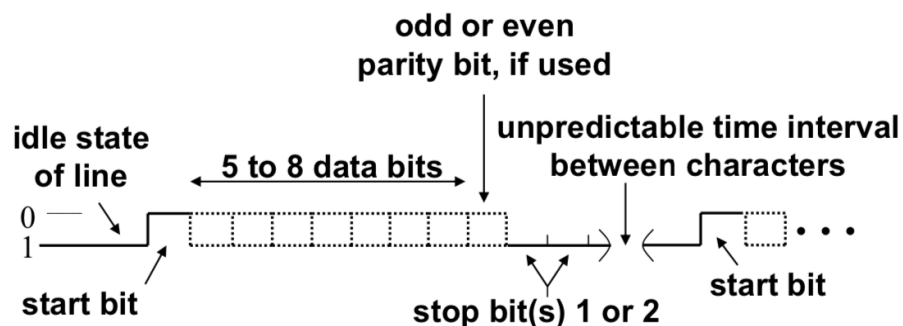


C2 Data Link Layer

1. DLL Flow Control (4 main functions)

1.1 Framing (not examinable)

- Encapsulate each network layer datagram within a link layer frame before transmission over the link
 - Byte (Character) Oriented
 - Information framed into fixed 8-bit (1 byte) basic unit with some used for signalling (protocol control)
 - Frame format: [start bit, data bits, parity check bit, stop bit]



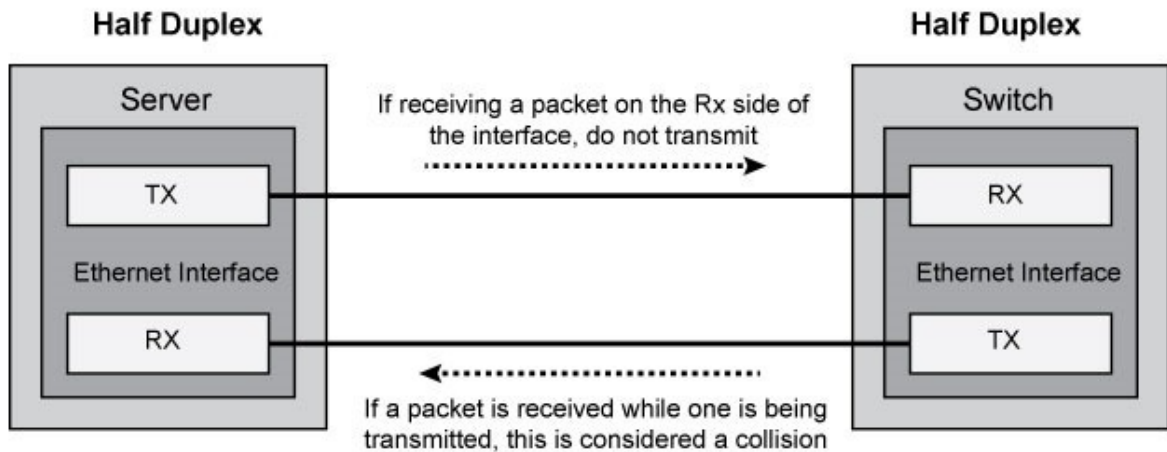
- Bit Oriented (HDLC)
 - Flag used to frame bits sent
 - Header / Trailer (tail) used to describe content of a frame (which may be used for control)

1.2 Link Access

- MAC protocol specifying rules by which a frame is transmitted into the link
 - Objective is to determine who gets to transmit at when on a link
 - Topology (physical arrangement of stations)
 - Point-to-Point: pairs of hosts are directly connected
 - Broadcast: all stations share a single channel (only one packet needs to be sent out)
 - **hosts / stations / machines are used interchangeably**
 - Duplexity
 - Half-Duplex: only one party transmit at a time
 - Full-Duplex: simultaneous transmission and reception allowed

