} c_{v,w} x_w$  times under the labeling  $\lambda_A$ , where  $t_v$  is a linear combination of the  $s_i$ . We prove this by induction on the position of  $v$  in  $\sigma$ . If  $v$  is the first vertex in  $D$ , it cannot be a tail feedback vertex, since the corresponding head feedback vertex would have to come before  $v$ , which is impossible. If  $v$  is a non-feedback vertex, then  $v$  is toggled zero times under  $\lambda$  by Lemma 2.2(1). We also have  $\lambda_A(v) = \lambda(v) + \sum_{i=1}^n a_{v,i} s_i = \sum_{i=1}^n a_{v,i} s_i$  since  $\lambda(v) = 0$ . By Lemma 2.2(1),  $v$  is toggled  $-\sum_{i=1}^n a_{v,i} s_i = 0 + \sum_{i=1}^n (-a_{v,i}) s_i$  times under  $\lambda_A$ , so the claim follows with  $t_v = \sum_{i=1}^n (-a_{v,i}) s_i$ . The remaining case is when  $v$  is a head feedback vertex. When  $\lambda_A$  is the initial labeling,  $v$  is toggled  $x_v$  times, and when  $\lambda$  is the initial labeling,  $v$  is toggled  $y_v$  times. So the claim follows with  $t_v = 0$ , which proves the base case.

Now assume  $v$  is not the first vertex. If  $v$  is a head feedback vertex, then the claim follows as above with  $t_v = 0$ . If  $v$  is not a head feedback vertex, then Lemma 2.2(1) implies that whatever label  $v$  has, say  $\ell$  for initial labeling  $\lambda$  and  $\ell_A$  for initial labeling  $\lambda_A$ ,  $v$  is toggled  $-\ell$  times or  $-\ell_A$  times, respectively. Note that  $\ell$  is the sum of the toggles of the vertices that precede and dominate  $v$  in  $V(D)$  under  $\lambda$ , and  $\ell_A$  is  $\lambda_A(v)$  plus the sum of the toggles of the vertices that precede and dominate  $v$  under  $\lambda_A$ . If  $v_1, v_2, \dots, v_k$  are the vertices in  $D$  that precede and dominate  $v$ , then by assumption  $v_i$  is toggled  $\sum_{w \in H_\sigma(D)} c_{v_i,w} y_w$  times under  $\lambda$ . It follows that  $\ell = \sum_{i=1}^k \left( \sum_{w \in H_\sigma(D)} c_{v_i,w} y_w \right)$ . By induction, under  $\lambda_A$  each  $v_i$  is toggled  $t_{v_i} + \sum_{w \in H_{\sigma_2}(D_2)} c_{v_i,w} x_w$  times, where  $t_{v_i}$  is a



linear combination of the  $s_j$ . We use this and the fact that  $\lambda_A(v) = \lambda(v) + \sum_{i=1}^n a_{v,i}s_i = \sum_{i=1}^n a_{v,i}s_i$  to get

$$\begin{aligned}\ell_A &= \sum_{i=1}^n a_{v,i}s_i + \sum_{i=1}^k \left( t_{v_i} + \sum_{w \in H_\sigma(D)} c_{v_i,w}x_w \right) \\ &= \left( \sum_{i=1}^n a_{v,i}s_i + \sum_{i=1}^k t_{v_i} \right) + \sum_{i=1}^k \left( \sum_{w \in H_\sigma(D)} c_{v_i,w}x_w \right)\end{aligned}$$

Since  $t_v = \sum_{i=1}^n a_{v,i}s_i + \sum_{i=1}^k t_{v_i}$  is a linear combination of the  $s_j$ , the claim follows.

We can now look at the final labels of each  $v \in V(D)$  under  $\lambda$  and  $\lambda_A$ , respectively. These labels are  $\lambda(v) + f_v$  and  $\lambda_A(v) + f'_v = \lambda(v) + \sum_{i=1}^n a_{v,i}s_i + f'_v$ , where  $f_v$  is the sum of toggles of all vertices in  $V(D)$  that dominate or equal  $v$  under  $\lambda$ , and  $f'_v$  is the sum of all toggles of vertices in  $V(D)$  that dominate or equal  $v$  under  $\lambda_A$ . By our claim above, if  $f_v = \sum_{w \in H_\sigma(D)} c'_{v,w}y_w$ , then  $f'_v = t'_v + \sum_{w \in H_\sigma(D)} c'_{v,w}x_w$  (here,  $c'_{v,w}$  and  $t'_w$  are the sums of all  $c_{v,w}$  and  $t_w$  from vertices that dominate/equal  $v$ ). This makes the final labels

$$\lambda(v) + \sum_{w \in H_\sigma(D)} a'_{v,w}y_w \quad \text{and} \quad \lambda(v) + (t'_v + \sum_{i=1}^n a_{v,i}s_i) + \sum_{w \in H_\sigma(D)} a'_{v,w}x_w$$

Since  $z_v = t'_v + \sum_{i=1}^n a_{v,i}s_i$  is a linear combination of the  $s_j$ , the lemma follows.  $\square$

We present three ways of combining digraphs that satisfy Question 3.1. In the first construction,  $D_1$  and  $D_2$  can be any digraphs, and any arcs in  $D$  between  $D_1$  and  $D_2$  originate in  $D_1$  and terminate in  $D_2$ .

**Theorem 3.3.** Let  $D$  be a digraph with vertex ordering  $\sigma$ , and let  $D_1$  and  $D_2$  be induced subdigraphs of  $D$  that satisfy the following.

1.  $V(D_1)$  and  $V(D_2)$  partition  $V(D)$ .
2. All vertices of  $D_1$  precede all vertices of  $D_2$ .
3. If  $v \in V(D_1)$  and  $w \in V(D_2)$ , then  $wv \notin A(D)$ .

If  $\sigma_1$  and  $\sigma_2$  are the restriction of  $\sigma$  to  $V(D_1)$  and  $V(D_2)$ , respectively, then  $\det(M_\sigma(D)) = \det(M_{\sigma_1}(D_1)) \det(M_{\sigma_2}(D_2))$ .

*Proof.* To construct  $M_\sigma(D)$ , we begin with an arbitrary labeling  $\lambda : V(D) \rightarrow \mathbb{Z}_r$  that is zero on all but the head feedback vertices. Let the restriction of  $\lambda$  to  $V(D_1)$  and  $V(D_2)$  be  $\lambda_1$  and  $\lambda_2$ , respectively. For each  $w \in H_\sigma(D)$ , let  $x_w$  be the number of times  $v$  is toggled when  $\lambda$  is the initial labeling.

We toggle the vertices in the order given by  $\sigma$ . Since the vertices of  $D_1$  are toggled first, that implies they are toggled in exactly the same way as they would without  $D_2$ . Moreover, by (2), toggling vertices in  $V(D_2)$  has no effect the labels of  $V(D_1)$ . Thus,

