

Introduction to Algorithms

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❖ Chapter.3 Data Structures

1. Elementary Data Structures

- 1) Stacks and queues
- 2) Linked lists
- 3) Implementing pointers and objects
- 4) Representing rooted trees

2. Hash Tables

- 1) Direct-address tables
- 2) Hash tables
- 3) Hash functions
- 4) Open addressing
- 5) Perfect hashing

3. Binary Search Trees

- 1) What is a binary search tree?
- 2) Querying a binary search tree
- 3) Insertion and deletion
- 4) Randomly built binary search trees

4. Red–Black Trees

- 1) Properties of red–black trees
- 2) Rotations
- 3) Insertion
- 4) Deletion

5. Augmenting Data Structures

- 1) Dynamic order statistics
- 2) How to augment a data structure
- 3) Interval trees

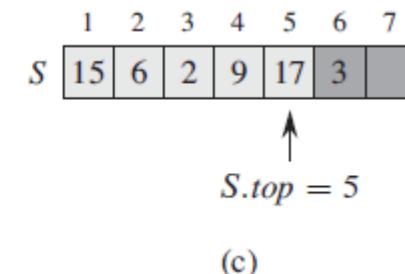
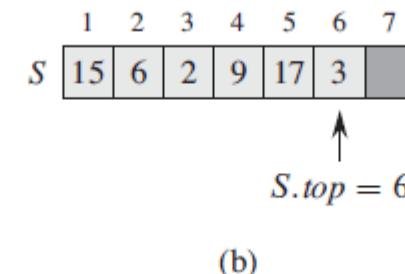
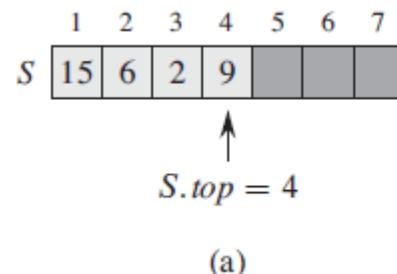
❖ Stacks and queues

- Introduction

- Stack : the element deleted from the set is the one most recently inserted
 - ✓ Last In First Out → **LIFO**
- Queue : the element deleted is the one that has been in the set for the longest time
 - ✓ First In First Out → **FIFO**

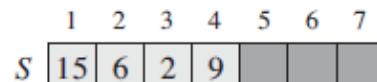
- Stack

- The insert operation on a stack is often called **PUSH**
- The delete operation on a stack is called **POP**
- We can implement a stack of $n - 1$ elements with an array $S[1..n]$



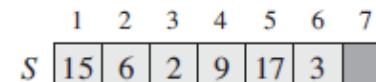
❖ Stacks and queues

- Stack



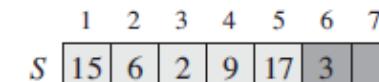
$S.top = 4$

(a)



$S.top = 6$

(b)



$S.top = 5$

(c)

➤ Array has an attribute $S.top$

- ✓ Indexes the most recently inserted element
- ✓ $S[1 \dots n] = S[1 \dots S.top]$
- ✓ $S[1]$ is the bottom element and $S[S.top]$ is the element at the top
- ✓ $S.top = 0 \rightarrow$ stack is **empty** / $S.top$ exceed $n \rightarrow$ stack **overflow** / pop empty stack

STACK-EMPTY(S)

```

1 if  $S.top == 0$ 
2 return TRUE
3 else return FALSE

```

PUSH(S, x)

```

1  $S.top = S.top + 1$ 
2  $S[S.top] = x$ 

```

POP(S)

```

1 if STACK-EMPTY( $S$ )
2 error "underflow"
3 else  $S.top = S.top - 1$ 
4 return  $S[S.top + 1]$ 

```

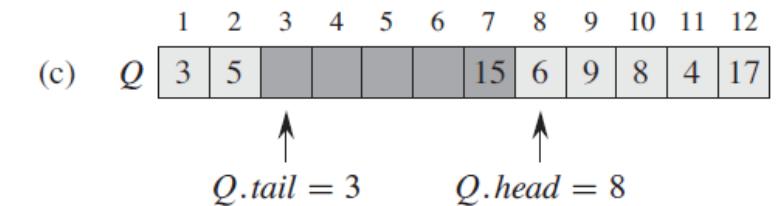
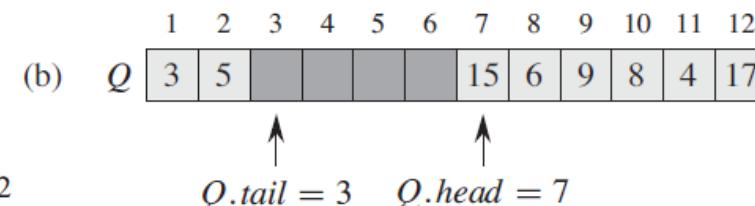
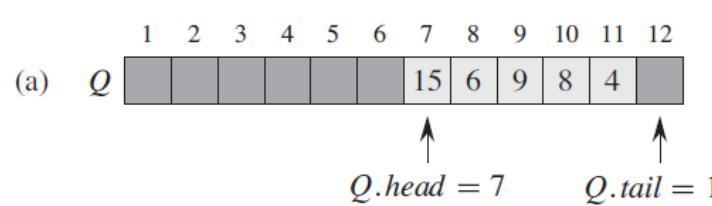
→ stack **underflow**

→ each stack operation takes $O(1)$ times

❖ Stacks and queues

- Queue

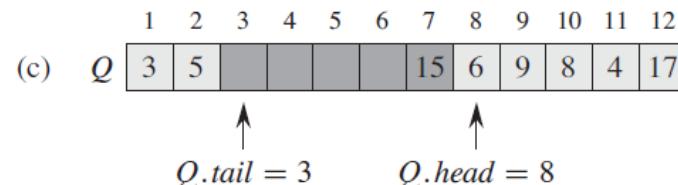
- The insert operation on a queue is often called ***ENQUEUE***
- The delete operation on a queue is called ***DEQUEUE***
- We can implement a queue of $n - 1$ elements with an array $Q[1..n]$
- Array has an attribute $Q.\text{head}$ and $Q.\text{tail}$
 - ✓ $Q.\text{head}$: indexes or points its head
 - ✓ $Q.\text{tail}$: indexes the next location at which a newly arriving element will be inserted
 - ✓ When an element is enqueued, it takes its place at the tail of the queue ($a \rightarrow b$)
 - ✓ The element dequeued is always the one at the head of the queue ($b \rightarrow c$)



❖ Stacks and queues

- Queue

- We can implement queue using “wrap around” method
 - ✓ Location n immediately follows location 1 in a circular order



- ✓ The elements in the queue reside in locations $Q.head, Q.head + 1, \dots, Q.tail - 1$
- When $Q.head = Q.tail$, the queue is empty
 - ✓ If we attempt to dequeue an element from an empty queue the queue **underflows**
- When $Q.head = Q.tail + 1$, the queue is full
 - ✓ If we attempt to enqueue an element, then the queue **overflows**

ENQUEUE(Q, x)

- 1 $Q[Q.tail] = x$
- 2 **if** $Q.tail == Q.length$
- 3 $Q.tail = 1$
- 4 **else** $Q.tail = Q.tail + 1$

DEQUEUE(Q)

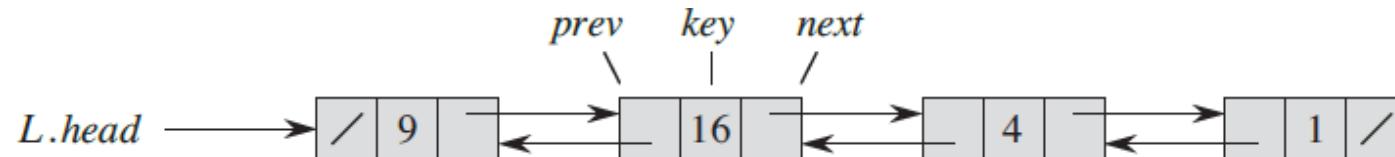
- 1 $x = Q[Q.head]$
- 2 **if** $Q.head == Q.length$
- 3 $Q.head = 1$
- 4 **else** $Q.head = Q.head + 1$
- 5 **return** x

→ each queue operation takes $O(1)$ times

❖ Linked-List

- definition

- The ***linked list*** is a data structure in which the objects are arranged in a linear order
- The order in a linked list is determined by a pointer in each object



- The ***doubly linked list*** L is an object with an attribute *key*, *next*, *prev*
 - ✓ $x.\text{next}$ points to its successor
 - ✓ $x.\text{prev}$ points to its predecessor
 - ✓ If $x.\text{prev} = \text{NIL}$ → the element x has no predecessor → the first element (***head***)
 - ✓ If $x.\text{next} = \text{NIL}$ → the element x has no successor → the last element (***tail***)
 - ✓ $L.\text{head}$ points to the first element of the list
 - ✓ If $L.\text{head} = \text{NIL}$, the list is empty

❖ Linked-List

- The form of linked list

- The **singly linked list** : omit *prev* pointer in each element

- The **sorted linked list** : linear order of the list corresponds to the linear order of keys

- ✓ the minimum element = the head of the list / the maximum element = the tail of the list



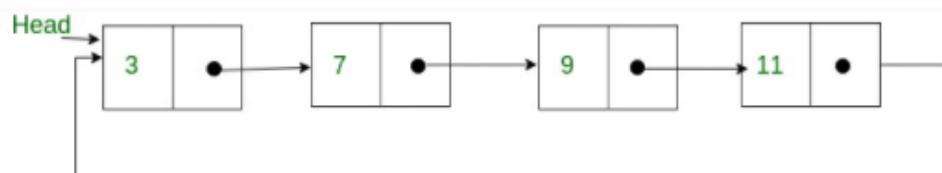
- The **unsorted linked list** : the elements can appear in any order



- The **circular linked list**

- ✓ the *prev* pointer of the head of the list points to the tail

- ✓ The *next* pointer of the tail points to the head



❖ Linked-List

- Searching a linked list

LIST-SEARCH(L, k)

```
1  $x = L.\text{head}$ 
2 while  $x \neq \text{NIL}$  and  $x.\text{key} \neq k$ 
3      $x = x.\text{next}$ 
4 return  $x$ 
```

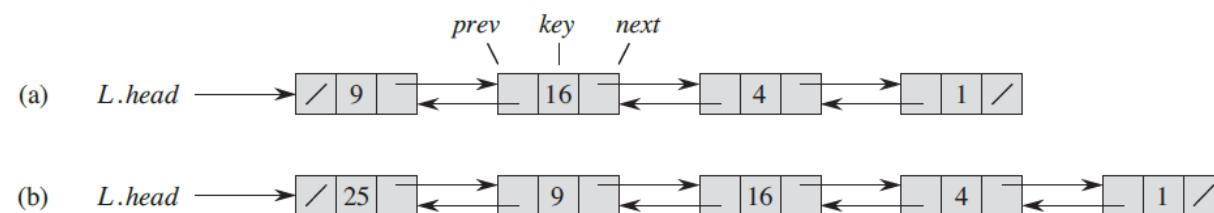
- *line 1~3:* Find the first element with key k in list L by a simple linear search
- *line 4 :* Return the pointer to this element
- If there is no element in list, return NIL

- In the worst case the LIST - SEARCH procedure takes $\theta(n)$ time
 - ✓ Since it may have to search the entire list

- Inserting into a linked list

LIST-INSERT(L, x)

```
1  $x.\text{next} = L.\text{head}$ 
2 if  $L.\text{head} \neq \text{NIL}$ 
3      $L.\text{head}.prev = x$ 
4      $L.\text{head} = x$ 
5      $x.prev = \text{NIL}$ 
```



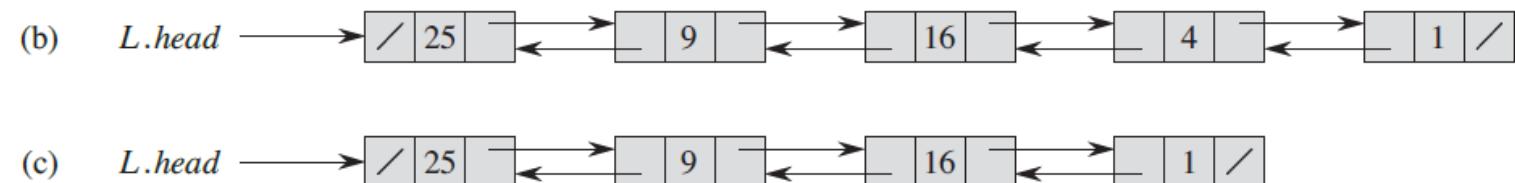
- splices x onto the front of the linked list
- The running time for LIST - INSERT on a list of n elements is $O(1)$

❖ Linked-List

- Deleting from a linked list

LIST-DELETE(L, x)

```
1  if  $x.prev \neq NIL$ 
2       $x.prev.next = x.next$ 
3  else  $L.head = x.next$ 
4  if  $x.next \neq NIL$ 
5       $x.next.prev = x.prev$ 
```



- Removes an element x from a linked list L
- Must be given a pointer to x , and it splices x out of the list by updating pointers
 - ✓ We must first call $LIST - SEARCH$ to retrieve a pointer to the element
- The running time of $LIST - DELETE$ is $O(1)$
 - ✓ Deleting an element with a given key $\rightarrow \theta(n)$ time is required

❖ Linked-List

- Definition of Sentinels

- The code for *LIST – DELETE* would be simpler if we could ignore the boundary conditions at the head and tail of the list

LIST-DELETE(L, x)

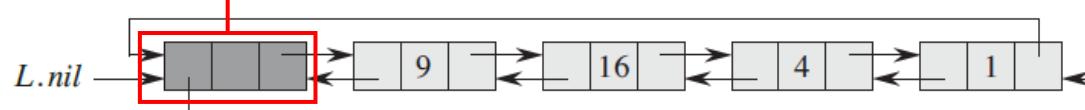
```
1  if  $x.prev \neq NIL$ 
2       $x.prev.next = x.next$ 
3  else  $L.head = x.next$ 
4  if  $x.next \neq NIL$ 
5       $x.next.prev = x.prev$ 
```

LIST-DELETE'(L, x)

```
1   $x.prev.next = x.next$ 
2   $x.next.prev = x.prev$ 
```



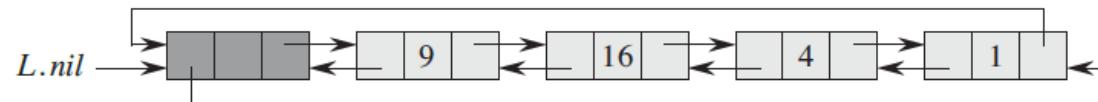
- The ***sentinel*** is a dummy object that allows us to simplify boundary conditions
- Provide with list L an object $L.nil$ that represents *NIL*



- This change turns a ***regular doubly linked list*** into a ***circular doubly linked list*** with ***sentinel***
 - ✓ The ***sentinel*** $L.nil$ appears between the head and tail

❖ Linked-List

- Definition of Sentinels



- The attribute *L.head* is no longer needed since we can access the head by *L.nil.next*
- *L.nil.next* points to the head, *L.nil.prev* points to the tail
- *next* attribute of tail, *prev* attribute of head points to *L.nil*

- ***LIST – SEARCH***

LIST-SEARCH(*L, k*)

```
1  x = L.head
2  while x ≠ NIL and x.key ≠ k
3      x = x.next
4  return x
```

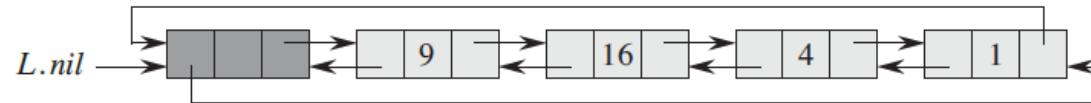
LIST-SEARCH'(*L, k*)

```
1  x = L.nil.next
2  while x ≠ L.nil and x.key ≠ k
3      x = x.next
4  return x
```

- ✓ Remains the same as before
- ✓ The references to NIL and *L.head* changed

❖ Linked-List

- ***LIST – INSERT***



LIST-INSERT(*L*, *x*)

- 1 $x.\text{next} = L.\text{head}$
- 2 **if** $L.\text{head} \neq \text{NIL}$
- 3 $L.\text{head}.prev = x$
- 4 $L.\text{head} = x$
- 5 $x.prev = \text{NIL}$

LIST-INSERT'(*L*, *x*)

- 1 $x.\text{next} = L.\text{nil.next}$
- 2 $L.\text{nil.next.prev} = x$
- 3 $L.\text{nil.next} = x$
- 4 $x.prev = L.\text{nil}$



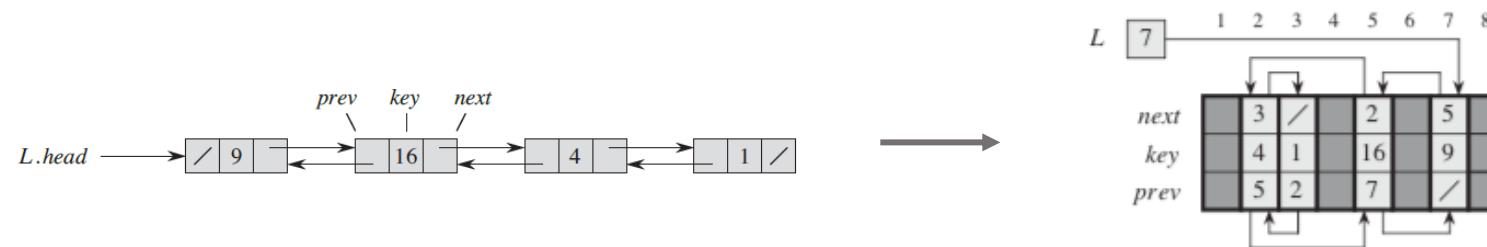
- ✓ ***sentinel*** rarely reduce the asymptotic time bounds of data structure operations
- ✓ They can reduce constant factors
- ✓ The gain from using ***sentinel*** within loops is for clarity of code rather than speed

❖ Implementing pointers & objects

- **Introduction**

- In this chapter, introduce two ways of implementing linked data structures without using pointer data type
 - ✓ There are languages that do not provide pointers and objects

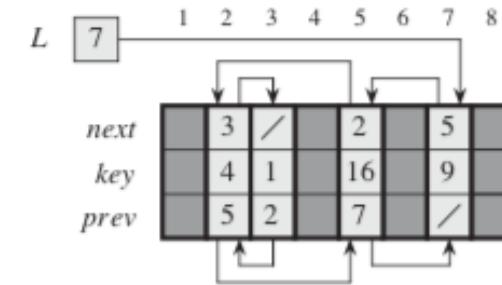
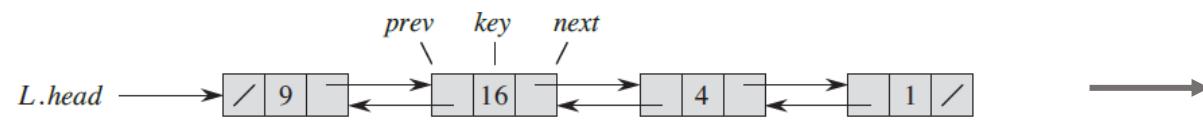
- **A multiple array representation of objects**



- Represent a collection of objects that have the same attributes by using an array for each attribute
- *key* array : holds the values of the keys currently in the dynamic set
- *next, prev* array : represent the pointers

❖ Implementing pointers & objects

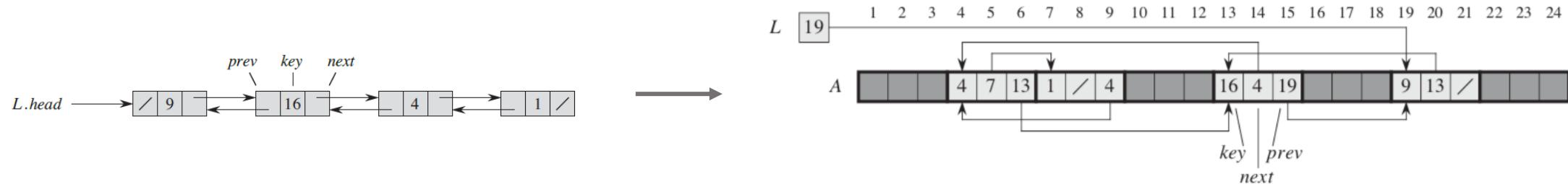
- A multiple array representation of objects



- The array entries *key*[*x*], *next*[*x*], *prev*[*x*] represent an object in the linked list
- *prev* of *head* or next of tail → *NIL*
 - ✓ Represent using integer such as -1
- The variable *L* represents the index of the head of the list

❖ Implementing pointers & objects

- A single array representation of objects



- An object occupies a contiguous subarray $A[j..k]$
- Each attribute of the object corresponds to an offset in the range from 0 to $k - j$
- In this case, the offset corresponding to $key, next, prev \rightarrow 0, 1, 2$
 - ✓ $i.prev \rightarrow A[i + 2] / i.next \rightarrow A[i + 1] / i.key \rightarrow A[i]$

- Allocating and freeing objects

- To insert a key into a dynamic set, we must allocate a pointer to a currently unused object in the linked-list representation
- It is useful to manage the storage of objects not currently used in the linked list
- *Garbage collector* is responsible for determining which objects are unused
 - now explore the problem of allocating and freeing objects using *garbage collector*

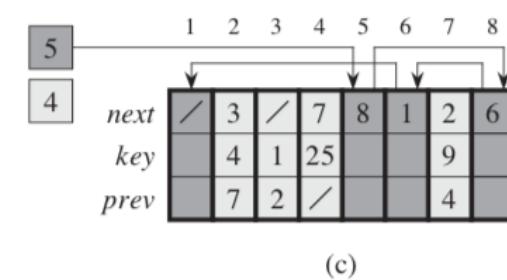
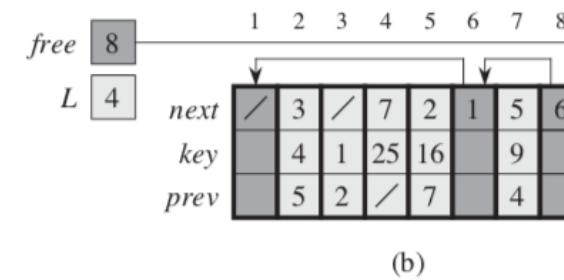
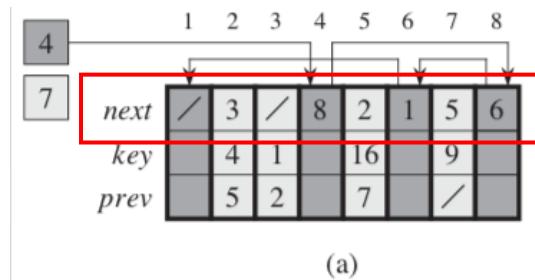
❖ Implementing pointers & objects

- Allocating and freeing objects

- Suppose that the arrays in the multiple-array representation have length m

- ✓ The dynamic set contains $n \leq m$ elements
 - ✓ n objects represent elements currently in dynamic set
 - ✓ $m - n$ objects are free → new elements will be inserted

- Keep the free objects in a singly linked list, which we call the free list

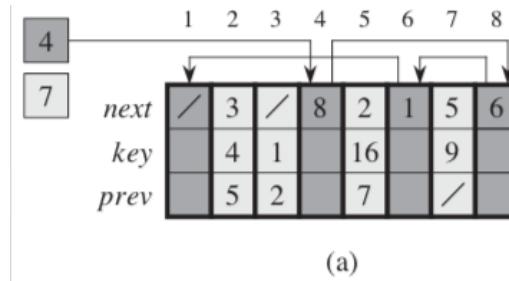


- ✓ Use only next pointer array
 - ✓ The head of the free list is held in the global variable free
 - ✓ The free list acts like a stack

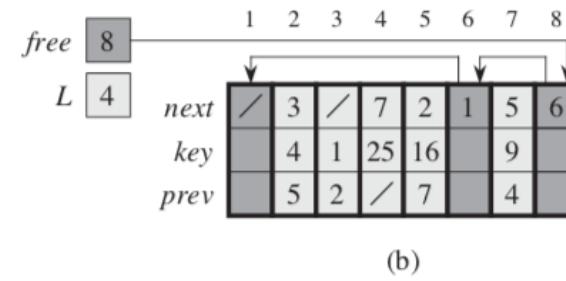
❖ Implementing pointers & objects

- Allocating and freeing objects

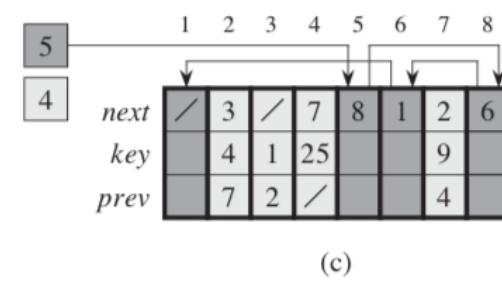
➤ *ALLOCATE – OBJECT()* & *FREE – OBJECT(x)*



(a)



(b)



(c)

ALLOCATE-OBJECT()

```
1 if free == NIL
2   error "out of space"
3 else x = free
4   free = x.next
5   return x
```

➤ *line 1~2:* the list is full

➤ *line 4 :* Return the pointer to this element

FREE-OBJECT(*x*)

```
1 x.next = free
2 free = x
```

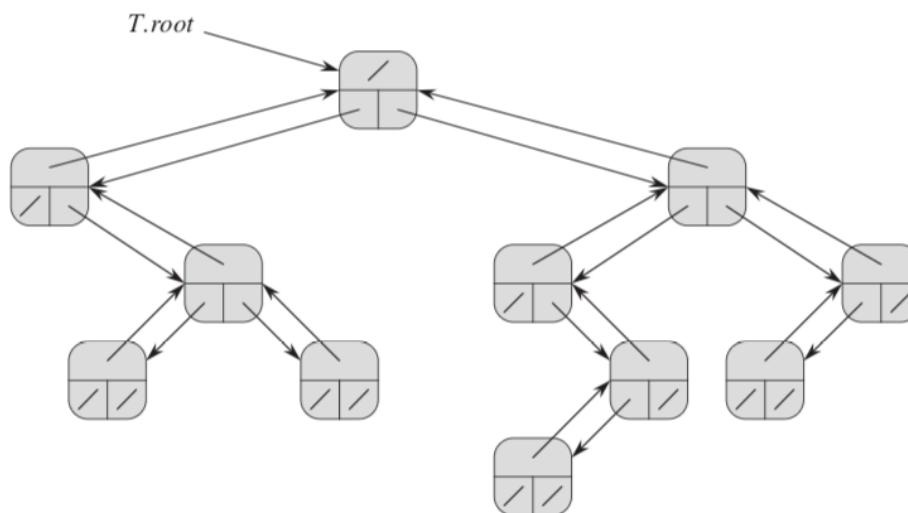
➤ *line 1~2:* the free list acts like a stack → LIFO

❖ Representing Rooted Trees

- Introduction

- In this section, we look specifically at the problem of representing rooted trees by linked data structure
- Represent each node of a tree by an object
 - ✓ Each node contains a key attribute
 - ✓ Pointer attribute are vary according to the type of tree

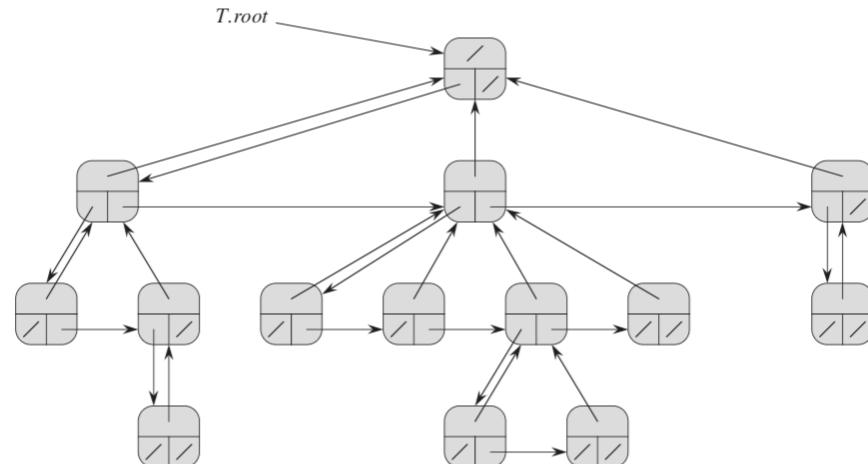
- Binary tree



- $x.p$: pointer to parent
- $x.left$: pointer to left child
- $x.right$: pointer to right child
- $x.p = NIL$: x is the root node
- $x.left = NIL$ or $x.right = NIL$: no left or right child node
- The root of the entire tree T is pointed to by the attribute $T.root$

❖ Representing Rooted Trees

- Rooted trees with unbounded branching



- Extend the method of a binary tree
 - ✓ The number of children of each node is at most some constant k
 - ✓ Replace the left and right attributes by $child_1$, $child_2$, ..., $child_k$
 - ✓ It may waste memory space

- There is a clever method to represent trees with only two pointers
 1. $x.left-child$ points to the leftmost child of node x , and
 2. $x.right-sibling$ points to the sibling of x immediately to its right.
- if $x.left-child = NIL$: node x has no children
- If $x.right-sibling = NIL$: node x is the rightmost child of its parents

Thank You!