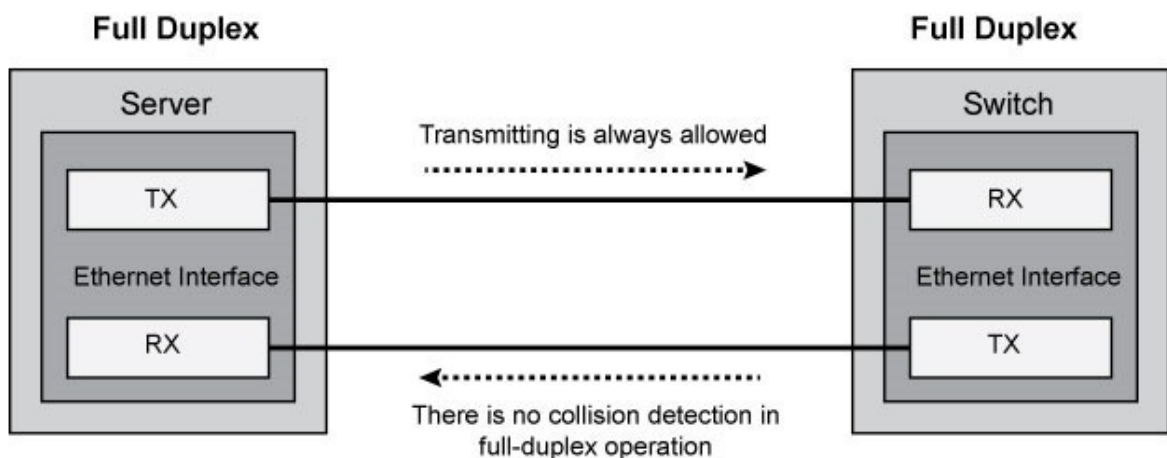
1. Half-Duplex

The following figure shows a half-duplex link. In a half-duplex environment, the receiving (Rx) line is monitored. If a frame is present on the Rx link, no frames are sent until the Rx line is clear. If a frame is received on the Rx line while a frame is being sent on the transmitting (Tx) line, a collision occurs. Collisions cause the collision error counter to be incremented – and the sending frame to be retransmitted – after a random back-off delay.



2. Full-Duplex

The following figure shows a full-duplex link. In full-duplex operation, the Rx line is not monitored, and the Tx line is always considered available. Collisions do not occur in full-duplex mode because the Rx and Tx lines are completely independent.

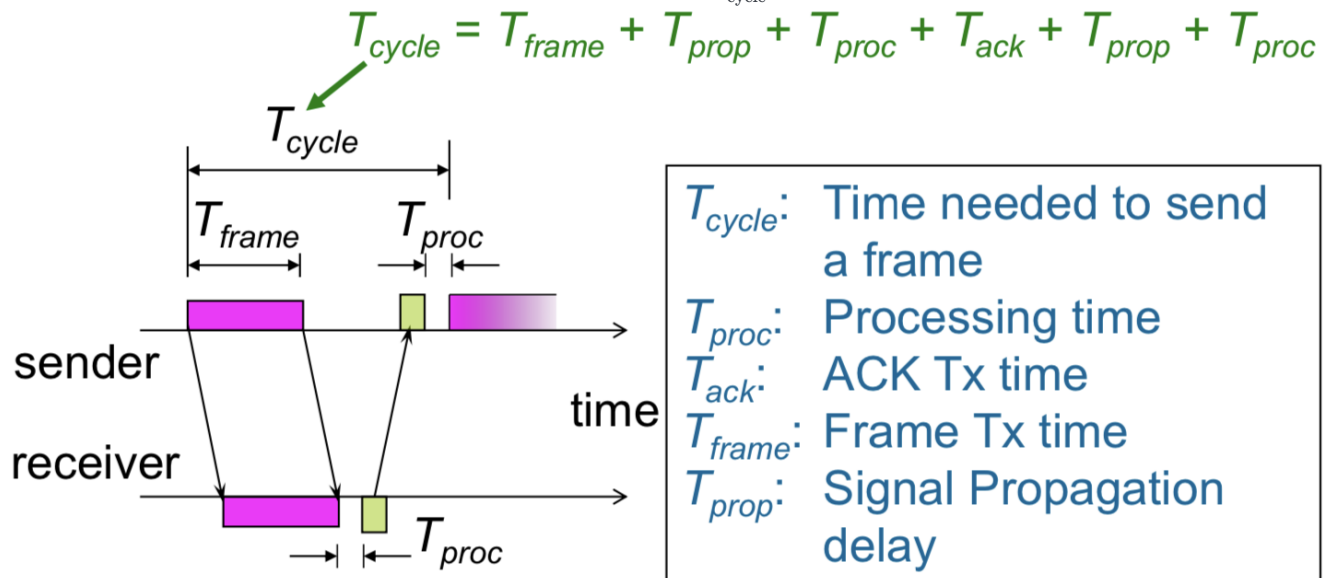


1.3 Flow Control

- Control of data flow to ensure sender does not overwhelm the receiver with data (i.e. buffers at receiver do not get overflowed)
 - No frame error (assumption for this chapter)

