Applications of Linear Types

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Declaration

I Dhruv C. Makwana of Trinity College College, being a candidate for Computer Science Tripos, Part III, hereby declare that this report and the work described in it are my own work, unaided except as may be specified below, and that the report does not contain material that has already been used to any substantial extent for a comparable purpose.

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TO DO: Abstract

This is the abstract. Write a summary of the whole thing. Make sure it fits in one page.

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1 TO DO: Introduction

This is the introduction where you should introduce your work. In general the thing to aim for here is to describe a little bit of the context for your work — why did you do it (motivation), what was the hoped-for outcome (aims) — as well as trying to give a brief overview of what you actually did.

It's often useful to bring forward some "highlights" into this chapter (e.g. some particularly compelling results, or a particularly interesting finding).

It's also traditional to give an outline of the rest of the document, although without care this can appear formulaic and tedious. Your call.

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In this thesis, I will argue that linear types are an appropriate, type-based formalism for the problem of efficient matrix-expression compilation. I will show that framing the problem using linear types can help reduce bugs by making precise and explicit the informal, ad-hoc practices typically employed by human experts and linear algebra compilers and automate checking them. As evidence for this argument, I will show programs written with this safety, precision and explicitness (1) can be just as pleasant and convenient for a programmer as less efficient, but higher-level linear algebra libraries and (2) perform just as efficiently and predictably as lower-level, less readable and more error-prone linear algebra libraries.

- 1.1 Overview of Problem
- 1.2 Contributions

2 Background

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In this chapter, I will outline the concept of linear types and show how they can be used to solve the problems faced by programmers writing code using linear-algebra libraries. I will be emphasising the *practical* and intuitive explanations of linear types to keep this thesis accessible to working programmers as well as academics not familiar with type-theory; giving only a terse overview of the history and theory behind linear types for the interested reader to pursue further.

2.1 Tracking Resources with Linearity

Familiar examples of using a type-system to express program-invariants are existential-types for abstraction and encapsulation, polymorphic types for parametricity and composition (a.k.a generics). Less-known examples include dependent-types (contracts or pre- and post-conditions). The advantages of using a type-system to express program invariants are summarised by saying the stronger the rules you follow, the better the guarantees you can get about your program, before you run it. At first, the rules seems restrictive, but similar to how the rules of grammar, spelling and more generally writing help a writer make it easier and clearer to communicate the ideas they wish to express, so too do typing rules make it easier to communicate the intent and assumptions under which a program is written. An added, but often overlooked benefit is automated-checking: a programmer can boldly refactor in certain ways and the compiler will assist in ensuring the relevant invariants the type-system enforces are updated and kept consistent by pointing-out where they are violated.

Linear types are a way to help a programmer track and manage resources. In practical programming terms, they enforce the restriction that a value may be used exactly once. While this restriction may seem limiting at first, precisely these constraints can be used to express common invariants of the programs written by working programmers every day. For example: a file or a socket, once opened must closed; all memory that is manually allocated must be freed. C++'s destructors and Rust's Drop-trait (and more generally, its borrow-checker) attempt to enforce these constraints by basically doing the same thing: any resource that has not been moved is deallocated at the end of the current lexical scope. Notably, these languages also permit aliasing, alongside rules enforcing when it is acceptable to do so. On face value, the above one-line description of linear types prevents aliasing or functions such as $\lambda x. x \times x$, such features are still allowed (albeit in a more restricted fashion) in a usable linear type system designed for working with linear-algebra libraries.

 $^{^{1}}$ This definition may differ from more colloquial uses in discussions surrounding *substructural* type systems and/or Rust.

```
# Numpy (Python)
import numpy.matlib
a = [[1,0],[0,1]]
b = [[4,1],[2,2]]
c = numpy.matmul(a,b)
# Julia
c = [1 0; 0 1] * [4 1; 2 2]
(* Owl (OCaml) *)
open Owl
let a = Mat.of_arrays [|[|1.;0.|];[|0.;1.|]|]
let b = Mat.of_array [|[|4.;1.|];[|1.;2.|]|]
let c = Mat.(a *@ b)
```

Figure 2.1 – Matrix Multiplication in Numpy (Python), Julia and Owl (OCaml).

2.2 Problem in Detail

Given this background, the most pertinent question at hand is: what problems do linear-algebra library users (and writers) typically face? The answer to this question depends on which of two buckets a programmer falls (or is forced by domain) into. On one side, we have users of high-level linear-algebra libraries such as Owl (for OCaml), Julia and Numpy (for Python); other the other, we have users of more manual, lower-level libraries such as BLAS (Basic Linear Algebra Subroutines) for languages like C++ and FORTRAN.² Most of what follows applies to dense linear-algebra computations rather than sparse because memory allocated for results typically depends on the sparsity of the inputs and so is not immediately amenable to the techniques proposed in this thesis.

2.2.1 One Too Many Copies and a Thousand Bytes Behind

In Figure 2.1, we see that matrix-multiplication is fairly trivial to write and execute in Numpy, Julia and Owl. Let us call this approach *value-semantic*, meaning that objects are *values* just like integers and floating-point numbers. This approach confers two key advantages to the programmer: it is easy to read (equational and algebraic declarations) and it is easy to reason about (as one would with a mathematical formula). Although this approach does permit *aliasing*, the conse-

²I am not including Rust in this comparison because its linear-algebra libraries are under active development and not as well-known/used. Later on, given that it is a language with inbuilt support of substructural features to track resources, Rust will be compared and contrasted with this project to evaluate the classic (E)DSL-versus-language-feature debate as it applies to the domain of linear-algebra libraries.

```
let mul x y =
  if same_shape x y then
   let y = copy y in
    (_owl_mul (kind x) (numel x) x y y; y)
  else
   broadcast_op (_owl_broadcast_mul (kind x)) x y
```

Figure 2.2 – Implementation of Matrix Multiplication in Owl (OCaml). Note the 'copy' for the result and the unsafe '_owl_mul' operation used to perform an inplace multiplication.

quences are benign because the result of any computation is a *new* value, distinct from any used during the calculation of that value.

However, these advantages come with some costs: constantly producing new values is wasteful on memory (although the example given in Figure 2.1 is only a 2×2 matrix, many real-world datasets can contain up to gigabytes of data). A complex expression may create many short-lived temporaries which would need to be reclaimed by a garbage-collector (see Figure 2.2). Libraries taking a value-semantic approach offer a dichotomy for a user wishing to implement a new algorithm: either use the existing and safe primitives to build an easy to reason about but slower, more memory-intensive algorithm, or use escape-hatches (typically provided by most libraries, which permit in-place modification of objects) to build faster, and more efficient algorithms which are harder to reason about.

2.2.2 IHNIWTLM

The title of this subsection³ illustrates the problem with the C++/FORTRAN side: legibility (and ease of reasoning) is sacrificed at the altar of performance and efficiency.

Although escape-hatches do exist in value-semantic libraries, their use is discouraged. Systematic consideration of performance requires lowering the level of abstraction a programmer is working on. At this level, several factors such as memory layout, allocation, re-use as well as cache behaviour and parallelism become apparent. Of these, memory allocation and re-use are of most relevance to linear-types and this thesis.

In Fortran (Figure 2.3), data is typically allocated statically (at compile time) so temporary storage for all intermediate values must be managed by the program-

³I Have No Idea What Those Letters Mean.

```
program blasMatMul
implicit none
real*4 a(2,2), b(2,2), c(2,2)

C External from BLAS
external dgemm

C Initialize in column major storage of Fortran
data a/ 1,0,0,1/
data b/ 4,1,1,2/

C tfm tfm rowA colB K alpha a lda b ldb beta c ldc
call dgemm('N', 'N', 2, 2, 2, 1.0, a, 2, b, 2, 0.0, c, 2)
```

Figure 2.3 – One of several BLAS (Fortran) routines for Matrix Multiplication.

mer. While this approach leads to verbose and less readable code, the explicitness is good for understanding the memory concerns of the program, albeit at the expense of understanding what the program is actually calculating.

On the other hand, C++ (with operator overloading) can end up looking fairly readable. For safety and correctness, expressions are typically handled with value-semantics. However, given extra information about, aliasing (Eigen, Figure 2.4) or usage of intermediate expressions (uBLAS, Figure 2.5), the number of temporaries allocated can be reduced and increased implicitly to improve performance (remove unnecessary allocations or re-calculations respectively). Further tricks to improve performance include expression templates (building up an expression-tree at compile time and then pattern-matching on it to produce code) and lazy evaluation (only calculating a result when it is needed). These will be discussed in more detail in Chapter 5.

