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CDH DESCENT FOR HOMOTOPY HERMITIAN  $K$ -THEORY OF RINGS WITH  
INVOLUTION

BY

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DISSERTATION

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# Chapter 1

## Introduction

Algebraic  $K$ -theory is an algebraic invariant built from the category of vector bundles over a scheme. It was introduced in the 1950s by Alexander Grothendieck where it served as the cornerstone of his reformulation of the Riemann-Roch theorem [Gro57]. Twenty years previously, Ernst Witt had developed the notion of quadratic forms over arbitrary fields and introduced the Witt ring as an object to encapsulate the nature of all the quadratic forms over a given field [Wit37]. Following Grothendieck's ideas, Hyman Bass introduced a category of quadratic forms  $\mathbf{Quad}(R)$  with isometries over a ring  $R$  and studied  $K_1(\mathbf{Quad}(R))$  and  $K_0(\mathbf{Quad}(R))$ .  $K_0(\mathbf{Quad}(R))$  is what we know today as the Grothendieck-Witt ring, and Bass was able to recover the Witt ring as a quotient of  $K_0(\mathbf{Quad}(R))$  by the image of the hyperbolic quadratic forms.  $K_1(\mathbf{Quad}(R))$  was seen to be related to the stable structure of the automorphisms of hyperbolic modules. The  $K$ -theory of quadratic forms soon found applications to surgery theory through the periodic  $L$ -groups defined by Wall in 1966 [Wal66]. When the means to define the higher algebraic  $K$ -groups via the  $+$  construction was discovered by Quillen in the 1970s, Karoubi applied it to the orthogonal groups  $BO$  in order to define the higher Hermitian  $K$ -theory of rings with involution as we know it today [Kar73].

Fast forward twenty years into the 1990s when Morel and Voevodsky developed the motivic homotopy category and proved that algebraic  $K$ -theory was representable in the stable motivic homotopy category [MV99]. The development of the stable motivic homotopy category not only gave a new domain to motivic cohomology, it also opened the door for applications of topological tools like obstruction theory to more algebraic objects. Several subsequent developments inspire our work here.

The first set of developments relates to Hermitian  $K$ -theory. In 2005 Hornbostel showed that Hermitian  $K$ -theory was representable in the stable motivic homotopy category on schemes without involution [Hor05]. We note that Hornbostel defined Hermitian  $K$ -theory on schemes by extending the definition on rings using Jouanolou's trick. In 2011 Hu-Kriz-Ormsby showed that Hermitian  $K$ -theory on the category of  $C_2$ -schemes was representable in the  $C_2$ -equivariant stable motivic homotopy category [HKO11]. Here they used a similar trick to Hornbostel in order to extend Hermitian  $K$ -theory from rings with involution to schemes with involution. In the meantime, Schlichting, building off of work of Thomason, Karoubi, and

Balmer, defined the higher Hermitian  $K$ -theory of a dg-category with weak equivalences and duality and proved the analogues of the fundamental theorems of higher  $K$ -theory for these groups [Sch17]. Although some of Schlichting's theorems are stated only for schemes (rather than schemes with  $C_2$  action), many of his proofs require only trivial modification to extend to Grothendieck-Witt groups of schemes with  $C_2$  action. See also [Xie18] for the proofs of the equivariant case of some of the theorems together with a new transfer morphism. Another approach is taken by Hesselholt-Madsen, who define real algebraic  $K$ -theory of a category with weak equivalences and duality as a symmetric spectrum object in the monoidal category of pointed  $C_2$ -spaces. Schlichting's higher Grothendieck-Witt groups can be recovered from the Hesselholt-Madsen construction by taking homotopy groups of  $C_2$ -fixed points of deloopings of the real algebraic  $K$ -theory spaces with respect to the sign representation spheres.

Back in  $K$ -theory land, Cisinski proved that the six functor formalism in motivic homotopy theory developed by Ayoub [Ayo07] together with the fact that the motivic  $K$ -theory spectrum  $KGL$  is a cocartesian section of  $\underline{SH}(-)$  yields a simple proof of  $cdh$ -descent for homotopy  $K$ -theory [Cis13]. This in turn yields a short proof of Weibel's vanishing conjecture for homotopy  $K$ -theory, and inspired work of Kerz, Strunk, and Tamme which solved Weibel's conjecture by proving a version of pro- $cdh$  descent for ordinary  $K$ -theory [KST18]. Hoyois in [Hoy16] uses Cisinski's approach to show  $cdh$  descent for equivariant homotopy  $K$ -theory.

This thesis, inspired by the above developments, shows  $cdh$ -descent for homotopy Hermitian  $K$ -theory of schemes with  $C_2$  action. The techniques in [Hoy16] provide our pathway to descent. In order to show that Hermitian  $K$ -theory is a cocartesian section of  $\underline{SH}^{C_2}(-)$ , we need to show that the Hermitian  $K$ -theory space  $\Omega^\infty GW$  can be represented by a certain Grassmannian, and we need a periodization theorem in order to pass from the Hermitian  $K$ -theory space  $\Omega^\infty GW$  to homotopy Hermitian  $K$ -theory motivic spectrum  $L_{\mathbb{A}^1} GW$ . Schlichting and Tripathi [SST14] show that  $\Omega^\infty GW$  is representable by a Grassmannian over schemes with trivial action over a regular base scheme with 2 invertible. Their techniques extend to the equivariant setting, and with slight modification provide a proof of representability over non-regular bases. The periodization techniques in [Hoy16] can also be extended to Hermitian  $K$ -theory by investigating the Hermitian  $K$ -theory of  $\mathbb{P}^p$ , the Thom space of the regular representation.

## 1.1 Outline

Chapter 2 begins with a review of  $G$ -equivariant motivic homotopy theory where  $G$  is a finite group scheme over a base  $S$  which is Noetherian of finite Krull dimension. First we review the definition of the equivariant étale and Nisnevich topologies, then we introduce the isovariant étale topology and give some examples of

covers. For the reader familiar with non-equivariant motivic homotopy theory, the assumptions we make on  $G$  are strong enough so that structural results are mostly the same:

- the equivariant Nisnevich topology is generated by a nice cd-structure,
- equivariant schemes are locally affine in the equivariant Nisnevich topology, and
- to invert  $G$ -affine bundles  $Y \rightarrow S$  it suffices to invert  $\mathbb{A}_S^1$ .

The content in this section is a selection of relevant content from [HKØ15]. We end this chapter with the definition of the unstable and stable equivariant motivic  $\infty$ -categories 2.5 à la Hoyo [Hoy17].

Chapter 3 reviews the definitions and results on Hermitian forms which will be necessary to work with the Grothendieck-Witt spectrum. Section 3.1 contains the basic definitions and examples, while section 3.2 contains the tools necessary to show that Hermitian forms are locally determined by rank in the isovariant or equivariant étale topologies. The final section 3.3 reviews the main definitions of [Sch17] to allow us to talk about the Grothendieck-Witt spectra of schemes with involution.

Chapter 4 is where the background material ends and the thesis begins in earnest. We follow the outline in [SST14] to show that  $\Omega^\infty GW$  is representable by a Hermitian Grassmannian in the equivariant motivic homotopy category. This approach is inspired by the Karoubi-Villamayor definition of algebraic  $K$ -theory: rather than applying the  $+$ -construction to  $BGL$ , one can instead note that  $BGL(\Delta R)$  is a group complete  $H$ -space and get a version of algebraic  $K$ -theory which agrees with Quillen’s definition over regular rings. Over non-regular rings, one gets a “positive homotopy  $K$ -theory”, which is perhaps the more natural version to consider from the motivic perspective.

This chapter culminates with two theorems. First we have Theorem 4.4.12 a representability result which can be shown by a straightforward generalization of Schlichting-Tripathi’s techniques to the equivariant setting.

**Theorem 1.1.1.** *Let  $S$  be a regular Noetherian scheme of finite Krull dimension. Let  $GW$  denote the Grothendieck-Witt space on  $\mathbf{Sm}_S^{C_2}$ , and let  $\underline{\mathbb{Z}}$  denote the sheafification of the constant sheaf. There’s an equivariant motivic equivalence*

$$L_{\text{mot}} \underline{\mathbb{Z}} \times \mathbb{R}Gr_{\bullet} \xrightarrow{\sim} L_{\text{mot}} GW.$$

Combining the tools from Schlichting-Tripathi with the Morel-Voevodsky approach to classifying spaces outlined in [Hoy16], we strengthen this result to non-regular base schemes in Theorem 4.5.2.

**Theorem 1.1.2.** *Let  $S$  be a Noetherian scheme of finite Krull dimension. There are equivalences of  $C_2$ -motivic spaces on  $\mathbf{Sm}_S^{C_2}$*

$$\mathbb{Z} \times \mathbb{R}Gr_{\bullet} \xrightarrow{\sim} \mathbb{Z} \times B_{isoEt} O \xrightarrow{\sim} GW.$$

Chapter 5 provides a convenient way of passing from the presheaf of Grothendieck-Witt spectra to an  $E_\infty$ -motivic spectrum in  $\underline{\mathrm{SH}}^{C_2}(S)$ . The crucial fact is that the localizing version of Hermitian  $K$ -theory of rings with involution, denoted  $\mathbb{G}W$ , is the periodization of  $GW$  with respect to a certain Bott map derived from some projective bundle formulas (see Corollary 5.2.6). Here  $\mathbb{P}^\sigma$  is a copy of  $\mathbb{P}^1$  with action  $[x : y] \mapsto [y : x]$ . The fact that the periodization functor is monoidal together with Schlichting's results on monoidality of  $GW$  immediately give that the motivic spectrum  $L_{\mathbb{A}^1}\mathbb{G}W \in \underline{\mathrm{SH}}^{C_2}(S)$  is an  $E_\infty$  object 5.2.7.

**Theorem 1.1.3.** *Let  $S$  be a Noetherian scheme of finite Krull dimension. Then  $L_{\mathbb{A}^1}\mathbb{G}W$  lifts to an  $E_\infty$  motivic spectrum, denoted  $\mathbf{KR}^{\mathrm{alg}}$  over  $\mathbf{Sm}_S^{C_2}$ .*

The final chapter 6 follows the recipe given by Cisinski and summarized in [Hoy16] to prove cdh descent for equivariant homotopy Hermitian  $K$ -theory. After reviewing the  $K$ -theory case, the chapter culminates in theorem 6.2.2.

**Theorem 1.1.4.** *Let  $S$  be a Noetherian scheme of finite Krull dimension. Then the equivariant homotopy Hermitian  $K$ -theory spectrum  $L_{\mathbb{A}^1}\mathbb{G}W$  satisfies descent for the equivariant cdh topology in  $\mathbf{Sm}_S^{C_2}$ .*

## Chapter 2

# Equivariant Topologies and the Equivariant Motivic Homotopy Category

This chapter reviews the foundations of equivariant motivic homotopy theory. The key definitions are those of the equivariant étale and Nisnevich topologies – two topologies that play a crucial role in defining the equivariant motivic infinity category  $\underline{H}(S)$  over a Noetherian base scheme  $S$  with finite Krull dimension.

**Notation 2.0.1.** Throughout this section,  $G$  will be either a finite group or the group scheme over  $S$  associated to a finite group. Recall that to pass between finite groups and group schemes over  $S$ , we form the scheme  $\coprod_G S$  with multiplication (using that fiber products commute with coproducts in  $Sch/S$ ):

$$\coprod_G S \times_S \coprod_G S \xrightarrow{\sim} \coprod_{(g_1, g_2) \in G \times G} S \xrightarrow{\mu} \coprod_G S$$

Whenever we write down a pullback square involving schemes, we'll tacitly be thinking of  $G$  as a group scheme, and  $X \times Y$  will really mean  $X \times_S Y$ .

We introduce the background definitions from [HKØ15] which will allow us to define the isovariant étale topology. This topology is a topology slightly coarser than the equivariant étale topology, but whose points are still nice enough so that Hermitian vector bundles are locally determined by rank.

**Definition 2.0.2.** For a  $G$ -scheme  $X$ , the isotropy group scheme is a group scheme  $G_X$  over  $X$  defined by the cartesian square

$$\begin{array}{ccc} G_X & \longrightarrow & G \times X \\ \downarrow & & \downarrow (\mu_X, id_X) \\ X & \xrightarrow{\Delta_X} & X \times X \end{array}$$

**Definition 2.0.3.** Let  $X$  be a  $G$ -scheme. The scheme-theoretic stabilizer of a point  $x$  in  $X$  is the pullback

$$\begin{array}{ccc} G_x & \longrightarrow & G_X \\ \downarrow & & \downarrow \\ \mathrm{Spec} k(x) & \longrightarrow & X. \end{array}$$



By the pasting lemma, this is the same as the pullback

$$\begin{array}{ccc} G_x & \longrightarrow & G \times X \\ \downarrow & & \downarrow \\ \mathrm{Spec} k(x) & \longrightarrow & X \times X \end{array}$$

**Definition 2.0.4.** Let  $X$  be a  $G$ -scheme, and define the *set-theoretic* stabilizer  $S_x$  of  $x \in X$  to be  $\{g \in G \mid gx = x\}$  where we think of  $G$  as a finite group.

**Remark 2.0.5.** With notation as above, the underlying set of the scheme-theoretic stabilizer  $G_x$  can be described as

$$G_x = \{g \in S_x \mid \text{the induced morphism } g : k(x) \rightarrow k(x) \text{ equals } id_{k(x)}\}.$$

The example below shows that set-theoretic and scheme-theoretic stabilizers need not agree.

**Example 2.0.6.** (Herrmann [Her13]) Let  $k$  be a field, and consider the  $k$ -scheme given by a finite Galois extension  $k \hookrightarrow L$ . Let  $G = \mathrm{Gal}(L/k)$  be the Galois group. The set-theoretic stabilizer of the unique point in  $\mathrm{Spec} L$  is  $G$  itself, while the scheme-theoretic stabilizer is  $\{e\} \subset G$ .

**Remark 2.0.7.** Recall that if  $Z \rightarrow X$  is a monomorphism of schemes, then the forgetful functor from schemes to sets preserves any pullback  $Z \times_X Y$ . The canonical examples of monomorphisms in schemes are closed embeddings, open immersions, and maps induced by localization. Recall as well that the forgetful functor  $GSch/S \rightarrow Sch/S$  is a right adjoint, hence preserves pullbacks.

Since the inclusion of a point  $\mathrm{Spec} k(x) \hookrightarrow X \times_S X$  will be a closed embedding for any separated scheme, the difference between the set-theoretic and scheme-theoretic stabilizers is given by the fact that the underlying space of  $X \times_S X$  is not necessarily the fiber product of the underlying spaces. Indeed, in the example above,  $\mathrm{Spec} L \times_k \mathrm{Spec} L \cong \coprod_{g \in G} \mathrm{Spec} k$ , whereas the pullback in spaces is just a single point.

## 2.1 The Equivariant and Isovariant Étale Topologies

**Notation 2.1.1.** Let  $S$  be a  $G$ -scheme. The equivariant étale topology on  $\mathbf{Sm}_S^G$  will denote the site whose covers are étale covers whose component morphisms are equivariant.

**Definition 2.1.2.** (Thomason) An equivariant map  $f : Y \rightarrow X$  is said to be *isovariant* if it induces an isomorphism on isotropy groups  $G_Y \cong G_X \times_X Y$ . A collection  $\{f_i : X_i \rightarrow X\}_{i \in I}$  of equivariant maps called an isovariant étale cover if it is an equivariant étale cover such that each  $f_i$  is isovariant.

**Remark 2.1.3.** The isovariant topology is equivalent to the topology whose covers are equivariant, stabilizer preserving, étale maps. We'll use this notion more often in computations.

**Remark 2.1.4.** The points in the isovariant étale topology are schemes of the form  $G \times^{G_x} \text{Spec}(\mathcal{O}_{X,\bar{x}}^{sh})$  where  $\bar{x} \rightarrow x \rightarrow X$  is a geometric point, and  $(-)^{sh}$  denotes strict henselization. See [HKØ15] for a proof.

The fact that the points in the isovariant étale topology are either strictly henselian local or hyperbolic rings will be crucial when we want to describe the isovariant étale sheafification of the category of Hermitian vector bundles. Fortunately Hermitian vector bundles over such rings are well understood, and we'll in fact show that Hermitian vector bundles are up to isometry determined by rank locally in the isovariant étale topology.

**Remark 2.1.5.** If  $G = C_2$ , then  $G_x = \{e\}$  or  $G_x = C_2$  for all  $x \in X$ . If  $G_x = \{e\}$ , then  $G \times^{G_x} \text{Spec}(\mathcal{O}_{X,\bar{x}}^{sh}) \cong C_2 \times \text{Spec}(\mathcal{O}_{X,\bar{x}}^{sh}) \cong \text{Spec}(\mathcal{O}_{X,\bar{x}}^{sh}) \amalg \text{Spec}(\mathcal{O}_{X,\bar{x}}^{sh})$  with a free action. If  $G_x = C_2$ , then  $G \times^{G_x} \text{Spec}(\mathcal{O}_{X,\bar{x}}^{sh}) = \text{Spec}(\mathcal{O}_{X,\bar{x}}^{sh})$ .

### 2.1.1 Examples

We now proceed to give a sequence of examples of equivariant étale and isovariant étale covers in order to give a flavor for these topologies. The following example shows that there are equivariant étale covers which are not isovariant:

**Example 2.1.6.** Fix a scheme  $X$  with trivial  $C_2$ -action, and consider the scheme  $X \amalg X$  with the switch action. The map  $X \amalg X \rightarrow X$  is an equivariant étale cover, but it is not stabilizer preserving. Indeed, the switch action on  $X \amalg X$  is free, and the set-theoretic (hence scheme-theoretic) stabilizers are all trivial. On the other hand, the scheme theoretic stabilizers of the trivial action are all  $C_2$ .

**Lemma 2.1.7.** Fix a ring  $R$ , and fix an ideal  $I \subset R$ ,  $J \subset R[x]$ . Let  $B = R[x]/J$ . Then  $B/IB \cong (R/I)[x]/\bar{J}$ .

*Proof.* First, recall that  $R[x]/IR[x] \cong (R/I)[x]$  by the obvious map reducing the coefficients of a polynomial. Then  $B/IB \cong R[x]/(IR[x] + J) \cong (R[x]/IR[x])/J \cong (R/I)[x]/\bar{J}$ .  $\square$

**Example 2.1.8.** Let  $R$  be a commutative ring with 2 invertible and involution  $- : R \rightarrow R$ . Let  $a \in R^\times$ . Then  $\text{Spec } R[\sqrt{a}, \sqrt{\bar{a}}] \rightarrow \text{Spec } R$  is an equivariant étale cover.

*Proof.* First, note that if  $a$  has a square root in  $R$ , so does  $\bar{a}$ , and the result is trivial. Assume that this is not the case. Give the ring  $R[\sqrt{a}, \sqrt{\bar{a}}]$  an action by  $r_0 + r_1\sqrt{a} + r_2\sqrt{\bar{a}} \mapsto \bar{r}_0 + \bar{r}_1\sqrt{\bar{a}} + \bar{r}_2\sqrt{a}$ . The map  $R \rightarrow R[\sqrt{a}, \sqrt{\bar{a}}]$  is clearly equivariant, so we need only check that it's an étale cover.

First, note that it is indeed a cover: because  $R[\sqrt{a}, \sqrt{a}]$  is a module-finite extension of  $R$  (hence integral), surjectivity after taking  $\text{Spec}$  follows from the injectivity of the map of rings by the lying over property for integral extensions.

Now, we claim that the map is étale. We'll prove that it's the composite of two étale maps,  $R \rightarrow R[\sqrt{a}] \rightarrow R[\sqrt{a}, \sqrt{a}]$ . Since  $\bar{a}$  must also be a unit, it's enough to show that  $R \rightarrow R[\sqrt{a}]$  is étale. It's clearly flat because  $R[\sqrt{a}]$  is a free module over  $R$ , so we just have to check that it's unramified. Let  $B = R[\sqrt{a}]$ . Fix a maximal ideal  $m \subset B$ , and let  $I = R \cap m$ . By the lemma above,

$$\frac{B}{IB} \cong (R/I)[x]/(x^2 - a) \cong (R/I)[\sqrt{a}].$$

Now if  $a \neq 0$  in  $R/I$ , then  $x^2 - a$  will be a separable polynomial. But because  $a$  is a unit, it's not contained in any prime ideal, and hence not contained in  $I$ .

An easy consequence of the going up theorem (recall that we have an integral extension), is that  $I$  is a maximal ideal in  $R$ ; hence,  $(R/I)[\sqrt{a}, \sqrt{a}]$  is a finite separable field extension of  $R/I$ . Since localization commutes with taking quotients, it follows that the map is unramified.  $\square$

**Example 2.1.9.** A similar argument shows that  $\text{Spec } R[\sqrt{a}] \coprod \text{Spec } R[\sqrt{a}] \rightarrow \text{Spec } R$  is an equivariant étale cover.

**Lemma 2.1.10.** *With notation as above, assume that  $a$  is a fixed point of the involution  $- : R \rightarrow R$ . There's an induced action on  $R[\sqrt{a}]$  which fixes  $\sqrt{a}$ , and the map  $\text{Spec } R[\sqrt{a}] \rightarrow \text{Spec } R$  is stabilizer preserving w.r.t. this action.*

*Proof.* Let  $p \subset R[\sqrt{a}]$  be a prime ideal such that  $\bar{p} = p$ . Let  $g$  denote the non-trivial element of  $C_2$ . The induced map on stalks is (by abuse of notation) the inclusion  $f : k(p \cap R) \hookrightarrow k(p \cap R)[\sqrt{a}]$ . By equivariance, we have a commutative diagram

$$\begin{array}{ccc} k(p \cap R) & \xrightarrow{f} & k(p \cap R)[\sqrt{a}] \\ \downarrow \tilde{g} & & \downarrow g \\ k(p \cap R) & \xrightarrow{f} & k(p)[\sqrt{a}]. \end{array}$$

Now if  $g$  induces the identity map  $k(p \cap R) \rightarrow k(p \cap R)$ , and hence is an element of the scheme-theoretic stabilizer, then  $\tilde{g}$  is an element of  $\text{Gal}(k(p \cap R)[\sqrt{a}]/k(p \cap R))$ . In other words,  $\tilde{g}$  is either the identity map, or is the map which sends  $\sqrt{a} \rightarrow -\sqrt{a}$ . By construction, the involution on  $R[\sqrt{a}]$  sends  $\sqrt{a} \mapsto \sqrt{a}$ , so that  $G_p = G_{f(p)}$ .

If  $g$  doesn't fix  $k(p \cap R)$ , then since  $f$  is a monomorphism, clearly  $\tilde{g}$  can't fix  $k(p \cap R)[\sqrt{a}]$ , and again we have  $G_p = G_{f(p)}$ . It follows that  $f$  is an isovariant map.  $\square$

**Lemma 2.1.11.** *With notation as above, say  $a - \bar{a} \in R^*$ . The equivariant étale cover  $f : \operatorname{Spec} R[\sqrt{a}] \coprod \operatorname{Spec} R[\sqrt{\bar{a}}] \rightarrow \operatorname{Spec} R$  is stabilizer preserving.*

*Proof.* The action on  $\operatorname{Spec} R[\sqrt{a}] \coprod \operatorname{Spec} R[\sqrt{\bar{a}}] \rightarrow \operatorname{Spec} R$  is free, so that all the set-theoretic (and hence scheme-theoretic) stabilizers are trivial.

The assumption that  $a - \bar{a}$  is not in any prime ideal implies that if  $p$  is a fixed point of the involution,  $i : R_p/pR_p \rightarrow R_p/pR_p$  is not the identity map, so that the scheme-theoretic stabilizers of the action on  $\operatorname{Spec} R$  are all trivial.  $\square$

**Example 2.1.12.** Even if  $a$  is a unit, it's certainly not true in general that  $a - \bar{a} \in R^*$ . Consider the ring  $R = \mathbb{Z}[t, t^{-1}]$  with involution given by  $t \mapsto -t$ . Then  $t - \bar{t} = 2t \notin R^*$ . Furthermore,  $(2t) = (2)$  is a prime ideal in  $R$  fixed by the involution. It's contained in the maximal ideal  $(2, t - 1)$ . Note that this ideal is also fixed by the involution:  $t - 1 \mapsto -t - 1$  and  $-t - 1 = 1 - t - 2 \in (2, t - 1)$ . The residue field at this maximal ideal is  $\mathbb{Z}/2$ . The only nonzero ring map of this field is the identity, so that the scheme-theoretic stabilizer of  $(2, t - 1)$  is  $C_2$ .

Note that if we wanted an example for a ring with 2 invertible, we could take  $R = \mathbb{Z}[\frac{1}{2}][t, t^{-1}]$ , and consider the element  $\frac{3}{2}t$  and the maximal ideal  $(3, t - \frac{3}{2})$ . One also has to note that the induced map on the residue field  $\mathbb{Z}/3$  is the identity, which follows simply because the involution is unital (and because it gives a well-defined map on the residue field!).

## 2.2 The Equivariant Nisnevich Topology

Similarly to the non-equivariant case, the equivariant Nisnevich topology is defined by a particularly nice  $cd$ -structure. While there are a few different definitions of this topology in the literature which can give non Quillen equivalent model structures, we use the definition from [HKØ15].

**Definition 2.2.1.** A distinguished equivariant Nisnevich square is a cartesian square in  $\mathbf{Sm}_S^G$

$$\begin{array}{ccc} B & \longrightarrow & Y \\ \downarrow & & \downarrow p \\ A & \xrightarrow{i} & X \end{array}$$

where  $j$  is an open immersion,  $p$  is étale, and  $(Y - B)_{\text{red}} \rightarrow (X - A)_{\text{red}}$  is an isomorphism.

**Definition 2.2.2.** The equivariant Nisnevich  $cd$ -structure on  $\mathbf{Sm}_S^G$  is the collection of distinguished equivariant Nisnevich squares in  $\mathbf{Sm}_S^G$ .

The next remark has the important consequence that to prove a map is an equivariant motivic equivalence, it suffices to check that it's an equivalence on affine  $G$ -schemes.

**Remark 2.2.3.** For finite groups  $G$ , any smooth  $G$ -scheme is Nisnevich-locally affine.

## 2.3 The Equivariant cdh Topology

The cdh topology is, roughly speaking, the coarsest topology satisfying Nisnevich excision and which allows for a theory of cohomology with compact support. Like the Nisnevich topology (and unlike the étale topology) it can be generated by a cd-structure, which gives a convenient way to check whether or not a presheaf is a cdh sheaf.

**Definition 2.3.1.** An abstract blow-up square is a cartesian square in  $\mathbf{Sm}_S^G$

$$\begin{array}{ccc} \widetilde{Z} & \longrightarrow & \widetilde{X} \\ \downarrow & & \downarrow p \\ Z & \xrightarrow{i} & X \end{array}$$

where  $i$  is a closed immersion and  $p$  is a proper map which induces an isomorphism  $(\widetilde{X} - \widetilde{Z})_{red} \cong (X - Z)_{red}$ .

**Definition 2.3.2.** The cdh topology is the topology generated by the cd-structure whose distinguished squares are

1. The equivariant Nisnevich distinguished squares
2. The abstract blowup squares.

One canonical example of a cdh cover is the map  $X_{red} \rightarrow X$  for an equivariant scheme  $X \rightarrow S$ .

