Real-Time Operating Systems (0_KRI) The POSIX Standard II

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Outline

- Multithreading
- Thread-Specific Data
- Initialization Code
- Exception Handling
- Signals
- Other Functions

- Creation, termination and wait for thread termination.
- Forced termination (cancellation).
- Scheduler control.
- Synchronization.
- Thread-specific data.
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Availability and Restrictions

- These functions are suitable for soft real-time applications, and are available on most modern real-time and general-purpose operating systems.
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Creating and Terminating a Thread

The most basic functions to create, terminate and wait for the termination of a thread are:

Nome	Funzione
pthread_create	Create a new thread
pthread_exit	Terminate the calling thread
pthread_join	Wait for thread termination
pthread_cancel	Forcibly terminate a thread

These function are similar to the Unix system calls fork, exit, wait, and kill, but handle threads instead of processes.

```
int pthread_create(
  pthread_t *TH,
  pthread_attr_t *ATTR,
  void * (*START_ROUTINE)(void *),
  void * ARG);
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- It creates a new thread that starts executing concurrently with the calling thread.
- The new thread executes the function START_ROUTINE with argument ARG.
- The ATTR attribute specifies the attributes of the new thread; a NULL
 value denotes a default set of attributes.
- When successful, this function stores into the location pointed by TH the identifier of the new thread and returns zero. Otherwise, it returns a non-zero error code.

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Voluntary Thread Termination

A thread can voluntarily terminate its execution in two ways:

- implicitly, by performing a return from its START_ROUTINE;
- explicitly, by calling the pthread_exit function from anywhere in the code.

In the first case, the effect is the same as an explicit call to pthread_exit with an exit code set to the value returned by START_ROUTINE.

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Thread Attributes (I)

The ATTR argument, specified during the creation of a new thread, allows the caller to specify the attributes of the thread being created. Some attributes deal with thread scheduling, and will be described later. Other interesting attributes are, for example:

Attribute	Value
detachstate	PTHREAD_CREATE_JOINABLE or
	PTHREAD_CREATE_DETACHED (default)
stackaddr	Address of the thread stack
stacksize	Size of the thread stack
	(> PTHREAD_STACK_MIN)
stack	Address & size of the thread stack
guardsize	Size of the guard region surrounding the
	thread stack

Thread Attributes (II)

 To set the attributes of a thread, one must first of all initialize a pthread_attr_t object that will hold them, by means of the function:

```
int pthread_attr_init(
   pthread_attr_t *ATTR);
```

 Then, it is possible to get and set each attribute through the pair of functions:

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nt pthread_attr_getattr(
    pthread_attr_t *ATTR, ...);
int pthread_attr_setattr(
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where attr is the name of the attribute to get/set.

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Thread Attributes (III)

For example, the functions:

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int pthread_attr_getdetachstate(
   pthread_attr_t *ATTR, int *STATE);

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get and set the detachstate attribute.

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Cleanup e Finalization

A thread voluntarily terminates by executing return from its START_ROUTINE or by calling void pthread_exit (void *RETVAL).

In both cases, immediately before terminating, the thread still executes several "cleanup" actions previously associated with the thread itself, namely:

- It invokes the cleanup handlers registered by pthread_cleanup_push, in LIFO order.
- It invokes the finalizers associated with all thread-specific data items that are not NULL.

RETVAL is the exit code of the thread. It can be passed to another thread when it executes the pthread_join function. pthread_exit never returns to the caller.

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Detached Threads and pthread_join

- By the standard, multiple pthread_join calls with the same target thread are not allowed and produce unspecified results.
 Moreover, the target thread must not be detached.
- When a non-detached thread terminates, the system keeps part
 of its resources, e.g. the thread descriptor, until another thread
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- To avoid memory leaks, be sure to execute pthread_join on all non-detached threads. Otherwise, detach all threads for which there are no good reasons to perform a join.

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Cancellation (I)

- The cancellation mechanism allows a thread to request the forced, involuntary termination of another thread.
- The following function raises a cancellation request:

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nt pthread_cancel(
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- When receiving a cancellation request, the target thread can react by:
 - ignoring the request completely
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- When a thread terminates after a cancellation, the effect is the same as the invocation of pthread_exit (PTHREAD_CANCELED), including the activation of its cleanup handlers and finalizers.
- There are many cancellation points:

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Cancellation State and Type

The function:

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int pthread_setcancelstate(
    int STATE,
    int *OLDSTATE);

allows the calling thread to ignore (STATE ==
PTHREAD_CANCEL_DISABLE) or honor (STATE ==
PTHREAD_CANCEL_ENABLE) the cancellation requests it receives.
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The function:

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allows the calling thread to choose whether the cancellation requests it receives are honored immediately (TYPE == PTHREAD_CANCEL_ASYNCHRONOUS) or are deferred until the next cancellation point (TYPE == PTHREAD_CANCEL_DEFERRED).

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• Each thread can register through the function:

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- ROUTINE is the function to be executed, with argument ARG.
- These functions will be called in LIFO order, when a thread is about to terminate (either voluntarily or involuntarily).
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Cleanup handlers - Removal

 It is possible to remove a cleanup handler even if the thread is not about to terminate, by means of the function:

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void pthread_cleanup_pop(
  int EXECUTE);
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 This function removes the cleanup handler that has been registered last. If the EXECUTE flag is not zero, it invokes the cleanup handler being removed, too.

Both pthread_cleanup_push and pthread_cleanup_pop may be implemented as macros. Hence, their use is subject to several restrictions described in the standard.

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- schedparam: Scheduling parameters (priority, ...).
- inheritsched:
 - PTHREAD_EXPLICIT_SCHED: the thread is scheduled according to schedpolicy and schedparam (default);
 - PTHREAD_INHERIT_SCHED: the thread inherits its scheduling parameters from its father.
- scope:
 - PTHREAD_SCOPE_SYSTEM: the thread priority is relative to all other threads in the system (default);
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Mutex Devices

Definition

A *mutex* is a specialized semaphore, whose initial (and maximum) value is 1.

- It is useful to implement mutual exclusion in the accesses to shared data.
- In other words, a mutex can be in one of two possible states:

unlocked: no threads "own" the mutex. locked: a thread "owns" the mutex.

When a thread tries to acquire a mutex owned by another thread, it shall wait.

• The function:

```
int pthread_mutex_init(
  pthread_mutex_t *MUTEX,
  const pthread_mutexattr_t *MUTEXATTR);
```

initializes the mutex pointed by MUTEX.

- The MUTEXATTR parameters allows the caller to specify which "flavor" of mutex it wants, that is, its attributes. Several attributes, related with the priority inversion problem, will be described later.
- The function:

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int pthread_mutex_lock(pthread_mutex_t *MUTEX);
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- pthread_mutex_lock blocks the calling thread if the mutex is currently owned by another thread.
- pthread_mutex_unlock restarts one of the threads waiting for the mutex, if any...
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Polling and Timed Wait

The following functions allow the caller to exercise a finer control on the wait:

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• int pthread_mutex_trylock(
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works like pthread_mutex_lock, but never blocks the caller and immediately returns with an error code if the mutex is owned by another thread.

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• int pthread_mutex_timedlock(
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has an additional parameter ABSTIME that poses an upper bound on the wait time.

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Condition variables

Definition

A condition variable is a synchronization device useful to perform a passive wait until a predicate on a set of shared variable is satisfied.

- It is always associated with a mutex, to avoid a race condition between the evaluation of the predicate and the execution of the wait, when another thread signals the condition in between.
- Unlike with monitors (which are a programming language construct), the programmer is responsible of ensuring a correct association in this case.

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- Unlike with monitors (which are a programming language construct), the programmer is responsible of ensuring a correct association in this case.

• The function:

```
int pthread_cond_init(
  pthread_cond_t *COND,
  pthread_condattr_t *CONDATTR);
```

initializes the condition variable pointed by COND. The CONDATTR argument allows the caller to specify which attributes the condition variable shall have but, for the sake of simplicity, we will not describe them.

• The function:

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int pthread_cond_destroy(
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• The function:

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Signalling a Condition Variable

The functions:

```
int pthread_cond_signal(
    pthread_cond_t *COND);
int pthread_cond_broadcast(
    pthread_cond_t *COND);
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restart one or all threads that are waiting on the condition variable COND, respectively. They have no effect if no threads are waiting on COND.