Throughput (Link Utilisation)

$$\text{Link Utilisation} = \frac{\text{Time that link carries useful information}}{\text{total time}} = \frac{T_{\text{frame}}}{T_{\text{cycle}}}$$



Stop-and-Wait

1. A packs binary information into frame and sends to B
2. A waits for ACK (acknowledgement) from B
 - ACK1 will be sent after receiving frame 0, to indicate receiver is ready for frame 1
3. B sends ACK **after** receiving frame
4. A receives B's ACK and repeats 1

• Throughput

- Assume that input is saturated, no error, and ignore $T_{\text{ack}}, T_{\text{proc}}$
- T_{prop} = time taken for one bit to travel from sender to receiver (Distance/Speed)
- T_{frame} = time taken for signal to transmit given frame length (Length/Rate)
- $T_{\text{cycle}} = T_{\text{frame}} + 2T_{\text{prop}}$ (propagation delay for Frame and ACK)
- Then $U = \frac{T_{\text{frame}}}{T_{\text{frame}} + 2T_{\text{prop}}} = \frac{1}{1 + 2a}$
 - U is ratio
- Where $a = \frac{T_{\text{prop}}}{T_{\text{frame}}}$ (normalised propagation delay)

• Disadvantages

- Lost frame / ACK \implies longer waiting time expected
 - use TIMEOUT control in sender to fix
- If normalised propagation delay is long, sender must wait long time before next transmission can be performed
 - Link Utilisation is low
 - use Buffers at sender / receiver (sliding window)

Sliding Window

- Allows multiple frames to be in transit
- Both Sender and Receiver have buffer of size N
 - Sender can send up to N frames without needing `ACK` from Receiver
 - Each `ACK` is numbered to correspond to an expected frame
- Sequence number is bounded by field size (k bits)
 - frames are numbered modulo 2^k
 - sequence number in range $[0, 2^k - 1]$
- Sender
 - Maintains a window which contains the frame numbers that can be transmitted
 - Window shrinks from left side (lower bound / trailing edge) as frames are sent
 - Window expands from right side (upper bound / leading edge) as `ACK`s are received
- Receiver
 - Maintains a window which determines the available buffer (?) size
 - Window shrinks from left side as frames are received
 - Window expands from right side as `ACK`s are sent
- **Window Size and Sequence Number size**
 - For $N = 3$ with $k = 1$ bit sequence number, when sender sends 0,1,0 to receiver, receiver does not know whether the second 0 is a new frame or retransmitted frame of the first 0. Receiver sends `ACK` for received frame 1, sender does not know whether receiver wants a retransmission of first 0 or second 0
 - Need to follow the rule $N \leq 2^k$
- **Features (not examined)**
 - Receiver can acknowledge received frames without allowing further transmission by sending `RNR` (Receive Not Ready) instead of `ACK`, and send `ACK` to resume transmission
 - `ACK` can be piggybacked on data frames in the reverse direction (**dk what it means, waiting for lecture**)
- **Performance**
 - depends upon (error free operation)
 - Parameter a (normalised propagation delay)
 - Window Size N
 - Assume T_{ack} and T_{proc} are negligible
 - Frame Transmission time = 1

- 2 cases:
 1. $N \geq 2a + 1$ - can transmit continuously without exhausting window
 - Link Utilisation = 1.0
 2. $N < 2a + 1$ - window exhausted at $t = N$, station cannot send additional frames until $t = 2a + 1$
 - Link Utilisation = $\frac{N}{1+2a}$

1.4 Reliable Delivery

- moving each network-layer datagram across the link without error

2. Error Control

- Useful for detecting and correcting errors that occur in frame transmission
- **Frame Error**
 - Lost Frame: receiver does not receive a frame / header corrupted (leads to unrecognisable frame)
 - Damaged Frame: receiver receives a frame, but some of the bits are in error