Another example is given by resolution of singularities: given a proper birational map  $p : X \rightarrow Y$ , it's an isomorphism over some open set  $U$  in  $Y$ , so letting  $Z = Y - U$  and  $\widetilde{Z} = X - p^{-1}(U)$  we get an abstract blowup square

$$\begin{array}{ccc} \widetilde{Z} & \longrightarrow & X \\ \downarrow & & \downarrow \\ Z & \longrightarrow & Y \end{array}$$

## 2.4 Computations with Equivariant Spheres

Because we'll be using equivariant spheres to index our spectra, we'll record some of their basic properties here. These computations will be important when we investigate periodicity of  $GW$  in chapter 5. Though

there are exotic elements of the Picard group even in non-equivariant stable motivic homotopy theory, we'll be concerned with the four building blocks  $S^1, S^\sigma = \operatorname{colim}(* \leftarrow (C_2)_+ \rightarrow S^0), \mathbb{G}_m, \mathbb{G}_m^\sigma$ . Here  $\mathbb{G}_m^\sigma$  is the  $C_2$  scheme corresponding to  $S[T, T^{-1}]$  with action  $T \mapsto T^{-1}$ .

**Lemma 2.4.1.** *Let  $\mathbb{P}^\sigma$  denote  $\mathbb{P}^1$  with the action defined by  $[x : y] \mapsto [y : x]$ . There is an equivariant Nisnevich square*

$$\begin{array}{ccc} C_2 \times \mathbb{G}_m^\sigma & \longrightarrow & \mathbb{P}^1 - \{0\} \coprod \mathbb{P}^1 - \{\infty\} \\ \downarrow \pi_2 & & \downarrow f \\ \mathbb{G}_m^\sigma & \xrightarrow{i} & \mathbb{P}^\sigma \end{array}$$

*Proof.* Here, we identify  $\mathbb{G}_m^\sigma$  with  $\mathbb{P}^\sigma - \{0, \infty\}$ . The map  $i$  is clearly an open immersion. Its complement is  $\{0, \infty\}$ , and  $f$  maps  $\pi^{-1}(\{0, \infty\})$  isomorphically onto  $\{0, \infty\}$ . Furthermore,  $f$  is a disjoint union of open immersions, and hence is (in particular) étale.  $\square$

**Lemma 2.4.2.** *The following square is a homotopy pushout square:*

$$\begin{array}{ccc} (C_2)_+ \wedge (\mathbb{G}_m^\sigma)_+ & \longrightarrow & (C_2)_+ \\ \downarrow \pi_2 & & \downarrow f \\ (\mathbb{G}_m^\sigma)_+ & \xrightarrow{i} & \mathbb{P}_+^1 \end{array}$$

*Proof.* The above square is equivalent to the square

$$\begin{array}{ccc} (C_2)_+ \wedge (\mathbb{G}_m^\sigma)_+ & \longrightarrow & (C_2)_+ \wedge \mathbb{A}_+^1 \\ \downarrow \pi_2 & & \downarrow f \\ (\mathbb{G}_m^\sigma)_+ & \xrightarrow{i} & \mathbb{P}_+^1 \end{array}$$

By the lemma above,

$$\begin{array}{ccc} (C_2 \times \mathbb{G}_m^\sigma)_+ & \longrightarrow & (C_2 \times \mathbb{A}^1)_+ \\ \downarrow \pi_2 & & \downarrow f \\ (\mathbb{G}_m^\sigma)_+ & \xrightarrow{i} & \mathbb{P}_+^1 \end{array}$$

is a homotopy pushout square. But adding a disjoint basepoint is a monoidal functor, so  $X_+ \wedge Y_+ \cong (X \times Y)_+$  and this square is equivalent to the desired square.  $\square$

**Lemma 2.4.3.**  $\mathbb{P}^\sigma \approx S^\sigma \wedge \mathbb{G}_m^\sigma$ .

*Proof.* Let  $Q$  denote the homotopy cofiber of  $(C_2 \times \mathbb{G}_m^\sigma)_+ \rightarrow (\mathbb{G}_m^\sigma)_+$ , and  $\tilde{Q}$  denote the homotopy cofiber of  $(C_2 \times \mathbb{A}^1)_+ \rightarrow \mathbb{P}_+^\sigma$ . Then the lemma above implies that  $Q \approx \tilde{Q}$ .

$Q$  is the homotopy cofiber of  $(C_2)_+ \wedge (\mathbb{G}_m^\sigma)_+ \rightarrow S^0 \wedge (\mathbb{G}_m^\sigma)_+$ , which is just  $S^\sigma \wedge (\mathbb{G}_m^\sigma)_+$ . Recall that  $\text{colim}(* \leftarrow X \rightarrow X \wedge Y_+) \cong X \wedge Y$  since this is  $X \wedge \text{colim}(* \leftarrow S^0 \rightarrow Y_+)$ . Thus the cofiber of  $S^\sigma \rightarrow Q$  is  $S^\sigma \wedge \mathbb{G}_m^\sigma$ .

The diagram below in which the horizontal rows are cofiber sequences

$$\begin{array}{ccccc} (C_2)_+ & \longrightarrow & S^0 & \longrightarrow & S^\sigma \\ \downarrow id & & \downarrow & & \downarrow \\ (C_2)_+ & \longrightarrow & \mathbb{P}_+^\sigma & \longrightarrow & \tilde{Q} \\ \downarrow & & \downarrow & & \downarrow \\ \star & \longrightarrow & \mathbb{P}^\sigma & \longrightarrow & T \end{array}$$

implies that the cofiber of  $S^\sigma \rightarrow \tilde{Q}$  is  $\mathbb{P}^\sigma$ .

The result now follows from the commutativity of the following diagram and homotopy invariance of homotopy cofiber:

$$\begin{array}{ccc} S^\sigma & \xrightarrow{id} & S^\sigma \\ \downarrow & & \downarrow \\ Q & \xrightarrow{\sim} & \tilde{Q} \\ \downarrow & & \downarrow \\ S^\sigma \wedge \mathbb{G}_m^\sigma & \longrightarrow & \mathbb{P}^\sigma \end{array}$$

□

## 2.5 The Equivariant Motivic Homotopy Category

In this thesis, we'll work over a Noetherian scheme of finite Krull dimension and a finite group scheme  $G$  over  $S$ . Equivariant motivic homotopy theory is developed in somewhat more generality by Hoyois in [Hoy17], though there's a price to be paid for allowing more general group schemes in that the motivic localization functor becomes more complicated (one must in general invert Thom spaces of all  $G$ -affine bundles).

**Definition 2.5.1.** A presheaf  $F$  on  $\mathbf{Sm}_S^G$  is called *homotopy invariant* if the projection  $\mathbb{A}_S^1 \rightarrow S$  induces an equivalence  $F(X) \simeq F(X \times \mathbb{A}^1)$ . Denote by  $\mathcal{P}_{\text{htp}}(\mathbf{Sm}_S^G) \subset \mathcal{P}(\mathbf{Sm}_S^G)$  the full subcategory spanned by the homotopy invariant presheaves. Denote by  $L_{\text{htp}}$  the corresponding localization endofunctor of  $\mathcal{P}(\mathbf{Sm}_S^G)$ .

Now we give the usual definition of excision, the condition that guarantees that a presheaf is a Nisnevich

sheaf.

**Definition 2.5.2.** A presheaf  $F$  on  $\mathbf{Sm}_S^G$  is called *Nisnevich excisive* if:

- $F(\emptyset)$  is contractible;
- for every equivariant Nisnevich square  $Q$  in  $\mathbf{Sm}_S^G$ ,  $F(Q)$  is cartesian.

Denote by  $\mathcal{P}_{\text{Nis}}(\mathbf{Sm}_S^G) \subset \mathcal{P}(\mathbf{Sm}_S^G)$  the full subcategory of Nisnevich excisive presheaves. Denote by  $L_{\text{Nis}}$  the corresponding localization endofunctor.

Finally we come to the definition of a motivic  $G$ -space, namely a presheaf that is both Nisnevich excisive and homotopy invariant.

**Definition 2.5.3.** Let  $S$  be a  $G$ -scheme. A *motivic  $G$ -space* over  $S$  is a presheaf on  $\mathbf{Sm}_S^G$  that is homotopy invariant and Nisnevich excisive. Denote by  $\underline{\mathbf{H}}^G(S) \subset \mathcal{P}(\mathbf{Sm}_S^G)$  the full subcategory of motivic  $G$ -spaces over  $S$ .

Let

$$L_{\text{mot}} = \text{colim}_{n \rightarrow \infty} (L_{\text{htp}} \circ L_{\text{Nis}})^n(F)$$

denote the motivic localization functor.

In order to form the stable equivariant motivic homotopy category, we also need to discuss pointed motivic  $G$ -spaces.

**Definition 2.5.4.** Let  $S$  be a  $G$ -scheme. A *pointed motivic  $G$ -space* over  $S$  is a motivic  $G$ -space  $X$  over  $S$  equipped with a global section  $S \rightarrow X$ . Denote by  $\underline{\mathbf{H}}_{\bullet}^G(S)$  the  $\infty$ -category of pointed motivic  $G$ -spaces.

The definition of stabilization can in general be complicated. With our assumptions however, we need only invert the Thom space of the regular representation  $\mathbb{P}^\rho$ .

**Definition 2.5.5.** Let  $S$  be a  $G$ -scheme. The symmetric monoidal  $\infty$ -category of *motivic  $G$ -spectra* over  $S$  is defined by

$$\underline{\mathbf{SH}}^G(S) = \underline{\mathbf{H}}_{\bullet}^G(S)[(\mathbb{P}_S^\rho)^{-1}] = \text{colim} \left( \underline{\mathbf{H}}_{\bullet}^G \xrightarrow{-\otimes \mathbb{P}^\rho} \underline{\mathbf{H}}_{\bullet}^G \xrightarrow{-\otimes \mathbb{P}^\rho} \dots \right)$$

where  $\mathbb{P}^\rho$  is the projectivization of the regular representation  $\mathbb{A}^\rho$  of  $G$ .



## Chapter 3

# Hermitian Forms on Schemes

This chapter reviews the definitions and properties of Hermitian forms over schemes with involution from [Xie18]. After defining the proper notion of the dual of a quasi-coherent module over a scheme with involution, the definition of a Hermitian vector bundle finally appears in Definition 3.1.11 as a locally free  $\mathcal{O}_X$ -module with a well-behaved map to the dual module. Once the definitions are in place, we discuss in section 3.2 the structure of Hermitian forms over semilocal rings as this is the fundamental tool for showing that Hermitian forms are locally trivial in the isovariant or equivariant étale topologies. We prove this particular statement in Corollary 3.2.10. We end this chapter by recalling Schlichting’s definition of a dg category with weak equivalence and duality and the Grothendieck-Witt groups of such an object.

### 3.1 Definitions

**Definition 3.1.1.** Let  $R$  be a ring with involution  $- : R \rightarrow R$ . A *Hermitian module over  $R$*  is a finitely generated projective right  $R$ -module,  $M$ , together with a map

$$b : M \otimes_R M \rightarrow R$$

such that, for all  $a \in R$ ,

1.  $b(xa, y) = \overline{a}b(x, y)$ ,
2.  $b(x, ya) = b(x, y)a$ ,
3.  $b(x, y) = \overline{b(y, x)}$ .

**Definition 3.1.2.** Let  $R$  be a ring with involution  $-$ . Given a right  $R$ -module  $M$ , define a left  $R$ -module, denoted  $\overline{M}$  as follows:  $\overline{M}$  has the same underlying abelian group as  $M$ , and the action is given by  $r \cdot m = m \cdot \overline{r}$ . If  $R$  is commutative, we can define an  $R$ -bimodule by  $m \cdot r = m\overline{r}$  and  $r \cdot m = m\overline{r}$ .

**Remark 3.1.3.** Let  $R$  be a commutative ring. Given an involution  $\sigma : R \rightarrow R$ , and an  $R - R$ -bimodule  $M$  as

above, we can identify  $\overline{M}$  with  $\sigma_* M$ . Indeed,  $\sigma_* M$  is an  $R - R$ -bimodule via the rule  $r \cdot \overline{m} = \sigma(r)\overline{m}$ , and since  $R$  is commutative, we can view this either as a left or right  $R$ -module.

**Remark 3.1.4.** Another way to define a Hermitian form over a ring  $R$  with involution  $\sigma$  is to give a finitely generated projective right  $R$ -module  $M$  together with an  $R - R$ -bimodule map

$$b : M \otimes_{\mathbb{Z}} M \rightarrow R$$

where we view  $R$  as a bimodule over itself just by  $r_1 \cdot r \cdot r_2 = r_1 r r_2$ ,  $M$  as a left  $R$ -module via the involution, and such that  $b(x, y) = \sigma(b(y, x))$ . If we remove the final condition, we obtain a sesquilinear form.

By the usual duality, we have a third definition:

**Definition 3.1.5.** A Hermitian module over a ring  $R$  with involution is a finitely generated projective  $R$ -module  $M$  together with an  $R$ -linear map  $b : M \rightarrow \overline{M}^\vee = M^*$  such that  $b = b^* \text{can}_M$ , where  $b^* : M^{**} \rightarrow M^*$  is given by  $(b(f))(m) = f(b(m))$ .

Now, we generalize the above definitions to schemes.

**Definition 3.1.6.** Let  $X$  be a scheme, and  $M$  a quasi-coherent (locally of finite presentation)  $\mathcal{O}_X$ -module. Define  $\mathcal{O}_X^\vee = \underline{\text{Hom}}(M, \mathcal{O}_X)$ .

**Definition 3.1.7.** Let  $X$  be a scheme with involution  $\sigma$ , and  $M$  a right  $\mathcal{O}_X$ -module. Note that there's an induced map  $\sigma^\# : \mathcal{O}_X \rightarrow \sigma_* \mathcal{O}_X$ . Define the right (note that we're working with sheaves of commutative rings, so we can do this)  $\mathcal{O}_X$ -module  $\overline{M}$  to be  $\sigma_* M$  with  $\mathcal{O}_X$  action induced by the map  $\sigma^\#$ . That is, if  $m \in \sigma_* M(U)$ , and  $c \in \mathcal{O}_X(U)$ , then  $m \cdot c = m \cdot \sigma^\#(c)$ . Note that this last product is defined, because  $m \in \sigma_* M(U) = M(\sigma^{-1}(U))$ ,  $c \in \sigma_* \mathcal{O}_X(U) = \mathcal{O}_X(\sigma^{-1}(U))$ , and  $M$  is a right  $\mathcal{O}_X$ -module.

**Remark 3.1.8.** We have two choices for the definition of the dual  $M^*$ . We can either define  $M^* = \text{Hom}_{\text{mod-}\mathcal{O}_X}(\sigma_* M, \mathcal{O}_X)$ , or we can define  $M^* = \sigma_* \text{Hom}_{\text{mod-}\mathcal{O}_X}(M, \mathcal{O}_X)$ . We claim that these two choices of dual are naturally isomorphic.

*Proof.* Let  $f : \sigma_* M|_U \rightarrow \mathcal{O}_X|_U$  be a map of right  $\mathcal{O}_X|_U$ -modules. Post-composing with the map  $\mathcal{O}_X|_U \rightarrow \sigma_* \mathcal{O}_X|_U$  yields a map  $\overline{f} : \sigma_* M|_U \rightarrow \sigma_* \mathcal{O}_X|_U$ , a.k.a. a map  $M|_{\sigma^{-1}U} \rightarrow \mathcal{O}_X|_{\sigma^{-1}U}$ . Note that  $\sigma_* \text{Hom}_{\text{mod-}\mathcal{O}_X}(M, \mathcal{O}_X)(U) = \text{Hom}_{\text{mod-}\mathcal{O}_X}(M, \mathcal{O}_X)(\sigma^{-1}U)$ , so that  $\overline{f} \in \sigma_* \text{Hom}_{\text{mod-}\mathcal{O}_X}(M, \mathcal{O}_X)(U)$ .

On the other hand, given  $g \in \sigma_* \text{Hom}_{\text{mod-}\mathcal{O}_X}(M, \mathcal{O}_X)(U)$ , so that  $g : \sigma_* M|_U \rightarrow \sigma_* \mathcal{O}_X|_U$ , we can postcompose with  $\sigma_*(\sigma^\#)$  to get a map  $\widetilde{g} : \sigma_* M|_* \rightarrow \sigma_* \sigma_* \mathcal{O}_X|_U = \mathcal{O}_X|_U$ . Since  $\sigma^2 = id$ , this is clearly the inverse to the map above.

It's clear that these assignments are natural, since they're just postcomposition with a natural transformation.  $\square$

**Definition 3.1.9.** Define the adjoint module  $M^*$  to be  $\text{Hom}_{\text{mod-}\mathcal{O}_X}(\sigma_*M, \mathcal{O}_X)$ . By the remark above, it doesn't really matter which of the two possible definitions we choose here.

**Definition 3.1.10.** Given a right  $\mathcal{O}_X$ -module  $M$ , we define the double dual isomorphism  $\text{can}_M : M \rightarrow M^{**}$  as follows: given an open  $U \subseteq X$ , we define a map

$$M(U) \rightarrow \text{Nat}(\sigma_*\text{Nat}(\sigma_*M, \mathcal{O}_X)|_U, \mathcal{O}_X|_U) = \text{Nat}(\text{Nat}(\sigma_*M|_{\sigma(U)}, \mathcal{O}_X|_{\sigma(U)}), \mathcal{O}_X|_U)$$

by  $u \mapsto \eta_u$ , where for an open  $V \subseteq U$ ,

$$(\eta_u)_V(\gamma) = (\sigma^\#)^{-1}_V(\gamma_{\sigma(V)}(u|_V)).$$

Here  $\gamma \in \text{Nat}(\sigma_*M|_{\sigma(U)}, \mathcal{O}_X|_{\sigma(U)})$  and  $\sigma^\#$  is the morphism of sheaves  $\sigma^\# : \mathcal{O}_X \rightarrow \sigma_*\mathcal{O}_X$ . Note that  $\gamma_{\sigma(V)}(u|_V)$  makes sense because  $\sigma_*M(\sigma(V)) = M(V)$ .

More globally, there's an evaluation map

$$ev_\sigma : M \otimes \sigma_*\text{Nat}(\sigma_*M, \mathcal{O}_X) \rightarrow \mathcal{O}_X$$

defined by the composition

$$M \otimes \sigma_*\text{Nat}(\sigma_*M, \mathcal{O}_X) \cong M \otimes \text{Nat}(M, \sigma_*\mathcal{O}_X) \xrightarrow{ev} \sigma_*\mathcal{O}_X \xrightarrow{(\sigma^\#)^{-1}} \mathcal{O}_X$$

which under adjunction yields the above map.

**Definition 3.1.11.** Let  $X$  be a scheme with involution  $- : X \rightarrow X$ . Let  $\text{can}_X$  be the double dual isomorphism of Definition 3.1.10. A *Hermitian vector bundle* over  $X$  is a locally free right  $\mathcal{O}_X$ -module  $V$  with an  $\mathcal{O}_X$ -module map  $\phi : V \rightarrow V^*$  such that  $\phi = \phi^* \text{can}_V$ .

**Remark 3.1.12.** Recall that there's an equivalence of categories between locally free coherent sheaves on  $X$  and geometric vector bundles given by  $M \mapsto \mathbf{SpecSym}(M^\vee)$  in one direction and the sheaf of sections in the other. For locally free sheaves, we have  $M^\vee \otimes N^\vee \cong (M \otimes N)^\vee$  so that the functor is monoidal. We will use this to think of a Hermitian form as a map of schemes  $V \otimes V \rightarrow \mathbb{A}^1$ .

Below we give the key example of a Hermitian vector bundle.

**Example 3.1.13.** Define (diagonal) hyperbolic  $n$ -space over a scheme  $(S, -)$  with involution to be  $\mathbb{A}_S^{2n}$  with the Hermitian form  $(x_1, \dots, x_{2n}, y_1, \dots, y_{2n}) \mapsto \sum_{i=1}^n \bar{x}_{2i-1} y_{2i-1} - \bar{x}_{2i} y_{2i}$ . Denote this Hermitian form by  $h_{\text{diag}}$ .

As defined this way, the matrix of this Hermitian form is

$$\begin{bmatrix} 1 & 0 & \cdots & & \\ 0 & -1 & 0 & \cdots & \\ \vdots & \vdots & \vdots & & \\ 0 & \cdots & \cdots & \cdots & -1 \end{bmatrix}$$

the diagonal matrix  $\text{diag}(1, -1, 1, \dots, -1)$ . For this definition to give a Hermitian space isometric to other standard definitions of the hyperbolic form, it's crucial that 2 be invertible.

The isometries of  $\mathbb{H}_{\mathbb{R}}$  (where we give it the hyperbolic form above) have the form

$$\begin{bmatrix} a & b \\ \pm b & \pm a \end{bmatrix}$$

with  $a = \pm\sqrt{1+b^2}, b \in \mathbb{R}$  (or  $a^2 - b^2 = 1$ ). The usual identification with  $\mathbb{R}^\times \rtimes C_2$  follows by considering the decomposition  $a^2 - b^2 = 1 \iff (a+b)(a-b) = 1$ .

**Example 3.1.14.** Similarly to above, we can define a hyperbolic form  $h$  by the matrix

$$\begin{bmatrix} 0 & I \\ I & 0 \end{bmatrix}.$$

This form is isometric to the above form, and we'll use both forms below.

### 3.1.1 Properties

**Lemma 3.1.15.** *Given a map of schemes with involution  $f : (Y, i_Y) \rightarrow (X, i_X)$  and a (non-degenerate) Hermitian vector bundle  $(V, \omega)$  on  $X$ ,  $f^*(V)$  is a (non-degenerate) Hermitian vector bundle on  $Y$ .*

*Proof.* The pullback of a locally free  $\mathcal{O}_X$ -module is a locally free  $\mathcal{O}_Y$ -module, so we just need to check that it's Hermitian. Given the map  $\omega : V \rightarrow V^*$ , we get an induced map  $f^*V \rightarrow f^*(V^*)$  which is an isomorphism if  $\omega$  is. Thus we just need to check that  $f^*(V^*) \cong (f^*V)^*$ . But pullback commutes with sheaf dual for locally free sheaves of finite rank, so we just need to check that changing the module structure via the involution commutes with pullback; that is, we need to check that  $f^*(\bar{V}) = \overline{f^*(V)}$ . However, this is clear since the structure map on  $f^*(\bar{V})$  is given by

$$\mathcal{O}_Y \times f^*V \cong f^*\mathcal{O}_X \times f^*V \xrightarrow{f^*(-) \times \text{id}} f^*(\mathcal{O}_X) \times f^*(V) \rightarrow f^*(V).$$

□

**Theorem 3.1.16.** (Knus [Knu91] 6.2.4) Let  $(M, b)$  be an  $\epsilon$ -Hermitian space over a division ring  $D$ . Then  $(M, b)$  has an orthogonal basis in the following cases:

1. the involution of  $D$  is not trivial
2. the involution of  $D$  is trivial, the form is symmetric, and  $\text{char } D \neq 2$ .

**Lemma 3.1.17.** (Knus) Let  $(M, b)$  be a Hermitian module, and  $(U, b|_U)$  be a non-degenerate f.g. projective Hermitian submodule. Then  $M = U \oplus U^\perp$ .

*Proof.* Since  $b|_U : U \rightarrow U^*$  is an isomorphism, given an  $m \in M$ , there exists  $u \in U$  such that  $b(m, -)|_U = b(u, -)|_U$ . But then  $b(m - u, -)|_U = 0$ , so that  $m - u \in U^\perp$ , and  $m = u + m - u$ . Thus  $M = U + U^\perp$ . Since  $b|_U$  is non-degenerate,  $U \cap U^\perp = 0$ , so we're done. □

## 3.2 Hermitian Forms on Semilocal Rings

The following result from [Bae78] will allow us to conclude that Hermitian forms diagonalize over semilocal rings. We include its proof in order to show that it generalizes to semilocal rings with involution – see Corollary 3.2.4.

**Theorem 3.2.1.** (Baeza) Let  $R$  be a ring, and let  $E$  be a Hermitian module over  $R$ . Let  $I \subset \text{Jac}(R)$  be an ideal. For every orthogonal decomposition  $\bar{E} = \bar{F} \perp \bar{G}$  of  $\bar{E} = E/IE$  over  $R/I$ , where  $\bar{F}$  is a free non-singular subspace of  $\bar{E}$ , there exists an orthogonal decomposition  $E = F \perp G$  of  $E$  with  $F$  free and non-singular, and  $F/IF = \bar{F}, G/IG = \bar{G}$ .

*Proof.* Write  $\bar{F} = \langle \bar{x}_1 \rangle \oplus \cdots \oplus \langle \bar{x}_n \rangle$  with  $\bar{x}_i \in \bar{F}$  and  $\det(\bar{b}(\bar{x}_i, \bar{x}_j)) \in (R/I)^*$ . Choose representatives  $x_i \in E$  of  $\bar{x}_i$ , and let  $F = Rx_1 + \cdots + Rx_n$ . We claim that the  $x_i$  are independent, so that  $F$  is free: indeed, if  $\lambda_1 x_1 + \cdots + \lambda_n x_n = 0$ , then we get  $n$  equations  $\lambda_1 b(x_1, x_i) + \cdots + \lambda_n b(x_n, x_i) = 0$ . But we know that  $\det(b(x_i, x_j)) = t \in R^*$ , since  $1 - st \in I$  for some  $s$  by assumption (because the determinant is a unit mod the ideal  $I$ ), but then  $st$  cannot be contained in any maximal ideal, so  $st \in R^* \implies t \in R^*$ . It follows that the  $\lambda_i$  are zero (otherwise we would have a non-zero vector in the kernel of an invertible matrix), so that the  $x_i$  are independent as desired. The determinant fact also shows that  $F$  is regular, so by the lemma above, it has an orthogonal summand  $G$ . By construction  $F/I = \bar{F}$ , so that  $\bar{G} = (\bar{F})^\perp = (F/I)^\perp = F^\perp/I = G/I$ . □

**Lemma 3.2.2.** Hermitian forms over  $R_1 \times R_2$  (with trivial involution) are in bijection with  $\text{Herm}(R_1) \times \text{Herm}(R_2)$ .

*Proof.* First, recall that modules over  $R_1 \times R_2$  correspond to a module over  $R_1$  and a module over  $R_2$ . Indeed, consider the standard idempotents  $(1, 0) = e_1, (0, 1) = e_2$ . Fix a module  $M$  over  $R_1 \times R_2$ . Then  $M = e_1 M \oplus e_2 M$ . Indeed, any  $m \in M$  can be written as  $e_1 m + e_2 m = (e_1 + e_2)m = m$ . Furthermore, if  $e_1 m_1 = e_2 m_2$ , then  $e_2 e_1 m_1 = e_2 e_2 m_2 \implies 0 = e_2 m_2$ .

