

**Beyond modelocking: High repetition-rate frequency  
combs derived from a continuous-wave laser**

by

**Daniel C. Cole**

B.S., Washington University in St. Louis, 2012

M.S., University of Colorado, 2015

A thesis submitted to the  
Faculty of the Graduate School of the  
University of Colorado in partial fulfillment  
of the requirements for the degree of  
Doctor of Philosophy  
Department of Physics

2018

This thesis entitled:  
Beyond modelocking: High repetition-rate frequency combs derived from a continuous-wave laser  
written by Daniel C. Cole  
has been approved for the Department of Physics

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Scott A. Diddams

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Cole, Daniel C. (Ph.D., Physics)

Beyond modelocking: High repetition-rate frequency combs derived from a continuous-wave laser

Thesis directed by Dr. Scott A. Diddams

Optical frequency combs based on modelocked lasers have revolutionized precision metrology by facilitating measurements of optical frequencies, with implications both for fundamental scientific questions and for applications such as fast, broadband spectroscopy. In this thesis, I describe advances in the generation of frequency combs without modelocking in platforms with smaller footprints and higher repetition rates, with the ultimate goal of bringing frequency combs to new applications in a chip-integrated package. I discuss two approaches for comb generation: parametric frequency conversion in Kerr microresonators and active electro-optic modulation of a continuous-wave laser. After introducing microresonator-based frequency combs (microcombs), I discuss two specific developments in microcomb technology: First, I describe a new, extremely reliable method for generation of soliton pulses through the use of a phase-modulated pump laser. This technique eliminates the dependence on initial conditions that was formerly a universal feature of these experiments, presenting a solution to a significant technical barrier to the practical application of microcombs. Second, I present observations of *soliton crystal* states with highly structured ‘fingerprint’ optical spectra that correspond to ordered pulse trains exhibiting crystallographic defects. These pulse trains arise through interaction of solitons with avoided mode-crossings in the resonator spectrum. I also discuss generation of Kerr soliton combs in the Fabry-Perot (FP) geometry, with a focus on the differences between the FP geometry and the ring geometry that has been the choice of most experimenters to date. Next, I discuss combs based on electro-optic modulation. I introduce the operational principle, and then describe the first self-referencing of a frequency comb of this kind and a proof-of-principle application experiment. Finally, I discuss a technique for reducing the repetition rate of a high repetition-rate frequency comb, which will be a necessary post-processing step for some applications.

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# Chapter 1

## Introduction

The optical frequency comb is an array of uniformly-spaced, phase-coherently oscillating optical fields [5, 6]. This frequency-domain ‘ruler’ is a versatile, critically important tool for science and technology—its invention two decades ago initiated a revolution in precision metrology by dramatically improving the resolution with which we can conveniently measure time and frequency [1–4]. This revolution was brought about by the development of the femtosecond modelocked Ti:sapphire laser [7] and the introduction of new methods for tailoring the fundamental nonlinear interaction between light and matter [8, 9]. The powerful combination of these two advances enabled the generation of laser pulse trains with coherent, octave-spanning spectra and allowed implementation of a simple scheme (see Sec. 1.1.2) by which their hundreds-of-terahertz-scale optical frequencies could be measured electronically.

The connection between the optical and electrical domains afforded by the frequency comb has found an important role in many contexts. These range from basic scientific inquiry, such as measurements of the size of the proton [10], searches for time-variation of the fundamental constants of nature [11, 12], and calibration of astronomical spectrographs for exoplanet detection [18], to applications such as ultra-low-noise microwave synthesis [13, 14], fast and broadband spectroscopy [15, 16], and optical arbitrary waveform generation [17]. Further development of the technology beyond the first stabilization of the Ti:sapphire laser that heralded the frequency comb’s arrival has enabled combs to reach applications across many wavelength bands [19–22], and in particular modelocked fiber-laser frequency combs have proven to be versatile, compact systems that are useful

for many applications [23–25]. The technology is reaching maturity—frequency combs have been deployed outside the laboratory for spectroscopy applications [26, 27] and have been deployed in space [28]. Packaged frequency combs have been commercially available as laboratory tools for some time.

