

European Network of Transmission System Operators for Electricity

# CENTRAL INFORMATION TRANSPARENCY PLATFORM

BUSINESS REQUIREMENTS
SPECIFICATION

2014-01-28

FINAL DOCUMENT VERSION 1 / RELEASE 3

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#### 109 REVISION HISTORY

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Version	Release	Date	Paragraph	Comments
1	3	2014-01-28		Final document for inclusion in Manual of Procedures

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114 REFERENCE DOCUMENTS

- 115 [1] Commission Regulation (EU) No 543/2013 of 14 June 2013 on transparency and
- 116 provision of information in electricity markets
- 117 [3] Detailed Data Descriptions
- 118 [4] Implementation Guides
- 119 [5] ENTSO-E Acknowledgement Document (EAD) Implementation Guide version 5.1
- 120 [6] EMR Glossary: link
- 121 [7] ETSO Problem Statement Document Implementation Guide version 1.0
- 122 [8] The Harmonised Electricity Market Role Model
- 123 [9] Manual of Procedures
- 124 [10] ERRP Implementation Guide v4r1: link
- 125 [11] ECAN Implementation Guide v5r0: link

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- Note concerning wording used in this document:
- The force of the following words is modified by the requirement level of the document in which they are used.
- 129 MUST: This word, or the terms "REQUIRED" or "SHALL", means that the definition is an absolute requirement of the specification.
- 131 MUST NOT: This phrase, or the phrase "SHALL NOT", means that the definition is an absolute prohibition of the specification.
- SHOULD: This word, or the adjective "RECOMMENDED", means that there may exist valid reasons in particular circumstances to ignore a particular item, but the full implications must be understood and carefully weighed before choosing a different course.
- SHOULD NOT: This phrase, or the phrase "NOT RECOMMENDED", means that there may exist valid reasons in particular circumstances when the particular behaviour is acceptable or even useful, but the full implications should be understood and the case carefully weighed before implementing any behaviour described with this label.
  - MAY: This word, or the adjective «OPTIONAL», means that an item is truly optional. One vendor may choose to include the item because a particular marketplace requires it or because the vendor feels that it enhances the product while another vendor may omit the same item. An implementation which does not include a particular option MUST be prepared to interoperate with another implementation which does include the option, though perhaps with reduced functionality. In the same vein an implementation which does include a particular option MUST be prepared to interoperate with another implementation which does not include the option (except, of course, for the feature the option provides.)
- Diagrams are for illustrative purposes only and do not constitute fully detailed definition of data structures.

### 1 Introduction

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- 151 The ENTSO-E Transparency Platform entsoe.net was developed as a tool for compliance
- with the Congestion Management Guidelines (CMG) and the Guidelines of Good Practice on
- 153 Information Management and Transparency in Electricity Markets (GGP-IMT).
- 154 The European Commission has issued a new regulation on submission and publication of
- data in electricity markets. The requirements arising from the regulation implies a significant
- 156 extension of the scope of the existing entsoe.net transparency platform. ENTSO-E is
- responsible for ensuring that the central platform complies with the regulation and proposes
- to develop a new platform, the Central Information Transparency Platform, hereafter referred
- to as "the central platform", which will support the full scope of the regulation.
- 160 The project objectives are:
- To develop and maintain the new Central Information Transparency Platform in accordance and compliance with the Guidelines and Regulations,
- To establish standardised methods of communication between the central platform and the data providers and the users,
- Be responsive to user and customer needs,
- To ensure a high-quality publication process of the data available to the users of the central platform,
- To facilitate publication of the mandated information,
- Enable "transparency" data to be understandable based on a consolidated picture of the ENTSO-E data,
- Follow the ENTSO-E policies, standards and guidelines,
- To provide, if possible, a migration path from the existing entsoe.net Transparency Platform.
- 174 It is the responsibility of The Working Group Market Information and Transparency (WG MIT)
- 175 and the Transparency Platform Coordinators group (TPC) of ENTSO-E, along with
- 176 stakeholders, to define the Business Requirements for the publication of the relevant
- information.

# 178 2 OBJECTIVE

- 179 It is the objective of the Business Requirements Specification to define:
- Data to be published by the central platform
- The requirements from the Data Provider point of view (submission)
- The requirements from the platform point of view (collection, assembling and publication)



• The high-level functionalities offered by the platform to the Information Receiver

# 184 3 TERMS, ACRONYMS AND ABBREVIATIONS

# 185 3.1 ACRONYMS AND ABBREVIATIONS

BTU	Balancing Time Unit	
CCrd	Capacity Coordinator	
ECAN	ENTSO-E Capacity Allocation and Nomination system	
EDI	Electronic Data Interchange	
ERRP	ENTSO-E Reserve Resource Process	
FCR	Frequency Containment Reserves	
FRR	Frequency Restoration Reserves	
MTU	Market Time Unit	
PX	Power Exchange	
TCA	Transmission Capacity Allocator	
TSO	Transmission System Operator	
RR	Replacement Reserves	
UTC	Universal Time Coordinated	

# 186 3.2 TERMS

Term(s)	Matching with the Detailed Data Descriptio ns [3]	Matching with EDI	Definition for the BRS
Acquiring Area / Connecting Area	yes	Yes (see [10])	In cross-border balancing, the Acquiring Area is the area receiving bids for activation coming from Connecting Areas.

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			Acquiring and Connecting Areas are not necessarily neighbouring areas.
Area	yes	Yes: matches an "Area" or a "Domain"	An Area is a space allocated for a specific purpose
Balancing Time Unit	Yes	No	See Regulation [1]
Bidding Zone	Yes	Yes	See Regulation [1]
Bidding Zone Aggregation	Not used	No	A bidding Zone aggregation consists of two or more bidding Zones that share the same neighbouring bidding Zone.
Bidding Period	Not used	Yes (see [11])	The date and time when an auction opens for bidding until the date and time when bidding is stopped.
			For implicit auctions, the time period when market participants can send their orders books.
Capacity Allocation Period	Not used	Not used	This is the period of time during an auction when capacity allocation is calculated
Classification Category	Not used	Yes (see [11])	The classification category provides the basic category of the auction and describes what hours of the day are being auctioned (base, peak, off-peak, hourly)
Classification Sequence	Not used	Yes (see [11])	This defines the sequence within a given auction category such as Base 1 or Base 2. This in fact identifies the auction round being carried out for a category.
Conducting Party	Not used	No	A conducting party is a party that

			conducts an Explicit Auction. In the case of split explicit auctions, the capacity is split between two conducting parties, each one carrying out an explicit auction with the split capacity (usually 50/50).
Contract Type	Not used	Yes (see [11])	The contract type defines the conditions under which the capacity was allocated and handled (daily, weekly, monthly, etc. auctions). This also applies to capacity reservations for balancing and activation of reserves.
Day-ahead cut-off time	not used	No	This is the time after the daily matching process when no correction is possible on nominations.
Frequency Containment Reserve	Yes	No	See [3]
Data Provider	Not used	Yes	Refer to Harmonised Role Model
Frequency Restoration Reserve	Yes	No	See [3]
Gate closure time of the day-ahead market	Yes	No	This is the time when order books for energy trade are closed for day-ahead market by the power exchange.
Generation Unit	Yes	Yes: matches a Resource Object which itself is a special type of Asset	See Regulation [1]
In Area / Out Area	yes	Yes	- In Area: the Area where the energy is to be put

			- Out Area: the Area where the energy is coming from
Information Receiver	Not used	Yes	Refer to Harmonised Role Model
Interconnector	Not used	No	See Regulation 714/2009.
Market Balance Area	Term not used. The term "coordinate d balancing area" is used in some instances.	Yes	
Market Information Aggregator	Not used	Yes	Refer to Harmonised Role Model
Market Time Unit	Yes	No	See Regulation [1]
Production Type	Yes	No	Manual of Procedures [9] will define Production Type and establish list of permitted values
Production Unit	Yes	Yes: matches a Resource Object which itself is a special type of Asset	See Regulation [1]
Region	Not used	Yes	A Region is an aggregation of Areas in the context of implicit auction and market coupling/splitting. CWE (Central West Europe), CEE (Central East Europe) are examples of regions.
Replacement Reserve	Yes	No	See [3]
Resource Object	Not used	Yes	A resource that can produce, consume or transmit energy. This is used to describe

			Generation, Production and Consumption Units and Transmission Assets.
Technical Profile	Yes: this is "Profile"	Yes: matches "Domain": a Bidding Zone aggregation used to define a Technical Profile is equivalent to a Domain	See Definitions [3] ("Profile")
Total Load	Yes	No	See Definitions [3]
Transmission Asset	yes	No (EDI only refers to Line and Tieline)	- Transmission assets are assets used for transmission. They can be DC Link, AC Link or Transformer

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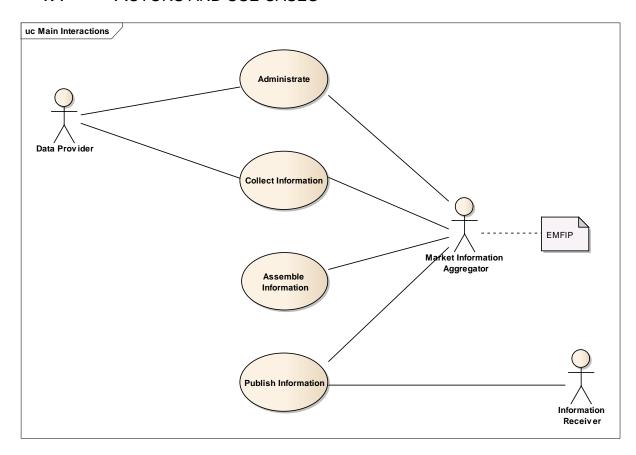
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## 4 BUSINESS PROCESS VIEWS

#### 4.1 ACTORS AND USE CASES



There are three actors. The following descriptions intend to describe the context in which they are acting and should not be considered as definitions of the actors. The actors and their roles are formally defined in [8].

- Data Provider can either be a TSO or a third party acting as data provider. The Data Provider is not necessarily the primary owner of information but it is the entity that submits information to the Market Information Aggregator
- Market Information Aggregator: Embodied by the central platform in this context, this
  actor is responsible for the assembling of the collected information (integration,
  processing and storage) and the publishing (formatting and presentation) to Information
  Receiver
- Information Receiver: Represents an individual or organisation that receives information from the Market Information Aggregator. In practice, it corresponds to a user of the central platform that views or downloads information

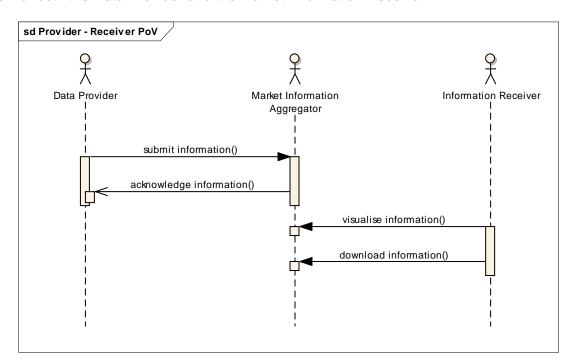
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The current document covers the three main types of interaction: Collection, assembling and publication.

Beside these three interactions lies the administration of the central platform, which can be done by someone on the Data Provider side (self-service mode) or by someone on the Market Information Aggregator side (centralised mode).

