

1 Ecological drivers of flower-leaf sequences: aridity and pollination  
2 success select for flowering-first in the American Plums

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## Summary

- Across temperate forests around the globe, many tree species produce flowers before their leaves emerge. This flower-leaf phenological sequence, known as hysteroanth, is generally described as an adaptation for wind-pollination. However, this explanation does not address why hysteroanth is also common in biotically-pollinated taxa.
- In this study, we quantified flower-leaf sequence variation in the American plums (*Prunus*, subsp. *Prunus* sect. *Prunocerasus*), a clade of insect-pollinated tree and shrubs, using herbaria specimens and Bayesian hierarchical modeling. We tested two common, but rarely interrogated hypotheses—that hysteroanth confers aridity tolerance and/or pollinator visibility—by modeling the associations between hysteroanth and related traits. To better understand how these phenology-trait associations were sensitive to taxonomic scale and flower-leaf sequence classification, we extended these analyses to include all *Prunus* species in North America.
- Our findings across these two taxonomic levels support the hypotheses that hysteroanth may both help temporally partition hydraulic demand to reduce water stress, and increase pollinator visibility and reduce selective pressure on inflorescence size.
- These results provide foundational insights into the evolution of flower-leaf sequences in the genus *Prunus*, with implications for understanding these patterns in biotically-pollinated plants in general. Teasing out these hypotheses further will require both inquiries in other clades and mechanistic experiments.

Keywords: Deciduous forests, Flower-leaf sequences, Hysteroanth, Phenology, Plant hydraulics, Pollination, Phylogeny

## Introduction

Woody perennials are among a subset of plant types with the unique ability to seasonally begin reproduction prior to vegetative growth. This flowering-first phenological sequence, known as hysteranthly, proteranthly or precocious flowering, is apparent in temperate deciduous forests around the globe (Rathcke & Lacey, 1985). A number of studies suggest that this flower-leaf sequence is under selection, and that hysteranthly can confer performance advantages (Gougherty & Gougherty, 2018; Buonaiuto *et al.*, 2021; Guo *et al.*, 2014), but the importance of variation in flower-leaf sequences for maintaining fitness may vary across functional types, taxa and biomes.

The most common, and well-tested explanation for the evolution of hysteranthly in temperate forests is that it is adaptive for wind-pollination, as leafless canopies increase wind speeds for pollen transport and reduce the likelihood of pollen interception by vegetation (Whitehead, 1969; Niklas, 1985). However, this explanation does not address the widespread prevalence of hysteranthly in biotically-pollinated taxa found in temperate regions. This number is not trivial; a recent analysis found that approximately 20% of the hysteranthly species in Eastern Temperate Forests of North America are biotically-pollinated (Buonaiuto *et al.*, 2021).

Alternative hypotheses have been put forward to explain the advantage of hysteranthly in biotically-pollinated species, but they have not been widely evaluated in the literature. Below, we briefly review these hypotheses and their predictions, and then test their predictions using the American plums (*Prunus* subsp. *Prunus* sect. *Prunocerasus*), a widespread clade with high variability in flower-leaf sequences, as a case-study. Our study both clarifies the hypothesized function of flower-leaf sequence variation in the genus *Prunus* and lays the ground work for understanding the origins of flower-leaf sequence variation in biotically-pollinated taxa more generally.

## Hypotheses of hysteranthous flowering in biotically-pollinated taxa

Water limitation hypothesis: In the dry-deciduous tropics of South and Central America, hysteranthly is common (Rathcke & Lacey, 1985; Franklin, 2016), and is regarded as an important adaptation to alleviate water stress by partitioning the hydraulic demand of flowers and leaves across the season (Gougherty & Gougherty, 2018; Franklin, 2016; Borchert, 1983; Reich & Borchert, 1984). Under this hypothesis, the function of hysteranthous flowering in temperate regions parallels that in the dry tropics—partitioning hydraulic demand across the season to allow hysteranthous species to tolerate increased aridity. While temperate forests are rarely water-limited in the early season during which flowering and leafing occur (Polgar & Primack, 2011), there is still considerable variation in water availability in space and time within temperate regions of the globe. With this hypothesis, we would expect to find hysteranthous taxa in locations that are, on average, drier than their non-hysteranthous relatives.

Insect visibility hypothesis: Hysteranthous flowers are visually conspicuous in the landscape. Thus, as in wind-pollinated taxa, hysteranthly in biotically-pollinated taxa may be an adaptation for pollination efficiency

as flowering-first species are easier for insect pollinators to locate (Janzen, 1967). A challenge to evaluating this hypothesis is that correlated selection between flower-leaf sequences and pollinator visibility could have either a positive or negative relationship depending on the pollination environment. In one scenario, hysteroanth may be associated with smaller floral displays: because flowers are not obscured by leaves, they are easier to see and there is weaker selection for increasing floral display size. In an alternative scenario, hysteroanth could be associated with larger floral displays, especially in environments where plants are more often pollen-limited and selection may favor both hysteroanth and increased floral display size to augment floral attraction to visual pollinators.

In contrast to these functional hypotheses, hysteroanthous flowering could simply be a by-product of selection for early flowering. Species that flower before their leaves inherently flower early in the season. For example, fruit development or dispersal constraints may drive early flowering (Primack, 1987), and because spring flower phenology is less constrained by prior phenological events than leaf phenology (Savage, 2019; Ettinger *et al.*, 2018), this selection for early flowering could incidentally produce the hysteroanthous phenological sequence. Here, there is no specific adaptive advantage to hysteroanth; selection is not operating on the relative timing of flower and leaf emergence, but rather the absolute flowering time alone. Rejection of the above functional hypotheses might provide support to this null explanation.