In the last decade, methods for generating optical frequency combs without a modelocked laser have emerged. These new frequency combs come with higher repetition rates and lower fundamental size, weight, and power (SWAP) requirements. Higher repetition rates make them particularly attractive for applications where high power per comb mode, individual accessibility of comb modes, and fast acquisition times are desired; these applications include arbitrary microwave and optical waveform generation, telecommunications, and broadband, temporally-resolved spectroscopy. With their low SWAP requirements, these combs present a promising route towards planar integration of frequency combs, which could enable their seamless inclusion in compact devices. This will continue to drive forward the revolution that was initiated some twenty years ago. There remains much work to be done, however, to develop these combs based on continuous-wave (CW) lasers to the level of technological maturity that has been reached by modelocked-laser-based combs.

This thesis discusses these new frequency combs and has several related, discrete areas of focus. The bulk of the thesis covers microresonator-based frequency combs (microcombs), and especially the nonlinear dynamics involved in the generation of these frequency combs via the Kerr nonlinearity. An introduction to this field is provided in Chapter ???. Chapters ???-??? describe advancements in the field, and Chapter ??? provides a brief summary and a discussion of avenues for further research on this topic. Then, Chapter ??? presents a second method for generating a high repetition-rate frequency comb without modelocking that is based on active modulation of a CW seed laser and subsequent nonlinear spectral broadening. The first self-referencing of a comb of this type is described, and a proof-of-principle application to the generation of low-noise microwaves is discussed. An outlook for further development of this type of comb is presented. Finally, in Chapter ???, I present experimental and theoretical investigations of repetition-rate reduction of frequency combs via pulse gating. This technique may prove useful for adapting high repetition-rate combs to

some applications as the technology continues to develop.

In the remainder of this chapter I discuss the basic properties of frequency combs and explain how the optical frequencies making up a comb can be fully determined by electronics operating with gigahertz-scale bandwidths.

## 1.1 Optical frequency combs

An optical frequency comb is obtained by fully stabilizing the spectrum of an optical pulse train so that each mode in the spectrum serves as a stable optical reference frequency. The first frequency combs came about through full frequency-stabilization of modelocked lasers, in which many co-lasing modes in a cavity with broadband gain are made to synchronize and generate a train of pulses. This is achieved through the introduction of a modelocking mechanism that favors pulsed operation by lowering loss at higher peak power; such mechanisms include Kerr self-focusing [29, 30], nonlinear polarization rotation [31, 32], and saturable absorption [33]. This thesis focuses on frequency comb pulse trains that are generated through other means—in particular, the combs presented here are derived from a CW laser that functions as the central mode of the comb, and there is no laser cavity with broadband, active gain and multi-mode lasing. The modes of the comb are generated from this input CW laser through passive nonlinear (Chapters ??-??) and active linear (Chapter ??) frequency conversion.

### 1.1.1 Optical pulse trains and their spectra

In the time domain, a frequency comb consists of a train of uniformly spaced optical pulses arriving at the pulse train's repetition rate  $f_{rep}$ , which within the growing space of frequency comb technology is between  $\sim 10$  MHz and  $\sim 1$  THz; the combs discussed in this thesis have repetition rates between 10 GHz and 30 GHz. In most implementations the pulses are very short compared to the repetition period  $T = 1/f_{rep}$ , with durations on the order of 100 fs. In the frequency domain, the comb consists of a set of modes that are spaced by  $f_{rep}$  in frequency and that have amplitudes determined by an overall spectral envelope centered at the optical carrier frequency  $\nu_c$  ( $\sim 193$  THz



in this thesis), with bandwidth inversely related to the temporal duration of the pulses. The usual description of a frequency comb, which is natural for modelocked-laser-based combs that are not derived from a CW laser, gives the frequencies of the comb modes as

