

# Microdeletion syndromes

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The recent explosion in the implementation of genome-wide microarray technology to discover rare, pathogenic genomic rearrangements in a variety of diseases has led to the discovery of numerous microdeletion syndromes. It is now clear that these microdeletions are associated with extensive phenotypic heterogeneity and incomplete penetrance. A subset of recurrent microdeletions underpin diverse phenotypes, including intellectual disability, autism, epilepsy and neuropsychiatric disorders. Recent studies highlight a role for additional low frequency variants, or 'second hits' to account for this variability. The implementation of massively parallel sequencing and epigenetic models may provide a powerful prospective approach to the delineation of microdeletion syndrome phenotypes.

## Addresses

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## Introduction

Rare, recurrent microdeletions with pathogenic effects were first identified in clinically distinct syndromes including, amongst numerous others, Smith-Magenis (17p11.2 deletions) [1], DiGeorge/velocardiofacial (22q11.2 deletions) [2] and Williams-Beuren (7q11.23 deletions) [3]. Each of these disorders was first described based on a series of patients who shared a recognizable collection of clinical features. The clinical description of each syndrome was followed later by the discovery of the molecular basis for the syndrome—a 'phenotype first' discovery, and one that hinted at the important role of copy number variants (CNVs) in disease. Importantly, each of the deletions described above is a recurrent rearrangement that arises due to non-allelic homologous recombination (NAHR) at meiosis [4], resulting in similar or identical breakpoints in each patient.

Over the past 10 years, advances in technology, most notably the development of array comparative genomic

hybridization (CGH) and single nucleotide polymorphism (SNP) genotyping arrays, have facilitated a 'genotype first' approach to syndrome identification. These technologies allow genome-wide assessment of copy number variation, and are now routinely used to identify CNVs in both the research and clinical setting. The use of these technologies has had a significant impact on the field of human genetics, with an explosion in the number of disorders attributed to microdeletions and has led to some unexpected findings.

The first impact of these technologies—referred to collectively as chromosome microarrays—was the ability to rapidly screen the entire genome for CNVs in large numbers of patients. Therefore, rather than using a locus-based approach such as fluorescent in situ hybridization (FISH) to probe one genomic location at a time, the entire genome can be interrogated, facilitating rapid discovery of disease-associated CNVs. Remarkably, since 2006 more than 20 recurrent microdeletions and microduplications associated with disease have been described [5,6]. Secondly, large cohorts of patients and controls could be screened fairly quickly. Interestingly, as independent studies to discover disease-associated CNVs were carried out in cohorts of patients with seemingly different disorders, it has become clear that some microdeletions are associated with various phenotypes ranging from mild to severe.

Here, we will focus on the most recently described recurrent microdeletion and duplication syndromes and their disease associations. We will address the phenotypic variability associated with some CNVs and approaches to understanding the genetic and molecular basis of that variability, providing recent examples from the literature. Finally, we will discuss the potential role of epigenetics and massively parallel sequencing in the future delineation of microdeletion syndromes.

## Microdeletion discovery in neurodevelopmental disorders

The first large cohorts of patients to be investigated for CNVs were patients with intellectual disability (ID) [7,8–10,11,12], autism spectrum disorder (ASD) [13–15,16,17,18] and congenital anomalies [19–22]. Each of these classes of disorders had previously been associated with chromosomal aberrations, making it likely that sub-microscopic chromosome rearrangements would also be important. The first new microdeletion syndromes reported from these studies were characterized by syndromic, or recognizable, features. These include microdeletions at 17q21 [11,12,23] and 15q24 [24–27].

