Problem 1: Basics of Neural Networks

- Learning Objective: In this problem, you are asked to implement a basic multi-layer fully connected neural network from scratch, including forward and backward passes of certain essential layers, to perform an image classification task on the CIFAR100 dataset. You need to implement essential functions in different indicated python files under directory lib.
- Provided Code: We provide the skeletons of classes you need to complete. Forward
 checking and gradient checkings are provided for verifying your implementation as
 well.
- **TODOs:** You are asked to implement the forward passes and backward passes for standard layers and loss functions, various widely-used optimizers, and part of the training procedure. And finally we want you to train a network from scratch on your own. Also, there are inline questions you need to answer. See README.md to set up your environment.

```
In [1]: from lib.mlp.fully_conn import *
        from lib.mlp.layer utils import *
        from lib.datasets import *
        from lib.mlp.train import *
        from lib.grad check import *
        from lib.optim import *
        import numpy as np
        import matplotlib.pyplot as plt
        %matplotlib inline
        plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
        plt.rcParams['image.interpolation'] = 'nearest'
        plt.rcParams['image.cmap'] = 'gray'
        # for auto-reloading external modules
        # see http://stackoverflow.com/questions/1907993/autoreload—of—modules—in—ir
        %load ext autoreload
        %autoreload 2
```

Loading the data (CIFAR-100 with 20 superclasses)

In this homework, we will be classifying images from the CIFAR-100 dataset into the 20 superclasses. More information about the CIFAR-100 dataset and the 20 superclasses can be found here.

Download the CIFAR-100 data files here, and save the .mat files to the data/cifar100 directory.

Load the dataset.

```
In [2]: data = CIFAR100 data('data/cifar100/')
        for k, v in data.items():
            if type(v) == np.ndarray:
                print ("Name: {} Shape: {}, {}".format(k, v.shape, type(v)))
            else:
                print("{}: {}".format(k, v))
        label names = data['label names']
        mean_image = data['mean_image'][0]
        std_image = data['std_image'][0]
        Name: data_train Shape: (40000, 32, 32, 3), <class 'numpy.ndarray'>
        Name: labels train Shape: (40000,), <class 'numpy.ndarray'>
        Name: data_val Shape: (10000, 32, 32, 3), <class 'numpy.ndarray'>
        Name: labels_val Shape: (10000,), <class 'numpy.ndarray'>
        Name: data_test Shape: (10000, 32, 32, 3), <class 'numpy.ndarray'>
        Name: labels_test Shape: (10000,), <class 'numpy.ndarray'>
        label_names: ['aquatic_mammals', 'fish', 'flowers', 'food_containers', 'fru
        it_and_vegetables', 'household_electrical_devices', 'household_furniture',
        'insects', 'large_carnivores', 'large_man-made_outdoor_things', 'large_natu
        ral_outdoor_scenes', 'large_omnivores_and_herbivores', 'medium_mammals', 'n
        on-insect_invertebrates', 'people', 'reptiles', 'small_mammals', 'trees',
        'vehicles_1', 'vehicles_2']
        Name: mean_image Shape: (1, 1, 1, 3), <class 'numpy.ndarray'>
        Name: std_image Shape: (1, 1, 1, 3), <class 'numpy.ndarray'>
```

Implement Standard Layers

You will now implement all the following standard layers commonly seen in a fully connected neural network (aka multi-layer perceptron, MLP). Please refer to the file <code>lib/mlp/layer_utils.py</code>. Take a look at each class skeleton, and we will walk you through the network layer by layer. We provide results of some examples we precomputed for you for checking the forward pass, and also the gradient checking for the backward pass.

FC Forward [2pt]

In the class skeleton flatten and fc in lib/mlp/layer_utils.py , please complete the forward pass in function forward . The input to the fc layer may not be of dimension (batch size, features size), it could be an image or any higher dimensional data. We want to convert the input to have a shape of (batch size, features size). Make sure that you handle this dimensionality issue.

```
In [3]: %reload_ext autoreload

# Test the fc forward function
input_bz = 3 # batch size
input_dim = (7, 6, 4)
```

```
output dim = 4
input size = input bz * np.prod(input dim)
weight_size = output_dim * np.prod(input_dim)
flatten layer = flatten(name="flatten test")
single fc = fc(np.prod(input dim), output dim, init scale=0.02, name="fc tes
x = np.linspace(-0.1, 0.4, num=input size).reshape(input bz, *input dim)
w = np.linspace(-0.2, 0.2, num=weight_size).reshape(np.prod(input_dim), output_dim)
b = np.linspace(-0.3, 0.3, num=output_dim)
single fc.params[single fc.w name] = w
single_fc.params[single_fc.b_name] = b
out = single fc.forward(flatten layer.forward(x))
correct_out = np.array([[0.63910291, 0.83740057, 1.03569824, 1.23399591],
                        [0.61401587, 0.82903823, 1.04406058, 1.25908294],
                        [0.58892884, 0.82067589, 1.05242293, 1.28416997]])
# Compare your output with the above pre-computed ones.
# The difference should not be larger than 1e-8
print ("Difference: ", rel_error(out, correct_out))
```

Difference: 4.0260162945880345e-09

FC Backward [2pt]

Please complete the function backward as the backward pass of the flatten and fc layers. Follow the instructions in the comments to store gradients into the predefined dictionaries in the attributes of the class. Parameters of the layer are also stored in the predefined dictionary.

```
In [4]: %reload_ext autoreload
        # Test the fc backward function
        inp = np.random.randn(15, 2, 2, 3)
        w = np.random.randn(12, 15)
        b = np.random.randn(15)
        dout = np.random.randn(15, 15)
        flatten layer = flatten(name="flatten test")
        x = flatten layer.forward(inp)
        single_fc = fc(np.prod(x.shape[1:]), 15, init_scale=5e-2, name="fc_test")
        single fc.params[single fc.w name] = w
        single_fc.params[single_fc.b_name] = b
        dx num = eval numerical gradient array(lambda x: single fc.forward(x), x, dc
        dw_num = eval_numerical_gradient_array(lambda w: single_fc.forward(x), w, dd
        db_num = eval_numerical_gradient_array(lambda b: single_fc.forward(x), b, dc
        out = single_fc.forward(x)
        dx = single_fc.backward(dout)
```

```
dw = single_fc.grads[single_fc.w_name]
db = single_fc.grads[single_fc.b_name]
dinp = flatten_layer.backward(dx)

# The error should be around 1e-9
print("dx Error: ", rel_error(dx_num, dx))
# The errors should be around 1e-10
print("dw Error: ", rel_error(dw_num, dw))
print("db Error: ", rel_error(db_num, db))
# The shapes should be same
print("dinp Shape: ", dinp.shape, inp.shape)

dx Error: 2.8355684912930893e-09
```

dw Error: 1.0635671541838665e-09 db Error: 7.493461688506616e-11 dinp Shape: (15, 2, 2, 3) (15, 2, 2, 3)

GeLU Forward [2pt]

In the class skeleton gelu in lib/mlp/layer_utils.py , please complete the forward pass.

GeLU is a smooth version of ReLU and it's used in pre-training LLMs such as GPT-3 and BERT.

$$\mathrm{GeLU}(x) = x\Phi(x) pprox 0.5x(1+\mathrm{tanh}(\sqrt{2/\pi}(x+0.044715x^3)))$$

Where $\Phi(x)$ is the CDF for standard Gaussian random variables. You should use the approximate version to compute forward and backward pass.

Difference: 1.8037541876132445e-08

GeLU Backward [2pt]

Please complete the backward pass of the class gelu.

```
In [6]: %reload_ext autoreload

# Test the relu backward function
x = np.random.randn(15, 15)
dout = np.random.randn(*x.shape)
gelu_b = gelu(name="gelu_b")

dx_num = eval_numerical_gradient_array(lambda x: gelu_b.forward(x), x, dout)
out = gelu_b.forward(x)
dx = gelu_b.backward(dout)

# The error should not be larger than le-4, since we are using an approximat print ("dx Error: ", rel_error(dx_num, dx))
```

dx Error: 1.1785849688294396e-09

Dropout Forward [2pt]

In the class dropout in lib/mlp/layer_utils.py , please complete the forward pass.

Remember that the dropout is **only applied during training phase**, you should pay attention to this while implementing the function.

Important Note1: The probability argument input to the function is the "keep probability": probability that each activation is kept.