It is important to note that should these annotations (in Figures 2.4 and 2.5) be wrong, the program's behaviour is very likely to end up being undefined (like how memcpy() for overlapping regions of memory is explicitly undefined in the POSIX and C standards). Indeed, one of Fortran's strengths lies in assuming that references cannot be aliased (with certain caveats) in more cases than C permits (this informal, general statement comes with many nuances left for the interested reader to pursue).

2.3 Proposed Solution

My proposed solution to this dichotomy is a *domain-specific language* (DSL), called LT4LA (Linear Types for Linear Algebra). Although for expository and testing purposes I have defined a concrete-syntax, a full implementation would make use

```
#include <iostream>
#include <Eigen/Dense>
using namespace std;
int main()
{
    Eigen::Matrix2d a,b,c;
    a << 1, 0, 0, 1; b << 4, 1, 1, 2; c << 0, 0, 0, 0;
    a * b; // new matrix
    c += a * b; // temporary for correctness in case of aliasing
    c.noalias() += a * b; // no temporaries
}</pre>
```

Figure 2.4 – Some examples of Matrix Multiplication in Eigen. Using expression templates (to be discussed later) and *explicitly provided* aliasing information, Eigen can emit a single BLAS 'dgemm'-like call for the last line, mirroring the Fortran example of Figure 2.3.

```
noalias(C) = prod(A, B);
// Preferable if T is preallocated
temp_type T = prod(B,C); R = prod(A,T);
prod(A, temp_type(prod(B,C));
prod(A, prod<temp_type>(B,C));
```

Figure 2.5 – Boost uBLAS example of Matrix Multiplication. Temporaries need to be marked as such to prevent unnecessary re-computation of values.

of a language's syntax-extension features (such as PPX for OCaml) to *embed* the DSL into the host language. Such an embedding is straightforward but fairly tedious to implement. As a half-way point, I used compile-time code generation to make the DSL's output available to OCaml for testing and evaluation.

Let us have a look at a few examples of functions we can write with linear types. We can define the canonical factorial function (Figure 2.6) and sum over an array (Figure 2.7).

The syntax is intended to resemble OCaml's, apart from the spurious '!'s found here and there (they are annotations to show that we can use a value more than once). In Figure 2.7, we see row has type ('x arr). More detailed explanations of what and why will be given in Chapter 3, but for now, it is enough to know it means we can only read from row, and not write to it. If we did try to write to or free row, say by adding another line as shown in Figure 2.8, would get a helpful error message, as shown.

Now suppose we were trying to square a matrix, using a 'dgemm' like BLAS routine which takes two read-only matrices and a third matrix it can write to and

Figure 2.6 – Factorial function in LT4LA.

```
let rec f (!i : !int) (!n : !int) (!x0 : !elt)
('x) (row : 'x arr) : 'x arr * !elt =
if i = n then
(row, x0)
else
let (row, !x1) = row[i] in
f (i + 1) n (x0 + . x1) 'x row in
f
;;
```

Figure 2.7 – Summing over an array in LT4LA.

```
let row = row[i] := x1 in (* or *) let () = free row in
                                                                        *)
   (* Could not show equality:
   (*
          z arr
                                                                        *)
   (* with
                                                                        *)
   (*
          'x arr
                                                                        *)
11
                                                                        *)
   (* Var 'x is universally quantified
                                                                        *)
  (* Are you trying to write to/free/unshare an array you don't own? *)
  (* In test/examples/sum_array.lt, at line: 7 and column: 19
                                                                        *)
```

Figure 2.8 – Attempting to write to or free a read only array in LT4LA.

```
let (a1, a2) = share _ a in
let ((a1, a2), c) = simple_dgemm _ a1 _ a2 c in
let a = unshare _ a1 a2 in
let () = free a in c
```

Figure 2.9 – Squaring a matrix in LT4LA.

```
let (a1, a2) = share _ a in
let ((a1, a2), c) = simple_dgemm _ a1 _ a2 c in
let () = free a1 in c
                                                                    *)
(* Error:
(* Could not show equality:
                                                                    *)
       z arr
                                                                    *)
(* with
                                                                    *)
(*
                                                                    *)
       z s arr
(*
                                                                    *)
(* Could not show z and z s are equal.
                                                                    *)
(* Are you trying to write to/free an array before unsharing it? *)
(* In test.lt, at line: 3 and column: 17
                                                                    *)
```

Figure 2.10 – Attempting to free a read-only alias of matrix.

performs C := AB + C. How would we use such a routine to square a matrix? Surely this would break linearity, since A would be have to be given to the function twice, and we can only do so once?

We can use a special primitive called **share** to produce read-only *aliases* of any matrix (including ones we cannot write to). We then pass this into the function, and it works as expected. Once the squared matrix has been obtained, we may not want the original any more, and thus decide to free it. Before we do so, we must first **unshare** any read-only aliases that exist – in this context, **unshare** swallows them (the only primitive which can return fewer read-only values than it receives) and gives back a single, read-write handle.

If we tried to free one of the read-only aliases instead of unshare-ing, then we would get the error show in Figure 2.10. Briefly, a z arr is a read-write array, everything else ('x arr or _ s arr) is read-only. The types of free: z arr --o unit, share: 'x arr --o 'x s arr * 'x s arr and unshare: 'x . 'x s arr --o 'x s arr --o 'x arr are set up so that you can only free something when you have read-write access to it, guaranteed by linearity to be the only name in scope with this capability (aliases can only be read-only).

2.4 Further Reading and Theory

No exposition of linear types would be complete without a mention of Girard's Linear Logic [1]. As mentioned in the Stanford Encyclopedia of Philosophy, it is "a refinement of classical and intuitionistic logic. Instead of emphasizing truth, as in classical logic, or proof, as in intuitionistic logic, linear logic emphasizes the role of formulas as resources." A walk from logic to programming along the well-trodden Curry-Howard bridge brings us to linear types.

For the category theory inclined reader, the !-operator (sometimes, for reasons elided here, called *exponentiation*) forms a co-monad; for the rest of us, this entails two (rather simple) facts about a value you can use any number of times: you can (1) can use it once (co-unit), and (2) can pass it to many contexts that will use it many times (co-multiply).

More generally, by annotating variables in the context with their usage (when implementing a type-checker for a linearly typed language), we can express the rules of *substructural* (including affine, relevant and ordered type systems) under the more general framework of *co-effects* [2].

Stepping further back, both the practice and theory behind resource-aware programming has made visible progress in the past few years. On the programming side, we have Linear Haskell, Rust and Idris (experimental). On the research side, we have Resource Aware ML [3] and the tantalising promise of integrating linear and dependent types [4].

2.5 Summary

I have given an *intuitive* exposition of linear types with *fractional-capabilities*, emphasising small, but illustrative and practical code examples, leaving *what* is going on and *why* it works as details for the next chapter. I have shown that it is possible to control aliasing and reading/writing capabilities *statically* with a *type system* – a programmer can be prevented from writing over and freeing arrays they do not own whilst being able to safely create read-only aliases when it makes sense to do so. Unlike Rust's more full-featured borrow-checker, the next chapter will show that these features can be expressed and implemented in a concise, *high-level* and language-independent manner to be packaged up, provided and used *as a library*.

3 | Implementation

This chapter may be called something else... but in general the idea is that you have one (or a few) "meat" chapters which describe the work you did in technical detail.

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I implemented LT4LA in OCaml, however I strongly believe the ideas described in this chapter can be applied easily to other languages and also are modular enough to extend the OCaml implementation to output to different back-end languages. I will show how a small core language with a few features can be the target of heavy-desugaring of typical linear-algebra programs. This core language can then be elaborated and checked for linearity before performing some simple and predictable optimisations and emitting (in this particular implementation) OCaml code that is not obviously safe and correct (with respect to linearity).

3.1 Structure of LT4LA

LT4LA follows the structure of a typical compiler for a (E)DSL. From the start, I made a concerted effort to (1) write pure-functional code (typically using a monadic-style) which helped immensely with modularity and debugging when tests showed errors (2) produce readable, useful and precise error-messages in the hope that someone who did not understand linear types could still use the LT4LA (3) write tests and set-up continuous-integration for all non-trivial functions so that I could spot and correct errors that were not caught by OCaml's type-system whenever I implemented new features or or refactored my code.