$$\nu_n = n f_{rep} + f_0, \quad (1.1)$$

where  $n \sim \nu_c / f_{rep}$  for the optical modes that make up the comb and  $f_0$  is the carrier-envelope offset frequency, which may be defined to be between 0 and  $f_{rep}$ . The offset frequency results from the pulse-to-pulse evolution of the carrier wave underneath the temporal intensity envelope of the pulses due to a difference in group and phase velocities. An equivalent representation of the frequencies of the comb that is more natural for frequency combs directly derived from a CW laser, as described in this thesis, is

$$\nu_\mu = \nu_c + \mu f_{rep}, \quad (1.2)$$

where  $\nu_c$  is the frequency of the CW laser, the ‘pump’ or ‘seed’ laser, from which the frequency comb is derived and  $\mu$  is a pump-referenced mode number, in contrast with the zero-referenced mode number  $n$  of Eq. 1.1. Now the carrier-envelope offset frequency  $f_0$  is found in the difference between  $\nu_c$  and the closest harmonic of  $f_{rep}$ :  $f_0 = \nu_c - N f_{rep}$ , where  $N$  is the largest integer such that  $f_0 > 0$ . Fig. 1.1 depicts the properties of a frequency comb in the time domain and the frequency domain.

It is useful to consider a mathematical treatment of an optical pulse train to understand the relationships presented above. In the time domain, the electric field  $E(t)$  of the pulse train consists of optical pulses that arrive periodically and have baseband (centered at zero frequency) field envelope  $A(t)$  multiplying the carrier wave of angular frequency  $\omega_c = 2\pi\nu_c$ :

$$E(t) = \sum_{k=-\infty}^{\infty} A(t - kT) e^{i\omega_c t}. \quad (1.3)$$

Here,  $T$  is the repetition period of the pulse train. Eq. 1.3 can be viewed as describing a laser of angular frequency  $\omega_c$  with a time-varying amplitude. This temporal modulation leads to the distribution of the power across a spectrum whose width scales inversely with the temporal duration