**Table 1****Selected microdeletion syndromes associated with phenotypic heterogeneity**

Genomic location	Coordinates (hg19) for critical region (Mb)	Associated phenotypes	Selected references
1q21.1	Chr1: 146.5–147.5	ID, SCZ, MCA	[32,33,34*,35,36]
3q29	Chr3: 195.8–197.4	ID, SCZ	[62,63]
10q22q23	Chr10: 81.5–89.0	ID	[64,65]
15q11.2	Chr15: 22.8–23.1	ID, SCZ, EPI, ASD	[66–70]
15q13.3	Chr15: 31.3–32.5	EPI, ID, SCZ, ASD	[37–39,71]
15q24	Chr15: 74.4–75.5	ID, ASD	[25,27,72]
16p11.2 (proximal)	Chr16: 29.6–30.2	ID, ASD, obesity	[28,30]
16p11.2 (distal)	Chr16: 28.8–29.1	ID, obesity	[29]
16p12	Chr16: 21.9–22.5	ID	[54]
16p13.11	Chr16: 15.0–16.3	ID, EPI, ASD, SCZ	[53,69,73,74]
17q12	Chr17: 34.8–36.3	ID, ASD, SCZ	[75,76]
17q21.3	Chr17: 43.7–44.3	ID	[8]
22q11.2 distal	Chr22: 21.8–23.7	ID, MCA	[77]

Subsequent discoveries, however, were more complicated. Strikingly, a number of microdeletions and duplications were identified as risk factors for disease in large studies of different neurodevelopmental disorders (Table 1). Deletions and duplications of 16p11.2 were first described in patients with autism, but are clearly associated with ID (deletions and duplications; [28–30]) and schizophrenia (duplications; [31]). Similarly, deletions of the 1q21.1 region have been described in probands with various pediatric phenotypes including mild-moderate ID, microcephaly, cataracts and cardiac abnormalities [32,33,34\*] and associated with schizophrenia [35,36].

Since 2010, several studies including our own have focused on CNV discovery in epilepsy, and three recurrent deletions have been firmly associated with epilepsy risk. Deletions of 15q13.3 were first described in patients with ID, epilepsy and facial dysmorphism [37]. Subsequently it has been shown that the microdeletion accounts for 1% of idiopathic generalized epilepsy [38,39] and is associated with ASD, speech delay, bipolar disorder and attention deficit hyperactivity disorder (ADHD) [40]. Interestingly, homozygous 15q13.3 deletions result in a more severe neurodevelopmental phenotype including severe ID, epileptic encephalopathy and hypotonia [41,42].

In addition to the clinical heterogeneity associated with these disorders, microdeletions are also often inherited from an unaffected parent, thus demonstrating reduced penetrance. While sufficient evidence now exists to ascribe causality for these microdeletions, a limited number of studies have addressed the factors that underpin variable expressivity and reduced penetrance, these studies and future avenues of research are discussed in the sections that follow and are summarized in Figure 1.

## Why such variable expressivity?

### Microdeletion architecture

Firstly, breakpoints of microdeletions may vary and the number of haploinsufficient genes in the deleted region

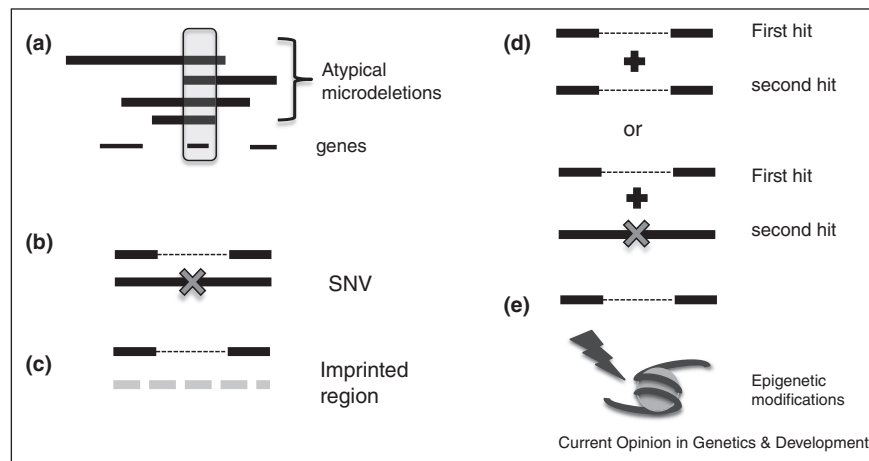
may directly correlate with phenotypic severity and breadth of clinical features. For instance, the cognitive features of 15q24.3 microdeletion are attributed to a 1.1 Mb critical region, while distal regions play a role in characteristic facial features and cognition [27]. Additional examples include Smith-Magenis [43], Williams [44] and Potocki-Lupski [45] syndromes.