- Both functions assume that the calling thread owns MUTEX, to avoid race conditions.
- If more than one thread is waiting on COND, pthread_cond_signal restarts one of them, but it is not specified which one.

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Timed Wait and Cancellation

The function:

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int pthread_cond_timedwait(
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  pthread_mutex_t *MUTEX,
  const struct timespec *ABSTIME);
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works like pthread_cond_wait but bounds the maximum duration of the wait by means of the ABSTIME argument.

Both pthread_cond_wait and pthread_cond_timedwait are cancellation points: if a thread accepts a cancellation request while waiting on a condition variable, it resumes execution (to perform its cleanup and finalization functions) after re-acquiring MUTEX. Keeping this peculiarity in mind is important to write good cleanup handlers.

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- All threads belonging to the same process share the same (virtual) address space.
- Hence, only automatic variables (usually allocated on the thread stack) are private to each thread.
- All other variables, either global or static, are shared among all threads.

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TSD Management and Access

• The following functions can be used to manage and access TSD:

Nome	Scopo
pthread_key_create	Create a new TSD key
pthread_key_delete	Destroy a TSD key
pthread_setspecific	Set the per-thread TSD value
pthread_getspecific	Get the per-thread TSD value

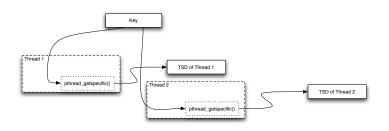
 Each process can create up to PTHREAD_KEYS_MAX keys to represent different sets of Thread-Specific Data. Each key has a void * pointer value that is distinct for each thread and that each thread can get and set autonomously.

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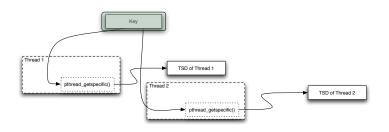
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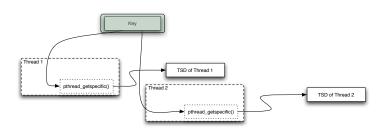
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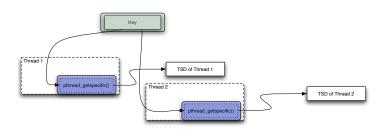
- First of all, the shared TSD key must be created by means of the pthread_key_create function.
- Then, each thread can set and get its own private pointer associated with the key by means of the pthread_setspecific and pthread_getspecific functions.
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int pthread_key_create(
  pthread_key_t *KEY,
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- This function creates a new TSD key and stores it into the location pointed by KEY.
- The FINALIZER function will be invoked to destroy the TSD associated with the key by a thread when that thread terminates, either voluntarily or after a cancellation.
- When invoked, the FINALIZER gets a pointer to the TSD to be destroyed as argument.
- The FINALIZER is not called when a thread explicitly executes a pthread_setspecific or when the key itself is destroyed by means of pthread_key_delete.

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Deletion of a TSD Key

The following function deletes a TSD key:

int pthread_key_delete(pthread_key_t KEY);

Its effect is to destroy the given KEY, without calling its finalizer.

Both pthread_key_create and pthread_key_delete return a non-zero integer on error.

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TSD Access

 Each thread sets its own pointer, associated with a TSD key KEY, with the function:

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int pthread_setspecific(
  pthread_key_t KEY,
  const void *POINTER);
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where POINTER is the new TSD pointer to be associated with KEY for the calling thread. It returns a non-zero value on error, and does not invoke the finalizer for the old TSD pointer being replaced.

 Each thread can get its own pointer associated with a TSD key KEY, with the function:

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void *pthread_getspecific(pthread_key_t KEY);
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This function returns either the TSD pointer, or a NULL pointer on error

It is impossible for a thread to get the TSD pointer belonging to another thread.

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In a multithreaded process, there is often the need of executing a fragment of code, typically dealing with initialization activities, exactly once. The following function comes to help:

```
int pthread_once(
  pthread_once_t *ONCE,
  void (*INIT) (void));
```

- The shared variable pointed by ONCE shall be statically initialized to the constant PTHREAD_ONCE_INIT, defined in pthread.h.
- The very first invocation of pthread_once (ONCE, INIT) atomically executes the INIT function and updates the variable pointed by ONCE.
- Any further invocation of pthread_once (ONCE, INIT) with the same ONCE has no effect.
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Definition

- As a consequence, most programming languages provide facilities which enable at least some exceptions to be handled.
- The degree of sophistication of the exception handling models and mechanisms increased considerably in recent years.
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Error Detection

Error detection techniques can be partitioned into two main classes:

- Environmental detection: these errors are detected by the environment in which a program is executed. They include, for example:
 - ► Hardware-detected errors, for example the execution of an illegal instruction, a protection violation or a power failure.
 - Errors detected by the language run-time support system or by the operating system, for example an invalid argument passed to a system call.
- Application detection: these errors are detected by the application itself as a result of self-checking. For example:
 - ▶ Replication checks (perform a computation *N* times, using different techniques, and compare the results).
 - Assertion checks (evaluate a logical expression which is true if no error is detected).
 - ► Timing checks (verify that the timing of a critical process function stays within its deadline).

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Synchronous and Asynchronous Reporting

When an error is detected, it is reported to the offending process by means of an exception.

Depending on the nature of the error, and on the delay in detecting it, the exception can be raised either synchronously or asynchronously:

- A synchronous exception is raised as the immediate result of a fragment of code attempting to perform an illegal operation, for example a system call invoked with an invalid parameter.
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- Synchronous exceptions, detected by the application: for example an assertion failure.
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- 4 Asynchronous exceptions, detected by the application: for example, a violation of a timing constraint.

- In POSIX, error conditions are indicated by the return of either a <u>NULL pointer</u>, or a <u>non-zero integer value</u>, depending on the function.
- + It is simple, and does not require any new language mechanism to be implemented.
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- All these exceptions are detected by the environment, but they may be detected either synchronously or asynchronously. For example:
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Exception Handling in C

Unfortunately, C does not define any exception-handling mechanism within the language. However, two POSIX facilities, setjmp and longjmp, combined with the macro facility of the language, can be used to provide some form of non-trivial exception handling.

- The setjmp routine saves the calling thread status and returns zero.
- Longjmp restores the status previously saved by setjmp, so that the thread abandons its current execution flow and restarts from the position where setjmp was called.
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- set jmp saves the calling thread status into env and returns zero.
- The size and format of the data structure that holds the thread status depend on the execution environment, but POSIX defines an opaque data type, jmp_buf for it, so that the application is still portable.
- After a longjmp is performed on the same env, setjmp "appears" to return to the caller a second time, with a non-zero return value.

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- This function restores the thread status saved into env by the most recent invocation of set jmp.
- Hence, the thread proceeds "as if" that set jmp had just returned the value val instead of zero.
- It is not possible to call longjmp when the saved context is no longer valid, for example after the routine which invoked set jmp has returned to the caller.
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What about Accessible Objects?

After a longjmp...

- Since the thread status does not include the address space, all accessible objects (e.g. global variables) have values, and all other components of the abstract machine (e.g. open files) have state, as of the time longjmp (not set jmp) was called.
- POSIX also specifies that some processor state item, for example the floating-point status flags, may not be properly restored.
- Mainly due to compiler optimizations, the values of automatic objects that are local to the function containing the setjmp invocation and have been changed between the setjmp and the longjmp calls may have an undefined value, unless they are qualified as volatile.

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The Termination Model for Exception Handling

In this model, also known as the escape model, when an exception has been raised and handled, control does not return to the point where the exception occurred. Instead, control is passed to the calling block or procedure.

- Other models exist, for example the resumption or notify model in which execution resumes from the point where the exception occurred after the exception has been handled. POSIX supports the resumption model for exceptions it conveys through a signal.
- The hybrid model leaves the exception handler free to decide whether to resume the operation in which the exception was raised, or terminate it.

```
#include <setimp.h>
#define EXC_FIRST 1
#define EXC_...
int f(void) {
    imp buf save area;
    int exc;
    if((exc = setjmp(save_area)) == 0) {
      ... The guarded block ...
if(... Exception EXC_FIRST has been identified ...)
        longjmp(save_area, EXC_FIRST);
       ... End of the quarded block ...
    else {
       ... Exception handling code ...
      switch (exc) {
         case EXC FIRST:
           ... Handle EXC FIRST ...
           break:
        case ...
```

Each exception has its own, unique identifier: for example, $\texttt{EXC_FIRST}$ represents an exception. Identifiers cannot be zero.

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#include <setimp.h>
#define EXC_FIRST 1
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int f(void) {
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A status save area is defined inside the block (function f in this case) containing the code to be guarded.

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The status is saved on entry into the guarded block. The first time \mathtt{setjmp} returns zero, thus leading to the execution of the guarded block.

```
#include <setimp.h>
#define EXC FĬRST 1
#define EXC_...
int f(void) {
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In the guarded block, longjmp is called whenever an exception is identified. The exception identifier is passed to longjmp as an argument, in order to propagate it to the exception handling code.

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The call to longjmp leads to the execution of the exception handling code. It can distinguish one exception from another by means of the exception identifier.

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According to the termination model, after exception handling control is returned to the caller of f.

- The method cannot always be used to handle the exceptions that are conveyed through a signal, because on some systems longjmp cannot be used while handing a signal.
- The code is still difficult to understand, due to the various calls to set jmp and longjmp. Moreover, it relies on programmer's discipline to work correctly, because the compiler is unable to perform any check on it.
- + It is possible to define a set of cpp macros to help structure the program.
- + The exception handling code is less obtrusive, because it is not longer intertwined with the application code dealing with normal processing.
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The POSIX standard specifies three different ways to notify a process about the occurrence of an asynchronous event (depending on the value of the .sigev_notify field of the struct sigevent):

- No notification: the process explicitly waits for events to occur by invoking a function, for example mq_receive on a message queue.
- Execution of a notification function, specified by the .sigev_notify_function field. The function is executed by its own thread, whose attributes are taken from
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- The execution of a notification function requires multithreading support.

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Signals

Signals were already specified by the ISO C standard as a way for the operating system to convey information to a process or thread even if it is not necessarily waiting for that information. The IEEE 1003.1 standard extended the mechanism for real-time. The system raises a signal:

- when an error occurs during process execution, for example a memory reference through an invalid pointer (synchronous signal);
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ISO Signal Versus POSIX Signals

- In ISO C each kind of signal has a unique integer value (its signal number), but when multiple signals of different kind are pending their service order is unspecified. Instead, POSIX establishes a priority hierarchy for a subset of signal numbers, from SIGRTMIN to SIGRTMAX.
- In ISO C there is no provision for signal queuing. Hence, if multiple signals of the same kind are raised before the target process had a chance of handling them, all signals but the first one are lost. POSIX associates a FIFO queue to each kind of signal and provides the ability to tag each signal request with an information item (union sigval).
- Signal handling and multithreading (if implemented) are fully integrated.

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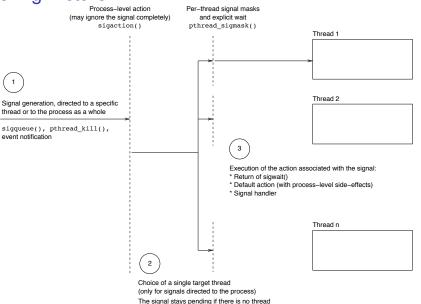
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Signal-Related Functions

Funzioni	Scopo
sigqueue	
pthread_kill	Raise a signal
sigaction	Get/Set the process-level action
sigaltstack	Set the signal handler private stack
pthread_sigmask	Get/Set the per-thread signal mask
sigemptyset	
sigfillset	
sigaddset	
sigdelset	
sigismember	Manipulate signal masks
sigwait	
sigwaitinfo	
sigtimedwait	Wait for a signal to arrive

The Big Picture



suitable for immediate delivery

- Most signals are raised by the operating system itself, and not by any process or thread.
- A signal may target either a process as a whole or, more specifically, a single thread;
- POSIX specifies that the signals raised by the system must be targeted as precisely as possible (depending on the kind of signal), for example:
 - ▶ memory fault → thread
 - ▶ power outage → process
- It is possible to synthesize a signal with the functions:
 - sigqueue, for signals that target a process
 - pthread_kill, for signals that target a thread (belonging to the same process as the caller).

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 - sigqueue, for signals that target a process;
 - pthread_kill, for signals that target a thread (belonging to the same process as the caller).

- Most signals are raised by the operating system itself, and not by any process or thread.
- A signal may target either a process as a whole or, more specifically, a single thread;
- POSIX specifies that the signals raised by the system must be targeted as precisely as possible (depending on the kind of signal), for example:
 - ▶ memory fault → thread;
 - ▶ power outage → process;
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Each process can specify how it wants to react to each kind of signal defined in the system by means of the signation function. The reaction may consist of:

- Ignoring the signal completely.
- Carrying out a default action associated with the signal. That action is executed by the operating system on behalf of the process and may have process-wide side effects, for example process termination.
- Executing a signal handling function specified by the programmer (signal handler for short).

When a signal is raised, the system immediately checks the process-level action associated with the signal. If the process is ignoring the signal, it is immediately discarded, otherwise the system looks for a thread to handle it

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Signal Flags

The sigaction function allows the caller to set a (huge) set of flags for each kind of signal. For example:

- SA_SIGINFO allows each signal request to be tagged with a limited amount of information (a union sigval). That information is then passed on to the signal handler through an additional argument.
- SA_RESTART enables the automatic restart of any system call that has been interrupted by the arrival of a signal. When it is not set, the interrupted system call fails with an error indication.
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- A signal may be either delivered to or accepted by a thread.
- Each thread has its own signal mask that allows it to block one or more kinds of signal. It can be read and written by means of the pthread_sigmask function.
- The following functions manipulate a signal mask:
 - sigemptyset: set a mask so that it excludes all kinds of signal kinds of si
 - sigfillset: set a mask so that it includes all kinds of signal;
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Delivery

- A thread is a candidate for signal delivery if and only if its signal mask is not blocking that kind of signal.
- When a signal is successfully delivered to a thread, that thread executes the process-level action associated with the signal.
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- A thread can also explicitly wait for signals to arrive by means of the sigwait function. The function has a signal mask as argument, to specify which kinds of signal the thread is interested in.
- When a signal of the right kind arrives, the thread accepts the signal and continues its execution after the sigwait call, which also returns the signal number of the signal just accepted.
- The priority hierarchy set forth for the signal numbers between SIGRTMIN and SIGRTMAX is valid for signal acceptance, too.
- When a thread wants to accept a kind of signal in this way, it must also block that kind of signal, otherwise delivery takes precedence.
- sigwaitinfo and timedwait are extended versions of sigwait. They allow the caller to gather the information item that optionally tags each signal request, and to place an upper bound on the waiting time.

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- If a signal targets a specific thread, then only that thread is a candidate for delivery or acceptance.
- Is a signal targets a process as a whole, then all its threads are candidates. Among them, the system chooses exactly one thread whose signal mask does not block the signal (for delivery), or is currently executing a sigwait with a mask that includes the signal (for acceptance).
- If there is not any suitable candidate when the signal is raised, the signal is kept pending, until:
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Other Functions

Nome	Scopo
pthread_equal	Check whether two thread IDs are equal
pthread_self	Return the caller thread ID
pthread_detach	Detach a thread after creation

- Each POSIX implementation may provide (and usually does provide, especially for real-time systems) additional functions besides those mandated by the standard.
- These functions shall have the suffix _np (not portable), to highlight that their use impairs the portability of the application to other execution environments.

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POSIX and the Real World

IEEE Std 1003.1 is currently supported by most real-time operating systems, both commercial and experimental. For example:

- LynxOS
- pSOSystem
- QNX
- RTMX O/S
- VxWorks
- ChorosOS
- eCos
- RT-Linux/RTAL
- RTFMS

Other Standards: OSEK/VDK

- Devoted to automotive applications.
- Static configuration of processes and synchronization devices.
- Specialized interface, less general but more specific and powerful than POSIX's for the automotive application domain.
- Originally developed by several German automotive industries, later joined by many other partners.
- The specification is freely available (at http://www.osek-vdx.org/), implementations may be (and most are) proprietary.