David Sun **CMPS** 102 Homework 3

## Problem 1:

1a) Show by induction that  $(H_k)^2 = 2^k I_k$ , where  $I_k$  is the identity matrix of dimension  $2^k$ .

**Base Case:** k = 0. Then  $H_0^2 = [1][1] = [1] = 2^0 \cdot I_0$ .

**Induction Step:** Let  $n \ge 0$  and  $0 \le n < k$ . Suppose that  $(H_n)^2 = 2^n I_n$ , where  $I_n$  is the identity

matrix of dimension 
$$2^n$$
.  
Then  $(H_k)^2 = \begin{bmatrix} H_{k-1} & H_{k-1} \\ H_{k-1} & -H_{k-1} \end{bmatrix} \begin{bmatrix} H_{k-1} & H_{k-1} \\ H_{k-1} & -H_{k-1} \end{bmatrix} = \begin{bmatrix} 2 \cdot H_{k-1}^2 & H_{k-1}^2 - H_{k-1}^2 \\ H_{k-1}^2 - H_{k-1}^2 & 2 \cdot H_{k-1}^2 \end{bmatrix}$ 

Let  $I_{k-1}$  denote the identity matrix of dimension  $2^{k-1}$  and  $0_{k-1}$  denote the 0 matrix of dimension  $2^{k-1}$ . By the induction hypothesis,  $2(H_{k-1})^2$  can be simplified as  $2 \cdot 2^{k-1} I_{k-1}$ .  $H_{k-1}^2 - H_{k-1}^2$  can be simplified down to  $0_{k-1}$  since the difference of any matrix with itself is the 0 matrix. Thus  $(H_k)^2$  can be simplified as

$$\begin{bmatrix}2\cdot 2^{k-1}I_{k-1} & 0_{k-1}\\ 0_{k-1} & 2\cdot 2^{k-1}I_{k-1}\end{bmatrix} = \begin{bmatrix}2^kI_{k-1} & 0_{k-1}\\ 0_{k-1} & 2^kI_{k-1}\end{bmatrix} = 2^k\begin{bmatrix}I_{k-1} & 0_{k-1}\\ 0_{k-1} & I_{k-1}\end{bmatrix}$$
 Notice that two identity matrices of dimensions  $k-1\times k-1$  lie within the main diagonal, and

long the antidiagonal are two 0 matrices of dimensions  $k-1\times k-1$ . This means that the matrix itself the matrix itself is the identity matrix with dimensions  $2^k \times 2^k$ .

Thus, 
$$2^k \begin{bmatrix} I_{k-1} & 0_{k-1} \\ 0_{k-1} & I_{k-1} \end{bmatrix} = 2^k I_k$$
 as required.

**1b)** Note that Hadamard matrices are symmetric, i.e.  $H_k = H_k^{\top}$ . Thus by the above,  $H_k H_k^{\top} = 2^k I_k$  as well. Use this fact for deriving a formula for the dot product between the *i*-th and j-th row of  $H_k$ , for  $1 \le i, j \le 2^k$ .

The dot product between the i-th and j-th is 0 whenever  $i \neq j$  and the sum of the squares of all the matrix entries whenever i = j. In a Hadamard Matrix, the sum of the squares of all the entries for a  $2^k \times 2^k$  would be  $2^k$  sums of 1s. In other words, the dot product between any two rows i and j is defined by the following summation:

Dot product between the *i*th and *j*th row of 
$$H = \begin{cases} i \neq j & 0 \\ i = j & \sum_{j=1}^{2^k} H_{ij}^2 = \sum_{j=1}^{2^k} 1 = 2^k \end{cases}$$

**Problem 2:** Consider the Coin Changing problem with the European coin set:

$$\{1, 2, 5, 10, 20, 50, 100, 200\}.$$

Prove that the Cashier's Algorithm is optimal given the above set of coins. Use the same proof method that was used for the American coin set in class.

k	$C_k$	Optimal solutions must satisfy	Max value $(C_1 \dots C_{k-1})$
1	1	$C_1 \le 1$	-
2	2	$C_1 + C_2 \le 2$	$1 (1 \cdot C_1)$
3	5	$C_3 \le 1$	$4(2\cdot C_2)$
4	10	$C_4 \le 1$	$9(C_3 + 2 \cdot C_2)$
5	20	$C_5 + C_4 \le 2$	$19 (C_4 + C_3 + 2 \cdot C_2)$
6	50	$C_6 \le 1$	$49 (2 \cdot C_5 + C_3 + 2 \cdot C_2)$
7	100	$C_7 \le 1$	$99 (C_6 + 2 \cdot C_5 + C_4 + C_3 + 2 \cdot C_2)$
8	200	Unbounded	$199 (C_7 + C_6 + 2 \cdot C_5 + C_4 + C_3 + 2 \cdot C_2)$

**Problem 3:** Given a sorted array of distinct integers A[1,...,n], you want to find out whether there is an index i for which A[i] = i. Give a divide-and-conquer algorithm that runs in time  $O(\log n)$ .