Mapping of overlapping but distinct microdeletions has led to the delineation of critical intervals, and in some cases, to the discovery of a single causative gene. Examples include *RAI1* (Smith-Magenis syndrome), *EHMT1* (Kleefstra syndrome) [46], *MEF2C* (5q14.3q15 deletions) [47] and *MBD5* (2q23.1 deletions) [48]. Furthermore, recent reports of *CHRNA7* as the only gene encompassed by atypical 15q13.3 deletions (in heterozygous and homozygous states), suggest that this gene may be causative for the clinical features associated with this deletion [49,50]. These instances represent a single causative gene for microdeletions that are recurrent owing to the surrounding genomic architecture. However, it is important to note that mapping of non-recurrent rearrangements will also be important in the future, particularly as chromosomal microarrays have become so widely implemented. The overlap between specific rearrangements published in large disease cohorts, as well as smaller case reports, are likely to yield important causative genes.

### Additional genomic variants

An attractive hypothesis to explain phenotypic heterogeneity of microdeletion syndromes is the contributory role of one or more inherited or *de novo* rare variants that modify clinical presentation. For instance variable expressivity may be explained by the ‘unmasking’ of recessive single nucleotide variants (SNVs) or insertion/deletions (indels) on the second allele. An example comes from the recurrent 22q11 microdeletion, which is associated with variable phenotypes, including schizophrenia. Exploration of genetic variants in the *COMT* gene identified a functional polymorphism in the gene that may

Figure 1



Possible mechanisms underlying phenotypic variability. **(a)** Microdeletions of varying size give rise to different phenotypes, as is the case for 15q24 microdeletion [27]. Mapping of atypical microdeletions can define a critical interval, and in certain cases, ascribe causality to a single gene, for example, MBD5 (2q23.1 deletions) [48]. **(b)** Unmasking of recessive alleles on the hemizygous allele can give rise to specific phenotypes. **(c)** Parent of origin effects or imprinting of the hemizygous allele, for instance in Prader-Willi and Angelman syndrome. **(d)** A two-hit model, where a microdeletion in combination with a rare CNV [54] or a low frequency SNV [55\*] accounts for variable clinical presentation. **(e)** Environmental determinants cause epigenetic modifications, that act in combination with haploinsufficiency at key genes to give rise to variability [57].

affect the development of schizophrenia in deletion carriers [51]. Similarly, mutations in *SNAP29*, also encompassed by the 22q11 microdeletion were recently shown to account for certain atypical features, including polymicrogyria and skin abnormalities [52]. Interestingly, mutations in *SNAP29* also cause the autosomal recessive disorder, CEDNIK syndrome, that shares many clinical features of patients with 22q11 microdeletion and hemizygous *SNAP29* variants. Thus, future studies that ‘unmask’ recessive alleles in microdeletion disorders provide a new avenue for gene discovery in autosomal recessive conditions. However, similar efforts to identify recessive alleles in genes encompassed by the recurrent microdeletion syndromes, 1q21.1 [34\*] and 16p13.11 [53] did not identify damaging variants, suggesting a simple recessive model may not account for variability for all microdeletion syndromes.

The unmasking of rare recessive alleles may account for the clinical variability of mutations. Similarly, additional rare variants may also account for the reduced penetrance associated with microdeletion syndromes. For instance, a ‘compound inheritance model’ was recently shown to cause Thrombocytopenia with Absent Radii (TAR) syndrome [56\*\*]. Microdeletions of the 1q21.1 region, either inherited or *de novo*, have been identified in most cases of TAR syndrome but reduced penetrance is common [20]. To identify additional causative variants, Albers and colleagues [56\*\*] sequenced the exomes of five 1q21.1 deletion positive TAR patients, but detected no common gene with rare coding variants across the five patients. However, four probands carried a low frequency 5’UTR

variant, and an additional proband carried a SNV in intron 1 of *RBM8A* (encompassed by the 1q21.1 deletion). Collectively, these two variants were shown to occur in 96% of additional 1q21.1 deletion positive TAR syndrome patients. Both variants reduced promoter activity and reductions in transcript abundance were observed in TAR probands as compared to unaffected parent carriers and controls (though this effect was not seen in healthy individuals with the 5’UTR variant). Also, two additional 1q21.1 deletion negative TAR probands were found to have one of two truncating variants in combination with the 5’UTR variant. A ‘dose–effect phenomenon’ was thus proposed to cause TAR syndrome, involving a null allele and a low frequency regulatory variant. This represents the first case of a ‘compound inheritance model’, distinct from autosomal recessive inheritance in that the low frequency regulatory variants, in a compound heterozygous, or homozygous, state, are unlikely to reduce *RBM8A* transcript levels below the critical levels required to develop TAR syndrome.