Now, a Hermitian form  $M \otimes M \rightarrow R_1 \times R_2$  is determined by two maps  $M \otimes M \rightarrow R_1$  and  $M \otimes M \rightarrow R_2$ . Writing  $M = e_1 M \oplus e_2 M$ , we note that, by linearity, it must be the case that  $e_1 M \otimes e_2 M \rightarrow R_1 \times R_2$  is the zero map; to wit,  $b(e_1 m_1, e_2 m_2) = e_1 e_2 b(m_1, m_2) = 0$ . Thus this Hermitian form is determined completely by the maps  $e_1 M \otimes e_1 M \rightarrow R_1 \times R_2$  and  $e_2 M \otimes e_2 M \rightarrow R_1 \times R_2$ . Finally, note that, again by linearity, we see that  $e_1 M \otimes e_1 M \rightarrow R_2$  is the zero map:  $b(e_1 m_1, e_1 m_2) = b(e_1^2 m_1, e_1 m_2) = e_1 b(e_1 m_1, e_1 m_2)$ , and  $e_1 R_2 = 0$ . Similarly for the other map. Hence, at the end of the day, the Hermitian form is completely determined by the maps  $e_1 M \otimes e_1 M \rightarrow R_1$  and  $e_2 M \otimes e_2 M \rightarrow R_2$ .  $\square$

**Corollary 3.2.3.** Free Hermitian modules diagonalize over rings with finitely many maximal ideals (semi-local rings).

*Proof.* By the Chinese Remainder Theorem,  $R/(m_1 \cap \dots \cap m_n) \cong R/m_1 \times \dots \times R/m_n = F_1 \times \dots \times F_n$ . We claim that Hermitian forms over finite products of fields diagonalize, and then the result will follow from the above theorem. By induction and the lemma above, a Hermitian module  $M$  is determined by Hermitian modules  $M_i$  over  $F_i$ ,  $i = 1, \dots, n$  as  $M = M_1 \oplus M_2 \oplus \dots \oplus M_n$  with action  $(f_1, \dots, f_n) \cdot (m_1, \dots, m_n) = (f_1 m_1, \dots, f_n m_n)$ . Each  $M_i$  can be diagonalized into  $M_i = \langle a_{1,i} \rangle \perp \dots \perp \langle a_{m,i} \rangle$  (it's important to note here that since  $M$  is free, the rank of each  $M_i$  is the same). Thus a diagonalization of  $M$  is given by  $\langle (a_{1,1}, \dots, a_{1,n}) \rangle \perp \dots \perp \langle (a_{m,1}, \dots, a_{m,n}) \rangle$ .  $\square$

Now, let  $R$  be a ring with involution, and  $I \subseteq \text{Jac}(R)$  an ideal. Then  $C_2 \cdot I \subseteq \text{Jac}(R)$  is an ideal fixed by the involution.

The following corollary has the same proof as the theorem above, the only subtlety is that we need the quotient ring to inherit the involution to make sense of an induced Hermitian module.

**Corollary 3.2.4.** Let  $R$  be a ring with involution, and let  $E$  be a Hermitian module over  $R$ . Let  $I \subset \text{Jac}(R)$  be an ideal fixed by the involution. For every orthogonal decomposition  $\overline{E} = \overline{F} \perp \overline{G}$  of  $\overline{E} = E/IE$  over  $R/I$ , where  $\overline{F}$  is a free non-singular subspace of  $\overline{E}$ , there exists an orthogonal decomposition  $E = F \perp G$  of  $E$  with  $F$  free and non-singular, and  $F/IF = \overline{F}, G/IG = \overline{G}$ .

**Corollary 3.2.5.** Let  $R$  be a local ring with involution (necessarily a map of local rings). Then any Hermitian module (which is necessarily free) over  $R$  diagonalizes.

**Lemma 3.2.6.** Let  $R$  be a ring, and consider the ring  $R \times R$  with the involution that switches factors. Then any module  $M$  can be written as  $e_1 M \oplus e_2 M$  as above. A non-degenerate Hermitian form on this module is determined

by a map  $e_1 M \otimes e_2 M \rightarrow R \times R$ , i.e. as a matrix it has the form

$$\begin{bmatrix} 0 & A \\ \overline{A}^t & 0 \end{bmatrix}.$$

where  $A$  is invertible.

*Proof.* The first claim is just that  $b(e_1 x, e_1 y) = 0 = b(e_2 x, e_2 y)$  for any  $x, y \in M$ . This follows because  $b(e_1 x, e_1 y) = b(e_1^2 x, e_1^2 y) = \overline{e_1} e_1 b(e_1 x, e_1 y) = e_2 e_1 b(e_1 x, e_1 y) = 0$ . Similarly for  $b(e_2 x, e_2 y)$ . The statement about the matrix follows by identifying the map  $M \otimes \overline{M} \rightarrow R \times R$  with an isomorphism  $M \rightarrow \overline{M}^*$  and using the direct sum decomposition.  $\square$

**Corollary 3.2.7.** Let  $R$  be as in the lemma additionally with 2 invertible. Then  $M \cong H(e_1 M)$ , where  $H$  denotes the hyperbolic module functor.

*Proof.* The assumption that 2 is invertible implies that  $M$  is an even Hermitian space in the notation of Knus. Now by the corollary above  $b|_{e_1 M} = 0$ , so  $M$  has a direct summand such that  $e_1 M = e_1 M^\perp$ . Now corollary 3.7.3 in Knus applies to finish the proof.  $\square$

**Corollary 3.2.8.** Let  $R$  be a semi-local ring with involution. Then any Hermitian module over  $R$  diagonalizes.

*Proof.* Using the theorem above and reducing modulo the Jacobson radical (which is always stable under the involution), it suffices to prove the corollary for  $R$  a finite product of fields. Then  $R = F_1 \times \cdots \times F_n$  is semi-simple, and hence we can index the fields in a particularly nice way (proof is by considering idempotents), writing  $R = A_1 \times \cdots \times A_m \times B_1 \times \cdots \times B_{n-m}$  such that  $A_i$  is fixed by the involution, and  $\sigma(B_{2i}) = B_{2i+1}$ ,  $\sigma(B_{2i+1}) = B_{2i}$ . Now, any finitely generated module  $M$  can be written as a direct sum  $M = \bigoplus_{i=1}^m M_i \oplus \bigoplus_{i=1}^{\frac{n-m}{2}} N_{2i} \oplus N_{2i-1}$ . By the two lemmas above, the form when restricted to each  $M_i$  or  $N_{2i} \oplus N_{2i-1}$  is diagonalizable, so the form is diagonalizable (see the proof of the non-involution case).  $\square$

**Lemma 3.2.9.** Non-degenerate Hermitian vector bundles are determined by rank over strictly henselian local rings  $(R, m)$  with  $\frac{1}{2} \in R$  such that the residue field  $R/m$  has trivial involution.

*Proof.* By Corollary 3.2.8, any Hermitian vector bundle over  $R$  diagonalizes. Thus it suffices to prove that any two non-degenerate Hermitian vector bundles of rank 1 are isometric.

A non-degenerate rank 1 Hermitian vector bundle corresponds to a unit  $x \in R^\times$  such that  $x = \overline{x}$  (a one dimensional Hermitian matrix). Because  $R$  is strictly henselian, there is a square root  $c$  of  $x^{-1}$ . We claim that  $c = \overline{c}$ . Assume not. Then because the involution on  $R/m$  is trivial,  $c - \overline{c} \in m$ . Since 2 is invertible, we

have  $c = \frac{c+\bar{c}}{2} + \frac{c-\bar{c}}{2}$ . It follows that  $\frac{c+\bar{c}}{2}$  is a unit. Otherwise it would be contained in  $m$  which would imply that the unit  $c$  was contained in  $m$ .

However, we calculate  $(c + \bar{c})(c - \bar{c}) = c^2 - \bar{c}^2$ . But  $(\bar{c})^2 = \overline{(c^2)} = \bar{x}^{-1} = x^{-1}$ , so that  $(c + \bar{c})(c - \bar{c}) = 0$ . Because  $c + \bar{c}$  is a unit, it follows that  $c - \bar{c} = 0$ .

This shows that given any one dimensional Hermitian matrix  $x$ , there's a unit  $c$  such that  $cx\bar{c} = 1$  so that all one dimensional Hermitian forms are isometric to the form  $\langle 1 \rangle$ .  $\square$

**Corollary 3.2.10.** Hermitian vector bundles are locally determined by rank in the isovariant étale topology.

*Proof.* The points in the isovariant étale topology are either strictly henselian local rings whose residue field has trivial involution or a ring of the form  $\mathcal{O}_{X,x}^{sh} \times \mathcal{O}_{X,x}^{sh}$  with involution  $(x, y) \mapsto (i(y), i(x))$ . Via the map  $(x, y) \mapsto (x, i(y))$ , such rings are isomorphic to hyperbolic rings.

If the ring is a strictly henselian local ring whose residue field has trivial involution, Lemma 3.2.9 shows that non-degenerate Hermitian forms are determined by rank. If the ring is hyperbolic, then by Corollary 3.2.7 all non-degenerate Hermitian forms over the ring are hyperbolic forms of projective modules over a local ring. Since projective modules over a local ring are determined by rank, the corresponding hyperbolic forms are determined by rank.  $\square$

**Corollary 3.2.11.** Let  $R \times R$  be a ring with the involution which switches factors. Fix a Hermitian module  $M$  over  $R$ , and let  $N = e_1 M$  (see above for notation). Then  $O(M) \cong GL(N)$ .

*Proof.* In corollary 3.2.7 above, we identified non-degenerate Hermitian forms over such rings as hyperbolic. Thus it suffices to prove the statement for forms of the form

$$\begin{pmatrix} 0 & 1 \\ can & 0 \end{pmatrix}$$

$\square$

**Lemma 3.2.12.** Let  $(R, i)$  be a ring with involution with 2 invertible, and let  $(M, b)$  be a non-degenerate Hermitian module over  $R$ . There exists an equivariant étale cover  $\{U_i \rightarrow \text{Spec } R\}$  of  $\text{Spec } R$  such that  $(M, b)|_{U_i}$  is trivial.

*Proof.* For a fixed prime  $p$ , consider the semilocal ring  $R_{(p)} \times R_{i(p)}$ . By the universal property of localization, there's an induced involution  $i$  on  $R_{(p)} \times R_{i(p)}$  given by  $(f_1, f_2) \mapsto (i(f_2), i(f_1))$ . The restriction of  $M$  to this ring has a diagonalization  $v(p)^* b v(p) = D$ . Choose a greatest common denominator  $(f_1, f_2)$  for the entries of  $v(p)^*$  and  $v(p)$ . By finding a common denominator and inverting the determinant, there's an element



$(g_1, g_2) \in R - p_1 \times R - p_2$  such that  $v(p)^*bv(p) = D$  is an equality in  $R[g_1^{-1}, i(g_2)^{-1}] \times R[i(g_1)^{-1}, g_2^{-1}]$ . By construction, the set of  $g$  such that we have such a diagonalization is not contained in any maximal ideal. Thus there exist  $(g_1, g_2), \dots, (g_{n-1}, g_n)$  such that  $b$  diagonalizes over  $R[g_i^{-1}, i(g_{i+1})^{-1}] \times R[i(g_i)^{-1}, g_{i+1}^{-1}]$  and such that  $\prod R[g_i^{-1}, i(g_{i+1})^{-1}] \times R[i(g_i)^{-1}, g_{i+1}^{-1}] \rightarrow R$  is an equivariant Zariski cover. Now by adjoining square roots of the units corresponding to the diagonalization in each  $R[g_i^{-1}, i(g_{i+1})^{-1}] \times R[i(g_i)^{-1}, g_{i+1}^{-1}]$  and their images under the involution if necessary, we obtain an étale cover  $E_1 \times \dots \times E_n$  of  $\text{Spec } R$  such that  $(M, b)$  is trivial when pulled back to each  $E_i$ .  $\square$

**Lemma 3.2.13.** *Let  $(V, \phi)$  be a non-degenerate Hermitian vector bundle over a scheme with trivial involution  $X$ , and let  $(M, \phi|_M)$  be a (possibly degenerate) sub-bundle. Given a map of schemes  $g : Y \rightarrow X$ , there is a canonical isomorphism  $g^*(M^\perp) \cong (g^*M)^\perp$ .*

*Proof.* Recall that, by definition,  $M^\perp = \ker(V \xrightarrow{\phi} V^* \rightarrow M^*)$ . Equivalently,  $M^\perp$  is defined by the exact sequence

$$0 \rightarrow M^\perp \rightarrow V \rightarrow M^* \rightarrow 0.$$

It follows that the composite map  $g^*(M^\perp) \rightarrow g^*V \rightarrow g^*(M^*)$  is zero, and hence by universal property of kernel there's a canonical map

$$g^*(M^\perp) \rightarrow \ker(g^*V \rightarrow g^*(M^*)) \cong (g^*(M))^* = (g^*(M))^\perp$$

where we've used the canonical isomorphism  $g^*(M^*) \cong (g^*(M))^*$  for locally free sheaves.

We claim that this map is an isomorphism. It suffices to check on stalks, where the map can be identified with a map

$$M_{g(y)}^\perp \otimes_{\mathcal{O}_{Y,y}} \rightarrow \ker(V_{g(y)} \otimes_{\mathcal{O}_{Y,y}} \rightarrow M_{g(y)}^* \otimes_{\mathcal{O}_{Y,y}}).$$

But  $V_{g(y)} \cong M_{g(y)}^\perp \oplus M_{g(y)}^*$ , so the sequence

$$0 \rightarrow M_{g(y)}^\perp \otimes_{\mathcal{O}_{Y,y}} \rightarrow V_{g(y)} \otimes_{\mathcal{O}_{Y,y}} \rightarrow M_{g(y)}^* \otimes_{\mathcal{O}_{Y,y}} \rightarrow 0$$

is split exact, and the canonical map is an isomorphism.  $\square$

### 3.3 Higher Grothendieck-Witt Groups

In [Xie18], the author works with coherent Grothendieck-Witt groups on a scheme. Because the negative  $K$ -theory of the category of bounded complexes of quasi-coherent  $\mathcal{O}_X$ -modules with coherent coho-

mology vanishes (together with the pullback square relating the homotopy fixed points of  $K$ -theory to Grothendieck-Witt theory), there is no difference between the additive and localizing versions of Grothendieck-Witt spectra in this setting.

Therefore, we work instead with Grothendieck-Witt spectra of  $\mathrm{sPerf}(X) = \mathrm{Ch}^b \mathrm{Vect}(X)$ , the dg category of strictly perfect complexes on  $X$ . We review the relevant definitions from [Sch17] now.

**Definition 3.3.1.** A *pointed dg category with duality* is a triple  $(\mathcal{A}, \vee, \mathrm{can})$  where  $\mathcal{A}$  is a pointed dg category,  $\vee : \mathcal{A}^{op} \rightarrow \mathcal{A}$  is a dg functor called the duality functor, and  $\mathrm{can} : 1 \rightarrow \vee \circ \vee^{op}$  is a natural transformation of dg functors called the double dual identification such that  $\mathrm{can}_A^\vee \circ \mathrm{can}_{A^\vee} = 1_{A^\vee}$  for all objects  $A$  in  $\mathcal{A}$ .

**Remark 3.3.2.** A dg category with duality has an underlying exact category with duality  $(Z^0 \mathcal{A}^{\mathrm{ptr}}, \vee, \mathrm{can})$ , where  $Z^0 \mathcal{A}^{\mathrm{ptr}}$  has the same objects as  $\mathcal{A}^{\mathrm{ptr}}$  but the morphism sets are the zero cycles in the morphism complexes of  $\mathcal{A}^{\mathrm{ptr}}$ . Here  $\mathcal{A}^{\mathrm{ptr}}$  is the pretriangulated hull of  $\mathcal{A}$  (see [Sch17] definition 1.7).

**Definition 3.3.3.** A *dg category with weak equivalences* is a pair  $(\mathcal{A}, w)$  where  $\mathcal{A}$  is a pointed dg category and  $w \subseteq Z^0 \mathcal{A}^{\mathrm{ptr}}$  is a set of morphisms which saturated in  $\mathcal{A}$ . A map  $f$  in  $w$  is called a weak equivalence.

**Definition 3.3.4.** Given a pointed dg category with duality  $(\mathcal{A}, \vee, \mathrm{can})$ , a Hermitian object in  $\mathcal{A}$  is a pair  $(X, \phi)$  where  $\phi : X \rightarrow X^\vee$  is a morphism in  $\mathcal{A}$  satisfying  $\phi^\vee \mathrm{can}_X = \phi$ .

**Definition 3.3.5.** A *dg category with weak equivalences and duality* is a quadruple  $\mathcal{A} = (\mathcal{A}, w, \vee, \mathrm{can})$  where  $(\mathcal{A}, w)$  is a dg category with weak equivalences and  $(\mathcal{A}, \vee, \mathrm{can})$  is a dg category with duality such that the dg subcategory  $\mathcal{A}^w \subset \mathcal{A}$  of  $w$ -acyclic objects is closed under the duality functor  $\vee$  and  $\mathrm{can}_A : A \rightarrow A^{\vee\vee}$  is a weak equivalence for all objects  $A$  of  $\mathcal{A}$ .

**Definition 3.3.6.** Let  $\mathcal{A} = (\mathcal{A}, w, \vee, \mathrm{can})$  be a dg category with weak equivalences and duality. The Grothendieck-Witt group  $GW_0(\mathcal{A})$  of  $\mathcal{A}$  is the abelian group generated by Hermitian spaces  $[X, \phi]$  in the underlying category with weak equivalences and duality  $(Z^0 \mathcal{A}^{\mathrm{ptr}}, w, \vee, \mathrm{can})$ , subject to the following relations:

1.  $[X, \phi] + [Y, \psi] = [X \oplus Y, \phi \oplus \psi]$
2. if  $g : X \rightarrow Y$  is a weak equivalence, then  $[Y, \psi] = [X, g^\vee \psi g]$ , and
3. if  $(E_\bullet, \phi_\bullet)$  is a symmetric space in the category of exact sequences in  $Z^0 \mathcal{A}^{\mathrm{ptr}}$ , that is, a map

$$\begin{array}{ccccc}
 E_\bullet : & E_{-1} & \xrightarrow{i} & E_0 & \xrightarrow{p} E_1 \\
 \sim \downarrow \phi_\bullet & \sim \downarrow \phi_{-1} & & \sim \downarrow \phi_0 & \sim \downarrow \phi_1 \\
 E_\bullet^\vee : & E_1^\vee & \xrightarrow{p^\vee} & E_0^\vee & \xrightarrow{i^\vee} E_{-1}^\vee
 \end{array}$$

of exact sequences with  $(\phi_{-1}, \phi_0, \phi_1) = (\phi_1^\vee \text{ can}, \phi_0^\vee \text{ can}, \phi_{-1}^\vee \text{ can})$  a weak equivalence, then

$$[E_0, \phi_0] = \left[ E_{-1} \oplus E_1, \begin{pmatrix} 0 & \phi_1 \\ \phi_{-1} & 0 \end{pmatrix} \right].$$

**Definition 3.3.7.** Given a dg-category with weak equivalences and duality  $\mathcal{A} = (\mathcal{A}, w, \vee, \text{can})$ , Schlichting defines [Sch17, Section 4.1] a functorial monoidal symmetric spectrum  $GW(\mathcal{A})$  using a modified version of the Waldhausen  $\mathcal{S}_\bullet$  construction. For the sake of brevity, we don't reproduce his construction here.

Noting in general that  $GW$  doesn't sit in a localization sequence, Schlichting defines a localizing variant,  $\mathbb{G}W$  in [Sch17, Section 8.1] as a bispectrum. The reason Schlichting defines  $\mathbb{G}W$  as an object in bispectra rather than spectra is to get a monoidal structure on  $\mathbb{G}W$ . We provide an alternative approach to producing  $\mathbb{G}W$  via periodization in chapter 5.

## Chapter 4

# Representability of Homotopy Hermitian $K$ -Theory

Representability of  $K$ -theory in the stable motivic homotopy category allows one to check that  $K$ -theory pulls back nicely. In particular, given  $f : X \rightarrow S$  a map of schemes over  $S$ , one can use ind-representability of  $KGL$  to show that  $f^*(KGL_S) = KGL_X$ . Together with the formalism of six operations in motivic homotopy theory, one obtains rather formally cdh descent for algebraic  $K$ -theory, see [Cis13].

The goal of this chapter is to define a sheaf on  $\mathbf{Sm}_S^{C_2}$ , denoted  $\mathbb{R}Gr$ , which represents Hermitian  $K$ -theory in the motivic homotopy category  $\mathbf{H}_S^{C_2}$ . We first check that over a regular base  $S$  with 2 invertible (e.g.  $\mathbb{Z}[\frac{1}{2}]$ ), Hermitian  $K$ -theory is representable in the category of smooth  $C_2$ -schemes,  $\mathbf{Sm}_S^{C_2}$ . To extend this result to non-regular bases  $S$ , we utilize the Morel-Voevodsky approach to classifying spaces and obtain representability of homotopy Hermitian  $K$ -theory in the motivic homotopy category  $\underline{\mathbf{H}}^{C_2}(S)$ .

By analogy with the  $K$  theory case, the equivariant scheme representing Hermitian  $K$ -theory on  $\mathbf{Sm}_S^{C_2}$  will be a colimit of schemes which parametrize non-degenerate Hermitian sub-bundles of a given Hermitian vector bundle  $V$ . The new results here are mostly the definitions, as the proofs in this section are either minor modifications or identical to the proofs in [SST14]. The main difference which might cause concern is that stalks in the isovariant étale topology are now semi-local (rather than local) rings.

As in [SST14], we first compare  $\mathbb{R}Gr_{2d}(\mathbb{H}^\infty)$  to the isovariant étale classifying space  $B_{isoEt}O(\mathbb{H}^d)$  of the group of automorphisms of hyperbolic  $d$ -space. The key to the comparison is that locally in the isovariant étale topology, Hermitian vectors bundles are determined by rank. This will utilize some of the analysis of Hermitian forms over semi-local rings from section 3.2. Note that this is a key difference from the  $K$ -theory case where one must pass only to local (rather than strictly henselian local) rings in order for  $K$ -theory to be determined by rank.

We then compare  $B_{isoEt}O(\Delta R)$  (the colimit of the  $B_{isoEt}O(\mathbb{H}^d)(\Delta R)$ ) to the Grothendieck-Witt space defined in section 3.3 by viewing them both as group completions and comparing their homology. This approach is inspired by the Karoubi-Villamayor definition of higher algebraic  $K$ -theory.

## 4.1 The definition of the Hermitian Grassmannian $\mathbb{R}Gr$

The definition here describes the sections of the underlying scheme of  $\mathbb{R}Gr$  over a scheme  $X \rightarrow S$ . We advise the hurried reader to skip to section 4.2.

**Lemma 4.1.1.** *Let  $\mathcal{F}$  be a presheaf on  $\mathbf{Sm}_S$  and let  $a : \mathcal{F} \Rightarrow \mathcal{F}$  be a natural transformation such that  $a \circ a = id_{\mathcal{F}}$ . Then there's an associated presheaf on  $\mathbf{Sm}_S^{C_2}$  defined by the formula  $(X, \sigma : X \rightarrow X) \mapsto \mathcal{F}(X)^{C_2}$  where the action of  $C_2$  on  $\mathcal{F}(X)$  is defined by  $f \mapsto a_X \mathcal{F}(\sigma)(f)$ .*

*Proof.* Note that this is indeed a  $C_2$ -action, since  $a_X \mathcal{F}(\sigma)(a_X \mathcal{F}(\sigma)(f)) = \mathcal{F}(\sigma) a_X(a_X \mathcal{F}(\sigma)(f)) = \mathcal{F}(\sigma)(\mathcal{F}(\sigma)(f)) = f$  using naturality.  $\square$

Fix a (possibly degenerate) Hermitian vector bundle  $(V, \phi)$  over a base scheme  $S$  with 2 invertible and with trivial involution. The canonical example of such a base scheme is  $S = \text{Spec } \mathbb{Z}[\frac{1}{2}]$ .

We'll define a presheaf  $\mathbb{R}Gr : (\mathbf{Sm}_S^{C_2})^{op} \rightarrow \mathbf{Set}$  by first defining a presheaf on  $\mathbf{Sm}_S$ , showing that it's representable, equipping with an action, then taking the corresponding representable functor on  $\mathbf{Sm}_S^{C_2}$ . We can then extend to an arbitrary equivariant base  $T$  with 2 invertible by pulling back along the unique map  $T \rightarrow \mathbb{Z}[\frac{1}{2}]$ .

- On objects,  $\mathbb{R}Gr(V)(f : X \rightarrow S)$  for an  $S$ -scheme  $f : X \rightarrow S$  is a split surjection  $(p, s)$

$$f^*V \xrightarrow[p]{} W, \quad \begin{array}{c} \swarrow \scriptstyle s \\ \searrow \end{array}$$

where  $W$  is locally free.

Here by an isomorphism of split surjections we mean a diagram

$$\begin{array}{ccc} f^*V & \xrightarrow[p]{} & W \\ \parallel & \swarrow \scriptstyle s' \quad \searrow \scriptstyle \phi & \\ f^*V & \xrightarrow[p']{} & W' \end{array}$$

such that  $\phi$  is an isomorphism satisfying  $\phi \circ p = p' \circ \phi$  and  $s = s' \circ \phi$ .

- Given a morphism

$$\begin{array}{ccc} Y & \xrightarrow{g} & X \\ & \searrow h \quad \swarrow f & \\ & S & \end{array}$$

over  $S$ , define

$$\mathbb{R}\mathrm{Gr}_V(g)(f^*V \xrightarrow[p]{\overleftarrow{s}} W) = h^*V \xrightarrow{can} g^*f^*V \xrightarrow[g^*p]{\overleftarrow{g^*s}} g^*W.$$

There's a natural action of  $C_2$  on  $\mathbb{R}\mathrm{Gr}_V$  whose non-trivial natural transformation will be denoted  $\eta$ . Define  $\eta$  as follows:

Fix an object  $X \in \mathbf{Sm}_S$ . Define

$$\eta_X(f^*V \xrightarrow[p]{\overleftarrow{s}} W) = f^*V \xrightarrow[q]{\overleftarrow{t}} (\ker p)^\perp.$$

We claim that this is well-defined.

Recall that

$$W^\perp = \ker(f^*V \xrightarrow{f^*\phi} f^*(V^*) \xrightarrow{can} (f^*V)^* \xrightarrow{s^*} W^*).$$

Leaving out the *can* map for convenience, we get a split exact sequence

$$0 \longrightarrow W^\perp \longrightarrow f^*V \xrightarrow[s^*]{p^*} W^* \longrightarrow 0.$$

By the splitting lemma for abelian categories,  $f^*V \cong W^\perp \oplus W^*$ , and hence there's a split surjection  $f^*V \twoheadrightarrow W^\perp$  with  $W^\perp$  locally free.

Given an isomorphism

$$\begin{array}{ccc} f^*V & \xrightarrow[p]{\overleftarrow{s}} & W \\ \parallel & \swarrow \overleftarrow{s'} & \downarrow \psi \\ f^*V & \xrightarrow[p']{\overleftarrow{s'}} & W' \end{array}$$

we get an isomorphism of (split) diagrams

$$\begin{array}{ccccc} f^*V & \xrightarrow{f^*\phi} & (f^*V)^* & \xrightarrow{s^*} & W^* \\ \parallel & & \parallel & & \downarrow (\psi^{-1})^* \\ f^*V & \xrightarrow{f^*\phi} & (f^*V)^* & \xrightarrow{(s')^*} & (W')^* \end{array}$$

and hence an isomorphism of split surjections

$$\begin{array}{ccc} f^*V & \xrightarrow[q]{} & W^\perp \\ \parallel & \swarrow \scriptstyle t' & \downarrow \scriptstyle \delta \\ f^*V & \xrightarrow[q']{} & (W')^\perp \end{array} \quad ,$$

so that  $\eta_X$  is a well-defined map of sets. Given a map of schemes  $g : Y \rightarrow X$ , such that  $f \circ g = h$  and an element

$$f^*V \xrightarrow[p]{} W$$

in  $\mathbb{R}\mathrm{Gr}_V(X)$ ,

$$\begin{aligned} \mathbb{R}\mathrm{Gr}(g) \circ \eta_X( f^*V \xrightarrow[p]{} W ) &= \mathbb{R}\mathrm{Gr}(g)( f^*V \xrightarrow[q]{} (\ker p)^\perp ) \\ &= h^*V \xrightarrow{can} g^*f^*V \xrightarrow[g^*q]{} g^*((\ker(p))^\perp) \end{aligned}$$

while

$$\eta_Y \circ \mathbb{R}\mathrm{Gr}(g)( f^*V \xrightarrow[p]{} W ) = h^*V \xrightarrow{can} g^*f^*V \xrightarrow[q']{} (g^*(\ker(p)))^\perp$$

By Lemma 3.2.13, there's a canonical isomorphism  $g^*((\ker(p))^\perp) \rightarrow (g^*(\ker(p)))^\perp$ , and under this isomorphism  $q'$  and  $t'$  correspond to  $g^*q$ , and  $g^*t$ , respectively. This concludes the check of naturality.