Algorithm: Our algorithm will be called **findIndex**(A, low, high). It will be initially called in the following manner **findIndex**(A, 1, N) where A is the array, and N is length[A]. The first step of the algorithm is to check if low > high. If such is the case, return -1 since there exists no i for which A[i] = i. Otherwise, let  $mid = \lfloor \frac{low + high}{2} \rfloor$  and check if A[mid] = mid. If the condition holds, return mid. Otherwise, if A[mid] > mid check the left sub-array for i by calling **findIndex**(A, low, mid - 1). If A[mid] < mid check the right sub-array for i by calling **findIndex**(A, mid + 1, high).

**Proof of correctness:** If the low bound is greater than the higher bound, then there is no index in either the left sub-array or right sub-array in which A[i] = i, therefore it is appropriate to return -1 to indicate no possible index exists. However if the middle element is equal to the index, then we have found a satisfactory index and we return it. However, if the middle element is greater than the middle index, then there can exist no such index within the right sub-array since every number in the right sub-array must be at least A[mid] - mid greater than its index. Therefore a potential index must lie within the left sub-array, so the algorithm recurs on the left. If the middle element is less than the middle index, then there can exist no such i in the left sub-array since is at least mid - A[mid] less than the index. Therefore an appropriate index must lie within the right sub-array, so the algorithm recurs on the right.

**Proof of runtime:** By recurring on the left sub-array or the right sub-array, half the array is discarded. The algorithm also has a constant number of array element comparisons at each level, and this is done in constant time. Thus the recurrence can be written as T(N) = 2T(N/2) + O(1). Using the master theorem, we can show that  $T(N) = O(\log N)$ .

**Problem 4:** Suppose that you want to multiply the two polynomials x + 1 and  $x^2 + 1$  using the FFT.

- 1. Choose an appropriate power of two (the FFT dimension), find the FFT of the two sequences, multiply the resulting sequence componentwise, and then compute the inverse FFT to get the coefficients of the product polynomial. Do the transforms by using matrix vector products as in problem 5 of the previous homework.
- 2. Explicitly compute the product of your polynomial and check your result.

**Problem 5:** Given an array of positive integers A[1, ..., n], and an integer M > 0, you want to partition the array into segments  $A[1, ..., i_1]$ ,  $A[i_1 + 1, ..., i_2]$ , ...,  $A[i_{k-1} + 1, ..., i_k]$ , so that the sum of integers in every segment does not exceed M, while minimizing the number of segments k. You can assume that  $M \ge A[i]$  for all i (which guarantees that such a partition exists). Design an O(n) greedy algorithm for solving this problem and prove that it is optimal (i.e. that the obtained partition has the smallest possible number of segments).

**Algorithm:** This algorithm will return a set of containing pairs of indexes.

```
partition(A):
1) sum = 0
2) begin = 1
3) partitions = \{\}
4) for i = 1 to length[A]
         if sum + A[i] \leq M
5)
              sum \leftarrow sum + A[i]
6)
7)
         else
              partitions \leftarrow partitions \cup \{(begin, i-1)\}
8)
9)
              begin \leftarrow i
              sum \leftarrow 0
10)
11) end for
13) partitions \leftarrow partitions \cup \{(begin, length[A])\}
14) return partitions
```

**Explanation:** Make one scan through the array. If the current array element added to the previous sum does not exceed M, add it to the sum and keep scanning. Otherwise, append the pair of the begin index and one less than the current index to the set of partitions, reset the sum and begin counter to 0 and keep scanning. At the end, return the set of partitions.

**Proof solution is optimal:** Assume towards a contradiction that an optimal solution partitions the array into fewer segments than the greedy algorithm. Since the greedy algorithm matches the highest possible sum (equal to or below M) before appending a pair of indexes to the set of partitions, one of the segments in the optimal solution must have the sum of its elements greater than M, which by the problem is not allowed, thus our greedy partitions the array into the fewest segments not exceeding M.