A significant challenge for robust testing of hysteroanth hypotheses is that most characterizations of flower-leaf phenological sequences are based on expert-opinion verbal descriptions (e.g. “flowers before leaves” or “flower before/with leaves”), which make comparisons across taxa, time and space difficult and sensitive to observer bias (see; Buonaiuto *et al.*, 2021). This problem can be overcome by adopting standardized quantitative measures of plant phenology for observational studies and applying them to historical data records. Herbarium records are an excellent source of data that can be leveraged for quantitative phenological measurements (Willis *et al.*, 2017), but have not been used widely to investigate variability of flower-leaf sequences.

The American plums are powerful model clade to investigate of drivers of hysteroanthous flowering in biotically-pollinated species. The species that make up this group are distributed across the temperate zone of North America and, like the genus *Prunus* more generally show pronounced inter-specific variation in flower-leaf sequences. Usefully, species in this clade are well represented in herbaria records (Fig. 1a), making them a tractable group to measure and assess variation in flower-leaf sequences.

To interrogate the functional hypotheses for hysteroanthous flowering described above, we used herbaria records to quantify variation in flower-leaf sequences of the American plums. Then we combined environmental attributes, biological traits and phylogenetic data in statistical models designed to evaluate whether the observed associations between flower-leaf sequences and morphological and environmental traits match the predicted associations of the hysteroanth hypotheses. Finally, we compared our findings in this clade to patterns observed in larger genus *Prunus* to test whether these phenology-trait associations were sensitive to taxonomic scale and flower-leaf sequence classification.

## Materials and Methods

### Quantifying flower-leaf sequence variation

We obtained digital herbarium specimens of the American plums from the Consortium of Midwest Herbaria (CMH) Database (of Midwest Herbaria, 2023). Specimen collection dates ranged from 1844-2020, with the majority collected between 1950-2000. To quantify flower-leaf sequence variation in this group we randomly sampled 200 specimens for each species and scored the phenological development of flowers and leaves; we used a modified BBCH scale for woody plants that is designed to evaluate vegetative and reproductive phenological progress using a standardized quantitative index (Finn *et al.*, 2007). For species with less than 200 specimens in the collection, we included all available specimens. In total, we evaluated the phenology of 2521 specimens, but only specimens with visible flowers were included in this analysis. We also removed specimens with flowering dates that were major outliers from the observed flowering period of each species. We removed outliers visually, and by excluding observations that were beyond three standard deviations of the median flowering time for each species ( $n=9$ ). Our final analyses included 1000 specimens (see Tab. S1 for number of observations/species). We reconstructed the phylogenetic relationships among species in this group based on the tree topology in Shaw & Small (2004). We inferred branch lengths following the method of Grafen & Hamilton (1989) in which node heights are estimated in proportion to number of subtending taxa using the R package “ape” (E. Paradis and K. Schliep, 2019).

To quantify flower-leaf sequence variation, we fit an ordinal, hierarchical, Bayesian phylogenetic mixed model (de Villemereuil P. Nakagawa, 2014) to assess the likelihood an individual would be at any given vegetative BBCH phase while flowering. Our model predicted leaf stage ( $y_i$ , ordinal, with six categories) as a function of species and additional phylogenetic effects. Because hysteranthly co-varies with flowering time (i.e., flowering first species will generally flower earlier than other species, on average), and collection dates are not evenly distributed across the flowering season (see Fig. S1), we included day of year of observation as an additional predictor. Additionally, because it is possible that climate change has affected the interval between flowering and leafout over the course of our time series, we included the year of collection of each specimen as a co-variate. Following previous conventions for modeling the possible effects of climate change on spring phenology, we parameterized *year* as hinge variable, using 1980 as a break point (Stocker *et al.*, 2013; Buonaiuto *et al.*, 2021; Kharouba *et al.*, 2018).

The model is written below:

$$y_i = \begin{cases} 1 & \text{if } z_i < 0 \\ 2 & \text{if } z_i \in (0, c_2) \\ 3 & \text{if } z_i \in (c_2, c_3) \\ 4 & \text{if } z_i \in (c_3, c_4) \\ 5 & \text{if } z_i \in (c_4, c_5) \\ 6 & \text{if } z_i > c_5 \end{cases}$$

$$z_i = \alpha + \alpha_{phylo} + \alpha_{sp} + \beta_{\text{day of year}} * X_{\text{day of year}} + \beta_{year} * X_{year} + \epsilon_i$$

136

$$\epsilon_i \sim \text{logistic}(0, 1)$$

138

139 where  $y_i$  is the ordinal outcome (leaf stage; as 1,2,...6 categories).  $c_2...$  are the estimated cutpoints between  
 140 leaf stages on the logit scale and  $year$  is (the year the specimen was collected – 1980).  $z_i$  is the linear  
 141 component of the underlying latent variable model.

142  $\alpha$  describes an intercept for each category [1,2,...6], while slope ( $\beta_{\text{day of year}}$  and  $\beta_{year}$ ) is constant across  
 143 cutpoints, but  $\beta_{\text{day of year}}$  varies among *species*.