2.1 Error Detection

Parity Check

- A single bit is appended to the original message (7 + 1 bits) to attempt to satisfy a pre-determined parity scheme agreed by both R and S
 - Even parity: total number of 1s is even
 - Odd parity: total number of 1s is odd
 - **Both parities include the parity bit**, can only detect odd numbers of error

Cyclic Redundancy Check (not examinable)

- Multiple parity bits are appended to the original message
 1. Sender prepares to send message (M bits), appends k bits (CRC to the message)
 2. Receiver receives packet from Sender (M+k bits), passes it through CRC function
 3. CRC function will give a remainder, 0 indicates no error

2.2 Error Correction Techniques

Forward Error Correction (FEC) (not examinable)

- Sends more redundant bits in message

Automatic Repeat Request (ARQ)

- Retransmission after timeout

- Sender expects ACK from Receiver, if ACK not received within a predetermined time duration, Sender retransmits the frame
- Retransmission when requested
 - Receiver replies a negative ACK to inform Sender about an error, Sender then retransmits the corrupted frames accordingly
- **Stop-and-Wait ARQ**
 - Sender transmits a single frame and waits for ACK
 - Receiver sends an ACK if frame received correctly, otherwise, discard and do nothing or send a negative ACK (NAK)
 - If Sender:
 - receives ACK: transmit next frame
 - receives NAK: retransmit same frame
 - nothing received: retransmit same frame
 - receives wrong ACK (due to damage etc): transmitter timeouts and retransmit same frame, receiver ends up with duplicate frames, need to discard one
 - Performance

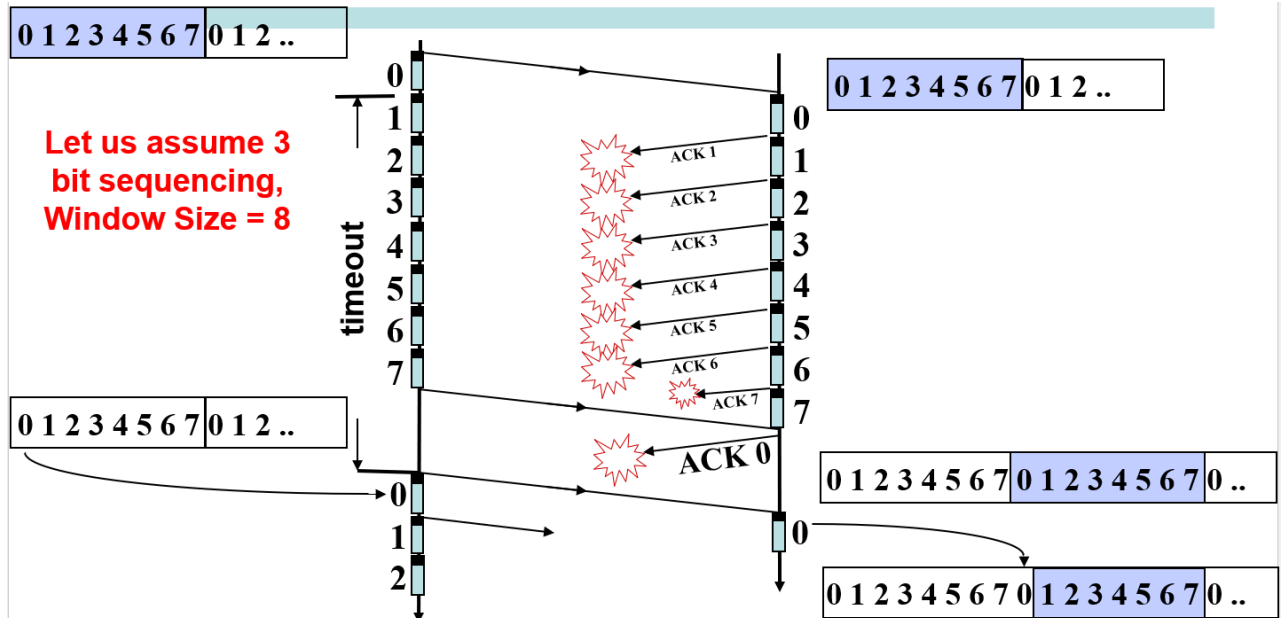
$$\text{Throughput (U)} \quad \text{(Link Utilization)} = \frac{\text{The time that the link carries useful information}}{\text{The total time}} = \frac{T_{frame}}{T_{cycle}}$$

$$\begin{aligned} U_{SaW}^{ARQ} &= \frac{1}{1+2a} \Pr\{\text{no error}\} + 0 \cdot \Pr\{\text{frame error}\} \\ &= \frac{1}{1+2a} (1-P) + 0 \cdot P \\ &= \frac{1-P}{1+2a} \end{aligned}$$

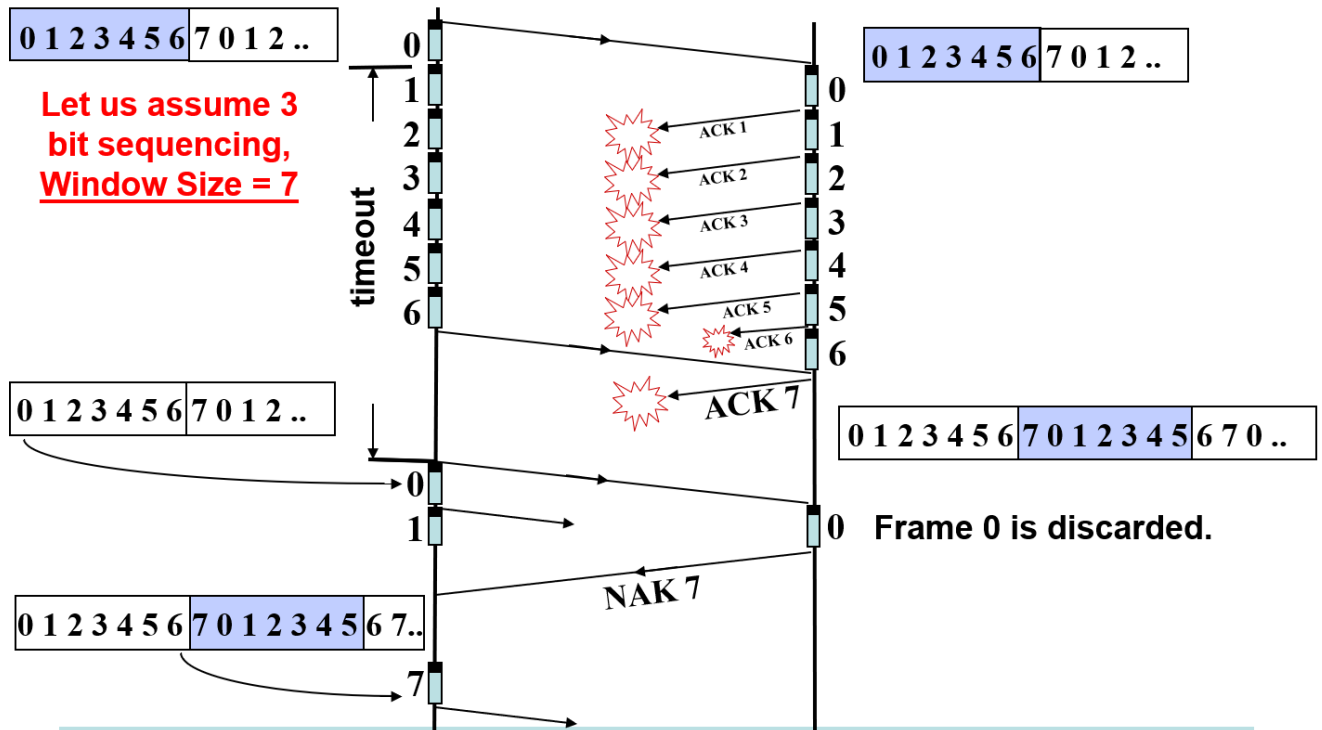
P : Frame loss probability
 a : normalized prop. delay

- **Sliding Window - Go-back-N ARQ**
 - Sender transmit frames sequentially based on sliding window
 - Receiver expects F_1 from Sender, but receives a non- F_1 (erroneous) frame and sends NAK_1 to Sender (all received frames will be discarded until F_1 arrives)

- Sender receives NAK_1 and go back to transmitting F_1



- As S sends 8 frames over to R, S's window size becomes 0 (Left side)
- As R sends ACKs, R's window size increases (Right side)
- However, ACK0 gets lost in transmission, resulting in timeout, causing S to send frame 0 again
- R does not know if ACK_0 has been received by S, and proceeds as if nothing happened
- Reason why maximum window size allowed is $2^k - 1$



- S sends 7 frames, shrinking S's window size to 0
- R receives 7 frames, sends ACK requesting for frame 7, which gets lost in transmission.
- R doesn't receive ACK 7, resends frames 0 to 6 again
- S receives frame 0, sends NAK_7 to R to signal wrong frame received
- R corrects window and sends frame 7

- Performance (with assumptions)

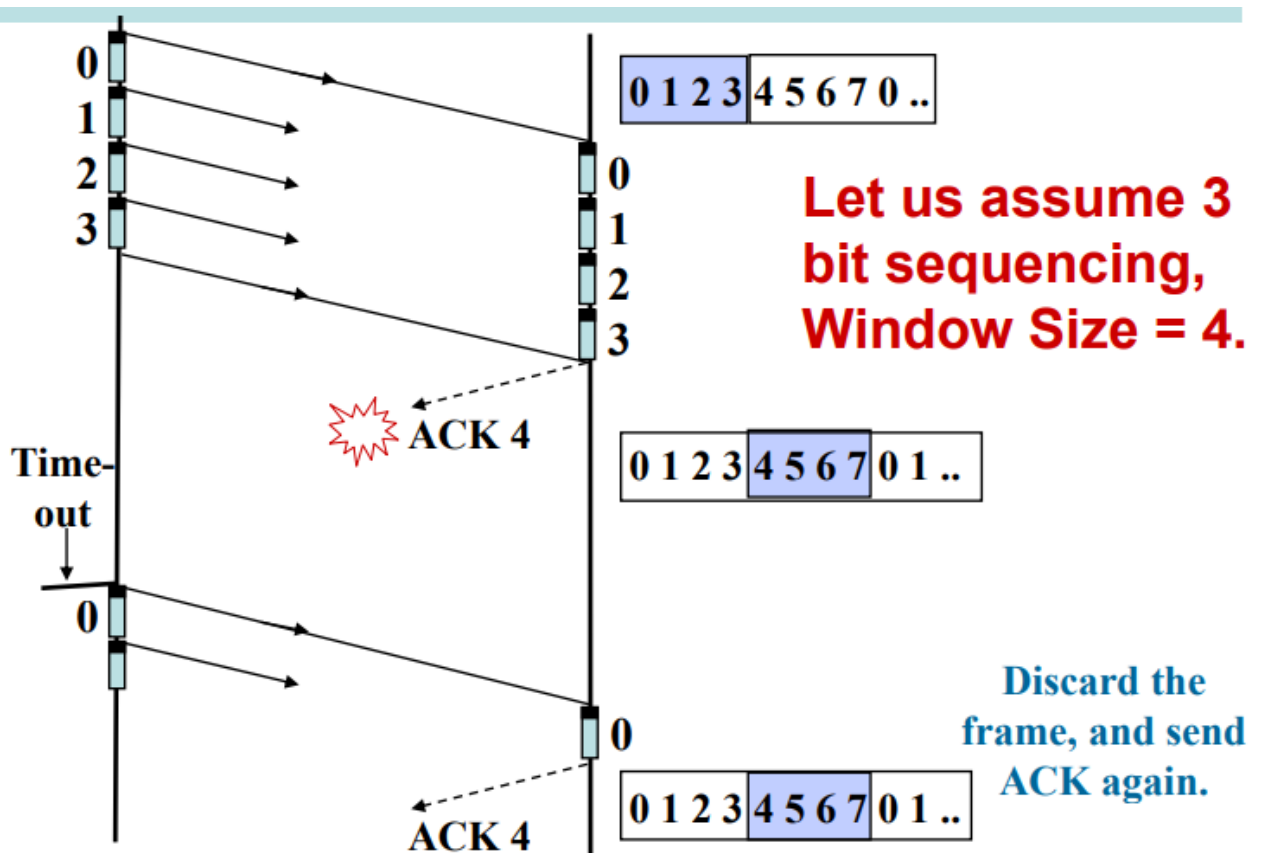
- T_{ack} and T_{proc} are negligible
- frames are never completely lost
- ACK s and NAK s are never in error
- each frame is acknowledged immediately
- S always has frames to send

$$U_{GBN}^{ARQ} = \begin{cases} \frac{1-P}{1+2aP} & N \geq 2a+1 \\ \frac{N(1-P)}{(1-P+NP)(1+2a)} & N < 2a+1 \end{cases}$$

The equations in this slide are not examinable.

• Sliding Window - Selective Reject ARQ

- Only rejected frames and timed out frames are retransmitted
- R informs S of rejected frame n by sending NAK_n
- Frames received by R after rejected frame gets buffered until R receives valid copy of rejected frame, after which, frames are put in proper order and passed to higher layer
- Minimises retransmission but R requires more complex buffer management



- R sends 4 frames (0,1,2,3) to S, S sends ACK_4 which gets lost in transmission (buffer S now 4,5,6,7)
- R assumes time out and resends same 4 frames
- S receives unexpected frame (0), resends ACK_4

- max window size for this error correction is 2^{k-1}

- Performance

P : Frame loss probability
 a : normalized prop. Delay

$$U = \frac{N\bar{F}}{1+2a}, N < 2a+1$$

Since frame loss prob for each tx is independent, in $1+2a$ cycle, we expect N transmissions, each with prob P of failure due to errors.

$$\text{where } \Pr\{F=n\} = \begin{cases} P, n=0 \\ 1-P, n=1 \end{cases}$$

$$\text{and } \bar{F} = 1-P.$$

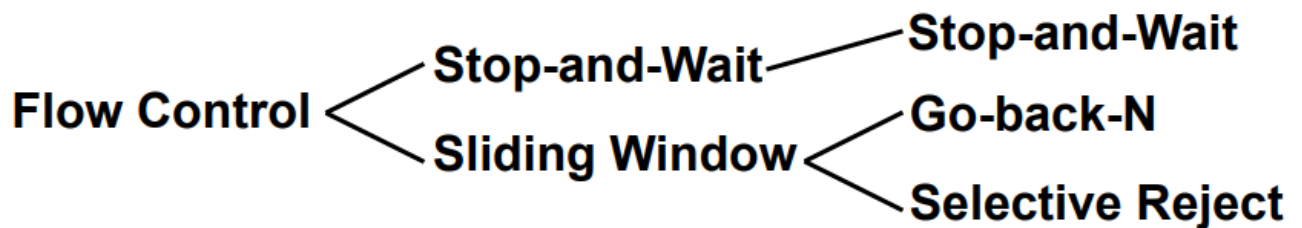
$$\text{Hence } U_{\text{Selective reject}} = \frac{N(1-P)}{1+2a}$$

Examinable:

$$U_{SR}^{ARQ} = \begin{cases} 1-P & N \geq 2a+1 \\ \frac{N(1-P)}{1+2a} & N < 2a+1 \end{cases}$$

Setting $P=0$ reduces the above to that of Sliding Window.

- Which ARQ variant to use depends on the flow control method used

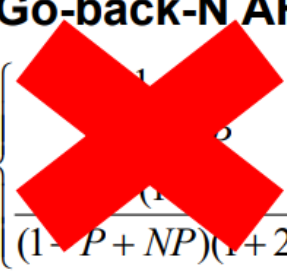


3. Important formulas

Stop-and-Wait ARQ

$$U_{\text{Stop-and-Wait}} = \frac{1-P}{1+2a}$$

Go-back-N ARQ


$$U_{\text{Go-back-N}} = \begin{cases} 1 & N \geq 2a+1 \\ \frac{1-P}{(1-P+NP)(1+2a)} & N < 2a+1 \end{cases}$$

Sliding Window (no errors)

$$U_{\text{Sliding Window}} = \begin{cases} 1 & N \geq 2a+1 \\ \frac{N}{1+2a} & N < 2a+1 \end{cases}$$

P: frame error probability

a: normalized propagation delay

N: window size

U: Channel Utilization (between 0 and 1)

(X is not examinable)

Selective Reject ARQ

$$U_{\text{Selective reject}} = \begin{cases} 1-P & N \geq 2a+1 \\ \frac{N(1-P)}{1+2a} & N < 2a+1 \end{cases}$$