## MOUTHWASH with Uniform Mixtures

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#### Abstract

I do initial simulations using mixtures of uniforms as the prior.

#### 1 Methods

I first normalized the counts by  $\log(COUNTS + 1)$ . The number of hidden confounders was estimated using the methods of Buja and Eyuboglu [1992] implemented in the num.sv() function in the sva package in R.

I methods of MOUTHWASH I looked at were

- t-likelihood, uniform mixtures, using homoscedastic PCA.
- t-likelihood, uniform mixtures, using PCA with limma-shrunk variance estimates, adjusting with the estimated df.
- t-likelihood, uniform mixtures, using heteroscedastic PCA.
- normal likelihood, uniform mixtures, using heteroscedastic PCA.
- normal likelihood, normal mixtures, using homoscedastic PCA.
- normal likelihood, normal mixtures, using heteroscedastic PCA.

For the t-likelihoods, I always used n-1 degrees of freedom except when using the limma-shrunk variances.

## 2 Simulation Study

I ran through 100 repetitions of generating data from GTEX lung data under the following parameter conditions:

- $n \in \{10, 20, 40\},\$
- p = 100. A smaller number of genes was used because the uniform mixture implementation is much slower than the normal mixture implementation. So using more genes would have resulted in much longer running times.
- $\pi_0 \in \{0.5, 0.9\},\$

•  $\sigma_{log2} = 1$ . I was running into numerical issues at  $\sigma_{log2} = 5$  for the uniform mixtures for some of the data sets. The error code wasn't too helpful and rerunning MOUTHWASH on the same data set would be fine. So it must be that for some starting values for some data sets I am running into numerical issues. This seems very hard to debug.

I extracted the most expressed p genes (excluding the top 5 expressed genes) from the GTEX lung data and n samples are chosen at random. Half of these samples are randomly given the "treatment" label 1, the other half given the "control" label 0. Of the p genes,  $\pi_0 p$  were chosen to be non-null. Signal was added by the Poisson-thinning approach in Mengyin's code with a mean log2-fold change of 0 and a standard deviation log2-fold change of  $\sigma_{log2}$ . That is

$$A_1, \dots, A_{p/2} \sim N(0, \sigma_{log2}^2)$$
 (1)

$$B_i = 2^{A_i} \text{ for } i = 1, \dots, p/2.$$
 (2)

If  $A_i > 0$  then we replace  $Y_{[1:(n/2),i]}$  with  $Binom(Y_{[j,i]}, 1/B_i)$  for j = 1, ..., n/2. If  $A_i < 0$  then we replace  $Y_{[(n/2+1):n,i]}$  with  $Binom(Y_{[j,i]}, B_i)$  for j = n/2 + 1, ..., n.

For each iteration, I calculated two things:

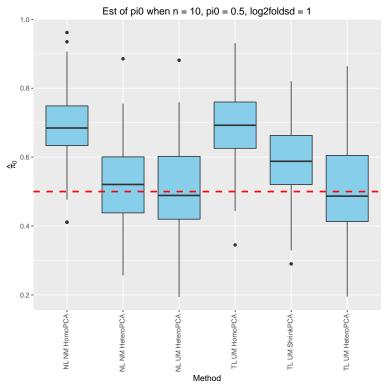
- 1. The AUC using either the lfdrs.
- 2. The estimates of  $\pi_0$ .

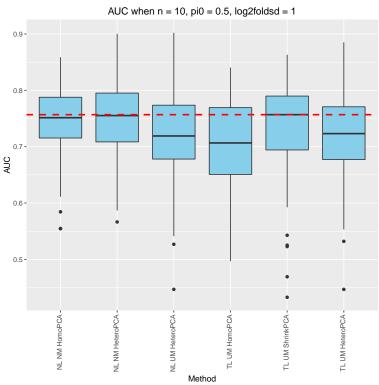
### 3 Results

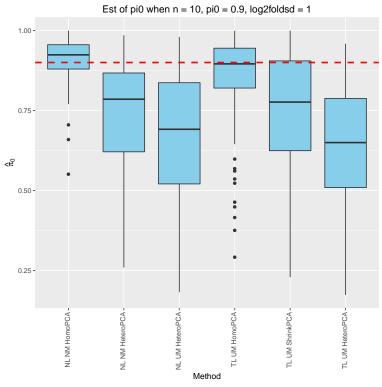
In the plots below, I use the following abbreviations:

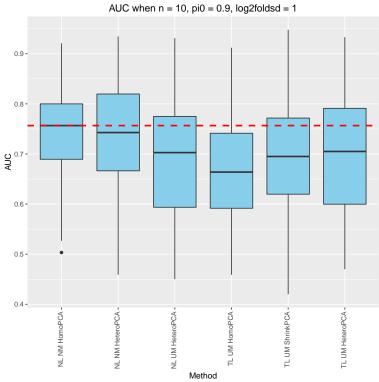
- NL = normal likelihood, TL = t-likelihood,
- NM = normal mixture, UM = uniform mixtures,
- HomoPCA = PCA + homoscedastic variance model.
- HeteroPCA = PCA + heteroscedastic variance model.
- ShrinkPCA = PCA + limma-shrunk variances.