144 The influence of the phylogeny ( $\alpha_{phylo}$ ) was modeled as:

145

$$\alpha_{phylo} \sim N(0, COR[\sigma_{phylo}^2])$$

146 The  $\alpha$  for species effects independent of the phylogeny was modeled as:

147

$$\alpha_{sp} \sim N(0, \sigma_{species}^2)$$

148 We used our model to predict the likelihood each species would be observed at a given vegetative BBCH stage  
 149 during flowering for each day of the flowering period of each species by extracting 1000 random draws from  
 150 the posterior distribution. For each day of the flowering season, we summed the predicted likelihood that  
 151 species would be at BBCH 0 (“bud closed”), BBCH 07/09 (“bud break”) or BBCH 11 (“start of leaf unfolding”) vs.  
 152 BBCH 15 (“leaf unfolding”), BBCH 17 (“most leaves unfolded”), BBCH 19 (“leaf expansion complete”) to  
 153 quantify the likelihood that a species would be hysteranthous or non-hysteranthous respectively, for each day of  
 154 the season. We chose the BBCH 11/BBCH 15 boundary to define hysteranthous flowering because this is the  
 155 earliest point in development when most leaves are unfurled enough to visually obscure flowers and transpire.  
 156 We used these estimates to develop a flower-leaf sequence index by summing the likelihood of hysteranthous  
 157 vs. non-hysteranthous across the full flowering period of each species, with 0 being never hysteranthous and 1  
 158 being always hysteranthous. To evaluate the sensitivity of our model to choice of cutoff, we also calculated

a hysternanthy index using an alternative cutoff at the BBCH 09/BBCH 11 which did not alter the species' ranks on the index (see Tab. S2).

To better understand how within-season dynamics affected our inference, we also refit our model excluding *day of year* as a predictor. This version of the model did not substantially alter the species' ranks on the index or our inference about the relationships between flower-leaf sequence variation and the trait representing the main hysternanthy hypotheses (Tab. S2, Tab. S3).

## Evaluating hysternanthy hypotheses

To test the hypotheses of hysternanthy, we first recorded petal length measurements directly from herbarium specimens. For these morphological measurements, we sampled 321 specimens and measured the petal length of up to 10 randomly selected petals per specimen ( $n=2757$ ) using ImageJ image processing software (see Tab. S2, for  $n/\text{species}$ ).

To assess aridity tolerance, we computed the average Palmer Modified Drought Index score (hereafter: PDSI) obtained from the for Environmental Information (2017), for every *Prunocerasus* specimen in the database ( $n=2305$ , see Tab. S2, for  $n/\text{species}$ ). PDSI is a standardized index that integrates temperature and precipitation data to estimate relative dryness in time and space (Heim, 2002). For any specimens that lacked accurate geo-location information, we extracted PDSI values at the county centroid of the herbaria specimen.

Because our all of our measurements were made on different individuals—with different sample sizes—we used two different modeling approaches to test the relationship between flower-leaf sequence index scores, aridity tolerance and floral displays.

First we computed species-levels means of PDSI and petal length and used a beta regression to evaluate the relationship between flower-leaf sequences, PDSI, petal length and their interaction. We standardized the units of all predictors through z-scoring (Gelman & Hill, 2007) to make their effect size estimates directly comparable within the following model structure:

$$y_i = (\mu, \mu(1 - \mu)/(1 + \phi)$$

where  $\mu$  and  $\phi$  are the two shape parameters of the beta regression. Due to the limited sample size of this analysis (13 species), we only modeled the effect of our predictors on the mean parameter  $\mu$  and fit a grand intercept for the precision parameter  $\phi$  as:

$$\mu = \alpha + \beta_{PDSI} * X_{PDSI} + \beta_{\text{petal length}} * X_{\text{petal length}} + \beta_{PDSI \times \text{petal length}} * X_{PDSI \times \text{petal length}}$$

$$\phi = \alpha$$

192

193 We chose this model structure because it allowed us to assess the additive and interactive effects of PDSI  
 194 and petal size on flower-leaf sequences. However, by using means trait values as predictors, we could not  
 195 incorporate within-species variation in these trait/environmental predictors or account for their phylogenetic  
 196 structure. To understand how these factors affected our inferences about the relationship between flower-leaf  
 197 sequences and traits, we fit two additional models to estimate relationship between flower-leaf sequences index  
 198 values and PDSI, and between flower-leaf sequences index values and petal size separately which included  
 199 the intra-specific variation and phylogenetic structure of each of these traits (see Supporting Information:  
 200 Extended Methods for details).

## 201 **Hysteranthly in the larger genus *Prunus***

202 To better understand how the patterns we identified in the American Plums clade scaled across coarser  
 203 taxonomic resolution, we also evaluated the relationship between hysteranthous flowering and hypothesis-  
 204 related traits for additional *Prunus* species native to, or established in, North America ( $n=32$ ). For this  
 205 analysis, we obtained categorical descriptions of flower-leaf sequences and mean estimates of the number of  
 206 flowers per inflorescence as a proxy for floral investment from the Flora of North America (Rohrer, 1993+).  
 207 We extracted PDSI values for all herbaria observation of those species in the Consortium of Midwest Herbaria  
 208 database ( $n=23,272$ ) as described above.

209 To account for the influence of evolutionary relationships among species, we reconstructed the phylogenetic  
 210 relationships in the genus based on the tree topology in Chin *et al.* (2014). As above, we computed branch  
 211 lengths with the R package “ape” (E. Paradis and K. Schliep, 2019).

212 As above, we standardized the units of all predictors through z-scoring (Gelman & Hill, 2007). The model  
 213 structure is:

$$y_i = \begin{cases} 1 & \text{if } z_i < 0 \\ 2 & \text{if } z_i \in (0, c_2) \\ 3 & \text{if } z_i \in (c_2, c_3) \\ 4 & \text{if } z_i > c_3 \end{cases}$$

$$214 \quad z_i = \alpha + \alpha_{phylo} + \beta_{PDSI} * X_{PDSI} + \beta_{\text{floral investment}} * X_{\text{flowers/inflorescence}} + \beta_{PDSI_x \text{floral investment}} * X_{PDSI_x \text{flowers/inflorescence}} +$$

$$215 \quad \epsilon_i$$

216

$$\epsilon_i \sim \text{logistic}(0, 1)$$

217 where  $y_i$  is the ordinal outcome of flower-leaf sequence category ( “flowers after leaves”, “flowers with leaves”,  
 218 “flowers before/with leaves” and “flowers before leaves”) and  $c_{2...3}$  are the estimated cutpoints between cate-



gories on the logit scale. As above,  $z_i$  is the linear component of the underlying latent variable model.  $\alpha$  describes a grand intercept, and we modeled the influence of phylogeny ( $\alpha_{phylo}$ ) as above. Note that this model includes four ordinal categories while our model of the American Plums clade included six, due to the underlying structure of the data.