Important Note2: If the keep_prob is set to 1, make it as no dropout.

```
Dropout Keep Prob = 0
Mean of input: 4.992512948311678
Mean of output during training time: 4.992512948311678
Mean of output during testing time: 4.992512948311678
Fraction of output set to zero during training time: 0.0
Fraction of output set to zero during testing time: 0.0
Dropout Keep Prob = 0.25
Mean of input: 4.992512948311678
Mean of output during training time: 4.759288997009822
Mean of output during testing time: 4.992512948311678
Fraction of output set to zero during training time: 0.7622
Fraction of output set to zero during testing time: 0.0
Dropout Keep Prob = 0.5
Mean of input: 4.992512948311678
Mean of output during training time: 4.8880787586487395
Mean of output during testing time: 4.992512948311678
Fraction of output set to zero during training time: 0.5093
Fraction of output set to zero during testing time: 0.0
Dropout Keep Prob = 0.75
Mean of input: 4.992512948311678
Mean of output during training time: 5.033513627010743
Mean of output during testing time: 4.992512948311678
Fraction of output set to zero during training time: 0.2454
Fraction of output set to zero during testing time: 0.0
Dropout Keep Prob = 1
Mean of input: 4.992512948311678
Mean of output during training time: 4.992512948311678
Mean of output during testing time: 4.992512948311678
Fraction of output set to zero during training time: 0.0
Fraction of output set to zero during testing time: 0.0
```

Dropout Backward [2pt]

Please complete the backward pass. Again remember that the dropout is only applied during training phase, handle this in the backward pass as well.

```
In [8]: %reload_ext autoreload

x = np.random.randn(5, 5) + 5
dout = np.random.randn(*x.shape)

keep_prob = 0.75
dropout_b = dropout(keep_prob, seed=100)
out = dropout_b.forward(x, True, seed=1)
dx = dropout_b.backward(dout)
dx_num = eval_numerical_gradient_array(lambda xx: dropout_b.forward(xx, True)
```

```
# The error should not be larger than 1e-10
print ('dx relative error: ', rel_error(dx, dx_num))
```

dx relative error: 3.003119399167029e-11

Testing cascaded layers: FC + GeLU [2pt]

Please find the TestFCGeLU function in lib/mlp/fully_conn.py.

You only need to complete a few lines of code in the TODO block.

Please design an Flatten \rightarrow FC \rightarrow GeLU network where the parameters of them match the given x, w, and b.

Please insert the corresponding names you defined for each layer to param_name_w, and param_name_b respectively. Here you only modify the param_name part, the _w , and b are automatically assigned during network setup

```
In [9]: %reload_ext autoreload
     x = np.random.randn(3, 5, 3) # the input features
     w = np.random.randn(15, 5) # the weight of fc layer
     b = np.random.randn(5)
                       # the bias of fc layer
     dout = np.random.randn(3, 5) # the gradients to the output, notice the shape
     tiny net = TestFCGeLU()
     # TODO: param name should be replaced accordingly #
     tiny_net.net.assign("fc_w", w)
     tiny_net.net.assign("fc_b", b)
     END OF YOUR CODE
     out = tiny_net.forward(x)
     dx = tiny net.backward(dout)
     # TODO: param name should be replaced accordingly #
     dw = tiny net.net.get grads("fc w")
     db = tiny_net.net.get_grads("fc_b")
     END OF YOUR CODE
     dx_num = eval_numerical_gradient_array(lambda x: tiny_net.forward(x), x, dod
     dw_num = eval_numerical_gradient_array(lambda w: tiny_net.forward(x), w, dod
     db_num = eval_numerical_gradient_array(lambda b: tiny_net.forward(x), b, douglet
     # The errors should not be larger than 1e-7
     print ("dx error: ", rel_error(dx_num, dx))
```

```
print ("dw error: ", rel_error(dw_num, dw))
print ("db error: ", rel_error(db_num, db))

dx error: 2.875124252987862e-10
dw error: 5.166650955492451e-05
```

dw error: 5.166650955492451e-05 db error: 9.525096079066106e-08

SoftMax Function and Loss Layer [2pt]

In the <code>lib/mlp/layer_utils.py</code>, please first complete the function <code>softmax</code>, which will be used in the function <code>cross_entropy</code>. Then, implement <code>corss_entropy</code> using <code>softmax</code>. Please refer to the lecture slides of the mathematical expressions of the cross entropy loss function, and complete its forward pass and backward pass. You should also take care of <code>size_average</code> on whether or not to divide by the batch size.

```
In [10]: %reload_ext autoreload

num_classes, num_inputs = 6, 100
x = 0.001 * np.random.randn(num_inputs, num_classes)
y = np.random.randint(num_classes, size=num_inputs)

test_loss = cross_entropy()

dx_num = eval_numerical_gradient(lambda x: test_loss.forward(x, y), x, verbout
loss = test_loss.forward(x, y)
dx = test_loss.backward()

# Test softmax_loss function. Loss should be around 1.792
# and dx error should be at the scale of 1e-8 (or smaller)
print ("Cross Entropy Loss: ", loss)
print ("dx error: ", rel_error(dx_num, dx))
```

Cross Entropy Loss: 1.7917983721983493 dx error: 1.0827842449141255e-08

Test a Small Fully Connected Network [2pt]

Please find the SmallFullyConnectedNetwork function in lib/mlp/fully_conn.py .

Again you only need to complete few lines of code in the TODO block.

Please design an FC --> GeLU --> FC network where the shapes of parameters match the given shapes.

Please insert the corresponding names you defined for each layer to param_name_w, and param_name_b respectively.

Here you only modify the param_name part, the _w , and _b are automatically assigned during network setup.

```
In [11]: %reload ext autoreload
       seed = 1234
       np.random.seed(seed=seed)
       model = SmallFullyConnectedNetwork()
       loss_func = cross_entropy()
       N, D, = 4, 4 # N: batch size, D: input dimension
       H, C = 30, 7 # H: hidden dimension, C: output dimension
       std = 0.02
       x = np.random.randn(N, D)
       y = np.random.randint(C, size=N)
       print ("Testing initialization ... ")
       # TODO: param name should be replaced accordingly #
       w1 std = abs(model.net.get params("fc1 w").std() - std)
       print(w1 std, std / 10)
       b1 = model.net.get_params("fc1_b").std()
       w2 std = abs(model.net.get params("fc2 w").std() - std)
       b2 = model.net.get params("fc2 b").std()
       END OF YOUR CODE
       assert w1_std < std / 10, "First layer weights do not seem right"</pre>
       assert np.all(b1 == 0), "First layer biases do not seem right"
assert w2_std < std / 10, "Second layer weights do not seem right"</pre>
       assert np.all(b2 == 0), "Second layer biases do not seem right"
       print ("Passed!")
       print ("Testing test-time forward pass ... ")
       w1 = np.linspace(-0.7, 0.3, num=D*H).reshape(D, H)
       w2 = np.linspace(-0.2, 0.2, num=H*C).reshape(H, C)
       b1 = np.linspace(-0.6, 0.2, num=H)
       b2 = np.linspace(-0.9, 0.1, num=C)
       # TODO: param name should be replaced accordingly #
       model.net.assign("fc1 w", w1)
       model.net.assign("fc1 b", b1)
       model.net.assign("fc2 w", w2)
       model.net.assign("fc2_b", b2)
       END OF YOUR CODE
       feats = np.linspace(-5.5, 4.5, num=N*D).reshape(D, N).T
       scores = model.forward(feats)
       correct_scores = np.asarray([-2.33881897, -1.92174121, -1.50466344, -1.0875]
                                [-1.57214916, -1.1857013, -0.79925345, -0.4128]
```

```
[-0.80178618, -0.44604469, -0.0903032 , 0.2654
                              [-0.00331319, 0.32124836, 0.64580991, 0.9703
scores diff = np.sum(np.abs(scores - correct scores))
assert scores_diff < 1e-6, "Your implementation might be wrong!"</pre>
print ("Passed!")
print ("Testing the loss ...",)
y = np.asarray([0, 5, 1, 4])
loss = loss func.forward(scores, y)
dLoss = loss func.backward()
correct_loss = 2.4248995879903195
assert abs(loss - correct_loss) < 1e-10, "Your implementation might be wrong</pre>
print ("Passed!")
print ("Testing the gradients (error should be no larger than 1e-6) ...")
din = model.backward(dLoss)
for layer in model.net.layers:
    if not layer.params:
        continue
    for name in sorted(layer.grads):
        f = lambda _: loss_func.forward(model.forward(feats), y)
        grad_num = eval_numerical_gradient(f, layer.params[name], verbose=Fa
        print ('%s relative error: %.2e' % (name, rel_error(grad_num, layer.
Testing initialization ...
0.00047324457430174965 0.002
Passed!
Testing test-time forward pass ...
Passed!
Testing the loss ...
Testing the gradients (error should be no larger than 1e-6) ...
fc1_b relative error: 5.94e-09
fc1 w relative error: 1.06e-08
fc2 b relative error: 4.01e-10
fc2_w relative error: 2.50e-08
```

Test a Fully Connected Network regularized with Dropout [2pt]

Please find the DropoutNet function in fully_conn.py under lib/mlp directory. For this part you don't need to design a new network, just simply run the following test code.