once all vertices in  $V(D_1)$  are toggled, they have their final label, and so the upper left  $|H_{\sigma_1}(D_1)| \times |H_{\sigma_1}(D_1)|$  matrix block of  $M_\sigma(D)$  is  $M_{\sigma_1}(D_1)$ , and the upper right block is the zero matrix. This gives  $M_\sigma(D)$  the form  $\begin{bmatrix} M_{\sigma_1}(D_1) & \mathbf{0} \\ * & * \end{bmatrix}$ . Also by (2), after toggling all vertices in  $V(D_1)$ ,  $V(D_2)$  has the labeling  $\lambda_t$ , where for each  $v \in V(D_2)$ ,  $\lambda_t(v) = \lambda(v) + t_v$ , where  $t_v$  is the sum of the number of toggles of all vertices in  $V(D_1)$  that dominate  $v$ . Note that by Lemma 2.3(2),  $t_v$  is a linear combination of all  $x_w$  with  $w \in H_{\sigma_1}(D_1)$ . We now have satisfied the hypotheses of Lemma 3.2, with  $x_w$  for  $w \in V(D_1)$  playing the role of the  $s_i$ ,  $\lambda_t$  playing the role of  $\lambda_A$ , and  $t_v$  playing the role of  $\sum_{i=1}^n a_{v,i}s_i$ . We can conclude that if the final label for each  $v \in V(D_2)$  is  $\lambda(v) + \sum_{w \in H_{\sigma_2}(D_2)} b_{v,w}y_w$  with initial labeling  $\lambda_2$ , then the final label for  $v$  is  $\lambda(v) + z_v + \sum_{w \in H_{\sigma_2}(D_2)} b_{v,w}x_w$  with initial label  $\lambda_t$ , where  $z_v$  is a linear combination of all  $x_w$ ,  $w \in H_{\sigma_1}(D_1)$ . Since the final label for  $v$  under the labeling  $\lambda_t$  is  $\lambda(v) + z_v + \sum_{w \in H_{\sigma_2}(D_2)} b_{v,w}x_w$  and the coefficients from  $z_v$  are only of  $x_w$ ,  $w \in H_{\sigma_1}(D_1)$ , the coefficients of  $z_v$  become the entries of  $M_\sigma(D)$  below the block  $M_{\sigma_1}(D_1)$ . The remaining lower right block of the matrix is filled with the  $b_{v,w}$ . Since the final label for  $v$  under the labeling  $\lambda_2$  is  $\lambda(v) + \sum_{w \in H_{\sigma_2}(D_2)} b_{v,w}y_w$ , the  $b_{v,w}$  are the entries for  $M_{\sigma_2}(D_2)$  as well. Thus,  $M_\sigma(D)$  has the form  $\begin{bmatrix} M_{\sigma_1}(D_1) & \mathbf{0} \\ * & M_{\sigma_2}(D_2) \end{bmatrix}$ , and so Proposition 1.2 implies its determinant is  $\det(M_{\sigma_1}(D_1)) \det(M_{\sigma_2}(D_2))$ , which completes the proof.  $\square$

This result gives us a much simpler proof for a theorem in [DP] about strongly connected components of digraphs. A digraph  $D$  is strongly connected if for every  $v, w \in A(D)$ , there is a walk both from  $v$  to  $w$  and from  $w$  to  $v$ . A strong component of a digraph  $D$  is a maximal subdigraph that is strongly connected. It is well-known that the vertex sets of the strong components partition  $V(D)$  and have an *acyclic ordering* (i.e. if  $D_1, D_2, \dots, D_t$  are the strong components, then if  $vw \in A(D)$  with  $v \in V(D_i)$ ,  $w \in V(D_j)$ , then  $i \leq j$ ).

**Corollary 3.4.** Let  $D$  be a digraph with strong components  $D_1, D_2, \dots, D_t$  written in an acyclic ordering. Let  $\sigma$  be any ordering of  $V(D)$  consistent with the acyclic ordering of the strong components, and for each  $1 \leq i \leq t$ , let  $\sigma_i$  be the restriction of  $\sigma$  to  $V(D_i)$ .

1.  $\det(M_\sigma(D)) = \prod_{i=1}^t \det(M_{\sigma_i}(D_i))$
2. [DP, Thm. 3.3]  $D$  is  $r$ -AW if and only if each  $D_i$  is  $r$ -AW.

*Proof.* Part (1) follows from an easy induction using Theorem 3.3, and (2) follows from (1) and Theorem 2.4.  $\square$

If we order the vertices of  $D$  so that we begin with a set of vertices  $V_1$ , followed by a non-feedback interval  $I$ , followed by the remaining vertices  $V_2$ , then each vertex in  $I$  induces a strong component. Furthermore, the feedback matrix of the strong components in  $I$  are  $[1]$ , so their determinants are 1. Corollary 3.4 immediately gives us the following.

**Corollary 3.5.** Let  $D$  be a digraph with vertex ordering  $\sigma$  such that we have the partition  $V(D) = V_1 \cup I \cup V_2$  such that  $V_1$  precedes  $I$ , which precedes  $V_2$  under  $\sigma$ . Let  $\sigma_1$  and  $\sigma_2$  be the restriction of  $\sigma$  to  $V_1$  and  $V_2$ , respectively, and let  $D_1$  and  $D_2$  be the subdigraphs induced by  $V_1$  and  $V_2$ , respectively. If all vertices in  $I$  are non-feedback vertices and there are no feedback arcs originating in  $V_2$  and terminating in  $V_1$ , then  $\det(M_\sigma(D)) = \det(M_{\sigma_1}(D_1)) \det(M_{\sigma_2}(D_2))$ .

Our final two constructions require  $D$  to be a tournament with  $D_1$  and  $D_2$  either subtournaments of  $D$  or closely related to subtournaments of  $D$ . The constructions are similar to that of Theorem 3.3, except for some overlap in the order between the vertices of  $D_1$  and  $D_2$ .

**Theorem 3.6.** Let  $D_1$  and  $D_2$  be tournaments with vertex orderings  $\sigma_1$  and  $\sigma_2$ , respectively, where the vertex sets are given, in the order from  $\sigma_1$  and  $\sigma_2$ , by the partitions  $V(D_1) = V_1 \cup I_1 \cup \{u\}$  and  $V(D_2) = \{v\} \cup I_2 \cup V_2$ , where  $I_1$  and  $I_2$  are non-feedback intervals. Let  $D$  and vertex ordering  $\sigma$  be one of the following.

1. The vertices in order are  $V(D) = V_1 \cup \{v\} \cup I \cup \{u\} \cup V_2$ , where  $I$  is a non-feedback interval. The feedback arcs are given by  $A_\sigma(D) = A_{\sigma_1}(D_1) \cup A_{\sigma_2}(D_2)$ .
2. The vertices in order are  $V(D) = V_1 \cup I_1 \cup \{v\} \cup \{u\} \cup I_2 \cup V_2$ . The feedback arcs are given by  $A_\sigma(D) = A_{\sigma_1}(D_1) \cup A_{\sigma_2}(D_2)$ .

Then  $\det(M_\sigma(D)) = \det(M_{\sigma_1}(D_1)) \det(M_{\sigma_2}(D_2))$

If we use “—” to represent the non-feedback intervals, we can visualize the ordering on  $V(D_1)$  as  $V_1 \text{ — } u$ , on  $V(D_2)$  as  $v \text{ — } V_2$ , and on the two versions of  $V(D)$  as  $V_1 \text{ } v \text{ — } u \text{ } V_2$  and  $V_1 \text{ — } v \text{ } u \text{ — } V_2$ . For  $j \in \{1, 2\}$ , let  $T_j$  be the tournament induced by  $V_j$ .

*Proof.* For (1), first recall that the length of any non-feedback interval does not affect the feedback matrix. Thus, when we refer to  $V(D_1)$  and  $V(D_2)$  as “subtournaments” of  $D$ , we abuse notation by allowing  $V(D_1) = V_1 \cup I \cup \{u\}$  and  $V(D_2) = \{v\} \cup I \cup V_2$ . We observe that  $u$  cannot be a head feedback vertex in  $D$ , since the corresponding tail feedback vertex would come after  $u$  in  $V(D_1)$  under  $\sigma_1$ , which is impossible. Thus,  $u$  is either a non-feedback vertex or a tail feedback vertex. Similarly  $v$  is either a non-feedback vertex or a head feedback vertex.

