# CSCI544: Homework Assignment No. 4

## Submitted by:

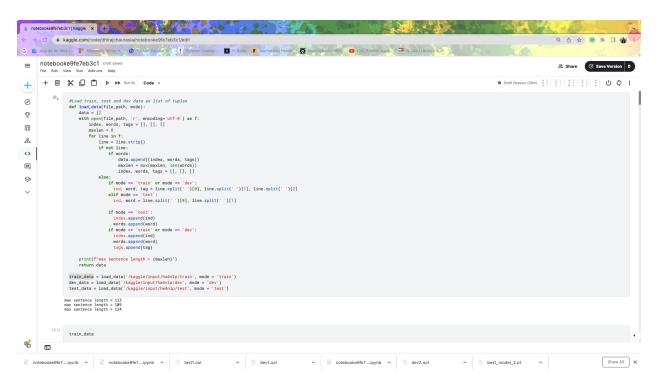
Name: Dhiraj Chaurasia USC ID#: 1556515687

## Task 1: Simple Bidirectional LSTM model (40 points)

Note: Please refer to the notebook attached in the submission

## Steps:

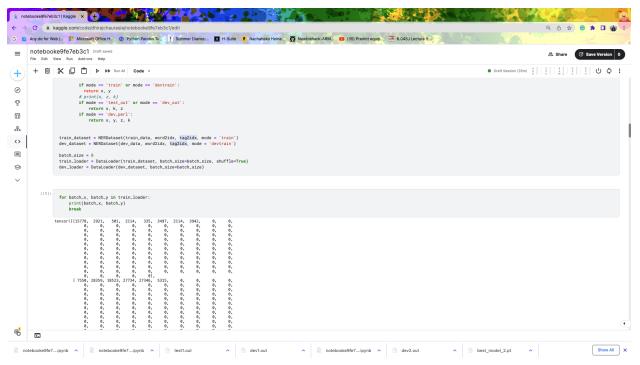
1. Load train, test and dev data as list of tuples



## 2. Build vocabulary and word<->tag maps

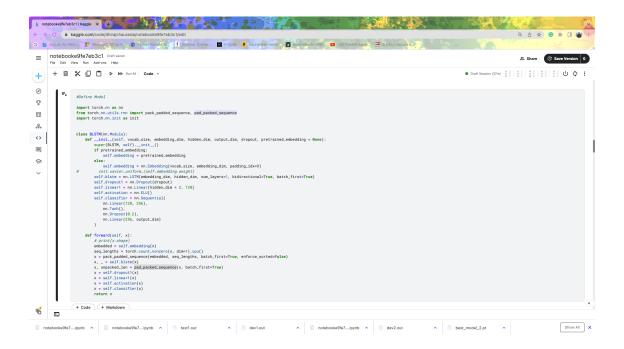
```
≡⊾
      # Build vocabulary and word<->tag maps
      from collections import Counter
      def build_vocab(data):
          word_counts = Counter(word for _, sentence, _ in data for word in sentence)
          filtered_dict = {key: value for key, value in word_counts.items()}
          vocabulary = ['<pad>', '<unk>'] + sorted(filtered_dict)
          word2idx = {word: idx for idx, word in enumerate(vocabulary)}
          return vocabulary, word2idx
      def build_tag_map(data):
          tags = set(tag for _,_, tags in data for tag in tags)
          # tags.add('<pad>')
          tag2idx = {tag: idx for idx, tag in enumerate(sorted(tags))}
          return tag2idx
      vocabulary, word2idx = build_vocab(train_data + dev_data + test_data)
      tag2idx = build_tag_map(train_data + dev_data)
```

3. Make a DataLoader that takes raw data -> tokenizes -> pads -> and returns batches of data.



4. Code the model

Note: Speed up training time by using pack\_padded\_sequence and pad\_packed\_sequence