## Model runs

We fit models in the R package “brms” (Bürkner, 2018) using weakly informative priors, and four chains. For the our models aimed at “Quantifying flower-leaf sequence variation” and “Evaluating hysternanthy hypotheses” in The American plums, we ran the models with a warm-up of 3000 iterations, and 4000, and 5000 sampling iterations respectively, for a total of 4000 and 8000 sampling iterations across all chains. For the “Hysternanthy in the larger genus *Prunus*” model, we used a warm up of 6,000 iterations and 8,000 sampling iterations for a total of 8000 sampling iterations to maximize the effective sampling size. Model fits was assessed with  $\hat{R} < 1.01$ , high effective sample sizes, and no divergent transitions. We provide mean estimates with uncertainty intervals in-text, and 50 and 89% intervals for all figures and tables.

## Results

### Quantifying flower leaf sequences in the American plums

We found substantial inter-specific differences in flower-leaf sequences within the American plums (Fig. 2 a). Several species (*P. mexicana*, *P. umbellata*, *P. angustifolia*, *P. maritima* and *P. gracilis*) were most likely to be hysternanthous for all—or most—of their flower period, while for others, (*P. americana*, *P. munsoniana*, *P. alleghaniensis*, *P. nigra*, *P. hortulana*, *P. texana* and *P. rivularis*), hysternanthous flowering was only likely in the early part of their flowering session. One species, *P. subcordata*, was unlikely to be hysternanthous at any point in its flowering period (Fig. 2a). These relative ranking of species’ hysternanthy likelihoods were consistent with our alternative method for constructing the hysternanthy index (Tab. S2, Fig. S2).

Across all species of American Plums, day of year of had a strong association with the being more like to be in flowering during an early vegetative phenological stage (Fig. 2b). Year of observation did not substantially impact this likelihood of hysternanthy for this taxonomic group (Fig. 2b).

### Associations between hysternanthy and environmental and morphological traits

In the American plums, predominately hysternanthous species were more likely to have smaller flower and be found in drier localities that species with more overlap between flowers and leaves (i.e., increased likelihood of hysternanthy was negatively associated with PDSI and petal length without a substantial interaction between them, Fig. 3a, b). Parameter estimates from this model were  $\beta_{PDSI} : -0.47, UI_{89}[-0.96, 0.01]$ ,  $\beta_{\text{petal length}} :$

$-0.14, UI_{89}[-0.54, 0.24]$   $\beta_{PDSI \times \text{petal length}} : -0.14, UI_{89}[-0.91, 0.65]$ .

These estimates were comparable to those in which we model each predictor separately and account for phylogeny (Fig. S3), and using the hysternathy index derived from models that did not include day of year as a predictor (Tab. S3). The direction and magnitude of the estimated effects support the predictors of the water-limitation hypothesis and marginally support the predictors of the insect-visibility hypothesis.

In the larger genus *Prunus*, hysternanthous species were more likely to have smaller inflorescences and be found in drier locations, and species with species from dry locations that also have smaller inflorescences are the mostly likely to be hysternanthous (i.e., there was a negative association between hysternathy and PDSI and number of flowers per inflorescence, as well as a substantial negative interaction between them, Fig. 4a, b). Parameter estimates from this model were  $\beta_{PDSI} : -8.0, UI_{89}[-16.6, -2.44]$ ,  $\beta_{\text{flowers}/\text{inflorescence}} : -15.5, UI_{89}[-31.46, -5.56]$  and  $\beta_{PDSI \times \text{flowers}/\text{inflorescence}} : -13.06, UI_{89}[-28.53, -2.93]$ . The direction and magnitude of the estimated effects support the predictors of both the water-limitation hypothesis and the insect-visibility hypothesis.

The estimated effects of floral traits and their interactions with PDSI were stronger in the larger genus *Prunus* than in the American Plums clade. This is not surprising given that all species in the American plums clade have solitary flowers, making the variation in floral display size highly constrained. By contrast, *Prunus* species included in our secondary analysis include those with solitary flowers and species with as many as 100 flowers per inflorescence, representing substantially more variation in both floral investment and in hydraulic demand. This suggests that the correlated selection between flower-leaf sequences and the floral traits may be more pronounced at coarser taxonomic resolutions, when underlying trait variation is greater.

## Discussion

Using North American *Prunus* species as a case study, our analyses indicate that flower-leaf sequences are under selection. Our study shows that variation in these patterns across species may reflect adaptive tradeoffs between a) the timing of investment in reproduction relative to the timing of resumption of carbon gain through leafout, and b) other aspects of plant performance like environmental tolerance and pollinator attraction strategies that we investigated in this study. We show that hysternanthous flowering is associated with increased aridity and smaller flower displays in both the American plums, and more broadly across *Prunus* species native to, or established in North America. The relationships between hysternathy and aridity, and hysternathy and floral display size support the predictions of the water limitation hypothesis and the insect visibility hypothesis.