In the case that  $u$  is a non-feedback vertex in  $D_1$  (and thus non-feedback in  $D$ ), let  $D'_2$  be the subtournament induced by  $V(D) - V_1$  (which we can visualize by  $v \text{ — } u \text{ } V_2$ ), with the restriction of  $\sigma$  to  $V_1$  and  $V(D'_2)$  be given by  $\sigma'_1$  and  $\sigma'_2$ , respectively. Since  $u$  is a non-feedback vertex,  $D_2$  differs from  $D'_2$  only by the length of its non-feedback interval, so  $M_{\sigma_2}(D_2) = M_{\sigma'_2}(D'_2)$ . Since every vertex in  $V_1$  dominates every vertex in  $V(D'_2)$ , Theorem 3.3 implies  $\det(M_\sigma(D)) = \det(M_{\sigma'_1}(T_1)) \det(M_{\sigma'_2}(D'_2))$ . We apply Corollary 3.5 to get  $\det(M_{\sigma_1}(D_1)) = \det(M_{\sigma'_1}(T_1))$ . We get

$$\det(M_\sigma(D)) = \det(M_{\sigma'_1}(T_1)) \det(M_{\sigma'_2}(D'_2)) = \det(M_{\sigma_1}(D_1)) \det(M_{\sigma_2}(D_2))$$

which proves the case. The proof is similar when  $v$  is a non-feedback vertex.

This leaves us with the case that  $u$  is a tail feedback vertex and  $v$  is a head feedback vertex. We begin the construction of  $M_\sigma(D)$  by toggling the vertices in  $V_1$ , which proceeds exactly as if we were to toggle  $V_1 \subseteq V(D_1)$  separately from  $D$ . If  $x$  is the sum of the number of times we toggle the vertices in  $V_1$ , the next vertex  $v$  begins with label  $x$  and is toggled  $x_v$  times. By Lemma 2.2(3), after toggling the non-feedback vertex that follows  $v$ , the label of  $u$  is  $\lambda(u) - \sum_{w \in H_u} x_w = -\sum_{w \in H_u} x_w$ , where  $H_u$  is the set of all vertices in  $V(D_1)$  that  $u$  dominates. This is identical to the effect of toggling the first non-feedback vertex of  $I$  for  $D_1$  separate from  $D$ . Thus, the labels of  $V(D_1)$  as linear combinations of  $x_w$ ,  $w \in H_{\sigma_1}(D_1)$  is the same for the lights out game on  $D$  and the lights out game one  $D_1$ , and the toggles from  $V_2$  have no effect on  $V(D_1)$ . This gives  $M_\sigma(D)$  the form  $\begin{bmatrix} M_{\sigma_1}(D_1) & \mathbf{0} \\ * & * \end{bmatrix}$ . Furthermore, after all vertices of  $I$  have been toggled, all vertices of  $p \in V_2$  have label  $\lambda(p) - x_v$  if  $p$  dominates  $v$  or  $\lambda(p)$  otherwise. These labels are identical to the result of toggling all vertices in  $\{v\} \cup I$  in  $V(D_2)$  separately from  $D$ .

Next,  $u$  is toggled  $t_u = \sum_{w \in H_u} x_w$  times, leaving the vertices  $p \in V_2$  with label  $\lambda(p) - x_v + t_u$  if the vertex dominates  $v$  or  $\lambda(p) + t_u$  otherwise. This is equivalent to what we would get toggling the vertices in  $D_2$  with initial labeling  $\lambda' : V(D_2) \rightarrow \mathbb{Z}_r$  given by

$$\lambda'(p) = \begin{cases} \lambda(p), & p \in \{v\} \cup I \\ \lambda(p) + t_u, & p \in V_2 \end{cases}$$

Since both 0 and  $t_u$  are linear combinations of  $x_w$  for  $w \in V(D_1)$ , we can apply Lemma 3.2 and argue as in Theorem 3.3 to show that  $M_\sigma(D)$  has the form  $\begin{bmatrix} M_{\sigma_1}(D_1) & \mathbf{0} \\ * & M_{\sigma_2}(D_2) \end{bmatrix}$ . Thus, Proposition 1.2 implies  $\det(M_\sigma(D)) = \det(M_{\sigma_1}(D_1)) \det(M_{\sigma_2}(D_2))$ , which proves (1).

For (2), we proceed similarly as in (1). The cases where one or more of  $u$  and  $v$  is non-feedback follows similarly as in (1), which leaves the case where  $u$  is a tail feedback vertex and  $v$  is a head feedback vertex. Recall that we can visualize  $V(D)$ , in order, by  $V_1 \text{ --- } v \text{ --- } u \text{ --- } V_2$ , where each “—” represents a non-feedback interval. For each  $w \in H_\sigma(D)$ , let  $x_w$  be the number of times  $w$  is toggled. Also, let  $x$  be the sum of the numbers of toggles of all vertices in  $V_1$ , and again let  $H_u$  be the set of vertices in  $V(D_1)$  that  $u$  dominates. As we toggle the vertices in order, the vertices in  $V_1$  and the non-feedback interval preceding  $v$  are toggled identically to  $V(D_1)$  separate from  $D$ . Furthermore, right before  $v$  is toggled, all vertices in  $V(D_2)$  have their original labels since the first vertex in the non-feedback interval before  $v$  is toggled  $-x$  times, cancelling the  $x$  toggles from  $V_1$ . Also,  $u$  has label  $\lambda(u) - \sum_{w \in H_u} x_w = -\sum_{w \in H_u} x_w$  by Lemma 2.2(3). After  $v$  is toggled  $x_v$  times,  $u$  has label  $x_v - \sum_{w \in H_u} x_w$ . Note that  $-\sum_{w \in H_u} x_w$  is precisely the label  $u$  would have from toggling  $V(D_1)$  separately from  $D$ . So when  $u$  is toggled  $-x_v + \sum_{w \in H_u} x_w$  times, the resulting labels on the vertices dominated by  $u$  are the same as they would be for  $D_1$  by itself plus  $-x_v$ . Furthermore, none of the remaining vertices in  $D$  affect the labels of  $V(D_1)$ . We conclude that  $M_\sigma(D)$  has the form  $\begin{bmatrix} M_{\sigma_1}(D_1) & A \\ * & * \end{bmatrix}$ , where the entries of  $A$  are  $-1$  in the first column in rows that correspond to the vertices in  $V(D_1)$  dominated by  $v$  and zero otherwise.

After  $u$  is toggled, we get the label of the non-feedback vertex following  $u$  by adding all toggles of vertices that precede it. We get  $x + (-x) + x_v + (-x_v + \sum_{w \in H_u} x_w) = \sum_{w \in H_u} x_w$ . Thus, we toggle it  $-\sum_{w \in H_u} x_w$  times. For  $p \in I_2 \cup V_2$ , this sets the label of  $p$  to  $\lambda(p) - x_v$  if  $p$  dominates  $v$  and  $\lambda(p)$  otherwise. These are precisely the labels we would get playing the game on  $D_2$  after toggling  $v$  and the following non-feedback vertex. We then toggle the remaining vertices exactly as they would be toggled if we considered  $D_2$  separately from  $D$  (i.e. the vertices of  $D_1$  contribute no matrix entries to the lower left block). This gives  $M_\sigma(D)$  the form  $\begin{bmatrix} M_{\sigma_1}(D_1) & A \\ 0 & M_{\sigma_2}(D_2) \end{bmatrix}$ . It follows that  $\det(M_\sigma(D)) = \det(M_{\sigma_1}(D_1)) \det(M_{\sigma_2}(D_2))$ , completing the proof.  $\square$

## 4 Feedback Matrices for Some Upset Tournaments

An *upset tournament* on  $n$  vertices is generally defined as any tournament with  $n \geq 4$  whose score-list is  $\{1, 1, 2, 3, \dots, n-4, n-3, n-2, n-2\}$ . It was shown in [PS98] that a tournament is an upset tournament if and only if it is strong and has a feedback arc set that arc-induces a directed path. Here we allow upset tournaments with  $n = 3$  such that the resulting feedback arc set arc-induces a directed path between the last and first vertices. We exclude  $n = 2$  since it gives us a transitive tournament. In this section, we determine feedback matrices for certain upset tournaments.

