# Real-Time Operating Systems (0\_KRI) The POSIX Standard I

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# Outline

- Process Management
- Scheduling Model and Scheduler Control
- Semaphores
- Shared Memory
- Message Queues
- Memory Management and Asynchronous I/O
- Clocks and Timers

- The first version of the POSIX (Portable Operating System Interface for Computing Environments) standard was published between 1988 and 1990. It specifies a standard way for applications to interact with the operating system.
- Nowadays, POSIX is a set of over 30 standardisation documents, which span from the definition of the basic services an operating system shall provide, up to the specification of analysis methods to check whether a given operating system complies with the standard.
- Among these documents, of special interest for us is the System Interfaces (XSH) volume of IEEE Std 1003.1-2004, which specifies a C language interface between applications and the operating system, and includes real-time extensions.
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#### Real-Time Extensions to the Standard

Since 1993, the POSIX standard was extended to make it more suitable and useful for real-time applications. In particular, the following extension were adopted:

Standard	Description
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1003.1d	Additional real-time extensions; published in 1999
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These extensions, originally published as amendments to the standard, have then been incorporated into the standard itself with IEEE Std 1003.1-2001 and -2004. We will focus on the contents of 1003.1b and 1003.1c, because these extensions are older and thus more widely implemented.

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### Where is the Standard?

# The Open Group

The main volumes of IEEE Std 1003.1-2004, also known as the "Single UNIX Specification, Version 3", are available for download (free registration required), at the following URL:

http://www.unix.org/version3/online.html

- In some real-time applications the set of processes needed to carry out the job is known in advance, and remains the same for the whole life of the system.
- Accordingly, several real-time operating systems support the static configuration of the set of processes to be executed, and can take advantage from the additional information they have available about them.
- Instead, POSIX provides a set of functions to dynamically create new processes at will. In particular, fork duplicates the calling process and exec replaces the current process image with a new one.
- In addition, the exit function terminates the calling process, wait blocks the calling process until one of its child processes terminates, and kill sends a signal to a process.

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- When the operating system is booted, it crafts the ancestor of all other processes, traditionally named init.
- When a process creates another process, by invoking fork, it becomes its parent.
- Each process has exactly one parent, and zero or more children.
- Each process has its own process identifier, that is guaranteed to uniquely identify it during all its lifetime.
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#### pid\_t fork(void);

- fork creates a new process that is almost an exact copy of the calling process. After a successful fork, both processes execute concurrently the statements that follow the fork call.
- The two processes mainly differ for the following

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  - The child process has its own, unique process identifier.
  - The return value of fork is the reserved value 0 in the child in the process, and the process identifier of the child in the parent.
  - The child process has its own copy of the parent's descriptors, but they reference the same underlying objects.
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# Process X (parent)

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1. ... A ...

2. r = fork(); r = fork()