- 1. Parsing & Desugaring. A generated, LR(1) parser parses a text file into a syntax tree, which is then desugared into a smaller, more concise abstract syntax tree. The former aims to mimic OCaml syntax with a few extensions and keywords so that it is familiar and thus easy to pick-up for OCaml users. The latter allows for the type-checker to be simpler to implement and easier to specify. In general, this part will vary for different languages or can be dealt with differently using combinators (the EDSL approach) or a syntax-extension if the host language offers such support.
- 2. **Type-checking**. The abstract syntax tree is explicitly-typed, with some inference to make it less verbose and more convenient to write typical programs.
- 3. Matrix Expressions. During type-checking, if a matrix-expression is encountered, it is either successfully elaborated into an expression in the abstract syntax tree which is then consequently type-checked, or fails to find suitable routines to calculate the given expression.
- 4. Code Generation. The abstract syntax tree is translated into standard OCaml and a few-particular 'optimisations' are made to produce more readable code. This process is type-preserving: the linear type system is embedded into OCaml's type system, and so when the OCaml compiler compiles the generated code, it acts as a sanity check on the code produced.
- 5. **Executable Artifacts**. A transpiler and a REPL are the main artifacts produced for this thesis. For evaluation, I implemented Kalman filters in Owl, LT4LA and CBLAS/LAPACKE and a benchmarking program to measure execution times.

6. **Tests**. As mentioned before, almost all non-trivial functions have tests to check their behaviour. The output of the transpiler was also tested by having the build system generate OCaml code at compile time, which in turn could then be compiled and tested like handwritten OCaml code.

3.2 Core Language

A full description of the core language can be found in Appendix A. Its main features are intuitionistic values, value-restriction, fractional-capabilities (inferrable at call sites), if-expressions and recursion.

3.2.1 Intuitionistic Values

To make a linearly-typed language usable, we need some way of using values zero or more than once, as we would an intuitionistic value. For this, we have in the type-expressions the !-constructor and in the term-expressions the Many-constructor and the let Many <id>=... in ... eliminator. The idea behind the !-type is that the value uses no 'resources' (linearly-typed expressions). To start off with, it is enough to say that anything which can be passed around by copying, will have a !-type. This includes integers, elements and booleans. So 3: !int and 3. +. 4.: !elt. However, all bindings are still linear by default, so to emulate intuitionism, I desugar let !x = <exp> in <body> to let Many x = <exp> in let Many x = Many (Many x) in <body> (similarly for function argument bindings). The reader can check using the rules in the Appendix that this has the effect of moving x : !t from the linear to the intuitionistic environments, only if <exp>: !t.

However, just that desugaring alone is not enough to prevent a user from taking an array or matrix and moving it into the intuitionistic environments. Why? There are certain situations in which we should not use the Many constructor. Consider the following code: let Many x = Many (array 5) in <body>; the expression array 5 uses no linearly-typed variables from the linear environment. Although we could just reject types of the form !(_ arr) to fix this simple example, what about pairs let Many xy = Many (3, array 5) in <body>? Ad-hoc pattern matching on the type cannot account for all possible situations. With the last case, we can use xy as many times as we would like, destruct the pair to get the second component and thus create distinct read-write aliases to the same array.

Alas, now arrays can be used intuitionistically and all the benefits of linearity are lost. Or are they?

3.2.2 Value-Restriction

Not quite, but to understand how we can fix this problem, we need to question an assumption left implicit up until this point: what does Many even mean? What does it do at runtime? One option is to go down the C++ route and make Many act like a shared_ptr and act as a runtime reference-count for arrays. I chose to not go for this option because it went against the *explicitness* and *predictability* that C and Fortran have. It would make analysing when and what is allocated and freed more like the higher-level languages I was trying to move away from.

My aim is to show linear types can be simple to understand and apply to linear algebra, enough so that it can be grafted (in a limited way) on to existing languages as a *library*. In that spirit, the simplest thing that Many can mean at runtime is *nothing*. This language construct is translated into a standard OCaml language constructor of the form type 'a bang = Many of 'a [@@unboxed]. The *unboxed* annotation means that the type and its constructor only exist for the purpose of type-checking in OCaml; the runtime representation of values of type 'a and 'a bang is exactly the same.

With this understanding, our problem is that arrays and matrices are unlike other values such as integers and elements because (under the OCaml hood) calling a function with an array argument copies a *pointer* to the array rather than the array itself, instead of the *value* itself. So, we can start making a distinction, *defining* elements, integers, booleans, intuitionistic variables, units and lambda-expressions that capture no linear variables as *values* (since they cannot break referential-transparency) and anything else (arrays, matrices, expressions which can be reduced, such as function application and if-expressions) as not being 'values'. If this sounds familiar, it is because this is the same *value-restriction* 'trick' from the world of polymorphic types applied to linearity instead. We then have the rule that we can only use Many on expressions that are defined to be *values* and *use no linear variables*.

3.2.3 Fractional-Capabilities and Inference

Having understood and correctly implemented intuitionism, we now tackle the problem of how to implement safe aliasing, that is taking a read-write alias and splitting into read-only aliases, as well as the inverse.

Array and matrix types are parameterised by fractional-capabilities. A fraction of 1 (20) represents complete ownership of a value; in particular, this allows a programmer to write or free it. Creating an array gives you ownership of it; the function array: !int --o z arr (where z represents '0'). Once you have ownership of an array, you can free it: free: z arr --o unit. Importantly, because a linear-value may only be used once, the array just freed is out of scope for following expressions, preventing use-after-free. Ownership also enables you to write to the array: set: z arr --o !elt --o z arr (the syntax w[i] := j is just sugar for set w i j). Here, linearity prevents accessing aliases which represented the array before the mutation.

Any fraction less than 1 (for simplicity, limited to 2^{-k} in this system, for a positive integer k) represents read-only access. So, the 'x represents a natural number (either a zero z, variable 'x or a successor (+1) of a natural number). Hence, you can read from (index) any array get: 'x . 'x arr --o !int --o 'x arr * !elt (the syntax let !v <- w[i] is just sugar for let (w, !v) = get _ w i). Transparently rebinding w with the returned value means a program can appear to use w multiple times. The underscore is how a programmer tells the compiler to automatically infer the correct fractional-capability based on the other arguments passed to the function. In conjunction with the requirement that functions declarations need type-annotations for their arguments, this allows a fractional-capability to be correctly inferred in any program.

Fractions exist solely to enable safe aliasing, via the primitive share: 'x . 'x arr --o 'x s arr * 'x s arr. The two arrays returned (which happen to just be the given array) can now only be read from and not written to. If you want to write to this array, you must unshare: 'x . 'x s arr --o 'x s arr --o 'x arr it with other read-only aliases until you are left with a value of type z arr, guaranteeing no other aliases exists.

Given this set-up, we now *statically* have *perfect* information about aliasing and ownership of values in the program. We can only write to an array when we own it; ownership guarantees no other aliases exist in scope at the point of usage. In

```
let same_resources (wf_a, loc_a) (wf_b, loc_b) =
 let open Let_syntax in
  (* Save state *)
 let%bind {used_vars=prev; env=old_env; _} as state = get in
  (* Reset, run a, save state *)
 let%bind () = put { state with used_vars = empty_used } in
 let%bind res_a = wf_a in
 let%bind {used_vars=used_a; _} as state = get in
  (* Reset, run b, save state *)
 let%bind () = put { state with used_vars = empty_used; env = old_env } in
 let%bind res_b = wf_b in
 let%bind {used_vars=used_b; _} as state = get in
  (* Check if same resources *)
 let keys_a, keys_b = (* convert to (used_a, used_b) to sets *) in
 if Set.equal keys_a keys_b then
    (* merge used_vars and used_b environments *)
 else
    (* report differences *)
```

Figure 3.1 – Implementation of same_resources helper method for type-checking if-expressions. Note the monadic style helped compose computations that affected the type-checker's state in a simple manner.

Figure 3.3, I show how this perfect information can be used to write more natural-looking code using value-semantic expressions which behave in precisely the way we intend it to. Now the programmer need not resort to manually tracking and inserting noalias annotations; instead they can let the loyal and tireless compiler do the heavy lifting.

3.2.4 If-Expressions

Because we do not know which way a condition will evaluate at run time, we must guarantee the both branches use the same set of linear variables. Writing the type-checker in a pure-functional monadic style paid off here because I could now sandwich monadic values with state-adjustments either side of it. Given two monadic values that represented type-checking two branches of an if-expression, I could use the code in Figure 3.1 to easily save, reset and compare the state either side of running those monadic values.