If something goes wrong, you might want to double check your dropout implementation.

```
In [12]: %reload_ext autoreload

seed = 1234
np.random.seed(seed=seed)

N, D, C = 3, 15, 10
X = np.random.randn(N, D)
y = np.random.randint(C, size=(N,))
```

```
for keep_prob in [0, 0.25, 0.5]:
    np.random.seed(seed=seed)
    print ("Dropout p =", keep_prob)
    model = DropoutNet(keep_prob=keep_prob, seed=seed)
    loss func = cross entropy()
    output = model.forward(X, True, seed=seed)
    loss = loss func.forward(output, y)
    dLoss = loss func.backward()
    dX = model.backward(dLoss)
    grads = model.net.grads
    print ("Error of gradients should be around or less than 1e-3")
    for name in sorted(grads):
        if name not in model.net.params.keys():
            continue
        f = lambda _: loss_func.forward(model.forward(X, True, seed=seed), y
        grad_num = eval_numerical_gradient(f, model.net.params[name], verbos
        print ("{} relative error: {}".format(name, rel_error(grad_num, grad
    print ()
Dropout p = 0
Error of gradients should be around or less than 1e-3
fc1 b relative error: 9.824168508277432e-08
fc1_w relative error: 4.706355825013066e-06
fc2_b relative error: 1.133402768221828e-08
fc2 w relative error: 3.1672231525171774e-05
fc3 b relative error: 2.0518174276833617e-10
fc3_w relative error: 2.720304740415546e-06
Dropout p = 0.25
Error of gradients should be around or less than 1e-3
fc1 b relative error: 3.999389219427179e-07
fc1 w relative error: 8.179318698085921e-06
fc2 b relative error: 1.1076481195535879e-08
fc2_w relative error: 1.6687639406224407e-05
fc3_b relative error: 2.457979279712419e-10
fc3 w relative error: 8.853121862924033e-07
Dropout p = 0.5
Error of gradients should be around or less than 1e-3
fc1_b relative error: 1.1627314962924926e-07
fc1 w relative error: 1.1142454961437094e-06
fc2_b relative error: 1.4365119640657006e-07
fc2 w relative error: 5.060201349801403e-06
fc3 b relative error: 2.3684339866586803e-10
fc3_w relative error: 6.610872364250095e-06
```

Training a Network

In this section, we defined a TinyNet class for you to fill in the TODO block in lib/mlp/fully_conn.py.

Here please design a two layer fully connected network with Leaky ReLU activation
 (Flatten --> FC --> GeLU --> FC).

- You can adjust the number of hidden neurons, batch_size, epochs, and learning rate decay parameters.
- Please read the lib/train.py carefully and complete the TODO blocks in the train_net function first. Codes in "Test a Small Fully Connected Network" can be helpful.
- Implement SGD in lib/optim.py , you will be asked to complete weight decay and Adam in the later sections.

```
In [13]: # Arrange the data
data_dict = {
    "data_train": (data["data_train"], data["labels_train"]),
    "data_val": (data["data_val"], data["labels_val"]),
    "data_test": (data["data_test"], data["labels_test"])
}

In [14]: print("Data shape:", data["data_train"].shape)
    print("Flattened data input size:", np.prod(data["data_train"].shape[1:]))
    print("Number of data classes:", max(data['labels_train']) + 1)

Data shape: (40000, 32, 32, 3)
    Flattened data input size: 3072
    Number of data classes: 20
```

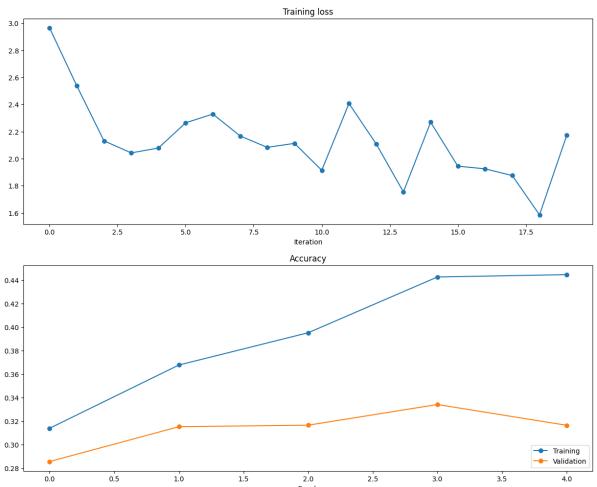
Now train the network to achieve at least 30% validation accuracy [5pt]

You may only adjust the hyperparameters inside the TODO block

```
In [15]: %autoreload
In [16]: %reload_ext autoreload
     seed = 123
     np.random.seed(seed=seed)
     model = TinyNet()
     loss_f = cross_entropy()
     optimizer = SGD(model.net, 0.1)
     results = None
     # TODO: Use the train net function you completed to train a network
     batch size = 100
     epochs = 5
     lr decay = 0.99
     lr_decay_every = 100
     #
                       END OF YOUR CODE
```

```
results = train_net(data_dict, model, loss_f, optimizer, batch_size, epochs,
                            lr decay, lr decay every, show every=10000, verbose=Truε
         opt_params, loss_hist, train_acc_hist, val_acc_hist = results
                       | 5/400 [00:00<00:16, 24.36it/s]
         (Iteration 1 / 2000) Average loss: 3.0628587499151663
         100% | 400/400 [00:13<00:00, 29.31it/s]
         (Epoch 1 / 5) Training Accuracy: 0.31385, Validation Accuracy: 0.2855
              400/400 [00:15<00:00, 25.70it/s]
         (Epoch 2 / 5) Training Accuracy: 0.36775, Validation Accuracy: 0.3152
         100% | 400/400 [00:14<00:00, 27.68it/s]
         (Epoch 3 / 5) Training Accuracy: 0.39525, Validation Accuracy: 0.3165
                      | 252/400 [00:08<00:04, 32.80it/s]/Users/dch239/Desktop/USC/
         Spring 2023/CSCI 566/csci566-assignment1/lib/mlp/layer_utils.py:242: Runtim
         eWarning: overflow encountered in power
          df = 0.5*(1 + np.tanh(temp)) + (feat / (np.sqrt(2*np.pi))) * (0.134145 *
         np.power(feat, 2) + 1) * (1 / (np.power(np.cosh(temp), 2)))
                400/400 [00:12<00:00, 31.17it/s]
         (Epoch 4 / 5) Training Accuracy: 0.442775, Validation Accuracy: 0.3341
         100% | 400/400 [00:12<00:00, 31.21it/s]
         (Epoch 5 / 5) Training Accuracy: 0.444775, Validation Accuracy: 0.3164
In [17]: # Take a look at what names of params were stored
         print (opt_params.keys())
         dict keys(['fc1 w', 'fc1 b', 'fc2 w', 'fc2 b'])
In [18]: # Demo: How to load the parameters to a newly defined network
         model = TinyNet()
         model.net.load(opt params)
         val_acc = compute_acc(model, data["data_val"], data["labels_val"])
         print ("Validation Accuracy: {}%".format(val_acc*100))
         test_acc = compute_acc(model, data["data_test"], data["labels_test"])
         print ("Testing Accuracy: {}%".format(test acc*100))
         Loading Params: fc1 w Shape: (3072, 500)
         Loading Params: fc1_b Shape: (500,)
         Loading Params: fc2 w Shape: (500, 20)
         Loading Params: fc2 b Shape: (20,)
         Validation Accuracy: 31.64%
        Testing Accuracy: 31.2599999999998%
In [19]: # Plot the learning curves
         plt.subplot(2, 1, 1)
         plt.title('Training loss')
         loss hist = loss hist[1::100] # sparse the curve a bit
         plt.plot(loss_hist_, '-o')
         plt.xlabel('Iteration')
         plt.subplot(2, 1, 2)
         plt.title('Accuracy')
         plt.plot(train_acc_hist, '-o', label='Training')
         plt.plot(val_acc_hist, '-o', label='Validation')
         plt.xlabel('Epoch')
```





Different Optimizers and Regularization Techniques

There are several more advanced optimizers than vanilla SGD, and there are many regularization tricks. You'll implement them in this section. Please complete the TODOs in the lib/optim.py.

SGD + Weight Decay [2pt]

The update rule of SGD plus weigh decay is as shown below:

$$heta_{t+1} = heta_t - \eta
abla_ heta J(heta_t) - \lambda heta_t$$

Update the SGD() function in lib/optim.py, and also incorporate weight decay options.

```
In [20]: %reload_ext autoreload
# Test the implementation of SGD with Momentum
```

```
seed = 1234
np.random.seed(seed=seed)
N, D = 4, 5
test_sgd = sequential(fc(N, D, name="sgd_fc"))
w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
test sqd.layers[0].params = {"sqd fc w": w}
test_sgd.layers[0].grads = {"sgd_fc_w": dw}
test\_sgd\_wd = SGD(test\_sgd, 1e-3, 1e-4)
test sqd wd.step()
updated_w = test_sgd.layers[0].params["sgd_fc_w"]
expected_updated_w = np.asarray([
       [-0.39936, -0.34678632, -0.29421263, -0.24163895, -0.18906526],
       [-0.13649158, -0.08391789, -0.03134421, 0.02122947,
                                                             0.07380316],
       [ 0.12637684, 0.17895053, 0.23152421, 0.28409789, 0.33667158],
       [ 0.38924526, 0.44181895, 0.49439263, 0.54696632, 0.59954
print ('The following errors should be around or less than 1e-6')
print ('updated_w error: ', rel_error(updated_w, expected_updated_w))
```

The following errors should be around or less than 1e-6 updated_w error: 8.677112905190533e-08

Comparing SGD and SGD with Weight Decay [2pt]