Now by Lemma 4.1.1, there's a presheaf  $\mathbb{R}\mathrm{Gr} : \mathbf{Sm}_S^{C_2} \rightarrow \mathbf{Set}$ . To determine its values on a  $C_2$ -scheme  $(X, \sigma)$ , we note that a fixed point of the action of Lemma 4.1.1 is determined by an isomorphism of split surjections

$$\begin{array}{ccc} f^*V & \xrightarrow[q]{} & \sigma^*(\ker(p)^\perp) \\ \parallel & \swarrow \scriptstyle s & \downarrow \scriptstyle \psi \\ f^*V & \xrightarrow[p]{} & \ker(p) \end{array}$$

Note that because  $\sigma$  is an involution, for any  $\mathcal{O}_X$ -module  $M$ , there's a canonical isomorphism of  $\mathcal{O}_X$ -

modules  $\sigma_* M \cong \sigma^* M$ . Thus there's a natural isomorphism

$$\mathrm{Hom}_{\mathrm{mod}-\mathcal{O}_X}(\sigma_* f^* V, -) \cong \mathrm{Hom}_{\mathrm{mod}-\mathcal{O}_X}(\sigma^* f^* V, -) \cong \mathrm{Hom}_{\mathrm{mod}-\mathcal{O}_X}(f^* V, -).$$

It follows that any Hermitian form

$$\phi : f^* V \rightarrow \mathrm{Hom}_{\mathrm{mod}-\mathcal{O}_X}(f^* V, \mathcal{O}_X)$$

can be promoted to a Hermitian form

$$\widetilde{\phi} : f^* V \rightarrow \mathrm{Hom}_{\mathrm{mod}-\mathcal{O}_X}(\sigma_* f^* V, \mathcal{O}_X)$$

compatible with an involution  $\sigma$  on  $X$ .

Let  $(M, \phi|_M)$  be a Hermitian sub-bundle of  $f^* V$  over the scheme  $X$  with trivial involution. We claim that  $\sigma^*(M^\perp)$  is the orthogonal complement of  $M$  viewed as a Hermitian sub-bundle of  $f^* V$  with the promoted form  $\widetilde{\phi}$ . Said differently, we claim that

$$\sigma^*(\ker(f^* V \xrightarrow{\phi|_M} \mathrm{Hom}(M, \mathcal{O}_X))) \cong \ker(f^* V \xrightarrow{\widetilde{\phi}|_M} \mathrm{Hom}(\sigma_* M, \mathcal{O}_X)).$$

But using the natural isomorphism between  $\sigma^*$  and  $\sigma_*$ , together with the natural isomorphisms  $\sigma^* \mathrm{Hom}(M, \mathcal{O}_X) \cong \mathrm{Hom}(M, \mathcal{O}_X)$  and  $\sigma^* f^* V \cong f^* V$ , this becomes a question of whether  $\sigma^*$  is left exact. In general it isn't, but because  $\sigma$  is an involution,  $\sigma^*$  is naturally isomorphic to  $\sigma_*$  which is left exact. The claim follows.

## 4.2 Representability of $\mathbb{R}\mathrm{Gr}$

Fix a Hermitian vector bundle  $(V, \phi)$  over  $S$  where  $\dim(V) = n$  and  $S$  is a scheme with trivial involution. Then the underlying scheme of  $\mathbb{R}\mathrm{Gr}(V)$  is the pullback

$$\begin{array}{ccc} \mathbb{R}\mathrm{Gr}(V) & \longrightarrow & \underline{\mathrm{Hom}}_{\mathcal{O}_S}(V, V) \times \underline{\mathrm{Hom}}_{\mathcal{O}_S}(V, V) \\ \downarrow & & \downarrow \circ, id \\ \underline{\mathrm{Hom}}_{\mathcal{O}_S}(V, V) & \xrightarrow{\Delta} & \underline{\mathrm{Hom}}_{\mathcal{O}_S}(V, V) \times \underline{\mathrm{Hom}}_{\mathcal{O}_S}(V, V) \end{array}$$

where the right vertical map sends  $p \mapsto (p \circ p, p)$ . In other words, the underlying scheme is the scheme of idempotent endomorphisms of  $V$ . The action corresponds to the map  $p \mapsto p^\dagger$ , where  $p^\dagger$  is the adjoint of  $p$  with respect to the form  $\phi$ .



Note that using this description, an equivariant map  $(X, \sigma) \rightarrow \mathbb{R}\mathrm{Gr}(V)$  corresponds to an idempotent  $p : V_X \rightarrow V_X$  such that  $\phi^{-1}(\gamma^{-1}(\sigma^* p) \gamma)^* \phi = p$ , where we're being cavalier and using  $*$  to denote both dual (on the outside) and pullback (by  $\sigma$ ). Here  $\gamma$  is the canonical isomorphism  $V_X \xrightarrow{\gamma} \sigma^* V_X$ ; if the structure map of  $X$  is  $f : X \rightarrow S$ , then  $\gamma$  arises from the equality  $\sigma \circ f = f$ .

Note that the form on  $V_{(X, \sigma)}$  is by definition the composite

$$\tilde{\phi} : V_X \xrightarrow{\phi} V_X^* \xrightarrow{(\gamma^*)^{-1}} \sigma^* V_X^* \xrightarrow{(\eta^*)^{-1}} \sigma_* V_X^*,$$

and the adjoint of  $p$  is given by  $\tilde{\phi}^{-1}(\sigma_* p)^* \tilde{\phi}$ . Expanding, this is

$$\phi^{-1}(\gamma^*)(\eta^*)(\eta^*)^{-1}(\sigma^* p)^*(\eta^*)(\eta^*)^{-1}(\gamma^*)^{-1} \phi = \phi^{-1}(\gamma^{-1}(\sigma^* p) \gamma)^* \phi,$$

and so we recover the condition that  $p^\dagger = p$ , which corresponds to the fact that  $V_X = \ker p \perp \mathrm{im} p$ , and hence the restriction of the form on  $V_X$  to  $\mathrm{im} p$  (and  $\ker p$ ) is non-degenerate.

To summarize, the underlying scheme of  $\mathbb{R}\mathrm{Gr}(V)$  represents idempotents, and equivariant maps pick out those idempotents which correspond to orthogonal projections.

**Definition 4.2.1.** Now fix a dimension  $d$  and a non-degenerate Hermitian vector bundle  $(V, \phi)$  over  $S$ . Define  $\mathbb{R}\mathrm{Gr}_d(V)$  to be the closed subscheme of  $\mathbb{R}\mathrm{Gr}(V)$  cut out by  $\mathrm{rk}(p) = d$ , where  $\mathrm{rk}$  is the rank map. In other words, the underlying topological space of  $\mathbb{R}\mathrm{Gr}_d(V)$  is the pullback

$$\begin{array}{ccc} \mathbb{R}\mathrm{Gr}_d(V) & \longrightarrow & \mathbb{R}\mathrm{Gr}(V) \\ \downarrow & & \downarrow \mathrm{rk} \\ \{d\} & \longrightarrow & \mathbb{Z} \end{array}$$

and if  $S$  is regular,  $\mathbb{R}\mathrm{Gr}_d(V)$  is the closed subscheme equipped with the reduced induced scheme structure. If  $S$  is non-regular, then let  $f : S \rightarrow \mathbb{Z}[\frac{1}{2}]$  and note that  $\mathbb{R}\mathrm{Gr}_d(V)$  over  $S$  is just  $f^*(\mathbb{R}\mathrm{Gr}_d[\frac{1}{2}])$  since pullback preserves rank and non-degeneracy of bundles. The requirement that  $V$  be non-degenerate is necessary so that the action on  $\mathbb{R}\mathrm{Gr}(V)$  sends rank  $d$  subspaces to rank  $d$  subspaces and hence induces an action on  $\mathbb{R}\mathrm{Gr}_d(V)$ .

**Remark 4.2.2.** Denote by  $g : \mathbb{R}\mathrm{Gr}_d(V) \rightarrow S$  the structure map of  $\mathbb{R}\mathrm{Gr}_d(V)$ . Because  $\mathbb{R}\mathrm{Gr}_d(V)$  is representable by a  $C_2$ -scheme, there's an idempotent  $g^*(V) \rightarrow g^*(V)$  corresponding to the identity map  $id : \mathbb{R}\mathrm{Gr}_d(V) \rightarrow \mathbb{R}\mathrm{Gr}_d(V)$ . This idempotent is simply the idempotent which, over a point of  $\mathbb{R}\mathrm{Gr}_d(V)$  represented by an idempotent  $p : V \rightarrow V$ , restricts to  $p$ . There's an action  $\sigma$  on  $\mathbb{R}\mathrm{Gr}_d(V) \times_S V$  induced by the action on  $\mathbb{R}\mathrm{Gr}_d(V)$ , and using the fact that  $\sigma p \sigma = p^\dagger$  one can see that this idempotent is non-degenerate

with respect to the promoted Hermitian form on  $g^*(V)$  compatible with the involution on  $\mathbb{R}\mathrm{Gr}_d(V)$ .

**Remark 4.2.3.** Since we've shown that  $\mathbb{R}\mathrm{Gr}(V)$  represents non-degenerate Hermitian subbundles of  $V$ , at this point we'll move away from explicitly referring to split surjections and just represent the sections of  $\mathbb{R}\mathrm{Gr}(V)$  by non-degenerate subbundles.

**Definition 4.2.4.** Let  $\mathbb{H}_S$  denote the hyperbolic space 3.1.13 over the base scheme  $S$ . For  $V \in \mathbb{H}^\infty$  a constant rank non-degenerate subbundle, let  $|V|$  denote the rank of  $V$ . Order such subbundles of  $\mathbb{H}^\infty$  by inclusion, and denote the resulting poset by  $P$ . Given an inclusion  $V \hookrightarrow V'$  of non-degenerate subbundles, denote by  $V' - V$  the complement of  $V$  in  $V'$ . Let  $\mathcal{H} : P \rightarrow \mathrm{Fun}(\mathbf{Sm}_S^{C_2, op}, \mathrm{Set})$  be the functor which on objects sends a subbundle  $V$  to  $\mathbb{R}\mathrm{Gr}_{|V|}(V \perp \mathbb{H}^\infty)$ . Given an inclusion  $V \hookrightarrow V'$ , the induced map  $\mathbb{R}\mathrm{Gr}_{|V|}(V \perp \mathbb{H}^\infty) \rightarrow \mathbb{R}\mathrm{Gr}_{|V'|}(V' \perp \mathbb{H}^\infty)$  is given by  $E \mapsto E \perp (V' - V)$ . Note that because  $V$  is non-degenerate,  $V \perp (V' - V) = V'$ . Define

$$\mathbb{R}\mathrm{Gr}_\bullet = \mathrm{colim} \mathcal{H}. \quad (4.1)$$

### 4.3 The Étale Classifying Space

Fix a scheme  $S$  with trivial involution and 2 invertible, and let  $(V, \phi)$  be a (possibly degenerate) Hermitian vector bundle over  $S$ . For a  $C_2$ -scheme  $f : X \rightarrow S$ , let

$$\mathcal{S}(V, \phi)(X)$$

be the category of non-degenerate Hermitian sub-bundles of  $f^*V$ . A morphism in this category from  $E_0$  to  $E_1$  is an isometry not necessarily compatible with the embeddings  $E_0, E_1 \subseteq f^*V$ . Using pullbacks of quasi-coherent modules, we turn  $\mathcal{S}$  into a presheaf of categories on  $\mathbf{Sm}_S^{C_2}$ . For integer  $d \geq 0$ , define

$$\mathcal{S}_d(V, \phi) \subset \mathcal{S}(V, \phi)$$

to be the presheaf which on a  $C_2$ -scheme  $f : X \rightarrow S$  assigns the full subcategory of non-degenerate Hermitian sub-bundles of  $(f^*V, f^*\phi)$  which have constant rank  $d$ . The associated presheaf of objects is  $\mathbb{R}\mathrm{Gr}_d(V, \phi)$ .

Note that the object  $V = (V, 0) \in \mathcal{S}_{|V|}(V \perp H^\infty)$  has automorphism group  $O(V)$ . Thus we get an inclusion  $O(V, \phi) \rightarrow \mathcal{S}_{|V|}(V \perp H^\infty)$ , where  $O(V)$  is the isometry group considered as a category on one object. After isovariant étale sheafification, this inclusion becomes an equivalence; this follows from Corollary 3.2.10 that on the points in the isovariant étale topology, Hermitian vector bundles are determined by rank.

Upon applying the nerve, we get maps of simplicial presheaves  $BO(V) \rightarrow B\mathcal{S}_{|V|}(V \perp \mathbb{H}^\infty)$  which is a weak

equivalence in the isovariant étale topology. Abusing notation, let  $B_{isoEt}O(V)$  denote a global fibrant replacement of  $BS_{|V|}(V \perp \mathbb{H}^\infty)$  in the isovariant étale topology so that we get a sequence of weak equivalences

$$BO(V) \rightarrow BS_{|V|}(V \perp \mathbb{H}^\infty) \rightarrow B_{isoEt}O(V).$$

**Lemma 4.3.1.** *Let  $(V, \phi)$  be a non-degenerate Hermitian vector bundle over a scheme  $S$  with trivial involution and  $\frac{1}{2} \in \Gamma(S, \mathcal{O}_S)$ . Then for any affine  $C_2$ -scheme over  $S$ ,  $\text{Spec } R$ , the map*

$$BS_{|V|}(V \perp \mathbb{H}^\infty)(R) \rightarrow B_{isoEt}O(V)(R)$$

*is a weak equivalence of simplicial sets. In particular, the map*

$$BS_{|V|}(V \perp \mathbb{H}^\infty) \rightarrow B_{isoEt}O(V)$$

*is a weak equivalence in the equivariant Nisnevich topology, and hence an equivalence after  $C_2$  motivic localization.*

*Proof.* Each Hermitian vector bundle  $W \in S_{|V|}(V \perp \mathbb{H}^\infty)(R)$  gives rise to an  $O(V)$ -torsor via  $W \mapsto \text{Isom}(V, W)$ . Note that this is an  $O(V)$ -torsor because locally in the isovariant étale topology,  $W \cong V$ , so that locally  $\text{Isom}(V, W) \cong \text{Isom}(V, V) \cong O(V)$ . Because Hermitian vector bundles are isovariant étale locally determined by rank, the same proof as the ordinary vector bundle case shows that the category of  $\mathcal{O}(V)$  torsors is equivalent to the category of Hermitian vector bundles. Because over an affine scheme, every Hermitian vector bundle is a summand of a hyperbolic module, it follows that  $S_{|V|}(V \perp \mathbb{H}^\infty)(R)$  is equivalent to the category of (isovariant étale)  $\mathcal{O}(V)$  torsors.

Let  $\mathcal{F} : \mathbf{Sm}_S^{C_2} \rightarrow \mathbf{Gpd}$  be the sheaf which assigns to  $f : X \rightarrow S$  the groupoid of  $O(f^*V)$ -torsors. The construction  $W \mapsto \text{Isom}(f^*V, W)$  described above defines a functor  $S_{|V|}(V \perp \mathbb{H}^\infty) \rightarrow \mathcal{F}$  which is an equivalence when evaluated at affine  $C_2$ -schemes. It follows that there's a sequence

$$BS_{|V|}(V \perp \mathbb{H}^\infty) \rightarrow B\mathcal{F} \rightarrow B_{isoEt}O(V)$$

where the first map is a weak equivalence of simplicial sets when evaluated at affine  $C_2$ -schemes, and by [Jar01] Theorem 6, the second map is a weak equivalence of simplicial sets when evaluated at any  $C_2$ -scheme.  $\square$

**Definition 4.3.2.** Following [SST14], let

$$\mathcal{S}_\bullet = \operatorname{colim}_{V \subset \mathbb{H}_S^\infty} \mathcal{S}_{|V|}(V \perp \mathbb{H}^\infty)$$

where similarly to the definition of  $\mathbb{R}\operatorname{Gr}$ , for  $V \subset V'$  the functor

$$\mathcal{S}_{|V|}(V \perp \mathbb{H}^\infty) \rightarrow \mathcal{S}_{|V'|}(V' \perp \mathbb{H}^\infty)$$

is defined on objects by  $E \mapsto E \perp V' - V$  and on morphisms by  $f \mapsto f \perp 1_{V'-V}$ .

**Definition 4.3.3.** Define

$$O = \operatorname{colim}_{W \subseteq \mathbb{H}_S^\infty} O(W).$$

Because the nerve construction commutes with filtered colimits, and because filtered colimits of globally fibrant objects are globally fibrant (follows from the fact that filtered colimits of Kan complexes are Kan complexes), define by abuse of notation

$$B_{isoEt} O = \operatorname{colim}_{W \subseteq \mathbb{H}_S^\infty} B_{isoEt} O(W).$$

**Theorem 4.3.4.** *Let  $R$  be a regular Noetherian ring with involution which is either connected or hyperbolic. Then there's an equivalence of simplicial sets*

$$B\mathbb{R}\operatorname{Gr}_\bullet(\Delta R) \rightarrow |B\mathcal{S}_\bullet(\Delta R)|$$

where  $\Delta R$  denotes the simplicial ring with involution  $[n] \mapsto R[x_0, \dots, x_n]/(\sum x_i - 1)$ .

**Lemma 4.3.5.** *Let  $\operatorname{Iso}_d(R)$  denote the set of isometry classes of finitely-generated, non-degenerate Hermitian vector bundles over a connected or hyperbolic ring with involution  $R$ . The map*

$$\operatorname{colim}_{V \subset \mathbb{H}_R^\infty} \operatorname{Iso}_{|V|}(R) = \coprod_{V \subset \mathbb{H}_R^\infty} \operatorname{Iso}_{|V|}/\sim \cong \widetilde{GW}_{[0]}(R)$$

sending  $(V, W) \in \operatorname{Iso}_{|V|}$  to  $[V] - [W]$  is an isomorphism. Here  $\widetilde{GW}_{[0]}(R)$  is the kernel of the rank map  $GW_0(R) \rightarrow \mathbb{Z}$ .

*Proof.* First, note that the map is well-defined. If there's an inclusion  $V \hookrightarrow T \hookrightarrow \mathbb{H}_R^\infty$ , then

$$(T, W \perp (T - V)) \mapsto [T] - [W + T - V] = [V] - [W].$$

Furthermore, by definition if  $W \in \operatorname{Iso}_{|V|}(R)$ , then  $\operatorname{rk}(V) = \operatorname{rk}(W)$  and hence  $[V] - [W] \in \ker(\operatorname{rk}) : GW_0(R) \rightarrow \mathbb{Z}$ .

If  $[V] - [W] = 0$  in  $GW_0$ , then there's a non-degenerate bundle  $[K]$  such that  $V \perp K \cong W \perp K$ . It follows that  $(V, W) \in \text{Iso}_{|V|}(R) \sim (V \perp K, W \perp K) = (V \perp K, V \perp K) \sim (0, 0 \in \text{Iso}_{|0|}(R))$  so that the map is injective. Surjectivity is clear because over a ring, every bundle is, up to isometry, a sub-bundle of  $\mathbb{H}_R^\infty$ .  $\square$

Now, note that there are maps of sets

$$\mathbb{R}\text{Gr}_d(V \perp \mathbb{H}_R^\infty) \rightarrow \text{Iso}_d(R) : E \mapsto [E]$$

and (considering a set as a discrete category) maps of categories

$$\mathcal{S}_d(V \perp \mathbb{H}_R^\infty) \rightarrow \text{Iso}_d(R) : E \mapsto [E].$$

These maps fit into cartesian squares

$$\begin{array}{ccc} \mathbb{R}\text{Gr}_V(V \perp \mathbb{H}^\infty) & \longrightarrow & \mathbb{R}\text{Gr}_{|V|}(V \perp \mathbb{H}^\infty) \\ \downarrow & & \downarrow \\ \star & \xrightarrow{V} & \text{Iso}_{|V|}(R) \end{array}$$

and

$$\begin{array}{ccc} \mathcal{S}_V(V \perp \mathbb{H}^\infty) & \longrightarrow & \mathcal{S}_{|V|}(V \perp \mathbb{H}^\infty) \\ \downarrow & & \downarrow \\ \star & \xrightarrow{V} & \text{Iso}_{|V|}(R) \end{array}$$

where  $\mathbb{R}\text{Gr}_V(V \perp \mathbb{H}_R^\infty)$  is the subset of  $\mathbb{R}\text{Gr}_{|V|}(V \perp \mathbb{H}_R^\infty)$  of bundles isometric to  $V$ , and similarly  $\mathcal{S}_V(V \perp \mathbb{H}^\infty) \subseteq \mathcal{S}_{|V|}(V \perp \mathbb{H}^\infty)$  is the full subcategory whose objects correspond to the set  $\mathbb{R}\text{Gr}_V(V \perp \mathbb{H}_R^\infty)$ .

Taking colimits over non-degenerate subspaces  $V \subset \mathbb{H}_R^\infty$  and using the standard facts that the nerve functor commutes with filtered colimits and that filtered colimits of cartesian diagrams are cartesian, we get cartesian diagrams of simplicial sets

$$\begin{array}{ccc} B\mathbb{R}\text{Gr}_{[0]}(R) & \longrightarrow & B\mathbb{R}\text{Gr}_\bullet(R) \\ \downarrow & & \downarrow \\ B\star & \xrightarrow{V} & \widetilde{BGW}_{[0]}(R) \end{array}$$

and

$$\begin{array}{ccc} BS_{[0]}(R) & \longrightarrow & BS_{\bullet}(R) \\ \downarrow & & \downarrow \\ B\star & \xrightarrow{V} & \widetilde{BGW}_{[0]}(R) \end{array}$$

where the upper left corners are just defined as the respective colimits.

**Lemma 4.3.6.** *The diagrams*

$$\begin{array}{ccc} B\mathbb{R}Gr_{[0]}(\Delta R) & \longrightarrow & B\mathbb{R}Gr_{\bullet}(\Delta R) \\ \downarrow & & \downarrow \\ B\star & \xrightarrow{V} & |\widetilde{BGW}_{[0]}(\Delta R)| \end{array}$$

and

$$\begin{array}{ccc} |BS_{[0]}(\Delta R)| & \longrightarrow & |BS_{\bullet}(\Delta R)| \\ \downarrow & & \downarrow \\ B\star & \xrightarrow{V} & |\widetilde{BGW}_{[0]}(\Delta R)| \end{array}$$

are homotopy cartesian over any regular ring  $R$  with involution such that non-degenerate Hermitian vector bundles have constant rank.

*Proof.* First, note that before applying the diagonal functor  $|-|$ , these diagrams are cartesian diagrams of bisimplicial sets. This follows simply because limits are computed object-wise in functor categories. For the same reason, we get a cartesian diagram after applying the diagonal  $|-|$ . Thus to prove that the diagrams are homotopy cartesian (in the standard model structure on simplicial sets), it suffices to prove that the bottom horizontal map is a fibration. If  $R$  is a regular ring with involution, then  $GW_0(R[t]) \cong GW_0(R)$  where the involution on  $R[t]$  is on the coefficients of a polynomial. It follows that the reduced Grothendieck-Witt group is also homotopy invariant. It follows that the simplicial set in the bottom right corner of both diagrams is discrete. A map of discrete simplicial sets is a Kan fibration, since a map from a simplicial set to a discrete simplicial set is completely determined by the map on zero simplices, and the zero simplices of an  $n$ -horn and  $n$ -simplex for  $n \geq 1$  agree.  $\square$

Via inclusion of zero simplices, there is a map of homotopy fibrations

$$\begin{array}{ccccc} B\mathbb{R}Gr_{[0]}(\Delta R) & \longrightarrow & B\mathbb{R}Gr_{\bullet}(\Delta R) & \longrightarrow & |\widetilde{BGW}_{[0]}(\Delta R)| \\ \downarrow & & \downarrow & & \downarrow id \\ |BS_{[0]}(\Delta R)| & \longrightarrow & |BS_{\bullet}(\Delta R)| & \longrightarrow & |\widetilde{BGW}_{[0]}(\Delta R)| \end{array} \quad (4.2)$$

In order to conclude that the map  $B\mathbb{R}Gr_{\bullet}(\Delta R) \rightarrow |BS_{\bullet}(\Delta R)|$  is a weak equivalence of simplicial sets, it suffices to check two things:

- in diagram 4.2, the map on fibers  $B\mathbb{R}Gr_{[0]}(V \perp \mathbb{H}^{\infty}) \rightarrow |BS_{[0]}(V \perp \mathbb{H}^{\infty})(\Delta R)|$  is a weak equivalence (the map on bases is the identity),
- in diagram 4.2, the maps are maps of  $E_{\infty}$ -spaces.

**Remark 4.3.7.** Note that even over rings where  $|BGW_{[0]}(\Delta R)|$  is a constant simplicial set,  $B\mathbb{R}Gr_{[0]}$  will not be. This is simply because there are more Hermitian vector bundles over  $R[x]$  than over  $R$  when we don't mod out by isometry.

**Example 4.3.8.** For an explicit example that demonstrates why  $|B\mathbb{R}Gr_{[0]}(\Delta R)|$  has a hope of being connected (if it was discrete it would in general not be), let  $R = \mathbb{R}$  with trivial involution, and consider the simplicial set

$$\mathbb{R}Gr_{\langle 1 \rangle}(\langle 1 \rangle \perp \mathbb{H}^{\infty})(\Delta R).$$

Consider the two split surjections

$$X = \langle 1 \rangle_{\mathbb{R}} \perp \mathbb{H}_{\mathbb{R}}^{\infty} \xrightarrow{\pi_{\langle 1 \rangle}} \langle 1 \rangle$$

and

$$Y = \langle 1 \rangle_{\mathbb{R}} \perp \mathbb{H}_{\mathbb{R}}^{\infty} \xrightarrow{\pi_2 \oplus \pi_3} \mathbb{H} \xrightarrow{+} \mathbb{R}$$

where the second surjection is split by  $\frac{1}{2}\Delta$ . Now consider the split surjection over  $R[x]$  given by

$$T = \langle 1 \rangle_{\mathbb{R}} \perp \mathbb{H}_{\mathbb{R}}^{\infty} \xrightarrow{\pi_{\langle 1 \rangle} \oplus \pi_2 \oplus \pi_3} \langle 1 \rangle \oplus \mathbb{H} \xrightarrow{+} R[x]$$

where the last surjection is split by the map sending  $1 \mapsto (x, \frac{1}{2}(1-x), \frac{1}{2}(1-x))$ . We claim that under the two maps  $R[x] \rightarrow R$ ,  $x \mapsto 0, x \mapsto 1$ , the split surjection  $T$  restricts to  $X$  and  $Y$ . Indeed, this is just the fact that given an  $R[x]$ -module structure on  $R$  via the map  $\eta_t : R[x] \rightarrow R$ ,  $x \mapsto t$ , as well as a map  $R[x] \rightarrow R[x]$ ,  $1 \mapsto x$ , the induced map  $R \cong R[x] \otimes_{R[x]} R \xrightarrow{x \otimes id} R[x] \otimes_{R[x]} R \cong R$  is multiplication by  $\eta_t(x)$ .

We proceed to prove that the map on fibers is a weak equivalence by presenting the domain and codomain as free quotients of contractible spaces. The following lemma will be used to show that the spaces in question (defined after the lemma) will be contractible.

**Lemma 4.3.9.** *Let  $V$  be a nondegenerate Hermitian vector bundle over a commutative ring with involution  $(R, \sigma)$*

such that  $\frac{1}{2} \in R$ . Then the inclusion  $\mathbb{H}^\infty \subset V \perp \mathbb{H}^\infty$  induces a homotopy equivalence of simplicial groups

$$O(\mathbb{H}_{\Delta R}^\infty) \rightarrow O(V \perp \mathbb{H}_{\Delta R}^\infty) \quad A \mapsto 1_V \perp A.$$

*Proof.* First, assume that  $V = \mathbb{H}$ . Consider the map  $j : O(\mathbb{H}^n) \rightarrow O(\mathbb{H}^{2n+2})$  sending  $A$  to  $1_H \perp A \perp 1_{\mathbb{H}^{n+1}}$ .

We claim that this is naively  $\mathbb{A}^1$  homotopic to the inclusion  $i : O(\mathbb{H}^n) \rightarrow O(\mathbb{H}^{2n+2})$ ,  $i(A) = A \perp 1_{\mathbb{H}^{n+2}}$  which

defines the colimit  $O(\mathbb{H}^\infty)$ . Let  $g = \begin{pmatrix} 0 & I_{2n} & 0 \\ I_2 & 0 & 0 \\ 0 & 0 & I_{2n+2} \end{pmatrix}$  where  $I_n$  denotes an  $n \times n$  identity matrix. Then

$i = gjg^{-1} = gjg^t$ . Because  $g$  corresponds to an even permutation matrix, it can be written as a product of elementary matrices, each of which is naively  $\mathbb{A}^1$  homotopic to the identity. It follows that  $g$  is naively  $\mathbb{A}^1$  homotopic to the identity, and hence the induced maps  $i, j : O(\mathbb{H}_{\Delta R}^n) \rightarrow O(\mathbb{H}_{\Delta R}^{2n+2})$  are simplicially homotopic via a base-point preserving homotopy. It follows that  $i, j$  induce the same map on homotopy groups, so that  $j_* = i_* : \pi_k O(\mathbb{H}_{\Delta R}^\infty) = \text{colim}_n \pi_k O(\mathbb{H}_{\Delta R}^n) \rightarrow \pi_k O(\mathbb{H}_{\Delta R}^\infty)$  is the colimit of a map corresponding to a cofinal inclusion of diagrams, and hence is an isomorphism on all simplicial homotopy groups. Because simplicial groups are Kan complexes, it follows that  $j$  is a homotopy equivalence, and the claim is proved when  $V = \mathbb{H}$ .

Now a trivial induction shows that the lemma holds when  $V = \mathbb{H}^n$ . In general, choose an embedding  $V \subseteq \mathbb{H}^n$ , and consider the sequence of maps

$$O(\mathbb{H}_{\Delta R}^\infty) \rightarrow O(V \perp \mathbb{H}_{\Delta R}^\infty) \rightarrow O(\mathbb{H}^n \perp \mathbb{H}_{\Delta R}^\infty) \rightarrow O(\mathbb{H}^n \perp V \perp \mathbb{H}_{\Delta R}^\infty).$$

The composites  $O(\mathbb{H}_{\Delta R}^\infty) \rightarrow O(\mathbb{H}^n \perp \mathbb{H}_{\Delta R}^\infty)$  and  $O(V \perp \mathbb{H}_{\Delta R}^\infty) \rightarrow O(\mathbb{H}^n \perp V \perp \mathbb{H}_{\Delta R}^\infty)$  are weak equivalences, so by 2 out of 6 the first map is a weak equivalence. Because it is a map of simplicial groups it is a homotopy equivalence.

□

For nondegenerate Hermitian vector bundles  $(V, \phi_V), (W, \phi_W)$  and a commutative  $R$ -algebra with involution  $(A, \sigma)$ , let

$$\text{St}(V, W)(A)$$

be the set of  $A$ -linear isometric embeddings  $f : V_A \rightarrow W_A$ . Given a map  $A \rightarrow B$  of commutative  $R$ -algebras with involution, tensoring over  $R$  with  $B$  makes  $\text{St}(V, W)(-)$  a presheaf on commutative  $R$ -algebras with involution. There's a transitive left action of  $O(V \perp \mathbb{H}^\infty)$  on  $\text{St}(V, V \perp \mathbb{H}^\infty)$  given by  $(f, g) \mapsto f \circ g$ . Let  $i_V$  denote the isometric embedding  $V \hookrightarrow V \perp \mathbb{H}^\infty : v \mapsto (v, 0)$ . The stabilizer of  $i_V$  is the subgroup



$O(\mathbb{H}^\infty) \subset O(V \perp \mathbb{H}^\infty)$  where the inclusion map is  $A \mapsto 1_V \perp A$ .

It follows that there's an isomorphism of presheaves of sets

$$O(\mathbb{H}^\infty) \backslash O(V \perp \mathbb{H}^\infty) \cong \text{St}(V, V \perp \mathbb{H}^\infty) \quad f \mapsto f \circ i_V.$$

Now Lemma 4.3.9 shows that the map  $O(\mathbb{H}_{\Delta R}^\infty) \rightarrow O(V \perp \mathbb{H}_{\Delta R}^\infty)$  is an equivariant map which is a non-equivariant homotopy equivalence. The simplicial group  $O(\mathbb{H}_{\Delta R}^\infty)$  acts freely on both the domain and codomain, so that the quotients  $O(\mathbb{H}_{\Delta R}^\infty) \backslash O(V \perp \mathbb{H}_{\Delta R}^\infty)$  and  $O(\mathbb{H}_{\Delta R}^\infty) \backslash O(\mathbb{H}_{\Delta R}^\infty)$  are homotopy equivalent.

Together with the isomorphism of simplicial sets

$$O(\mathbb{H}_{\Delta R}^\infty) \backslash O(V \perp \mathbb{H}_{\Delta R}^\infty) \cong \text{St}(V, V \perp \mathbb{H}_{\Delta R}^\infty)$$

it follows that  $\text{St}(V, V \perp \mathbb{H}_{\Delta R}^\infty)$  is a contractible for a commutative ring  $(R, \sigma)$  with involution and  $\frac{1}{2} \in R$ . Moreover, this simplicial set is fibrant because  $G/H$  is fibrant for a simplicial group  $G$  and subgroup  $H$ . We have thus proved:

**Lemma 4.3.10.** *Let  $R$  be a commutative ring with  $\frac{1}{2} \in R$ . Then*

$$\text{St}(V, V \perp \mathbb{H}_{\Delta R}^\infty)$$

*is a contractible Kan set.*

Now we move to identifying  $\mathbb{R}\text{Gr}_V$  as a quotient of a contractible space by a free group action. Let  $V$  be a non-degenerate Hermitian vector bundle over a ring  $R$  with involution. Then the group  $O(V)$  acts on the right on  $\text{St}(V, U)$  by precomposition. The map  $\text{St}(V, U) \rightarrow \mathbb{R}\text{Gr}_V(U) : f \mapsto \text{im}(f)$  factors through the quotient  $\text{St}(V, U)/O(V)$ . The map is clearly surjective, and hence furnishes an isomorphism of sets

$$\text{St}(V, U)/O(V) \cong \mathbb{R}\text{Gr}_V(U) \quad f \mapsto \text{im}(f).$$

In particular, there's an isomorphism of presheaves of sets  $\text{St}(V, V \perp \mathbb{H}^\infty)/O(\mathbb{H}^\infty) \cong \mathbb{R}\text{Gr}_V(U)$ .

Now, let  $V$  be a non-degenerate Hermitian vector bundle over a ring with involution  $R$  and let  $U$  be a possibly degenerate Hermitian form over  $R$ . Define  $\mathcal{E}_V(U)$  to be the category whose objects are  $R$ -linear maps  $V \rightarrow U$  of Hermitian forms (aka isometric embeddings), and whose morphisms from two objects

$a : V \rightarrow U$  and  $b : V \rightarrow U$  are maps  $c : \text{im}(a) \rightarrow \text{im}(b)$  making the diagram

$$\begin{array}{ccc} V & \xrightarrow{a} & \text{im}(a) \\ & \searrow b & \downarrow c \\ & & \text{im}(b) \end{array}$$

commute.

There's a natural right action of  $O(V)$  on  $\mathcal{E}_V(U)$  which on objects sends

$$\mathcal{E}_V(U) \times O(V) \rightarrow \mathcal{E}_V(U) : (a, g) \mapsto ag$$

and which morphisms is the trivial action.

Then clearly there's an isomorphism

$$\mathcal{E}_V(U)/O(V) \cong \mathcal{S}_V(U) \quad a \mapsto \text{im}(a).$$

**Lemma 4.3.11.** *The category  $\mathcal{E}_V(V \perp \mathbb{H}^\infty)$  is contractible.*

*Proof.* The category is nonempty and every object is initial. □

Now we show that the map on fibers in 4.2 is a weak equivalence. The map of simplicial sets

$$\text{St}(V, V \perp \mathbb{H}^\infty)(\Delta R) \rightarrow \mathcal{E}_V(V \perp \mathbb{H}^\infty)(\Delta R)$$

is  $O(V_{\Delta R})$  equivariant and a weak equivalence after forgetting the action. Furthermore,  $O(V_{\Delta R})$  acts freely on both sides, so that the induced map on quotients

$$\mathbb{R}\text{Gr}_V(V \perp \mathbb{H}^\infty)(\Delta R) \rightarrow \mathcal{S}_V(V \perp \mathbb{H}^\infty)(\Delta R) \tag{4.3}$$

is also a weak equivalence.

As an aside, the inclusion  $BO(V) \subset B\mathcal{S}_V(V \perp \mathbb{H}^\infty)$  is a weak equivalence since  $\mathcal{S}_V(V \perp \mathbb{H}^\infty)$  is a connected groupoid.

### 4.3.1 Showing that diagram 4.2 is a diagram in $E_\infty$ -spaces

As in [SST14], we start by defining an  $E_\infty$ -operad, then show that the spaces in 4.2 are algebras over this operad. For a commutative ring with involution  $(R, \sigma)$ , let  $\mathcal{E}(n)(R)$  be the set

$$\mathcal{E}(n)(R) = \lim_{V \subset \mathbb{H}_R^\infty} \text{St}(V^{\perp n}, \mathbb{H}_R^\infty).$$

where the limit is over non-degenerate subspaces of  $\mathbb{H}^\infty$ . The permutation group  $\Sigma_n$  acts by permuting the component subspaces. The maps in the limit are equivariant with respect to this free action, and hence there's an induced free action on the limit. Now if  $V \subseteq W$ , then the map  $\text{St}(V^n, \mathbb{H}^\infty)(\Delta R) \rightarrow \text{St}(W^n, \mathbb{H}^\infty)(\Delta R)$  is a Kan fibration by [SST14, Proposition A.5], and hence

$$\mathcal{E}(n)(\Delta R) = \lim_k \text{St}(\mathbb{H}^k \perp \cdots \perp \mathbb{H}^k, \mathbb{H}^\infty)(\Delta R)$$

is a tower of Kan fibrations with each object fibrant. It follows that this limit is a homotopy limit, and the Milnor sequence implies that  $\mathcal{E}(n)(\Delta R)$  is contractible. The same reasoning as above implies that the action of  $\Sigma_n$  is free.

Now, define the structure maps of the operad by

$$\mathcal{E}(k) \times \mathcal{E}(j_1) \times \cdots \times \mathcal{E}(j_k) \rightarrow \mathcal{E}(j_1 + \cdots + j_k) : f, g_1, \dots, g_k \mapsto f \circ (g_1 \perp \cdots \perp g_k).$$

It follows that  $\mathcal{E}(\Delta R)$  is an  $E_\infty$ -operad in the category of simplicial sets.

We now state a lemma which will allow us to check that certain spaces are group complete.

**Lemma 4.3.12.** *Let  $f : Y \rightarrow Z$  be an epimorphism of simplicial sets. Assume that for all  $z \in Z_0$ , the fiber  $X_z$  defined by the cartesian square*

$$\begin{array}{ccc} X_z & \longrightarrow & Y \\ \downarrow & & \downarrow f \\ * & \longrightarrow & Z \end{array}$$

*satisfies  $\pi_0(X_z) = \{*\}$ . Then  $\pi_0(Y) \cong \pi_0(Z)$ .*

*Proof.* First, note that  $\pi_0(Y) \twoheadrightarrow \pi_0(Z)$  since  $Y \twoheadrightarrow Z$ . Thus it suffices to prove that  $\pi_0(Y) \hookrightarrow \pi_0(Z)$  is an injection. Let  $\sim$  denote the equivalence relation on the zero simplices of any simplicial set  $T$  generated by the reflexive relation:  $a \rightarrow b$  if there exists  $c \in T_1$  such that  $s_0(c) = a, s_1(c) = b$ . In other words, the equivalence relation used to define  $\pi_0(T) = T_0 / \sim$ .

Say  $a, b \in Y_0$  and  $f(a) \sim f(b)$  in  $Z_0$ . Then there exist  $z_1, \dots, z_n$  in  $Z_1$  witnessing a chain  $t_0 \leftarrow t_1 \cdots \rightarrow t_n$

where  $t_0 = f(a)$ ,  $t_n = f(b)$ . Because  $Y_1 \rightarrow Z_1$  is epi, there's a lift  $y_1, \dots, y_n$  of  $z_1, \dots, z_n$ .

To deal with the fact that the relation is not symmetric, form a sequence  $(e_i)_{1 \leq i \leq 2n+2}$  from  $(a, s_0(y_1), s_1(y_1), s_0(y_2), \dots, s_1(y_n), b)$  by ordering the entries so that  $f(e_{2i+1}) = f(e_{2i+2})$ . In other words, switch the order of  $s_0(y_i)$  and  $s_1(y_i)$  if necessary. With notation in place, we'll proceed by induction on  $n$  to show that  $e_1 \sim e_{2n}$ . Say  $n = 1$ . Then  $f(e_2) = f(e_1)$  and  $f(e_3) = f(e_4)$ . Thus  $e_2$  and  $e_1$  both lift to the fiber  $X_{f(e_1)}$ . Because  $X_{f(e_1)}$  is connected, we have  $e_1 \sim e_2$  in  $X_{f(a)}$ , and hence in  $Y$  as well. Similarly,  $e_3 \sim e_4$ , and hence we have  $e_1 \sim e_2 \sim e_3 \sim e_4$  as desired.

For the inductive step, assume that  $e_1 \sim \dots \sim e_{2n}$ . Then  $e_{2n} \sim e_{2n+1}$  because they're the two faces of  $y_n$ . To see that  $e_{2n+1} \sim e_{2n+2}$ , note that  $f(e_{2n+1}) = f(e_{2n+2})$ , so both  $e_{2n+1}$  and  $e_{2n+2}$  live in the fiber over  $f(e_{2n+2})$ . Since this fiber is connected,  $e_{2n+1} \sim e_{2n+2}$ .

Finally we have  $a = e_1 \sim e_2 \sim \dots \sim e_{2n+2} = b$ , so we're done.  $\square$

**Proposition 4.3.13.** *For any commutative ring with involution  $(R, \sigma)$  such that  $\frac{1}{2} \in R$ , the map given by inclusion of 0-simplices*

$$\mathbb{R}\mathrm{Gr}_\bullet(\Delta R) \rightarrow \mathcal{S}_\bullet(\Delta R)$$

*is a map of group complete  $E_\infty$ -spaces.*

*Proof.* Write

$$\mathcal{S}_\bullet = \operatorname{colim}_{V \subset H^\infty} \mathcal{S}_{|V|}(V^- \perp V^+)$$

where  $V^-$  and  $V^+$  are two copies of  $V$  and for  $V \subset W$  the transition map is defined by

$$\mathcal{S}_{|V|}(V^- \perp V^+) \rightarrow \mathcal{S}_{|W|}(W^- \perp W^+) : E \mapsto (W - V)^- \perp E, g \mapsto 1_{(W-V)^-} \perp g.$$

Now, the action of  $\mathcal{G}$  on  $\mathcal{S}_\bullet$  is defined by

$$\operatorname{St}(V_1 \perp \dots \perp V_k, W) \times \mathcal{S}_{|V_1|}(V_1^- \perp V_1^+) \times \dots \times \mathcal{S}_{|V_k|}(V_k^- \perp V_k^+) \rightarrow \mathcal{S}_{|W|}(W^- \perp W^+)$$

where for  $g \in \operatorname{St}(V_1 \perp \dots \perp V_k, W)$ , the functor

$$\mathcal{S}_{|V_1|}(V_1^- \perp V_1^+) \times \dots \times \mathcal{S}_{|V_k|}(V_k^- \perp V_k^+) \rightarrow \mathcal{S}_{|W|}(W^- \perp W^+)$$

sends the object  $(E_1, \dots, E_k)$  to

$$(W - g(V_1 \perp \dots \perp V_k))^- \perp g(E_1 \perp \dots \perp E_k)$$

and the map  $(e_1, \dots, e_k) : (E_1, \dots, E_k) \rightarrow (E'_1, \dots, E'_k)$  to

$$1_{(W-g(V_1 \perp \dots \perp V_k))^-} \perp g|_{E'_1} \circ e_1 \circ g^{-1}|_{E_1} \perp \dots \perp g|_{E'_k} \circ e_k \circ g^{-1}|_{E_k}.$$

It follows that the spaces are algebras over an  $E_\infty$ -operad, and the maps in question preserve the operad action. To see that the spaces are group complete, we apply Lemma 4.3.12 to the (ordinary!) fiber sequences defining Diagram 4.2. Because  $\mathcal{S}_V(V \perp \mathbb{H}_R^\infty) \cong BO(V)$ , both it and  $\mathcal{S}_V(V \perp \mathbb{H}_{\Delta R}^\infty)$  are connected. We've already shown that  $\mathbb{R}\mathrm{Gr}_V(V \perp \mathbb{H}_{\Delta R}^\infty) \cong \mathcal{S}_V(V \perp \mathbb{H}_{\Delta R}^\infty)$ , so it too is connected.  $\square$

Now that we've checked that the maps in 4.2 are maps of  $E_\infty$ -spaces and that they're weak equivalences on base and fiber, we can conclude that the map on total spaces is an equivalence.

**Corollary 4.3.14.** Let  $(R, \sigma)$  be a regular ring with involution such that non-degenerate Hermitian vector bundles have constant rank, and such that  $\frac{1}{2} \in R$ . Then the map

$$\mathbb{R}\mathrm{Gr}_\bullet(\Delta R) \rightarrow \mathcal{S}_\bullet(\Delta R)$$

is a weak equivalence of simplicial sets.

**Theorem 4.3.15.** Let  $(R, \tau)$  be a regular Noetherian ring with involution with  $\frac{1}{2} \in R$ . Then

$$\mathbb{R}\mathrm{Gr}_\bullet(\Delta R) \xrightarrow{\sim} B_{isoEt} O(\Delta R)$$

is an equivalence. In particular there's an equivariant motivic equivalence  $\mathbb{R}\mathrm{Gr}_\bullet \rightarrow B_{isoEt} O$ .

*Proof.* For connected or hyperbolic rings, this follows by combining Corollary 4.3.14 and Lemma 4.3.1. Since both sides convert finite disjoint unions of equivariant schemes into cartesian products (in particular  $\Delta(R \times K) \cong \Delta R \times \Delta K$ ), we're done.  $\square$

## 4.4 The Grothendieck-Witt space

The goal of this section is to give a model for  $GW$  which very obviously receives a map from  $\mathcal{S}_\bullet$ . For a ring  $R$  with involution  $\sigma$ , there's an associated category  $S(R)$  with duality given by vector bundles with their canonical duality and vector bundle morphisms as morphisms. The subcategory of Hermitian objects and isometries is symmetric monoidal under  $\perp$ , and the translations  $A \mapsto A \perp B$  are faithful. Quillen's  $S^{-1}S(R)$  construction yields a symmetric monoidal category with objects pairs  $(A_0, A_1)$  in  $S$  and morphisms

$(A_0, A_1) \rightarrow (B_0, B_1)$  equivalence classes  $[C, a_0, a_1]$  with  $a_i : C \perp A_i \rightarrow B_i$  an isometry. Two morphisms  $[C, a_0, a_1], [C', a'_0, a'_1]$  are equivalent if there exists an isometry  $f : C \cong C'$  such that  $a'_i \circ (1_{A_i} \perp f) = a_i$ . Unfortunately, this category is neither small (in general) nor strictly functorial in the underlying  $C_2$ -scheme.

**Definition 4.4.1.** Let  $(R, \sigma)$  be a ring with involution, and let

$$\mathcal{G}W(R, \sigma) \subset S^{-1}S(R, \sigma)$$

be the full subcategory whose objects are pairs  $(A, B)$  where  $A \subset \mathbb{H}_R^\infty \perp \mathbb{H}_R^\infty$  and  $B \subset (\mathbb{H}_R^\infty)^{\perp 3}$  are finitely generated nondegenerate subspaces.

Let  $(X, \sigma)$  be a  $C_2$ -scheme, and let

$$\mathcal{G}W(X, \sigma) = \mathcal{G}W(\text{Spec } \Gamma(X), \sigma).$$

Note that  $\mathcal{G}W(R, \sigma) \hookrightarrow S^{-1}S(R, \sigma)$  is an equivalence because over a ring, every non-degenerate vector bundle is a summand of hyperbolic space. Thus by [Sch17], Theorem A.1, there's an equivalence  $\mathcal{G}W(R, \sigma) \cong \Omega^\infty GW(R, \sigma)$  for any ring with involution  $(R, \sigma)$ .

#### 4.4.1 Homotopy Colimits of Categories

**Definition 4.4.2.** Let  $\mathcal{C}$  be a small category, and let  $J : \mathcal{C} \rightarrow \mathbf{Cat}$  a functor into the category of small categories. The homotopy colimit

$$\text{hocolim}_{\mathcal{C}} J$$

is the category whose objects are pairs  $(X, A)$  with  $X$  an object of  $\mathcal{C}$  and  $A$  an object of  $J(X)$ . A map from  $(X, A)$  to  $(Y, B)$  is a pair  $(x, a)$  where  $x : X \rightarrow Y$  is a map in  $\mathcal{C}$  and  $a : J(x)(A) \rightarrow B$  is a map in  $J(Y)$ . Composition  $(y, b) \circ (x, a)$  is the map  $(y \circ x, b \circ J(y) \circ a)$ .

We recall some notation from [Gra76].

**Definition 4.4.3.** Let  $S$  be a symmetric monoidal category acting on another category  $X$ . The category  $\langle S, X \rangle$  is by definition the category whose objects are the objects of  $X$ , and whose morphisms  $F \rightarrow G$  are isomorphism classes of tuples  $(F, G, A, A + F \rightarrow G)$  with  $A \in S$  and  $F, G$  in  $X$ . An isomorphism of tuples is an

isomorphism  $A \cong A'$  which makes the diagram

$$\begin{array}{ccc} A + F & \xrightarrow{\sim} & A' + F \\ & \searrow & \swarrow \\ & G & \end{array}$$

commute.

Now consider the category  $\mathcal{S}(\mathbb{H}_R^\infty)$  of finitely generated non-degenerate subspaces of  $\mathbb{H}_R^\infty$ . It's symmetric monoidal via  $\perp$ , and thus it acts on itself by translation. Then  $\langle \mathcal{S}(\mathbb{H}_R^\infty), \mathcal{S}(\mathbb{H}_R^\infty) \rangle$  is the category whose objects are finitely generated non-degenerate subspaces of  $\mathbb{H}_R^\infty$ , and whose morphisms  $W \rightarrow T$  are isomorphism classes of isometries  $V \perp W \rightarrow T$ .

We claim that the morphisms correspond to isometric embeddings  $W \hookrightarrow T$  which don't necessarily commute with the embeddings into  $\mathbb{H}^\infty$ . First, given an isometry  $\phi : V \perp W \rightarrow T$ ,  $\phi|_W : W \rightarrow T$  is an isometric embedding. Given two isomorphic morphisms  $W \rightarrow T$  (as defined above), they necessarily restrict to the same map on  $W$  so that there's a well-defined map of sets from the morphisms in  $\langle \mathcal{S}(\mathbb{H}_R^\infty), \mathcal{S}(\mathbb{H}_R^\infty) \rangle$  to isometric embeddings. Given an isometric embedding  $\phi : W \hookrightarrow T$ , because  $W$  is non-degenerate there's a decomposition  $T = \phi(W) \perp (\phi(W))^\perp$ . It follows that there's an isometry  $(\phi(W))^\perp \perp W \rightarrow T$ , yielding a morphism in  $\langle \mathcal{S}(\mathbb{H}_R^\infty), \mathcal{S}(\mathbb{H}_R^\infty) \rangle$ .

**Definition 4.4.4.** Define a functor  $\mathcal{I} : \langle \mathcal{S}(\mathbb{H}_R^\infty), \mathcal{S}(\mathbb{H}_R^\infty) \rangle \rightarrow \mathbf{Cat}$  which on objects is defined by  $\mathcal{I}(V) = \mathcal{S}_{|V|}(V \perp \mathbb{H}_R^\infty)$  and given a morphism  $g : V \hookrightarrow W$ ,  $\mathcal{I}(g)$  is the functor

$$\mathcal{I}(g) : \mathcal{S}_{|V|}(V \perp \mathbb{H}_R^\infty) \rightarrow \mathcal{S}_{|W|}(W \perp \mathbb{H}_R^\infty),$$

$$E \mapsto (W - g(V)) \perp (g \perp id)(E)$$

$$e \mapsto id_{W-g(V)} \perp geg^{-1}.$$

Now, let

$$\widetilde{\mathcal{G}W}(R) = \text{hocolim } \mathcal{I}.$$

To spell this out, the objects of  $\widetilde{\mathcal{G}W}(R)$  are pairs  $(V, W)$  with  $V \subseteq \mathbb{H}_R^\infty$  a finitely generated non-degenerate subspace and  $W \subset V \perp \mathbb{H}_R^\infty$  a finitely generated non-degenerate subspace of constant rank  $|V|$ .

A morphism  $(V, W) \rightarrow (A, B)$  is a pair  $(f : V \hookrightarrow A, g : (A - f(V)) \perp (f \perp id)(W) \xrightarrow{\sim} B)$ .

To justify this definition, we need to describe the relationship between  $\widetilde{\mathcal{G}W}(R)$  and  $\mathcal{G}W(R)$ .

Let  $\mathbb{N}$  denote the discrete category on the natural numbers with its usual symmetric monoidal structure, and let  $\mathbb{N}^{-1}\mathbb{N}$  denote Grayson's group completion of this symmetric monoidal category outlined above. There's a functor  $\mathbb{N}^{-1}\mathbb{N} \rightarrow \mathbb{Z}$ , where  $\mathbb{Z}$  is the discrete category on the integers, defined on objects by  $(n, m) \mapsto n - m$ . This functor is non-canonically split by the functor  $\mathbb{Z} \rightarrow \mathbb{N}^{-1}\mathbb{N}$ ,  $z \mapsto (z, 0)$ , and these two functors yield weak equivalences after application of the nerve.

Consider the map

$$Fr : \mathbb{N}^{-1}\mathbb{N} \rightarrow GW(R)$$

defined on objects by

$$(n, m) \mapsto (R^n, R^m)$$

where  $R^n, R^m$  have bilinear form corresponding to the identity matrix and

$$R^n \hookrightarrow \mathbb{H}_R^\infty \perp 0$$

$$R^m \hookrightarrow \mathbb{H}_R^\infty \perp 0 \perp 0$$

On morphisms an equivalence class  $(k, a_0, a_1) : (n_0, n_1) \rightarrow (m_0, m_1)$  such that  $a_i : n_i + k = m_i$  is sent to the isometry  $(R^k, a_0, a_1)$  where  $a_i$  is the canonical isometry  $R^{n_i} \perp R^k \cong R^{m_i}$ .

Consider as well the map

$$\iota : \widetilde{GW}(R) \rightarrow GW(R)$$

defined on objects by

$$(V, W) \mapsto (0 \perp V, 0 \perp W)$$

where

$$0 \perp V \hookrightarrow 0 \perp \mathbb{H}_R^\infty$$

$$0 \perp W \hookrightarrow 0 \perp V \perp \mathbb{H}_R^\infty.$$

For morphisms, note that given a morphism  $(f, g) : (V, W) \rightarrow (A, B)$  in  $\widetilde{GW}(R)$ , there are induced isometries

$$\widetilde{f} : A - f(V) \perp V \xrightarrow{id \perp f} A - f(V) \perp f(V) \xrightarrow{\sim} A$$

$$\widetilde{g} : A - f(V) \perp W \xrightarrow{id \perp f} A - f(V) \perp (f \perp id)(W) \xrightarrow{g} B.$$



Send such a pair  $(f, g)$  to the triple  $(A - f(V), \widetilde{f}, \widetilde{g}) : (V, W) \rightarrow (A, B)$  in  $\mathcal{GW}(R)$ .

Now consider the composite functor

$$\mathbb{N}^{-1}\mathbb{N} \times \widetilde{\mathcal{GW}}(R) \xrightarrow{Fr \times \iota} \mathcal{GW}(R) \times \mathcal{GW}(R) \xrightarrow{\perp} \mathcal{GW}(R). \quad (4.4)$$

**Lemma 4.4.5.** *The functor (4.4) is an equivalence of categories over any ring  $R$  such that non-degenerate Hermitian vector bundles have constant rank.*

*Proof.* Consider the functor  $\mathcal{GW}(R) \rightarrow \mathbb{Z}$  defined on objects by  $(V, W) \mapsto \text{rk}(V) - \text{rk}(W)$ . By assumption, this is well-defined. Given a morphism  $(C, a_0, a_1) : (V_0, V_1) \rightarrow (W_0, W_1)$ , send it to the morphism  $id_{\text{rk}(W_0)}$ . Now consider the commutative diagram

$$\begin{array}{ccccc} \widetilde{\mathcal{GW}}(R) & \xrightarrow{\iota} & \mathcal{GW}(R) & \xrightarrow{\text{rk}} & \mathbb{Z} \\ \uparrow id & & \uparrow T & & \uparrow id \\ \widetilde{\mathcal{GW}}(R) & \xrightarrow{0 \times id} & \mathbb{Z} \times \widetilde{\mathcal{GW}}(R) & \xrightarrow{\pi_{\mathbb{Z}}} & \mathbb{Z} \end{array}$$

where  $T$  is the composite

$$\mathbb{Z} \times \widetilde{\mathcal{GW}}(R) \rightarrow \mathbb{N}^{-1}\mathbb{N} \times \widetilde{\mathcal{GW}}(R) \xrightarrow{(4.4)} \mathcal{GW}(R).$$

After applying the nerve, we get a diagram of fibrations of grouplike  $E_\infty$  spaces (and the maps are maps of  $E_\infty$  spaces) so that  $T$  is a weak equivalence by the 5-lemma.  $\square$

**Corollary 4.4.6.** The functor (4.4) is a weak equivalence in the equivariant Nisnevich topology, and hence an equivariant  $\mathbb{A}^1$ -equivalence.

*Proof.* The points in the equivariant Nisnevich topology have the form

$$R = C_2 \times^{S_x} \text{Spec}(\mathcal{O}_{X,x}^{sh}).$$

If  $S_x = C_2$ , then  $R$  is a local ring and hence connected. If  $S_x = \{e\}$ , then  $R$  is a hyperbolic ring, and non-degenerate Hermitian vector bundles have the same rank over each connected component.  $\square$

Now that we've justified the definition of  $\widetilde{\mathcal{GW}}(R)$ , we produce maps

$$\mathbb{R}\text{Gr}_\infty \rightarrow B_{et}O \rightarrow \widetilde{\mathcal{GW}}.$$

Recall that  $P$  is the poset of non-degenerate sub-bundles of  $\mathbb{H}^\infty$ . The inclusion  $P \hookrightarrow \langle \mathcal{S}(\mathbb{H}^\infty), \mathcal{S}(\mathbb{H}^\infty) \rangle$

yields a natural transformation of functors  $\mathcal{H} \rightarrow \mathcal{I}$ .

**Definition 4.4.7.** Let

$$\begin{aligned}\mathbb{R}\mathcal{G}\mathbf{r}_\bullet(R) &= \operatorname{hocolim}_P \mathbb{R}\mathbf{Gr}_{|V|}(V_R \perp \mathbb{H}_R^\infty) \\ \mathcal{S}_\bullet(R) &= \operatorname{hocolim} \mathcal{H}\end{aligned}$$

**Lemma 4.4.8.** *Let  $(\mathcal{P}, \leq)$  be a filtered poset, and let  $\mathcal{F} : \mathcal{P} \rightarrow \mathbf{Cat}$  be a functor from  $\mathcal{P}$  into the category  $\mathbf{Cat}$  of small categories. Then the canonical functor of categories*

$$\phi : \operatorname{hocolim}_{\mathcal{P}} \mathcal{F} \rightarrow \operatorname{colim}_{\mathcal{P}} \mathcal{F}$$

*is a homotopy equivalence of simplicial sets after application of the nerve.*

*Proof.* The tool for proving such results is Quillen's Theorem A. To use it to conclude that  $\phi$  is a homotopy equivalence, we need to show that  $N(d \downarrow \phi)$  is contractible for any object  $d \in \operatorname{colim}_{\mathcal{P}} \mathcal{F}$ . By definition, the comma category  $d \downarrow \phi$  has as objects pairs

$$(c \in \operatorname{hocolim}_{\mathcal{P}} \mathcal{F}, e \in \operatorname{Hom}_{\operatorname{colim}_{\mathcal{P}} \mathcal{F}}(d, \phi(c)))$$

and morphisms  $(c, e) \rightarrow (c', e')$  are maps  $t : c \rightarrow c'$  which make the square

$$\begin{array}{ccc} d & \xrightarrow{e} & \phi(c) \\ id \downarrow & & \downarrow \phi(t) \\ d & \xrightarrow{e'} & \phi(c') \end{array}$$

commute. Given a morphism  $P \leq Q$  in  $\mathcal{P}$ , and an object  $A \in \mathcal{F}(P)$ , denote by  $A_Q$  the object  $\mathcal{F}(P \leq Q)(A)$ . A fixed object  $d \in \operatorname{colim}_{\mathcal{P}} \mathcal{F}$  is represented by a pair  $[P, A]$  with  $P \in \mathcal{P}$  and  $A \in \mathcal{F}(P)$ . Given such a pair, we claim that there is an equivalence of categories

$$\psi : \operatorname{colim}_{P \leq Q \in \mathcal{P}} (id_{\operatorname{hocolim}_{\mathcal{P}} \mathcal{F}} \downarrow (Q, A_Q)) \cong (\phi \downarrow [P, A]).$$

Here for  $Q \leq R$ , the functor  $(id \downarrow (Q, A_Q)) \rightarrow (id \downarrow (R, A_R))$  sends  $t : (T, B) \rightarrow (Q, A_Q)$  to  $c \circ t : (T, B) \rightarrow (R, A_R)$  with  $c : (Q, A_Q) \rightarrow (R, A_R)$  the map in the homotopy colimit given by

$$(Q \leq R, id : A_R = \mathcal{F}(Q \leq R)(A_Q) \rightarrow A_R).$$

The functor  $\psi$  is defined on objects by  $(c, e : c \rightarrow (Q, A_Q)) \mapsto (c, e)$ . This is well-defined, because  $\phi(Q, A_Q) = [P, A]$  by definition of colimit of categories. On morphisms, a map  $t : c \rightarrow c'$  over  $(Q, A_Q)$  is sent to the corresponding map  $t : c \rightarrow c'$  in  $(\phi \downarrow [P, A])$ .

Given such an equivalence, the colimit on the left is a filtered colimit of categories with initial objects given by  $((Q, A_Q), id)$ , and hence is a filtered colimit of contractible categories. Because the nerve commutes with filtered colimits, and simplicial homotopy groups commute with filtered colimits, it follows that the comma category  $(\phi \downarrow [P, A])$  is contractible just as desired.  $\square$

**Corollary 4.4.9.** There are homotopy equivalences

$$\begin{aligned} \mathbb{R}\mathcal{G}r_{\bullet} &\xrightarrow{\sim} \mathbb{R}Gr \\ \mathcal{S}_{\bullet} &\xrightarrow{\sim} \mathcal{S}_{\bullet}. \end{aligned}$$

Now, there's a sequence of maps

$$\mathbb{R}\mathcal{G}r_{\bullet} \xrightarrow{\phi} \mathcal{S}_{\bullet} \xrightarrow{\psi} \widetilde{\mathcal{G}W}$$

where  $\phi$  is induced by inclusion of objects and  $\psi$  is induced by inclusion of diagrams.

**Proposition 4.4.10.** *Let  $R$  be a possibly non-regular Noetherian ring with involution such that non-degenerate Hermitian vector bundles over  $R$  have constant rank (e.g. connected or hyperbolic), and such that  $\frac{1}{2} \in R$ . Then inclusion of diagrams induces a weak equivalence of simplicial sets*

$$\mathcal{S}_{\bullet}(\Delta R) \xrightarrow{\sim} \widetilde{\mathcal{G}W}(\Delta R).$$

*Proof.* We have a commutative diagram

$$\begin{array}{ccccc} \mathcal{S}_{\bullet}(R) & \longrightarrow & \widetilde{\mathcal{G}W}(R) & & \\ \downarrow & & \downarrow & & \\ \operatorname{colim}_{V \subset \mathbb{H}_R^{\infty}} \mathcal{S}(V \perp \mathbb{H}_R^{\infty}) & \longrightarrow & \operatorname{colim}_{\mathcal{I}} \mathcal{S}(V \perp \mathbb{H}_R^{\infty}) & \xrightarrow{\sim} & GW(R) \\ \downarrow & & \downarrow & & \\ \mathbb{Z} & \longrightarrow & \mathbb{Z} & & \end{array}.$$

Using that homology commutes with filtered colimits of simplicial sets together we compute that the homology of  $\operatorname{colim}_{V \subset \mathbb{H}_R^{\infty}} \mathcal{S}(V \perp \mathbb{H}_R^{\infty})$  is the group completion of the homology of  $\mathcal{S}(V \perp \mathbb{H}_R^{\infty})$ , and by the group completion theorem (which uses that projective modules over a ring form a *split* exact category with duality) so is the homology of  $GW(R)$ .

Said another way, the map

$$\mathbb{Z}\mathcal{S}_\bullet(R) \xrightarrow{\sim} \mathbb{Z}\widetilde{\mathcal{E}W}(R)$$

is a weak equivalence. It follows that

$$\mathbb{Z}\mathcal{S}_\bullet(\Delta R) \xrightarrow{\sim} \mathbb{Z}\widetilde{\mathcal{E}W}(\Delta R)$$

is a level-wise weak equivalence of bisimplicial sets, and hence is a weak equivalence after taking the diagonal. It follows that the map in the proposition is an isomorphism on integral homology.

Now we claim that  $GW(\Delta R)$  is a group complete  $H$ -space. We already know that  $GW(R[t_1, \dots, t_n])$  is a group complete  $H$ -space for all  $n$ . We obtain an  $H$ -space structure on  $GW(\Delta R)$  by using the level-wise  $H$ -space structure on the corresponding bisimplicial set. To prove that it's group complete, we use the fact that  $\pi_0(GW(\Delta R))$  can be written as a coequalizer

$$\pi_0 GW(R[t]) \rightrightarrows \pi_0 GW(R) \longrightarrow \pi_0 GW(\Delta R)$$

in the category of *abelian groups*, see exercise IV.11.3 in Weibel's  $K$ -theory text [Wei13].

It follows that  $\widetilde{\mathcal{E}W}(\Delta R)$  is also a group complete  $H$ -space.

Note that the  $E_\infty$ -structure defined above on  $\mathcal{S}_\bullet(\Delta R)$  gives an  $E_\infty$ -structure on  $\mathcal{S}_\bullet(\Delta R)$  simply by replacing all limits/colimits in the definition with homotopy limits/colimits. Now we have a map  $\mathcal{S}_\bullet(\Delta R) \rightarrow \widetilde{\mathcal{E}W}(\Delta R)$  of group complete  $H$ -spaces which is a homology isomorphism. By uniqueness of group completions, It follows that the map is a homotopy equivalence.  $\square$

We now state the theorem that will set us up for a geometric model of  $\widetilde{\mathcal{E}W}$  in the equivariant  $\mathbb{A}^1$ -homotopy category.

**Lemma 4.4.11.** *Let  $R$  be a commutative regular Noetherian ring with  $\frac{1}{2} \in R$ . Then there are weak equivalences*

$$B\mathbb{R}\mathcal{E}r_\bullet(\Delta R) \xrightarrow{\phi} |B\mathcal{S}_\bullet(\Delta R)| \xrightarrow{\psi} |B\widetilde{\mathcal{E}W}(\Delta R)|$$

where  $|-|$  denotes the diagonal of a bisimplicial set and  $B(\mathcal{C})$  is the nerve of the category  $\mathcal{C}$ .

*Proof.* For connected or hyperbolic (Noetherian) rings, this follows from proposition 4.4.10, Corollary 4.3.14, and Corollary 4.4.9. To extend to general commutative Noetherian rings, we can decompose  $\text{Spec } R$  as a disjoint union of its connected components. The involution on  $R$  permutes these connected components, so we can write  $\text{Spec } R$  as a disjoint union of  $\text{Spec}$  of connected rings with involution or hyperbolic rings. Since all spaces in the equivalence above convert disjoint unions of schemes with involution to sums,

we're done. □

**Theorem 4.4.12.** *Let  $\underline{\mathbb{Z}}$  denote the sheafification of the constant sheaf. There's an equivariant motivic equivalence  $L_{\text{mot}}\underline{\mathbb{Z}} \times \mathbb{R}\text{Gr}_\bullet \xrightarrow{\sim} L_{\text{mot}}GW$ .*

*Proof.* This follows immediately from Lemma 4.4.11 and Corollary 4.4.9. □

## 4.5 Representability over Non-Regular Base Schemes

Note first that Proposition 4.4.10, which provides an equivalence between  $L_{\mathbb{A}^1}B_{\text{isoEt}}(O)$  and  $L_{\mathbb{A}^1}GW$  over connected or hyperbolic rings, doesn't require the base to be regular. Extending to non-connected rings just as in the proof of Lemma 4.4.11 shows that  $L_{\mathbb{A}^1}GW \cong L_{\mathbb{A}^1}B_{\text{isoEt}}O$  over Noetherian base rings of finite Krull dimension. In particular, there's a motivic equivalence  $B_{\text{isoEt}}O \cong GW$  over Noetherian base rings which aren't necessarily regular.

It remains to show that there's a motivic equivalence  $\mathbb{R}\text{Gr}_\bullet \rightarrow B_{\text{isoEt}}O$  over non-regular Noetherian base rings.

Let  $X \rightarrow S$  be an affine  $C_2$ -scheme over  $S$ , and let  $W$  be a non-degenerate Hermitian vector bundle over  $X$ . Given an isovariant étale  $O(W)$  torsor  $\pi : T \rightarrow X$ , and an isovariant étale torsor  $U$ , let  $U_\pi$  denote the twisted sheaf  $(U \times T)/O(W)$ .

Our goal is to apply Lemma 2.1 from [Hoy16], which we restate below:

**Lemma 4.5.1.** *(Hoyois) Let  $\Gamma$  be an isovariant étale sheaf of groups on  $\mathbf{Sm}_S^{C_2}$  acting on an isovariant étale sheaf  $U$ . Suppose that, for every  $X \in \mathbf{Sm}_S^{C_2}$  and every isovariant étale torsor  $\pi : T \rightarrow X$  under  $\Gamma$ ,  $U_\pi \rightarrow X$  is a motivic equivalence on  $\mathbf{Sm}_S^{C_2}$ . Then the map*

$$L_{\text{isoEt}}(U/\Gamma) \rightarrow B_{\text{isoEt}}\Gamma$$

*induced by  $U \rightarrow *$  is a motivic equivalence on  $\mathbf{Sm}_S^{C_2}$ .*

Given an  $O(W)$ -torsor  $\pi : T \rightarrow X$ , we want to check that  $\text{St}(W, \mathbb{H}^\infty)_\pi = (\text{St}(W, \mathbb{H}^\infty) \times T)/O(W) \rightarrow X$  is a motivic equivalence on  $\mathbf{Sm}_S^{C_2}$ . Letting  $V = W_\pi$ , this is equivalent to checking that  $\text{St}(V, \mathbb{H}_X^\infty)$  is motivically contractible over  $\mathbf{Sm}_X^{C_2}$ . To wit, because  $X$  is affine there's an embedding  $V \hookrightarrow \mathbb{H}^m$ , and we have  $V \perp \mathbb{H}_X^\infty \cong \mathbb{H}_X^\infty$  since  $\mathbb{H}_X^\infty = \text{colim}_{W \subset \mathbb{H}_X^\infty} W$ . It follows that  $\text{St}(V, \mathbb{H}_X^\infty) \cong \text{St}(V, V \perp \mathbb{H}_X^\infty)$ , and Lemma 4.3.10 (which didn't assume regularity of the base) shows that  $\text{St}(V, V \perp \mathbb{H}_X^\infty)$  is motivically contractible over  $\mathbf{Sm}_X^{C_2}$ .

It's a direct consequence of the above lemma that

$$L_{\text{isoEt}}(\text{St}(W, W \perp \mathbb{H}^\infty)/O(W) \rightarrow B_{\text{isoEt}}O(W)$$

is a motivic equivalence. However, we've already shown (4.3) that

$$\mathrm{St}(W, W \perp \mathbb{H}^\infty) \cong \mathbb{R}\mathrm{Gr}_W(W \perp \mathbb{H}^\infty),$$

so that

$$L_{isoEt}\mathrm{St}(W, W \perp \mathbb{H}^\infty) \cong L_{isoEt}\mathbb{R}\mathrm{Gr}_W(W \perp \mathbb{H}^\infty) \cong \mathbb{R}\mathrm{Gr}_{|W|}(W \perp \mathbb{H}^\infty)$$

which after taking colimits gives the desired result.

We've thus proved:

**Theorem 4.5.2.** *Let  $S$  be a Noetherian scheme of finite Krull dimension. There are equivalences of  $C_2$ -motivic spaces on  $\mathbf{Sm}_S^{C_2}$*

$$\mathbb{Z} \times \mathbb{R}\mathrm{Gr}_\bullet \xrightarrow{\sim} \mathbb{Z} \times B_{isoEt} O \xrightarrow{\sim} GW$$

## Chapter 5

# Periodicity in the Hermitian $K$ -Theory of Rings with Involution

### 5.1 A Projective Bundle Formula for $\mathbb{P}^\sigma$

Let  $\mathbb{P}^\sigma$  denote  $\mathbb{P}^1$  with involution  $\sigma$  defined by  $[x : y] \mapsto [y : x]$ . When necessary we'll point it at the point  $[1 : 1]$ . Throughout this section, we'll fix the notation  $\mathcal{O} = \mathcal{O}_{\mathbb{P}^1}$ .

Consider the square of  $\mathcal{O}$ -modules

$$\begin{array}{ccc} \mathcal{O}(-1) & \xrightarrow{\frac{T+S}{2}} & \mathcal{O} \\ \downarrow \frac{T-S}{2} & & \downarrow \frac{T-S}{2} \\ \mathbf{Hom}(\sigma_*\mathcal{O}, \mathcal{O}) & \xrightarrow{\frac{T+S}{2}} & \mathbf{Hom}(\sigma_*\mathcal{O}(-1), \mathcal{O}) \end{array} \quad (5.1)$$

where the map  $\frac{T-S}{2} : \mathcal{O}(-1) \rightarrow \mathcal{O}$  is induced via the tensor-hom adjunction by the composition

$$\mathcal{O}(-1) \otimes \left\{ \frac{T-S}{2} \right\} \otimes \sigma_*\mathcal{O} \xrightarrow{id \otimes id} \mathcal{O}(-1) \otimes \mathcal{O}(1) \otimes \sigma_*\mathcal{O} \xrightarrow{id \otimes id \otimes (\sigma^\#)^{-1}} \mathcal{O}(-1) \otimes \mathcal{O}(1) \otimes \mathcal{O} \xrightarrow{\mu \otimes id} \mathcal{O} \otimes \mathcal{O} \xrightarrow{\mu} \mathcal{O} \quad (5.2)$$

and the map  $\frac{T-S}{2} : \mathcal{O} \rightarrow \sigma_*\mathcal{O}(-1)$  is induced via the tensor-hom adjunction by the composition

$$\mathcal{O} \otimes \left\{ \frac{T-S}{2} \right\} \otimes \sigma_*\mathcal{O}(-1) \xrightarrow{\sigma^\# \otimes \sigma^\# \circ id} \sigma_*\mathcal{O} \otimes \sigma_*\mathcal{O}(1) \otimes \sigma_*\mathcal{O}(-1) \xrightarrow{id \otimes \sigma_*(\mu)} \sigma_*\mathcal{O} \otimes \sigma_*\mathcal{O} \xrightarrow{\sigma_*\mu} \sigma_*\mathcal{O} \xrightarrow{(\sigma^\#)^{-1}} \mathcal{O} \quad (5.3)$$

where  $\mu$  denotes multiplication. We're abusing notation in the map (5.3) and using  $\sigma^\#$  to denote both the maps  $\mathcal{O} \rightarrow \sigma_*\mathcal{O}$  and  $\mathcal{O}(1) \rightarrow \sigma_*\mathcal{O}(1)$  induced by the graded automorphism of  $k[S, T]$  given by  $f(S, T) \mapsto f(T, S)$ . The image of  $1 \in \mathcal{O}$  under the adjoint of the map (5.3) yields the element  $\frac{S-T}{2}$  as a global section of  $\sigma_*\mathcal{O}(1)$ . The map  $\frac{T+S}{2} : \mathbf{Hom}(\sigma_*\mathcal{O}, \mathcal{O}) \rightarrow \mathbf{Hom}(\sigma_*\mathcal{O}(-1), \mathcal{O})$  is induced by precomposition with  $\sigma_*(\frac{T+S}{2})$ , which is just multiplication by the global section  $\frac{T+S}{2}$ . Equation (5.2) can be understood similarly.

We claim that diagram 5.1 commutes. Fix an open  $U \subseteq \mathbb{P}^\sigma$  which need not be invariant, and open  $V \subseteq U$ .

Going down then right yields the composite map

$$u \mapsto (v \mapsto \frac{T-S}{2} \cdot u \cdot (\sigma^\#)^{-1} \left( \frac{T+S}{2} \cdot v \right)).$$

Going right first then down yields the composite

$$u \mapsto (v \mapsto (\sigma^\#)^{-1} (\sigma^\# \left( \frac{T+S}{2} \cdot u \right) \cdot \frac{S-T}{2} \cdot v))$$

These are equal since  $\frac{T+S}{2}$  is an invariant global section. Note that the diagram 5.1 is a map in  $\text{Fun}([1], \text{Vect}(\mathbb{P}^\sigma))$  from

$$\mathcal{O}(-1) \xrightarrow{\frac{T+S}{2}} \mathcal{O}$$

to its dual,

$$\mathbf{Hom}(\sigma_* \mathcal{O}, \mathcal{O}) \xrightarrow{\frac{T+S}{2}} \mathbf{Hom}(\sigma_* \mathcal{O}(-1), \mathcal{O}).$$

Thus this diagram defines a (not necessarily non-degenerate) form, which we denote by  $\phi$ .

In order to show that this  $\phi$  is symplectic, we have to check that  $\phi^* \circ (-\text{can}) = \phi$ . To spell this out in detail, the dual and double dual are functors. Applying these two functors, we get the two objects

$$\mathcal{O}^* \xrightarrow{\frac{T+S}{2}^*} \mathcal{O}(-1)^*$$

and

$$\mathcal{O}(-1)^{**} \xrightarrow{\frac{T+S}{2}^{**}} \mathcal{O}^{**}$$

in  $\text{Fun}([1], \text{Ch}^b \text{Vect}(\mathbb{P}^\sigma))$ .

Because  $\text{can}$  is a natural transformation  $id \rightarrow **$ , there's a commutative diagram

$$\begin{array}{ccc} \mathcal{O}(-1) & \xrightarrow{\frac{T+S}{2}} & \mathcal{O} \\ \downarrow \text{can} & & \downarrow \text{can} \\ \mathcal{O}(-1)^{**} & \xrightarrow{\frac{T+S}{2}^{**}} & \mathcal{O}^{**} \\ \downarrow \frac{T-S}{2}^* & & \downarrow \frac{T-S}{2}^* \\ \mathcal{O}^* & \xrightarrow{\frac{T+S}{2}^*} & \mathcal{O}(-1)^* \end{array}$$

The goal is to show that the vertical maps in the large rectangle are the negative of the vertical maps in diagram 5.1. Tracing through the definitions, we see that  $\text{can}$  is the map which sends  $u \in \mathcal{O}(-1)(U)$  to the



natural transformation

$$\gamma \mapsto (\sigma^\#)^{-1}(\gamma(u|_V)),$$

and  $\phi^* \circ \text{can}(u)$  is the natural transformation

$$v \mapsto (\sigma^\#)^{-1} \left( \frac{T-S}{2} \cdot v \cdot (\sigma^\#)^{-1}(u) \right)$$

which is the same thing as

$$v \mapsto \left( -\frac{T-S}{2} \cdot (\sigma^\#)^{-1}(v) \cdot u \right).$$

On the other hand,  $\frac{T-S}{2} : \mathcal{O}(-1) \rightarrow \mathcal{O}^*$  is the map

$$u \mapsto (v \mapsto \frac{T-S}{2} \cdot u \cdot (\sigma^\#)^{-1}(v))$$

which is by what we calculated above equal to  $-(\phi^* \circ \text{can}) = \phi^* \circ (-\text{can})$ .

Now just as in [Sch17], taking the mapping cone of  $\phi$  via the functor

$$\text{Cone} : \text{Fun}([1], \text{Ch}^b \text{Vect}(\mathbb{P}^\sigma))^{[0]} \rightarrow (\text{Ch}^b \text{Vect}(\mathbb{P}^\sigma))^{[1]}$$

yields a symplectic form  $\beta^\sigma = \text{Cone}(\phi)$ .

We claim that there's an exact sequence

$$\mathcal{O}(-1) \xrightarrow{\begin{pmatrix} \frac{T+S}{2} \\ \frac{T-S}{2} \end{pmatrix}} \mathcal{O} \oplus \mathcal{O}^* \xrightarrow{\begin{pmatrix} \frac{T+S}{2} & -\frac{T-S}{2} \end{pmatrix}} \mathcal{O}(-1)^*$$

where the maps are the maps in diagram 5.1. The fact that the composite is zero follows from commutativity of that 5.1. To show that the kernel equals the image, note that any permutation of  $(\frac{T+S}{2}, \frac{S-T}{2})$  is a regular sequence on  $k[S, T]$ . Thus if  $\frac{T+S}{2}x + \frac{S-T}{2}y = 0$ , reducing mod  $\frac{T+S}{2}$  we see that  $y \in (\frac{T+S}{2})$  and reducing mod  $\frac{S-T}{2}$  we see that  $x \in (\frac{S-T}{2})$ . It follows that the square defining  $\phi$  is a pushout, and hence the induced map on mapping cones is a quasi isomorphism. Hence  $\beta^\sigma$  is a well-defined, non-degenerate symplectic form in  $(\text{Ch}^b \text{Vect}(\mathbb{P}^\sigma))^{[1]}$ .

**Theorem 5.1.1.** *Let  $X$  be a scheme with trivial involution, an ample family of line bundles, and  $\frac{1}{2} \in X$ , and denote by  $p : \mathbb{P}^\sigma \rightarrow X$  the structure map of the equivariant projective line over  $X$ , with action  $[x : y] \mapsto [y : x]$ . Then for*

all  $n \in \mathbb{Z}$ , the following are natural stable equivalences of (bi-) spectra

$$\begin{aligned} GW^{[n]}(X) \oplus GW^{[n-1]}(X, -\text{can}) &\xrightarrow{\sim} GW^{[n]}(\mathbb{P}_X^\sigma) \\ \mathbb{G}W^{[n]}(X) \oplus \mathbb{G}W^{[n-1]}(X, -\text{can}) &\xrightarrow{\sim} \mathbb{G}W^{[n]}(\mathbb{P}_X^\sigma) \\ (x, y) &\mapsto p^*(x) + \beta^\sigma \cup p^*(y). \end{aligned}$$

*Proof.* The proof of Theorem 9.10 in [Sch17] can be easily adapted. Note that our Bott element  $\beta^\sigma$  is a linear change of coordinates from the standard Bott element on  $\mathbb{P}^1$ . Keeping in mind that the involution only affects the duality and not the underlying derived category with weak equivalences, it's still true that  $\beta^\sigma \otimes : \mathcal{T}\text{sPerf}(X) \rightarrow \mathcal{T}\text{sPerf}(\mathbb{P}_X^1)/p^*\mathcal{T}\text{sPerf}(X)$  is an equivalence of triangulated categories. As in *loc. cit.*, if we denote by  $w$  the set of morphisms in  $\text{sPerf}(\mathbb{P}_X^1)$  which are isomorphisms in  $\mathcal{T}\text{sPerf}(\mathbb{P}_X^1)/p^*\mathcal{T}\text{sPerf}(X)$ , we get a sequence

$$(\text{sPerf}(X), \text{quis}) \xrightarrow{p^*} (\text{sPerf}(\mathbb{P}_X^1), \text{quis}) \longrightarrow (\text{sPerf}(\mathbb{P}_X^1), w)$$

which is a Morita exact sequence of categories with duality. That is, the maps are maps of categories with duality, and the underlying sequence of categories is Morita exact. It follows that this sequence induces a homotopy fibration of  $GW^{[n]}$  and  $\mathbb{G}W^{[n]}$  spectra. As remarked above, these fibration sequences split via the exact dg form functors

$$(\text{sPerf}(X), \text{quis}) \xrightarrow{\beta^\sigma \otimes} (\text{sPerf}(\mathbb{P}_X^1), \text{quis}) \longrightarrow (\text{sPerf}(\mathbb{P}_X^1), w)$$

so that the composite induces an equivalence of triangulated categories. Finally, using that  $GW$  and  $\mathbb{G}W$  are invariant under derived equivalences, we conclude the theorem.  $\square$

Considering  $GW$  as a presheaf of spectra on  $\mathbf{Sm}_S^{C_2}$  it follows from Theorem 5.1.1 that  $GW^{[n]}(\mathbb{P}^\sigma, [1 : 1]) \cong GW^{[n-1]}(X, -\text{can}) \cong GW^{[n+1]}(X)$ , recovering one of the results of [Xie18]. Hence

$$\mathbf{Hom}(\Sigma^\infty(\mathbb{P}^\sigma, [1 : 1]), GW^{[n]}) \cong GW^{[n+1]}$$

as presheaves of spectra on  $\mathbf{Sm}_S^{C_2}$ . In particular, by the projective bundle formula from [Sch17] and the usual cofiber sequence

$$([1 : 1] \times \mathbb{P}^\sigma) \vee (\mathbb{P}^1 \times [1 : 1]) \rightarrow \mathbb{P}^\sigma \times \mathbb{P}^1 \rightarrow \mathbb{P}^\sigma \wedge \mathbb{P}^1$$

we obtain the periodicity isomorphism

$$\mathbf{Hom}((\mathbb{P}^1, [1 : 1]) \wedge (\mathbb{P}^\sigma, [1 : 1]), GW^{[n]}) \cong GW^{[n]}$$

induced by the map

$$\begin{aligned} GW^{[n]}(X) &\rightarrow GW^{[n+1]}(\mathbb{P}_X^1) \rightarrow GW^{[n]}(\mathbb{P}_{\mathbb{P}_X^1}^\sigma) \\ x &\mapsto \beta \cup p^*(x) \mapsto \beta^\sigma \cup q^*(k[-1] \otimes \beta \cup p^*(x)) \end{aligned}$$

where  $p$  is the projection  $\mathbb{P}_X^1 \rightarrow X$ , and  $q$  is the projection  $\mathbb{P}_{\mathbb{P}_X^1}^\sigma \rightarrow \mathbb{P}_X^1$ . The analogous statements hold for the presheaf of spectra  $\mathbb{G}W$ .

As notation for later, let  $\beta^{1+\sigma}$  denote the induced map

$$\beta^{1+\sigma} : (\mathbb{P}^1, [1 : 1]) \wedge (\mathbb{P}^\sigma, [1 : 1]) \rightarrow GW. \quad (5.4)$$

**Lemma 5.1.2.** *The Bott element  $\beta^\sigma$  restricts to zero in  $C_2 \times \mathbb{A}^\sigma = \mathbb{P}^\sigma - [1 : 0] \coprod \mathbb{P}^\sigma - [0 : 1]$ .*

*Proof.* As in [Sch17], because the Bott element is natural it suffices to prove that the bott element  $\beta^\sigma$  in  $\mathbb{P}_{\mathbb{Z}[\frac{1}{2}]}^\sigma$  restricts to zero. From the definition of the Bott element, it's clear that it's supported on  $[1 : -1]$ . There's a commutative diagram

$$\begin{array}{ccccc} GW^{[n]}(C_2 \times \mathbb{A}^\sigma \text{ on } [1 : -1] \coprod [1 : -1]) & \longrightarrow & GW^{[n]}(C_2 \times \mathbb{A}^\sigma) & \longrightarrow & GW^{[n]}(C_2 \times \mathbb{A}^\sigma - [1 : -1]) \\ & & \downarrow & \swarrow & \\ & & GW^{[n]}(\mathrm{Spec}(\mathbb{Z}[\frac{1}{2}])) & & \end{array}$$

where the vertical maps are induced by inclusion of the point  $[1 : 1]$ . Because  $\mathbb{Z}[\frac{1}{2}]$  is regular, the results of [Xie18] show that the middle vertical map is an isomorphism, hence the upper right map is an injection. By localization, the top row is exact, and it follows that the left horizontal map is the zero map.  $\square$

## 5.2 The Periodization of $GW$

The idea behind the Bass construction in algebraic  $K$ -theory is that as a consequence of satisfying localization, there is a Bass exact sequence ending in

$$\cdots \rightarrow K_n(\mathbb{G}_m) \xrightarrow{\partial} K_{n-1}(X) \rightarrow 0$$

for all  $n$ . This comes from applying  $K$ -theory to the pushout square manifesting the usual cover of  $\mathbb{P}^1$  together with the projective bundle formula. The map  $\partial$  is split by  $x \mapsto [T] \cup p^*(x)$  where  $p$  is the projection to the base scheme  $p : \mathbb{G}_m \rightarrow X$ . It follows that if  $K$  exhibits an exact Bass sequence in all degrees  $n$ , then  $K_{n-1}(X)$  can be identified with the image of  $\partial([T]) \cup x$  (i.e. this map is an automorphism of  $K_{n-1}(X)$ ). In fact,  $\partial([T]) \cup -$  is the idempotent endomorphism  $(0, 1)$  of  $K_0(\mathbb{P}^1) \cong K_0(X) \oplus K_0(X)$ . The Bass construction can be thought of as defining  $K_n^B(X)$  so that there's an exact sequence  $K_n^B(\mathbb{A}^1) \oplus K_n^B(\mathbb{A}^1) \rightarrow K_n^B(\mathbb{G}_m) \rightarrow K_{n-1}^B(X)$ , then identifying  $K_{n-1}^B(X)$  with  $(0, 1) \cdot K_{n-1}^B(\mathbb{P}^1)$ . In other words, it can be constructed as the colimit

$$K^B = \operatorname{colim}(K \rightarrow \mathbf{Hom}(\mathbb{A}^1 \coprod_{\mathbb{G}_m} \mathbb{A}^1, K) \rightarrow \dots)$$

where the pushouts are taken in presheaves and the maps are induced by applying  $\mathbf{Hom}(-, K)$  in the category of  $K$ -modules to the composite

$$\mathbb{A}^1 \coprod_{\mathbb{G}_m} \mathbb{A}^1 \rightarrow \Sigma \mathbb{G}_m \xrightarrow{T} K.$$

Here, loosely speaking, the first map in the composite represents the boundary in the long Bass exact sequence  $\partial$  while the second represents  $[T]$ , so that in the category of  $K$  modules this map represents cup product with  $\partial([T])$ .

We'll spell out an example a bit more explicitly to give a flavor for the constructions to come. Let  $W = \mathbb{A}^1 \coprod_{\mathbb{G}_m} \mathbb{A}^1$ , where we emphasize again that the pushout is in the category of presheaves. Because this is a (homotopy) pushout in the category of presheaves, applying  $\mathbf{Hom}(-, K)$  gives us a homotopy pullback square, and hence a Mayer-Vietoris long exact sequence. In particular, it gives us a map of presheaves of spectra (which can be promoted to a map of  $K$ -modules)  $\Omega K(\mathbb{G}_m) \rightarrow K(W)$ , where we abuse notation and write  $K(W)$  for the internal hom of  $W$  into  $K$ . Because  $K^B$  satisfies Nisnevich descent, and  $K_i(-) = K_i^B(-)$  for  $i \geq 0$ , it follows by the 5-lemma that  $K_0(W) \cong K_0(\mathbb{P}^1) \cong K_0(X) \oplus K_0(X)$ , and that the element  $\partial([T]) \cup -$  represents projection onto the second factor as an endomorphism of  $K_0(W)$ .

Now, we want to explain why  $\partial([T]) \cup K_{-1}(W) \cong K_{-1}^B(X)$ . We'll use the fact that  $K^B(W) \cong K^B(\mathbb{P}^1)$  and that  $K_{-1}^B(X) = \partial([T]) \cup K_{-1}^B(\mathbb{P}^1) = \partial(K_0^B(\mathbb{G}_m))$ .

To begin, because  $\partial([T])$  is zero in  $K_0(\mathbb{A}^1)$ , the image of  $\partial([T]) \cup K_{-1}(W)$  in  $K_{-1}(\mathbb{A}^1) \oplus K_{-1}(\mathbb{A}^1)$  is zero. By exactness, it follows that  $\partial([T]) \cup K_{-1}(W) \subseteq \partial K_0(\mathbb{G}_m)$ .

There's a map  $\phi : K_{-1}(W) \rightarrow K_{-1}^B(W) \cong K_{-1}^B(\mathbb{P}^1)$  and a commutative diagram

$$\begin{array}{ccccc} K_0(\mathbb{A}^1) \oplus K_0(\mathbb{A}^1) & \longrightarrow & K_0(\mathbb{G}_m) & \xrightarrow{\partial} & K_{-1}(W) \\ \downarrow & & \downarrow & & \downarrow \phi \\ K_0(\mathbb{A}^1) \oplus K_0(\mathbb{A}^1) & \longrightarrow & K_0(\mathbb{G}_m) & \xrightarrow{\partial} & K_{-1}^B(W) \end{array}$$

which shows that  $\phi$  restricts to an isomorphism  $\partial(K_0(\mathbb{G}_m)) \cong \partial(K_0^B(\mathbb{G}_m))$ , and in particular that  $\phi(\partial(K_0(\mathbb{G}_m))) = \partial(K_0^B(\mathbb{G}_m))$ . Now

$$\phi(\partial([T]) \cup \partial(K_0(\mathbb{G}_m))) = \partial([T]) \cup \phi(\partial(K_0(\mathbb{G}_m))) = \partial([T]) \cup \partial(K_0^B(\mathbb{G}_m)) = \partial(K_0^B(\mathbb{G}_m))$$

where we've crucially used that for Bass  $K$ -theory,  $\partial([T]) \cup \partial(K_0^B(\mathbb{G}_m)) = \partial([T]) \cup \partial(K_0^B(\mathbb{P}^1)) = \partial(K_0^B(\mathbb{G}_m))$ .

But as remarked above, the fact that  $\partial([T])$  is trivial in  $K_0(\mathbb{A}^1)$  implies that  $\partial([T]) \cup \partial(K_0(\mathbb{G}_m)) \subseteq \partial(K_0(\mathbb{G}_m))$ , and we know that  $\phi|_{\partial(K_0(\mathbb{G}_m))}$  is an isomorphism. Since  $\phi|_{\partial([T]) \cup \partial(K_0(\mathbb{G}_m))}$  is surjective by the chain of equalities above, it follows that  $\partial(K_0(\mathbb{G}_m)) = \partial([T]) \cup K_{-1}(W)$ . We've shown that

$$\partial([T]) \cup K_{-1}(W) = \partial(K_0(\mathbb{G}_m)) \cong \partial(K_0^B(\mathbb{G}_m)) \cong K_{-1}^B(X).$$

If we take pointed versions of the above sequences by pointing all the schemes in question at  $[1 : 1]$  everything goes through as above with the extra benefit that  $\partial([T]) \cup K_{-1}^B(W, 1) = K_{-1}^B(W, 1)$ , and the map  $K^B(X) \rightarrow K^B(W, 1)$ ,  $x \mapsto p^*(x) \cup \partial([T])$  is an isomorphism by the projective bundle formula. Now the map  $p : (W, 1) \rightarrow 1$  is split by inclusion of the base point, and thus  $p^* : K(W, 1) \rightarrow K((W, 1) \otimes (W, 1))$  is injective. Furthermore,  $p^*(x \cup \partial([T])) = \partial([T]) \cup p^*(x)$ , so that the image of  $K_{-1}(W, 1)$  in  $K_{-1}((W, 1) \otimes (W, 1))$  under the map  $x \mapsto \partial([T]) \cup p^*(x)$  is, by what we showed above, isomorphic to  $K_{-1}^B(X)$ . This shows that

$$\pi_{-1} K^B = \pi_{-1} \operatorname{colim}(K \rightarrow \mathbf{Hom}(\mathbb{A}^1 \bigsqcup_{\mathbb{G}_m} \mathbb{A}^1, K) \rightarrow \cdots).$$

This argument is mostly formal given a few pieces of structural information:

- A map  $K \rightarrow K^B$  which respects cup products,
- Nisnevich descent for  $K^B$ , and
- A Bass exact sequence split by cup product with an element in  $K_1(\mathbb{G}^m)$ .

The remainder of this section will show that these three pieces of structure are present for Grothendieck-Witt groups, which will allow us to repeat essentially the same argument to give a construction of the

localizing  $\mathbb{G}W$  as a periodization of  $GW$ . First, equivariant Nisnevich descent for  $\mathbb{G}W$  is a consequence of results from [Sch17].

**Lemma 5.2.1.**  *$\mathbb{G}W$  satisfies equivariant Nisnevich descent.*

*Proof.* Recall that the distinguished squares defining the equivariant Nisnevich cd-structure are cartesian squares in  $\mathbf{Sm}_S^G$

$$\begin{array}{ccc} B & \longrightarrow & Y \\ \downarrow & & \downarrow p \\ A & \xrightarrow{j} & X \end{array}$$

where  $j$  is an open immersion,  $p$  is étale, and  $(Y - B)_{\text{red}} \rightarrow (X - A)_{\text{red}}$  is an isomorphism.

As in [Sch17, Theorem 9.6], a result of Thomason [TT07, Theorem 2.6.3] tells us that the map  $p$  induces a quasi-equivalence of dg categories

$$p^* : \text{sPerf}_Z(X) \rightarrow \text{sPerf}_Z(Y).$$

From here, the localization sequence for  $\mathbb{G}W$  allows us to conclude the result. □

Next, we identify the analogues of the Bass sequence and the splittings therein. From [Sch17, Theorem 9.13], we know that there's a Bass sequence

$$0 \longrightarrow \mathbb{G}W_i^{[n]}(X) \longrightarrow \mathbb{G}W_i^{[n]}(\mathbb{A}_X^1) \oplus \mathbb{G}W_i^{[n]}(\mathbb{A}_X^1) \longrightarrow \mathbb{G}W_i^{[n]}(X[T, T^{-1}]) \longrightarrow \mathbb{G}W_{i-1}^{[n-1]}(X) \longrightarrow 0$$

where the last non-trivial map is split by cup product with (the pullback of)  $[T]$  in  $\mathbb{G}W_1^{[1]}(\mathbb{Z}[\frac{1}{2}][T, T^{-1}])$ .

This gives us a candidate map  $\mathbb{A}^1 \coprod_{\mathbb{G}_m} \mathbb{A}^1 \rightarrow \Sigma \mathbb{G}_m \xrightarrow{[T]} \mathbb{G}W^{[1]}$ .

Now, we want to find a candidate map  $\Sigma^\sigma \mathbb{G}_m^\sigma \rightarrow \mathbb{G}W^{[-1]}$  so that we can eventually invert

$$\Sigma^\sigma \mathbb{G}_m^\sigma \otimes \Sigma \mathbb{G}_m \rightarrow \mathbb{G}W^{[-1]} \otimes \mathbb{G}W^{[1]} \rightarrow \mathbb{G}W^{[0]}.$$

Define  $W_\sigma$  by the pushout square in the category of presheaves

$$\begin{array}{ccc} (C_2 \times \mathbb{G}_m^\sigma)_+ & \longrightarrow & (C_2 \times \mathbb{A}^\sigma)_+ \\ \downarrow & & \downarrow \\ (\mathbb{G}_m^\sigma)_+ & \longrightarrow & W_\sigma \end{array}$$

There's an associated homotopy pushout square

$$\begin{array}{ccc} (C_2 \times \mathbb{G}_m^\sigma)_+ / (C_2)_+ & \longrightarrow & (C_2 \times \mathbb{A}^\sigma)_+ / (C_2)_+ \\ \downarrow & & \downarrow \\ (\mathbb{G}_m^\sigma)_+ / S^0 & \longrightarrow & W_\sigma / S^0 \end{array}$$

and taking the homotopy cofiber of the left vertical map yields  $S^\sigma \wedge \mathbb{G}_m^\sigma$ . It follows that the homotopy cofiber of the right vertical map is equivalent to  $S^\sigma \wedge \mathbb{G}_m^\sigma$ , and that there's a long exact sequence

$$\cdots \longrightarrow GW_i^{[n]}(S^\sigma \wedge \mathbb{G}_m^\sigma) \longrightarrow GW_i^{[n]}(W_\sigma / S^0) \longrightarrow GW_i^{[n]}((C_2 \times \mathbb{A}^\sigma)_+ / (C_2)_+) \longrightarrow \cdots. \quad (5.5)$$

Here if  $\mathbb{A}_S^\sigma \cong S$ , then  $(C_2 \times \mathbb{A}^\sigma)_+ / (C_2)_+ \cong (C_2)_+ \wedge \mathbb{A}^\sigma$  is contractible and  $W / S^0 \cong S^\sigma \wedge \mathbb{G}_m^\sigma$ . Working over the regular ring  $\mathbb{Z}[\frac{1}{2}]$ ,  $GW(W_\sigma / S^0) \cong GW(\mathbb{P}^\sigma / S^0)$ , and

$$GW_i^{[n]}(W_\sigma / S^0) \cong GW_i^{[n]}(\mathbb{P}^\sigma / S^0) \cong GW_i^{[n+1]}(S)$$

by the projective bundle formula 5.1.1.

The maps in the sequence (5.5) are maps of  $GW_*^{[0]}$ -modules, and the sequence is natural in the base scheme. The induced map

$$GW_0^{[-1]}(S^\sigma \wedge \mathbb{G}_m^\sigma) \rightarrow GW_0^{[0]}(\mathbb{Z}[\frac{1}{2}])$$

is an isomorphism of  $GW_0^{[0]}(\mathbb{Z}[\frac{1}{2}])$ -modules, and hence the inverse is uniquely determined by a lift of the element  $\langle 1 \rangle \in GW_0^{[0]}(\mathbb{Z}[\frac{1}{2}])$  to  $GW_0^{[-1]}(S^\sigma \wedge \mathbb{G}_m^\sigma)$ . We stress that this element  $\langle 1 \rangle$  maps to  $\beta^\sigma \cup k[-1] \cup \langle 1 \rangle$  in  $\mathbb{P}^\sigma$ , and in particular it isn't the unit of multiplication in  $GW(\mathbb{P}^\sigma)$ . We'll denote this element by  $[T^\sigma]$  in analogy with the non-equivariant case.

Over an arbitrary base scheme  $X$ , we denote by  $[T^\sigma]$  the pullback of  $[T^\sigma]$  to  $GW_0^{[-1]}(S^\sigma \wedge \mathbb{G}_m^\sigma \times_{\mathbb{Z}[\frac{1}{2}]} X)$  using functoriality of  $GW$ . We summarize in the definition below.

**Definition 5.2.2.** Let  $[T]$  denote the class of the element  $T$  in  $GW_1^{[1]}(\mathbb{Z}[\frac{1}{2}][T, T^{-1}])$ . Let  $\partial([T])$  denote the image of  $[T]$  under the connecting map in the Bass sequence

$$\partial : GW_1^{[1]}(\mathbb{Z}[\frac{1}{2}][T, T^{-1}]) \rightarrow GW_0^{[1]}(\mathbb{P}_{\mathbb{Z}[\frac{1}{2}]}^1).$$

Let  $[T^\sigma]$  denote the lift of the element  $\langle 1 \rangle \in GW_0^{[0]}(\mathbb{Z}[\frac{1}{2}])$  to  $GW_0^{[-1]}(S^\sigma \wedge \mathbb{G}_m^\sigma)$ . Let  $\partial([T^\sigma])$  denote the

image of  $[T^\sigma]$  under the connecting map in the long exact sequence 5.5

$$\partial : GW_1^{[-1]}(S^\sigma \wedge \mathbb{G}_m^\sigma) \longrightarrow GW_0^{[-1]}(W_\sigma/S^0).$$

Over an arbitrary scheme  $S$  with  $\frac{1}{2} \in S$ , let  $[T]$  and  $[T^\sigma]$  denote the pullbacks  $f^*([T])$ ,  $f^*([T^\sigma])$  under the unique map  $f : S \rightarrow \mathbb{Z}[\frac{1}{2}]$ , and similarly for  $\partial([T])$  and  $\partial([T^\sigma])$ .

Let  $W = (\mathbb{A}^1 \coprod_{\mathbb{G}_m} \mathbb{A}^1)_+$ . Now (by taking the pointed version of everything) we have a candidate map

$$\gamma : W_\sigma/S^0 \otimes W/S^0 \rightarrow S^\sigma \wedge \mathbb{G}_m^\sigma \otimes S^1 \wedge \mathbb{G}_m \xrightarrow{[T^\sigma] \otimes [T]} GW^{[-1]} \otimes GW^{[1]} \rightarrow GW \quad (5.6)$$

to invert.

Given a presentably symmetric monoidal  $\infty$ -category and a morphism  $\alpha : x \rightarrow \mathbf{1}$  to the monoidal unit, define

$$Q_\alpha E = \operatorname{colim}(E \xrightarrow{\alpha} \mathbf{Hom}(x, E) \xrightarrow{\alpha} \mathbf{Hom}(x^{\otimes 2}, E) \xrightarrow{\alpha} \dots).$$

In general  $Q_\alpha E$  is not the periodization of  $E$  with respect to  $\alpha$ , one obstruction being that the cyclic permutation of  $\alpha^3$  can fail to be homotopic to the identity. This matters because defining the map  $\mathbf{Hom}(x, E) \xrightarrow{\alpha} \mathbf{Hom}(x^{\otimes 2}, E)$  requires making a choice between using  $\alpha \otimes id$  or  $id \otimes \alpha$ , and these can fail to be homotopic.

**Lemma 5.2.3.** *The canonical map  $\mathbb{G}W \rightarrow Q_\gamma \mathbb{G}W$  is an equivalence of (pre)sheaves of spectra on  $\mathbf{Sm}_S^{C_2}$ .*

*Proof.* We know by the projective bundle formulas that

$$\mathbb{G}W(\mathbb{P}^\sigma \times \mathbb{P}^1 = \mathbb{P}_{\mathbb{P}^1}^\sigma) \cong \mathbb{G}W(\mathbb{P}^1) \oplus \mathbb{G}W^{[1]}(\mathbb{P}^1) \cong \mathbb{G}W(X) \oplus \mathbb{G}W^{[-1]}(X) \oplus \mathbb{G}W^{[1]}(X) \oplus \mathbb{G}W(X).$$

We claim that under this isomorphism, cup product with  $\partial[T^\sigma]$  is projection onto  $\mathbb{G}W^{[1]}(\mathbb{P}^1)$  and cup product with  $\partial[T]$  on  $\mathbb{G}W^{[1]}(\mathbb{P}^1)$  is projection onto  $\mathbb{G}W(X)$ . The latter statement is already known from [Sch17, Theorem 9.10], so we show the former. It suffices to show that cup product with  $\partial[T_X^\sigma] \cup - : \mathbb{G}W^{[n]}(X) \oplus \mathbb{G}W^{[n+1]}(X) \rightarrow \mathbb{G}W(X) \oplus \mathbb{G}W^{[n+1]}(X)$  is projection onto the second factor. But this is precisely how  $[T^\sigma]$  is defined: it's a lift under  $\partial$  of a generator of  $\mathbb{G}W^{[1]}(X)$ , so cup product with it is cup product with  $\langle 1 \rangle$  on  $\mathbb{G}W^{[n+1]}(X)$  and it's necessarily zero on the other factor because it gives a well-defined element on the pointed  $\mathbb{G}W^{[-1]}(\mathbb{P}^\sigma, [1 : 1])$ .

Because  $\mathbb{G}W$  satisfies equivariant Nisnevich descent,  $\mathbb{G}W(W/S^0) \cong \mathbb{G}W(\mathbb{P}^1, [1 : 1])$ , and  $\mathbb{G}W(W_\sigma/S^0) \cong \mathbb{G}W(\mathbb{P}^\sigma, [1 : 1])$ . Now we're essentially done. The maps in the colimit can be constructed as first identifying  $\mathbb{G}W_i^{[n]}(X)$  with  $\partial([T]) \cup \mathbb{G}W_i^{[n]}(\mathbb{P}_X^1, [1 : 1])$ , then identifying  $\mathbb{G}W_i^{[n]}(\mathbb{P}_X^1)$  with  $\partial([T^\sigma]) \cup \mathbb{G}W_i^{[n]}(\mathbb{P}_{\mathbb{P}_X^1}^\sigma, [1 : 1])$ .



By our remarks above, the image of  $\mathbb{G}W_i^{[n]}(X)$  under these identifications is isomorphic to  $\mathbb{G}W_i^{[n]}(X)$ , and hence  $Q_\gamma \mathbb{G}W_i^{[n]}(X) \simeq \mathbb{G}W_i^{[n]}(X)$  as desired.  $\square$

**Lemma 5.2.4.** *The canonical map  $Q_\gamma GW^{[m]} \rightarrow Q_\gamma \mathbb{G}W^{[m]} \cong \mathbb{G}W^{[m]}$  induces isomorphisms  $\pi_n Q_\gamma GW^{[m]} \cong \pi_n \mathbb{G}W^{[m]}$  for  $n \geq 0$  and for all  $m$ .*

*Proof.* This follows from two out of three and the proof of lemma 5.2.3 since  $\pi_n GW^{[m]} \cong \pi_n \mathbb{G}W^{[m]}$  for  $n \geq 0$  and for all  $m$ .  $\square$

**Lemma 5.2.5.** *The canonical map  $Q_\gamma GW^{[m]} \rightarrow Q_\gamma \mathbb{G}W^{[m]} \cong \mathbb{G}W$  induces an isomorphism  $\pi_n Q_\gamma GW^{[m]} \cong \pi_n \mathbb{G}W^{[m]}$  for  $n \leq 0$  and for all  $m$ .*

*Proof.* Because homotopy groups commute with filtered (homotopy) colimits of spectra

$$\pi_n Q_\gamma GW^{[m]} = \text{colim}(\pi_n GW \xrightarrow{\alpha} \pi_n \mathbf{Hom}(W/S^0 \otimes W_\sigma/S^0, GW) \xrightarrow{\alpha^{\otimes 2}} \dots).$$

Fix  $[m]$  for now and denote by  $F_n^i$  the image of  $\pi_n$  under the map

$$\alpha : GW_n^{[m]}((W/S^0 \otimes W_\sigma/S^0)^{\otimes i}) \rightarrow GW_n^{[m]}((W/S^0 \otimes W_\sigma/S^0)^{\otimes i+1})$$

and note that  $F_n^0 \cong GW_n^{[m]}$ . Denote by  $FB_n^i$  the same construction as above with  $GW$  replaced by  $\mathbb{G}W$ .

