

Flowering before leafout: Hysteranthly is a signature of extreme early flowering and wind pollination in Eastern deciduous forest communities of North America

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Introduction

Green is the color of spring (?), but any keen observer walking the Eastern deciduous forests of North America early in the season would readily notice that it is often the subtle reds and yellows of emerging tree flowers that are the first harbingers of the season. Why do some tree species seasonally flower before leafing out? This trait, known as hysteranthly, proteranthly or precocious flowering is a feature common to deciduous forests, and is apparent in many commercially and ecologically important woody plant species. This flowering pattern has long received speculative attention from botanists (?), but there has been little direct, empirical investigation into the origins or significance of this pattern.

This lack of explicit research interest can be attributed to two major factors: The terms to describe this phenological pattern are often used variably and imprecisely (?), making it difficult to trace the study of this trait through the literature. Additionally, despite in dramatic scientists' growing interest in the study phenology, the timing of annual life cycle events, floral and leaf phenology have long been treated as disparate processes, and have been rarely observed in tandem (?) making long term data related to hysteranthly is difficult to obtain.

Despite the infrequent and ambiguous descriptions of hysteranthly in the literature, several hypotheses for the origins and significance of the this phenological trait in temperate North America have emerged. Perhaps the most common explanation for the seemingly high rate of occurrence of hysteranthly in temperate deciduous species is that this phenological pattern is an adaptation critical for wind pollination, with leafless flowering allowing for more effective pollen transfer (???). We refer to this hypothesis as the wind-pollination efficiency hypothesis. While several studies have compared wind velocity and particle diffusion between leafless and full canopied forests (???), we are unaware of any studies that have evaluated the fitness gains of

hysteranthly directly.

A second hypothesis, which we refer to as the "differential selection hypothesis", comes out of an application of life history theory. Phenological plasticity allows organisms to match life cycle events to the appropriate environmental conditions. For woody species in the temperate zones, the optimal timing for spring phenology is the product of a trade off between seasonal advance to maximize the length of the growing or reproductive season, and delay to minimize exposure to last season frost events (?). One possible explanation for hysteranthous flowering patterns is that these selection pressures operate upon foliar and floral phenology with different strength.

Long lived perennials such as trees and shrubs invest more heavily in growth and survival (foliar resources), rather than reproduction (floral resources) (?). It follows that for such organisms, floral tissues would be more expendable than leaf tissue. Frost damage to developing leaves has been shown to reduce gross primary productive by up 14 percent (?), while it is unlikely that sporadic pollination droughts from losing a cohort of flowers would make a significant difference in the lifetime fitness of long lived organisms such trees (?). For these species, the benefits of early flowering, such as pollination efficiency or increasing fruit development and dispersal time (?), outweigh the risk of late season frost exposure. This is less true for the more critical leaf tissue, and thus a more conservative, delayed phenological strategy is employed (?).

It is also possible that hysteranthly is a highly conserved trait, and the preponderance of this phenological pattern in the temperate zone is a product of phylogenetic representation of the region rather than an adaptive quality to the trait. In this paper, we explore the phylogenetic signal of hysteranthly in the eastern temperate forests of North America, but more work should be done to understand the distribution and evolutionary history of hysteranthly globally.

It should be noted that hysteranthous flowering is common in other plant communities, such as the dry deciduous tropics ? and among Mediterranean geophytes(?). Other hypotheses, related to insect visibility (?) and water stress (?) have emerged from studies in these systems, but have not been broadly considered in the temperate zone. We are primarily interested in exploring hysteranthly in temperate forests rather than trying to draw broad conclusion about this trait globally, and addressing these additional hypotheses are outside the scope of this analysis.

Given the available data, we evaluate the associations between hysteranthly and several other biological and phenological traits pertinent to the hypotheses of hysteranthly in temperate North America. We predict that hysteranthly will be positively associated with wind pollination syndrome and early flowering.

Methods

Defining Hysteranthy

The most detailed data about hysteranthy come from qualitative descriptions in regional Flora and botanical guidebooks, such as *"Flowers: Before the leaves."* or *"Flowers: When leaves are half grown."*(?). These kinds of qualitative verbal descriptors are inherently ambiguous and incompatible with our current, more quantitative observation standards. Differences in interpretation of these descriptors would radically change which species are categorized as hysteranthous.

We evaluated the sensitivity of hysteranthous classification to interpretation using published phenological observations from Harvard Forest in Petersham, Massachusetts from 1990-2015 (?). We plotted the mean leaf and floral budburst over the 25 year period for the 37 species included in the data set. Any species in which flower burst occurred earlier we classified as hysteranthous. We then plotted the mean "flowers open" and "leaf expansion reaches 75 percent of full size" phenophases, and this time any species with flowers open before leaf expansion reached 75 percent of full size were considered hysteranthous.

As can be seen in Figure 1, these definitions greatly impact which species are classified as hysteranthous. We suggest that an appropriate empirical definition of hysteranthy is largely dependent on which hypotheses are of interest. Because the focus of this paper is on temperate forests communities, and the because the relevant hypotheses address pollination and preproductive processes, we consider hysteranthy to include species with open flowers during the early part of leaf expansion.

Data

We obtained species level descriptions of floral-foliage sequences from the regional guidebook Michigan Trees (?) and its companion volume Michigan Shrubs and Vines (?), hereafter: MTSV. We investigated several other floras and monographs for inclusion in our analysis, but we found no other with comparably high levels of completeness of phenological descriptions. The complete list of sources we evaluated can be found in the Supplement. While MTSV describes woody plants found in Michigan, these communities bear a strong resemblance to forest communities of the Northeastern United States in general, and can serve as a reasonable model for the whole region.

We coded hysteranthy as a binary trait based on verbal phenological descriptions. In keeping with our definition of hysteranthy, entries *"flowers: before the leaves"*, *"flowers: before or with leaves"* and *textit"flowers: with leaves"* were coded as hysteranthous while entries *"flowers with or after leaves"* and *"flowering after leaf development"* were coded as non-hysteranthous. Using the same data source, we obtained descriptions of several other traits that we determined to be biologically relevant to the hysteranthy hypotheses including

pollination syndrome, maximum height, shade tolerance, and flowering and fruiting phenology. We coded pollination syndrome as binary trait (wind or animal pollinated). We also condensed verbal descriptions of shade tolerance to binary, collapsing descriptions "moderately, or medium shade tolerant", "tolerant" and "very tolerant" to "tolerant". In the text, flowering and fruiting phenology are described by a range of months. For both phenological entries, we calculated the average of the time span described, and coded it numerically in our dataset. In total, 194 woody species were included in our analysis.

Statistical analysis

To investigate the phylogenetic signal of hysteranthly and control for phylogenetic structure in our dataset, we used a published angiosperm phylogenetic tree (?) pruned to match the species list from the MTSV data. Species found in the trait dataset but not in the original phylogenetic tree were added to the pruned tree at the genus level root. In total 32 species were added to the generic roots. To assess the phylogenetic structure in the trait of hysteranthly, we used Caper packaged (?) to calculate a phylogenetic D statistic.

To test the hypotheses regarding the trait associations of hysteranthly, we used phylogenetic generalized linear modeling framework (?) to build a logistical regression model corrected for phylogenetic structure using the R package phylolm (?). (show model here). The model was run with 50 bootstrapped re-sampling iterations for each dataset. Continuous predictors were centered and re-scaled by subtracting the mean and dividing by two standard deviations to allow for a reasonable comparison of effect sizes between the binary and continuous predictors in this model (?).

To illustrate that our analysis is sensitive to how hysteranthly is defined, we also built a model using a physiological definition of hysteranthly in which only the descriptor "flowers: before leaves" was coded as hysteranthous and all other descriptors were coded as non-hysteranthous. The results from this model can be found in the Supplement.

Because hysteranthous species must flower before their leaves, they can never flower late in the season. To evaluate whether hysteranthous species flower uniquely early even when early flowering non-hysteranthous species, we re-ran our model on a restricted dataset which only included species that flowered between mid-March and mid-May.

To aid in the interpretation of our model's main effects, we ran average predictive comparisons using an uncentered version of the model to quantify the change in likelihood of hysteranthly given a change in pollination syndrome and a one month advance in flowering in the early spring.

Results

- In our analysis, 101 out of 194 species were classified as hysteranthous the phylogenetic signal for hysteranthy was low (see figure 2) with a D statistics of 0.06.
- Average timing of flowering was the strongest predictor of hysteranthy, with the likelihood of hysteranthy increasing substantially with earlier flowering.
- Pollination syndrome also had a strong effect, with the likelihood of hysteranthy increasing in wind pollinated taxa (see figure 2a).
- None of the other predictors included in the model has substantial effects. (Note maybe not true. fruiting time is kind of there but I can really think of a biological explanation)
- Early follower remained a significant effect even among early flowering species only with likelihood of hysteranthy still increased substantially with earlier flowering in this restricted dataset (see figure 2b).

Discussion

- Our finding suggest that hysteranthous flowering is strongly associated with wind pollination and early flowering.
 - Average predictive comparisons: a species that is wind pollinated is 38 percent more likely to be hysteranthous than an insect pollinated species. A species flowering in April is 22 percent more likely to be hysteranthous than one flowering in May.
 - These results are consistent with the the wind pollination hypothesis, but cannot identify the mechanism nor conclusively suggest hysteranthy is adaptive for fitness.
- The most likely adaptive benefit from hysteranthous flowering would be a reduction in pollen filtration my non-floral structures.
 - Present Tauber study
 - The reduction in pollen filtration could act on male fitness, female fitness or both.
- For male fitness, less pollen filtration would increase the likelyhood of long distance pollen dispersal.
 - confirmed by Brown1969.
 - also wind speeds are higher when leafless, compounding this effect.
- For female fitness less pollen filtration reduces the likelihood of pollen limitation.

- Pollen limitation has been shown to be more common in trees than other plant taxa (?), but studies of pollen limitation in wind pollinated taxa are limited (?).
- As far back as Darwin, it has been doctrinal that wind pollinated taxa produce an over abundance of pollen (?), but recently, several studies have suggested the pollen and pollen dispersal limitation in wind pollinated taxa may be more prevalent than once thought (?).
- Research is beginning to address the relationship between phenology, pollen limitation and reproductive output in wind pollinated species (???), but these studies tend to focus on the absolute timing and duration of the flowering season, rather than the timing of flowering relative to leaf phenology.
- A comparative study, directly measuring pollen dispersal distances, or the frequency of pollen limitation in hysteroanthous and non-hysteroanthous taxa would be instructive.

Until these fitness benefits are evaluated directly, we must consider the major implication of the functional hypotheses of hysteroanthous, which is that the leafless period of flowering is critical for the reproductive success of these species. Therefore, we would expect that any substantial shifts in timing or duration of the hysteroanthous period could have significant effects on the reproductive success of hysteroanthous species. Both floral and foliate phenology respond to interactions between temperature and photoperiod (?), and as such, variability in these environmental cues affect the phenological response.

- There is a large body of models, observations and experiments about the empirical response of floral and foliate phenology to changing environmental conditions, but little work has been done to evaluate the synchrony of divergence of the responses between phenophases. These relative responses should be explored in 2 ecologically contexts: Interannual variability and long term climate change.
- Interannual variability
 - variability in hysteroanthous could affect variability in reproductive output. Masting
 - We don't have a good sense of what typical variability is.
 - We should consider hysteroanthous a continuous rather than binary trait.
- It is also feasible that significant changes in seasonal temperatures associated with anthropogenic climate change could dramatically alter hysteroanthous flowering patterns.
- If cure responses are different between phenophases, we could see changes in this pattern. If it is critical for reproduction, this could have negative demographic effects.
- We don't know the degree of independence or constraint to assess this threat.

177 In conclusion, our analysis suggests that in North American temperate forest, hysteresis is common, lacks
178 phylogenetic structure, and is associated with a wind pollination syndrome and extremely early flowering.

179 • It may be critical for reproduction

180 • contribute to reproductive variability and be threatened by climate change.

181 • With the current existing body of research, we cannot assess the likelihood and impact of these scenarios.

182 • we should do more research.