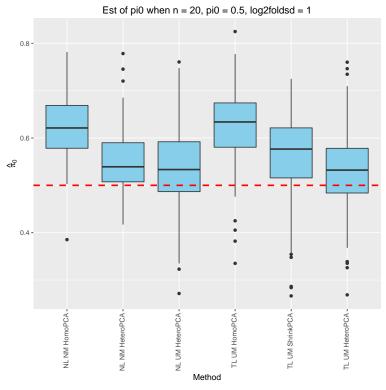
In general, the most important effect is the variance model. Again, I am seeing that homoscedasticity works really well. The t-likelihood doesn't noticeably improve estimating  $\pi_0$ . I am seeing that for large n, all of the methods start performing well at estimate  $\pi_0$ .

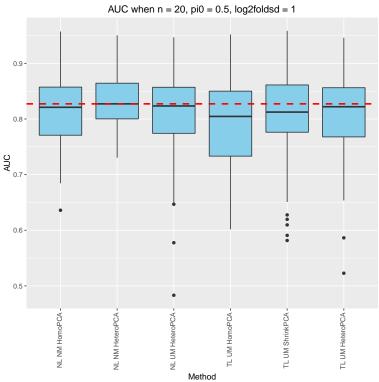


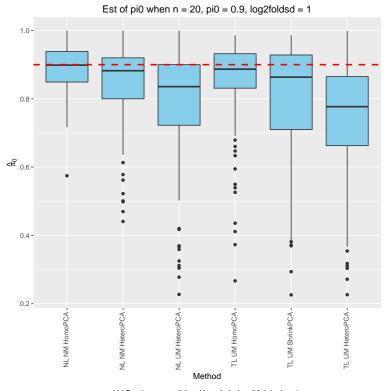


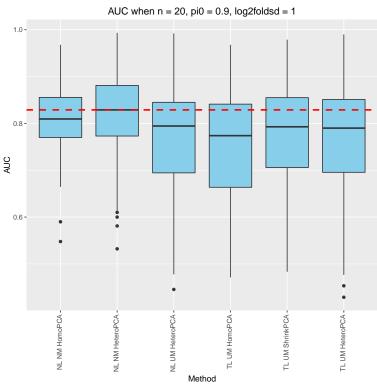


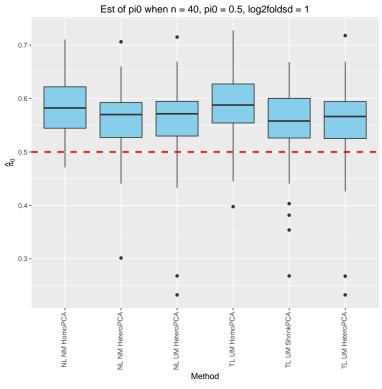


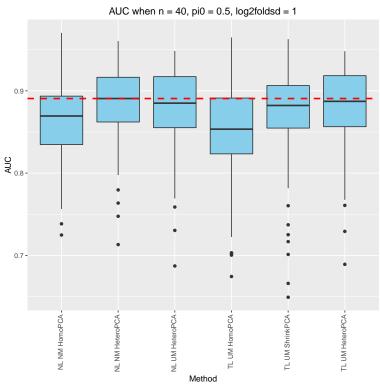


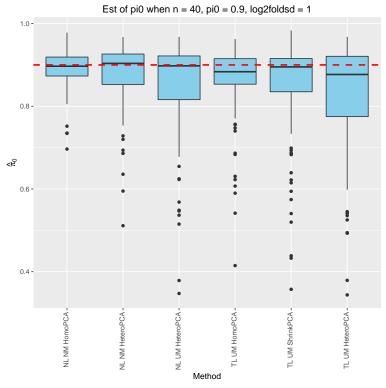


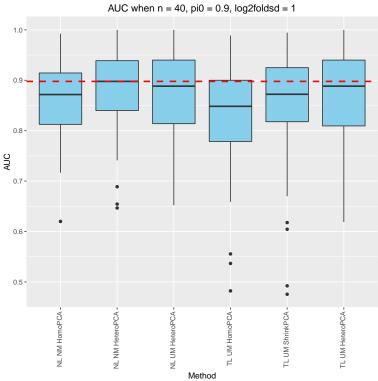












# References

Andreas Buja and Nermin Eyuboglu. Remarks on parallel analysis.  $Multivariate\ behavioral\ research,\ 27(4):509-540,\ 1992.$