Small rare variants such as SNVs and indels contribute to the clinical variability and reduced penetrance of microdeletions. However, recent studies have shown that larger genomic regions, more specifically, rare CNVs, act in a similar manner to modify the presentation of probands with microdeletion syndromes. In 2010, Girirajan and colleagues described a series of patients with deletions of 16p12 [54]. This microdeletion was found to be enriched in probands with developmental delay, but was found to be inherited in 95% of cases, though parents were six-times more likely to exhibit neuropsychiatric

features. Of interest, about a quarter of 16p11.2 microdeletion probands carried a second large CNV that likely contributes to a more severe phenotype. Similar observations were made for probands with microdeletions involving 1q21.1, 11.2% of probands had a second large CNV. This 'second hit' model was further tested in a much larger cohort of 2312 probands with rare CNVs at one of 72 loci [55<sup>\*</sup>]. Of these individuals, ~10% were found to carry a second large CNV, conferring an eight fold risk for presentation of developmental delay. These studies present a new paradigm for the pathogenesis of microdeletion disorders, with rare disruptive events acting in a synergistic way to cause distinct phenotypic outcomes.

These three pathogenic models, 'unmasking of recessive alleles', 'compound heterozygous inheritance' and the 'two hit' hypothesis, parallel existing models for complex neurodevelopmental disorders. Digenic or oligogenic models require mutations in two or more interacting genes to produce a specific phenotype. However, these models generally assume equal pathogenic effects for each genetic lesion. In contrast, the models highlighted here generally consider the microdeletion to be the primary causative genetic insult, and the variability in clinical features, or penetrance of these lesions, are modified by the presence of additional rare variants.

#### Environmental and epigenetic effects

A common hypothesis for phenotypic variability in genetic disease is the effect of variable environmental factors. Though almost every large genomic rearrangement study alludes to these effects, studies directly addressing these factors are limited, mainly due to difficulties in experimental design. However, epigenetic modifications, that is, DNA methylation and chromatin remodeling, can serve as a proxy for the interaction between environment and genotype. Recent technological advances and an enhanced understanding of functional elements through projects such as ENCODE [57], now permit large scale interrogation of these epigenetic modifications. This interaction was eloquently demonstrated in a recent study by Voss and colleagues (2012), aimed at exploring the integrative role of environmental influences and epigenetic factors in the phenotypic variability associated with Velocardiofacial/DiGeorge syndrome (DGS) [58<sup>\*</sup>]. DGS is characterized by cardiac defects, craniofacial abnormalities, thymus dysplasia and cleft palate and is caused by either 22q11 microdeletion or *TBX1* mutations. The severity of clinical presentation is highly variable, even between monozygotic twins, suggesting non-genetic influences [59]. Voss and colleagues demonstrated that lack of the chromatin remodeler, MOZ, a regulator of the *Tbx1* locus, generated a phenocopy of DGS in a homozygous state. More significantly, heterozygous MOZ mutant mice recapitulated the DGS phenotype in conjunction with either first, *Tbx1*