We analyze when upset tournaments are  $r$ -AW by computing the determinant of a suitable feedback matrix. Our approach to this computation depends on whether the feedback arcs are all between nonconsecutive vertices, all between consecutive vertices, or between a combination of consecutive and nonconsecutive vertices in the vertex ordering. For the first two cases, we have the following definitions.

**Definition.** Consider the following tournaments with  $m$  feedback vertices.

1. If  $m \geq 2$ , we define  $P_m^{nc}$  to be any tournament such that there exists a vertex ordering  $\sigma$  of  $V(P_m^{nc})$  such that  $A_\sigma(P_m^{nc})$  arc-induces a directed path on  $m$  vertices and each feedback arc is between nonconsecutive vertices with respect to  $\sigma$ .
2. If  $m \geq 3$ , we define  $P_m^c$  to be the tournament on  $m$  vertices such that there exists a vertex ordering  $\sigma = v_1, v_2, \dots, v_m$  of  $V(P_m^c)$  with  $A_\sigma(T) = \{v_{i+1}v_i : 1 \leq i \leq m-1\}$ .

There are infinitely many tournaments that can be  $P_m^{nc}$  for each  $m \geq 2$ , but they differ only in the length of their non-feedback intervals. Thus, their feedback matrices are identical. In [DP], while we had not yet developed the language and supporting theorems of feedback matrices, our work essentially determined that  $M_\sigma(P_m^{nc})$  is the  $(m-1) \times (m-1)$

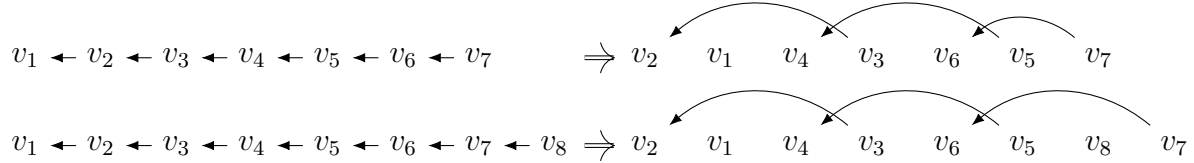
matrix given as follows:  $M_\sigma(P_2^{nc}) = [2]$ ,  $M_\sigma(P_3^{nc}) = \begin{bmatrix} 1 & 1 \\ -1 & 2 \end{bmatrix}$ , and for  $m \geq 4$ ,

$$M_\sigma(P_m^{nc}) = \begin{bmatrix} 1 & 1 & 0 & 0 & \cdots & 0 \\ -1 & 1 & 1 & 0 & \cdots & 0 \\ 0 & -1 & 1 & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & -1 & 1 & 1 \\ 0 & 0 & \cdots & 0 & -1 & 2 \end{bmatrix}$$

Recall the Fibonacci sequence, where  $F_1 = F_2 = 1$ , and  $F_n = F_{n-1} + F_{n-2}$  for  $n \geq 2$ . We get the following.

**Theorem 4.1.** [DP, Thm. 5.2] Let  $m \geq 2$ . Then  $\det(M_\sigma(P_m^{nc})) = F_{m+1}$ , and so  $P_m^{nc}$  is  $r$ -AW if and only if  $\gcd(r, F_{m+1}) = 1$ .

For each  $m \geq 3$ ,  $P_m^c$  is unique up to isomorphism. The vertex ordering given in the definition does not yield a minimum feedback arc set. We get a minimum feedback arc set by swapping the order of  $v_{2i-1}$  and  $v_{2i}$  for each  $1 \leq i \leq \lfloor \frac{n}{2} \rfloor$ , as shown below with  $P_7^c$  and  $P_8^c$ .



In general, if we relabel the subscripts to reflect the new vertex ordering  $\sigma_{min}$ , we get  $A_{\sigma_{min}}(P_{2k+2}^c) = \{v_{2i}v_{2i-3} : 2 \leq i \leq k+1\}$  and  $A_{\sigma_{min}}(P_{2k+1}^c) = \{v_{2k+1}v_{2k-1}\} \cup \{v_{2i}v_{2i-3} : 2 \leq i \leq k\}$ . We use  $\sigma_{min}$  when computing feedback matrices and their determinants in Theorems 4.4 and 5.5.

The remaining upset tournaments have a mix of consecutive and nonconsecutive feedback arcs. The following construction helps us to articulate this, combining the ideas of concatenation of graphs (see e.g. [KA90]) and the disjoint union of tournaments in [ZG23].

**Definition.** Let  $T$  and  $T'$  be vertex disjoint tournaments with vertex orderings  $\sigma = v_1, v_2, \dots, v_m$  and  $\sigma' = w_1, w_2, \dots, w_n$ , respectively. The *ordered vertex concatenation* of  $T$  and  $T'$  is the tournament  $T * T'$  satisfying the following.

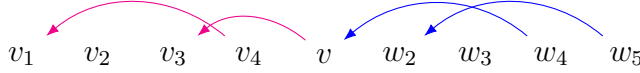
- $V(T * T')$  is the result of taking  $V(T) \cup V(T')$  and identifying  $v_m$  and  $w_1$  as one vertex  $v$ .
- The vertex ordering on  $V(T * T')$ , unless otherwise specified, is given by  $\sigma_c = v_1, v_2, \dots, v_{m-1}, v, w_2, \dots, w_n$ .
- $A_{\sigma_c}(T * T') = A_\sigma(T) \cup A_{\sigma'}(T')$ .



**Example 4.2.** Let  $T$  and  $T'$  be the following tournaments.



To construct  $T * T'$ , we identify  $v_5$  and  $w_1$  into one vertex  $v$ , and keep all feedback arcs from  $T$  and  $T'$ , as follows.



Note that the arc  $v_5v_3$  becomes  $vv_3$ , and the arc  $w_4w_1$  becomes  $w_4v$ . Also, each  $v_i$  for  $i \leq 4$  dominates each  $w_j$  for  $j \geq 2$ .

For any upset tournament  $T$ , let  $\sigma$  be a vertex ordering such that  $A_\sigma(T)$  is a directed path. If either the first two or last two vertices in  $\sigma$  form a feedback arc, we can switch their order to make the first and last feedback arcs be between nonconsecutive vertices. Thus, we can write  $T = P_{m_0}^{nc} * P_{n_1}^c * P_{m_1}^{nc} * \cdots * P_{n_t}^c * P_{m_t}^{nc}$  for  $m_i, n_i \geq 2$ .

If we have some  $n_i = 2$ , let  $D_1 = P_{m_0}^{nc} * P_{n_1}^c * \cdots * P_{m_{i-1}}^{nc}$  and  $D_2 = P_{m_i}^{nc} * P_{n_{i+1}}^c * \cdots * P_{m_t}^{nc}$ . If we switch the order of the two vertices in  $P_{n_i}^c$ , the resulting tournament satisfies the hypotheses of Theorem 3.6(2). The following is immediate.

**Corollary 4.3.** Let  $D_1$  and  $D_2$  be upset tournaments with vertex orderings  $\sigma_1$  and  $\sigma_2$ , respectively, and let  $\sigma$  be the vertex ordering on  $D_1 * P_2^c * D_2$  that is identical to  $\sigma_c$  except the order of the vertices of  $P_2^c$  are switched. If the first and last feedback arcs of each  $D_i$  are between nonconsecutive vertices, then  $\det(M_\sigma(D_1 * P_2^c * D_2)) = \det(M_{\sigma_1}(D_1)) \det(M_{\sigma_2}(D_2))$ .