3a. ... B ...
```

- The parent executes the statement A, and then fork.
- fork creates the child process.
- Both processes concurrently execute the statement B.
- The parent and the child process have different values of r after the fork:
  - r is 0 in the child process.
  - r is the child process identifier (always  $\neq$  0) in the parent process.
- For example, in B we may evaluate if (r) ... to distinguish between the parent and the child.

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# An Example of Exec

#### Process X

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1. ... A ...
2. x = execve("newp",...); » » main() (of "newp")
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- "exec" is the generic name of a group of functions; execve is one of them.
- Process X executes the statement A, then execve ().
- The statement B, that follows the execve() call, is never executed, unless execve() fails.
- Instead, the execution continues at the entry point of the new executable image, that has been loaded from file newp.
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- The exit function explicitly terminates the calling process when invoked
- The function makes the low-order 8 bits of the status argument available to the parent process.
- The same result can also be obtained implicitly, by using return from main(). In this case, the behavior is the same as calling exit with the returned value. Moreover, reaching the end of main() is the same as performing exit(0).
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#### pid\_t wait(int \*status);

- This function returns to the calling process the status information about one of its terminated children, waiting for child termination if necessary.
- Upon successful completion, wait return value is the process identifier of the child for which it is giving the status information
- In this case, status contains the exit code of the child in its low-order 8 bits, and other status information in the others.
- wait returns to the caller prematurely, with the reserved return value -1, if an error occurs.
- An extended function, waitpid, allows the caller to be more specific about which children it is interested in, and to set additional options.

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The standard does not make any assumption on the relative order between the child termination and the parent waiting for it.

 A child can terminate before its parent waits for it. In this case, barring some special circumstances, it is transformed into a zombie process, that is:

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### Voluntary and involuntary termination

- The involuntary termination of a process is prone to several nasty side effects, especially when the process is involved in concurren programming activities.
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- The kill function sends a signal to the process whose process identifier is pid.
- The sig specifies which signal shall be sent, among the signal types supported by the system.
- Like many other POSIX functions, kill returns to the caller an integer value that is zero if it was executed succesfully, and is otherwise.
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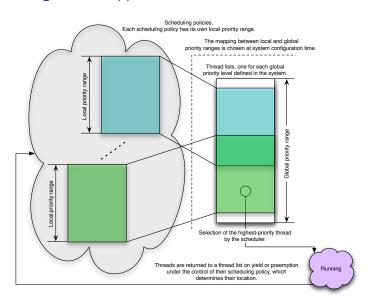
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# Scheduling Model (I)



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- The abstract POSIX scheduling model foresees an ordered thread list for each global priority level defined in the system. It contains all ready threads for that priority.
- To assign a CPU, the scheduler extracts the thread from the head
  of the highest-priority, non-empty thread list (like the fixed priority
  scheduling with priority classes does). That thread becomes
  running and is removed from the list.
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- The mapping of each local priority range, one for each scheduling policy, into the global priority range is defined during system configuration, and cannot change thereafter.
- Partial or total overlaps between different local priority ranges when they are mapped into the global priority range are allowed
- The standard specifies the following scheduling policies:
  - SCHED RR: Round robin
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- When a thread transitions from blocked to ready, it is placed at the tail of the thread list it belongs to.
- When the priority of a running or ready thread is modified, it is
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  - If the priority is unchanged, the thread does not change place.
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- The SCHED\_RR scheduling policy is quite similar to SCHED\_FIFO, but:
  - When the system detects that a thread has been running for a full quantum, it is forced to return to the ready state, and placed at the tail of the thread list it belongs to, thus forcing a rescheduling.
  - The length of the quantum is a system configuration parameter and cannot be changed dynamically.
- The SCHED\_OTHER scheduling policy is the default scheduling policy of the operating system, and may be unsuitable for real-time.
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### Scheduler Control

#### The process-level functions for scheduler control are:

Nome	Scopo
sched_get_priority_max	Maximum priority of a policy
sched_get_priority_min	Minimum priority of a policy
sched_getparam	Get scheduling parameters
sched_getscheduler	Get scheduling policy
sched_rr_get_interval	Round robin quantum length
sched_setparam	Set scheduling parameters
sched_setscheduler	Set scheduling policy and its parameters
sched_yield	Voluntary yield

Include sched.h before using any of these functions.

### **Priority Range**

These functions return the maximum and minimum priority value allowed for a given scheduling policy:

```
int sched_get_priority_max(
   int POLICY);
int sched_get_priority_min(
   int POLICY);
```

Both functions have POLICY as argument, to uniquely identify a scheduling policy, and return an integer priority value. The symbolic constants to be used for POLICY are defined in sched.h.

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## Scheduling Parameters (I)

### These functions get and set the scheduling parameters of a process:

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int sched_getparam(
  pid_t PID,
  struct sched_param *PARAM);
int sched_setparam(
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- PID is the process identifier of the process of interest. If PID is zero, the functions act on the calling process.
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### Scheduling Parameters (II)

- Simple policies, like SCHED\_FIFO and SCHED\_RR, have only one scheduling parameter, namely .sched\_prio. It represents the priority of the process.
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These functions get and set both the scheduling policy and the scheduling parameters of a process:

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#### Other Functions

• The function:

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int sched_rr_get_interval(
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stores into the data structure pointed by INTERVAL the round-robin quantum length for process PID. It returns zero on success, a non-zero value on error.

• The function:

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nt sched_yield(
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allows a process to voluntarily relinquish the CPU, in favour of another ready process. Albeit the standard specifies that this function shall return a non-zero value on error, it does not specify any error condition.

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## Semaphores

The following functions of IEEE Std 1003.1-2004 are related with semaphores:

Nome	Scopo
sem_init	Initialize an unnamed semaphore
sem_destroy	Destroy an unnamed semaphore
sem_open	Create/open a named semaphore
sem_close	Close a named semaphore
sem_unlink	Remove a named semaphore
sem_wait	P() on a semaphore
sem_post	V() on a semaphore
sem_trywait	Non-blocking P() (polling)
sem_getvalue	Get current semaphore value

The SEM\_VALUE\_MAX macro, defined in semaphore.h, gives the maximum value allowed for semaphores.

## The following function creates a fresh, unnamed semaphore:

```
int sem_init(
   sem_t *SEM,
   int PSHARED,
   unsigned int VALUE);
```

#### The function

- Initializes the data structure, pointed by SEM, that will represent the semaphore.
- Sets the initial value of the semaphore to VALUE.
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## The following function destroys an unnamed semaphore:

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- sem\_init creates unnamed semaphores, hence they can be used only by the processes that know their descriptor.
- The descriptor (when stored into a global variable) is implicitly shared among all threads belonging to the same process, because they share the same address space.
- By contrast, copying a descriptor does non produce a valid descriptor. Hence, the usual address space inheritance mechanism put in place by fork() does not produce valid descriptors.
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## A process can gain access to a named semaphore by invoking:

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sem_t *sem_open(
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- NAME is the name of the semaphore.
- OFLAG contains a set of flags that change several aspects of the function behavior (like with open ()).
- The additional arguments depend on the value of OFLAG.

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O\_CREAT when set allows sem\_open to create the semaphore if it does not exist yet.

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The value of OFLAG determines which additional arguments must follow OFLAG itself in the argument list. For example, if OFLAG contains O\_CREAT two additional arguments are required, to specify the protection attributes of the semaphore and its initial value. sem\_open returns a null pointer on error.

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int sem_close(
   sem t *SEM);
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cuts the link between the calling process and the semaphore SEM, and returns a non-zero value on error. No more operations on SEM are allowed after a successful invocation of sem\_close.

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asks the system to delete the semaphore NAME as soon as the number of processes linked to it drops to zero. It returns a non-zero value on error.

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- sem\_trywait is the non-blocking variant of sem\_wait. If it is unable to immediately conclude the P() (becase the semaphore value is zero at the moment), sem\_trywait immediately returns to the caller a non-zero value instead of waiting.
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- When the function fails, the value of errno gives more information about the exact nature of the error (it may actually be a timeout, or something else).
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# V() and Semaphore Value

• A thread executes a V() on semaphore SEM through the function:

```
int sem_post(sem_t *SEM);
```

sem\_post never blocks the caller, and is not a cancellation point. It is forbidden to increment a semaphore beyond the maximum value SEM\_VALUE\_MAX.

 It is possible to get the current value of semaphore SEM and store it into the location pointed by SVAL with the function:

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## Is sem\_getvalue useful?

sem\_getvalue is not as useful as it seems, because it is not executed atomically with respect to any other synchronization primitive.

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For example, this is not a valid substitute for sem_trywait:
```

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int sem;
int sval, wait_result;
...
sem_getvalue(&sem, &sval);
if(sval > 0) wait_result = sem_wait(&sem);
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Another process may change the semaphore value between the invocation of sem\_getvalue and sem\_wait.

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# **Shared Memory**

The main functions dealing with shared memory are:

Nome	Scopo
shm_open	Open/create a shared memory segment
close	Close a shared memory segment
shm_unlink	Remove a shared memory segment
mmap	Map a shared memory segment into the caller's
	address space
munmap	Remove a mapping made by mmap

Include sys/mman.h before using any of these functions.