Run the following code block to train a multi-layer fully connected network with both SGD and SGD plus Weight Decay. You are expected to see Weight Decay have better validation accuracy than vinilla SGD.

```
In [22]: seed = 1234
         # Arrange a small data
         num train = 20000
         small data dict = {
             "data_train": (data["data_train"][:num_train], data["labels_train"][:num_
             "data val": (data["data val"], data["labels val"]),
             "data_test": (data["data_test"], data["labels_test"])
         }
         reset seed(seed=seed)
                        = FullyConnectedNetwork()
         model sqd
         loss_f_sgd
                      = cross_entropy()
         optimizer sqd = SGD(model sqd.net, 0.01)
         print ("Training with Vanilla SGD...")
         results_sgd = train_net(small_data_dict, model_sgd, loss_f_sgd, optimizer_sg
```

```
max epochs=50, show every=10000, verbose=True)
reset seed(seed=seed)
model sqdw
              = FullyConnectedNetwork()
              = cross_entropy()
loss f sqdw
optimizer sqdw = SGD(model sqdw.net, 0.01, 1e-4)
print ("\nTraining with SGD plus Weight Decay...")
results_sgdw = train_net(small_data_dict, model_sgdw, loss_f_sgdw, optimizer
                        max epochs=50, show every=10000, verbose=True)
opt_params_sgd, loss_hist_sgd, train_acc_hist_sgd, val_acc_hist_sgd = re
opt params sqdw, loss hist sqdw, train acc hist sqdw, val acc hist sqdw = re
plt.subplot(3, 1, 1)
plt.title('Training loss')
plt.xlabel('Iteration')
plt.subplot(3, 1, 2)
plt.title('Training accuracy')
plt.xlabel('Epoch')
plt.subplot(3, 1, 3)
plt.title('Validation accuracy')
plt.xlabel('Epoch')
plt.subplot(3, 1, 1)
plt.plot(loss_hist_sgd, 'o', label="Vanilla SGD")
plt.subplot(3, 1, 2)
plt.plot(train_acc_hist_sgd, '-o', label="Vanilla SGD")
plt.subplot(3, 1, 3)
plt.plot(val acc hist sqd, '-o', label="Vanilla SGD")
plt.subplot(3, 1, 1)
plt.plot(loss hist sqdw, 'o', label="SGD with Weight Decay")
plt.subplot(3, 1, 2)
plt.plot(train_acc_hist_sgdw, '-o', label="SGD with Weight Decay")
plt.subplot(3, 1, 3)
plt.plot(val acc hist sqdw, '-o', label="SGD with Weight Decay")
for i in [1, 2, 3]:
 plt.subplot(3, 1, i)
 plt.legend(loc='upper center', ncol=4)
plt.gcf().set_size_inches(15, 15)
plt.show()
Training with Vanilla SGD...
               | 1/200 [00:00<00:21, 9.29it/s]
(Iteration 1 / 10000) Average loss: 3.3332154539088985
100% | 200/200 [00:06<00:00, 30.46it/s]
(Epoch 1 / 50) Training Accuracy: 0.15095, Validation Accuracy: 0.1474
100% | 200/200 [00:03<00:00, 55.85it/s]
(Epoch 2 / 50) Training Accuracy: 0.18815, Validation Accuracy: 0.1805
100% | 200/200 [00:04<00:00, 47.18it/s]
(Epoch 3 / 50) Training Accuracy: 0.2107, Validation Accuracy: 0.2029
100% | 200/200 [00:04<00:00, 42.83it/s]
```