We now turn our attention back to  $M_{\sigma_{min}}(P_m^c)$ , where  $\sigma_{min}$  is the vertex ordering giving a minimum feedback arc set. As suggested by the examples following the definition of  $\sigma_{min}$ , we treat the cases of  $m$  odd and  $m$  even slightly differently. The matrices we eventually prove to be  $M_{\sigma_{min}}(P_m^c)$  can be defined as follows. Let  $k = \lfloor \frac{m-1}{2} \rfloor$ , and define  $C_m$  to be the following  $k \times k$  matrices. For  $m \leq 8$  (i.e.  $k \leq 3$ ),

$$C_3 = C_4 = [2], \quad C_5 = \begin{bmatrix} 2 & -1 \\ -1 & 2 \end{bmatrix}, \quad C_6 = \begin{bmatrix} 2 & -1 \\ 0 & 2 \end{bmatrix},$$

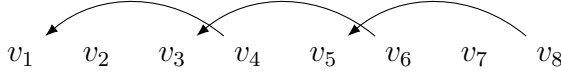
$$C_7 = \begin{bmatrix} 2 & -1 & 0 \\ -1 & 2 & -1 \\ 1 & -1 & 2 \end{bmatrix}, \quad C_8 = \begin{bmatrix} 2 & -1 & 0 \\ -1 & 2 & -1 \\ 1 & 0 & 2 \end{bmatrix}$$

For  $k \geq 4$ ,

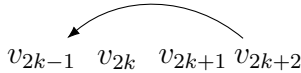
$$C_{2k+1} = \begin{bmatrix} 2 & -1 & 0 & 0 & \cdots & 0 \\ -1 & 2 & -1 & 0 & \cdots & 0 \\ 1 & -1 & 2 & -1 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \ddots & \vdots \\ 0 & \cdots & 1 & -1 & 2 & -1 \\ 0 & \cdots & 0 & 1 & -1 & 2 \end{bmatrix}, \quad C_{2k+2} = \begin{bmatrix} 2 & -1 & 0 & 0 & \cdots & 0 \\ -1 & 2 & -1 & 0 & \cdots & 0 \\ 1 & -1 & 2 & -1 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \ddots & \vdots \\ 0 & \cdots & 1 & -1 & 2 & -1 \\ 0 & \cdots & 0 & 1 & 0 & 2 \end{bmatrix}$$

**Theorem 4.4.** For all  $m \geq 3$ ,  $M_{\sigma_{\min}}(P_m^c) = C_m$ .

*Proof.* We begin our computation of  $M_{\sigma_{\min}}(P_m^c)$  with the case of  $m$  even, so  $m = 2k + 2$  for some  $k \geq 1$ . It is easy to check individually that the theorem holds for  $k \leq 3$  (i.e.  $m \leq 8$ ). For  $k \geq 4$ , the head feedback vertices are  $\{v_{2i+1} : 0 \leq i \leq k - 1\}$ . Let  $\lambda$  be a labeling on  $V(P_m^c)$  that is zero on  $V(P_m^c) - H_{\sigma_{\min}}(P_m^c)$ , and let  $x_{2i+1}$  be the number of times  $v_{2i+1}$  is toggled for each  $0 \leq i \leq k - 1$ . The tail feedback vertices are  $\{v_{2i} : 2 \leq i \leq k + 1\}$ . That leaves  $v_2$  and  $v_{2k+1}$  as the non-feedback vertices. Here is what the first 8 vertices look like.



After  $v_1$  is toggled  $x_1$  times (giving it a label of  $\lambda(v_1) + x_1$ ), Lemma 2.2(1) implies that  $v_2$  must be toggled  $-x_1$  times. This brings all vertices after  $v_2$  except  $v_4$  back to their original labels. After  $v_3$  is toggled  $x_3$  times, the label of  $v_4$  is obtained by adding all of these toggles except  $x_1$  (since  $v_1$  does not dominate  $v_4$ ), leaving  $v_4$  with a label of  $-x_1 + x_3$ . By Lemma 2.2(1),  $v_4$  is toggled  $x_1 - x_3$  times, leaving  $v_1$  with label  $\lambda(v_1) + 2x_1 - x_3$  and  $v_5$  with label  $\lambda(v_5) + x_1$ . Similarly, after  $v_5$  is toggled  $x_5$  times,  $v_6$  has label  $x_1 - x_3 + x_5$ , and so it is toggled  $-x_1 + x_3 - x_5$  times. We now have  $v_3$  with label  $\lambda(v_3) - x_1 + 2x_3 - x_5$ ,  $v_5$  with label  $\lambda(v_5) + x_1 + x_5$ , and  $v_7$  with label  $\lambda(v_7) + x_3$ . After  $v_7$  is toggled  $x_7$  times to get a label of  $\lambda(v_7) + x_3 + x_7$ ,  $v_8$  has label  $x_3 - x_5 + x_7$  and is thus toggled  $-x_3 + x_5 - x_7$  times. This leaves  $v_5$  with label  $x_1 - x_3 + 2x_5 - x_7$  (notice the coefficients 1, -1, 2, -1, which are consistent with  $C_m$ ). By an induction argument, for  $4 \leq i \leq k$ , we can assume that right before we toggle  $v_{2i}$ ,  $v_{2i-3}$  has label  $\lambda(v_{2i-3}) + x_{2i-7} + x_{2i-3}$ ,  $v_{2i-2}$  has label 0,  $v_{2i-1}$  has label  $\lambda(v_{2i-1}) + x_{2i-5} + x_{2i-1}$ , and  $v_{2i}$  has label  $x_{2i-5} - x_{2i-3} + x_{2i-1}$ . Also, for the label of every  $v_j$  with  $j > 2i$  every toggle at this point has been canceled out except for  $x_{2i-5}$  (from  $v_{2i-2}$ ) and  $x_{2i-1}$  (from  $v_{2i-1}$ ). Then  $v_{2i}$  is toggled  $-x_{2i-5} + x_{2i-3} - x_{2i-1}$  times, leaving  $v_{2i-3}$  with label  $\lambda(v_{2i-3}) + x_{2i-7} - x_{2i-5} + 2x_{2i-3} - x_{2i-1}$ . After  $v_{2i+1}$  is toggled  $x_{2i+1}$  times, we add the uncanceled toggles from  $v_{2i-2}$ ,  $v_{2i}$ , and  $v_{2i+1}$  to give  $v_{2i+2}$  a label of  $x_{2i-5} - x_{2i-5} + x_{2i-3} - x_{2i-1} + x_{2i+1} = x_{2i-3} - x_{2i-1} + x_{2i+1}$ , completing the induction. The last four vertices look like the following.



By our induction argument, after  $v_{2k-1}$  is toggled,  $v_{2k}$  has label  $x_{2k-5} - x_{2k-3} + x_{2k-1}$  and  $v_{2k-1}$  has label  $\lambda(v_{2k-1}) + x_{2k-5} + x_{2k-1}$ . After  $v_{2k}$  is toggled  $-x_{2k-5} + x_{2k-3} - x_{2k-1}$  times,  $v_{2k-3}$  has label  $\lambda(v_{2k-3}) + x_{2k-7} - x_{2k-5} + 2x_{2k-3} - x_{2k-1}$  and the non-feedback vertex  $v_{2k+1}$  has label  $x_{2k-3}$ . Then  $v_{2k+1}$  is toggled  $-x_{2k-3}$  times. This leaves  $v_{2k+2}$  with label  $-x_{2k-1}$ . Then  $v_{2k+2}$  is toggled  $x_{2k-1}$  times to obtain label 0, which leaves  $v_{2k-1}$  with label  $\lambda(v_{2k-1}) + x_{2k-5} + 2x_{2k-1}$ . Setting all labels to 0, we get the following system of equations.

$$\lambda(v_1) + 2x_1 - x_3 = 0, \quad \lambda(v_3) - x_1 + 2x_3 - x_5 = 0, \quad \lambda(v_{2k-1}) + x_{2k-5} + 2x_{2k-1} = 0$$

$$\lambda(x_{2i+1}) + x_{2i-3} - x_{2i-1} + 2x_{2i+1} - x_{2i+3} = 0 \text{ for } (2 \leq i \leq k - 2)$$

The matrix for this system of equations is precisely  $C_{2k+2}$  for  $k \geq 4$ . This proves the even case.

