Reconciling competing hypotheses regarding flower-leaf sequences in temperate forests for fundamental and global change biology

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# 6 Abstract

Phenology is a major component of an organism's fitness. While individual phenological events affect fitness, growing evidence suggests that the relationship between events may be equally or more important. This may explain why deciduous woody plants exhibit considerable variation in the order of reproductive and vegetative events, or flower-leaf sequences (FLSs). Research suggests that FLSs are adaptive, with several competing hypotheses to explain their function. Reconciling these hypotheses has been impeded by how FLS patterns are described and defined. Here, we advance the existing hypotheses to account for the FLS variation in nature and evaluate them with four case studies. Our inquiry provides three major insights towards a new framework for understanding FLSs. First, we find concurrent support for multiple hypotheses, suggesting progress can come from studies addressing overlapping hypotheses. Second, support for FLS hypotheses is sensitive to how FLSs are defined, with quantitative definitions proving most useful. Finally, we identify the limits of trait-based hypothesis testing. We highlight how adopting an intra-specific approach and evaluating fitness consequences of FLS variation could quickly determine the major drivers, with cascading benefits to improving predictions of how climate change will alter FLSs and thereby re-shape plant communities and ecosystems.

# 4 Introduction

Phenology, the timing of seasonal life cycle events, allows organisms to synchronize important life history transitions with optimum environmental conditions (Forrest & Miller-Rushing, 2010), and is a critical component of ecosystem structure and function (Cleland *et al.*, 2007; Piao *et al.*, 2007). Recent work in woody plant phenology has shown

that it is not only individual phenological stages that affect these processes, but also the relationships between them (Ettinger *et al.*, 2018).

One phenological relationship that has long received scientific interest (see Robertson, 1895), and recently, increased attention in the literature (Savage, 2019; Gougherty & Gougherty, 2018) is the flower-leaf phenological sequence (FLS) of deciduous woody plants. In a typical model of plant life-history, vegetative growth precedes reproduction. However, for many species in the forests of Eastern North America, it is not the green tips of new shoots that mark the commencement of the growing season, but the subtle reds and yellows of their flowers. This flowering-first FLS is common in these regions, and its prevalence suggests that this FLS has adaptive significance (Rathcke & Lacey, 1985).

A deep inquiry into the nature of this phenological pattern is necessary and particularly timely now because anthropogenic climate change is altering FLSs (Fig. 1). For the three European tree species we examined, the number of days between flowering and leafout is increasing as a result of climate change but the rate of change differs among them. If, as suggested, FLSs are indeed an important component of woody plant fitness, this inter-specific variation will exacerbate fitness differences between species, influencing which species will persist under altered climate conditions.

Despite recent advances in understanding the physiology and evolution of FLS (Gougherty & Gougherty, 2018; Savage, 2019), a major challenge to predicting how FLS patterns will respond to climate change is that baseline variability in FLS is poorly characterized. While some authors present general correlations between flowering and leafing phenology (Lechowicz, 1995; Ettinger et al., 2018), fine-scale FLS variability has never been evaluated. We suggest that characterizing FLS variation among individuals and populations will not only improve our ability to predict how FLS patterns will change in the future, but also allow for a more biologically relevant evaluation of the current FLS hypotheses, revealing avenues for future, direct hypothesis testing.

Here we 1) Review the hypotheses of woody plant FLSs and their respective predictions, 2) Evaluate variation in FLSs, and explore how FLS variation within species, populations and individuals alters the predictions of the hypotheses, 3) Show how the incorporation of variation reveals consistencies and anomalies in support for FLS hypotheses using several case studies from temperate forests, and 4) make recommendations for future study of FLSs.

# $_{\scriptscriptstyle 55}$ Defining FLS

Flower-leaf sequences have traditionally been classified into qualitative categories that are almost always defined at the species level. The terms hysteranthy, protanthy, proteranthy or precocious flowering describe species that produce flowers before their leaves. Synanthy describes species whose flowering period overlaps their leaf development and seranthy describes species whose flowers open after their leaves emerge (Lamont & Downes, 2011; Heinig, 1899). But applying these conceptual categories to real phenological sequences is not always so straight forward.