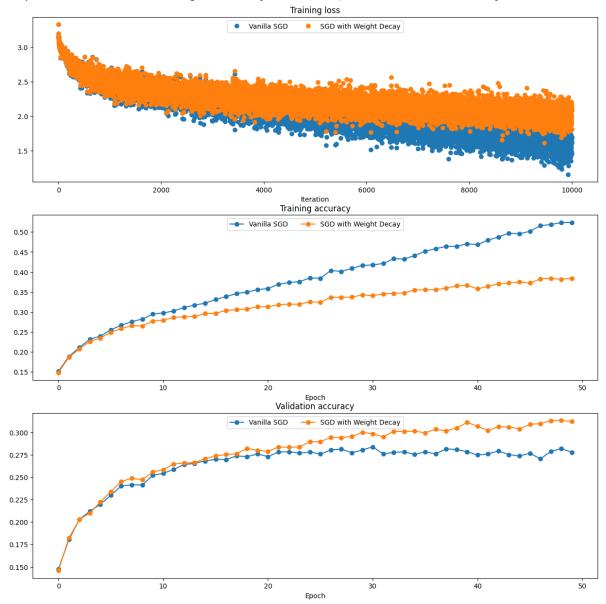
```
(Epoch 4 / 50) Training Accuracy: 0.2314, Validation Accuracy: 0.212
     200/200 [00:03<00:00, 50.46it/s]
(Epoch 5 / 50) Training Accuracy: 0.23915, Validation Accuracy: 0.2197
100% | 200/200 [00:04<00:00, 46.19it/s]
(Epoch 6 / 50) Training Accuracy: 0.2552, Validation Accuracy: 0.2298
100%| 200/200 [00:04<00:00, 43.14it/s]
(Epoch 7 / 50) Training Accuracy: 0.26645, Validation Accuracy: 0.2403
100% | 200/200 [00:07<00:00, 25.85it/s]
(Epoch 8 / 50) Training Accuracy: 0.27555, Validation Accuracy: 0.2414
100% | 200/200 [00:05<00:00, 35.10it/s]
(Epoch 9 / 50) Training Accuracy: 0.28185, Validation Accuracy: 0.2413
        200/200 [00:05<00:00, 38.76it/s]
(Epoch 10 / 50) Training Accuracy: 0.2944, Validation Accuracy: 0.252
100% | 200/200 [00:05<00:00, 37.36it/s]
(Epoch 11 / 50) Training Accuracy: 0.29735, Validation Accuracy: 0.2543
          200/200 [00:05<00:00, 38.90it/s]
(Epoch 12 / 50) Training Accuracy: 0.3021, Validation Accuracy: 0.2587
100% | 200/200 [00:05<00:00, 37.74it/s]
(Epoch 13 / 50) Training Accuracy: 0.31105, Validation Accuracy: 0.2641
     200/200 [00:04<00:00, 42.28it/s]
(Epoch 14 / 50) Training Accuracy: 0.3168, Validation Accuracy: 0.2653
        200/200 [00:05<00:00, 36.98it/s]
(Epoch 15 / 50) Training Accuracy: 0.3217, Validation Accuracy: 0.2681
100%| 200/200 [00:05<00:00, 39.96it/s]
(Epoch 16 / 50) Training Accuracy: 0.3307, Validation Accuracy: 0.2699
100% | 200/200 [00:04<00:00, 47.40it/s]
(Epoch 17 / 50) Training Accuracy: 0.33835, Validation Accuracy: 0.2696
100% | 200/200 [00:04<00:00, 46.70it/s]
(Epoch 18 / 50) Training Accuracy: 0.34565, Validation Accuracy: 0.2737
100%| 200/200 [00:04<00:00, 49.37it/s]
(Epoch 19 / 50) Training Accuracy: 0.3495, Validation Accuracy: 0.2729
100% | 200/200 [00:04<00:00, 43.91it/s]
(Epoch 20 / 50) Training Accuracy: 0.35565, Validation Accuracy: 0.2758
100% | 200/200 [00:04<00:00, 44.49it/s]
(Epoch 21 / 50) Training Accuracy: 0.35825, Validation Accuracy: 0.2729
100%| 200/200 [00:05<00:00, 38.21it/s]
(Epoch 22 / 50) Training Accuracy: 0.36895, Validation Accuracy: 0.278
          200/200 [00:06<00:00, 28.96it/s]
(Epoch 23 / 50) Training Accuracy: 0.3734, Validation Accuracy: 0.2783
100% | 200/200 [00:08<00:00, 24.05it/s]
(Epoch 24 / 50) Training Accuracy: 0.3756, Validation Accuracy: 0.2768
           200/200 [00:06<00:00, 29.37it/s]
(Epoch 25 / 50) Training Accuracy: 0.38495, Validation Accuracy: 0.278
100% | 200/200 [00:07<00:00, 27.55it/s]
(Epoch 26 / 50) Training Accuracy: 0.38415, Validation Accuracy: 0.2757
     200/200 [00:08<00:00, 22.82it/s]
(Epoch 27 / 50) Training Accuracy: 0.40365, Validation Accuracy: 0.2804
       200/200 [00:06<00:00, 29.03it/s]
(Epoch 28 / 50) Training Accuracy: 0.40105, Validation Accuracy: 0.2812
```

```
100%| 200/200 [00:05<00:00, 33.49it/s]
(Epoch 29 / 50) Training Accuracy: 0.40885, Validation Accuracy: 0.2773
100% | 200/200 [00:05<00:00, 38.41it/s]
(Epoch 30 / 50) Training Accuracy: 0.4163, Validation Accuracy: 0.2803
100% | 200/200 [00:06<00:00, 31.58it/s]
(Epoch 31 / 50) Training Accuracy: 0.41745, Validation Accuracy: 0.2838
100% | 200/200 [00:05<00:00, 39.49it/s]
(Epoch 32 / 50) Training Accuracy: 0.42125, Validation Accuracy: 0.2758
          200/200 [00:05<00:00, 33.40it/s]
(Epoch 33 / 50) Training Accuracy: 0.433, Validation Accuracy: 0.2777
100% | 200/200 [00:04<00:00, 43.25it/s]
(Epoch 34 / 50) Training Accuracy: 0.4322, Validation Accuracy: 0.2782
          200/200 [00:03<00:00, 58.24it/s]
(Epoch 35 / 50) Training Accuracy: 0.44095, Validation Accuracy: 0.2753
100% | 200/200 [00:03<00:00, 52.21it/s]
(Epoch 36 / 50) Training Accuracy: 0.4517, Validation Accuracy: 0.2783
     200/200 [00:03<00:00, 52.24it/s]
(Epoch 37 / 50) Training Accuracy: 0.4583, Validation Accuracy: 0.2759
         200/200 [00:04<00:00, 40.27it/s]
(Epoch 38 / 50) Training Accuracy: 0.4637, Validation Accuracy: 0.2815
100% | 200/200 [00:04<00:00, 42.02it/s]
(Epoch 39 / 50) Training Accuracy: 0.4642, Validation Accuracy: 0.2808
100% | 200/200 [00:04<00:00, 48.23it/s]
(Epoch 40 / 50) Training Accuracy: 0.47055, Validation Accuracy: 0.2784
100%| 200/200 [00:03<00:00, 50.97it/s]
(Epoch 41 / 50) Training Accuracy: 0.4684, Validation Accuracy: 0.2747
100%| 200/200 [00:07<00:00, 26.74it/s]
(Epoch 42 / 50) Training Accuracy: 0.4795, Validation Accuracy: 0.2758
100% | 200/200 [00:06<00:00, 33.29it/s]
(Epoch 43 / 50) Training Accuracy: 0.48745, Validation Accuracy: 0.2793
100%| 200/200 [00:05<00:00, 38.22it/s]
(Epoch 44 / 50) Training Accuracy: 0.49715, Validation Accuracy: 0.2751
100% | 200/200 [00:05<00:00, 38.95it/s]
(Epoch 45 / 50) Training Accuracy: 0.49545, Validation Accuracy: 0.2736
        200/200 [00:03<00:00, 51.58it/s]
(Epoch 46 / 50) Training Accuracy: 0.50175, Validation Accuracy: 0.2767
100% | 200/200 [00:03<00:00, 51.92it/s]
(Epoch 47 / 50) Training Accuracy: 0.51565, Validation Accuracy: 0.2704
          200/200 [00:03<00:00, 54.43it/s]
(Epoch 48 / 50) Training Accuracy: 0.51875, Validation Accuracy: 0.2786
100% | 200/200 [00:04<00:00, 45.04it/s]
(Epoch 49 / 50) Training Accuracy: 0.5235, Validation Accuracy: 0.2818
100%| 200/200 [00:03<00:00, 50.50it/s]
(Epoch 50 / 50) Training Accuracy: 0.52375, Validation Accuracy: 0.2778
Training with SGD plus Weight Decay...
            | 6/200 [00:00<00:03, 57.33it/s]
(Iteration 1 / 10000) Average loss: 3.3332154539088985
         200/200 [00:04<00:00, 42.33it/s]
```

```
(Epoch 1 / 50) Training Accuracy: 0.148, Validation Accuracy: 0.1458
       200/200 [00:06<00:00, 29.32it/s]
(Epoch 2 / 50) Training Accuracy: 0.186, Validation Accuracy: 0.1822
100%| 200/200 [00:06<00:00, 29.17it/s]
(Epoch 3 / 50) Training Accuracy: 0.2073, Validation Accuracy: 0.2027
100% | 200/200 [00:04<00:00, 49.00it/s]
(Epoch 4 / 50) Training Accuracy: 0.22575, Validation Accuracy: 0.2101
100% | 200/200 [00:04<00:00, 42.34it/s]
(Epoch 5 / 50) Training Accuracy: 0.2345, Validation Accuracy: 0.2223
100%| 200/200 [00:03<00:00, 54.08it/s]
(Epoch 6 / 50) Training Accuracy: 0.24915, Validation Accuracy: 0.2338
        200/200 [00:03<00:00, 56.89it/s]
(Epoch 7 / 50) Training Accuracy: 0.2584, Validation Accuracy: 0.2451
100% | 200/200 [00:04<00:00, 47.34it/s]
(Epoch 8 / 50) Training Accuracy: 0.2651, Validation Accuracy: 0.2488
100%| 200/200 [00:03<00:00, 51.74it/s]
(Epoch 9 / 50) Training Accuracy: 0.2648, Validation Accuracy: 0.2471
100% | 200/200 [00:06<00:00, 30.38it/s]
(Epoch 10 / 50) Training Accuracy: 0.27685, Validation Accuracy: 0.2558
     200/200 [00:06<00:00, 30.17it/s]
(Epoch 11 / 50) Training Accuracy: 0.2792, Validation Accuracy: 0.2583
        200/200 [00:04<00:00, 47.46it/s]
(Epoch 12 / 50) Training Accuracy: 0.28575, Validation Accuracy: 0.2646
100% 200/200 [00:04<00:00, 43.35it/s]
(Epoch 13 / 50) Training Accuracy: 0.2879, Validation Accuracy: 0.2657
100% | 200/200 [00:04<00:00, 47.86it/s]
(Epoch 14 / 50) Training Accuracy: 0.28865, Validation Accuracy: 0.2664
100%| 200/200 [00:04<00:00, 49.56it/s]
(Epoch 15 / 50) Training Accuracy: 0.29545, Validation Accuracy: 0.2705
100%| 200/200 [00:03<00:00, 55.34it/s]
(Epoch 16 / 50) Training Accuracy: 0.2964, Validation Accuracy: 0.2737
100% | 200/200 [00:04<00:00, 40.51it/s]
(Epoch 17 / 50) Training Accuracy: 0.30345, Validation Accuracy: 0.2752
100% | 200/200 [00:03<00:00, 51.40it/s]
(Epoch 18 / 50) Training Accuracy: 0.30555, Validation Accuracy: 0.276
100% | 200/200 [00:04<00:00, 48.68it/s]
(Epoch 19 / 50) Training Accuracy: 0.30715, Validation Accuracy: 0.2821
         200/200 [00:03<00:00, 51.49it/s]
(Epoch 20 / 50) Training Accuracy: 0.31265, Validation Accuracy: 0.2799
100% | 200/200 [00:04<00:00, 44.39it/s]
(Epoch 21 / 50) Training Accuracy: 0.31315, Validation Accuracy: 0.2787
           200/200 [00:05<00:00, 35.53it/s]
(Epoch 22 / 50) Training Accuracy: 0.31755, Validation Accuracy: 0.2836
100% | 200/200 [00:04<00:00, 49.56it/s]
(Epoch 23 / 50) Training Accuracy: 0.3192, Validation Accuracy: 0.2833
     200/200 [00:04<00:00, 44.43it/s]
(Epoch 24 / 50) Training Accuracy: 0.31905, Validation Accuracy: 0.2837
       200/200 [00:05<00:00, 39.08it/s]
(Epoch 25 / 50) Training Accuracy: 0.32525, Validation Accuracy: 0.2894
```

```
100%| 200/200 [00:04<00:00, 41.15it/s]
(Epoch 26 / 50) Training Accuracy: 0.3238, Validation Accuracy: 0.2895
100% | 200/200 [00:05<00:00, 36.66it/s]
(Epoch 27 / 50) Training Accuracy: 0.33645, Validation Accuracy: 0.2944
100% | 200/200 [00:04<00:00, 42.78it/s]
(Epoch 28 / 50) Training Accuracy: 0.33645, Validation Accuracy: 0.2941
     200/200 [00:04<00:00, 45.63it/s]
(Epoch 29 / 50) Training Accuracy: 0.33695, Validation Accuracy: 0.2953
          200/200 [00:03<00:00, 56.42it/s]
(Epoch 30 / 50) Training Accuracy: 0.3425, Validation Accuracy: 0.3
100% | 200/200 [00:03<00:00, 60.23it/s]
(Epoch 31 / 50) Training Accuracy: 0.3406, Validation Accuracy: 0.2982
          200/200 [00:03<00:00, 62.26it/s]
(Epoch 32 / 50) Training Accuracy: 0.34505, Validation Accuracy: 0.2949
100% | 200/200 [00:03<00:00, 57.95it/s]
(Epoch 33 / 50) Training Accuracy: 0.34595, Validation Accuracy: 0.3011
     200/200 [00:04<00:00, 40.93it/s]
(Epoch 34 / 50) Training Accuracy: 0.34755, Validation Accuracy: 0.301
         200/200 [00:04<00:00, 49.37it/s]
(Epoch 35 / 50) Training Accuracy: 0.3548, Validation Accuracy: 0.3012
100% | 200/200 [00:03<00:00, 53.53it/s]
(Epoch 36 / 50) Training Accuracy: 0.3552, Validation Accuracy: 0.2995
100% | 200/200 [00:03<00:00, 57.71it/s]
(Epoch 37 / 50) Training Accuracy: 0.35525, Validation Accuracy: 0.3034
100% | 200/200 [00:03<00:00, 51.93it/s]
(Epoch 38 / 50) Training Accuracy: 0.3593, Validation Accuracy: 0.3017
100%| 200/200 [00:03<00:00, 56.83it/s]
(Epoch 39 / 50) Training Accuracy: 0.3648, Validation Accuracy: 0.3048
100% 200/200 [00:03<00:00, 55.25it/s]
(Epoch 40 / 50) Training Accuracy: 0.36665, Validation Accuracy: 0.311
100%| 200/200 [00:03<00:00, 62.61it/s]
(Epoch 41 / 50) Training Accuracy: 0.35765, Validation Accuracy: 0.3068
100% | 200/200 [00:03<00:00, 62.53it/s]
(Epoch 42 / 50) Training Accuracy: 0.36375, Validation Accuracy: 0.302
        200/200 [00:03<00:00, 63.63it/s]
(Epoch 43 / 50) Training Accuracy: 0.3702, Validation Accuracy: 0.3062
100% | 200/200 [00:06<00:00, 31.77it/s]
(Epoch 44 / 50) Training Accuracy: 0.37215, Validation Accuracy: 0.306
          200/200 [00:06<00:00, 30.35it/s]
(Epoch 45 / 50) Training Accuracy: 0.37475, Validation Accuracy: 0.3037
100% | 200/200 [00:04<00:00, 43.53it/s]
(Epoch 46 / 50) Training Accuracy: 0.37205, Validation Accuracy: 0.3089
     200/200 [00:04<00:00, 45.11it/s]
(Epoch 47 / 50) Training Accuracy: 0.3827, Validation Accuracy: 0.3097
        200/200 [00:04<00:00, 45.19it/s]
(Epoch 48 / 50) Training Accuracy: 0.38395, Validation Accuracy: 0.313
100% | 200/200 [00:03<00:00, 50.61it/s]
(Epoch 49 / 50) Training Accuracy: 0.38155, Validation Accuracy: 0.3131
         200/200 [00:03<00:00, 56.19it/s]
100%
```