Only the case of  $n = 2k + 1$ ,  $k \geq 1$ , remains. It is easy to check the cases for  $k \leq 3$ . For  $k \geq 4$ , the proof is identical to the even case up to the toggling of  $v_{2k}$ . After this, the only difference is that  $v_{2k+1}$  (not  $v_{2k+2}$ ) dominates  $v_{2k-1}$ , and so there is no non-feedback vertex before  $v_{2k+1}$ . So right before  $v_{2k+1}$  is toggled, it has label  $x_{2k-3} - x_{2k-1}$  and  $v_{2k-1}$  has label  $x_{2k-5} + x_{2k-1}$ . Then  $x_{2k+1}$  is toggled  $-x_{2k-3} + x_{2k-1}$  times, giving  $x_{2k-1}$  a final label of  $\lambda(v_{2k-1}) + x_{2k-5} - x_{2k-3} + 2x_{2k-1}$ . So all rows of the feedback matrix are identical to those of  $M_{\sigma'}(P_{2k+2}^c)$  except the last row, which with its extra entry of  $-1$  makes the feedback matrix  $C_{2k+1}$ .  $\square$

## 5 Winnability of the Lights Out Game on $P_n^c$

In this section, we find the determinants of  $C_m$  for  $m \geq 3$ , which then tell us when  $P_m^c$  is  $r$ -AW. Note that while we generally consider the entries of matrices to be in  $\mathbb{Z}_r$  for  $r \geq 2$ , we are only interested in whether or not the determinant is a unit in  $\mathbb{Z}_r$ . Thus, we can consider the entries of the matrices to be integers.

Here are some sequences of integers that will be helpful to us as we work with the matrices.

**Definition.** Consider the sequences  $\{\alpha_j\}$ ,  $\{\beta_j\}$ ,  $\{\gamma_j\}$ , and  $\{\gamma'_j\}$  defined as follows.

1. Let  $\alpha_{-3} = 0$ ,  $\alpha_{-2} = -1$ ,  $\alpha_{-1} = -1$ , and  $\alpha_j = \alpha_{j-1} - 2\alpha_{j-2} + \alpha_{j-3}$  for all  $j \geq 0$ .
2. Let  $\beta_0 = 0$ ,  $\beta_1 = -1$ ,  $\beta_2 = 0$ , and  $\beta_j = \beta_{j-1} - 2\beta_{j-2} + \beta_{j-3}$  for all  $j \geq 0$ .
3. Let  $\gamma_0 = 1$ ,  $\gamma_1 = 2$ ,  $\gamma_2 = 4$ , and  $\gamma_j = 2\gamma_{j-1} - \gamma_{j-2} + \gamma_{j-3}$  for all  $j \geq 3$ .
4. Let  $\gamma'_0 = 1$ ,  $\gamma'_1 = 2$ ,  $\gamma'_2 = 3$ , and  $\gamma'_j = 2\gamma'_{j-1} - \gamma'_{j-2} + \gamma'_{j-3}$  for all  $j \geq 3$ .

All of the above sequences appear in [Slo]. The sequence  $\{\alpha_j\}$  is the negative of the sequence [A077954](#);  $\{\beta_j\}$  is the negative of the sequence [A078019](#);  $\{\gamma_j\}$  is the sequence [A005251](#); and  $\{\gamma'_j\}$  is the sequence [A005314](#).

The following lemma lists some identities for  $\{\alpha_j\}$  and  $\{\beta_j\}$ . All identities involved satisfy the recurrence relation  $x_j = x_{j-1} - 2x_{j-2} + x_{j-3}$  (including linear combinations of  $\alpha_j$  and  $\beta_j$  since the recurrence relation is linear and homogeneous). Therefore, it is only necessary to verify that the identities are true for the first three values of  $j$  (e.g.  $j = -1, 0, 1$  for (1)). These are easily checked.

**Lemma 5.1.** Let  $\{\alpha_j\}$  and  $\{\beta_j\}$  be defined as above.

1. For  $j \geq -1$ ,  $\alpha_j = \beta_{j+2} - \beta_{j+1}$ .
2. For  $j \geq 1$ ,  $\alpha_j = \beta_{j-1} - 2\beta_j$ .
3. For  $j \geq 1$ ,  $\beta_j = \alpha_{j-3} - \alpha_{j-4}$ .

4. For  $j \geq 3$ ,  $\beta_j = \alpha_{j-6} - 2\alpha_{j-5}$

We have the following identities for  $\{\gamma_j\}$  and  $\{\gamma'_j\}$ .

**Lemma 5.2.** For all  $j \geq 0$ ,

1.  $\gamma_j = \alpha_{j-1}\beta_{j+1} - \alpha_j\beta_j = \alpha_j\alpha_{j-1} - \beta_{j+3}\beta_{j+1}$ .
2.  $\gamma'_j = \beta_{j+1}\alpha_{j-2} - \beta_{j+2}\alpha_{j-3} = \beta_{j+1}^2 - \alpha_j\alpha_{j-3}$ .

*Proof.* We begin with (1). Using Lemma 5.1, we substitute  $\beta_{j+3} = \alpha_j - \alpha_{j-1}$  and  $\alpha_{j-1} = \beta_{j+1} - \beta_j$  into  $\alpha_j\alpha_{j-1} - \beta_{j+3}\beta_{j+1}$  to get  $\alpha_{j-1}\beta_{j+1} - \alpha_j\beta_j$ . This shows the last two quantities in (1) are equal. One can easily check that (1) holds for  $j = 0, 1, 2$ . It suffices to show that  $x_j = \beta_{j+1}\alpha_{j-2} - \beta_{j+2}\alpha_{j-3}$  satisfies  $x_j = 2x_{j-1} - x_{j-2} + x_{j-3}$  (i.e. the same recurrence relation as  $\gamma_j$ ). We can substitute the recursive part of the definition for  $\beta_{j+1}$  and  $\alpha_j$  to get

$$\begin{aligned} x_j &= \alpha_{j-1}\beta_{j+1} - \alpha_j\beta_j \\ &= \alpha_{j-1}[\beta_j - 2\beta_{j-1} + \beta_{j-2}] - [\alpha_{j-1} - 2\alpha_{j-2} + \alpha_{j-3}]\beta_j \\ &= 2[\alpha_{j-2}\beta_j - \alpha_{j-1}\beta_{j-1}] + \alpha_{j-1}\beta_{j-2} - \alpha_{j-3}\beta_j \\ &= 2x_{j-1} + \alpha_{j-1}\beta_{j-2} - \alpha_{j-3}\beta_j \end{aligned}$$

We substitute the recursive definition for  $\alpha_{j-1}$  and  $\beta_j$  to get

$$\begin{aligned} x_j &= 2x_{j-1} + [\alpha_{j-2} - 2\alpha_{j-3} + \alpha_{j-4}]\beta_{j-2} \\ &\quad - \alpha_{j-3}[\beta_{j-1} - 2\beta_{j-2} + \beta_{j-3}] \\ &= 2x_{j-1} + \alpha_{j-2}\beta_{j-2} - 2\alpha_{j-3}\beta_{j-2} + \alpha_{j-4}\beta_{j-2} \\ &\quad - \alpha_{j-3}\beta_{j-1} + 2\alpha_{j-3}\beta_{j-2} - \alpha_{j-3}\beta_{j-3} \\ &= 2x_{j-1} + [\alpha_{j-2}\beta_{j-2} - \alpha_{j-3}\beta_{j-1}] + [\alpha_{j-4}\beta_{j-2} - \alpha_{j-3}\beta_{j-3}] \\ &= 2x_{j-1} - x_{j-2} + x_{j-3} \end{aligned}$$

This gives us (1), and (2) follows similarly.  $\square$

We find the determinants for the  $C_m$ , for the most part, by row-reduction. To manage this, we will use sequences of matrix entries to keep track of how many rows in the echelon form have been established and what entries lie on the main diagonal at the end.