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Both reproductive and vegetative phenological sequences consist of multiple substages, and this introduces significant ambiguity into how we interpret qualitative FLS descriptions. Consider a species with the following FLS:

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# $\begin{array}{c} \text{flower budburst} \rightarrow \text{leaf budburst} \rightarrow \text{first flowers open} \rightarrow \text{leafout} \rightarrow \\ \text{peak flowering} \rightarrow \text{end of leaf expansion} \end{array}$

Phenological observers could justifiably classify this species as: 1) Hysteranthous because flower budburst proceeds leaf budburst, 2) Synanthous because flowers open during the time between leaf budburst and leafout or 3) Seranthous because peak flowering occurs after leafout. This problem extends beyond this simple example to real datasets, such as the long term phenological records from Harvard Forest in Petersham, MA (O'Keefe, 2015) where the same ambiguities exist (Fig. 2). Different classifications of the same species across different sources could reflect interesting temporal or geographic variability in FLSs, but—given current definitions—they could equally be an artifact of observer decision-making.

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Given that the most complete FLS dataset in existence come from regional guide books and Flora, it is likely that these categories were originally described to aid with plant identification rather than to describe functional biological processes. The FLS categories imply that a species flowering two days after leaf budburst is more similar to another that flowers two months after leaf budburst than to a third species that flowers two days before budburst. However, biological intuition alone would suggest otherwise. Categorization introduces artificial boundaries between some species while obscuring significant differences between others.

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Together, these uncertainties hamper our ability to accurately test the existing FLS hypotheses because any statistical relationship between FLS and other traits predicted by the hypotheses is biased by the subjectivity of the original observer, the modeler, and the possibility that the associations we are testing are biological arbitrary.

100 101 In order for the traditional inter-specific categorical approach to FLSs to be useful for identify the evolutionary significance of FLS variation, we must consider FLS patterns in the biological context of the various FLS hypotheses. The biological mechanisms underlying each hypothesis make different predictions the degrees of overlap between vegetative and floral phenophases, which is instructive for how to group or divide FLS patterns for hypothesis testing. Below, we review the current FLS hypotheses, identify the underlying biology of each, and clarify how much overlap between flowering and vegetative growth they predict.

#### Wind pollination

The most prevalent FLS hypothesis suggests that hysteranthy is an adaptation critical for effective wind pollination, with leafless flowering allowing for more efficient pollen dispersal and transfer (Whitehead, 1969; Stephen H. Spurr, 1980; Friedman & Barrett, 2009). This hypothesis hinges on the fact that leaves create a substantial physical disruption to pollen transfer, a premise that we would not necessarily expect to be true for the early stages of leaf expansion when tiny leaf primordia would have little impact on environmental structure. In this framework, we expect that trees that flower during the early stages of leaf expansion would gain similar mechanical advantage to those who complete their flowering before any leaf activity. We see that in Harvard Forest, while wind-pollinated species flower both before and after budburst, they all flower before their leaves reach 75% of their final size (Fig. 2). This hypothesis predicts that wind pollinated species should flower before or with their leaves, while in animal pollinated species, FLS should be random or co-vary with pollinator activities.

#### 24 Water dynamics

Another hypothesis, emerging from the dry-deciduous tropics where flowering during the leafless season is also common (Janzen, 1967), suggests that flowering before leaf development is an adaptation to reduce water stress associated with maintaining floral hydration while leaves are transpiring (Franklin, 2016). Because transpiration intensifies as soon as leaves begin to expand in th spring (Breda & Granier, 1996; Wang et al., 2018), this hypothesis asserts there is a significant cost to maintaining floral structures during any stage of leaf activity and only species whose flowering occurs before any leaf expansion would gain a drought advantage. This hypothesis predicts that species that are drought tolerant should flower before leafing out, with minimal overlap between the floral and vegetative phenophases. Species that are not drought tolerant gain no advantage from flowering first, so in these species FLSs should be random.