#### 4.2 EXTERNAL POINT OF VIEW SEQUENCE DIAGRAM

The diagram below presents the data submission and publication sequence from the point of view of both the Data Provider and the Market Information Receiver.



- Data Providers submits information to the platform
- Data Providers receive acknowledgement from the platform in accordance with [5]. The acknowledgement distinguishes between technical problems (syntax for example) and application problems (bidding zone not recognised, for example).
- Information Receivers visualise information in a synchronous way on the web page of the platform
- Information Receivers download information from the platform, in a "pull" way. Whenever feasible, format of downloaded data shall coincide with format for upload. Details are provided in [4].

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# 5 GENERAL BUSINESS REQUIREMENTS

#### 224 5.1 SUBMISSION

#### 5.1.1 BUSINESS RULES

- 226 For some data items, the document time interval is not fixed. In such situations and when a
- 227 resolution has been defined, the submitted document time interval must be a multiple of the
- 228 resolution.

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- 229 All submission deadlines given in the document are general business rules applicable to
- 230 common cases. However, if for some reasons (for legal reasons or for business process
- 231 reasons), the general submission deadline has to be superseded, this possibility will be
- 232 offered by the platform to platform administrators.
- In nominal mode, all information shall be submitted in XML documents through the allowed protocols to the platform.
- In some cases, a man-machine interface is provided in order to permit the online capture of the information. Such interfaces may serve as a backup to automated data transmissions or as a complete substitute for them when machine-to-machine solutions are not feasible from the Data Provider's point of view.
- The data interchange process and XML documents shall comply with ENTSO-E standards
- All submissions must be done in accordance with [4]

#### 242 5.1.2 UPDATES

- 243 For all data items it shall be possible to submit updates. The latest received values shall
- replace any previously sent values. Exact details will be provided by [4].
- 245 Under normal circumstances, updated values are submitted through a new version of an
- 246 already submitted document. This implies that the new version of the document must cover
- the same time interval as the previous version. However for all data items it must also be
- 248 possible to update values for a larger time interval by submitting one single document. For
- example, if a Data Provider has undertaken twelve monthly submissions of a given data item
- during one year, then at the end of the year the Data Provider shall be able to submit one
- single document with updated values for the whole year.
- Data Providers shall be able to revoke/withdraw data that has been submitted by mistake.
- 253 This shall be possible before as well as after submission deadlines. Rewoked/Withdrawn
- data is not physically deleted on the platform rather it is no longer published. The exact
- mechanism will be defined in [4] when it is possible by machine-to-machine exchange. When
- this is impossible, Operational and Technical Support will perform the action.

#### 5.1.3 REQUIREMENTS

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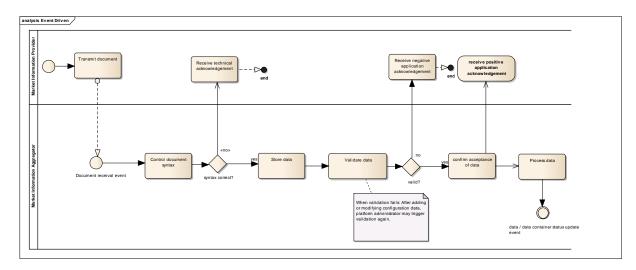
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- 258 Platform shall be able to manage a register of authorised Data Providers.
- Data may be submitted by humans as well as machines, one record at a time and in bulk mode.
- Platform shall support a variety of data submission frequencies (yearly, monthly, daily, hourly, etc).
- It shall be possible to submit incomplete data while respecting the syntax of the document.
  For example, if Data Provider is lacking values for some entries in a time series, it shall be
  possible to submit the available values. Subsequently Data Provider shall be able to submit
  an updated version of the document with the missing values.

#### 5.2 Integration

#### 5.2.1 BEHAVIOUR ON DOCUMENT RECEIPT EVENT

The following diagram describes the general behaviour of the platform upon receipt of a document.



When a Data Provider transmits a document to the platform, it triggers a "document receipt event" on the platform<sup>1</sup> which starts the integration process. This process is made up of the following steps:

#### 1) Control document syntax

-

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<sup>&</sup>lt;sup>1</sup> This is from a business point of view. The precise technical mechanism for chaining activities will be solved during the implementation phase.



276 The document is controlled against the corresponding XML Schema as specified in [4]. 277 Business values (code existence, range of values, etc.) are not controlled at this step. 278 Once a document is considered as valid in terms of syntax, its content is stored in central 279 platform's database, using UTC convention for time. If not, the document is rejected 280 entirely. 281 If a problem is detected at this first step of control, the Data Provider is notified with a 282 "technical acknowledgement". 283 2) Validate data content 284 Once the content of the document is stored in central platform's database, the platform performs checks on the values of data, like code existence, respect of the range of 285 values, completeness and dependencies between values. Once data is considered as 286 287 valid in terms of its content, it is ready for further processing. 288 If a problem is detected at this second step of control, the Data Provider is notified with 289 an "application acknowledgement". If the submitted document passes also this second 290 step an "application acknowledgement" is issued, accepting the submitted document in 291 its entirety. 292 Validations may also generate warnings. A warning is not reason to reject the document. 293 Warnings could for example be generated when reference data is missing. Data Provider 294 may consult warnings on the web pages requiring privileged access<sup>2</sup>. 5.2.2 REQUIREMENTS 295 Configuration and reference data shall be stored in a structured manner. Where feasible and 296 297 necessary, it shall be possible to effective date this data. 298 Successfully validated data content shall be eligible for further processing and publication. 299 If validation of a document fails, the administrator of the platform shall be able to repeat the validation. This would typically be the case when some reference data has not been 300 301 configured properly on the platform. Validation may be repeated as long as the version of the 302 document remains the highest (i.e. no update received). 303 All submitted data shall be stored as received, for audit purposes. 304 Data submitted by Data Providers shall always be stored with versions and timestamps (in 305 UTC). Configuration data is versioned and time stamped when applicable.

<sup>2</sup> Currently, EDI documents cannot be used to transmit warnings.

Daylight saving times and leap years shall be taken into account.

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- 307 The platform shall be able to handle the market data of the domains Load, Generation,
- 308 Transmission, Congestion Management, Balancing and Outages. Within each of the data
- domains, there will be distinct data types. For example, under Generation there will be data
- 310 items such as "Actual generation per unit" or "Day ahead generation forecasts for wind and
- 311 solar". For future evolution of the platform, it shall be possible to add more domains of market
- data, as well as introducing new data types under existing domains.
- 313 Submission of documents and issuing of acknowledgements will abide by the standards
- 314 prescribed by references [4] and [5], respectively. Additionally, reports about erroneous data
- 315 may be made available using email or SMS and published on the web (with access for
- 316 privileged users only).

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317 Data Provider only will have access to invalid data.

#### 5.3 PROCESSING

- 319 For some data items, the Platform is requested to perform aggregation of submitted data. In
- 320 such instances, submissions from all allowed Data Providers for the given data item will be
- 321 aggregated by platform.
- 322 As new data or updates to existing data are continuously submitted, platform shall be able to
- 323 update already processed data.

#### 324 5.4 MONITORING

- 325 Data items may have just a single Data Provider or several ones. This is controlled by pre-
- 326 configuration where the allowed Data Provider(s) for a given data item is/are listed.
- 327 For every data item, it shall be possible, but not necessary<sup>3</sup>, to configure a submission
- deadline. It will be possible to define exceptions to the deadline. Reason is that there may be
- 329 deviations due to local market practice.
- 330 It shall be possible to configure whether data is expected or not.
- Platform shall be capable of monitoring data submission, generate reminders and report on
- 332 submission status.
- 333 Platform shall notify Data Provider of missing data with a "Problem Statement Document" as
- prescribed in reference [7]. Additionally, reports about missing data may be made available
- using email or SMS and published on the web (with access for privileged users only). Data
- Provider shall be capable of disabling email and SMS notification.

.

<sup>&</sup>lt;sup>3</sup> For example, due to the nature of data items describing Outages, there will not be a submission deadline.



- For each data item that is being monitored, it shall be possible to switch on and off
- 338 monitoring for every declared Data Provider.

#### 339 5.4.1 MONITORING OF DATA ITEMS REQUIRING AGGREGATION

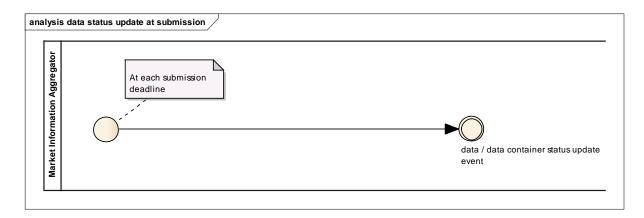
- 340 For data items that require aggregation, data will not be considered complete until all
- 341 declared Data Providers have made their contributions. The exception to this general rule is
- for Outages, where due to the nature of the data items the submitted data will be aggregated
- and subsequently published without any expectation whatsoever of receiving data from all
- 344 allowed Data Providers.

#### 345 5.4.2 DECLARATION OF EXPECTATION AND SUBMISSION DEADLINES

- As described above, any valid data is destined to be stored by the platform. Yet, by default,
- 347 the platform does not monitor anything regarding the completeness of data and their arrival
- on time. By default, no data is expected for publication by the platform.
- In order to make it possible to activate monitoring, the considered data has to be declared as
- 350 **expected** by the Data Provider or by the Administrator of the platform. This pre-configuration
- 351 consists of:
- 352 the declaration of expectation (e.g. declaration that the platform shall expect an
- 353 "forecasted monthly transfer capacity" between France and Belgium, starting from a certain
- 354 date)
- the **definition of the submission deadline** (e.g. declaration that this "forecasted monthly
- 356 transfer capacity" has to be submitted no later than the 15<sup>th</sup> of the preceding month).

#### 357 5.4.3 MONITORING FREQUENCY

- 358 Monitoring consists in changing the status of each expected data item for every applicable
- 359 period of time.
- 360 This data status update is performed at predetermined times, which can be:
- 361 at each submission deadline



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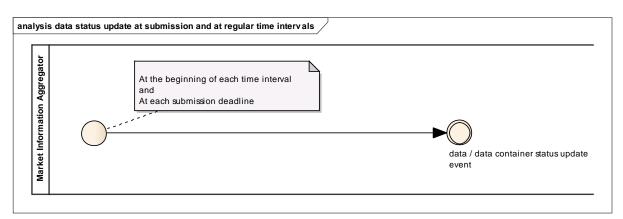
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- at a regular frequency in addition to the monitoring at each submission deadline (typically, at the beginning of each time interval)



5.5 DATA CONTAINER STATUS / DATA STATUS

For expected and monitored data, two types of events are likely to update the status of data:

- a data receipt event
- 370 a monitoring event
- 371 For expected and monitored data, three schemes are possible:
- 372 None / Ready for publication / Missing scheme
- 373 None / Waiting for Publication / Ready for publication / Missing scheme
- None / Waiting for Publication x 2 / Ready for publication / Missing scheme
- For expected but not monitored data (i.e. with no submission deadline), only one type of event is likely to update the status of data:

377 - a data receipt event

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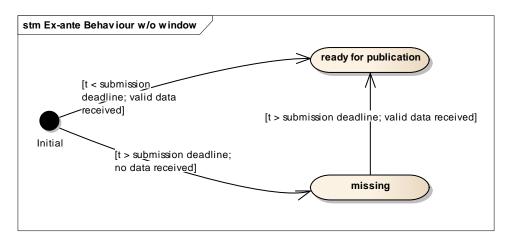
- For expected but not monitored data (i.e. with no submission deadline), only one scheme is possible:
- 380 None / Ready for publication scheme
- Data that is not expected will be rejected by platform.