(Epoch 50 / 50) Training Accuracy: 0.38415, Validation Accuracy: 0.3121



SGD with L1 Regularization [2pts]

With L1 Regularization, your regularized loss becomes $ilde{J}_{\ell_1}(heta)$ and it's defined as

$${ ilde J}_{\ell_1}(heta) = J(heta) + \lambda \| heta\|_{\ell_1}$$

where

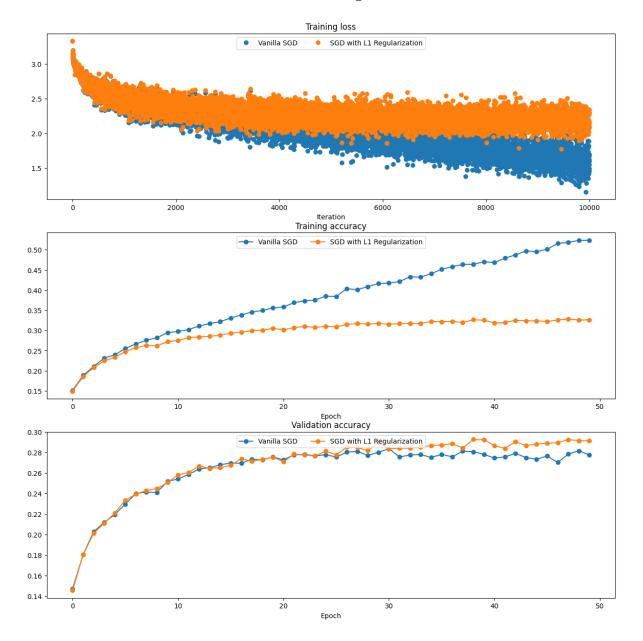
$$\| heta\|_{\ell_1} = \sum_{l=1}^n \sum_{k=1}^{n_l} | heta_{l,k}|$$

Please implmemt TODO block of apply_l1_regularization in lib/layer_utils . Such regularization funcationality is called after gradient gathering in the backward process.

```
In [23]: reset seed(seed=seed)
         model sqd l1 = FullyConnectedNetwork()
         loss f sqd l1 = cross entropy()
         optimizer sqd l1 = SGD(model sqd l1.net, 0.01)
         print ("\nTraining with SGD plus L1 Regularization...")
         results_sgd_l1 = train_net(small_data_dict, model_sgd_l1, loss_f_sgd_l1, opt
                                  max epochs=50, show every=10000, verbose=True, requ
         opt_params_sgd_l1, loss_hist_sgd_l1, train_acc_hist_sgd_l1, val_acc_hist_sgd
         plt.subplot(3, 1, 1)
         plt.title('Training loss')
         plt.xlabel('Iteration')
         plt.subplot(3, 1, 2)
         plt.title('Training accuracy')
         plt.xlabel('Epoch')
         plt.subplot(3, 1, 3)
         plt.title('Validation accuracy')
         plt.xlabel('Epoch')
         plt.subplot(3, 1, 1)
         plt.plot(loss_hist_sgd, 'o', label="Vanilla SGD")
         plt.subplot(3, 1, 2)
         plt.plot(train acc hist sqd, '-o', label="Vanilla SGD")
         plt.subplot(3, 1, 3)
         plt.plot(val_acc_hist_sgd, '-o', label="Vanilla SGD")
         plt.subplot(3, 1, 1)
         plt.plot(loss_hist_sgd_l1, 'o', label="SGD with L1 Regularization")
         plt.subplot(3, 1, 2)
         plt.plot(train_acc_hist_sgd_l1, '-o', label="SGD with L1 Regularization")
         plt.subplot(3, 1, 3)
         plt.plot(val_acc_hist_sgd_l1, '-o', label="SGD with L1 Regularization")
         for i in [1, 2, 3]:
           plt.subplot(3, 1, i)
           plt.legend(loc='upper center', ncol=4)
         plt.gcf().set_size_inches(15, 15)
         plt.show()
         Training with SGD plus L1 Regularization...
                        | 0/200 [00:00<?, ?it/s]
         (Iteration 1 / 10000) Average loss: 3.3332154539088985
                200/200 [00:04<00:00, 40.55it/s]
         (Epoch 1 / 50) Training Accuracy: 0.1491, Validation Accuracy: 0.1457
         100% | 200/200 [00:06<00:00, 32.67it/s]
         (Epoch 2 / 50) Training Accuracy: 0.1854, Validation Accuracy: 0.1806
         100% | 200/200 [00:05<00:00, 39.91it/s]
         (Epoch 3 / 50) Training Accuracy: 0.20755, Validation Accuracy: 0.2014
                   200/200 [00:04<00:00, 43.91it/s]
         (Epoch 4 / 50) Training Accuracy: 0.22465, Validation Accuracy: 0.2111
```

```
100%| 200/200 [00:04<00:00, 43.23it/s]
(Epoch 5 / 50) Training Accuracy: 0.2331, Validation Accuracy: 0.2212
100% | 200/200 [00:04<00:00, 45.98it/s]
(Epoch 6 / 50) Training Accuracy: 0.24735, Validation Accuracy: 0.2337
100% | 200/200 [00:04<00:00, 41.66it/s]
(Epoch 7 / 50) Training Accuracy: 0.25725, Validation Accuracy: 0.2395
100% | 200/200 [00:04<00:00, 45.14it/s]
(Epoch 8 / 50) Training Accuracy: 0.26245, Validation Accuracy: 0.2431
         200/200 [00:05<00:00, 36.13it/s]
(Epoch 9 / 50) Training Accuracy: 0.26185, Validation Accuracy: 0.2449
100%| 200/200 [00:05<00:00, 38.68it/s]
(Epoch 10 / 50) Training Accuracy: 0.27205, Validation Accuracy: 0.251
          200/200 [00:04<00:00, 44.07it/s]
(Epoch 11 / 50) Training Accuracy: 0.27515, Validation Accuracy: 0.2582
100% | 200/200 [00:04<00:00, 41.60it/s]
(Epoch 12 / 50) Training Accuracy: 0.282, Validation Accuracy: 0.2606
     200/200 [00:04<00:00, 48.55it/s]
(Epoch 13 / 50) Training Accuracy: 0.2838, Validation Accuracy: 0.267
         200/200 [00:04<00:00, 44.05it/s]
(Epoch 14 / 50) Training Accuracy: 0.28535, Validation Accuracy: 0.2645
100% | 200/200 [00:04<00:00, 46.02it/s]
(Epoch 15 / 50) Training Accuracy: 0.2883, Validation Accuracy: 0.2655
100% | 200/200 [00:05<00:00, 35.72it/s]
(Epoch 16 / 50) Training Accuracy: 0.2926, Validation Accuracy: 0.2676
100%| 200/200 [00:04<00:00, 44.57it/s]
(Epoch 17 / 50) Training Accuracy: 0.296, Validation Accuracy: 0.2742
100%| 200/200 [00:06<00:00, 32.73it/s]
(Epoch 18 / 50) Training Accuracy: 0.2991, Validation Accuracy: 0.2715
100% | 200/200 [00:04<00:00, 40.16it/s]
(Epoch 19 / 50) Training Accuracy: 0.30085, Validation Accuracy: 0.2734
100%| 200/200 [00:04<00:00, 40.49it/s]
(Epoch 20 / 50) Training Accuracy: 0.30465, Validation Accuracy: 0.2756
100% | 200/200 [00:04<00:00, 45.13it/s]
(Epoch 21 / 50) Training Accuracy: 0.30195, Validation Accuracy: 0.271
          200/200 [00:04<00:00, 41.38it/s]
(Epoch 22 / 50) Training Accuracy: 0.3069, Validation Accuracy: 0.2785
100% | 200/200 [00:04<00:00, 40.39it/s]
(Epoch 23 / 50) Training Accuracy: 0.30985, Validation Accuracy: 0.2776
          200/200 [00:05<00:00, 37.56it/s]
(Epoch 24 / 50) Training Accuracy: 0.30745, Validation Accuracy: 0.2768
100% | 200/200 [00:04<00:00, 46.18it/s]
(Epoch 25 / 50) Training Accuracy: 0.3103, Validation Accuracy: 0.2814
     200/200 [00:05<00:00, 37.97it/s]
(Epoch 26 / 50) Training Accuracy: 0.3091, Validation Accuracy: 0.2778
         200/200 [00:05<00:00, 38.59it/s]
(Epoch 27 / 50) Training Accuracy: 0.31465, Validation Accuracy: 0.2853
100% | 200/200 [00:04<00:00, 45.10it/s]
(Epoch 28 / 50) Training Accuracy: 0.31695, Validation Accuracy: 0.2851
100% | 200/200 [00:04<00:00, 42.26it/s]
```

```
(Epoch 29 / 50) Training Accuracy: 0.3157, Validation Accuracy: 0.2819
        200/200 [00:04<00:00, 46.96it/s]
(Epoch 30 / 50) Training Accuracy: 0.31705, Validation Accuracy: 0.2901
100%| 200/200 [00:04<00:00, 47.15it/s]
(Epoch 31 / 50) Training Accuracy: 0.3152, Validation Accuracy: 0.2835
100%| 200/200 [00:03<00:00, 54.35it/s]
(Epoch 32 / 50) Training Accuracy: 0.3168, Validation Accuracy: 0.2843
100% | 200/200 [00:03<00:00, 54.88it/s]
(Epoch 33 / 50) Training Accuracy: 0.31745, Validation Accuracy: 0.2843
     200/200 [00:04<00:00, 46.91it/s]
(Epoch 34 / 50) Training Accuracy: 0.31705, Validation Accuracy: 0.2855
          200/200 [00:04<00:00, 41.05it/s]
(Epoch 35 / 50) Training Accuracy: 0.32255, Validation Accuracy: 0.287
100% | 200/200 [00:03<00:00, 52.07it/s]
(Epoch 36 / 50) Training Accuracy: 0.3215, Validation Accuracy: 0.2873
          200/200 [00:04<00:00, 49.84it/s]
(Epoch 37 / 50) Training Accuracy: 0.32235, Validation Accuracy: 0.2887
100%| 200/200 [00:04<00:00, 47.79it/s]
(Epoch 38 / 50) Training Accuracy: 0.3196, Validation Accuracy: 0.2845
     200/200 [00:04<00:00, 42.21it/s]
(Epoch 39 / 50) Training Accuracy: 0.32645, Validation Accuracy: 0.2928
         200/200 [00:04<00:00, 45.26it/s]
(Epoch 40 / 50) Training Accuracy: 0.32535, Validation Accuracy: 0.2926
100%| 200/200 [00:04<00:00, 46.91it/s]
(Epoch 41 / 50) Training Accuracy: 0.3185, Validation Accuracy: 0.2867
100% | 200/200 [00:04<00:00, 44.32it/s]
(Epoch 42 / 50) Training Accuracy: 0.3197, Validation Accuracy: 0.2841
       200/200 [00:04<00:00, 46.85it/s]
(Epoch 43 / 50) Training Accuracy: 0.32515, Validation Accuracy: 0.2906
100% | 200/200 [00:04<00:00, 47.03it/s]
(Epoch 44 / 50) Training Accuracy: 0.3239, Validation Accuracy: 0.2868
100% | 200/200 [00:03<00:00, 51.48it/s]
(Epoch 45 / 50) Training Accuracy: 0.32375, Validation Accuracy: 0.2884
100% | 200/200 [00:04<00:00, 48.23it/s]
(Epoch 46 / 50) Training Accuracy: 0.3223, Validation Accuracy: 0.289
     200/200 [00:03<00:00, 59.47it/s]
(Epoch 47 / 50) Training Accuracy: 0.32585, Validation Accuracy: 0.2897
          200/200 [00:03<00:00, 58.82it/s]
(Epoch 48 / 50) Training Accuracy: 0.3282, Validation Accuracy: 0.2927
100% | 200/200 [00:04<00:00, 49.42it/s]
(Epoch 49 / 50) Training Accuracy: 0.3257, Validation Accuracy: 0.2916
          200/200 [00:03<00:00, 55.44it/s]
(Epoch 50 / 50) Training Accuracy: 0.32625, Validation Accuracy: 0.2915
```