## 5. Utility function to monitor the training process

```
# piecewise accuracy
def accuracy(outputs, labels):
    acc = 0
    count = 0
    for i in range(outputs.shape[0]):
        sentence_pred = outputs[i]
        for j, word in enumerate(sentence_pred):
            word_pred = torch.argmax(word).item()
           label = labels[i][j].item()
           if label == -1:
                continue
           count += 1
            if word_pred == label:
                acc += 1
    return acc/count
#evaluate function for dev test during training
def evaluate(model, criterion, dataloader, device = 'cuda'):
  with torch.no_grad():
    dev_loss, dev_acc, dev_f1 = 0.0, 0.0, 0.0
    for batch_x, batch_y in tqdm(dataloader):
     batch_x = batch_x.to(device)
     batch_y = batch_y.to(device)
     outputs = model(batch_x)
      seq_lengths = torch.count_nonzero(batch_x, dim=1).to('cpu')
     packed_y = pack_padded_sequence(batch_y, seq_lengths, batch_first=True, enforce_sorted=False)
     unpacked_y, unpacked_len = pad_packed_sequence(packed_y, batch_first=True, padding_value=-1)
     unpacked_y = unpacked_y.to(device)
     loss = criterion(outputs.permute(0, 2, 1), unpacked_y)
     dev_loss += loss.item()
     out_for_f1 = torch.argmax(outputs, dim = -1)
     mask = (unpacked_y >= 0)
     f1 = f1_score(out_for_f1[mask].cpu(), unpacked_y[mask].cpu(), average='weighted')
     #-->costly operation, uncomment to see accuracy
       acc = accuracy(outputs, batch_y)
       dev_acc += acc
     dev_f1 += f1
    dev_loss /= len(dataloader)
    dev_acc /= len(dataloader)
    dev_f1 /= len(dataloader)
```

Piecewise accuracy measures label\_hits/total\_data\_points excluding padding.

In evaluate model, I also calculate the F1 score (after masking padding).

### 6. Compute class weights to handle class imbalance

#### 7. Define needed stuffs

```
from tqdm import tqdm
from sklearn.metrics import f1_score
from torchmetrics.functional.classification import multiclass_f1_score
from torchmetrics.functional.classification import multiclass_f1_score
from torchmetrics.functional.classification import multiclass_f1_score
from torch.optim.lr_scheduler import MultiStepLR

device = torch.optim.lr_scheduler import MultiStepLR

device = torch.optim.lr_scheduler_import MultiStepLR

device = torch.optim.Adam(model.parameters(), lr = 0.001, model.parameters(), lr = 0.001, model.parameters(), lr = 0.001, model.parameters(), lr = 0.001, momentum = 0.001, weight_decay = 1e-3, eps=1e-000, betas = (0.001, 0.001)
# scheduler = torch.optim.lr_scheduler.retrictles(potimizer, mineral, parameters)
# scheduler = torch.optim.lr_scheduler.cycliclR(optimizer, base_lr=0.5, max_lr=1.2, step_size_up=20, step_size_down=None, mode='triangular', gamma=1.0)
# scheduler = torch.optim.lr_scheduler.ReducelROnPlateau(optimizer, 'min', 'min_lr=1, verbose=True)
scheduler = torch.optim.lr_scheduler.ReducelROnPlateau(optimizer, mode='min', factor=0.55, patience = 3, threshold=0.1, verbose=True, min_lr=5e-4)
# scheduler = torch.optim.lr_scheduler.LinearLR(optimizer, start_factor=0.5, total_iters=20)
# class_weights = torch.tensor([1,1,1,1,1,1,1,0.01], dtype=torch.float).to(device)
criterion = nn.CrossEntropyLoss(ignore_index=-1, reduction='mean', weight=class_weights)
```

Note: Tried various optimizers and schedulers to get a feel of the loss space. Used SGD optimizer and ReduceLROnPlateau in the end.

#### 8. Train the model

```
def train(model, train_loader, optimizer, criterion, device, epochs):
      model.train()
      SAVE_PATH = "./best_model.pt"
      best_f1 = -1
      for epoch in range(epochs):
       print(f"Epoch: {epoch}")
        train_loss, train_acc, train_f1 = 0.0, 0.0, 0.0
        for batch_x, batch_y in tqdm(train_loader):
            batch_x = batch_x.to(device)
            batch_y = batch_y.to(device)
            outputs = model(batch_x)
            seq_lengths = torch.count_nonzero(batch_x, dim=1).to('cpu')
            packed_y = pack_padded_sequence(batch_y, seq_lengths, batch_first=True, enforce_sorted=False)
            unpacked_y, unpacked_len = pad_packed_sequence(packed_y, batch_first=True, padding_value = -1)
            unpacked_y = unpacked_y.to(device)
            loss = criterion(outputs.permute(0, 2, 1), unpacked_y)
            train_loss += loss.item()
            optimizer.zero_grad()
            loss.backward()
            optimizer.step()
        train_loss /= len(train_loader)
        val_loss, val_f1 = evaluate(model, criterion, dev_loader)
        if val_f1 > best_f1:
              best_f1 = val_f1
              torch.save(model.state_dict(), SAVE_PATH)
        scheduler.step(val_loss)
        print(f"Average train Loss: {train_loss}")
        print(f"Current Learning Rate: {get_lr(optimizer)}")
        print(f"Best sklearn masked F1: {best_f1}")
      return model
  model = train(model, train_loader, optimizer, criterion, device, epochs = 100)
Epoch: 0
100%|
            1874/1874 [00:18<00:00, 101.18it/s]
1434/434 [00:02<00:00, 164.55it/s]
Average Dev Loss: 1.4999754266804814
Average Dev accuracy: 0.0
```

I trained the model for 100 epochs to see how it fits. I adjusted the hyperparameters based on several experiments.