Our models estimated a strong relationship between aridity and flower-leaf sequences at both taxonomic scales we studied, but the relationship between floral display size and flower-leaf sequences predicted by the insect visibility was better supported at the coarser taxonomic scale of the full genus *Prunus* than in the American Plums clade. While this suggests that associated selection between flower-leaf sequences and polli-

nator traits has more strongly influenced inflorescence architecture than the morphology of individual flowers, our estimates at both scales agreed in directionality (i.e., hysteranthly associated with smaller floral displays). Under the current insect visibility hypothesis, floral display size could either be positively or negatively associated with hysteranthly depending on the pollination environment. The association between hysteranthly and smaller flower displays we found supports the prediction that increased visibility of hysteranthous flowers reduces selection pressure on flower display size. This results fit with other comparative anatomy studies in plants that report hysteranthous species have smaller inflorescences than non-hysteranthous relatives (Gunatilleke & Gunatilleke, 1984), and studies about pollinator foraging behavior that suggest the presence of leaves substantially alter the visual perception of pollinators (Forrest & Thomson, 2009; Rivest *et al.*, 2017), providing further evidence to refine the predictions of this hypothesis.

Our support for both the water limitation hypothesis and insect visibility hypothesis, and the strong positive interactions terms between PDSI and floral investment we observed in the larger genus *Prunus* highlights that these hypotheses are not mutually exclusive, and could be related. Selection on floral size represents a classic evolutionary tradeoff where larger floral displays may generally be more effective for attracting pollinators but demand more resources, including water, to maintain turgor and reproductive function than smaller ones (Galen *et al.*, 1999; Lambrecht & Dawson, 2007). With this trade-off, reproductive displays are often small in harsher environments (Teixido *et al.*, 2016; Lambrecht, 2013), and hysteranthly could represent a compensatory mechanism that both reduces hydraulic demand while increasing pollination efficiency in these environments.

Despite this evidence that hysteranthly can reduced hydraulic demand in dry environments, hysteranthous species in the American plum clade are not found in extremely arid locations (PDSI values typically range from -4 to 4, however the values that we observed in our analyses were more restricted, ranging from -0.5 to 0.2). This contrasts with hysteranthous species in the dry tropics where this phenological pattern allows them to tolerate more extreme aridity (Franklin, 2016). But the flower-leaf sequences of the hysteranthous species in our study were markedly different from patterns of hysteranthly in these dry-tropics where the water limitation hypothesis was initially proposed. While flowering can precede leafout by as much several weeks for species in the American plums, the process of fruit development, which is also water intensive, occurs when leaves are present. By contrast, in the dry tropics hysteranthous flowering is initiated at the time of leaf drop (Borchert, 1983; Franklin, 2016); thus, the full reproductive cycle occurs in the leafless period. The comparatively small window of leafless reproductive development in our temperate clade, may in part, explain why the association we observed between hysteranthly and aridity in our study was relatively weak with high residual variance. Our results suggest that hysteranthly may allow temperate species to occupy marginally drier environments than non-hysteranthous species, but may not facilitate species' persistence under extreme aridity.

## Inter-and intra-specific variation in flower-leaf sequences

We developed a novel approach to assessing flower-leaf sequences that scales from quantitative, individual-level observations to species-level characterizations that were based on empirical likelihood estimates. With this approach, we were able to—for the first time—quantitatively assess intermediate cases of hysteroanthy (such as those that are typically described as “flowers before/with leaves”). Previous studies of hysteroanthous flowering have either excluded these cases from their analyses (e.g.; Gougherty & Gougherty, 2018) or binned them with the well defined cases (e.g.; Buonaiuto *et al.*, 2021). We found that many of American plum species expressed this intermediate flower-leaf sequence, and our classifications broadly matched previous species-level analyses in this group by Shaw & Small (2004). By estimating the likelihood of hysteroanthy across the growing season with Bayesian methods, our approach identified substantial differences in flower-leaf sequences among these intermediate cases (Fig. 1b), which allowed us to assess the trait associations with this phenotype.

Our quantitative analysis of the American plums clade revealed that flower-leaf sequences—often described as a species-level trait—are highly variable within species (Fig. 2a). For almost all members of the clade, hysteroanthy was strongly predicted by the day of the observation (“day of year” in our model, Fig. 2b). In many cases there was high likelihood that individuals of a species may be observed at different vegetative stages during flowering (Fig. 2a, Fig. S2). This variation could either suggest high levels of local adaptation in flower-leaf sequences or, alternatively, high levels of plasticity through which flower-leaf sequences respond to interannual variation in environmental conditions. Because our study was based on herbaria records collected on different individuals across space and time without repeat sampling, we were not able to robustly how much flower-leaf sequences vary within vs. among species. However this would be an important next step for understanding how the environment and species interactions have shaped these phenological patterns.

Interestingly, while there is substantial evidence that both flowering and leaf phenology have advanced over the last several decades in response to anthropogenic climate change (Menzel *et al.*, 2006; Cleland *et al.*, 2007; Augspurger & Zaya, 2020), we did not observe changes in flower-leaf sequences patterns over that time scale in our dataset (Fig. 2b). This supports a recent finding that despite changes in both flowering and leafout, the time interval between them has remained relatively stable (Guo *et al.*, 2023), but does not preclude that possibility that these the sequences will eventually be disrupted as climate change continues to become more extreme in the future (Buonaiuto & Wolkovich, 2021).

## Future directions

We focused on a well-studied, and economically important clade of morphologically similar species. Our case-study provides a road map for evaluating the role of hysteroanthy more generally in temperate biotically-pollinated plant taxa (other groups with high interspecific flower-leaf sequence variation include *Magnolia*, *Rhododendron*, *Acer* and *Cornus*), and more broadly across taxa and biomes.