SGD with L2 Regularization [2pts]

With L2 Regularization, your regularized loss becomes $ilde{J}_{\ell_2}(heta)$ and it's defined as

$$ilde{J}_{\ell_2}(heta) = J(heta) + \lambda \| heta\|_{\ell_2}$$

where

$$\| heta\|_{\ell_2} = \sum_{l=1}^n \sum_{k=1}^{n_l} heta_{l,k}^2$$

Similarly, implmemt TODO block of <code>apply_l2_regularization</code> in <code>lib/layer_utils</code> . For SGD, you're also asked to find the λ for L2 Regularization such that it achives the EXACTLY SAME effect as weight decay in the previous cells. As a

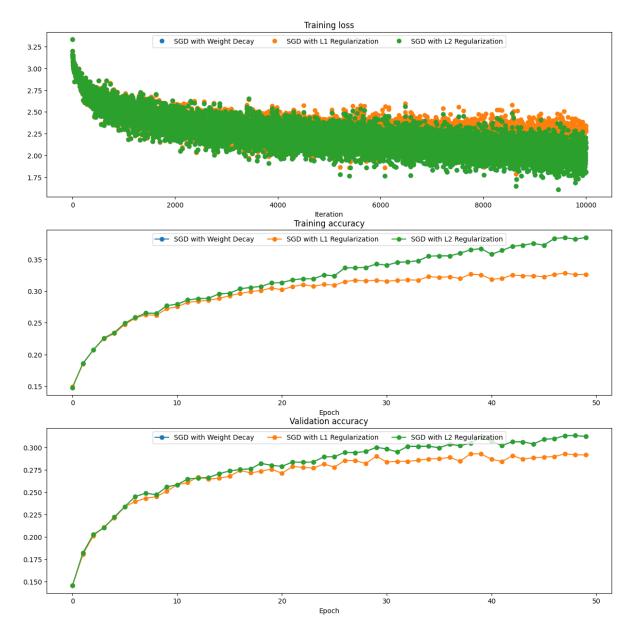
reminder, learning rate is the same as previously, and the weight decay paramter was 1e-4.

```
In [24]: reset_seed(seed=seed)
        model sqd l2 = FullyConnectedNetwork()
        loss f sqd l2 = cross entropy()
        optimizer_sgd_l2 = SGD(model_sgd_l2.net, 0.01)
        #### Find lambda for L2 regularization so that
                                                                     ####
        #### it achieves EXACTLY THE SAME learning curve as weight decay ####
        12 \quad lambda = 0.005
        print ("\nTraining with SGD plus L2 Regularization...")
        results sqd l2 = train net(small data dict, model sqd l2, loss f sqd l2, opt
                                  max_epochs=50, show_every=10000, verbose=False, r
        opt params sqd l2, loss hist sqd l2, train acc hist sqd l2, val acc hist sqd
        plt.subplot(3, 1, 1)
        plt.title('Training loss')
        plt.xlabel('Iteration')
        plt.subplot(3, 1, 2)
        plt.title('Training accuracy')
        plt.xlabel('Epoch')
        plt.subplot(3, 1, 3)
        plt.title('Validation accuracy')
        plt.xlabel('Epoch')
        plt.subplot(3, 1, 1)
        plt.plot(loss hist sqdw, 'o', label="SGD with Weight Decay")
        plt.subplot(3, 1, 2)
        plt.plot(train_acc_hist_sgdw, '-o', label="SGD with Weight Decay")
        plt.subplot(3, 1, 3)
        plt.plot(val_acc_hist_sgdw, '-o', label="SGD with Weight Decay")
        plt.subplot(3, 1, 1)
        plt.plot(loss_hist_sgd_l1, 'o', label="SGD with L1 Regularization")
        plt.subplot(3, 1, 2)
        plt.plot(train_acc_hist_sgd_l1, '-o', label="SGD with L1 Regularization")
        plt.subplot(3, 1, 3)
        plt.plot(val_acc_hist_sgd_l1, '-o', label="SGD with L1 Regularization")
        plt.subplot(3, 1, 1)
        plt.plot(loss_hist_sgd_l2, 'o', label="SGD with L2 Regularization")
        plt.subplot(3, 1, 2)
        plt.plot(train acc hist sqd l2, '-o', label="SGD with L2 Regularization")
        plt.subplot(3, 1, 3)
        plt.plot(val_acc_hist_sgd_l2, '-o', label="SGD with L2 Regularization")
        for i in [1, 2, 3]:
          plt.subplot(3, 1, i)
          plt.legend(loc='upper center', ncol=4)
```

```
plt.gcf().set_size_inches(15, 15)
plt.show()
```

Training with SGD plus L2 Regularization...