#### 5.5.1 None / Ready for publication / Missing scheme

- Until submission deadline, data can have the following additional status: none or ready for publication. Indeed, for this data item, data is to be published as soon as possible
- After submission deadline, data can have the following additional status: missing or ready for publication. Indeed, for this data item, the behaviour is based on a submission deadline and data not received at that deadline are considered as missing by the platform.

<until deadline="" submission=""></until>	<after deadline="" submission=""></after>
None	Missing
ready for publication	ready for publication

The following state diagram describes the different possible states for an expected data and the transition between them.



Whenever the platform receives data or at submission deadline, the platform checks state transition conditions to update the status of expected data.



# 5.5.2 None / Waiting for Publication / Ready for publication /

#### MISSING SCHEME

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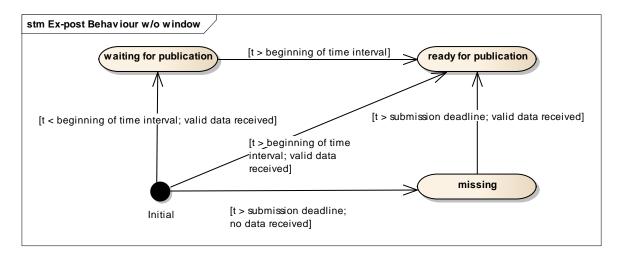
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- Until the beginning of the time interval, data can have the following additional status: none or waiting for publication. Indeed, this data item should refer to ex-post information and should not be published before the beginning of the operating period<sup>4</sup>.
- Between the beginning of the time interval and the submission deadline, data can have the following additional status: none or ready for publication. In this interval, data is to be published as soon as available on the platform.
- After submission deadline, data can have the following additional status: missing or ready for publication.

<until beginning="" interval="" of="" the="" time=""></until>	   	<after submission<br="">Deadline&gt;</after>
none	none	Missing
waiting for publication	ready for publication	ready for publication

The following state diagram describes the different possible states for an expected data and the transition between them.



<sup>&</sup>lt;sup>4</sup> Ex-post data referring to a time interval is considered to be ready for publication at the beginning of the time interval and not the end of the time interval

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- Whenever the platform receives data, at the beginning of the time interval or at submission deadline, the platform checks state transition conditions to update the status of expected
- 410 data.

#### 411 5.5.3 None / Ready for publication scheme

- Until valid data is received, data has the status none.
- Once valid data is received, data will have the status ready for publication. Indeed, for this data item, the behaviour is to publish as soon as received by the platform. There is no
- 415 submission deadline.

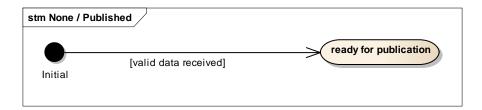
<until data="" is="" received="" valid=""></until>	<after data="" is="" received="" valid=""></after>
none	ready for publication

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The following state diagram describes the different possible states for an expected data and the transition between them.



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Whenever the platform receives data, the platform checks state transition conditions to update the status of expected data.

# 5.5.4 None / Waiting for Publication x 2 / Ready for publication /

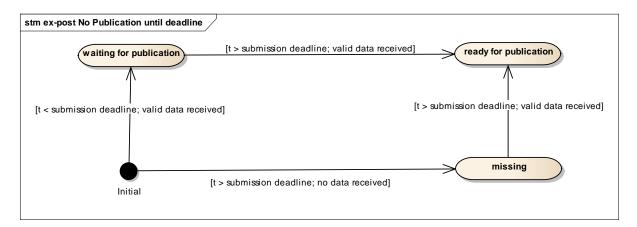
#### 423 MISSING SCHEME

- This scheme is intended for data items representing ex-post information that should not be published before the submission deadline.
- Until the submission deadline, data can have the following status: None or waiting for publication.
- 428 After submission deadline, data can have the following status: missing or ready for 429 publication.

<until beginning<="" th="" the=""><th><between th="" the<=""><th><after submission<="" th=""></after></th></between></th></until>	<between th="" the<=""><th><after submission<="" th=""></after></th></between>	<after submission<="" th=""></after>
of the time interval>	beginning of the time	Deadline>
	interval and	

		Submission Deadline>	
none		none	Missing
waiting publication	for	waiting for publication	ready for publication

The following state diagram describes the different possible states for an expected data and the transition between them.



Whenever the platform receives data, at the beginning of the time interval or at submission deadline, the platform checks state transition conditions to update the status of expected data.

#### 5.6 Publication

- If a data has been declared as expected with a submission deadline, it is published whenever it is ready for publication
- When no submission deadline is required<sup>5</sup>, data will get published if declared as expected.
- Without further user interaction, only the latest version of the data is displayed by the platform, except where explicitly indicated otherwise. Older versions will be available online, by expanding the view.
- Status of data shall be visible.
- The last update time of published data (i.e. the document creation date and time) is available to the end user on the platform. End users shall be able to choose whether

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<sup>&</sup>lt;sup>5</sup> This is the case for outages where no submission deadline is required

unavailability will not be published.



448 or not the last update time for the selected data is included or not when viewing and 449 downloading data. By default, it is not displayed. Exception is made for Outages. 450 For every data item, it shall be possible to configure the publication schedule. 451 It shall be possible to distinguish between missing data and data not being expected. 452 Before submission deadline has been reached for a data item, it shall be visible to data providers and platform administrator whether data has been submitted or not. 453 454 When submission deadline is reached for a data item, there shall be visibility of the status of 455 the data, i.e. whether it is overdue or not. When filtering and sorting criteria are available, all applicable criteria will be displayed for the 456 457 user to choose among. 458 It shall be possible to filter data using value range criteria applied on the attributes of the data 459 item. 460 Incomplete data shall not be published. For example: Unique code of production unit has been submitted with unavailability under data item [15.1.c], however reference data 461 462 describing the production unit as per chapter 9.9 is missing. As a consequence, the

## 5.7 ADMINISTRATION OF USERS AND ORGANISATIONS

The system shall support the creation, modification, suspension, reactivation and deletion of user accounts. Every user account shall be associated with an organisation.

It shall be possible to create, modify and delete user Roles, having different sets of privileges. The following roles and privileges are foreseen:

Role	Privileges
General public	Read-only access to published data
Analysts <sup>6</sup>	Read-only access to published data and reference data.
Data Providers	Read-only access to other organisations' published data and reference data.
	Submit data on behalf of own organisation.
	Update own organisation's reference data, to the extent access

<sup>&</sup>lt;sup>6</sup> Role intended for staff working at Data Providers and ENTSO-E secretariat. It could also be assigned to users from data owners, ACER and other organisations.

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	granted by Platform administrator.
Platform administrator at ENTSO-E secretariat	Read-only access to published data.  Update all organisations' reference data. Grant permissions to
	Data Providers to update select reference data.
	Create new user accounts. Reset passwords. Disable and re- enable user accounts.
Back-end support (vendor)	Update global settings.
	Execute ad-hoc queries.

- 470 General public and analysts are considered as the "end users" of the application.
- Platform shall be able to model organisations and Data Providers. It shall be possible to associate a Data Provider with one or several areas.
- Platform shall be able to model countries and regions. It shall be possible to group organisations into regions. It shall be possible to group countries into regions.
- The platform must be able to restrict access to web pages, functionality and data to users with specific privileges.

#### 5.8 Web access

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- It shall be possible to access select data, i.e. per Regulation (see reference [1]), without any registration or login authentication. This data shall be provided on publicly available web pages.
- Web site design must provide a high level of usability, with access to data through a
- 483 Structure of web site is expected to mirror the division of data into the domains Balancing,

minimum number of navigation steps. It shall have a consistent layout and metaphor use.

- 484 Transmission, Generation, Outages, Congestion Management and Load.
- The web site will include "Who is who" data. There shall be help pages providing basic instructions on how to use the site, a glossary and Frequently Asked Questions pages.
- The platform shall contain a message board capable of displaying administration messages posted by system administrators and automatically generated messages concerning system events.
- Data shall be made available by the means of web services, download and display on webpage. For data displayed on webpage, the formats table, chart and map shall be supported. Downloads will be available in xml and xls formats.

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By default, data is displayed in Brussels time. Display and download are possible in UTC as well as in any available time zone.

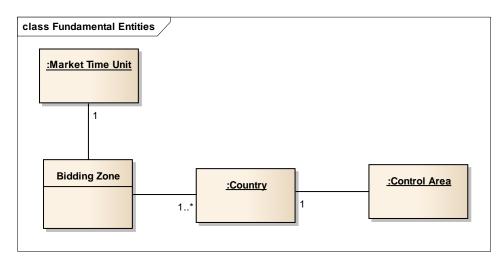


# 495 6 LOAD

## 496 6.1 REQUIREMENTS COMMON TO ALL DATA ITEMS

#### 6.1.1 FUNDAMENTAL ENTITIES

The following class diagram describes the fundamental entities to be taken into account within the scope of this document in order to pre-configure the platform.



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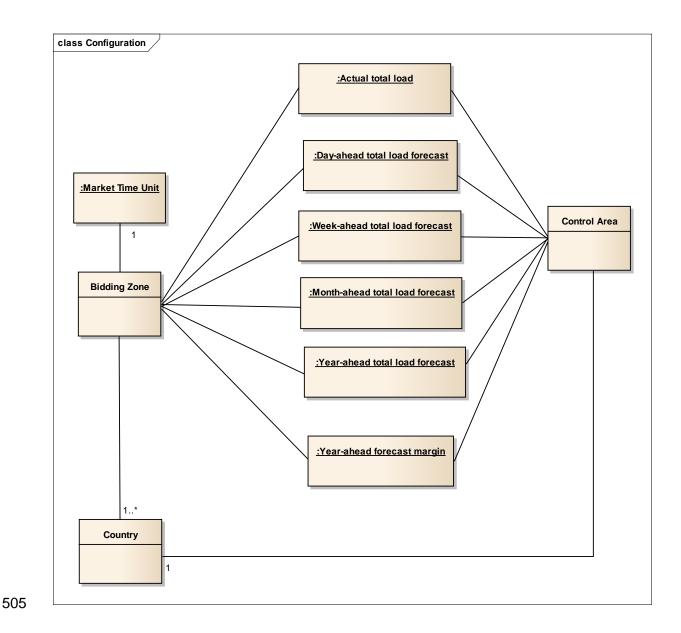
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- For a given Bidding Zone, there is one Market Time Unit
- Country will be deduced based on Control Area and Bidding Zone

It must be possible to determine to which bidding zone and control area data contained in submitted documents refer<sup>7</sup>

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<sup>&</sup>lt;sup>7</sup> If format of data does not permit explicit declaration of Control Area it may be deduced from Sender.



#### 6.1.2 PRE-CONFIGURATION

- The platform administrator at ENTSO-E shall pre-configure application with the following reference data:
- [C-LD-1] a list of Bidding Zones (common with Generation domain, refer to section 9.1)
- [C-LD-2] a list of Control Areas (common with Generation domain, refer to section 9.1)
- 511 [C-LD-3] for each Bidding Zone, the value of the Market Time Unit (common with
- 512 Generation domain, refer to section 9.1)
- [C-LD-6] a list of countries and for each country, the corresponding Control Area(s). This is the same configuration as for Generation, refer to section 9.1

- 515 [C-LD-7] for each Bidding Zone, Control Area and data item, the single authorized Data
- 516 Provider. When a Bidding Zone consists of several Control Areas, it shall be possible to
- 517 configure for each data item either a single Data Provider for the whole Bidding Zone or
- 518 separate Data Providers per Control Area. The latter case implies that the contribution of all
- these parties is necessary in order to aggregate and publish the single value for the whole
- 520 Bidding Zone
- 521 [C-LD-11]] for those bidding zones consisting of more than one Control Area, an indicator
- 522 whether submitted values shall be displayed per Control Area in addition to the aggregated
- 523 value for the whole Bidding Zone Note that in some instances there will be one single Data
- 524 Provider for several Bidding Zones.
- 525 The following reference data will be managed by Data Providers:
- 526 [C-LD-8] for each Bidding Zone and data item, an indicator whether data is expected or not.
- 527 By default it will be expected. This reference data is intended to be effective dated, meaning
- 528 that it will have a validity period, defined by a start date and optionally an end date. This
- 529 indicator is used for monitoring and publication purposes.

#### 530 6.1.3 DATA CONTAINER STATUS / DATA STATUS

- The ex-post data item "Actual Total Load" [6.1.a] follows the "none / waiting for publication /
- ready for publication / missing" scheme.
- All other data items follow the "None / Ready for publication / Missing" scheme.

#### 534 6.1.4 PUBLICATION

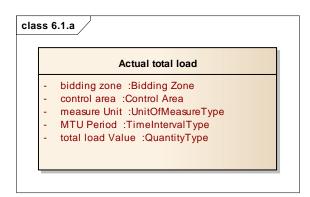
- 535 Data for a given bidding zone is being published as soon as it is complete, i.e. when all Data
- 536 Providers have submitted their contributions.
- For all data items, end user will have the choice between displaying data in a table or in a
- 538 chart.



# 6.2 ACTUAL TOTAL LOAD PER BIDDING ZONE [6.1.A]

#### 540 6.2.1 DATA DESCRIPTION

This item can be described by the following class diagram:



- 542
- For a given Bidding Zone and Control Area, actual total load is described with one total load
- value per Market Time Unit period, expressed in measure Unit (MW).
- 545 When data is submitted for a whole Bidding Zone consisting of several Control Areas, the
- Control Area attribute shall not be populated. See item [C-LD-7] in chapter 6.1.2 for details.
- 547 6.2.2 PRE-CONFIGURATION
- 548 [PC-6.1.a-1] For each Bidding Zone, there is a submission deadline: At the latest one hour
- 549 (H+1) after the end of the MTU period.
- 550 Example: if the Bidding Zone in question has a Market Time Unit of 30 minutes, and if the
- considered Period is November 17<sup>th</sup>, 2012, between 08:00 and 08:30 UTC, the document
- describing the actual total load during this Period must be submitted before November 17<sup>th</sup>,
- 553 2012, 09:30 UTC.
- 554 6.2.3 ASSUMPTIONS
- 555 There are no assumptions specific to this data item.
- 556 **6.2.4 INTEGRATION**
- 557 The Bidding Zone and Control Area shall be recognised by the platform
- 558 The sender of the document shall be consistent with the identified Bidding Zone and
- 559 Control Area

- 560 Data should not be submitted before the end of the MTU Period. This validation will
- generate a warning only. Configuration of platform can be changed to completely suppress
- this warning.
- 563 **6.2.5 MONITORING**
- For a given Bidding Zone, Control Area and MTU Period, compliance with submission
- deadline described in [PC-6.1.a-1] will be monitored.
- 566 6.2.6 PROCESSING
- 567 Submitted values for load and power used for energy storage (if provided) are aggregated
- 568 per Country, Bidding Zone and MTU Period. Aggregation here means adding up the
- 569 submitted values.
- Aggregation per country will be performed with the help of pre-configuration [C-LD-6].
- 571 6.2.7 PUBLICATION
- 572 6.2.7.1 FILTERING AND SORTING CRITERIA
- 573 Data shall be visually accessed by selecting the following:
- Country or Bidding Zone (selection is mandatory)
- Control Area (selection is optional and available only when Bidding Zone consists of several
- 576 Control Areas)
- 577 Day (Selection is mandatory)
- 578 6.2.7.2 DISPLAY
- 579 This data shall be displayed in the following section:
- 580 Load / Actual Load
- The following attributes of data shall be displayed:
- 582 Title
- 583 Country, Bidding Zone or Control Area name
- 584 Day
- 585 Measurement Unit
- For each Market Time Unit period within the selected Day, the total load



# 6.3 DAY-AHEAD TOTAL LOAD FORECAST PER BIDDING ZONE [6.1.B]

#### 6.3.1 DATA DESCRIPTION

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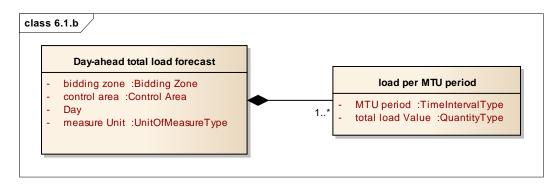
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This item can be described by the following class diagram:



For a given Bidding Zone and Control Area, the day-ahead total forecast is described as the forecast of the total load during one day, with one total load Value per Market Time Unit period, in measure Unit (MW).

When data is submitted for a whole Bidding Zone consisting of several Control Areas, the Control Area attribute shall not be populated. See item [C-LD-7] in chapter 6.1.2 for details.

#### 6.3.2 Pre-configuration

[PC-6.1.b-4] In every Bidding Zone there is a daily submission deadline for this data item. Submission must be done at the latest two hours (H-2) before the gate closure time of the day-ahead market if such a gate closure time exists. If there are several gate closure times<sup>8</sup>, the latest one shall be considered.

Example: if the gate closure time of the day-ahead market for the Bidding Zone in question is 14:00 UTC, the day-ahead total load forecast for November 17th, 2012 must be submitted before November 16th, 2012, 12:00 UTC.

If such a gate closure time does not exist, submission must be done at the latest on D-1 at 12:00 in local time zone of the Bidding Zone.

Example: if there is no gate closure time, the day-ahead total load forecast for November 17th, 2012 must be submitted before November 16th, 2012, 12:00 in Local Time Zone.

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<sup>&</sup>lt;sup>8</sup> The Bidding Zone could for example be concerned by an explicit auction across one border and an implication across another border.

- 610 6.3.3 ASSUMPTIONS
- There are no assumptions specific to this data item.
- 612 6.3.4 INTEGRATION
- The Bidding Zone and Control Area shall be recognised by the platform
- 614 The sender of the document shall be consistent with the identified Bidding Zone and
- 615 Control Area
- 616 6.3.5 MONITORING
- For a Bidding Zone, Control Area and day, compliance with submission deadline described in
- 618 [PC-6.1.b-4] will be monitored.
- 619 6.3.6 PROCESSING
- 620 Submitted load values are aggregated per Country, Bidding Zone and Market Time Unit
- 621 period. Aggregation here means adding up the submitted values.
- Aggregation per country will be performed with the help of pre-configuration [C-LD-6].
- 623 6.3.7 PUBLICATION
- 624 6.3.7.1 FILTERING AND SORTING CRITERIA
- Data shall be visually accessed by selecting the following:
- 626 Country or Bidding Zone (selection is mandatory)
- 627 Control Area (selection is optional and available only when Bidding Zone consists of several
- 628 Control Areas)
- 629 Day (Selection is mandatory)
- 630 6.3.7.2 DISPLAY
- This data shall be displayed in the following section:
- 632 Load / Day-ahead forecast
- The following attributes of data shall be displayed:
- 634 Title
- 635 Country, Bidding Zone or Control Area name

636 - Selected day

- 637 Measurement Unit
- 638 For each Market Time Unit period during the selected day, the forecast total load value



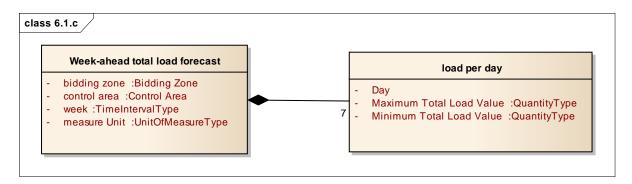
# 640 6.4 WEEK-AHEAD TOTAL LOAD FORECAST PER BIDDING 641 ZONE [6.1.C]

## 6.4.1 DATA DESCRIPTION

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This item can be described by the following class diagram:



For a given Bidding Zone and Control Area, the week-ahead total forecast is described as the forecast of the total load for one week, with one minimum total load value and one maximum total load value per day, in measure Unit (MW).

When data is submitted for a whole Bidding Zone consisting of several Control Areas, the Control Area attribute shall not be populated. See item [C-LD-7] in chapter 6.1.2 for details.

Week is starting on Monday at 00:00 and ending on Sunday at 24:00, local time<sup>9</sup>.

#### 651 6.4.2 PRE-CONFIGURATION

[PC-6.1.c-4] For each Bidding Zone there is a weekly submission deadline. Submission must be done at the latest on Friday the week before, two hours (H-2) before the gate closure time of the day-ahead market.

Example: if the gate closure time of the day-ahead market for the Bidding Zone in question is 14:00 UTC, the week-ahead total load forecast for the week spanning Monday November 19th, 2012 to Sunday November 25th, 2012, must be submitted before Friday November 16th, 2012, 12:00 UTC.

If such a gate closure time does not exist, submission must be done at the latest on Friday the week before at 14:00 in local time zone of the Bidding Zone.

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<sup>&</sup>lt;sup>9</sup> For some bidding zones where forecasts are made for Saturday – Friday, a first submission will provide data for Monday – Friday and an update will provide data for Saturday – Sunday as soon as it becomes available.



- 661 Example: if there is no gate closure time, the week-ahead total load forecast for the week
- spanning Monday November 19th, 2012 to Sunday November 25th, 2012, must be submitted
- before November 16th, 2012, 14:00 in Local Time Zone.
- For bidding zones where forecasts are made for Saturday Friday, submission deadline will
- be adjusted accordingly for monitoring purposes.
- 666 6.4.3 ASSUMPTIONS
- Data Provider may submit data with higher resolution, up to MTU period.
- 668 6.4.4 INTEGRATION
- The Bidding Zone and Control Area shall be recognised by the platform
- 670 The sender of the document shall be consistent with the identified Bidding Zone and
- 671 Control Area
- 672 Per day, maximum value must be greater or equal to minimum value
- 673 **6.4.5 MONITORING**
- For a Bidding Zone, Control Area and week, compliance with submission deadline described
- in [PC-6.1.c-4] will be monitored.
- 676 6.4.6 PROCESSING
- 677 If data has been submitted with higher resolution than day, platform shall establish the
- 678 minimum value per day. For example if data is submitted in hourly values a computation
- 679 must be made to compute the lowest hourly value for every given day. Similarly, the
- 680 maximum value per day shall be established. This processing is identical to the one
- performed for forecasted and offered transfer capacities, refer to chapter 7.9.6.
- 682 Once values per day have been established, submitted load values are aggregated per
- 683 Country, Bidding Zone and day. Aggregation here means adding up the submitted values
- (minimum values with minimum values and maximum values with maximum values).
- Aggregation per country will be performed with the help of pre-configuration [C-LD-6].
- 686 6.4.7 PUBLICATION
- 687 6.4.7.1 FILTERING AND SORTING CRITERIA
- Data shall be visually accessed by selecting the following:
- Country or Bidding Zone (selection is mandatory)

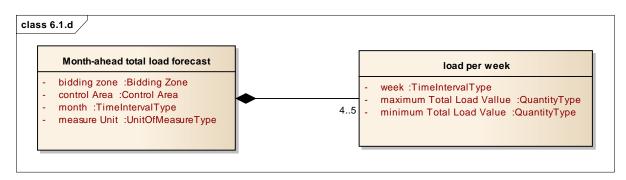
690 - Control Area (selection is optional and available only when Bidding Zone consists of several 691 Control Areas) 692 - Week (Selection is mandatory) 6.4.7.2 DISPLAY 693 694 This data shall be displayed in the following section: - Load / Week-ahead forecast 695 696 The following attributes of data shall be displayed: 697 - Title 698 - Country, Bidding Zone or Control Area name 699 - Selected week 700 - Measurement Unit 701 - For each day during the selected week, the forecast minimum total load value and 702 maximum total load value 703



## 704 6.5 MONTH-AHEAD TOTAL LOAD FORECAST PER 705 BIDDING ZONE [6.1.D]

## 706 6.5.1 DATA DESCRIPTION

707 This item can be described by the following class diagram:



- For a given Bidding Zone and Control Area, the month-ahead total forecast is described as the forecast of the total load for one month, with one minimum total load value and one maximum total load value per week, in measure Unit (MW).
- When data is submitted for a whole Bidding Zone consisting of several Control Areas, the Control Area attribute shall not be populated. See item [C-LD-7] in chapter 6.1.2 for details.

## 714 6.5.2 PRE-CONFIGURATION

- 715 [PC-6.1.d-4] For each Bidding Zone, submission must be done at the latest one week (W-1)
- 716 before the month described by the document.
- 717 Example: The month-ahead total load forecast for the month of November 2012 must be
- 718 submitted before October 25<sup>th</sup> 2012, 00:00 UTC.

### 719 6.5.3 ASSUMPTIONS

720 Data Provider may submit data with higher resolution, up to MTU period.

#### 721 6.5.4 INTEGRATION

- 722 The Bidding Zone and Control Area shall be recognised by the platform
- 723 The sender of the document shall be consistent with the identified Bidding Zone and
- 724 Control Area

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725 - Per week, maximum value must be greater or equal to minimum value

## 726 **6.5.5 MONITORING**

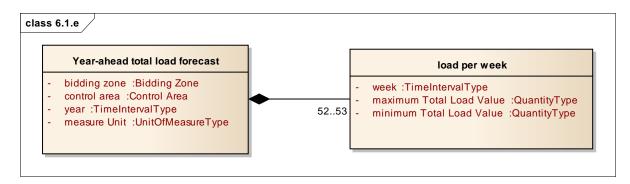
- For a Bidding Zone, Control Area and month, compliance with submission deadline
- 728 described in [PC-6.1.d-4] will be monitored.
- 729 **6.5.6 PROCESSING**
- 730 If data has been submitted with higher resolution than week, platform shall establish the
- 731 minimum value per week. Similarly, the maximum value per week shall be established.
- 732 Once values per week have been established, submitted load values are aggregated per
- 733 Country, Bidding Zone and week. Aggregation here means adding up the submitted values
- 734 (minimum values with minimum values and maximum values with maximum values).
- Aggregation per country will be performed with the help of pre-configuration [C-LD-6].
- 736 6.5.7 PUBLICATION
- 737 6.5.7.1 FILTERING AND SORTING CRITERIA
- 738 Data shall be visually accessed by selecting the following:
- 739 Country or Bidding Zone (selection is mandatory)
- Control Area (selection is optional and available only when Bidding Zone consists of several
- 741 Control Areas)
- 742 Month (Selection is mandatory)
- 743 6.5.7.2 DISPLAY
- 744 This data shall be displayed in the following section:
- 745 Load / Month-ahead forecast
- 746 The following attributes of data shall be displayed:
- 747 Title
- 748 Country, Bidding Zone or Control Area name
- 749 Selected month
- 750 Measurement Unit
- 751 For each week within the selected month, the forecast minimum total load value and
- 752 maximum total load value



# 754 6.6 YEAR-AHEAD TOTAL LOAD FORECAST PER BIDDING 755 ZONE [6.1.E]

## 756 6.6.1 DATA DESCRIPTION

757 This item can be described by the following class diagram:



- For a given Bidding Zone and Control Area, the forecast of the total load for one year is described by one minimum total load value and one maximum total load value per week, in measure Unit (MW).
- When data is submitted for a whole Bidding Zone consisting of several Control Areas, the Control Area attribute shall not be populated. See item [C-LD-7] in chapter 6.1.2 for details.

## 764 6.6.2 PRE-CONFIGURATION

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- [PC-6.1.e-4] Submission must be done at the latest the 15th calendar day of the month before the year in question.
- 767 Example: For year 2013, document must be submitted before December 16th 2012, 00:00 UTC.

#### 769 6.6.3 ASSUMPTIONS

770 Data Provider may submit data with higher resolution, up to MTU period.

## 771 6.6.4 INTEGRATION

- The Bidding Zone and Control Area shall be recognised by the platform
- The sender of the document shall be consistent with the identified Bidding Zone and Control Area
- 775 Per week, maximum value must be greater or equal to minimum value

## 776 **6.6.5 MONITORING**

- 777 For a Bidding Zone, Control Area and year, compliance with submission deadline described
- in [PC-6.1.e-4] will be monitored.
- 779 **6.6.6 PROCESSING**
- 780 If data has been submitted with higher resolution than week, platform shall establish the
- 781 minimum value per week. Similarly, the maximum value per week shall be established.
- 782 Once values per week have been established, submitted load values are aggregated per
- 783 Country, Bidding Zone and week. Aggregation here means adding up the submitted values
- 784 (minimum values with minimum values and maximum values with maximum values).
- Aggregation per country will be performed with the help of pre-configuration [C-LD-6].
- 786 6.6.7 PUBLICATION
- 787 6.6.7.1 FILTERING AND SORTING CRITERIA
- 788 Data shall be visually accessed by selecting the following:
- 789 Country or Bidding Zone (selection is mandatory)
- 790 Control Area (selection is optional and available only when Bidding Zone consists of several
- 791 Control Areas)
- 792 Year (Selection is mandatory)
- 793 6.6.7.2 DISPLAY
- This data shall be displayed in the following section:
- 795 Load / Year-ahead forecast
- 796 The following attributes of data shall be displayed:
- 797 Title
- 798 Country, Bidding Zone or Control Area name
- 799 Selected year
- 800 Measurement Unit
- 801 For each week within the selected year, the forecast minimum total load value and
- 802 maximum total load value



## 6.7 YEAR-AHEAD FORECAST MARGIN [8.1]

## 6.7.1 DATA DESCRIPTION

806 This item can be described by the following class diagram:



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- For a given Bidding Zone and Control Area, the year-ahead forecast margin is described as
- one forecast margin value for the whole year, expressed in measure Unit (MW). In this case,
- 810 Country shall not be populated.
- When data is submitted for a whole Bidding Zone consisting of several Control Areas, the
- 812 Control Area and Country attributes shall not be populated. See item [C-LD-7] in chapter
- 813 6.1.2 for details.
- When data is submitted for a whole country, the Bidding Zone and Control Area attributes
- shall not be populated.

## 816 6.7.2 PRE-CONFIGURATION

- 817 [PC-8.1-3] If there are yearly explicit auctions concerning the Bidding Zone, the latest end
- 818 date of the bidding periods for all yearly explicit auctions will be used as reference to
- 819 calculate the submission deadline. This end date will be called the end of the latest
- 820 performed yearly auction.
- Submission must be done at the latest one week (W-1) before end of the latest performed
- 822 yearly auction if yearly auctions exist: this is the submission deadline for this data item.
- 823 Example: if the end of the latest performed yearly auction for the Bidding Zone in question
- and for the year 2013 is November 15<sup>th</sup>, 2012, the year-ahead forecast margin for the year
- 825 2013, must be submitted before November 8<sup>th</sup>, 2012, 00:00 UTC.
- 826 If such a reference does not exist, submission must be done at the latest the 15<sup>th</sup> calendar
- 827 day of the month before the year in question.



- 828 Example: if there is no yearly auction for the Bidding Zone in question, the year-ahead
- forecast margin for year 2013 must be submitted before December 16<sup>th</sup> 2012, 00:00 UTC.
- 830 6.7.3 ASSUMPTIONS
- 831 If the Bidding Zone consists of several Control Areas, Data Providers will agree between
- 832 themselves a single value for the forecast margin regarding the whole Bidding Zone and
- 833 perform a single submission of that value. Additionally, they may submit separate forecast
- margins for their respective Control Areas.
- 835 If the Bidding Zone covers more than one Country or when there is more than one Control
- Area in a Country, Data Providers will agree a single value for the forecast margin regarding
- the Country and perform a single submission of that value.
- 838 6.7.4 INTEGRATION
- The Bidding Zone and Control Area shall be recognised by the platform
- 840 The sender of the document shall be consistent with the identified Bidding Zone and
- 841 Control Area
- 842 6.7.5 MONITORING
- For a Bidding Zone, Control Area and year, compliance with submission deadline described
- in [PC-8.1-3] will be monitored.
- 845 6.7.6 PROCESSING
- No further processing is performed on this data item.
- 847 6.7.7 PUBLICATION
- 848 6.7.7.1 FILTERING AND SORTING CRITERIA
- Data shall be visually accessed by selecting the following:
- Country or Bidding Zone (selection is mandatory)
- Control Area (selection is optional and available only when Bidding Zone consists of several
- 852 Control Areas)
- 853 Year (Selection is mandatory)
- 854 6.7.7.2 DISPLAY
- This data shall be displayed in the following section:

856	- Load / Year-anead forecast margin
857	The following attributes of data shall be displayed
858	- Title
859	- Country, Bidding Zone or Control Area name
860	- Selected year
861	- Measurement Unit
862	- For the year, the forecast margin value



## 7 TRANSMISSION

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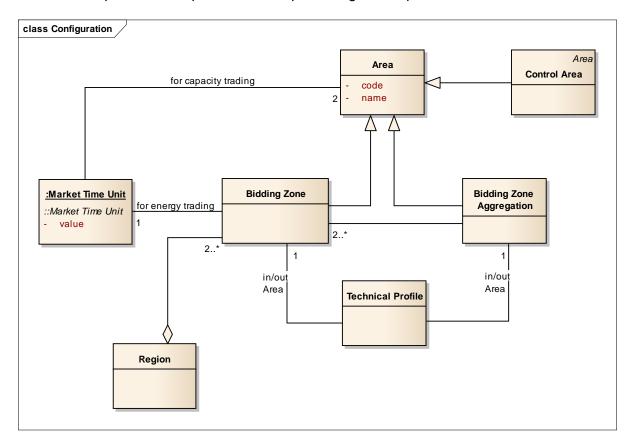
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## 7.1 REQUIREMENTS COMMON TO ALL DATA ITEMS

## 7.1.1 FUNDAMENTAL ENTITIES

The following class diagram describes the fundamental entities to be taken into account within the scope of this chapter in order to pre-configure the platform.



- Energy is traded within a Bidding Zone, where there is an associated Market Time Unit
- Capacity is traded between Areas, which can be a Control Area, a Bidding Zone or a Bidding Zone Aggregation. There is a Market Time Unit for capacity trading between two Areas<sup>10</sup>.
- A **Technical Profile** defines an oriented geographical boundary between one Bidding Zone and several neighbouring Bidding Zones (i.e. a Bidding Zone aggregation).
- A Region consists of two or more Bidding Zones.

<sup>10</sup> For a given pair of Areas, the MTU for capacity trading will be the same for all capacity products (yearly, monthly, daily, etc.)

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## 7.1.2 Transmission capacity allocations

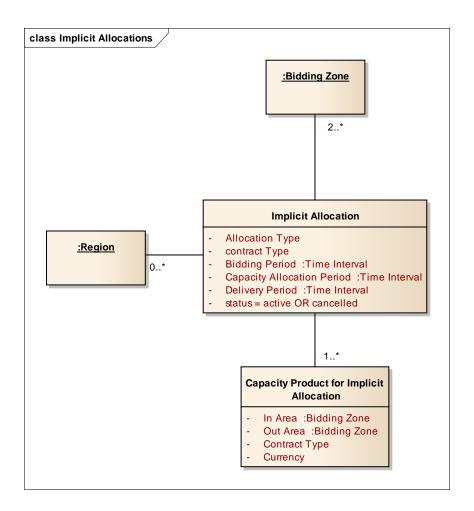
- Cross-border capacity can be allocated through Implicit or Explicit Allocation. An allocation is characterized by the type of products that are being sold, as determined by the Contract Type; Yearly, Monthly, Daily, etc. The Contract Type<sup>11</sup> determines the length of the Delivery Period associated with the auction, which is the time interval when the capacity will be used.

  Both Implicit and Explicit Allocations present a Bidding Period, which is the time period where market participants can submit bids and a Capacity Allocation Period, which is the time
- period where the attribution of capacities to the participants is realised. The Bidding Period is optional and for information purposes only. Submission deadlines for many data items
- depend on the capacity allocation period which is mandatory.

## 886 7.1.2.1 IMPLICIT ALLOCATIONS

There are two types of Implicit Allocations: Market Coupling and Market Splitting. This distinction is for information purposes only. An Implicit Allocation that covers two or more Bidding Zones may be associated with one or several Regions.

<sup>&</sup>lt;sup>11</sup> Sometimes referred to as "Time Horizon".



An implicit allocation offers daily and intraday capacity products only, each one being characterised by an In Area and an Out Area, and a Currency.

## 7.1.2.2 EXPLICIT ALLOCATIONS

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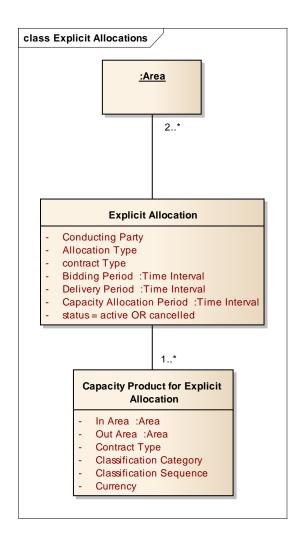
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An Explicit Allocation occurs between several (2 to n) Areas and is optionally associated with a Conducting Party<sup>12</sup>.

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<sup>&</sup>lt;sup>12</sup> This is to cater for split auctions



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An explicit allocation offers several capacity products, each one being characterised by an In Area, an Out Area, a Contract Type (for example Intraday, Daily, Weekly ...), a Classification Category (for example, Base, Peak, ...), a Currency and a Classification Sequence (by default equal to 1), to distinguish several products which can be offered with the same contract Type and during the same Delivery Period.

There are explicit allocations that formally speaking are not auctions and do not have a bidding period – this is typically the case when the contract type is intraday.

By default, the Allocation Type is not specified. However there is a particular case where Allocation Type is "shadow auction". This is a fall-back solution, replacing a daily implicit auction. Platform will not perform any monitoring of data submissions related to shadow auctions.

The second special case is when Allocation Type is "continuous". Prices or revenue will then not be expected under data item Use of the Transfer Capacity [12.1.a]. Further, monitoring

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will not be performed on the submission of requested and allocated capacity since no precise submission deadline can be determined.

#### 7.1.3 MATCHING

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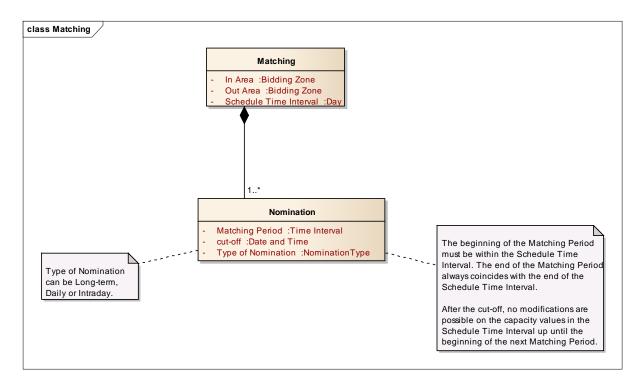
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The matching process between TSOs is part of the nomination process (or nomination round). Matching may occur on a long-term, daily and also intraday basis for every couple of In and Out Areas. Matching is performed after the cut-off time. The cut-off time is the deadline for submission of nominations by the market to the respective TSOs.

For a given couple of Bidding Zones and day (the Schedule Time Interval), the Matching Period represents the period of the Schedule for which matching process is completed. A Nominations process is considered finished for the Schedule Time Interval after the matching process is complete and until the beginning of the next nomination round with has its own specific Matching Period.

## 7.1.4 ENERGY SPOT MARKET



In every Bidding Zone or Country<sup>13</sup> there is an Energy Spot Market that has a daily gate closure.

## 7.1.5 DATA ITEMS

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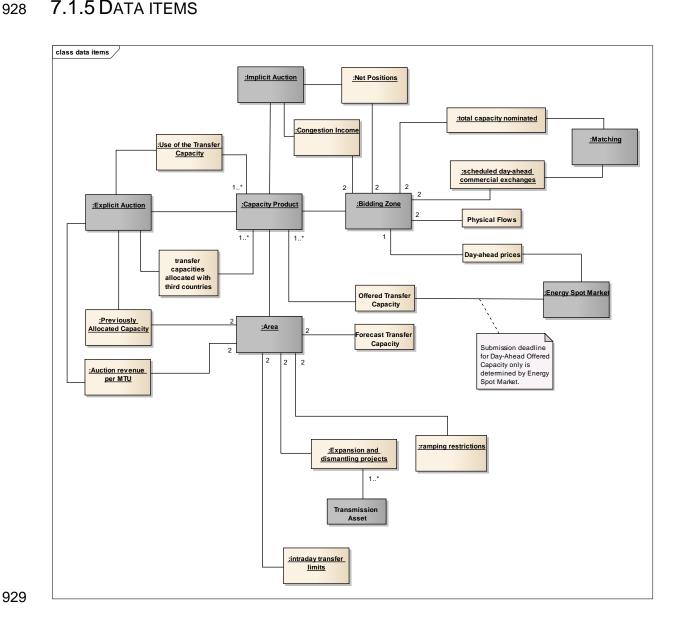
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For several data items, applicable Bidding Zones or Areas will be deduced from the capacity products associated with the given allocation instance. Further, the instance's allocation period will influence the submission deadline. Hence, the configuration of the allocation process will indirectly control monitoring of submission compliance, both in terms of completeness and timing.

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 $<sup>^{\</sup>rm 13}$  This is the case for the bidding zone that covers Germany and Austria.

966

Ahead Prices [12.1.d]



935 The applicable areas and submission deadlines of the data items that record offered transfer 936 capacities (chapters 7.7 through 7.12) will depend on the configuration of the allocation 937 process. Forecasted transfer capacities have their own independent configuration of 938 applicable areas and submission deadlines. 939 For implicit allocations, applicable bidding zones and submission deadlines for data items 940 Net Positions (see chapter 7.21) and Congestion Income (see chapter 7.22) depend on the 941 implicit auction's capacity products and capacity allocation period. 942 Similarly for explicit allocations, the applicable areas and submission deadlines for the data 943 items Previously Allocated Capacity (see chapter 7.19), Use of the Transfer Capacity (see 944 chapter 7.16) and Transfer Capacities Allocated with Third Countries (see chapter 7.25) 945 depend on the explicit allocation's capacity products and capacity allocation period. 946 The submission deadlines for the data items Total Nominated Capacity (see chapter7.18) 947 and Scheduled Day-Ahead Commercial Exchanges (se chapter7.23) depend on the 948 configuration of the matching process. 949 For the data item Day-Ahead Prices, (see chapter 7.20), the submission deadlines depend 950 on the Energy Spot Market configuration. 7.1.6 Pre-configuration 951 952 The platform administrator at ENTSO-E shall pre-configure the platform with the following 953 reference data: 954 - [C-TRM-1] a list of Bidding Zones (e.g. FR). This is the same reference data as in 955 Generation and Load domains. 956 - [C-TRM-2] a list of Bidding Zone Aggregations (for Technical Profiles) (e.g. DE-CZ-SK) 957 - [C-TRM-3] a list of Technical Profiles (e.g. PL -> DE-CZ-SK) 958 - [C-TRM-4] for each Bidding Zone, the Market Time Unit for energy trading 959 - [C-TRM-5] for each couple of Areas, the Market Time Unit for capacity trading (if applicable) 960 - [C-TRM-6] a list of Regions and for each region the Bidding Zones that constitute the 961 962 - [C-TRM-7] a list of Contract Types (for example Intraday, Daily, Weekly, quarterly, 963 semester,...) 964 - [C-TRM-8] a list of Classification Categories (for example, Base, Peak, ...)

- [C-TRM-9] for each Bidding Zone, the Currency. This is used for validation in data item Day

967 - [C-TRM-10] a list of countries and for each country, the corresponding Control Area(s). This 968 is the same reference data as in Generation and Load domains. It will be used to facilitate 969 search and filtering of published data by country. 970 - [C-TRM-11] for each Bidding Zone the country or countries that it covers completely or 971 partially. This is the same reference data as in Load domain. It will be used to facilitate 972 search and filtering of published data by country. 973 - [C-TRM-12] a list of valid Roles. This is used to validate Role of Data Provider in the 974 context of Forecast transfer capacity [11.1]. 975 Data Providers will be responsible for pre-configuring the platform with: 976 - Allocations and associated Capacity Products. Details are provided in chapter 7.26 977 - For Matching: Per couple of In and Out Bidding Zones, cut-offs and corresponding time 978 intervals. Details as in chapter 7.1.3 979 - For Energy Spot Markets: Gate closure per Bidding Zone. Details as in chapter 7.1.4 7.1.7 Data container status / Data Status 980 981 For all data items except the reports [11.3] and [11.4], data follows the "None / Ready for 982 publication / Missing" scheme if properly declared as expected and if the submission 983 deadline has been configured. 7.1.8 Publication 984 985 For all data items except the report data items [11.3] and [11.4], data shall be available on web site in all three formats; table, map and chart, unless explicitly stated otherwise in the 986 987 chapters dedicated to each data item.



## 7.2 EXPANSION AND DISMANTLING PROJECTS [9.1]

### 7.2.1 DATA DESCRIPTION

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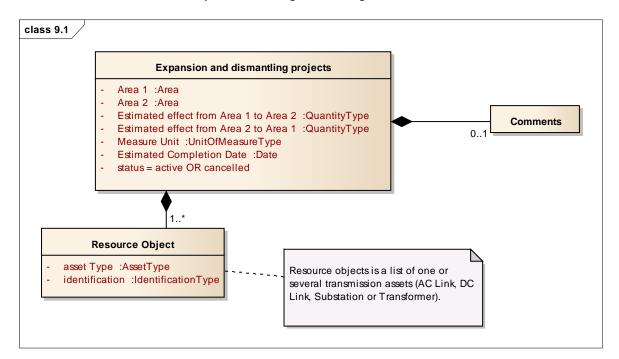
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This item can be described by the following class diagram:



Expansion and dismantling projects are described with an estimated effect on the transfer capacity between two Areas, for each direction. The effect is expressed in a unit of measure (MW). Estimated effect can be positive as well as negative. The completion date of the project shall also be estimated.

The concerned transmission assets are described by a list of resource objects of type AC Link, DC Link, Substation or Transformer. Transmission asset does not necessarily have to be a cross-border line – it could also be internal to the Control Area of the Data Provider.

- 1000 Status of project is active or cancelled.
- 1001 Optionally, comments may be provided.

## 7.2.2 Pre-configuration

- A list of Area couples for which the data item "Expansion and Dismantling Projects" may be submitted
- For each Area couple, one or several Data Providers
- 1006 -

## 1007 7.2.3 ASSUMPTIONS

- 1008 Transmission Assets have been declared with the mechanism described in chapter 11.11.
- Monitoring is not feasible for this data item, since projects cannot be anticipated.
- 1010 If more than one Data Provider submits data for given border, those Data Providers will
- 1011 coordinate between themselves the submitted data. Single submission for all of ENTSO-E
- 1012 could also be coordinated at the level of ENTSO-E secretariat.

## 1013 **7.2.4 INTEGRATION**

- 1014 Area couple shall be recognised by the platform
- 1015 The Data Provider shall be consistent with the identified Area couple
- 1016 -
- 1017 Transmission Assets are recognised by platform
- 1018 Estimated effect is equal to or greater than 100 MW (configurable)
- 1019 **7.2.5 MONITORING**
- Monitoring is not performed on this data item.
- 1021 **7.2.6 PROCESSING**
- No processing is performed by the platform on this data item.
- 1023 **7.2.7 PUBLICATION**
- 1024 7.2.7.1 PUBLICATION BEHAVIOUR
- 1025 Latest version shall be published, however previous versions shall remain available on
- 1026 platform.
- 1027 7.2.7.2 FILTERING AND SORTING CRITERIA
- 1028 End user shall be able to select data for display by specifying:
- Country (optional If selected, In and/or Out Areas that at least partially cover the Country
- 1030 will be used as filtering criteria)
- 1031 One or two Areas with direction (optional)
- 1032 year or year range (mandatory)

1033	- status (optional)			
1034	7.2.7.3 DISPLAY			
1035	This data shall be displayed in the following section:			
1036	Transmission / Expansion and dismantling projects			
1037 1038	The following attributes of data shall be displayed for all projects whose estimated completion date falls within the selected year or year range:			
1039	- Title			
1040	- Unit of measurement (MW)			
1041	- status (active or cancelled)			
1042 1043 1044	- For each couple of Areas and direction, the estimated effect and the estimated date of completion, and any comments. The concerned assets shall be published as a list, with links to pages providing further details on each asset.			
1045 1046	Data shall be displayed in table format only.			



## 7.3 FORECASTED YEAR-AHEAD TRANSFER CAPACITIES [11.1]

#### 7.3.1 DATA DESCRIPTION

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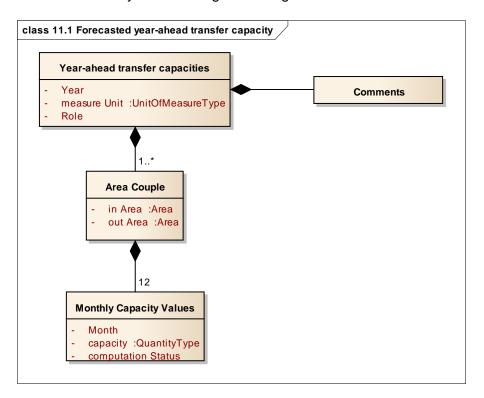
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1050 This item can be described by the following class diagram:



The document may cover several area couples.

Forecasted year-ahead transfer capacities are described between an In Area (the Area flows come into) and an out Area (the Area flows come out from), for one year, with a capacity value by month expressed in Measure Unit, together with a computation Status ("minimum value" or "computed minimum value"). Role of Data Provider is included in submission.

- Optionally, Forecasted year-ahead transfer capacities can be complemented with comments.
- 1058 **Note:** Flow-based parameters are not in scope for year-ahead transfer capacities.

#### 7.3.2 Pre-configuration

- A list of Area couples where forecasted year-ahead transfer capacities are expected.
- For each couple of Areas mentioned above, one or several Data Providers.

1062 - For each couple of Areas mentioned above, the yearly submission deadline: 1063 At the latest one week (W-1) before the yearly allocation process 1064 Example: if the oriented couple of Areas is France Bidding Zone to Germany Bidding Zone, the year is 2013 and if the Yearly Explicit Auction for 2013 has a Capacity 1065 Allocation Period from 15<sup>th</sup> November 2012, 20:00 UTC until 18<sup>th</sup> November 22:00 UTC, 1066 the document shall be submitted before November 8th, 2012, 20:00 UTC. 1067 1068 or 1069 at the latest December 15 the preceding year at 24:00 UTC. 1070 Example: if the oriented couple of Areas is France Bidding Zone to Spain Bidding Zone, 1071 the year is 2013 and there is no Yearly Explicit Auction for 2013, the document shall be 1072 submitted before December 15<sup>th</sup>, 2012, 24:00 UTC. 7.3.3 ASSUMPTIONS 1073 1074 Values should be given per month, but a higher resolution is possible (up to the Market Time 1075 Unit used by the couple of Areas). 1076 Submission can be done by multiple Data Providers. 7.3.4 INTEGRATION 1077 - Areas shall be recognised by the platform 1078 1079 - The Data Provider shall be consistent with pre-configuration described in 7.3.2 1080 - The time interval of the submitted document is one year 1081 - Role of Data Provider is recognised, as per [C-TRM-12] 7.3.5 MONITORING 1082 1083 Platform shall monitor that forecasted yearly transfer capacity values are submitted for all 1084 area couples declared in pre-configuration, see section 7.3.2, before the submission deadline 1085 described in same section. If no data has been submitted, all declared Data Providers will be notified. 1086 7.3.6 PROCESSING 1087 1088 The following processing applies: 1089 - In case the resolution of the submitted document is higher than a month, the lowest value of 1090 the submitted data should be calculated for each month. For example if data is submitted in 1091 daily values a computation must be made to compute the lowest daily value for every given



month of the submitted year. This makes it possible to compare this data with data submitted with a monthly resolution.

- Once values are computed per month, the platform must apply the following business rule [PR-11.1], when processing submitted data for a given oriented couple of Areas and month in order to determine whether data shall be marked as "minimum value" or "computed minimum value".
  - If data is received for a given couple of Areas from a Data Provider the data will be marked (computation status) as "minimum value".
  - If data is received for the same oriented couple of Areas, from a different Data Provider the platform must compute the 'minimum' value from the two received values and isolate this value as the value to be published with a "computed minimum value" computation status. The previously marked "minimum value" computation status must be deleted.
  - If data is marked as "minimum value" and the same Data Provider sends a new version to the platform, the platform will consider this latest version for publication by marking it as "minimum value" and delete the previous "minimum value" computation status.
  - If data is computed and marked as "computed minimum value" and either Data Provider involved sends a new version of the document, the platform must compute the 'minimum' value from the received value and the value from the other Data Provider and will isolate this value as the value to be published, with the "computed minimum value" computation status. The "computed minimum value" computation status must be deleted from the previous version of the value.
  - If data is received for a given couple of Areas from a Data Provider with the role "Capacity Coordinator (CCrd) or "Transmission Capacity Allocator (TCA)", the data will be marked as "computed minimum value".
  - If data is marked as "computed minimum value" and the Data Provider with the role "CCrd" or "TCA" sends a new version to the platform, the platform will consider this latest version of the information for display by marking it as "computed minimum value" and delete from the previous version the "computed minimum value" computation status.

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- Data to be published shall be marked with a "minimum value" or "computed minimum value"
- 1127 computation status. Data without any computation status shall not be published. This rule
- 1128 takes precedence over the common rule which states that all versions of the data are
- 1129 available on the platform.

## 1130 7.3.7.2 FILTERING AND SORTING CRITERIA

- 1131 Data shall be visually accessed by selecting the following:
- 1132 Year (Selection is mandatory)
- 1133 Country (selection is optional: If selected, Areas will be filtered to include only those that
- 1134 partially or completely cover the Country)
- Optionally, user may specify Area, couple of Areas and direction.
- 1136 7.3.7.3 DISPLAY
- 1137 This data shall be displayed in the following section:
- 1138 Forecasted transfer capacities / year-ahead
- 1139 The following attributes of data shall be displayed:
- 1140 Title

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- 1141 Measurement Unit
- 1142 Comments
- For each oriented couple of Areas the following data is repeated: Areas, direction and for
- each month within the selected year, the capacity value and its computation status

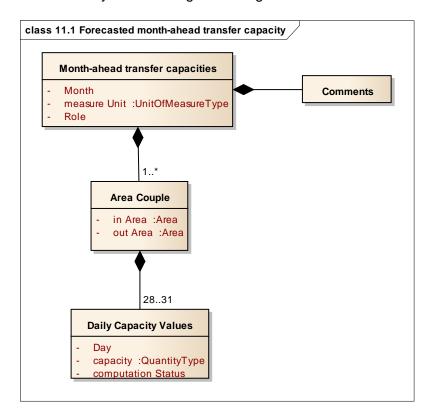
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# 7.4 FORECASTED MONTH-AHEAD TRANSFER 1147 CAPACITIES [11.1]

## 1148 7.4.1 DATA DESCRIPTION

1149 This item can be described by the following class diagram:



1151 The document may cover several couples of areas.

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Forecasted month-ahead transfer capacities are described between an In Area (the Area flows come into) and an out Area (the Area flows come out from), for one month, with a capacity value expressed in Measure Unit for every day, together with a computation Status ("minimum value" or "computed minimum value"). Role of Data Provider is included in submission.

Optionally, forecasted month-ahead transfer capacities can be complemented with comments.

1159 **Note:** Flow-based parameters are not in scope for month-ahead transfer capacities.

## 7.4.2 Pre-configuration

1161 - A list of Area couples where forecasted month-ahead transfer capacities are expected

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with a daily resolution.