We begin with the case  $m \geq 9$ . Let  $k = \lfloor \frac{n-1}{2} \rfloor$ , so  $k \geq 4$  and  $C_m$  is  $k \times k$ . For each  $3 \leq j \leq k-1$ , we move row  $j$  up two rows (which leaves the determinant unchanged). We then use elementary row operations to shift the leading entries of the two rows that are moved down one column to the right. Here is what this looks like in general for rows  $j-2$ ,  $j-1$ , and  $j$ . Only columns with nonzero entries are shown.

$$\begin{bmatrix} a_{j-1} & b_{j-1} & c_{j-1} & 0 \\ a'_{j-1} & b'_{j-1} & c'_{j-1} & 0 \\ 1 & -1 & 2 & -1 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & -1 & 2 & -1 \\ a_{j-1} & b_{j-1} & c_{j-1} & 0 \\ a'_{j-1} & b'_{j-1} & c'_{j-1} & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & -1 & 2 & -1 \\ 0 & b_{j-1} + a_{j-1} & c_{j-1} - 2a_{j-1} & a_{j-1} \\ 0 & b'_{j-1} + a'_{j-1} & c'_{j-1} - 2a'_{j-1} & a'_{j-1} \end{bmatrix} \quad (1)$$

The third matrix is obtained by adding  $-a_{j-1}$  times the first row to the second row and  $-a'_{j-1}$  times the first row to the third row. Note that the indices of the sequences match the row with entries  $a'_{j-1}$ ,  $b'_{j-1}$ , and  $c'_{j-1}$ . Moreover, the first two rows of  $C_m$  give us  $a_2 = 2$ ,  $b_2 = -1$ ,  $c_2 = 0$ ,  $a'_2 = -1$ ,  $b'_2 = 2$ , and  $c'_2 = -1$ . Since the nonzero entries in rows  $j-1$  and  $j$  of the last matrix above correspond to the entries in the sequence with index  $j$ , we get the following.

$$\begin{aligned} a_j &= b_{j-1} + a_{j-1}, & b_j &= c_{j-1} - 2a_{j-1}, & c_j &= a_{j-1} \\ a'_j &= b'_{j-1} + a'_{j-1}, & b'_j &= c'_{j-1} - 2a'_{j-1}, & c'_j &= a'_{j-1} \end{aligned} \quad (2)$$

We use the above to prove the following identities.

**Lemma 5.3.** Let  $\{a_j\}$ ,  $\{b_j\}$ ,  $\{c_j\}$ ,  $\{a'_j\}$ ,  $\{b'_j\}$ , and  $\{c'_j\}$  be defined as above. For all  $j \geq 2$ ,  $a_j = \beta_{j+1}$ ,  $b_j = \alpha_j$ ,  $c_j = \beta_j$ ,  $a'_j = \alpha_{j-3}$ ,  $b'_j = \beta_{j+1}$ , and  $c'_j = \alpha_{j-4}$ .

*Proof.* By applying the row-reduction algorithm from Equation 1 above twice to  $C_m$ , it is easy to check that the identities are true for  $j = 2, 3, 4$ . It suffices to prove that each of  $\{a_j\}$ ,  $\{b_j\}$ ,  $\{c_j\}$ ,  $\{a'_j\}$ ,  $\{b'_j\}$ , and  $\{c'_j\}$  satisfy the recurrence relation  $x_j = x_{j-1} - 2x_{j-2} + x_{j-3}$  for all  $j \geq 5$ .

By Equations 2, we have  $a_j = b_{j-1} + a_{j-1}$ ,  $b_{j-1} = c_{j-2} - 2a_{j-2}$ , and  $c_{j-2} = a_{j-3}$ . By substituting the third of these equations into the second equation, substituting the second equation into the first equation, and rearranging terms, we get  $a_j = a_{j-1} - 2a_{j-2} + a_{j-3}$ . Similarly,  $a'_j = a'_{j-1} - 2a'_{j-2} + a'_{j-3}$ . Since  $c_j = a_{j-1}$  and  $c'_j = a'_{j-1}$ , it is clear that  $\{c_j\}$  and  $\{c'_j\}$  satisfy the recurrence relation. Finally, the second and fifth equations in Equations 2 show that  $\{b_j\}$  and  $\{b'_j\}$  are linear combinations of  $\{a_{j-1}\}$ ,  $\{c_{j-1}\}$ ,  $\{a'_{j-1}\}$ , and  $\{c'_{j-1}\}$ . Since the recurrence relation is linear homogeneous, that implies  $\{b_j\}$  and  $\{b'_j\}$  satisfy the recurrence relation as well. This completes the proof.  $\square$

By applying the row operations through row  $k-1$  for  $C_m$ , we get the following.

**Lemma 5.4.** Let  $k \geq 4$ . Then  $C_{2k+2}$  can be row reduced in a way that does not change the determinant to a matrix of the form

$$\left[ \begin{array}{c|ccc} T'_{k-3} & * & * & * \\ 0 & \beta_k & \alpha_{k-1} & \beta_{k-1} \\ 0 & \alpha_{k-4} & \beta_k & \alpha_{k-5} \\ 0 & 1 & 0 & 2 \end{array} \right]$$

and similarly with  $C_{2k+1}$  to a matrix of the form

$$\left[ \begin{array}{c|ccc} T'_{k-3} & * & * & * \\ 0 & \beta_k & \alpha_{k-1} & \beta_{k-1} \\ 0 & \alpha_{k-4} & \beta_k & \alpha_{k-5} \\ 0 & 1 & -1 & 2 \end{array} \right]$$

where  $T'_{k-3}$  is an  $(k-3) \times (k-3)$  upper triangular matrix with 1's on the main diagonal.

We can use the above to get the following determinants.

**Theorem 5.5.** Let  $k \geq 1$ .

1.  $\det(C_{2k+2}) = \gamma_k$ .
2.  $\det(C_{2k+1}) = \gamma'_k$ .

*Proof.* It is easy to check the determinants in both cases for  $k \in \{0, 1, 2, 3\}$ . For  $k \geq 4$ , we use Lemma 5.4, the fact that  $\det(T'_{k-3}) = 1$ , and Proposition 1.2 to get

$$\begin{aligned} \det(C_{2k+2}) &= \det(T'_{k-3}) \det \left( \begin{bmatrix} \beta_k & \alpha_{k-1} & \beta_{k-1} \\ \alpha_{k-4} & \beta_k & \alpha_{k-5} \\ 1 & 0 & 2 \end{bmatrix} \right) = \det \left( \begin{bmatrix} \beta_k & \alpha_{k-1} & \beta_{k-1} \\ \alpha_{k-4} & \beta_k & \alpha_{k-5} \\ 1 & 0 & 2 \end{bmatrix} \right) \\ \det(C_{2k+1}) &= \det(T'_{k-3}) \det \left( \begin{bmatrix} \beta_k & \alpha_{k-1} & \beta_{k-1} \\ \alpha_{k-4} & \beta_k & \alpha_{k-5} \\ 1 & -1 & 2 \end{bmatrix} \right) = \det \left( \begin{bmatrix} \beta_k & \alpha_{k-1} & \beta_{k-1} \\ \alpha_{k-4} & \beta_k & \alpha_{k-5} \\ 1 & -1 & 2 \end{bmatrix} \right) \end{aligned}$$