Combining the observational approach with novel experiments could further advance our collective understanding of the adaptive significance of flower-leaf sequences. To test the water-limitation hypothesis, researchers could plant sister-taxa with contrasting flower-leaf sequences in common environments across a gradient of aridity, and evaluate their performance. To test the insect visibility hypothesis, researchers should also consider hysteranthly—and phenology in general—in the more general framework of tradeoffs in pollination biology. The tradeoff between phenology and pollination investment should not only consider flower size, but also the number of flowers, nectar and pollen reward investment, volatiles between related hysteranthous and non-hysteranthous taxa. Findings that hysteranthous species invest fewer resources into these other pollinator attraction traits than non-hysteranthous relatives would support the insect visibility hypothesis. For a simple experiment to test the pollinator visibility hypothesis, researchers could force hysteranthly/non-hysteranthly phenotypes for the same genotype using environmental cues, and systematically release pollinators to observe their preferences, search times and foraging behavior. If pollinators are more readily drawn to the hysteranthous individuals, it would suggest that hysteranthly may be an adaptive trait for pollinator attraction.

With a better mechanistic understanding of the relationship between flower-leaf sequences and ecological performance in hand, researchers could then use experiments to assess how differences in floral and leaf physiological responses to temperature variation shape flower-leaf sequences. The measurement and modeling approaches we developed in our observational study can be readily implemented to analyze data from such experimental settings, presenting an important opportunity to unite observations of broad ecological patterns with targeted experimental manipulations to better understand both the evolutionary past and ecological future of flower-leaf sequences.

## Competing Interests:

The authors declare no conflict of interest.

## Author contributions

DMB, and EMW conceived of the manuscript; DMB and SC collected the data; DMB led the statistical analyses with TJD and EMW; DMB led the writing of the manuscript. All authors contributed to writing and gave approval for the submission.

## Data Availability

The phenology and trait data collected for this study will be made available and archived at KNB: The Knowledge Network for Biocomplexity (<https://knb.ecoinformatics.org/>) at the time of publication.

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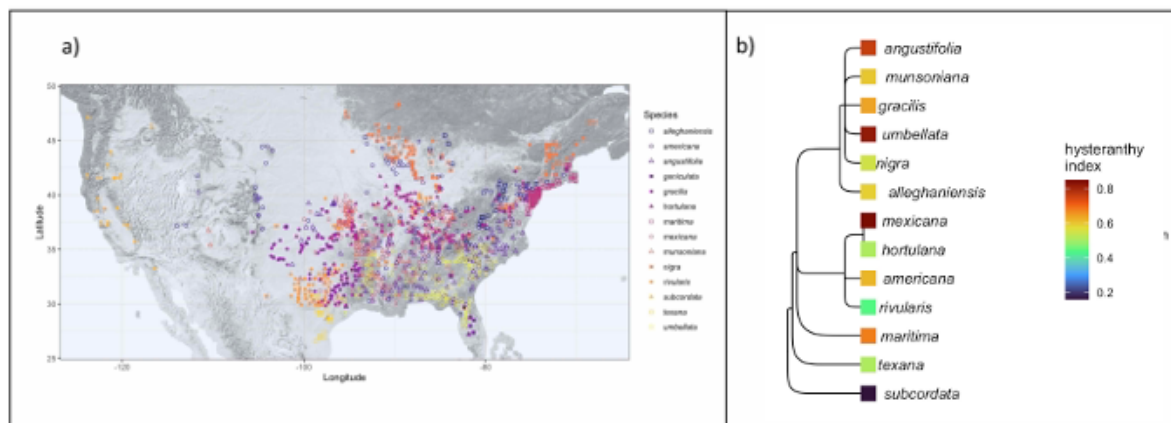


Figure 1: Geographic distribution and taxonomic relationships among the American plums. a) Maps the localities of all the herbaria records used in this study. b) Depicts phylogenetic relationships among the American plums and the likelihood they each species is hysteresis across its full flowering period, represented by a hysteresis index where 0 is never hysteresis and 1 is always hysteresis. These designations are based on ordinal phylogenetic mixed models. Tree topology is from Shaw & Small (2004)