For  $i \geq -n$ , we claim that there are exact sequences

$$F_n^i(\mathbb{A}^1/1 \otimes W_\sigma/S^0) \oplus F_n^i(\mathbb{A}^1/1 \otimes W_\sigma/S^0) \longrightarrow F_n^i(\mathbb{G}_m/1 \otimes W_\sigma/S^0) \xrightarrow{\partial} F_{n-1}^i(W/S^0 \otimes W_\sigma/S^0)$$

such that  $\partial(F_n^i(\mathbb{G}_m/1 \otimes W_\sigma/S^0)) = \partial([T]) \cup \partial([T^\sigma]) \cup F_{n-1}^i(W/S^0 \otimes W_\sigma/S^0)$ . We prove this in conjunction with the statement that  $F_n^i \cong \mathbb{G}W_n^{[m]}$  for  $i \geq -n$ . The proof is induction in  $i$ , and we must show that  $\partial(F_n^i(\mathbb{G}_m/1 \otimes W_\sigma/S^0)) = \partial([T]) \cup F_{n-1}^i(W/S^0 \otimes W_\sigma/S^0)$ . For  $n \geq 0$ , the same argument that we gave for  $K$ -theory together with lemma 5.2.4 works. In more detail, there's an exact sequence

$$GW_n^{[m]}(\mathbb{A}^1/1 \otimes W_\sigma/S^0) \oplus GW_n^{[m]}(\mathbb{A}^1/1 \otimes W_\sigma/S^0) \longrightarrow GW_n^{[m]}(\mathbb{G}_m/1 \otimes W_\sigma/S^0) \xrightarrow{\partial} GW_{n-1}^{[m]}(W/S^0 \otimes W_\sigma/S^0)$$

and because  $n \geq 0$ , the same argument we gave for  $K$ -theory above identifies  $\partial(GW_n^{[m]}(\mathbb{G}_m/1 \otimes W_\sigma/S^0))$  with  $\partial([T]) \cup \partial([T^\sigma]) \cup GW_{n-1}^{[m]}(W/S^0 \otimes W_\sigma/S^0)$  and in turn with  $\mathbb{G}W^{[m]}(X)$ . Then we just use the fact that  $p^*$  is injective and a module map to conclude that  $\partial([T]) \cup \partial([T^\sigma]) \cup p^*(GW_{n-1}^{[m]}(W/S^0 \otimes W_\sigma/S^0))$  is isomorphic to  $\mathbb{G}W^{[m]}(X)$ .

Now fix an  $i$ , and assume by induction that our claim holds for all  $-n \leq i$ . Then there's an exact sequence

$$\mathbb{G}W_n^{[m]}(\mathbb{A}^1/1 \otimes W_\sigma/S^0) \oplus \mathbb{G}W_n^{[m]}(\mathbb{A}^1/1 \otimes W_\sigma/S^0) \longrightarrow \mathbb{G}W_n^{[m]}(\mathbb{G}_m/1 \otimes W_\sigma/S^0) \xrightarrow{\partial} F_{n-1}^i(W/S^0 \otimes W_\sigma/S^0)$$

which identifies  $\partial(\mathbb{G}W_n^{[m]}(\mathbb{G}_m/1 \otimes W_\sigma/S^0))$  with  $\partial([T]) \cup \partial([T^\sigma]) \cup F_{n-1}^i(W/S^0 \otimes W_\sigma/S^0)$ , but we know that  $\partial(\mathbb{G}W_n^{[m]}(\mathbb{G}_m/1 \otimes W_\sigma/S^0))$  is equal to  $\mathbb{G}W_{n-1}^{[m]}(W/S^0 \otimes W_\sigma/S^0) \cong \mathbb{G}W_{n-1}^{[m]}(X)$ . Thus, letting  $p$  denote the projection  $W/S^0 \otimes W_\sigma/S^0 \rightarrow X$  to the basepoint,

$$\mathbb{G}W_{n-1}^{[m]}(X) \cong p^*(\partial([T]) \cup \partial([T^\sigma]) \cup F_{n-1}^i(W/S^0 \otimes W_\sigma/S^0)) = \partial([T]) \cup \partial([T^\sigma]) \cup p^*(F_{n-1}^i(W/S^0 \otimes W_\sigma/S^0)) = F_{n-1}^{i+1}$$

since  $p^*$  is split injective.

The meatier part of the argument is producing the exact sequence for  $F_{n-1}^{[i+1]}$ , though the proof is essentially the same as the proof of the base case.

First note that for all  $i$  and  $n$ , there's a chain complex

$$F_n^i(\mathbb{A}^1/1 \otimes W_\sigma/S^0) \oplus F_n^i(\mathbb{A}^1/1 \otimes W_\sigma/S^0) \longrightarrow F_n^i(\mathbb{G}_m/1 \otimes W_\sigma/S^0) \xrightarrow{\partial} F_{n-1}^i(W/S^0 \otimes W_\sigma/S^0)$$

which is just the image of the usual long exact sequence for  $GW$  under the map  $\alpha$ . Depending on  $n$ , this sequence may or may not be exact, as the image of an exact sequence is in general not exact.

Consider the commutative diagram

$$\begin{array}{ccccc} F_{n-1}^{i+1}(\mathbb{A}^1/1 \otimes W_\sigma/S^0) \oplus F_{n-1}^{i+1}(\mathbb{A}^1/1 \otimes W_\sigma/S^0) & \longrightarrow & F_{n-1}^{i+1}(\mathbb{G}_m/1 \otimes W_\sigma/S^0) & \xrightarrow{\partial} & F_{n-2}^{i+1}((W/S^0 \otimes W_\sigma/S^0)^{\otimes i+2}) \\ \downarrow & & \downarrow & & \downarrow \phi \\ \mathbb{G}W(\mathbb{A}^1/1 \otimes W_\sigma/S^0 \otimes (W/S^0 \otimes W_\sigma/S^0)^{\otimes i+2})^{\oplus 2} & \longrightarrow & \mathbb{G}W(\mathbb{G}_m/1 \otimes W_\sigma/S^0 \otimes (W/S^0 \otimes W_\sigma/S^0)^{\otimes i+2}) & \xrightarrow{\partial^B} & \mathbb{G}W_{n-2}^{[m]}((W/S^0 \otimes W_\sigma/S^0)^{\otimes i+3}) \end{array}$$

where the left two vertical maps are isomorphisms by what we've already shown. We claim that the top row is exact. The composite is zero since it's a chain complex, and if  $x \in \ker(\partial)$ , then using the fact that the middle and left maps are isomorphisms we produce a lift of  $x$ .

Now it remains only to check that the image of  $\partial$  coincides with  $\partial([T]) \cup \partial([T^\sigma]) \cup F_{n-2}^{i+1}$ . This is the part of the proof we adapt from the  $K$ -theory case. First, it's clear that  $\partial([T]) \cup \partial([T^\sigma]) \cup F_{n-2}^{i+1} \subseteq \text{im}(\partial)$ , since  $\partial([T])$  restricts to zero in  $\mathbb{A}^1$ . For the other containment, by exactness and the fact that the left two vertical arrows are isomorphisms, we know that  $\text{im}(\partial) \cong \text{im}(\partial^B)$ . Now since  $\partial([T]) \cup \partial([T^\sigma]) \cup p^*(F_{n-2}^{i+1}) \subseteq \text{im}(\partial)$ , it is isomorphic to its image in  $\mathbb{G}W_{n-2}^{[m]}((W/S^0 \otimes W_\sigma/S^0)^{\otimes i+2})$ . But  $\phi$  is a map of modules, so that

$$\phi(\partial([T]) \cup \partial([T^\sigma]) \cup F_{n-2}^{i+1}((W/S^0 \otimes W_\sigma/S^0)^{\otimes i+2})) \cong \partial([T]) \cup \partial([T^\sigma]) \cup \text{im}(\phi)$$

But  $\phi$  is necessarily surjective, and cup product with  $\partial([T]) \cup \partial([T^\sigma])$  is an automorphism of  $\mathbb{G}W$ . It follows that

$$\partial([T]) \cup \partial([T^\sigma]) \cup F_{n-2}^{i+1}((W/S^0 \otimes W_\sigma/S^0)^{\otimes i+2}) \cong \text{im}(\phi) = \text{im}(\partial^B) \cong \text{im}(\partial)$$

so that  $\partial([T]) \cup \partial([T^\sigma]) \cup F_{n-2}^{i+1}((W/S^0 \otimes W_\sigma/S^0)^{\otimes i+2}) = \text{im}(\partial)$ .

We've shown that if the inductive statement holds for  $i, n$ , then it holds for  $i+1, n-1$ . The fact that it holds for  $i+1, m$  for any  $m < n+1$  is clear by appealing to results for  $\mathbb{G}W$ . Now, the lemma follows from the explicit description for filtered colimits of groups. □

**Corollary 5.2.6.** Let  $\gamma$  be the map (5.6). Then there's a weak equivalence of presheaves of spectra  $\mathbb{G}W \simeq Q_\gamma \mathbb{G}W$ .

*Proof.* Combining Lemma 5.2.4 and Lemma 5.2.5 we see that the map induces an isomorphism on stable homotopy groups. □

**Theorem 5.2.7.** Let  $S$  be a Noetherian scheme of finite Krull dimension. Then  $L_{\mathbb{A}^1} \mathbb{G}W$  lifts to an  $E_\infty$  motivic spectrum, denoted  $\mathbf{KR}^{\text{alg}}$  over  $\mathbf{Sm}_S^{C_2}$ .

*Proof.*  $\mathbb{G}W$  is an  $E_\infty$  object in presheaves of spectra on  $\mathbf{Sm}_S^{C_2}$  by results of Schlichting [Sch17]. By [Hoy16] Lemma 3.3, together with corollary 5.2.6 above,  $\mathbb{G}W$  is the periodization of  $GW$  with respect to  $\gamma$ . Now  $\mathbb{G}W$  is Nisnevich excisive, so that  $\mathbb{G}W(W/S^0 \otimes W_\sigma/S^0) \cong \mathbb{G}W(\mathbb{P}^\sigma)$ , and  $\mathbb{G}W$  is  $\gamma$  periodic if and only if it is Bott periodic with respect to the Bott element in the projective bundle formula. By [Hoy16], proposition 3.2,  $\mathbb{G}W$  lifts to an  $E_\infty$  object  $\mathbf{KR}^{\text{alg}}$  in  $GW_{\text{mod}}[(\mathbb{P}^\sigma)^{-1}]$ . Because  $\mathbb{A}^1$ -localization preserves  $E_\infty$  objects,  $L_{\mathbb{A}^1} \mathbb{G}W$  is an  $E_\infty$  object in the subcategory of Nisnevich excisive Bott periodic  $GW$ -modules. □

Recall the definition of  $\beta^{1+\sigma}$  from equation (5.4).

**Definition 5.2.8.** A  $GW$ -module  $E$  is called *Bott periodic* if the map

$$\mathbf{Hom}(\beta^{1+\sigma}, E) : E \rightarrow \mathbf{Hom}((\mathbb{P}^1, [1 : 1]) \wedge (\mathbb{P}^\sigma, [1 : 1]), E)$$

is an equivalence.

There are zigzags

$$\mathbb{A}^1/\mathbb{G}_m \hookrightarrow \mathbb{P}^1/(\mathbb{P}^1 - [-1 : 1]) \leftarrow \mathbb{P}^1/[1 : 1]$$

and

$$\mathbb{A}^-/\mathbb{G}_m^- \hookrightarrow \mathbb{P}^\sigma/(\mathbb{P}^\sigma - [-1 : 1]) \leftarrow \mathbb{P}^\sigma/[1 : 1].$$

The maps  $\beta : \mathbb{P}^1/[1 : 1] \rightarrow GW^{[1]}$  and  $\beta^\sigma : \mathbb{P}^\sigma/[1 : 1] \rightarrow GW^{[-1]}$  lift to  $\mathbb{P}^1/(\mathbb{P}^1 - [-1 : 1])$  and  $\mathbb{P}^\sigma/(\mathbb{P}^\sigma - [-1 : 1])$  respectively by results analogous to Lemma 5.1.2, and hence there are induced maps

$$\beta' : \mathbb{A}^1/\mathbb{G}_m \rightarrow GW^{[1]}$$

$$(\beta^\sigma)' : \mathbb{A}^-/\mathbb{G}_m^- \rightarrow GW^{[-1]}.$$

Taking smash products and using that  $\mathbb{A}^1 \oplus \mathbb{A}^- \cong \mathbb{A}^\rho$ , we get a map

$$\beta' \otimes (\beta^\sigma)' : \mathbb{A}^\rho/(\mathbb{A}^\rho - 0) \rightarrow GW^{[1]} \otimes GW^{[-1]} \rightarrow GW.$$

For a vector bundle  $E$ , let  $\mathbb{V}_0(E)$  denote  $E/(E - 0)$ , the quotient by the complement of the zero section.

**Lemma 5.2.9.** *The  $\mathbb{A}^1$ -localization of the Bott element  $L_{\mathbb{A}^1}(\beta' \otimes (\beta^\sigma)') : L_{\mathbb{A}^1}\mathbb{V}_0(\mathbb{A}^\rho) \rightarrow L_{\mathbb{A}^1}GW$ , viewed as an element of  $\mathbf{Sp}(\mathcal{P}_{\mathbb{A}^1}(\mathbf{Sm}_S^{C_2}))/L_{\mathbb{A}^1}GW$  is 3-symmetric.*

*Proof.* The proof is identical to Lemma 4.8 in [Hoy16]. The main idea is that the identity and the cyclic permutation  $\sigma_3$  are both induced by matrices in  $SL_{3,2}(\mathbb{Z})$  acting on  $\mathbb{A}^{3\rho}$ , and any two such matrices are (naïvely)  $\mathbb{A}^1$ -homotopic so that there's a map  $h : \mathbb{A}^1 \times \mathbb{A}^{3\rho} \rightarrow \mathbb{A}^{3\rho}$  witnessing the homotopy. We can extend this to a map

$$\mathbb{A}^1 \times \mathbb{A}^{3\rho} \xrightarrow{\pi_1 \times h} \mathbb{A}^1 \times \mathbb{A}^{3\rho}.$$

Letting  $p : \mathbb{A}^1 \times S \rightarrow S$  denote the projection, the displayed map is an automorphism of the vector bundle  $p^*(\mathbb{A}^{3\rho})$ .

Now we claim that given an automorphism  $\phi$  of  $p^*(E)$  for any vector bundle  $E$ , the automorphisms  $\phi_0, \phi_1$  of  $\mathbb{V}_0(E)$  induced by the restrictions of  $\phi$  to 1 and 0 are  $\mathbb{A}^1$ -homotopic over  $L_{\mathbb{A}^1}GW$ . Once we've shown this claim, we can apply it to the automorphism  $\pi_1 \times h$  of  $p^*(\mathbb{A}^{3\rho})$  to complete the proof of the lemma.

To prove the claim, by functoriality of  $\beta'$ , any automorphism  $\phi$  as above induces a triangle

$$\begin{array}{ccc} \mathbb{V}_0(p^*(E)) & \xrightarrow{\phi} & \mathbb{V}_0(p^*(E)) \\ & \searrow \beta'_{p^*(E)} & \swarrow \beta'_{p^*(E)} \\ & L_{\mathbb{A}^1}GW_{\mathbb{A}^1 \times X} & \end{array}$$

or presheaves of spectra on  $\mathbf{Sm}_{\mathbb{A}^1 \times X}^{C_2}$ . By adjunction, this is equivalent to a triangle

$$\begin{array}{ccc} \mathbb{A}_+^1 \otimes \mathbb{V}_0(E) & \xrightarrow{\quad} & \mathbb{V}_0(E) \\ & \searrow \beta'_E \quad \swarrow \beta'_X & \\ & L_{\mathbb{A}^1} GW_X & \end{array}$$

which is an  $\mathbb{A}^1$ -homotopy between  $\phi_0$  and  $\phi_1$  over  $L_{\mathbb{A}^1} GW$  as desired.  $\square$

Note that we've shown that  $\mathbb{G}W$  is Bott periodic and Nisnevich excisive. Since it's the Bott periodization of  $GW$ , it is in fact the reflection of  $GW$  in the subcategory of Nisnevich excisive and Bott periodic  $GW$ -modules. Because  $C_2$  is a finite group (scheme), making  $\mathbb{A}^1$  contractible is equivalent to making the regular representation  $\mathbb{A}^\sigma$  contractible. Thus by definition,  $L_{\mathbb{A}^1} \mathbb{G}W$  is the reflection of  $GW$  in the subcategory of homotopy invariant, Nisnevich excisive, and Bott periodic  $GW$ -modules.

**Corollary 5.2.10.** The canonical map  $GW \rightarrow Q_\beta L_{\text{mot}} GW$  is the universal map to a homotopy invariant, Nisnevich excisive, and Bott periodic  $GW$ -module. In particular

$$L_{\mathbb{A}^1} \mathbb{G}W = Q_\beta L_{\text{mot}} GW$$

*Proof.* Given Lemma 5.2.9, the proof is identical to Proposition 4.9 in [Hoy16].  $\square$

# Chapter 6

## cdh Descent for Homotopy Hermitian $K$ -theory

### 6.1 A Review of the Homotopy $K$ -Theory Case

Recall from Definition 2.3.1 that the cdh topology is the topology generated by the Nisnevich and abstract blow-up squares. Fix a Noetherian scheme of finite Krull dimension  $S$ , and scheme  $X$  which is smooth over  $S$ .

**Theorem 6.1.1.** (Cisinski) Fix  $X \in \mathbf{Sm}_S$ , and let  $KGL_X$  denote the restriction of  $KGL$  to  $\mathbf{Sm}_X$ . To show that  $KGL$  satisfies cdh descent, it suffices to show that:

- given a smooth map  $T \rightarrow X$ , there's an isomorphism  $\mathrm{Hom}_{SH/X}([T], KGL_X) \cong KH(T)$
- given  $f : X \rightarrow Y$ , there's an isomorphism  $f^* KGL_Y \cong KGL_X$ .

*Proof.* Consider the stable  $\infty$ -category valued functor  $\mathbf{Sm}_S \rightarrow \mathrm{Stab}$ ,  $X \mapsto SH_X$ . Given  $T \rightarrow X$ , we get a  $[T] \in SH_X$ . Given  $f : X \rightarrow Y$ , we have the following

1. an adjunction  $f^* : SH_Y \leftrightarrow SH_X : f_*$  satisfying  $f^*([T]) = T \times_X Y$ ;
2. for  $f$  smooth,  $f^*$  admits a left adjoint  $f_\#$ ;
3. (Cousin sequence) Given a cover of  $X$  by a closed and open immersion  $Z \hookrightarrow X \hookrightarrow U$ ,

$$j_\# j^* \mathcal{F} \rightarrow \mathcal{F} \rightarrow i_* i^* \mathcal{F}$$

is exact.

4. (base change) Given a pullback square

$$\begin{array}{ccc} \widetilde{Y} & \xrightarrow{\widetilde{u}} & \widetilde{X} \\ \downarrow \widetilde{f} & & \downarrow f \\ Y & \xrightarrow{u} & X \end{array}$$

If  $f$  proper, we get an equivalence

$$u^* f_* E \xrightarrow{\sim} \widetilde{f}_* \widetilde{u}^* E$$

If  $u$  is smooth, we get

$$f^* u_* \mathcal{F} \xleftarrow{\sim} \widetilde{u}_* \widetilde{f}^* \mathcal{F}$$

Now, given  $E \in SH_X$  and an abstract blowup square

$$\begin{array}{ccc} \widetilde{Z} & \xrightarrow{\widetilde{i}} & \widetilde{X} \\ \widetilde{f} \downarrow & & \downarrow f \\ Z & \xrightarrow{i} & X \end{array}$$

with composite  $q : \widetilde{Z} \rightarrow X$ , we get a square

$$\begin{array}{ccc} q_* q^* E & \xleftarrow{\quad} & f_* f^* E \\ \uparrow & & \uparrow \\ i_* i^* E & \xleftarrow{\quad} & E \end{array}$$

One can show that the square is homotopy cartesian by pulling back to each set in the cover  $\widetilde{Z} \cup X - \widetilde{Z} = X$  and using the Cousin sequence/base change to show that the homotopy fibers are equivalent. Setting  $E = KGL_X$  and using that  $Hom_{SH_X}([X], -)$  preserves homotopy cartesian squares together with the assumptions in the theorem, we see that homotopy  $K$ -theory  $KH$  takes abstract blowup squares to homotopy cartesian squares.  $\square$

## 6.2 Extending to Homotopy Hermitian $K$ -Theory

Let  $\mathbf{KR}^{\text{alg}}$  be the motivic spectrum associated to homotopy Hermitian  $K$ -theory  $L_{\mathbb{A}^1} \mathbb{G}W$  on  $\mathbf{Sm}_S^{C_2}$  for  $S$  a Noetherian scheme of finite Krull dimension.

Let  $\underline{H}(S)$  denote the motivic homotopy  $\infty$ -category on  $\mathbf{Sm}_S^{C_2}$ . By Corollary 5.2.10, we can write  $\mathbf{KR}^{\text{alg}}$  as the image under the localization functor

$$QL_{\text{mot}} : \text{Stab}_{\mathbb{P}^0}^{\text{lax}} \mathbf{Sp}(\mathcal{P}(SmS^{C_2}) \rightarrow \text{Stab}_{\mathbb{P}^0} \mathbf{Sp}(\underline{H}) \simeq \underline{SH}$$

of the “constant”  $\mathbb{P}^0$ -spectrum  $c_{\beta} GW$ .

**Definition 6.2.1.** For  $X \in \mathbf{Sm}_S^{C_2}$ , let  $\mathbf{KR}_X^{\text{alg}}$  denote the restriction of the motivic spectrum  $\mathbf{KR}^{\text{alg}}$  to  $\mathbf{Sm}_X^{C_2}$ .

We want to show that  $\mathbf{KR}^{\text{alg}}$  is a cdh sheaf on  $\mathbf{Sm}_S^{C_2}$ . By first checking that the formalism of six operations holds in equivariant motivic homotopy theory and following the same recipe as the  $K$ -theory case, Corollary 6.25 of [Hoy17] proves that it suffices to show that for each  $f : D \rightarrow X \in \mathbf{Sm}_X^{C_2}$ , the restriction map

$$f^*(\mathbf{KR}_X^{\text{alg}}) \rightarrow \mathbf{KR}_D^{\text{alg}}$$

in  $\underline{\text{SH}}(D)$  is an equivalence.

By Appendix A in [Sch17], there's a map

$$\text{Herm}(X)^+ \rightarrow \Omega^\infty GW(X)$$

where  $\text{Herm}(X)$  is the  $E_\infty$  space of non-degenerate Hermitian vector bundles over  $X$  and  $(-)^+$  denotes group completion. If  $X$  is an affine  $C_2$ -scheme, the category of Hermitian vector bundles is a split exact category with duality, and the above map is an equivalence. It follows that

$$\text{Herm}^+ \rightarrow \Omega^\infty GW|\mathbf{Sm}_X^{C_2}$$

is a motivic equivalence in  $\mathcal{P}(\mathbf{Sm}_X^{C_2})$ .

Just as in [Hoy16] we note that

$$\bigsqcup_{n \geq 0} B_{isoEt} O(\langle 1 \rangle^{\perp n}) \rightarrow \text{Herm}$$

exhibits  $\text{Herm}$  as the equivariant Zariski sheafification of the subgroupoid of non-degenerate Hermitian vector bundles of constant rank (in other words, it fixes the sections over non-connected or hyperbolic rings). By Lemma 5.5 in [Hoy16], it remains an equivalence after group completion, yielding a motivic equivalence

$$\left( \bigsqcup_{n \geq 0} B_{isoEt} O(\langle 1 \rangle^{\perp n}) \right)^+ \rightarrow \Omega^\infty GW|\mathbf{Sm}_X^{C_2}.$$

Fix a map  $f : D \rightarrow X$  in  $\mathbf{Sm}_S^{C_2}$ . Again by Lemma 5.5 in [Hoy16], since the pullback  $f^* : \mathcal{P}(\mathbf{Sm}_X^{C_2}) \rightarrow \mathcal{P}(\mathbf{Sm}_D^{C_2})$  preserves finite products, it commutes with group completion of  $E_\infty$ -monoids. The same is true for  $L_{\text{mot}}$ . It follows that there are motivic equivalences

$$f^* \Omega^\infty GW|\mathbf{Sm}_X^{C_2} \rightarrow f^* \left( \bigsqcup_{n \geq 0} B_{isoEt} O(\langle 1 \rangle^{\perp n}) \right)^+ \rightarrow \left( \bigsqcup_{n \geq 0} f^* B_{isoEt} O(\langle 1 \rangle^{\perp n}) \right)^+$$

Because  $B_{isoEt} O(\langle 1 \rangle^{\perp n})$  is representable, by Proposition 2.9 in [Hoy16], we also have a motivic equivalence



lence

$$\left( \coprod_{n \geq 0} f^* B_{isoEt} O(\langle 1 \rangle^{\perp n}) \right)^+ \rightarrow \left( \coprod_{n \geq 0} B_{isoEt} f^* O(\langle 1 \rangle^{\perp n}) \right)^+.$$

But  $f^* O(\langle 1 \rangle^{\perp n}) | \mathbf{Sm}_X^{C_2} = O(\langle 1 \rangle^{\perp n}) | \mathbf{Sm}_D^{C_2}$  since  $f^* \langle 1 \rangle_X = \langle 1 \rangle_D$ . It follows that there's a motivic equivalence

$$\left( \coprod_{n \geq 0} B_{isoEt} f^* O(\langle 1 \rangle^{\perp n}) \right)^+ \rightarrow \Omega^\infty GW | \mathbf{Sm}_D^{C_2},$$

and combining everything we get that the restriction map

$$f^*(\Omega^\infty GW | \mathbf{Sm}_X^{C_2}) \rightarrow \Omega^\infty GW | \mathbf{Sm}_D^{C_2}$$

is a motivic equivalence in the  $\infty$ -category of grouplike  $E_\infty$ -monoids in  $\mathcal{P}(\mathbf{Sm}_D^{C_2})$ . Because the localization functor  $QL_{\text{mot}}$  is also compatible with the base change  $f^*$ , it follows that each arrow

$$f^*(QL_{\text{mot}} GW | \mathbf{Sm}_X^{C_2}) \rightarrow QL_{\text{mot}}(f^* GW | \mathbf{Sm}_X^{C_2}) \rightarrow QL_{\text{mot}}(GW | \mathbf{Sm}_D^{C_2})$$

is a motivic equivalence, as was desired. Thus we've proved

**Theorem 6.2.2.** *Let  $S$  be a Noetherian scheme of finite Krull dimension. Then the equivariant homotopy Hermitian  $K$ -theory spectrum  $L_{\mathbb{A}^1} \mathbb{G}W$  satisfies descent for the equivariant  $\text{cdh}$  topology in  $\mathbf{Sm}_S^{C_2}$ .*

# Chapter 7

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