We use the above and Lemma 5.1 to get

$$\begin{aligned} \det(C_{2k+2}) &= [2\beta_k^2 + \alpha_{k-1}\alpha_{k-5}] - [\beta_{k-1}\beta_k + 2\alpha_{k-1}\alpha_{k-4}] \\ &= [2\beta_k - \beta_{k-1}]\beta_k + \alpha_{k-1}[\alpha_{k-5} - 2\alpha_{k-4}] \\ &= -\alpha_k\beta_k + \alpha_{k-1}\beta_{k+1} = \gamma_k \\ \det(C_{2k+1}) &= [2\beta_k^2 + \alpha_{k-1}\alpha_{k-5} - \beta_{k-1}\alpha_{k-4}] \\ &\quad - [\beta_{k-1}\beta_k + 2\alpha_{k-1}\alpha_{k-4} - \beta_k\alpha_{k-5}] \\ &= [\alpha_{k-1}\alpha_{k-5} - 2\alpha_{k-1}\alpha_{k-4} + \beta_k\alpha_{k-5}] \\ &\quad + [-\beta_{k-1}\alpha_{k-4} - \beta_{k-1}\beta_k + 2\beta_k^2] \\ &= [\alpha_{k-1}\alpha_{k-5} - 2\alpha_{k-1}\alpha_{k-4} + \beta_k\alpha_{k-5} - 2\beta_k\alpha_{k-4}] \\ &\quad + [-\beta_{k-1}\alpha_{k-4} - \beta_{k-1}\beta_k + 2\beta_k^2 + 2\beta_k\alpha_{k-4}] \\ &= [\alpha_{k-1} + \beta_k][\alpha_{k-5} - 2\alpha_{k-4}] \\ &\quad - [\beta_{k-1} - 2\beta_k][\alpha_{k-4} + \beta_k] \\ &= \beta_{k+1}^2 - \alpha_k\alpha_{k-3} = \gamma'_k \end{aligned}$$

□

By using Lemma 4.4, the following is immediate.

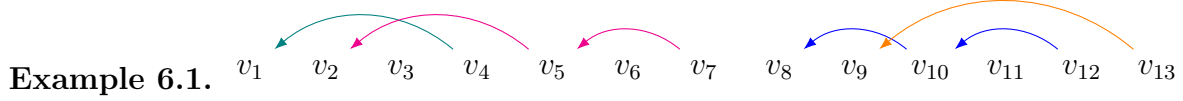
**Corollary 5.6.** Let  $r \geq 2$ ,  $k \geq 1$ .

1.  $P_{2k+2}^c$  is  $r$ -AW if and only if  $\gcd(r, \gamma_k) = 1$ .
2.  $P_{2k+1}^c$  is  $r$ -AW if and only if  $\gcd(r, \gamma'_k) = 1$ .



## 6 When $A_\sigma(D)$ is a Union of Directed Paths

Our last result is a quick application of Corollary 3.4 and Theorem 3.6 to tournaments whose feedback arc sets are certain vertex-disjoint unions of directed paths. An example of such a tournament is given below.



As the above example shows, there are many ways the paths can interact with each other, from no interaction at all (e.g. the magenta and blue paths) to having arcs in one path surrounded by arcs in another path (e.g. the blue and orange paths). We focus on cases where the interaction is minimal. To describe more precisely what we mean by “interaction”, we need to develop some terminology.

**Definition.** Let  $D$  be a digraph with vertex ordering  $\sigma$  such that  $A_\sigma(D)$  is a disjoint union of directed paths.

- Let  $P$  be a directed path in  $A_\sigma(D)$  whose first and last vertices with respect to  $\sigma$  are  $v$  and  $w$ . We say that  $P$  *encloses* the interval between  $v$  and  $w$ , inclusive, and we denote the interval between  $v$  and  $w$  by  $I_P$ .
- Two directed paths  $P$  and  $P'$  are *overlapping* if  $I_P \cap I_{P'} \neq \emptyset$ . Otherwise,  $P$  and  $P'$  are *non-overlapping*.
- Two directed paths in  $A_\sigma(D)$  are *minimally overlapping* if the initial arc  $wv$  of one of the paths and the terminal arc  $w'v'$  of the other path satisfy  $v < v' < w < w'$  under  $\sigma$ .
- If  $P$  is a directed path in  $A_\sigma(D)$ , we use  $T_P$  to denote the tournament whose vertices are the vertices enclosed by  $P$  and whose feedback arc set is  $A(P)$ .
- Let  $P$  and  $P'$  be two directed paths in  $A_\sigma(D)$  that do not have the same terminal vertex. We say  $P < P'$  if the terminal vertex of  $P$  comes before the terminal vertex of  $P'$  under  $\sigma$ .

In Example 6.1, the green path encloses the interval between  $v_8$  and  $v_{12}$ . The blue and magenta paths are minimally overlapping, but no other two directed paths are. The order of the paths is *blue*  $<$  *magenta*  $<$  *green*  $<$  *orange*.

Suppose we have a tournament  $T$  with vertex ordering  $\sigma$  such that  $A_\sigma(T)$  is a disjoint union of directed paths that are totally ordered under  $<$ , each consecutive pair of these directed paths is minimally overlapping or non-overlapping, and every pair of non-consecutive directed paths is non-overlapping. There are three ways that consecutive paths  $P$  and  $P'$  (with  $P$  preceding  $P'$ ) can have overlapping arcs.

1.  $P$  and  $P'$  can be non-overlapping.

2.  $P$  and  $P'$  have overlapping arcs, and there is a non-feedback interval between the terminal vertex of  $P'$  and the initial vertex of  $P$ .
3.  $P$  and  $P'$  have overlapping arcs, the terminal vertex  $v$  of  $P'$  and the initial vertex  $u$  of  $P$  are consecutive, and there are non-feedback intervals immediately before and after  $v$  and  $w$ .
4.  $P$  and  $P'$  have overlapping arcs, and one or the other of the initial vertex of  $P$  or the terminal vertex of  $P'$  both immediately precedes and is immediately preceded by feedback vertices.

For (1),  $P$  and  $P'$  are in different strong components, so we can apply Corollary 3.4. For (2) and (3), we have the following for the initial arc of  $P$  and the terminal arc of  $P'$ , where the horizontal lines represent non-feedback intervals. For both of these, we can apply Theorem 3.6.



Finally, for (4), we get something that resembles the minimum feedback ordering for  $P_m^c$ , where  $m$  is odd (see the visual representation of  $P_7^c$  and  $P_8^c$  following Theorem 4.1 for an example). This is a more difficult case, which we avoid for our next theorem. If we assume that either (1), (2) or (3) hold and apply Corollary 3.4 and Theorem 3.6, we get the following.

**Theorem 6.2.** Let  $T$  be a tournament with vertex ordering  $\sigma$  such that  $A_\sigma(T)$  is a disjoint union of directed paths  $\bigcup_{i=1}^n P_i$ , where  $P_i < P_j$  if and only if  $i < j$ . Suppose also that  $P_i$  and  $P_{i+1}$  either minimally overlap or are non-overlapping for all  $1 \leq i \leq n-1$ , and  $P_i$  and  $P_j$  are non-overlapping for  $|i-j| \geq 2$ . If  $\sigma_i$  is the restriction of  $\sigma$  to  $T_i$  for each  $1 \leq i \leq n$ , then

1.  $\det(M_\sigma(T)) = \prod_{i=1}^n \det(M_{\sigma_i}(T_{P_i}))$
2.  $T$  is  $r$ -AW if and only if each  $T_{P_i}$  is  $r$ -AW.

## 7 Future Work

There are at least two avenues of research that we can take from our work here.

- Upset Tournaments: Theorem 4.1, Corollary 4.3, and Corollary 5.6 determine  $r$ -AW upset tournaments when the feedback arcs are either all between nonconsecutive vertices; all between consecutive vertices; or when each feedback arc between consecutive vertices has no more than one arc. All that remains are the cases where the ordered vertex concatenation includes  $P_n^c$  with  $n \geq 3$ .

- Feedback Arc Sets that are Disjoint Unions of Directed Paths: Theorem 6.2 determines  $r$ -AW tournaments when the disjoint directed paths overlap in limited ways. It would be nice to at least complete the case of minimally overlapping paths. More ambitiously, we could explore more complex ways that the directed paths can interact.

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