#### 66 Early flowering

A third possibility is that the flowering-first FLS is a physiological byproduct of selection for early flowering (Primack, 1987). Within this framework, there is no advantage to a species being hysteranthous vs. seranthous, as long as the absolute flowering time is the same. Recent work from Savage (2019) has demonstrated that spring flower phenology is less constrained by prior phenological events than leaf phenology, which would allow selection to drive flowering into the early season, producing the hysteranthous FLS. This might explain why hysteranthous species tend to be the earliest species to flower (Fig. 2). Here, we expect longer times between flowering and leafing to be associated with earlier flowering phenology in general, and we expect more phenological overlap or a switch to seranthy in later flowering species. For hysteranthy, we might also expect to see strong associations with other early flowering traits such as seed mass, dispersal season or cold tolerance (Gougherty & Gougherty, 2018), but the hypothesis does not exclusively require the selective driver of early flowering to be one of these traits (Savage, 2019). This hypothesis predicts that early flowering times should be strongly associated with flowering-first FLSs. It also is likely there would be a relationship between this FLS and other early flowering traits, but the absence of these associations does not invalidate the hypothesis.

#### Phylogenetics

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Finally, it is also possible that FLSs are highly conserved traits, and the preponderance of hysteranthy in the temperate zone is a product of phylogenetic representation of the region rather than an adaptive aspect of the trait. In this framework, a species' FLS is under very weak or no selection so there are no expectations regarding the degree of overlap between flower and leaf phenological activity. This hypothesis predicts strong phylogenetic patterning in the FLS with no correlation with other traits.

More biologically-informed determinations of FLS categories should improve the utility of trait association models because they generate expectations as to how the strength of trait associations should vary as FLSs are re-defined. For example, because we asserted that for wind-pollination efficiency both hysteranthous and synanthous species would have similar pollen transfer advantages, we would expect to see a stronger pollination syndrome signal when synthanthous species are grouped with hysteranthous ones than when they are combined with seranthous taxa. These kinds of predictions can be explicitly tested in the current FLS framework, adding a second layer of inference to aid our understanding of the biological significance of FLS variation. While this approach is promising, we must address a second problematic assumption of the current classification system.

We find that there is substantial intra-specific differences in FLS, and this variation has become even more obvious as climate changes (Fig. 1). Yet, FLS categories are always

applied at the species level, and intra-specific variation has never been broadly assessed (Gougherty & Gougherty, 2018). Intra-specific variation is the engine of natural selection, and if it is substantial in FLS patterns, we can infer much about the origins of this trait as well as its trajectory as the climate changes.

# Variation in FLS

We investigated individual FLS variation in the Harvard Forest data (O'Keefe, 2015), and found that the time between flowering and leaf activity varied by as much as several weeks for most species. This variability is lost completely in the classic framework of categorization. For example, for *Q. rubra*, a species classically listed as flowering and leafing in synanthy, there are some years in which flower budburst is more than a week before leaf budburst, and other years in which leaf buds burst weeks prior to floral budburst (Fig. 3). We also found significant population-level variation in FLS, using the Pan European phenological database PEP725 (Templ *et al.*, 2018), with the average time between flowering and leafing varying between sites by over a week depending on the species.

Given the variability of FLSs at the individual and population level, it is clear that considering FLS variability at only higher taxonomic levels obscures important realities about the biology of this phenological trait. Below, we discuss how the observed variation below the species level may alter the existing FLS hypotheses.

### 4 How FLS variation alters predictions

#### 195 Wind pollination

Pollination syndrome is generally treated as a species-level trait, considered to be fairly immutable across ecological time and space. Because of this, we would not expect significant variation in FLS across populations or individuals because we would not expect variation in pollination syndrome. However, as discussed above, a tree with no overlap between flowering and leafing phenology does not necessarily gain a significant pollen transfer advantage over an individual with some overlap. The pollination efficiency advantage from flowering-first should diminish as the canopy fills in, but we do not know at what point during leaf expansion pollination would become significantly encumbered. It is possible that interannual and population-level variation in the timing between flowering and leaf out for hysteranthous and synanthous individuals could maintain a wind pollination advantage, as long as the overlap did not cross a certain unknown threshold. Therefore, based on the wind pollination efficiency hypothesis, we would not expect high levels of population or individual variation in FLS, but the detection of some FLS variability at these levels does not inherently challenge this hypothesis.

#### $_{10}$ Water dynamics

If FLS's are driven by water dynamics, we would expect there to be significant population-level variation in FLSs. Populations growing in drier habitats should flower earlier relative to their leaf activity than their counterparts growing in wetter areas that experience weaker selection for minimizing phenological overlap. Therefore, increased time between flowering and leafing should be negatively correlated with average soil moisture. Water availability may also drive interannual FLS variation, with drought years increasing hysteranthy, and wetter years permitting more FLS overlap.

#### 218 Early flowering

This hypothesis predicts some variation on the population level based on local adaptation. We would expect populations in which selection for earlier phenology is stronger, perhaps those in regions with shorter growing seasons, to flower earlier relative to their leaf development. At the individual level, FLS variability could be driven by interannual variability in spring conditions. Both flowering and leaf phenology are strongly cued to temperature and photoperiod (Flynn & Wolkovich, 2018; Rathcke & Lacey, 1985), but with leaf phenology constrained by xylem activity and flowering phenology relatively independent of it, we would expect a more sensitive response to environment in flowering time resulting in FLS variation. This hypothesis predicts that early flowering years or populations should be associated with an increase in the time between flowering and leafing for hysteranthous species. It also predicts a tighter temporal correlation between flowering and leafing for seranthous species or those with mixed buds in which flower timing is constrained by leaf budburst.

#### 2 Phylogenetics

With the lack of treatment of intra-specific FLS variability in the literature, we have no strong basis for asserting whether the apparent variability in FLSs is a product of genetic or environmental controls. If there is a strong genetic component to FLS as has been show for other phenophases (Wilczek et al., 2010), some population-level variation could be driven by reproductive isolation. With strong genetic control of FLS, we might also see consistent genotypic differences in FLS among individuals within a population, but would not predict high levels of interannual variation.

# $_{\scriptscriptstyle{241}}$ Available evidence for FLS hypotheses in temperate $_{\scriptscriptstyle{242}}$ woody species

Direct tests of these hypotheses are relatively rare in the literature, and—when tested—support for them is mixed. Many studies only test a single hypothesis, making comparison between them difficult. For example, the primary evidence for the wind pollination hypotheses comes from pollen diffusion studies, e.g., particle movement through closed and open canopies (Niklas, 1985; Nathan & Katul, 2005; Milleron et al., 2012), which provide no framework for comparatively evaluating the other hypotheses. We are aware of no direct tests that have tried and distinguish selection for hysteranthy from selection for early flowering, but Primack (1987) notes that hysteranthous, wind-pollinated species tend to also have large seed mass, and lack primary seed dormancy for germination, traits associated with early flowering in general. This raises the distinct possibility that hysteranthy may simply be one component of a larger suite of early flowering traits. We are also unaware of any studies that have mechanistically evaluated the water dynamics hypothesis, though observations of flowering in the dry tropics suggest that the timing of flowering in hysteranthous taxa is associated with a plant water status recovery due to leaf drop (Borchert, 1983; Reich & Borchert, 1984). Only recently has it even been suggested that this hypothesis might be relevant in the temperate zone as well, as we would not expect that water status would limit biological activity in the wet springs of the temperate zone (Gougherty & Gougherty, 2018).

In contrast, studies testing multiple hypotheses have generally found support for more than one evolutionary driver of hysteranthy. One study by Bolmgren et al. (2003) showed that wind-pollinated species tend to also be earlier flowering than their biotically-pollinated sister taxa, suggesting a relationship between the early flowering and wind pollination hypotheses. A recent study by Gougherty & Gougherty (2018) tested multiple hypotheses by modeling associations between species' trait and FLS patterns in the Great Lakes region. They found strong support for both the water dynamics and early flowering (flower timing and seed characteristics) hypotheses along with strong phylogenetic clustering.

In all of these cases, variability in FLS below the species-level was not addressed. Yet, there are datasets widely available that allow for testing these several hysteranthy hypotheses concurrently, and at multiple taxonomic levels. To address this gap, we supplement our literature review with several analyses. First, we test all hypotheses at once with species-level datasets (previously-used in other analyses of FLS). Next, we leverage additional datasets to test how support for these hypotheses varies across the inter- to intra-specific levels.

We evaluated hysteranthy in four phenological datasets, spanning species, population and individual-level data on a total of 234 woody species. Michigan Trees and its companion volume Michigan Shrubs and Vines (Barnes & Wagner, 1981,2004; Burton V. Barnes, Christopher W. Dick, 2016) (MTSV) contains categorical FLS information for 195 woody plant species. The USFS Silvics manual volume II (Burns et al., 1990) contains categorical FLS descriptions for 81 woody species. Within these datasets, we applied two alternative FLS classification schemes; physiological hysteranthy, which allowed for no overlap between floral and leaf phenophases, and functional hysteranthy, which allowed for a degree of overlap. The Harvard Forest data set (HF) contains quantitative flowering and leaf phenology measurements for individuals of 24 woody species over a 15 year period (O'Keefe, 2015). In this data set, we approximated the two hysteranthy classification schemes mentioned above by measuring the time between several different floral and leaf phenophases. From the Pan European Phenological Database (PEP725) (Templ et al., 2018) we obtained spatially and temporally explicit, quantitative flowering and leaf phenology for four common European tree species. The MTSV and USFS data can be used to test inter-specific FLS variation. The HF data are temporally explicit, allowing for both inter- and intra-specific FLS comparisons. The PEP725 data is species-limited, and allows us to evaluate FLSs only at the intra-specific level, but permits us to address variability in individuals over time and among population.

In considering all data sets together two clear trend emerge: First, in accordance with the recent literature, we found support for multiple hypotheses (figure 4). There was generally strong support for the early flowering and wind pollination hypotheses, poor support for the water dynamics hypothesis, and the phylogenetic signal was usually strong but highly variable (table ??). The strength of interactions between predictors varied among models with no systematic patterns. For several case studies, we detected a positive interaction between pollination syndrome and water dynamics and negative interactions between early flowering and water dynamics. The presence of interactions further suggests that multiple drivers may be structuring FLS patterns. For example, when we performed average predictive comparisons on the HF models, we found that the hysteranthy did indeed increase significantly with lower minimum precipitation across their range for insect-pollinated species but not for wind-pollinated taxa (figure 5).

We also found that relative importance of each predictor, and therefore, the strength of the support for each hypothesis, changed significantly depending on how we defined hysteranthy in the dataset. As predicted, the signals for each trait effect were stronger when the degree of flower-leaf temporal overlap built into the FLS definition used matched the underlying biological assumptions of the hypothesis. We also found that using continuous measures of FLS increased the uncertainty around the estimates, suggesting categorical data may be over-simplifying trait relationships and providing inaccurately high levels of certainty.

We used our intra-specific datasets to test some of the predictions we made about intra-specific variability in the water dynamics and early flower hypotheses. When we examined the relationship between 30 year soil moisture records (DWD, 2019) and population level variation in FLS timing across Germany, we found a weak negative association between average soil moisture levels and time between flowering and leafing as predicted by the water dynamics hypothesis. However, when we incorporated other predictors, such as flowering time into our analysis, the association disappeared (Fig. 4, PEP725 estimates). This suggest that FLS variation at this scale is still primarily driven by flowering time rather than water availability.

In accordance with our predictions for the early flowering hypothesis, we found that for hysteranthous species, FLS variation is much more tightly correlated with variation in flowering timing than in leafing timing, but this contrast is far less stark in seranthous Aesculus hippocastum (table ??). Though our intra-specific data set is species limited, we can refine our prediction to say that plasticity in the first phenophase of the season (flowering for hysteranthous species and leafing for seranthous species) seems to drive variability in FLSs, but this observation should be tested more rigorously and explicitly in future work. While the inter- and intra-specific case studies are not perfectly comparable (ie the wind pollination hypothesis cannot be evaluated on the intra-specific level), the general insights from our intra-specific studies supports the relationships found in the inter-specific case studies and provide novel, higher resolution insights of their own.

#### Future

Each of our case studies provided its own insights into the nature of the relationship between FLS variation and the FLS hypotheses for woody species. For MTSV and USFS, we found that the strength of each predictor's effect varied depending on how the FLSs were defined. From the HF study, we found that re-defining continuous FLS as binary masked important species level variation in trait associations and from PEP725, we identified a new hypothesis for the physiology behind FLS; that FLS variation is generally driven by variation in the first phenophase of the sequence. However, it is in considering the results of the cased studies together, that we gain a more comprehensive picture of where our understanding of this phenological trait is currently, and where it needs to go. Below we highlight five characteristics of FLS that should be incorporated into future research.

#### Multiple hypotheses explain FLSs

Our results underscore other lines of evidence that show multiple hypotheses should be starting point for all future FLS research. While there is certainly value to broad taxonomic studies, and future large-scale analyses should continue, the consistent support for multiple hypotheses shows there are limits to the utility of these kinds of studies. We suggest that it is better to explore the evolutionary dynamics of hysteranthy with a more mechanistic approach, which may mean utilizing a more taxonomically-restricted focus. The significance of interaction terms in some of our models suggest that a promising option is to look within the hypotheses to address sub-grouping of taxa in which overlap between hypotheses could be controlled. For example, we know that wind-pollination efficiency is not driving hysteranthous flowering among biotically-pollinated taxa, so if we consider this group of species alone, we may be able to detect stronger signals from other traits that support other competing hypotheses. Incorporating a more explicit phylo-biogeographic approach would be instructive at this level; if there are phylo-geographic commonalities between the few biotically-pollinated hysteranthous species in Eastern flora, we might better understand the function of FLS variation in these species by investigating FLS variation in their sister-taxa in their regions of origin.

Even with drilling down to sub-groupings, interspecific trait-association models can only can take us so far. One reality of these kinds of studies is that we never know that we are picking the right traits. For example, we used minimum precipitation across a species' range, one of the only available quantitative drought metrics at the scale of large inter-specific models, to represent the water dynamics hypothesis but we have no way of knowing for certain that this is really a good proxy for drought tolerance. Further, species evolve a suite of traits for any function, and unmeasured traits might bias our results (Davies et al., 2019). For example, wind-pollinated species could compensate for pollen intercepted by a synanthous or seranthous FLS by over-producing pollen or through self-pollination. To really understand FLS across large taxonomic space, one would have to compare species across an unfeasibly large, N-dimensional trait space, suggesting we will need to utilize other, complementary approaches, detailed below.

#### Intra-specific variation in FLS

 In this paper, we have shown that FLSs can be highly variable at the intra-specific level. This variation can be leveraged through carefully designed research to overcome many of the limitations of larger trait-correlation models. Unlike with inter-specific approaches, focusing on FLS variation within species holds most other traits relatively equal, avoiding the problem of tradeoffs with latent unmeasured traits. Evolutionary theory predicts that intra-specific variation should follow the same trends as inter-specific variation, and consistent agreement between inter- and intra-specific, as we found in our analysis, will help narrow in on certain hypotheses.

#### $_{55}$ The FLS is a quantitative trait

Treating FLS observations as continuous variables are the most accurate way to describe these data. Our modeling work shows that this is an important step towards reducing observer bias and revealing important inter-specific differences that are masked by categorization. Quantitative measure of phenology (e.g. the BBCH scale, Finn *et al.*, 2007), standardize data across time and space, observer, and analyst. Adopting such measurements in the study of phenological sequences would allow for FLS patterns to be compared across larger temporal, geographic and taxonomic scales, giving researchers more power to accurately address questions about FLS variation.

#### 404 FLS and fitness

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While trait associations point to past selection, fitness is the driver of trait evolution, and at the core of each FLS hypothesis is a fitness prediction. By utilizing intra-specific comparisons and continuous measurements of FLS, we can move beyond trait associations and test the fitness consequences of FLS variation.

Variability in hysteranthy should lead to variability into fitness outcome at the intraspecific level. For example, the wind pollination hypothesis predicts that with all else equal, years with increased time between flowering and leafing should correlate with more pollination success. The water dynamics hypothesis suggests hysteranthous populations with a consistently larger time between flowering and leafing should better tolerate drought. These predictions could be directly assessed through well-designed experiments and field studies.

#### FLS and physiology

Decades of research suggests that both floral and vegetative phenological events are cued by temperature and photoperiod (Forrest & Miller-Rushing, 2010; Flynn & Wolkovich, 2018), suggesting they are under shared genetic and physiological control. But to yield the FLS variation seen in nature, there must be systematic differences in reproductive and vegetative phenological responses to the environment. Researchers can use intra-specific variation in FLS to identify which cues dominate each phenological process and better understand the underlying genetic and physiological constraints that structure phenological sequences.

Our proposed framework provides a path to understand the drivers of FLSs in woody plants. Through examining FLS variation in more targeted taxonomic assemblages and using quantitative data with mechanistic metrics, we can refine the existing FLS hypotheses and better comprehend the causes and consequences of FLS variation at multiple taxonomic scales. This is an essential step towards a more complete understanding of the fundamental biology of temperate woody plants, and for predicting the fate of these species as global climate continues to change.

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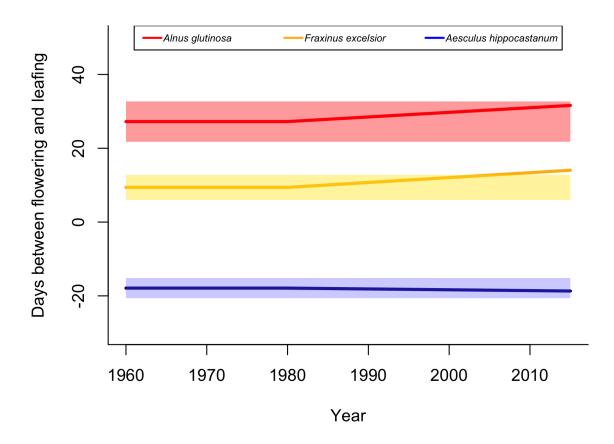


Figure 1: Modeled FLS response to climate change across Europe for three tree species from 1960 to 2015. To detect the effect of climate change on average FLS, the models allows for shifts in FLS after 1980. Each line represents a population from the PEP725 database and the highlighted regions indicate historic range of FLS variability (upper and lower 95% credible intervals of the pre-1980 average). There is significant intra-specific variation in average FLS and the FLS response to climate change. For all species, the time between flowering and leafing is generally increasing but the direction and rate of change differs across species and sites.

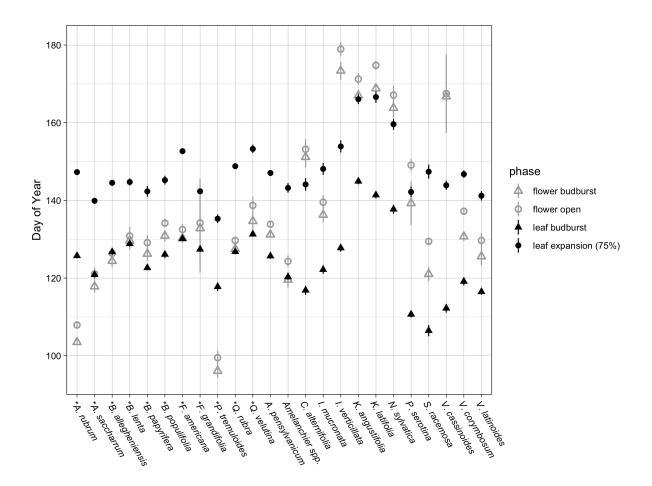


Figure 2: Average inter-specific FLS variation at Harvard Forest, MA from 1990-2015. This community displays all major FLS patterns, but because of overlapping floral and vegetative sub-phases and interannual variability in phenology (lines indicate standard error for each phenophase mean), it is difficult to neatly assign all species to a FLS category. Other notable patterns relevant to the FLS hypotheses can be seen.

1) As predicted by the early flowering hypothesis, the earliest species to initiate spring phenology are hysteranthous. 2) As predicted by the pollination syndrome hypothesis, wind-pollinated species (indicated with a \*) may vary in whether their flowers or vegetative buds break first, but all open their flowers before leaves expand to 75%.