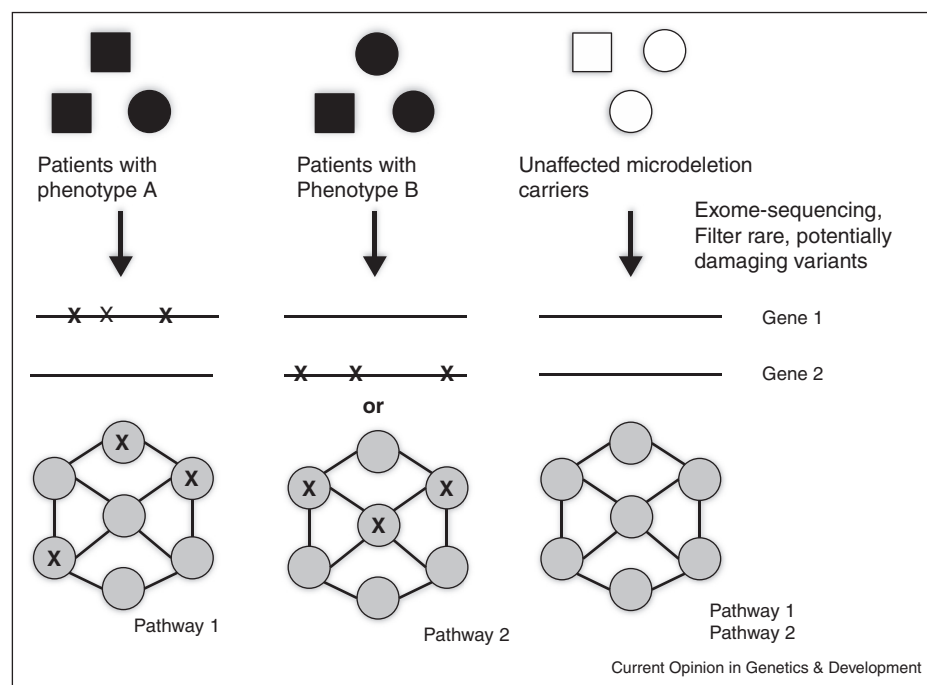
haploinsufficiency or second, with oversupply of vitamin A. This study demonstrates the interaction between an environmental factor (vitamin A) and an epigenetic modification (MOZ haploinsufficiency) to modulate clinical presentation in DGS. While scarce at present, similar future studies are likely to be crucial to our understanding of phenotypic variability.

#### Conclusions: moving forward in a massively parallel sequencing era

The extent to which phenotypic variability of some microdeletion syndromes can be explained by a 'second hit' model has, to date, only taken into account the combined effects of large CNVs. The compound inheritance model seen in TAR syndrome has provided the first evidence for the *cis*-interaction between a low frequency regulatory SNV and a microdeletion. Similarly, an interaction in *trans* between a microdeletion and low frequency variants that affect the function of genes in appropriate functional pathways is an attractive hypothesis to explain phenotypic variability. Current technological advances in molecular genetics, and their widespread use, will allow future research to address this hypothesis. The reasons for this are threefold. Firstly, the widespread use of array-CGH has defined large cohorts of microdeletion patients with diverse phenotypes. Secondly, projects such as NHLBI GO Exome Sequencing (ESP) and the 1000 Genomes collaborations have generated large catalogues of human genome variation (SNVs and indels). This information provides not only variant allele frequencies in certain populations, but also enables predictions of variant tolerability in genes. Finally, numerous Mendelian disorders have now been solved by whole-exome sequencing, resulting in an explosion in the numbers of genes that are associated with disease, and an enhanced understanding of aberrant pathways. Used in an integrative fashion, whole genome/exome sequencing in cohorts of microdeletion carriers can be conducted to identify rare variants in overlapping genes that form part of relevant functional pathways. Subscribing to the idea that groups of probands with a specific set of features will have a higher burden of variants in one gene (or group of genes), and that these will differ to gene/genes disrupted in patients with other features of the microdeletion syndrome and unaffected carriers (Figure 2).

The power of massively parallel sequencing, either at an exome, or in the future, the genome level, lies in the wealth of information that can be obtained from a single assay. Both *cis*-acting and *trans*-acting modulators of microdeletions can be investigated simultaneously. This includes not only SNVs, but also numerous algorithms have been developed to detect CNVs from sequencing data [60,61]. While, at present, primarily large events are efficiently detected, further developments will likely lead to the detection of smaller aberrations (5–50 kb), allowing identification of potential modifiers or even

Figure 2



Strategy for modeling phenotypic variability using massively parallel sequencing. Patients with variable phenotypes are divided into discrete groups according to clinical presentation. Individuals with one set of features will have a higher burden of rare, potentially damaging variants in common gene/genes (or pathways) that differ when compared to other phenotypes, and unaffected carriers.

novel recurrent microdeletions. An integrative approach to understanding phenotypic variability, at both the genetic and epigenetic level, will result in a more comprehensive interpretation of microdeletion syndromes, enhancing genetic counseling and prognosis for individuals afflicted with these disorders and their families.

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