#### **Notes**

- Training seems to benefit from reducing the learning rate over epochs.
- I use a patience of 3 and penalize the learning rate if the dev loss is not decreasing.
- Although the model seems to saturate for a while but if we let it learn nevertheless for a few more epochs, we observe that the sklearn F1 increases even though dev loss seems stagnant. Then after a few epochs, loss also decreases.
- Use early stopping, save best model and load it later

```
Epoch: 0
                                                                                                                                            Average Dev F1: 0.9539465449150528
100% 100% 100% 100.184(00:18<00:00, 101.18it/s]
100% 100% 100.184(00:02<00:00, 164.55it/s]
Average Dev Loss: 1.4999754266804814
                                                                                                                                            Average train Loss: 0.025110982044991563
Average Dev accuracy: 0.0
Average Dev F1: 0.44007233480329083
Average train Loss: 1.7876404129135697
                                                                                                                                            Epoch: 96
                                                                                                                                            100%|
Current Learning Rate: 0.01
Best sklearn masked F1: 0.44007233480329083
                                                                                                                                            100%
100% | 1874/1874 [00:18<00:00, 103.24it/s]
100% | 434/434 [00:02<00:00, 168.88it/s]
Average Dev Loss: 1.2480844843634813
Average Dev accuracy: 0.0
Average Dev f1: 0.512941720119775
Average train Loss: 1.3169132836854827
Current Learning Rate: 0.01
Best sklearn masked F1: 0.512941720119775
                                                                                                                                            Epoch: 97
Epoch: 2
                                                                                                                                            100%Ⅱ
100% | 1874/1874 [00:18<00:00, 99.47it/s]
100% | 434/434 [00:02<00:00, 180.12it/s]
Average Dev Loss: 1.130530881613905
                                                                                                                                            100%
Average Dev accuracy: 0.0
Average Dev F1: 0.514520515226967
Average train Loss: 1.0797002766849901
Current Learning Rate: 0.01
Best sklearn masked F1: 0.514520515226967
Epoch: 3
Epoch: 98
                                                                                                                                            100% II
                                                                                                                                            100%
Average train Loss: 0.9368670036024319
Current Learning Rate: 0.01
Best sklearn masked F1: 0.5735050098465089
Epoch: 4
100% | 1874/1874 [00:18<00:00, 103.72it/s]
100% | 434/434 [00:02<00:00, 176.84it/s]
Average Dev Loss: 1.0281429839779705
                                                                                                                                            Epoch: 99
Average Dev accuracy: 0.0
Average Dev accuracy: 0.0
Average Dev F1: 0.6522480739744296
Average train Loss: 0.8510956046198322
Current Learning Rate: 0.01
Best sklearn masked F1: 0.6522480739744296
                                                                                                                                            100%|
                                                                                                                                            100%
                    | 1874/1874 [00:18<00:00, 101.43it/s]
| 434/434 [00:02<00:00, 157.99it/s]
100% | 434/434 100.02500.0
Average Dev Accuracy: 0.0
Average Dev Fi: 0.494183893979238
```

```
Current Learning Rate: 0.0005
Best sklearn masked F1: 0.9596342079482945
                 1874/1874 [00:18<00:00, 103.45it/s]
              434/434 [00:02<00:00, 160.55it/s]
Average Dev Loss: 0.5426721245561156
Average Dev accuracy: 0.0
Average Dev F1: 0.9574477554482997
Average train Loss: 0.02484619014177336
Current Learning Rate: 0.0005
Best sklearn masked F1: 0.9596342079482945
              | 1874/1874 [00:18<00:00, 102.49it/s]
              434/434 [00:02<00:00, 178.45it/s]
Average Dev Loss: 0.5446643792452239
Average Dev accuracy: 0.0
Average Dev F1: 0.9574796125732961
Average train Loss: 0.02480737278372121
Current Learning Rate: 0.0005
Best sklearn masked F1: 0.9596342079482945
              | 1874/1874 [00:18<00:00, 101.17it/s]
              434/434 [00:02<00:00, 156.27it/s]
Average Dev Loss: 0.5294855833879762
Average Dev accuracy: 0.0
Average Dev F1: 0.9546081339872722
Average train Loss: 0.024253755565230777
Current Learning Rate: 0.0005
Best sklearn masked F1: 0.9596342079482945
              1874/1874 [00:17<00:00, 104.61it/s]
1 434/434 [00:02<00:00, 160.47it/s]
Average Dev Loss: 0.5564461686929542
Average Dev accuracy: 0.0
Average Dev F1: 0.9567873982440075
Average train Loss: 0.024438474007152624
Current Learning Rate: 0.0005
Best sklearn masked F1: 0.9596342079482945
```