3.2.5 Functions and Recursion

A non-recursive function may be used more than once if it does not refer to any linear variables from the surrounding scope. So, we can desugar something like let x = 3 in let f(y : f(y : f(x)) = x + y in f(y) = x + ylet x = 3 in let Many f = Many (fun y : !int -> x + y) in <body>. is slightly more complicated: Recursion we can desugar the factorial function (Figure 2.6) to let Many f = fix (f, x : !int, if (*..*) : !int) in <body>.However, fix, like Many, must also not use any linear variables from its surrounding scope.

3.3 Matrix Expressions

We have now arrived at the titular *applications* of linear types. I will show how, in addition to preventing the errors explained hitherto, we can take linear types one step further and apply it to the domain of efficient compilation of matrix-expressions.

In Figure 3.2, we see the difficulty of efficiently implementing a $Kalman\ filter$, a powerful set of equations applicable to a wide variety of problems. From the comments, we see that every variable is annotated with the step/matrix expression that it will hold at some point during the computation (an equivalent alternative, say in C++, could be to have a meaningful name for each step/matrix expression and manually annotate/keep track of which names alias the same location).

In contrast, Figure 3.3, offers the advantages of

- aliasing: labelling each step with a different, more meaningful variable name,
- easily spotting which resources are being passed in and which are allocated for the function (new/copy),
- unambiguously seeing when and what values are freed;

and have the compiler automatically ensure the safety of each of the above by respectively

- making it impossible to refer to steps/values which are no longer usable,
- ensuring all values are declared and *initialised* correctly before they are used,

```
29
                                                                                                                                         27
                                                                                                                                                                                   25
                                                                                                                                                                                                                        23
                                                                                                                                                                                                                                            22
                                                                                                                                                                                                                                                                21
                                                                               30
                                                                                                                       28
                                                                                                                                                                26
                                                                                                                                                                                                     24
                                                                                                                                                                                                                                                                                      20
                                          call
                                                          call dsymm('L', 'U', n, 1, 1, Sigma, n, H_data, 1, 1, mu_2, 1)
                                                                              call dcopy(n, mu, 1, mu_2, 1)
                                                                                                call dgemm('T', 'N', n, n, k, 1, H, n, H_2, n, 0, Sigma_2, n) call dgemm('T', 'N', n, 1, k, 1, H, n, data, 1, 0, H_data, 1)
                                                                                                                                                                                                    call dcopy(k*k,
                                                                                                                                                                                                                       call dcopy(k*n,
                                                                                                                                                                                                                                            call dgemm('N', 'T', k, k, n, 1, H_2, n, H, n, 1, R, k) call dgemm('N', 'N', k, 1, n, 1, H, n, mu, 1, -1, data, 1)
                                                                                                                                                                                                                                                                                  call dsymm('R', 'U', k, n, 1, Sigma, n, H, n, 0, H_2, n)
                                                                                                                                                                                                                                                                                                                               real*8
                                                                                                                                                                                                                                                                                                                                                  real*8
                                                                                                                                                                                                                                                                                                                                                                    real*8,
                                                                                                                                                                                                                                                                                                                                                                                         real*8
                                                                                                                                                                                                                                                                                                                                                                                                             real*8
                                                                                                                                                                                                                                                                                                                                                                                                                                 real*8,
                                                                                                                                                                                                                                                                                                                                                                                                                                                   integer,
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            real*8,
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                real*8,
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   real*8,
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       real*8,
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          real*8,
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             integer,
call dsymm('L', 'U', n, n, -1, Sigma, n, N_N_tmp, n, 1, Sigma_2, n) ! Sigma_2 := -1 * Sigma * N_N_tmp + 1. * Sigma_2
                                                                                                                                        call dpotrs('U', k, 1, chol_R, k, data, 1, INFO)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 integer,
                dcopy(n**2, Sigma, 1, Sigma_2, 1)
                                      dsymm('R', 'U', n, n, 1, Sigma, n, Sigma_2, n, 0, N_N_tmp,
                                                                                                                                                                                 dposv('U', k, n, chol_R, k, H_2, n, INFO)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       intent(inout) :: data(k)
intent(inout) :: R(k, k)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               intent(in)
                                                                                                                                                                                                                                                                                                                                                                                                                                                     intent(out)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            intent(in)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   intent(in)
                                                                                                                                                                                                                                                                                                                                                                                                                                 intent(out)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     intent(in)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       intent(in)
                                                                                                                                                                                                                                                                                                                                                                       intent(out)
                                                                                                                                                                                                   R, 1, chol_R, 1)
                                                                                                                                                                                                                        H, 1, H<sub>2</sub>, 1)
                                                                                                                                                                                                                                                                                                                                                                    :: Sigma_2(n,n)
                                                                                                                                                                                                                                                                                                                                                                                        :: chol_R(k,k)
                                                                                                                                                                                                                                                                                                                                                                                                                                 :: mu_2(n)
                                                                                                                                                                                                                                                                                                                                                                                                                                                   ::
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   :: mu(n)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      :: H(k,n)
                                                                                                                                                                                                                                                                                                                             :: N_N_{tmp}(n,n) ! H^T*(H*Sigma*H^T + R)^{-1*H*Sigma}
                                                                                                                                                                                                                                                                                                                                                :: H_data(n)
                                                                                                                                                                                                                                                                                                                                                                                                            :: H_2(k,n)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          :: Sigma(n,n)
                                                                                                                                                                                                                                                                                                                                                                    ! H^T*(H*Sigma*H^T + R)^-1*H, Sigma, Sigma -
                                                                                                                                                                                                                                                                                                                                                ! H^T*(H*Sigma*H^T + R)^-1*(H*mu - data)
                                                                                                                                                                                                                                                                                                                                                                                         ! R, U where (H*Sigma*H^T + R)=U^T*U
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      i mu
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              ! data, H*mu - data , (H*Sigma*H^T + R)^-1*(H*mu - data)
                                                                                                                                                                                                                                                                                                                                                                                                                                mu, Sigma*H^T*(H*Sigma*H^T + R)^-1*(H*mu - data) + mu
                                                                                                                                                                                                                                                                                                                                                                                                           H * Sigma , H , (H*Sigma*H^T + R)^-1*H
                                                                                                                                                                                                                                                                                                                                                                                                                                                        INFO
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            R, H*Sigma*H^T + R
                                         ۳)
                                       ! N_N_t tmp
                                                                              ! mu_2
                                                                                                   ! H_data
                                                                                                                                                                                   ! chol_R
                                                                                                                                                                                                      ! chol_R
                                                                                                                                                                                                                                               ! data
                                                                                                                                                                H_2
                                                                                                                                                                                                                          H_2
                                                            mu_2
                                                                                                                                            data
                                                                                                                       N_N_t tmp
                  Sigma_2 := Sigma
                                                                                                    := 1. * H^T * data
                                     := 1. * N_N_tmp * Sigma
                                                                                                                       := 1. * H^T * H_2
                                                            := 1. * Sigma * H_data + 1. * mu_2
                                                                                                                                            := R^-1 * data
                                                                                                                                                                                   := U \ where \ R = U^T *
                                                                                                                                                                                                                          := H
                                                                                                                                                                                                                                               := 1.
                                                                                                                                                                                                                                                              := 1. * H_2 * H
                                                                                  := mu
                                                                                                                                                                := R^-1 * H_2
                                                                                                                                                                                                          11
                                                                                                                                                                                                         R
                                                                                                                                                                                                                                                                                     * H
                                                                                                                                                                                                                                               * H
                                                                                                                                                                                                                                                                                                                                                                    Sigma*H^T*(H*Sigma*H^T + R)^-1*H*Sigma
                                                                                                                                                                                                                                                                                  * Sigma +
                                                                                                                                                                                                                                                 * mu
                                                                                                       +
                                                                                                                      +
                                                                                                                                                                                                                                                                0. * H_2
1. * R
                                                                                                                   0.
                                                                                                    0.
                                       + 0. * N_N_t tmp
                                                                                                    *H_data
                                                                                                                                                                                                                                                 * data
```

subroutine f(mu, Sigma, H, INFO, R, Sigma_2, data, mu_2, k, n)