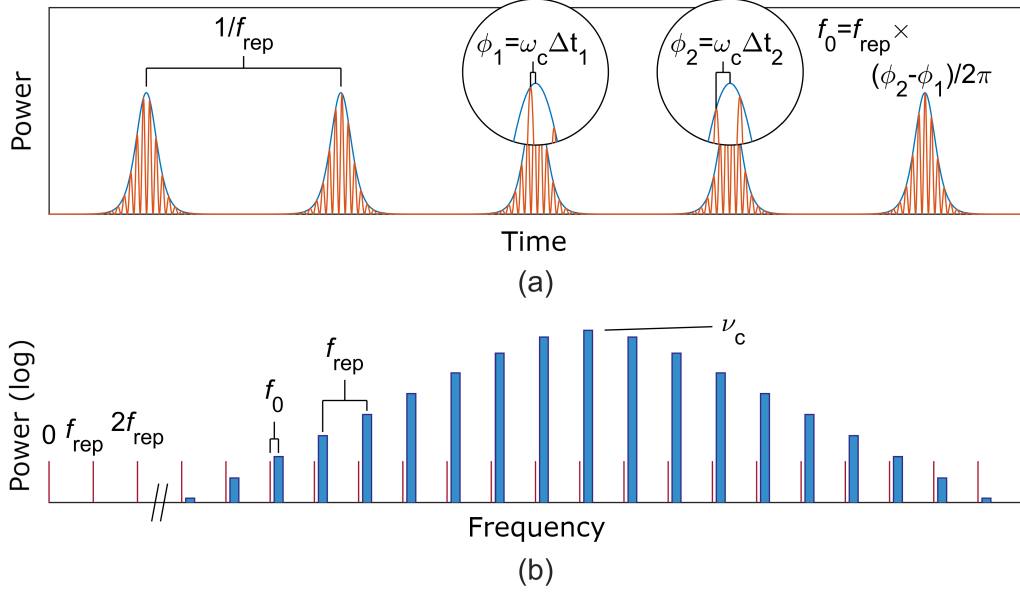


Figure 1.1: **Optical frequency combs in the time and frequency domains.** (a) Time-domain depiction of a frequency comb as a train of pulses spaced by  $1/f_{rep}$ . The intensity envelope is shown in blue, and the carrier wave is shown in orange. The carrier-envelope offset frequency  $f_0$  arises from a phase-slip of the carrier with respect to the intensity envelope from pulse to pulse. Specifically, if phases  $\phi_j = \omega_c \Delta t_j$  are traced out by the carrier wave between its maximum and the  $j^{\text{th}}$  peak of the pulse train, then  $f_0 = \frac{\phi_{j+1} - \phi_j}{2\pi} f_{rep}$ . (b) Frequency-domain depiction of the same frequency comb. The comb modes (shown in blue) are centered around an optical frequency  $\nu_c$  and offset from harmonics of the repetition rate  $f_{rep}$  (shown in red) by a frequency shift  $f_0$ . Note that the x-axis has been broken, and the zero-referenced mode numbers of the comb modes shown are large, e.g.  $n \sim 19340$  for a 10 GHz repetition-rate comb centered at 1550 nm wavelength (see Chapter ??).

of  $A$ . Intuitively, the spectrum of the comb is the spectrum of the periodic baseband field envelope<sup>1</sup>  $\Sigma_k A(t - kT)$ , shifted in frequency by the multiplication with  $e^{i\omega_c t}$  so that it is centered around the optical carrier. More formally, we can calculate the frequency content of the comb by calculating

$$\mathcal{F}\{E\}(\omega) \sim \left( \sum_{k=-\infty}^{\infty} \mathcal{F}\{A(t - kT)\} \right) * \delta(\omega - \omega_c). \quad (1.4)$$

Here  $\mathcal{F}$  denotes Fourier transformation and  $*$  denotes convolution; this expression results from the Fourier transform's property that the transform of a product is the convolution of the transforms:  $\mathcal{F}(A \cdot B) = \mathcal{F}(A) * \mathcal{F}(B)$ . Now we use the Fourier transform's property that a temporal translation results in a linear spectral phase shift to obtain:

$$\mathcal{F}\{E\} \sim \left( \mathcal{F}\{A\} \times \sum_{k=-\infty}^{\infty} e^{-i\omega kT} \right) * \delta(\omega - \omega_c). \quad (1.5)$$

<sup>1</sup> which, as the spectrum of a periodic function, is already a comb.

The quantity  $\sum_k e^{-i\omega_k T}$  is the Fourier-series representation of the series of  $\delta$ -functions  $\sum_\mu \delta(\omega - 2\pi\mu/T)$  (the *Dirac comb*), so we have

$$\mathcal{F}\{E\}(\omega) \sim \left( \mathcal{F}\{A\} \times \sum_{\mu=-\infty}^{\infty} \delta(\omega - 2\pi\mu/T) \right) * \delta(\omega - \omega_c), \quad (1.6)$$

and performing the convolution leads to the replacement of  $\omega$  with  $\omega - \omega_c$ , leading to:

$$\mathcal{F}\{E\} \sim \sum_{\mu=-\infty}^{\infty} \delta(\omega - \omega_c - \mu\omega_r) \mathcal{F}\{A\}(\omega - \omega_c), \quad (1.7)$$

where  $\omega_{rep} = 2\pi f_{rep} = 2\pi/T$ . This expression indicates that the spectrum of the comb has frequency content at modes  $\nu_\mu = \nu_c + \mu f_{rep}$ , and that their amplitudes are determined by the spectrum of the baseband field envelope, shifted up to the optical carrier frequency  $\nu_c$ . This is the natural formulation in the case of a comb derived from a CW laser, but it obscures the carrier-envelope offset frequency in the difference between  $\nu_c$  and the nearest multiple of the repetition rate, as discussed above. In practice, if  $f_{rep}$  is known, then a measurement of  $f_0$  is equivalent to a measurement of the frequency of the input CW laser.

### 1.1.2 Frequency stabilization of optical pulse trains

The scientific need for a method to measure optical frequencies motivated the development of optical frequency combs. While the measurement bandwidth of electronic frequency counters has improved since 1999, it remains limited to frequencies roughly *ten thousand* times lower than the frequency of, e.g., visible red light. Frequency combs present a method for measurement of the unknown frequency  $f_{opt}$  of an optical signal through heterodyne with a frequency comb—if  $f_{opt}$  falls within the bandwidth of the frequency comb, then the frequency of the heterodyne between the comb and the signal is guaranteed to be less than  $f_{rep}/2$ . If the frequencies of the comb are known, measurement of the heterodyne with the signal reveals its frequency  $f_{opt}$ , provided that the comb mode number and sign of the beat can be determined. This can be done via a wavelength measurement if sufficient precision is available, or by measuring the change  $\partial f_b / \partial f_{rep}$ , where  $f_b$  is the measured frequency of the beat.

The utility of the optical frequency comb lies in the fact that measurement of the two frequencies  $f_{rep}$  and  $f_0$  is sufficient to determine the optical frequencies of all of the modes of the comb, thereby enabling frequency measurement of optical signals. Measurement of the repetition rates of optical pulse trains was possible before the realization of optical frequency comb technology, as this can be done by simply impinging the pulse train on a photodetector. Some pulse trains generated in new platforms have repetition rates too high for direct measurement in this way, but this challenge can be addressed by e.g. spectrally interleaving a lower repetition-rate comb [34, 35]. In general, measurement of  $f_0$  presents the more difficult challenge. It was the confluence of several technological developments around the turn of the twenty-first century that allowed detection and measurement of this frequency, thereby enabling creation of fully-stabilized modelocked-laser pulse trains: optical frequency combs.

The carrier-envelope offset frequency of a pulse train is challenging to measure because it describes evolution of the optical carrier wave underneath the intensity envelope, and therefore cannot be measured through straightforward detection of the intensity of the pulse train. Presently, the most straightforward way to measure  $f_0$  is  $f - 2f$  *self-referencing*. This can be performed only with a pulse train whose spectrum spans an octave—a factor of two in frequency. Given such an octave-spanning supercontinuum spectrum, a group of modes near mode number  $N$  is frequency-doubled in a medium with the  $\chi^{(2)}$  nonlinearity [36]. This frequency-doubled light is heterodyned with the native light in the supercontinuum with mode number near  $2N$ . The frequency of the resulting beat  $f_b$  is:

$$f_b = f_{doubled} - f_{native} \quad (1.8)$$

$$= 2(Nf_{rep} + f_0) - (2Nf_{rep} + f_0) \quad (1.9)$$

$$= f_0. \quad (1.10)$$

Such a scheme is implemented in an  $f - 2f$  *interferometer*, which is depicted in Fig. 1.2. Generating the necessary octave-spanning supercontinuum spectrum typically requires nonlinear spectral broadening of the pulse train after its initial generation except for in specific, carefully engineered cases

(e.g. [35, 37]). Achieving the required degree of spectral broadening while preserving the coherence properties of the pulse train is a significant challenge—in the past this has typically required launching a train of high energy ( $\sim 1$  nJ), temporally short ( $\leq 100$  fs) pulses into the spectral-broadening stage. Recent developments in nonlinear fiber and waveguide technology have relaxed these requirements slightly (e.g. Ref. [38], also Chapter ??), but maintaining the coherence of the pulse train during spectral broadening remains an important consideration in designing optical frequency comb systems.

The application of  $f - 2f$  self-referencing for full frequency-comb stabilization is discussed in Chapters ?? and ?. Self-referencing of microresonator-based frequency combs is not a result presented explicitly in this thesis, but it is nonetheless a key step in the preparation of microcombs for applications and is a motivation for the investigations into microcomb nonlinear dynamics that are presented in Chapters ??-??.

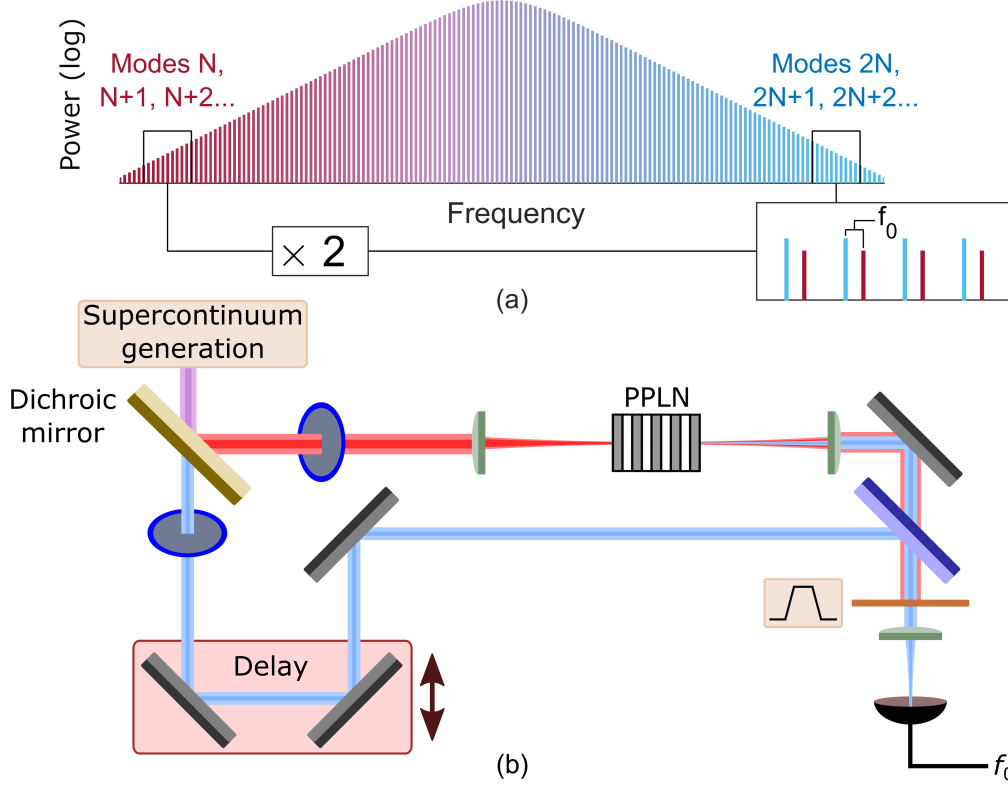


Figure 1.2: **Measurement of the carrier-envelope offset frequency  $f_0$  via  $f - 2f$  self-referencing.** (a) Frequency-domain depiction of  $f - 2f$  self-referencing: Light on the low frequency end of an octave-spanning supercontinuum is frequency-doubled, and then heterodyned with light on the high frequency end near twice its frequency, enabling measurement of the carrier-envelope offset frequency. (b) Schematic depiction of an  $f - 2f$  interferometer: After supercontinuum generation, a dichroic mirror splits the light by wavelength, and the low-frequency end of the supercontinuum (red) is sent through a nonlinear crystal for frequency-doubling. Here the crystal is periodically-poled lithium niobate (PPLN), where quasi-phasematching is employed for efficient doubling of the target modes [39]. The high-frequency end (blue) is sent through a delay stage, which can be adjusted to compensate for temporal walk-off between the spectral components (modes  $\sim N$  and modes  $\sim 2N$ ) required for self-referencing during the supercontinuum generation process. The two beams are then recombined by a beamsplitter and sent through a narrow optical band-pass filter centered around the doubled modes, which filters out light not necessary for  $f_0$  measurement to increase the signal-to-noise ratio of the detection. Photodetection of the band-passed beam then reveals  $f_0$ . Waveplates in each path are used to optimize the polarization of the long-wavelength light for frequency-doubling and to ensure co-polarization of the two beams on the detector.

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