#### Final Results:

```
3]:
     !perl conll03eval < dev1.out
   processed 51577 tokens with 5942 phrases; found: 5673 phrases; correct: 4645.
   accuracy: 96.06%; precision: 81.88%; recall: 78.17%; FB1:
                                                               79.98
                 LOC: precision: 90.58%; recall: 85.36%; FB1:
                                                                87.89
                                                                       1731
                MISC: precision: 79.12%; recall:
                                                  74.40%; FB1:
                                                               76.69
                                                                       867
                 ORG: precision: 73.10%; recall:
                                                  73.97%; FB1:
                                                                73.54
                                                                       1357
                 PER: precision: 81.43%; recall: 75.95%; FB1: 78.60
```

## Task 2: Using GloVe word embeddings (60 points)

### Steps:

- 1) Most of the parts of code including dataloader and vocab remain the same.
- 2) Load Glove Embeddings

```
embeddings_index = {}
with open('glove.6B.100d', 'r', encoding='utf-8') as f:
    for line in tqdm(f):
        values = line.split()
        word = values[0].lower()
        coefs = np.asarray(values[1:], dtype='float32')
        embeddings_index[word] = coefs

len(embeddings_index)

400000it [00:09, 41931.32it/s]
400000
```

 Make weight matrix using glove embeddings and initialize the weights of the Embedding Layer using weight matrix

```
def make_weight_matrix(word2idx):
     weights_matrix = np.zeros((len(vocabulary), 100))
     hits = misses = 0
     # Initialize the unk and pad vector randomly using a normal distribution
     unk_weight = np.random.normal(scale=0.8, size=(100,))
      pad_weight = np.random.normal(scale=0.8, size=(100,))
     for word, i in word2idx.items():
          embedding_vector = embeddings_index.get(word.lower())
         if embedding_vector is not None:
             weights_matrix[i] = embedding_vector
             hits += 1
          else:
             misses += 1
              if word == '<pad>':
                 weights_matrix[i] = pad_weight
                 weights_matrix[i] = unk_weight
     print(f"Hits: {hits} Misses: {misses} Hit Ratio: {hits/(hits+misses)}")
     return weights_matrix
 weights_matrix = make_weight_matrix(word2idx)
 embedding_layer = nn.Embedding(len(vocabulary), 100)
 {\tt embedding\_layer.weight.data.copy\_(torch.from\_numpy(weights\_matrix))}
 {\tt embedding\_layer.weight.requires\_grad} \ = \ {\tt True}
Hits: 26340 Misses: 3952 Hit Ratio: 0.8695365112901096
+ Code + Markdown
```