Figure 3.2 – Kalman filter in Fortran 90

RETURN

```
let !kalman
            ('s) (sigma : 's mat) (* n, n *)
2
            ('h) (h : 'h mat)
                                    (* k, n *)
3
            ('m) (mu : 'm mat)
                                     (*n,1*)
4
            (r_1 : z mat)
                                     (*k,k*)
            (data_1 : z mat)
                                    (* k, 1 *) =
            let (h, (!k, !n)) = sizeM _ h in
    (*20*)
            let sigma_h \leftarrow new(k, n)[|h * sym(sigma)|] in
    (*21*)
            let r_2 \leftarrow [| sigma_h * h^T + r_1 |] in
9
    (*22*)
            let data_2 <- [| h * mu - data_1 |] in
10
            let (h, new_h) = copyM_to _ h sigma_h in
    (*23*)
11
    (*24*)
            let new_r \leftarrow new[|r_2|] in
            let (chol_r, sol_h) = posv new_r new_h in
    (*25*)
13
    (*27*)
            let (chol_r, sol_data) = potrs _ chol_r data_2 in
14
            let () = freeM (* k, k *) chol_r in
15
    (*28*)
            let h_{sol_h} \leftarrow new(n, n) [| h^T * sol_h |] in
16
            let () = freeM (* k, n *) sol_h in
17
            let h_{sol_data} \leftarrow new (n, 1) [| h^T * sol_data |] in
    (*29*)
18
    (*30*)
            let mu_copy <- new [| mu |] in</pre>
19
    (*31*)
            let new_mu <- [| sym (sigma) * h_sol_data + mu_copy |] in</pre>
20
            let () = freeM (* n,1 *) h_sol_data in
21
    (*32*)
            let h_{sol_h_{sigma}} \leftarrow new(n,n)[|h_{sol_h_{sigma}}|] in
22
            let (sigma, sigma_copy) = copyM_to _ sigma h_sol_h in
    (*33*)
23
            let new_sigma <- [| sigma_copy - sym (sigma) * h_sol_h_sigma |] in</pre>
    (*34*)
24
            let () = freeM (*n,n*) h_sol_h_sigma in
25
            ((sigma, (h, (mu, (r_2, sol_data)))), (new_mu, new_sigma)) in
26
          kalman
27
28
          ; ;
```

Figure 3.3 – Kalman filter in LT4LA.

• checking no values are used after they are freed *or* leaked.

Indeed, an inexperienced programmer could take the naïve approach of just copying sub-expressions by default and then letting the compiler tell it which copies are never used and removing them systematically until it type-checks. While it is not quite a black-box, push-button compilation of an expression, I would argue that, it is just as easy (if not easier) to become familiar with as Rust and its borrow-checker.

3.3.1 TO DO: Elaboration

So-called 'matrix expressions' are still 'side-effecting'/consuming linear variables, and do not produce values but a sequence of (re-)bindings which in turn dictate what values are still available/in-scope after the computation. As such, com-

pilation cannot be done purely compositionally via structural-induction on the expression language; it is most concisely expressed via CPS, where the result of elaborating a matrix expression is a function that takes as its argument the rest of the computation expecting to use the result it has just made available under that requested name.

3.4 TO DO: Code Generation

High-level overview: primitives, translations, optimisations, difficulty, build system.

3.4.1 TO DO: Compiling Constructs to OCaml

3.4.2 TO DO: Metaprogramming

4 TO DO: Evaluation

For any practical projects, you should almost certainly have some kind of evaluation, and it's often useful to separate this out into its own chapter.

4.1	Set-up	30
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In this chapter, I will argue the central premise of this thesis: linear types are a practical and usable tool to help working programmers write code that is both more legible and less resource-hungry than with existing linear-algebra frameworks. My project illustrates how to do so in a way that can be implemented as a usable *library* for existing languages and frameworks that leverages the already impressive amount of work gone into optimising them so far.

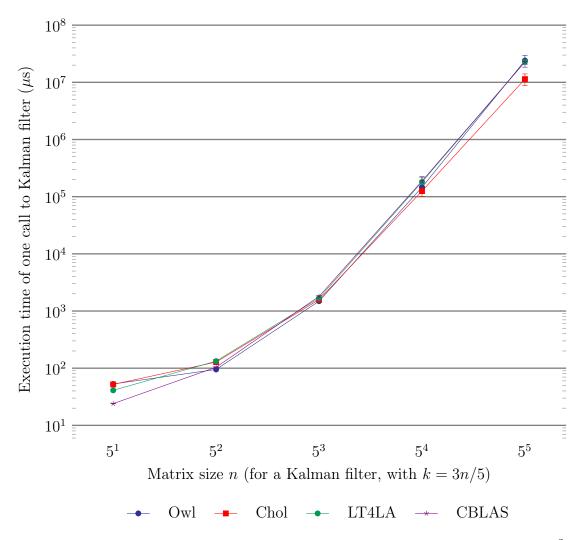


Figure 4.1 – Comparison of execution times. Small matrices and timings $n \leq 5^3$ were micro-benchmarked with the Core_bench library. Larger ones used Unix's getrusage functionality.

4.1 Set-up

4.2 Results

4.3 Summary

5 Related Work

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Now that I have described my contributions, I will explain how it relates to existing work, leaving brief discussions on future work to the next chapter. I strongly believe matrix expression compilation research would benefit greatly from a comprehensive literature review but unfortunately that is beyond the scope of this chapter.

5.1 Matrix Expression Compilation

Most of the projects below try to be fully-automated black-boxes which model computing a matrix expression as some sort of graph with informal, ad-hoc rules about what can and should be copied or modified in-place. Allocations, temporaries and common sub-expressions are details invisible to the programmer, left to the compiler.

The matrix expression 'compiler' as implemented in LT4LA is intended to be a mere proof-of-concept of how linear types can and arguably should be used *ergonomically*. I have taken the approach of attempting to help programmers precisely and explicitly capture, using types, the practices prevalent in code they already write.

I believe the advantages of my approach are two-fold (1) predictable performance and (2) more accurate modelling of how low-level kernels handle their resources. My confidence in the latter claim comes from finding at least two errors in the Fortran code output by SymPy to compute a Kalman filter, one to do with aliasing (resulting in code that was not legal Fortran) that would not have type-checked had it been translated via LT4LA as an intermediate representation (the other error to be explained later in 5.3.5).

5.1.1 SymPy

SymPy is a symbolic computer algebra system for Python; its matrix expression compiler [5] uses a term-rewrite system, with rules supplied by a BLAS expert (which must be strongly-normalising, that is, never cause a loop) but need not be confluent (there can be more than one solution per expression). Rules include expressions to match on, the expression it can produce, information about the expressions (such as whether the matrix is symmetric or full-rank) and information about which variable is updated in-place.

5.1.2 Clak and Cl1ck

Clak and Cl1ck [6] were developed independently around the same time as SymPy's matrix expression compilation. Clak attempts to produce *multiple* algorithms for a single matrix expression, by considering a wider matrix expression grammar

and more matrix properties and inference rules. These algorithms assume basic building blocks such as products and factorisations. Cl1ck attempts to take on the challenge of writing BLAS/LAPACK like libraries too, by generating lower-level loop-based blocked routines for the aforementioned basic building blocks, in the spirit of the FLAME [7] project.

5.1.3 Linnea and Taco

Linnea [8] and Taco [9] are two newer contenders to Clak and Cl1ck respectively. Linnea continues the work of Clak to producing real executable code for *existing* libraries and kernels, as well as incorporating work on a *generalised* matrix chain algorithm [10]. Taco (*Tensor Algebra Compiler*) focuses on emitting efficient routines for expressions in tensor index notation, with many optimisations for *sparse* tensors.

5.2 Metaprogramming

Most of the compilers in the aforementioned projects usually built, analysed, compiled, ran (and in some cases, dynamically loaded) expressions (including functions) at runtime, similar to how regular expressions are handled in most languages – in particular, even when the regular expression is known at compile time.

In LT4LA, I took the approach of having a concrete syntax and expression language which was then translated and made available as a *typed expression* to other modules *at compile time* via the build system. Apart from convenience in programming and testing, there was nothing inherent in the approach that prevented me from using OCaml's PPX syntax-extensions so that I could write normal OCaml expressions from within OCaml and have them checked for linearity before compilation.

Having a statically compiled language and a build system as so affords the advantage of eliminating the runtime overheads mentioned at the start of this section. However, there is some useful information (such as matrix dimensions for the matrix chain algorithm) which is sometimes known only at runtime (but once known, usually fixed). In these cases, using *multi-stage programming* would be a better approach to implementing a matrix expression compiler.

5.2.1 MetaOCaml and Scala LMS

MetaOCaml [11] and Scala with Lightweight Modular Staging [12] are systems which support multi-stage programming. A typical example of this is the generation of Fast Fourier-Transform kernels, specialised to a desired array length.