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```



Adam [2pt]

The update rule of Adam is as shown below:

$$t=t+1 \ g_t: ext{gradients at update step } t \ m_t=eta_1m_{t-1}+(1-eta_1)g_t \ v_t=eta_2v_{t-1}+(1-eta_2)g_t^2 \ \hat{m_t}=m_t/(1-eta_1^t) \ \hat{v_t}=v_t/(1-eta_2^t) \ heta_{t+1}= heta_t-rac{\eta \ \hat{m_t}}{\sqrt{\hat{v_t}}+\epsilon}$$

Complete the Adam() function in lib/optim.py Important Notes:

- 1. t must be updated before everything else
- 2. β_1^t is β_1 exponentiated to the t'th power
- 3. You should also enable weight decay in Adam, similar to what you did in SGD

```
In [25]: %reload_ext autoreload
         seed = 1234
         np.random.seed(seed=seed)
         # Test Adam implementation; you should see errors around 1e-7 or less
         N, D = 4, 5
         test adam = sequential(fc(N, D, name="adam fc"))
         w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
         dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
         m = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
         v = np.linspace(0.7, 0.5, num=N*D).reshape(N, D)
         test_adam.layers[0].params = {"adam_fc_w": w}
         test_adam.layers[0].grads = {"adam_fc_w": dw}
         opt adam = Adam(test adam, 1e-2, 0.9, 0.999, t=5)
         opt_adam.mt = {"adam_fc_w": m}
         opt_adam.vt = {"adam_fc_w": v}
         opt adam.step()
         updated_w = test_adam.layers[0].params["adam_fc_w"]
         mt = opt adam.mt["adam fc w"]
         vt = opt_adam.vt["adam_fc_w"]
         expected_updated_w = np.asarray([
           [-0.40094747, -0.34836187, -0.29577703, -0.24319299, -0.19060977],
           [-0.1380274, -0.08544591, -0.03286534, 0.01971428, 0.0722929],
            \hbox{\tt [ 0.1248705, 0.17744702, 0.23002243, 0.28259667, 0.33516969],} 
           [ 0.38774145, 0.44031188, 0.49288093, 0.54544852, 0.59801459]])
         expected_v = np.asarray([
           [0.69966, 0.68908382, 0.67851319, 0.66794809, 0.65738853,],
           [0.64683452, 0.63628604, 0.6257431, 0.61520571, 0.60467385,],
           [ 0.59414753, 0.58362676, 0.57311152, 0.56260183, 0.55209767,],
           [ 0.54159906, 0.53110598, 0.52061845, 0.51013645, 0.49966, ]])
         expected m = np.asarray([
                    0.49947368, 0.51894737, 0.53842105, 0.55789474],
           [ 0.48,
           [ 0.57736842, 0.59684211, 0.61631579, 0.63578947, 0.65526316],
           [ 0.67473684, 0.69421053, 0.71368421, 0.73315789, 0.75263158],
           [ 0.77210526, 0.79157895, 0.81105263, 0.83052632,
                                                                0.85
                                                                          ]])
         print ('The following errors should be around or less than 1e-7')
         print ('updated_w error: ', rel_error(expected_updated_w, updated_w))
         print ('mt error: ', rel_error(expected_m, mt))
         print ('vt error: ', rel_error(expected_v, vt))
         The following errors should be around or less than 1e-7
         updated w error: 1.1355763428905147e-07
         mt error: 4.214963193114416e-09
         vt error: 4.208314038113071e-09
```

Comparing the Weight Decay v.s. L2 Regularization in Adam [5pt]

Run the following code block to compare the plotted results between effects of weight decay and L2 regularization on Adam. Are they still the same? (we can make them the same as in SGD, can we also do it in Adam?)

Answer: In Adam, weight decay and L2 regularization can be different because Adam includes a momentum term that SGD does not have. The momentum term in Adam can counteract the effect of weight decay on the weight updates. As a result, the effect of weight decay and L2 regularization on the weights may not be the same in Adam as in SGD.

To make the effects of weight decay and L2 regularization the same in Adam, we can use the L2 regularization term directly in the Adam optimizer instead of weight decay. This can be achieved by adding the L2 regularization term to the loss function and using it to compute the gradients for the weight updates in Adam. By doing this, the effect of L2 regularization on the weights would be the same in Adam as in SGD.

```
In [26]: seed = 1234
         reset seed(seed)
         model_adam_wd
                            = FullyConnectedNetwork()
         loss f adam wd
                            = cross entropy()
         optimizer_adam_wd = Adam(model_adam_wd.net, lr=1e-4, weight_decay=1e-6)
         print ("Training with AdamW...")
         results_adam_wd = train_net(small_data_dict, model_adam_wd, loss_f_adam_wd,
                                 max_epochs=50, show_every=10000, verbose=False)
         reset_seed(seed)
         model_adam_l2
                            = FullyConnectedNetwork()
         loss f adam l2
                            = cross entropy()
         optimizer_adam_l2 = Adam(model_adam_l2.net, lr=1e-4)
         reg_lambda_l2 = 1e-4
         print ("\nTraining with Adam + L2...")
         results_adam_l2 = train_net(small_data_dict, model_adam_l2, loss_f_adam_l2,
                                  max_epochs=50, show_every=10000, verbose=False, red
         opt_params_adam_wd, loss_hist_adam_wd, train_acc_hist_adam_wd, val_acc_hist_
         opt_params_adam_l2, loss_hist_adam_l2, train_acc_hist_adam_l2, val_acc_hist_
         plt.subplot(3, 1, 1)
         plt.title('Training loss')
         plt.xlabel('Iteration')
         plt.subplot(3, 1, 2)
         plt.title('Training accuracy')
         plt.xlabel('Epoch')
```

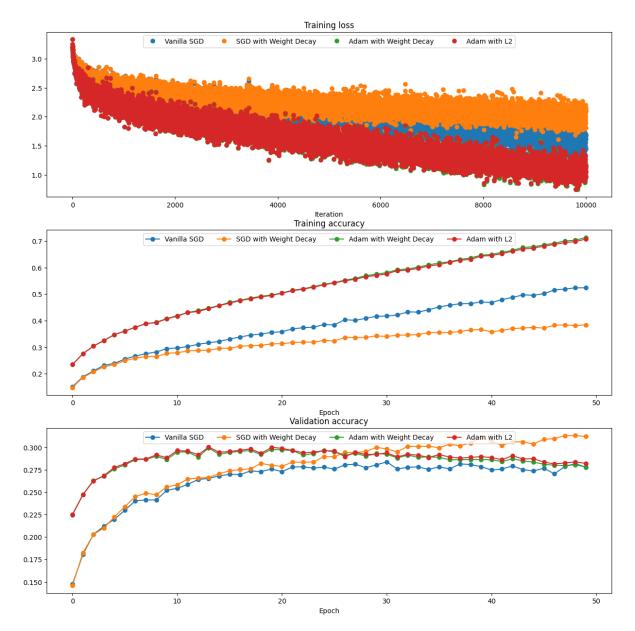
```
plt.subplot(3, 1, 3)
plt.title('Validation accuracy')
plt.xlabel('Epoch')
plt.subplot(3, 1, 1)
plt.plot(loss_hist_sgd, 'o', label="Vanilla SGD")
plt.subplot(3, 1, 2)
plt.plot(train_acc_hist_sgd, '-o', label="Vanilla SGD")
plt.subplot(3, 1, 3)
plt.plot(val_acc_hist_sgd, '-o', label="Vanilla SGD")
plt.subplot(3, 1, 1)
plt.plot(loss_hist_sgdw, 'o', label="SGD with Weight Decay")
plt.subplot(3, 1, 2)
plt.plot(train acc hist sqdw, '-o', label="SGD with Weight Decay")
plt.subplot(3, 1, 3)
plt.plot(val_acc_hist_sgdw, '-o', label="SGD with Weight Decay")
plt.subplot(3, 1, 1)
plt.plot(loss_hist_adam_wd, 'o', label="Adam with Weight Decay")
plt.subplot(3, 1, 2)
plt.plot(train acc hist adam wd, '-o', label="Adam with Weight Decay")
plt.subplot(3, 1, 3)
plt.plot(val_acc_hist_adam_wd, '-o', label="Adam with Weight Decay")
plt.subplot(3, 1, 1)
plt.plot(loss_hist_adam_l2, 'o', label="Adam with L2")
plt.subplot(3, 1, 2)
plt.plot(train_acc_hist_adam_l2, '-o', label="Adam with L2")
plt.subplot(3, 1, 3)
plt.plot(val acc hist adam l2, '-o', label="Adam with L2")
for i in [1, 2, 3]:
 plt.subplot(3, 1, i)
 plt.legend(loc='upper center', ncol=4)
plt.gcf().set_size_inches(15, 15)
plt.show()
```

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Training with Adam + L2...

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Submission

Please prepare a PDF document problem_1_solution.pdf in the root directory of this repository with all plots and inline answers of your solution. Concretely, the document should contain the following items in strict order:

- 1. Training loss / accuracy curves for the simple neural network training with > 30% validation accuracy
- 2. Plots for comparing vanilla SGD to SGD + Weight Decay, SGD + L1 and SGD + L2
- 3. "Comparing different Regularizations with Adam" plots

Note that you still need to submit the jupyter notebook with all generated solutions. We will randomly pick submissions and check that the plots in the PDF and in the notebook are equivalent.

In []: