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1. (a) **Answer:**

The eigenvalues obtained are:

$$\lambda_1 = 7.6690 + 0.0000i$$

$$\lambda_2 = -0.3345 + 0.1361i$$

$$\lambda_3 = -0.3345 - 0.1361i$$

Explanation:

To determine system stability, we cross-reference the following checks:

- 1) System is stable if and only if $\text{Re}(\lambda_i) < 0$ for all eigenvalues
- 2) System is unstable if and only if $\text{Re}(\lambda_i) \geq 0$ for some i
- 3) Generally, $x_e = 0$ is stable if and only if both of the following hold
 - (i) $\text{Re}(\lambda_i) < 0$ for all i
 - (ii) every eigenvalue with $\text{Re}(\lambda_i) = 0$ has an associated Jordan block of order 1.

Therefore the system is unstable since not all $\text{Re}(\lambda_i) < 0$ and $\text{Re}(\lambda_i) \geq 0$ for some i

(b) **Answer:**

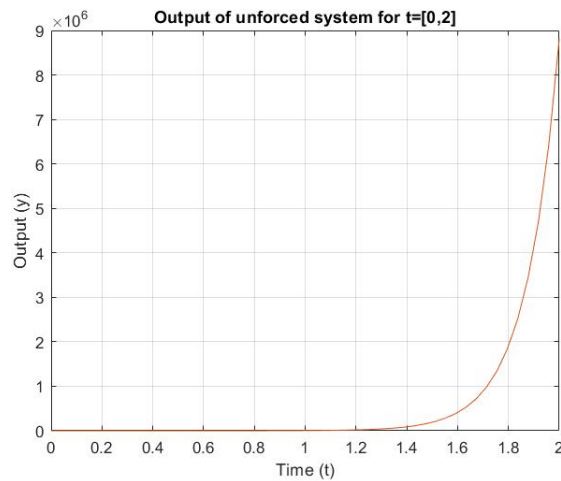
To determine controllability, we use MATLAB scripts $\text{Co} = \text{ctrb}(A, B)$ to compute the controllability matrix, followed by $\text{unco} = \text{length}(A) - \text{rank}(\text{Co})$ to determine the number of uncontrollable states.

Using MATLAB, the controllability matrix was computed as:

$$\text{Co} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 7 \end{bmatrix}$$

and $\text{unco} = 0$, which concludes that we have zero uncontrollable states, therefore the system is controllable.

(c) **Plot of unforced system output for $t = [0, 2]$:**



(d) **Answer:**

$$\text{Matrix } K = \begin{bmatrix} 11 & 60 & 88 \end{bmatrix}$$

(e) **Answer:**

We represent the given matrices as the general form:
 $\dot{x}(t) = A \cdot x(t) + B \cdot u(t)$

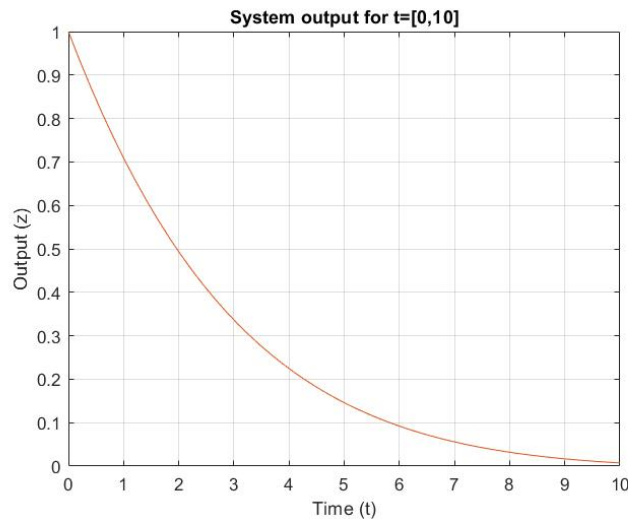
Using a matrix, E, of eigenvectors of A such that, $x = E \cdot z$
 With the matrix of eigenvectors, we get $\dot{A} = E^T A E$

This allows us to get a diagonal matrix of eigenvalues:

$$\dot{Z} = \begin{bmatrix} 7.669 & 0 & 0 \\ 0 & -0.335 + 0.136i & 0 \\ 0 & 0 & -0.3345 - 0.136i \end{bmatrix} Z$$

which translates to the output function, $Z_n = C * e^{\lambda t} * X_0$

Plot of system output under feedback law $u(t) = -Kx(t)$ for $t = [0, 10]$



2. (a) Answer:

Q2a)

$$M = \begin{bmatrix} \gamma & -\beta \cos \phi \\ -\beta \cos \phi & \alpha \end{bmatrix}$$

$$\gamma \ddot{x}_c - \beta \dot{\phi}^2 \cos \phi + \beta \dot{\phi}^2 \sin \phi + \mu \ddot{x}_c = F$$

$$-\beta \cos \phi \ddot{x}_c + \alpha \ddot{\phi} - D \sin \phi = 0$$

$$\begin{bmatrix} \gamma & -\beta \cos \phi \\ -\beta \cos \phi & \alpha \end{bmatrix} \begin{bmatrix} \ddot{x}_c \\ \ddot{\phi} \end{bmatrix} = \begin{bmatrix} F + \beta \dot{\phi}^2 \sin \phi + \mu \ddot{x}_c \\ D \sin \phi \end{bmatrix}$$

$$M^{-1} = \frac{1}{\gamma \alpha - \beta^2 \cos^2 \phi} \begin{bmatrix} \alpha & \beta \cos \phi \\ \beta \cos \phi & \gamma \end{bmatrix} = \begin{bmatrix} \frac{\alpha}{\gamma \alpha - \beta^2 \cos^2 \phi} & \frac{\beta \cos \phi}{\gamma \alpha - \beta^2 \cos^2 \phi} \\ \frac{\beta \cos \phi}{\gamma \alpha - \beta^2 \cos^2 \phi} & \frac{\gamma}{\gamma \alpha - \beta^2 \cos^2 \phi} \end{bmatrix}$$

$$\begin{bmatrix} \ddot{x}_c \\ \ddot{\phi} \end{bmatrix} = \begin{bmatrix} \frac{\beta \cos \phi \sin \phi}{\alpha \gamma - \beta^2 \cos^2 \phi} & -\frac{\alpha (\beta \sin \phi \dot{\phi}^2 - F + \mu \ddot{x}_c)}{\alpha \gamma - \beta^2 \cos^2 \phi} \\ \frac{D \sin \phi}{\alpha \gamma - \beta^2 \cos^2 \phi} & -\frac{\beta \cos \phi \cdot \beta \sin \phi \cdot \dot{\phi}^2 - F + \mu \ddot{x}_c}{\alpha \gamma - \beta^2 \cos^2 \phi} \end{bmatrix}$$

Substituting in x_1, x_2, x_3, x_4 :

$$\dot{\mathbf{x}} = \begin{bmatrix} x_3 \\ x_4 \\ \frac{\beta \cos x_2 \sin x_2}{\alpha \gamma - \beta^2 \cos^2 x_2} - \frac{\alpha (\beta \sin x_2 \cdot x_4^2 - U + \beta x_3)}{\alpha \gamma - \beta^2 \cos^2 x_2} \\ \frac{D \sin x_2}{\alpha \gamma - \beta^2 \cos^2 x_2} - \frac{\beta \cos x_2 \cdot \beta \sin x_2 \cdot x_4^2 - U + \beta x_3}{\alpha \gamma - \beta^2 \cos^2 x_2} \end{bmatrix}$$

using symplectic structure.

stability? some linear and non linear \Rightarrow refer to linearized system.

Q2c) $\gamma=2, \alpha=1, \beta=1, D=1, \mu=3$.

$$\dot{\mathbf{x}} = \begin{bmatrix} x_3 \\ x_4 \\ \frac{(1)(1) \cos x_2 \sin x_2}{(1)(2) - (1) \cos^2 x_2} - \frac{(1)(1) \sin x_2 \cdot x_4^2 - (3x_3(t)) + 3x_3}{(1)(2) - 4 \cos^2 x_2} \\ \frac{(1)(1) \sin x_2}{(1)(2) - (1) \cos^2 x_2} - \frac{\cos x_2 \cdot \sin x_2 \cdot x_4^2 - (3x_3(t)) + 3x_3}{2 - 4 \cos^2 x_2} \end{bmatrix}$$

$$= \begin{bmatrix} x_3 \\ x_4 \\ \frac{\cos x_2 \sin x_2}{2 - \cos^2 x_2} - \frac{\sin x_2 x_4^2 + 3x_3(t) + 3x_3}{2 - 4 \cos^2 x_2} \\ \frac{2 \sin x_2}{2 - \cos^2 x_2} - \frac{\sin x_2 x_4^2 + 3x_3(t) + 3x_3}{2 - 4 \cos^2 x_2} \end{bmatrix}$$

(b) Answer:

The inverted pendulum system has two equilibria, one at $\phi = 0$ (saddle point equilibrium with rod pointing up toward the ceiling) and the other at $\phi = \pi$ (stable equilibrium with rod pointing down toward the ground). We can set this as $k\phi$, where k is a real integer. At these equilibria, the angular velocity of the rod and the velocity of the cart are both zero.

The state of, x , exists for real dimensions n . The input, u , exists for real dimensions m . The output, y , exists for real dimensions p . The function, f , maps $\mathbb{R}^n \times \mathbb{R}^m$ to the derivative of the state vector \mathbb{R}^n .

A point \bar{x} is called an equilibrium point if there is a specific $\bar{u} \in \mathbb{R}^m$ called the equilibrium input such that $f(\bar{x}, \bar{u}) = 0_n$. For the system $\dot{x}(t) = f(x(t), u(t))$, starting from the initial condi-

tion $x(t_0) = \bar{x}$ and applying the input $u(t) = \bar{u}$ for all $t \geq t_0$, the resulting solution $x(t)$ satisfies $x(t) = \bar{x}$ for all $t \geq t_0$.

Mathematically,

$$\dot{x}(t) = f(x(t), u(t))$$

$$y(t) = h(x(t))$$

where:

$$x \in \mathbb{R}^n$$

$$u \in \mathbb{R}^m$$

$$y \in \mathbb{R}^p$$

$$f: \mathbb{R}^n \times \mathbb{R}^m \rightarrow \mathbb{R}^n$$

At the equilibrium points, $\phi \rightarrow k\pi$, where k is any integer.

$$\dot{\phi} = 0 \text{ and } \dot{x} = 0$$

(c) **Answer:**

The linearized system about the equilibrium point at $x=0$ is:

$$\dot{x} = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & -3 & 0 \\ 0 & 2 & -3 & 0 \end{bmatrix} x(t) + \begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \end{bmatrix} u(t)$$

The eigenvalues λ for the linearized system are:

$$\lambda_1 = 0$$

$$\lambda_2 = -3.330$$

$$\lambda_3 = 1.128$$

$$\lambda_4 = -0.798$$

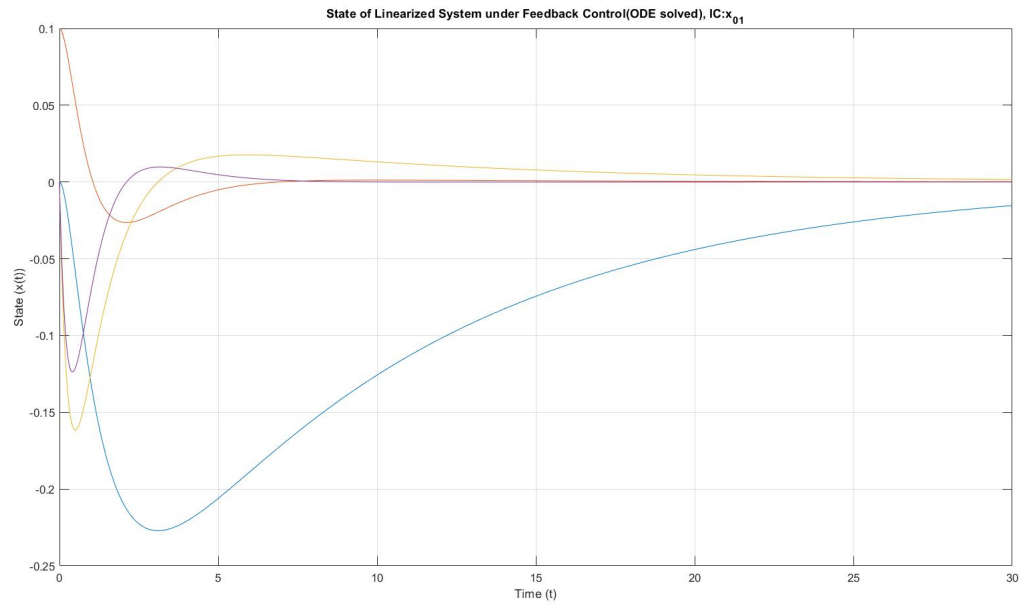
Both the linearized and non-linear systems are unstable as there is at least one eigenvalue > 0 in the linearized system,

The stability of the non-linear system corresponds to its linearized form; the nonlinear system is stable if and only if its linearized form is stable or asymptotically stable. The linearized system is controllable as its rank is 4, therefore the non-linear system shares the same characteristic of its linearized form.

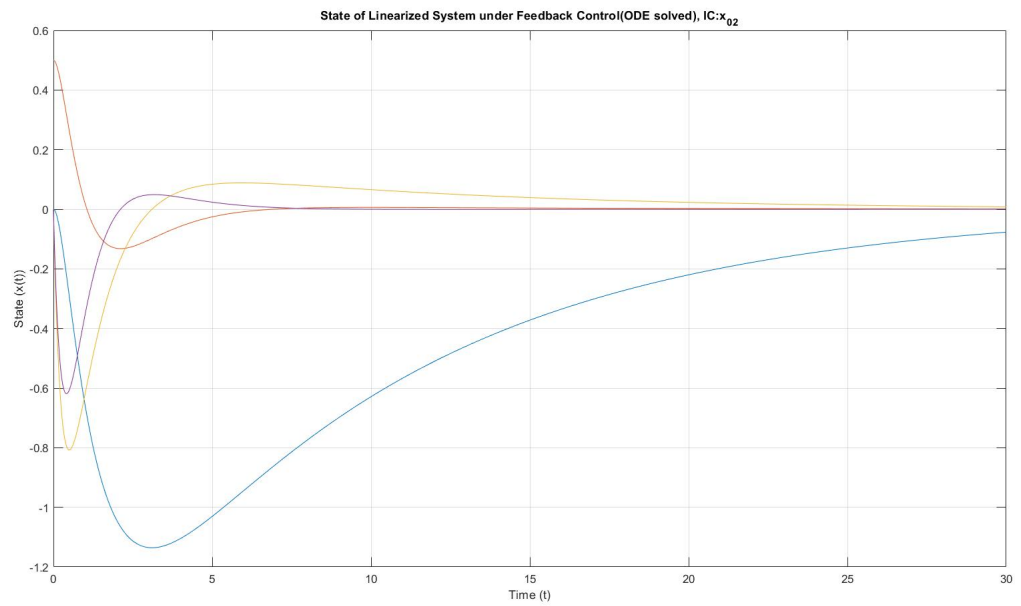
(d) **Answer:**

Using the MATLAB `lqr` command, the linearized system's values of K are: -0.3162, 10.2723, -6.7875, 9.2183

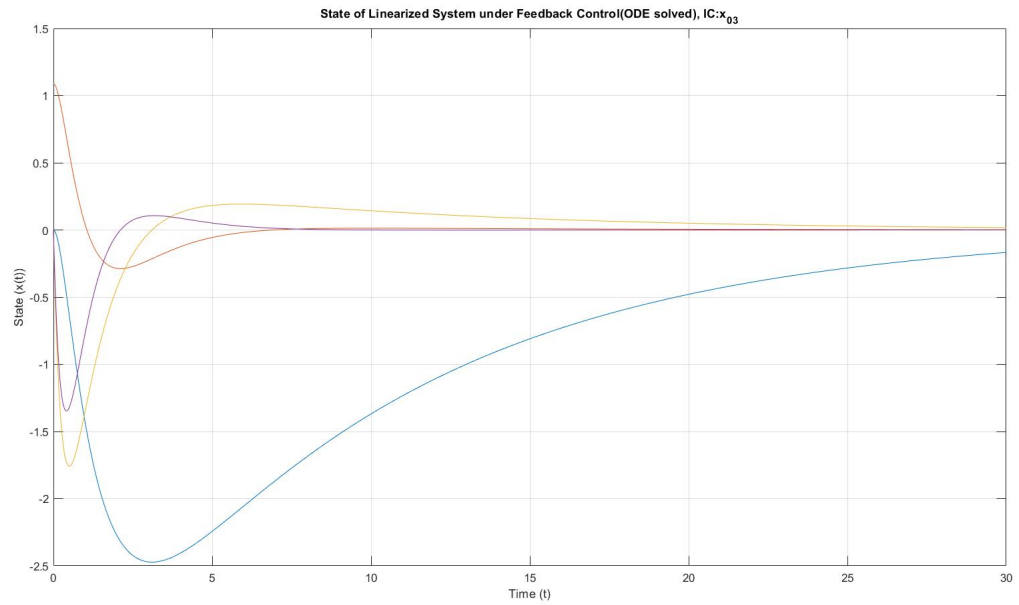
for initial state $x_0 = [0, 0.1, 0, 0]^T$



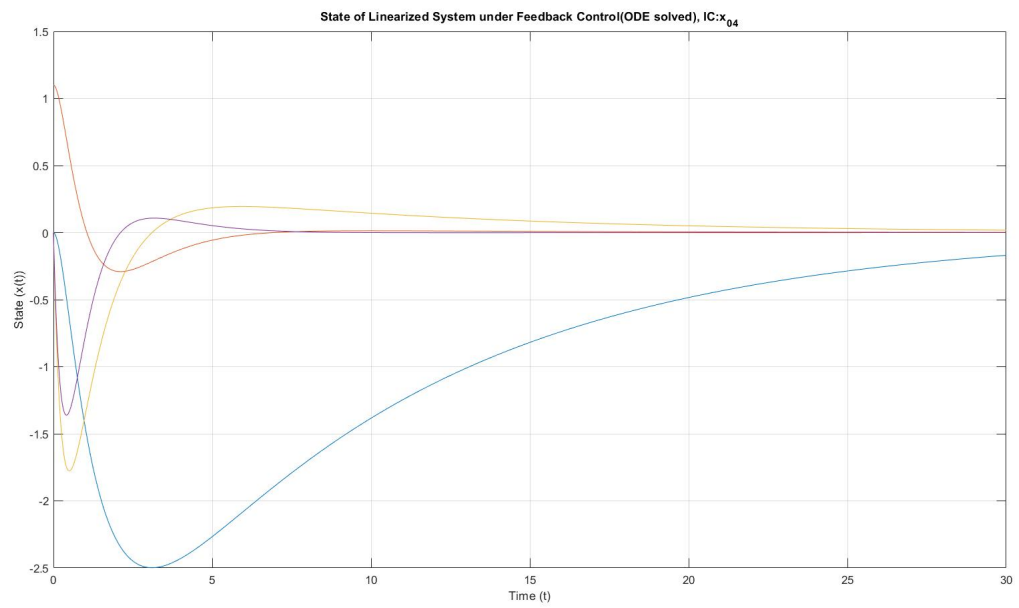
for initial state $x_0 = [0, 0.5, 0, 0]^T$



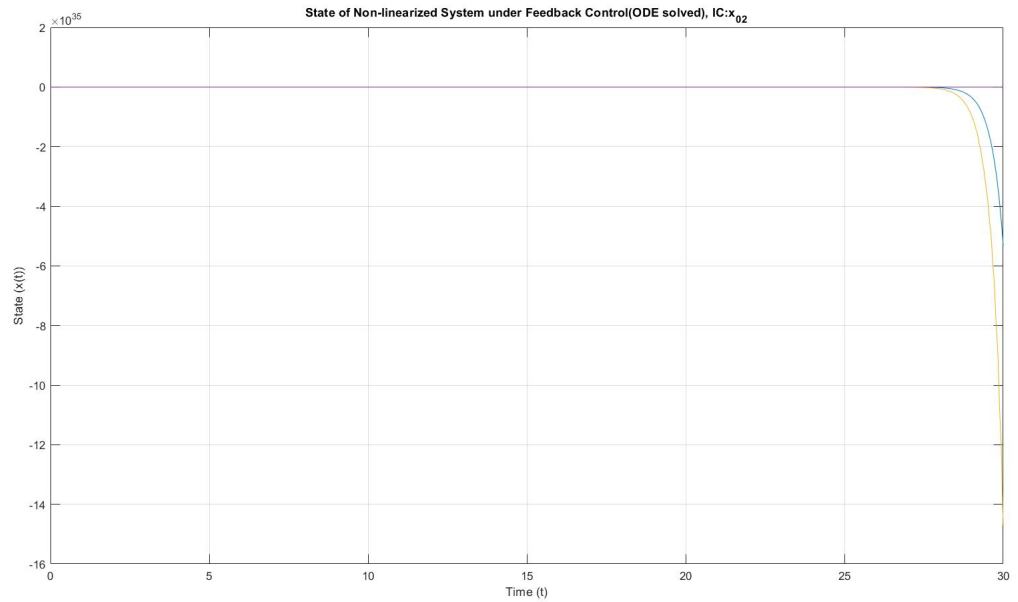
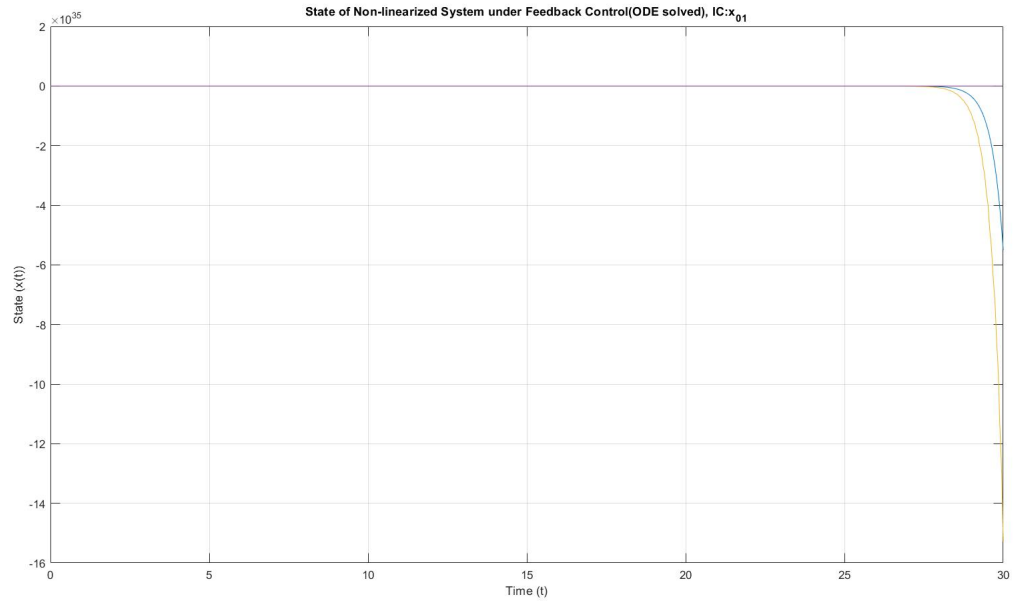
for initial state $x_0 = [0, 1.0886, 0, 0]^T$

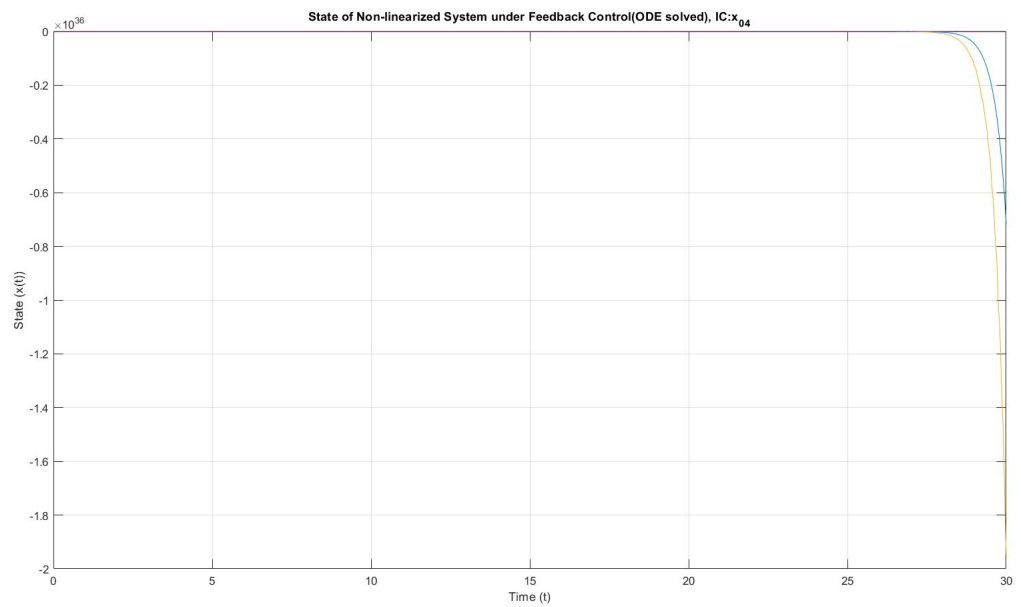
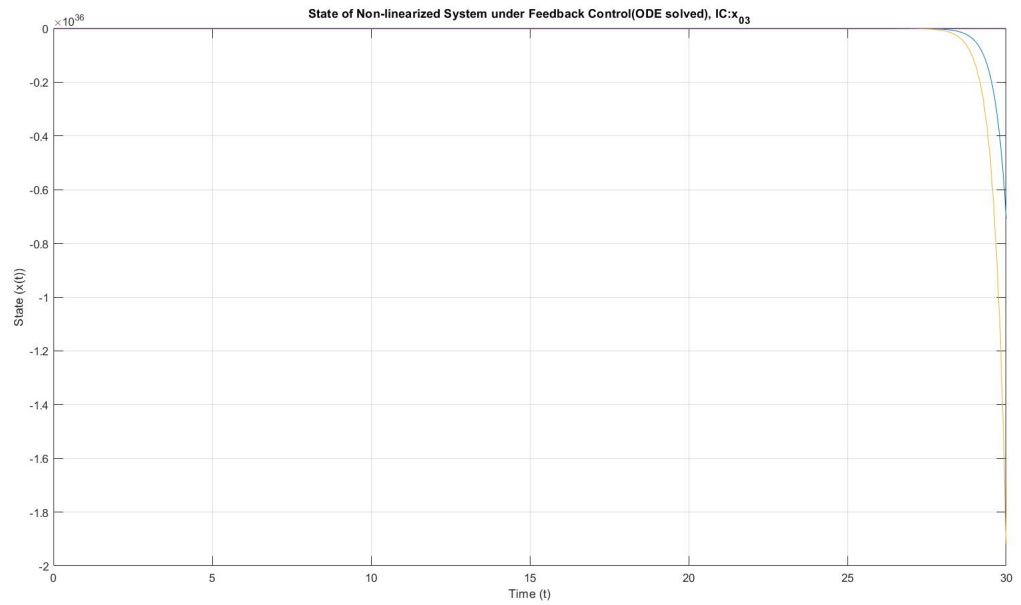


for initial state $x_0 = [0, 1.1, 0, 0]^T$



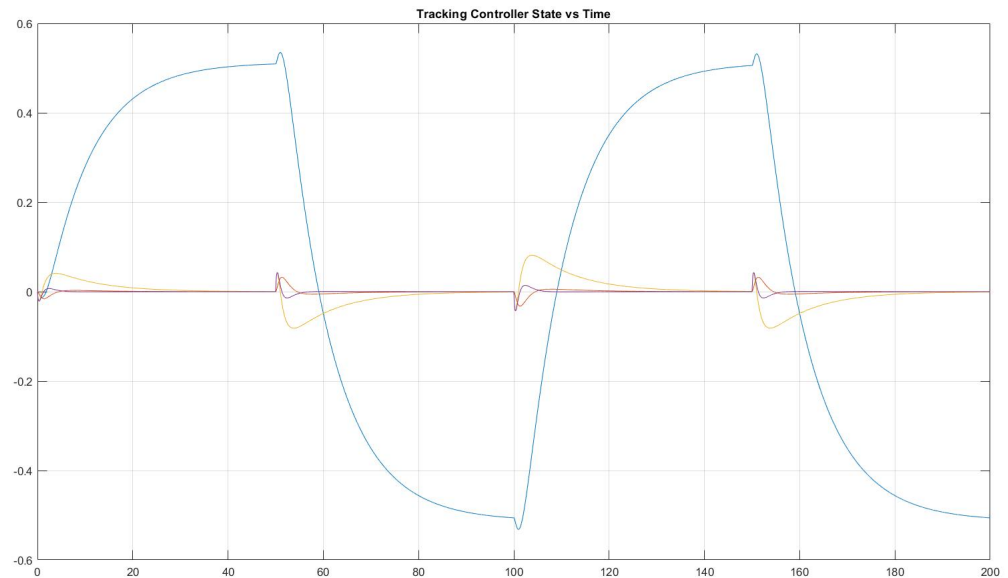
- (e) **Explanation:** the linearized system's values of K are: -0.3162, 10.2723, -6.7875, 9.2183
We use these values of K to substitute into the $u = -Fx$ term in the nonlinear equation obtained in 2a.



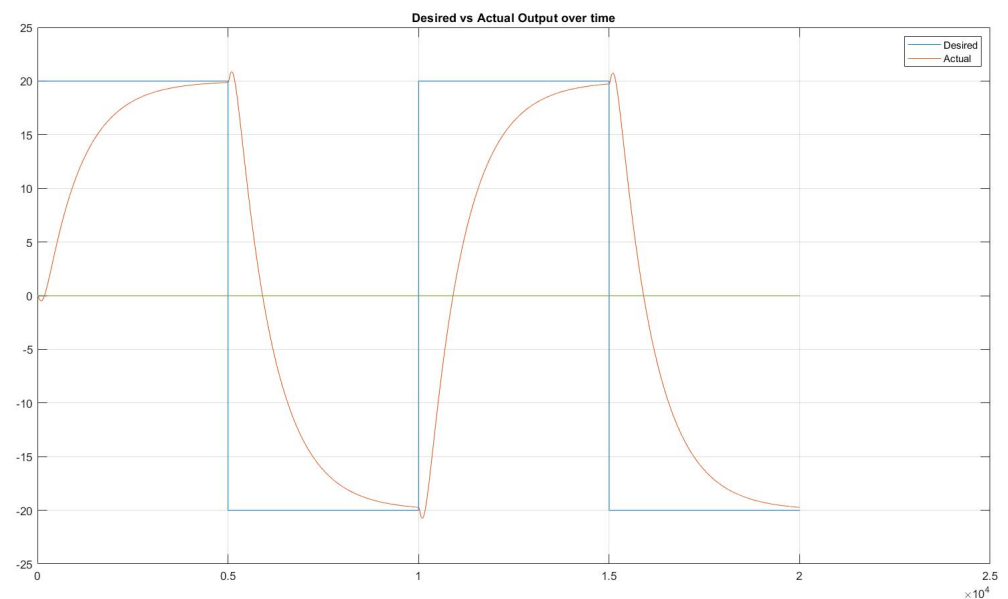


- (f) **Answer:**
 $y = \begin{bmatrix} 39 & 0 & 0 & 0 \end{bmatrix} x(t)$, where $\begin{bmatrix} 39 & 0 & 0 & 0 \end{bmatrix} = C$, as 1 meter is approx 39 inches.

(g) **Answer:**



Graph of Output Comparison



Explanation:

The controller seeks to use the feedback law to meet the new input level each time there's a change of input. The small overshoot at each moment of input change is the controller reacting to a change in input state.

(h) **Answer:**

First we set $Q_1 = \begin{bmatrix} 30 & 0 & 0 & 0 \\ 0 & 60 & 0 & 0 \\ 0 & 0 & 50 & 0 \\ 0 & 0 & 0 & 80 \end{bmatrix}$

We observe that this results in a more aggressive stabilization evidenced by a more negative eigenvalues. This is because the new values of Q penalizes imposes a greater cost function to deviations in $\dot{x}(t)$ and $\dot{\phi}$.

Next, set $R_1 = 5$. The lower value of R imposes a lower control cost penalty (e.g. energy is cheap or control motor is overspeced). It is observed that the most stable eigenvalue (i.e. the most negative) for the new values of Q and R are greater (more negative) than the original parameters. Hence, increasing Q selectively and decreasing R reduces time required for the system to achieve steady-state value. Notably, a more aggressive control regime results in larger overshoots.

With LQR implemented, the original eigenvalues of the system were:

$$\lambda_1 = -0.317 + 1.466i$$

$$\lambda_2 = -0.317 - 1.466i$$

$$\lambda_3 = -1.226$$

$$\lambda_4 = -1.093$$

With the new values of Q and R, the new eigenvalues were:

$$\lambda_1 = -1.414$$

$$\lambda_2 = 14.522$$

$$\lambda_3 = -9.910$$

$$\lambda_4 = 13.535$$