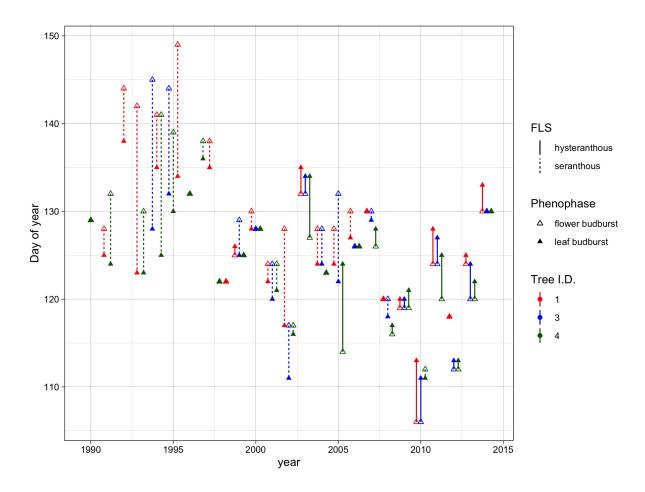


Figure 3: Individual FLS variability over time for *Quercus rubra* at Harvard Forest. While this species is typically is classified as synanthous, we see here that the the order of flower and leaf bud break, and the time between these events varies considerably for each individual over time, and between individuals in any given year. None of this variation can be accounted for in a categorical FLS classification system.

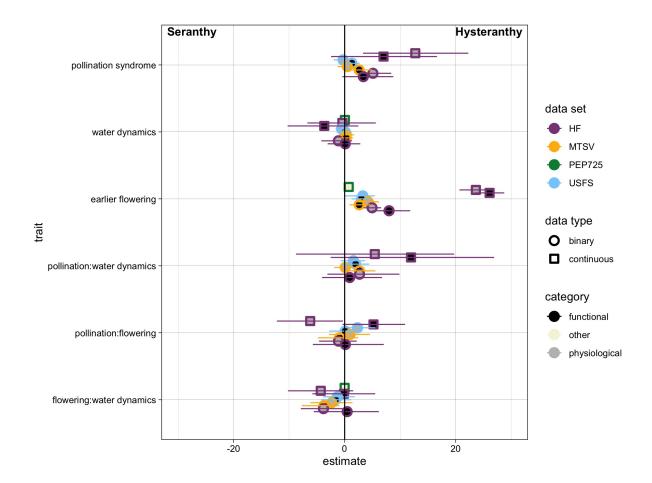


Figure 4: Estimated effects of water dynamics (minimum precipitation across species range or average soil moisture), pollination syndrome, and earlier flowering time on FLS patterns across four case studies. We used phylogenetic adjustments and standardized units to make a basic comparison of four datasets of different taxonomic scopes (intravs. interspecific variation) data types (categorical and continuous) and definitions of of FLS. While absolute parameter estimates should not be directly compared due to scaling inconsistencies between models and different modeling approaches for differing data structure, all models support the consensus that wind pollination and early flowering is associated with a flowering first FLS, and there is little effect of measures of water dynamics. Interactions between predictors varied across studies with no clear trends but suggest that it would be instructive to investigate FLS variation in sub-grouping of taxa in which overlap between hypotheses is minimal. Lines represent 95% bootstrap or credible intervals depending on the modeling framework.

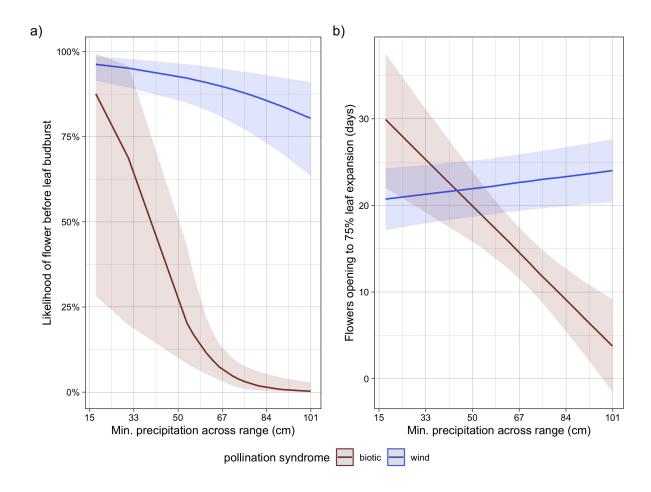


Figure 5: Average predictive comparisons reveal that drought tolerance may be a driver of hysteranthy in biotically-pollinated but not in wind-pollinated taxa, suggesting future work on FLS must accommodate overlapping hypotheses. The figure depicts the predicted values and 50% credible intervals for a) the likelihood a species is hysteranthous and b) the time between flowering and leafing. Predictions are function of drought tolerance and pollination syndrome for species flowering in mid-May at Harvard Forest in Petersham,MA. Figure a) is based on the physiological-binary model of FLS and figure b) is based on the functional-continuous model (See supplement for details of model formulation).