5.2.2 Expression Templates in C++ Libraries

Expression templates are a commonly used compile-time metaprogramming technique, used by Eigen, uBLAS and Armadillo to name a few. If known at compile-time, matrix dimensions can also be passed in as template arguments to ensure operations match (otherwise checking at runtime). In Eigen, such features are combined with heuristics to enable *lazy evaluation* and automatically determine whether a sub-expression is evaluated into a temporary variable or not.

They perform rudimentary pattern-matching and in some cases, loop-fusion, to avoid evaluating expressions in a purely binary manner (invoking the bane of a C++ programmer: temporaries and unnecessary copies) when possible (either by translating to a library kernel call or, as an example, inlining a v := a + b + c vector expression into one loop).

As is the case with LT4LA, this approach shares *some* elimination of runtime overheads, but not all, thanks to the heuristics surrounding lazy evaluation and evaluating sub-expressions into temporaries. This comes at the cost of a user being able to easily inspect the generated C++ code, losing explicitness.

5.3 Types

Apart from lazy evaluation, the following projects show how instead of a (E)DSL library approach, we could have type-level resource management provided far more conveniently and naturally at the *language* level. The difference is that a library can be designed, shipped and used now whereas language features take time and can have unintended interactions with other language features. My hope is that once people are convinced of the utility type-level resource management by using a library, the impetus for integrating such features into the language follows.

5.3.1 Lazy Evaluation

A particularly strong advantage LT4LA has over other libraries that use lazy evaluation is, funnily enough, linear types, more precisely and the static and perfect information they guarantee about aliasing: with Owl, every graph-node has only one node in or out; with Eigen, every "assignment" has a noalias annotation.

This simplifies the rules and exceptions a programmer reasoning about memory usage needs to remember. Of course, now the programmer has to figure out how they are using their temporaries, but because matrices are linearly-typed, redundant copies/missed frees can be pointed out by the compiler, guiding them towards a satisfying solution.

5.3.2 Futhark

Futhark [13] is a second-order (meaning it supports functions such as map and fold/reduce) array combinator (meaning array operations can be fused into streams to reduce temporaries) language designed for efficient parallel compilation. It supports ML-style modules, loops, limited parametric polymorphism, size types and uniqueness types. Intuitively, where linearity provides a local guarantee of "this value is not aliased in this scope", uniqueness types provide a global guarantee of "this value is not aliased anywhere". Its combinators are more expressive than typical linear algebra library kernels so encourages shorter, more declarative linear algebra code.

5.3.3 Substructural Features in Rust

Rust [14] is a (relatively) new systems programming language aiming to bring the last two decades of programming language research to the masses in a usable and friendly manner. Its borrow-checker is the feature most relevant to this thesis because it statically attempts to prevent many resource-related bugs. Although there are a few linear algebra libraries for Rust under development, careful use of its macro system and borrow-checker could make it the safest and easiest to use language for linear algebra projects to come. Its struggle is more likely to be against the inertia of the large amounts of C++/Fortran code already out there rather than its usability or benefits.

5.3.4 Linear Types in Haskell

Linear types have been incorporated into a branch of the Glasgow Haskell Compiler [15] in an attempt to provide safe, functional streaming and IO (after people saw the potential from libraries providing linearity features). Practical benefits include zero-copy buffers and eliminating garbage collection in certain situations by allowing the user to safely manage memory. The fact that it can and has been done gives me hope that other languages will also see the value and adopt some form of resource-management in their type systems.

5.3.5 Linear and Dependent Types in Idris

Dimension mismatches are seen as an irritating but small inconvenience when writing linear algebra code. However, the second error I found in the Fortran code output by SymPy to compute a Kalman filter was a dimension/transposition error. Although we would not need full dependent types to solve dimension mismatches (symbolic size types would be sufficient), managing properties about matrices could be done at the type-level in a dependently typed setting.

We could then express the usual properties and results of operations at the typelevel, ensuring, for example, that certain functions are called only when the matrix is symmetric and can be written to. Idris (a Haskell inspired language with dependent types) has had experimental support for uniqueness types since its early days and now a linear types extension [16] is also being worked on based on new research around integrating linear and dependent types [4].

6 TO DO: Conclusion

As you might imagine: summarizes the dissertation, and draws any conclusions. Depending on the length of your work, and how well you write, you may not need a summary here.

You will generally want to draw some conclusions, and point to potential future work.

What are the benefits of the type-based approach I have taken? Have I successfully argued whatever I wrote in the introduction?

6.1 Future Work

Graph stuff... check with supervisors.

All of the matrix expression compilers mentioned in previous section construct some sort of dataflow graph to represent the computation being executed. While this seems intuitive, there is no formal argument for this appr Some directions in which a type-based approach to efficient matrix expression compilation could be taken are:

- As a typed IR for matrix expression compilers. This in turn could enable
 - existing matrix expression compilers to be less opaque about what resources they are consuming.
 - open up opportunities for non-local sharing of temporary values with some intra-procedural analysis.
 - allow the user to choose: use a matrix expression compiler when desired and drop down to a usable typed-IR for finer control, whilst still retaining safety guarantees.

- Formal verification of matrix expression compilers by precisely specifying source and target languages.
- Multi-stage programming to use information only available at runtime in many situations (such as sizes, matrix properties, sparsity, control flow) can be effectively incorporated into code generated.
- Dependent types to have control over how resources can be used and split. In addition to formal verification, dependent types could be combined with linearity to express finer-grain conventions surrounding blocking, slicing and writing to parts of the matrix instead of the whole. This is already prevalent with 'dsymm' like BLAS routines which only read and write to the lower or upper triangle of a matrix. This idea is inspired by Conor McBride's talk on writing to terminals with "Space Monads" [17].
- Compiling to hardware is also an option once we know exactly when and where temporaries are required and what can be re-used when, we come one (small, but useful) step closer to realising matrix expressions directly on hardware.

A Ott Specification

The following pages present a specification of the grammar and type-system used by my project, produced using the Ott[18] tool. It is important to note that the type-system described here is not how it is implemented: it is easier and clearer to describe the system as below for explaining. However, for implementing, I found it much more and user- and debugging-friendly to:

- Implement it so that the type-environment *changes* as a result of type-checking an expression; with this, the below semantics describe the *difference* between the environment after and before checking an expression.
- *Mark* variables as used instead of *removing* them from the environment for better error messages.
- Have one environment where variables were tagged as linear and un-used, linear and used, and intuitionistic. This was definitely an implementation convenience so that variable binding could be handled uniformly for linear and intuitionistic variables and scoping/variable look-up could be handled implicitly thanks to the associative-list structure of the environment.

fc fractional capability variable

x, g, a, b expression variable k integer variable

el array-element variable

```
symb
                     \lambda
                     \otimes
                     \in
                     \forall
                     Cap
                     Type
                     !
                     \rightarrow
                     value
f
                                                    fractional capability
              ::=
                     fc
                                                       variable
                     {f Z}
                                                       zero
                     \mathbf{S}f
                                                       successor
t
                                                    linear type
                     unit
                                                       unit
                     bool
                                                       boolean (true/false)
                     int
                                                       63-bit integers
                     \mathbf{elt}
                                                       array element
                     f \operatorname{\mathbf{arr}}
                                                       arrays
                    f mat
                                                       matrices
                     !t
                                                       multiple-use type
                                  \mathsf{bind}\ \mathit{fc}\ \mathsf{in}\ \mathit{t}
                    \forall fc.t
                                                       frac. cap. generalisation
                     t \otimes t'
                                                       pair
                     t \multimap t'
                                                       linear function
                     t\{f/fc\}
                                  Μ
                                                       substitution
                                  S
                     (t)
                                                       parentheses
                                                    expression
                                                       primitives (arithmetic, L1 BLAS, Owl)
                     p
                                                       variable
                     \boldsymbol{x}
```

```
()
                                                             unit introduction
             true
                                                             true (boolean introduction)
             false
                                                             false (boolean introduction)
             if e then e_1 else e_2
                                                             if (boolean elimination)
             k
                                                             integer
             el
                                                             array element
                                                             packing-up a non-linear value
             many e
             \mathbf{let} \, \mathbf{many} \, x = e \, \mathbf{in} \, e'
                                                             using a non-linear value
             fun fc \rightarrow e
                                                             frac. cap. abstraction
             e[f]
                                                             frac. cap. specialisation
             (e, e')
                                                             pair introduction
             \mathbf{let}\,(a,b) = e\,\mathbf{in}\,e'
                                       bind a \cup b in e'
                                                             pair elimination
             \mathbf{fun}\,x:t\to e
                                       bind x in e
                                                             abstraction
             e e'
                                                             application
             \mathbf{fix}(q, x:t, e:t')
                                       bind g \cup x in e
                                                             fixpoint
      ::=
                                                           primitive
p
             \mathbf{set}
                                                             array index assignment
                                                             array indexing
             get
                                                             integer addition
             (+)
             (-)
                                                             integer subtraction
             (*)
                                                             integer multiplication
                                                             integer division
             (/)
             (=)
                                                             integer equality
                                                             integer less-than
             (<)
                                                             element addition
             (+.)
                                                             element subtraction
             (-.)
             (*.)
                                                             element multiplication
                                                             element division
             (/.)
                                                             element equality
             (=.)
             (< .)
                                                             element comparsion (less-than)
             (\&\&)
                                                             boolean conjuction
                                                             boolean disjunction
             (||)
                                                             boolean negation
             not
```

```
share
                           share array
                           unshare array
      unshare
      free
                           free arrary
                           Owl: make array
      array
                           Owl: copy array
      copy
                           Owl: sine of all elements in array
      \sin
                           Owl: x_i := \sqrt{x_i^2 + y_i^2}
      hypot
                           BLAS: \sum_{i} |x_i|
      asum
                           BLAS: x := \alpha x + y
      axpy
                           BLAS: x \cdot y
      dot
                           BLAS: gen. mod. Givens rotation
      rotmg
      scal
                           BLAS: x := \alpha x
                           BLAS: index of maximum absolute value
      amax
      \mathbf{set}\mathbf{M}
                           matrix index assignment
      getM
                           matrix indexing
      shareM
                           share matrix
                           unshare matrix
      unshareM
                           free matrix
      freeM
      matrix
                           Owl: make matrix
                           Owl: copy matrix
      copyM
                           BLAS: y := \alpha A^{T?} x + \beta y
      gemv
                           BLAS: y := \alpha A_{\text{sym}} x + \beta y
      symv
                           BLAS: x := A^{T?} * x
      \operatorname{trmv}
                           BLAS: x := A^{-1 \cdot T?} * x
      \mathbf{trsv}
                           BLAS: A := \alpha * x * y^T + A
      \operatorname{ger}
                           BLAS: C := \alpha * A^{T?} * B^{T?} + \beta C
      gemm
                           BLAS: B := \alpha * A^{T?} * B and swapped
      trmm
                           BLAS: B := \alpha * A^{-1 \cdot T?} * B and swapped
      \operatorname{trsm}
                        fractional capability environment
::=
      \Theta, fc
```

43

linear types environment

Θ

Γ

::=

```
\Gamma, x:t
                                \Gamma, \Gamma'
\Delta
                                                        linear types environment
                                \Delta, x:t
formula
                               judgement
                               x:t\in\Delta
                                x:t\,\in\,\Gamma
                               fc \in \Theta
                                value(e)
Well\_Formed
                                \Theta \vdash f \mathsf{Cap}
                                                            Valid fractional capabilities
                                \Theta \vdash t \, \mathsf{Type}
                                                            Valid types
Values
                         ::=
                                \mathbf{value}(e)
                                                            Value restriction for !-introduction
Types
                         ::=
                                \Theta; \Delta; \Gamma \vdash e : t
                                                            Typing rules for expressions (no primitives yet)
judgement
                         ::=
                                Well\_Formed
                                Values
                                Types
user\_syntax
                                fc
                                \boldsymbol{x}
                                k
                                el
```

symb

formula

$\Theta \vdash f \mathsf{Cap}$ Valid fractional capabilities

$$\frac{fc \in \Theta}{\Theta \vdash fc \operatorname{\mathsf{Cap}}} \quad \text{WF_CAP_VAR}$$

$$\overline{\Theta \vdash \mathbf{Z} \operatorname{\mathsf{Cap}}} \quad \text{WF_CAP_ZERO}$$

$$\frac{\Theta \vdash f \operatorname{\mathsf{Cap}}}{\Theta \vdash \operatorname{\mathsf{S}} f \operatorname{\mathsf{Cap}}} \quad \text{WF_CAP_SUCC}$$

$\Theta \vdash t \mathsf{Type}$ Valid types

$$\begin{array}{ll} \overline{\Theta \vdash \mathbf{unit}\,\mathsf{Type}} & \mathrm{WF_TYPE_UNIT} \\ \hline \Theta \vdash \mathbf{bool}\,\mathsf{Type} & \mathrm{WF_TYPE_BOOL} \\ \hline \Theta \vdash \mathbf{int}\,\mathsf{Type} & \mathrm{WF_TYPE_INT} \\ \hline \Theta \vdash \mathbf{elt}\,\mathsf{Type} & \mathrm{WF_TYPE_ELT} \\ \hline \Theta \vdash f\,\mathsf{Cap} & \mathrm{WF_TYPE_ARRAY} \\ \hline \Theta \vdash f\,\mathsf{Cap} & \mathrm{WF_TYPE_ARRAY} \\ \hline \Theta \vdash t\,\mathsf{Type} & \mathrm{WF_TYPE_BANG} \\ \hline \Theta \vdash t\,\mathsf{Type} & \mathrm{WF_TYPE_BANG} \\ \hline \Theta \vdash t\,\mathsf{Type} & \mathrm{WF_TYPE_GEN} \\ \hline \Theta \vdash t\,\mathsf{Type} & \mathrm{\Theta} \vdash t\,\mathsf{Type} \\ \hline \Theta \vdash t\,\mathsf{Type} & \mathrm{\Theta} \vdash t\,\mathsf{Type} \\ \hline \Theta \vdash t\,\mathsf{Type} & \mathrm{WF_TYPE_PAIR} \\ \hline \end{array}$$

$$\begin{array}{l} \Theta \vdash t \, \mathsf{Type} \\ \\ \Theta \vdash t' \, \mathsf{Type} \\ \\ \Theta \vdash t \multimap t' \, \mathsf{Type} \end{array} \quad \text{WF_TYPE_LOLLY} \\ \end{array}$$

 $\mathbf{value}(e)$ Value restriction for !-introduction

 $\Theta; \Delta; \Gamma \vdash e : t$ Typing rules for expressions (no primitives yet)

$$\frac{\alpha; \Delta; \cdot, x: t \vdash x: t}{\Theta; \Delta; \cdot, x: t \vdash x: t} \quad \text{TY_VAR_LIN}$$

$$\frac{x: t \in \Delta}{\Theta; \Delta: \cdot \vdash x: t} \quad \text{TY_VAR}$$

$$\begin{split} \Theta \vdash f \operatorname{Cap} \\ \frac{\Theta; \Delta; \Gamma \vdash e : \forall \mathit{fc.t}}{\Theta; \Delta; \Gamma \vdash e[f] : t\{f/\mathit{fc}\}} \quad \text{TY_SPC} \\ \frac{\Theta; \Delta, g : t \multimap t'; \cdot, x : t \vdash e : t'}{\Theta; \Delta; \cdot \vdash \operatorname{fix}(g, x : t, e : t') : !(t \multimap t')} \quad \text{TY_FIX} \end{split}$$

B | Primitives

The following signature gives an indication of how I embedded a linear type system into the OCaml one and typed LT4LA's primitives accordingly. This helped catch bugs and increase confidence in the correctness of the code produced.

```
module Arr = Owl.Dense.Ndarray.D
  type z = Z
  type 'a s = Succ
   type 'a arr = A of Arr.arr [@@unboxed]
   type 'a mat = M of Arr.arr [@@unboxed]
   type 'a bang = Many of 'a [@@unboxed]
   module Prim :
   sig
     val extract : 'a bang -> 'a
     (** Boolean *)
10
     val not_ : bool bang -> bool bang
11
     (** Arithmetic, some omitted for brevity *)
12
     val addI : int bang -> int bang -> int bang
13
     val ltI : int bang -> int bang -> bool bang
14
     (** Arrays *)
15
     val set : z arr -> int bang -> float bang -> z arr
16
     val get : 'a arr -> int bang -> 'a arr * float bang
17
     val share : 'a arr -> 'a s arr * 'a s arr
18
     val unshare : 'a s arr -> 'a s arr -> 'a arr
19
     val free : z arr -> unit
20
     (** Owl *)
21
     val array : int bang -> z arr
22
     val copy : 'a arr -> 'a arr * z arr
23
     val sin : z arr -> z arr
24
     val hypot : z arr -> 'a arr -> 'a arr * z arr
25
     (** Level 1 BLAS *)
26
     val asum : 'a arr -> 'a arr * float bang
```

```
val axpy : float bang -> 'a arr -> z arr -> 'a arr * z arr
28
     val dot : 'a arr -> 'b arr -> ('a arr * 'b arr) * float bang
29
     val rotmg : float bang * float bang -> float bang * float bang ->
                  (float bang * float bang) * (float bang * z arr)
31
     val scal : float bang -> z arr -> z arr
32
     val amax : 'a arr -> 'a arr * int bang
33
     (* Matrix, some omitted for brevity *)
34
     val matrix : int bang -> int bang -> z mat
35
     val copy_mat : 'a mat -> 'a mat * z mat
36
     val copy_mat_to : 'a mat -> z mat -> 'a mat * z mat
37
     val size_mat : 'a mat -> 'a mat * (int bang * int bang)
     val transpose : 'a mat \rightarrow 'a mat * z mat
39
     (* Level 3 BLAS/LAPACK *)
40
     val gemm : float bang -> ('a mat * bool bang) -> ('b mat * bool bang) ->
41
                 float bang -> z mat -> ('a mat * 'b mat) * z mat
42
     val symm : bool bang -> float bang -> 'a mat -> 'b mat ->
43
                 float bang -> z mat -> ('a mat * 'b mat) * z mat
44
     val posv : z mat -> z mat -> z mat * z mat
45
     val potrs : 'a mat \rightarrow z mat \rightarrow 'a mat * z mat
46
47
   end
```

fc fractional capability variable

x, g, a, b expression variable k integer variable

el array-element variable

```
symb
                     \lambda
                     \otimes
                     \in
                     \forall
                     Cap
                     Type
                     !
                     \rightarrow
                     value
f
                                                    fractional capability
              ::=
                     fc
                                                       variable
                     {f Z}
                                                       zero
                     \mathbf{S}f
                                                       successor
t
                                                    linear type
                     unit
                                                       unit
                                                       boolean (true/false)
                     bool
                                                       63-bit integers
                     int
                     \mathbf{elt}
                                                       array element
                                                       arrays
                     f \operatorname{\mathbf{arr}}
                    f mat
                                                       matrices
                     !t
                                                       multiple-use type
                                  \mathsf{bind}\ \mathit{fc}\ \mathsf{in}\ \mathit{t}
                    \forall fc.t
                                                       frac. cap. generalisation
                     t \otimes t'
                                                       pair
                     t \multimap t'
                                                       linear function
                     t\{f/fc\}
                                  Μ
                                                       substitution
                                  S
                     (t)
                                                       parentheses
                                                    expression
                                                       primitives (arithmetic, L1 BLAS, Owl)
                     p
                                                       variable
                     \boldsymbol{x}
```

```
()
                                                              unit introduction
             true
                                                              true (boolean introduction)
             false
                                                              false (boolean introduction)
             if e then e_1 else e_2
                                                              if (boolean elimination)
             k
                                                              integer
             el
                                                              array element
                                                              packing-up a non-linear value
             many e
             \mathbf{let} \, \mathbf{many} \, x = e \, \mathbf{in} \, e'
                                                              using a non-linear value
             fun fc \rightarrow e
                                                              frac. cap. abstraction
             e[f]
                                                              frac. cap. specialisation
             (e, e')
                                                              pair introduction
             \mathbf{let}\,(a,b) = e\,\mathbf{in}\,e'
                                       bind a \cup b in e'
                                                              pair elimination
             \mathbf{fun}\,x:t\to e
                                       bind x in e
                                                              abstraction
             e e'
                                                              application
             \mathbf{fix}\left(g,x:t,e:t'\right)
                                       bind g \cup x in e
                                                              fixpoint
       ::=
                                                           primitive
p
             \mathbf{set}
                                                              array index assignment
                                                              array indexing
             get
                                                              integer addition
             (+)
             (-)
                                                              integer subtraction
             (*)
                                                              integer multiplication
                                                              integer division
             (/)
             (=)
                                                              integer equality
                                                              integer less-than
             (<)
                                                              element addition
             (+.)
                                                              element subtraction
             (-.)
             (*.)
                                                              element multiplication
                                                              element division
             (/.)
                                                              element equality
             (=.)
             (< .)
                                                              element comparsion (less-than)
             (\&\&)
                                                              boolean conjuction
                                                              boolean disjunction
             (||)
                                                              boolean negation
             not
```

```
share
                           share array
                           unshare array
      unshare
      free
                           free arrary
                           Owl: make array
      array
                           Owl: copy array
      copy
                           Owl: sine of all elements in array
      \sin
                           Owl: x_i := \sqrt{x_i^2 + y_i^2}
      hypot
                           BLAS: \sum_{i} |x_i|
      asum
                           BLAS: x := \alpha x + y
      axpy
                           BLAS: x \cdot y
      dot
                           BLAS: gen. mod. Givens rotation
      rotmg
      scal
                           BLAS: x := \alpha x
                           BLAS: index of maximum absolute value
      amax
      \mathbf{set}\mathbf{M}
                           matrix index assignment
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                           matrix indexing
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                           share matrix
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                           BLAS: x := A^{T?} * x
      \operatorname{trmv}
                           BLAS: x := A^{-1 \cdot T?} * x
      \mathbf{trsv}
                           BLAS: A := \alpha * x * y^T + A
      \operatorname{ger}
                           BLAS: C := \alpha * A^{T?} * B^{T?} + \beta C
      gemm
                           BLAS: B := \alpha * A^{T?} * B and swapped
      trmm
                           BLAS: B := \alpha * A^{-1 \cdot T?} * B and swapped
      \operatorname{trsm}
                        fractional capability environment
::=
      \Theta, fc
```

 Γ ::= linear types environment

Θ

```
\Gamma, x:t
                                \Gamma, \Gamma'
\Delta
                                                        linear types environment
                                \Delta, x:t
formula
                               judgement
                               x:t\in\Delta
                                x:t\,\in\,\Gamma
                               fc \in \Theta
                                value(e)
Well\_Formed
                                \Theta \vdash f \mathsf{Cap}
                                                            Valid fractional capabilities
                                \Theta \vdash t \, \mathsf{Type}
                                                            Valid types
Values
                         ::=
                                \mathbf{value}(e)
                                                            Value restriction for !-introduction
Types
                         ::=
                                \Theta; \Delta; \Gamma \vdash e : t
                                                            Typing rules for expressions (no primitives yet)
judgement
                         ::=
                                Well\_Formed
                                Values
                                Types
user\_syntax
                                fc
                                \boldsymbol{x}
                                k
                                el
```

symb

| formula

 $\Theta \vdash f \mathsf{Cap}$

Valid fractional capabilities

$$\frac{fc \in \Theta}{\Theta \vdash fc \operatorname{\mathsf{Cap}}} \quad \text{WF_CAP_VAR}$$

$$\overline{\Theta \vdash \mathbf{Z} \operatorname{\mathsf{Cap}}} \quad \text{WF_CAP_ZERO}$$

$$\frac{\Theta \vdash f \operatorname{\mathsf{Cap}}}{\Theta \vdash \operatorname{\mathsf{S}} f \operatorname{\mathsf{Cap}}} \quad \text{WF_CAP_SUCC}$$

 $\Theta \vdash t \mathsf{Type}$ Valid types

$$\begin{array}{l} \Theta \vdash t \, \mathsf{Type} \\ \\ \Theta \vdash t' \, \mathsf{Type} \\ \\ \Theta \vdash t \multimap t' \, \mathsf{Type} \end{array} \quad \text{WF_TYPE_LOLLY} \\ \end{array}$$

 $\mathbf{value}(e)$ Value restriction for !-introduction

 $\Theta; \Delta; \Gamma \vdash e : t$ Typing rules for expressions (no primitives yet)

$$\frac{\Theta; \Delta; \cdot, x: t \vdash x: t}{\Theta; \Delta; \cdot \vdash x: t} \quad \text{TY_VAR_LIN}$$

$$\frac{x: t \in \Delta}{\Theta; \Delta; \cdot \vdash x: t} \quad \text{TY_VAR}$$

$$\begin{split} \Theta \vdash f \operatorname{Cap} \\ \frac{\Theta; \Delta; \Gamma \vdash e : \forall \mathit{fc.t}}{\Theta; \Delta; \Gamma \vdash e[f] : t\{f/\mathit{fc}\}} \quad \text{TY_SPC} \\ \frac{\Theta; \Delta, g : t \multimap t'; \cdot, x : t \vdash e : t'}{\Theta; \Delta; \cdot \vdash \operatorname{fix}(g, x : t, e : t') : !(t \multimap t')} \quad \text{TY_FIX} \end{split}$$

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