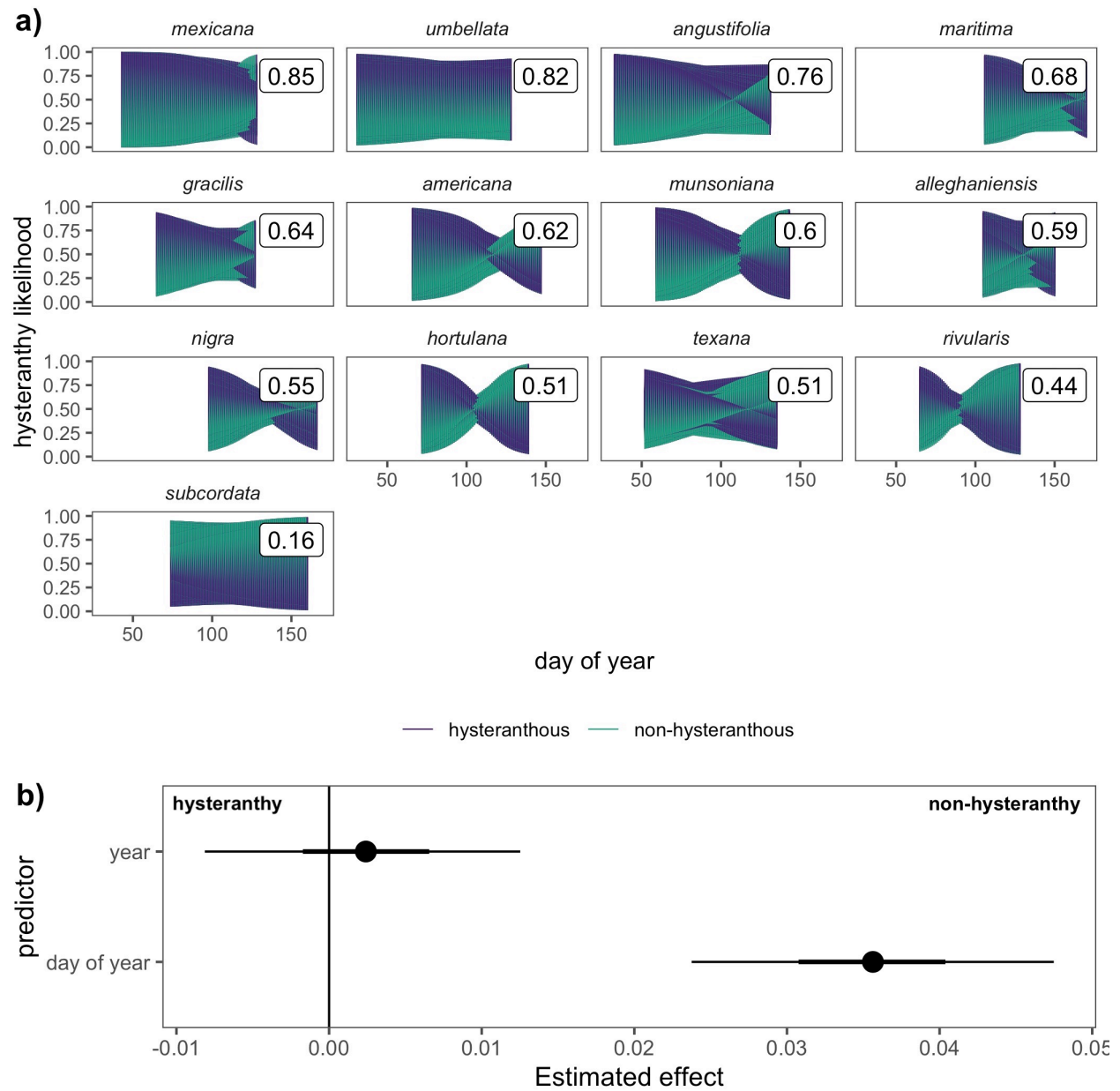


Figure 2: Predicted likelihood of hysteranthous across the flowering period of 13 American plum species and the temporal predictors that drive these patterns. Panel a) depicts the predicted likelihood that each species would express hysteranthous on each day of their flowering season based on 1000 draws from the posterior distribution of Bayesian hierarchical models. The colored shapes represent how the likelihood changes over time and the boxed numerical values represent the average likelihood a species would express hysteranthous, summed across the full flowering period. Panel b) depicts the influence of among season (year of sample) and within season (day of year of sample) trends on the likelihood species would express hysteranthous. Points are the mean effect size estimates, while thick and thin bars represent the 50 and 89% uncertainty intervals respectively.

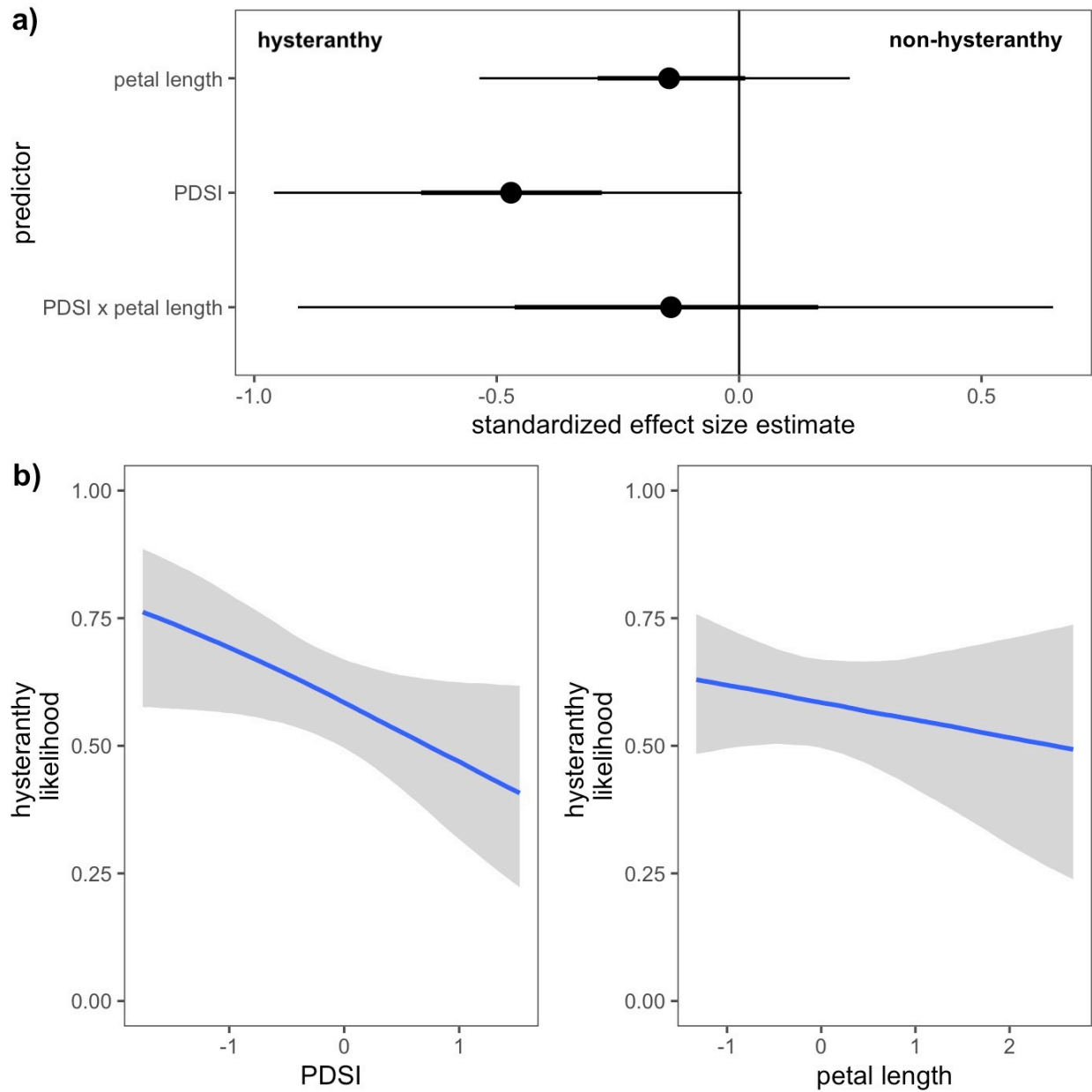


Figure 3: Relationships between hysteresis and environmental and biological traits for the 13 species of the American Plums. Panel a) shows the estimated effects of each predictor and their interaction the likelihood hysteresis. Points indicate the mean effects and the thick and thin bars represent the 50 and 89% uncertainty intervals. Panel b) depicts the conditional effects of each predictor on hysteresis likelihood. Blue lines indicate the mean estimate and grey fill the 89% uncertainty intervals. Predictor values (x-axis) have been z-scored to make standardized comparisons

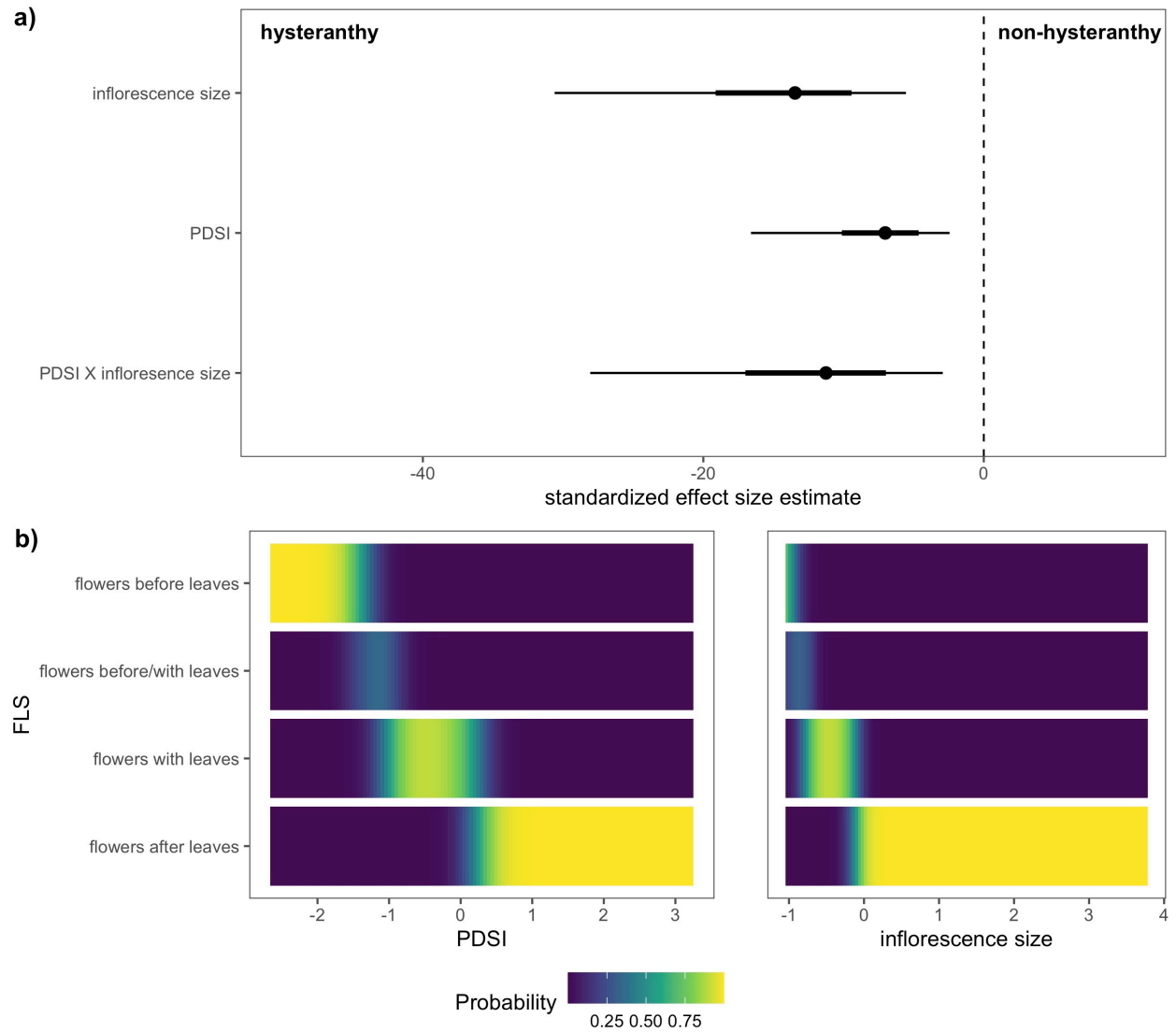


Figure 4: Relationships between the likelihood of hysteranthly and environmental and biological traits for 32 species of the genus *Prunus* native to, or established in North America. Panel a) shows the estimated effect size of each predictor. Points indicate the mean estimate for each predictor, and thick and thin bars the 50% and 89% uncertainty intervals respectively. Panel b) depicts the likelihood for each flower-leaf sequence stage (y-axis) at any given values of PDSI or number of flowers/inflorescence (inflorescence size). Predictor values (x-axis) have been z-scored to make standardized comparisons.