# Open/Close/Unlink (I)

#### The functions:

```
int shm_open(const char *NAME, int OFLAG,
    mode_t MODE);
int close(int FD);
int shm_unlink(const char *NAME);
```

do the same as their counterparts for named semaphores, but work on shared memory segments. Each shared memory segment is represented by a file descriptor, that is, an integer value.

# Open/Close/Unlink (II)

- Opening a shared memory segment does not automatically perform any mapping of the segment into the address space of the calling process. Hence, sem\_open does not make the shared memory segment addressable in any way.
- The standard does not specify whether the contents of shared memory segments are preserved across system bootstraps.
- shm\_open returns either a file descriptor (never negative), or a negative value (on error). Both close and shm\_unlink return a non-zero value on error.

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#### The function:

```
void *mmap(
  void *ADDR, size_t LEN,
  int PROT, int FLAGS,
  int FILDES, off_t OFF);
```

- LEN represents the size of the mapping, in bytes.
- PROT denotes how the mapped region can be accessed. It is the bitwise inclusive or of: PROT\_READ, PROT\_WRITE, and PROT\_EXEC.
- ADDR allows the caller to "suggest" to the system where in the address space the mapped region should be placed.
- FLAGS indicates how the mapped region will be manipulated (see the next slide).

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### mmap() Flags

The FLAGS argument of mmap() is the bitwise inclusive or of a set of flags. The most important ones are:

MAP\_SHARED: Any update made to the mapped region will be global, hence it will be seen by any other process.

MAP\_PRIVATE: The updates will be kept private to each process (copy on write).

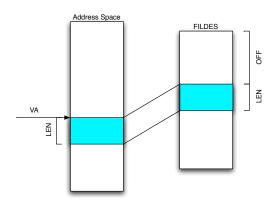
MAP\_FIXED: Forces the system to obey the suggestion given by ADDR. Its use requires a deep knowledge of the

address space organization adopted by the operating

system, to avoid damaging it.

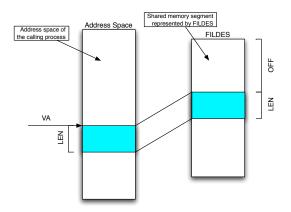
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 ${\tt mmap}$  returns the starting address VA of the mapped region inside the caller's address space. It returns a null pointer on error.



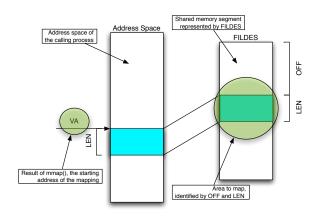
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#### The function:

```
int munmap(
  void *VA,
  size_t LEN);
```

- munmap returns a negative value on error.
- Both mmap and munmap work only if addresses are correctly aligned to a multiple of the memory page size in use by the system.
- For the sake of portability, the page size can be obtained through the sysconf function (with argument \_SC\_PAGESIZE).

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# Message Queues

### The main functions dealing with message queues are:

Nome	Scopo
mq_open	Open/create a message queue
mq_close	Close a message queue
mq_receive	Receive a message
mq_send	Send a message
mq_notify	Asynchronous notification
mq_timedreceive	Timed variant of mq_receive
mq_timedsend	Timed variant of mq_send
mq_getattr	Get message queue attributes
mq_setattr	Set message queue attributes
mq_unlink	Remove a message queue

Include mqueue.h before using any of these functions.

### Open/Close/Unlink

#### The functions:

```
mqd_t mq_open(const char *NAME, int OFLAG, ...);
int mq_close(mqd_t MQDES);
int mq_unlink(const char *NAME);
```

do the same as their counterparts for named semaphores, but operate on message queues, represented by an opaque data type,  $mqd_t$ . When  $mq_open$  is invoked to create a new message queue, its optional arguments are used to denote its attributes.

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### struct mq\_attr

The  $mq_attr$  structure represents the attributes of a message queue, and contains the following fields:

```
long mq_flags: Flags (O_NONBLOCK).
```

long mq\_maxmsg: Maximum number of messages.

long mq\_msgsize: Maximum size of each message.

long mq\_curmsgs: Number of messages currently in the mailbox.

It is possible to get the attributes of a message queue after its creation, by means of  $mq\_getattr$ , and set  $mq\_flags$  by means of  $mq\_setattr$ .

#### The function:

```
int mq_send(
   mqd_t MQDES,
   const char *MSG_PTR, size_t MSG_LEN,
   unsigned MSG_PRIO);
```

- The MSG\_PRIO argument is the message priority, between 0 e MQ\_PRIO\_MAX (defined in mqueue.h).
- mq\_timedsend is the timed variant of mq\_send and allows the caller to specify an absolute time limit to complete the send.

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## Receiving a Message

#### The function:

```
ssize_t mq_receive(
  mqd_t MQDES,
  char *MSG_PTR, size_t MSG_LEN,
  unsigned *MSG_PRIO);
```

receives the oldest message with the highest priority currently residing in the message queue  ${\tt MQDES},$  stores it starting at address  ${\tt MSG\_PTR}$  up to a maximum of  ${\tt MSG\_LEN}$  bytes, and removes it from the message queue.

- The function returns either the actual size of the message just received, or a negative value on error.
- It also stores into the location pointed by MSG\_PRIO the priority of the message just received.
- mq\_timedreceive is the timed variant of mq\_receive and allows the caller to specify an absolute time limit to complete the reception.

## Receiving a Message

#### The function:

```
ssize_t mq_receive(
  mqd_t MQDES,
  char *MSG_PTR, size_t MSG_LEN,
  unsigned *MSG_PRIO);
```

receives the oldest message with the highest priority currently residing in the message queue MQDES, stores it starting at address MSG\_PTR up to a maximum of MSG\_LEN bytes, and removes it from the message queue.

- The function returns either the actual size of the message just received, or a negative value on error.
- It also stores into the location pointed by MSG\_PRIO the priority of the message just received.
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# Blocking in mq\_send and mq\_receive

### mq\_send

If the message queue is full, the behavior depends on the value of the O\_NONBLOCK flag in the mq\_flags of the queue:

- if the flag is set, the function fails immediately;
- else, it waits until there is enough space in the queue to perform the send.

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If the message queue is empty, the behavior depends on the value of the ONONBLOCK flag in the mq\_flags of the queue:

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### The function:

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int mq_notify(
  mqd_t MQDES,
  const struct sigevent *NOTIFICATION);
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allows the calling process to register for an asynchronous notification about the availability of messages in the message queue MQDES. The notification will be performed as specified by the NOTIFICATION argument, and will be carried out when the queue transitions from an empty to a non-empty state. At the same time, the registration will be removed.

The notification is performed as specified in the .sigev\_notify field of struct sigevent.

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## **Notification Mechanisms**

The notification can be performed in three different ways, depending on the value of the .sigev\_notify field of struct sigevent:

- No notification: any pending registration is removed. In the future the process will explicitly wait for a message to arrive (for example, by calling mq\_receive).
- Execution of a notification function, specified by the .sigev\_notify\_function field. The function is executed by its own thread, whose attributes are taken from .sigev\_notify\_attributes.
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# Memory Management

Nome	Scopo
mlock	"Lock" a range of virtual range and force it to re-
	side in main memory.
mlockall	Perform mlock on the whole address space of the
	calling process.
mprotect	Set the protection attributes of a range of virtual
	addresses with respect to read, write and execute
	operations.
munlock	"Unlock" a range of virtual addresses.
munlockall	"Unlock" the whole address space of the calling
	process.

Include sys/mman.h before using any of these functions.

# Asynchronous I/O

- The usual semantics of the read and write system calls is synchronous: the calling process waits until the operation it requested has been (at least partially, for write) executed.
- For real-time applications, it is often not a good idea to tie so closely a process with I/O timings.
- General-purpose applications may benefit from having the ability of controlling multiple, concurrent I/O operations from a single process, too.

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## Asynchronous I/O – Functions

## The following functions deal with asynchronous I/O:

Nome	Scopo
aio_read	Read request
aio_write	Write request
aio_cancel	Cancel a pending I/O request
aio_error	Get the result (success/failure) of an operation
aio_return	Get the status (bytes read/written) of an operation
aio_fsync	Asynchronous synchronization (!)
_aio_suspend	Wait for asynchronous I/O to complete

Include aio.h before using any of these functions.

## Asynchronous I/O Requests

Each asynchronous I/O request is specified and represented by means of a struct aiocb data structure. It contains the following fields:

Tipo	Nome	Scopo
int	aio_fildes	File descriptor
off_t	aio_offset	File offset
volatile void *	aio_buf	I/O buffer pointer
size_t	aio_nbytes	Transfer size
int	aio_reqprio	Priority
struct sigevent	aio_sigevent	Notification mechanism

Like with message queues, processes can either wait for an asynchronous I/O request to complete by means of an explicit <code>aio\_suspend</code>, or associate an asynchronous notification request (to be carried out through either a function or a signal) with each I/O request, by means of the <code>.aio\_sigevent</code> field.

## Clocks & Timers

## On POSIX systems, time is expressed and measured by means of:

- One or more time bases, or clocks, with known resolution and of which it is possible to get the value on request. All systems shall implement, at least, CLOCK REALTIME.
- Zero or more per-process timers, using a specific clock as their timing reference.
- Each timer has its own current value and, optionally, a period, also known as *reload value*.

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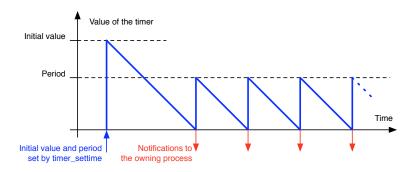
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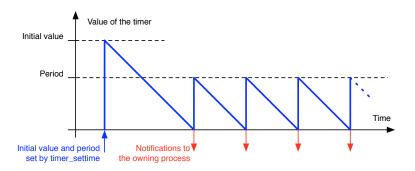
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## How are Timers Updated?



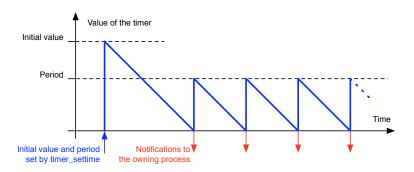
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# **Clock Manipulation**

It is possible to get the resolution and the current value of a clock, as well as setting its value (privileged processes only) by means of:

Nome	Scopo
clock_getres	Get the resolution of a clock
clock_gettime	Get the current value of a clock
clock_settime	Set the value of a clock

## Time Representation

Both the resolution and the value of a clock are represented through a struct timespec with the following fields:

Type	Name	Purpose
time_t	tv_sec	Seconds
long	tv_nsec	Nanoseconds

Clock values are relative to an absolute time reference known as the epoch. For historic reasons, on Unix systems the reference has been set to January 1st, 1970, 00:00 UTC.

Include time.h before using any of these functions.

## **Timer Manipulation**

Each process can create and manage its timers by means of the following functions:

Nome	Scopo
timer_create	Create a timer
timer_delete	Destroy timer
timer_gettime	Get the value and period of a timer
timer_settime	Set the value and period of a timer
timer_getoverrun	Read the <i>overrrun count</i>

Include time.h before using any of these functions.

### The function:

creates a fresh timer, local to the calling process, using the clock CLOCKID as reference, and stores its descriptor into the location pointed by TIMERID. It returns a non-zero value on error.

- Like with message queues, EVP specifies how the process shall be notified of expirations. Usually, it is not a good idea to specify "no notification".
- After creation, the timer is inactive: it must be given a value and (optionally) a period by means of timer\_settime.

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### Timer Value and Period

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```
int timer_settime(timer_t TIMERID, int FLAGS,
   const struct itimerspec *VALUE,
   struct itimerspec *OVALUE);
```

atomically sets the timer TIMERID to a new value and period, as specified by VALUE, and stores its old value and period into the location pointed by OVALUE.

Instead, the function:

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# The struct itimerspec has the following fields:

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- Setting the timer value to zero disables the timer.
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- The standard specifies that each timer can have no more than one pending notification, otherwise the amount of memory needed to keep track of them would be unbounded.
- Hence, if a timer expires again while the previous notification is still pending, the new notification is lost.
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