#### 4) Train the model

```
Epoch: 0
100%|
                     1874/1874 [00:18<00:00, 101.88it/s]
                  | 434/434 [00:02<00:00, 174.71it/s]
100% i
Average Dev Loss: 0.7340873273149613
Average Dev accuracy: 0.0
Average Dev F1: 0.7705576421574798
Average train Loss: 1.0544551648954954
Current Learning Rate: 0.01
Best sklearn masked F1: 0.7705576421574798
Epoch: 1
100% | 1874/1874 [00:18<00:00, 103.64it/s]
100% | 434/434 [00:02<00:00, 178.20it/s]
Average Dev Loss: 0.541081804717775
Average Dev accuracy: 0.0
Average Dev F1: 0.7525595739373699
Average train Loss: 0.5337999259681304
Current Learning Rate: 0.01
Best sklearn masked F1: 0.7705576421574798
100%| | 1874/1874 [00:18<00:00, 102.19it/s]
100%| | 434/434 [00:02<00:00, 170.28it/s]
Average Dev Loss: 0.4140992299245868
Average Dev accuracy: 0.0
Average Dev F1: 0.8172337322708556
Average train Loss: 0.40893494477147674
Current Learning Rate: 0.01
Best sklearn masked F1: 0.8172337322708556
Epoch: 3
                1874/1874 [00:18<00:00, 103.21it/s] 434/434 [00:02<00:00, 158.26it/s]
100%|
Average Dev Loss: 0.4684875528070612
Average Dev accuracy: 0.0
Average Dev F1: 0.8982840367921393
Average train Loss: 0.33479627251640964
Current Learning Rate: 0.01
Best sklearn masked F1: 0.8982840367921393
Epoch: 4
                  | 1874/1874 [00:17<00:00, 104.90it/s]
                 434/434 [00:02<00:00, 169.59it/s]
100% i
Average Dev Loss: 0.42387279222208646
Average Dev accuracy: 0.0
Average Dev F1: 0.8954061583715427
Average train Loss: 0.2702637093445821
Current Learning Rate: 0.01
Best sklearn masked F1: 0.8982840367921393
Epoch: 5
                1874/1874 [00:18<00:00, 102.16it/s] 434/434 [00:02<00:00, 179.66it/s]
100%|
100% I
Average Dev Loss: 0.38185237123618065
Average Dev accuracy: 0.0
Average Dev F1: 0.8988944260272429
Average train Loss: 0.2163824252063645
```

```
Average Dev Loss: 0.38185237123618065
Average Dev accuracy: 0.0
Average Dev F1: 0.8988944260272429
Average train Loss: 0.2163824252063645
Current Learning Rate: 0.01
Best sklearn masked F1: 0.8988944260272429
Epoch: 6
100%|
                    ■| 1874/1874 [00:18<00:00, 101.60it/s]
100% 434/434 [00:02<00:00, 176.17it/s]
Average Dev Loss: 0.3418062004123381
Average Dev accuracy: 0.0
Average Dev F1: 0.9031941570597836
Average train Loss: 0.17325236418074755
Current Learning Rate: 0.01
Best sklearn masked F1: 0.9031941570597836
Epoch: 7
100% | 1874/1874 [00:18<00:00, 103.73it/s]
100% | 434/434 [00:02<00:00, 179.76it/s]
Average Dev Loss: 0.40430830066598744
Average Dev accuracy: 0.0
Average Dev F1: 0.9451792849373514
Average train Loss: 0.1486453927482857
Current Learning Rate: 0.01
Best sklearn masked F1: 0.9451792849373514
Epoch: 8
                    1874/1874 [00:18<00:00, 101.19it/s]
| 434/434 [00:02<00:00, 179.87it/s]
100% II
100% I
Average Dev Loss: 0.42299528956876786
Average Dev accuracy: 0.0
Average Dev F1: 0.9401154687470477
Average train Loss: 0.12461662309203524
Current Learning Rate: 0.01
Best sklearn masked F1: 0.9451792849373514
Epoch: 9
100%|
                        1874/1874 [00:18<00:00, 100.40it/s]
100% 434/434 [00:02-00:00, 176.38it/s]
Average Dev Loss: 0.3905213369605934
Average Dev accuracy: 0.0
Average Dev F1: 0.9132165193150874
Average train Loss: 0.1220061460059144
Current Learning Rate: 0.01
Best sklearn masked F1: 0.9451792849373514
Epoch: 10
                     | 1874/1874 [00:18<00:00, 104.06it/s]
100%
100% 434/434 [00:02<00:00, 176.00it/s]
Average Dev Loss: 0.36011768190494914
Average Dev accuracy: 0.0
Average Dev F1: 0.9140407793385151
Epoch 00011: reducing learning rate of group 0 to 5.5000e-03.
Average train Loss: 0.13264057458837575
Current Learning Rate: 0.005500000000000005
Best sklearn masked F1: 0.9451792849373514
```

```
1874/1874 [00:18<00:00, 103.28it/s]
               | 434/434 [00:02<00:00, 158.76it/s]</pre>
Average Dev Loss: 0.40372101074054595
Average Dev accuracy: 0.0
Average Dev F1: 0.9632844905972828
Average train Loss: 0.027640321590413866
Current Learning Rate: 0.0005
Best sklearn masked F1: 0.9667740115298565
Epoch: 99
100%||
               1874/1874 [00:18<00:00, 101.40it/s]</p>
               434/434 [00:02<00:00, 164.83it/s]</pre>
Average Dev Loss: 0.4062223510756608
Average Dev accuracy: 0.0
Average Dev F1: 0.9620557984641228
Average train Loss: 0.02718517045453668
Current Learning Rate: 0.0005
Best sklearn masked F1: 0.9667740115298565
```

#### Notes:

- Embedding initialization helps a lot
- Model learns faster
- Dev loss is less compared to the vanilla LSTM which supports our intuition

Remark: Better hyperparameter is needed to increase the performance.