1162 - For each couple of Areas mentioned above, one or several Data Providers 1163 - For each couple of Areas mentioned above, the monthly submission deadline: 1164 For a given month, submission must be done at the latest two working days (D-2) before 1165 the monthly allocation process. 1166 Example: if the oriented couple of Areas is France Bidding Zone to Germany Bidding 1167 Zone, the month is April 2012 and if the Monthly Explicit Auction for April 2012 has a 1168 Capacity Allocation Period from March 15th 20:00 UTC to March 18th 20:00 UTC, the document shall be submitted before March 13th, 2012, 20:00 UTC. 1169 7.4.3 ASSUMPTIONS 1170 1171 Values should be given per day, but a higher resolution is possible (up to the Market Time 1172 Unit used by the couple of Areas). 1173 Submission can be done by multiple Data Providers. 7.4.4 INTEGRATION 1174 1175 - Areas shall be recognised by the platform 1176 - The Data Provider shall be consistent with pre-configuration described in 7.4.2 1177 - The time interval of the submitted document is one month 1178 - Role of Data Provider is recognised, as per [C-TRM-12] 7.4.5 MONITORING 1179 1180 Platform shall monitor that forecasted monthly transfer capacity values are submitted for all area couples declared in pre-configuration, see section 7.4.2, before the submission deadline 1181 1182 described in same section. If no data has been submitted, all declared Data Providers will be 1183 notified. 7.4.6 PROCESSING 1184 1185 The following processing applies: 1186 - In case the resolution of the submitted document is higher than a day, the lowest value of 1187 the submitted data should be calculated for each day. For example if data is submitted per

MTU period a computation must be made to compute the lowest daily value for every given

day of the submitted month. This makes it possible to compare this data with data submitted

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1191 - Once values are computed per day, the platform must apply the business rule [PR-11.1], 1192 refer to chapter 7.3.6, when processing submitted data for a given oriented couple of Areas and day in order to determine whether data shall be marked as "minimum value" or 1193 1194 "computed minimum value". 7.4.7 Publication 1195 7.4.7.1 PUBLICATION BEHAVIOUR 1196 1197 Data to be published shall be marked with a "minimum value" or "computed minimum value" 1198 computation status. Data without any computation status shall not be published. This rule 1199 takes precedence over the common rule which states that all versions of the data are 1200 available on the platform. 7472 FILTERING AND SORTING CRITERIA 1201 1202 Data shall be visually accessed by selecting the following: 1203 Month (Selection is mandatory) 1204 Country (selection is optional: If selected, Areas will be filtered to include only those that partially or completely cover the Country) 1205 1206 Optionally, user may specify Area, couple of Areas and direction. 7.4.7.3 DISPLAY 1207 1208 This data shall be displayed in the following section: - Forecasted transfer capacities / month-ahead 1209 1210 The following attributes of data shall be displayed: 1211 - Title 1212 - Month 1213 - Measurement Unit 1214 - Comments

- For each oriented couple of Areas the following data is repeated: Areas, direction and for

each day within the selected month the capacity value and its computation status

## 7.5 FORECASTED WEEK-AHEAD TRANSFER CAPACITIES [11.1]

#### 7.5.1 DATA DESCRIPTION

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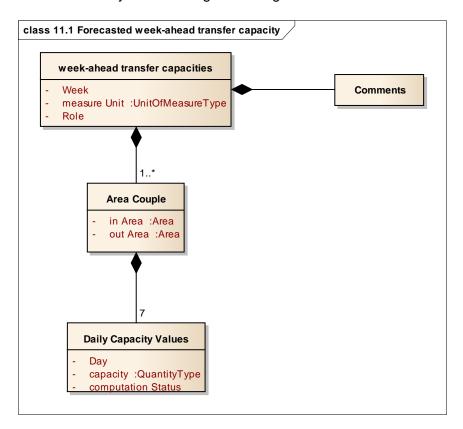
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1221 This item can be described by the following class diagram:



1223 The document may cover several couples of areas.

Forecasted week-ahead transfer capacities are described between an In Area (the Area flows come into) and an out Area (the Area flows come out from), for one week, with a capacity value per day expressed in Measure Unit, together with a computation Status ("minimum value" or "computed minimum value"). Role of Data Provider is included in submission.

- Optionally, forecasted week-ahead transfer capacities can be complemented with comments.
- 1230 **Note:** Flow-based parameters are not in scope for week-ahead transfer capacities.

### 1231 7.5.2 PRE-CONFIGURATION

1232 - A list of Area couples where forecasted week-ahead transfer capacities are expected

- 1233 For each couple of Areas mentioned above, one or several Data Providers
- 1234 For each couple of Areas mentioned above, the weekly submission deadline: Friday before
- the week for which values refer to.
- 1236 Example: if the oriented couple of Areas is France Bidding Zone to Germany Bidding Zone,
- and the week for which forecasts are to be provided is Week 11, 2012 (12th of March to 18th
- of March), the document shall be submitted before Friday March 9<sup>th</sup>, 2012, 24:00 UTC.
- 1239 **7.5.3 ASSUMPTIONS**
- 1240 Values should be given per day, but a higher resolution is possible (up to the Market Time
- 1241 Unit used by the couple of Areas).
- 1242 Submission can be done by multiple Data Providers.
- 1243 **7.5.4** INTEGRATION
- 1244 Areas shall be recognised by the platform
- The Data Provider shall be consistent with pre-configuration described in 7.5.2
- 1246 The time interval of the submitted document is one week
- 1247 Role of Data Provider is recognised, as per [C-TRM-12]
- 1248 **7.5.5 MONITORING**
- 1249 Platform shall monitor that forecasted weekly transfer capacity values are submitted for all
- area couples declared in pre-configuration, see section 7.5.2, before the submission deadline
- 1251 described in same section. If no data is submitted, all declared Data Providers will be
- 1252 notified.
- 1253 **7.5.6 PROCESSING**
- 1254 The following processing applies:
- 1255 In case the resolution of the submitted document is higher than a day, the lowest value of
- 1256 the submitted data should be calculated for each day. For example if data is submitted in
- 1257 hourly values a computation must be made to compute the lowest hourly value for every
- 1258 given day of the submitted week. This makes it possible to compare this data with data
- 1259 submitted with a daily resolution.
- Once values are computed per day, the platform must apply the business rules [PR-11.1],
- refer to chapter 7.3.6, when processing submitted data for a given oriented couple of Areas
- 1262 and day in order to determine whether data shall be marked as "minimum value" or
- 1263 "computed minimum value".

1264	7.5.7 PUBLICATION			
1265	7.5.7.1	PUBLICATION BEHAVIOUR		
1266 1267 1268 1269	Data to be published shall be marked with a "minimum value" or "computed minimum value computation status. Data without any computation status shall not be published. This rul takes precedence over the common rule which states that all versions of the data are available on the platform.			
1270	7.5.7.2	FILTERING AND SORTING CRITERIA		
1271	Data shall be visually accessed by selecting the following:			
1272	Week (Selection is mandatory)			
1273 1274	Country (selection is optional: If selected, Areas will be filtered to include only those that partially or completely cover the Country)			
1275	Optionally, u	ser may specify Area, couple of Areas and direction.		
1276	7.5.7.3	DISPLAY		
1277	This data shall be displayed in the following section:			
1278	- Forecasted transfer capacities / week-ahead			
1279	The following	g attributes of data shall be displayed:		
1280	- Title			
1281	- Week			
1282	- Measurem	ent Unit		
1283	- Comments			
1284 1285		riented couple of Areas the following data is repeated: Areas, direction and for hin the week the capacity value and its computation status		



## 7.6 FORECASTED DAY-AHEAD TRANSFER CAPACITIES [11.1]

#### 7.6.1 DATA DESCRIPTION

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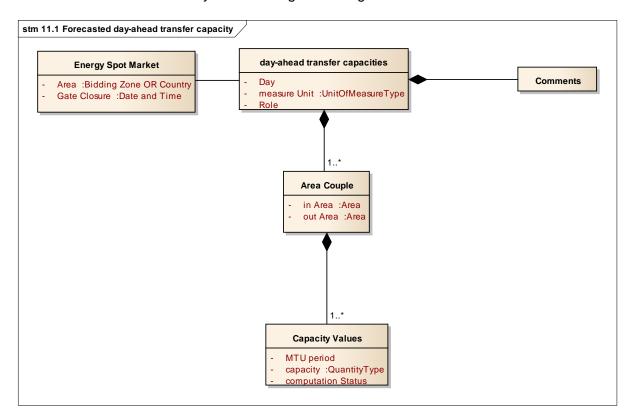
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1302

1290 This item can be described by the following class diagram:



1292 The document may cover several couples of areas.

Forecasted day-ahead transfer capacities are described between an In Area (the Area flows come into) and an out Area (the Area flows come out from), for one day, with a capacity value per MTU period expressed in Measure Unit, together with a computation Status ("minimum value" or "computed minimum value"). Role of Data Provider is included in submission.

- Optionally, day-ahead week-ahead transfer capacities can be complemented with comments.
- 1299 **Note:** This is a data item which is not demanded by [1]. Hence, data submissions are voluntary.

#### 1301 7.6.2 PRE-CONFIGURATION

A list of Area couples where forecasted day-ahead transfer capacities are expected



1303 - For each couple of Areas mentioned above, one or several Data Providers 1304 - For each couple of Areas mentioned above, the daily submission deadline: 1 hour before 1305 spot market gate closure. Refer to chapter 7.1.4 for details on the configuration of energy 1306 spot markets. Example: if the oriented couple of Areas is France Bidding Zone to Spain Bidding Zone, 1307 and if spot energy market gate closure is 10:00 UTC March 12th for the time interval of 1308 March 13<sup>th</sup>, 2012, the document shall be submitted before March 12<sup>th</sup>, 2012, 09:00 UTC. 1309 7.6.3 ASSUMPTIONS 1310 1311 Submission can be done by multiple Data Providers. 7.6.4 INTEGRATION 1312 1313 - Areas shall be recognised by the platform - The Data Provider shall be consistent with pre-configuration described in 7.5.2 1314 1315 - The time interval of the submitted document is one day 1316 - Role of Data Provider is recognised, as per [C-TRM-12] 7.6.5 MONITORING 1317 1318 Platform shall monitor that forecasted daily transfer capacity values are submitted for all area 1319 couples declared in pre-configuration, see section 7.5.2, before the submission deadline 1320 described in same section. If no data is submitted, all declared Data Providers will be 1321 notified. 7.6.6 PROCESSING 1322 1323 The platform must apply the business rules [PR-11.1], refer to chapter 7.3.6, when 1324 processing submitted data for a given oriented couple of Areas and day in order to determine 1325 whether data shall be marked as "minimum value" or "computed minimum value". 7.6.7 Publication 1326 7.6.7.1 PUBLICATION BEHAVIOUR 1327 1328 Data to be published shall be marked with a "minimum value" or "computed minimum value" 1329 computation status. Data without any computation status shall not be published. This rule 1330 takes precedence over the common rule which states that all versions of the data are 1331 available on the platform.

1332	7.6.7.2 FILTERING AND SORTING CRITERIA	
1333	Data shall be visually accessed by selecting the following:	
1334	Day (Selection is mandatory)	
1335 1336	Country (selection is optional: If selected, Areas will be filtered to include only those that partially or completely cover the Country)	
1337	Optionally, user may specify Area, couple of Areas and direction.	
1338	7.6.7.3 DISPLAY	
1339	This data shall be displayed in the following section:	
1340	- Forecasted transfer capacities / day-ahead	
1341	The following attributes of data shall be displayed:	
1342	- Title	
1343	- Day	
1344	- Measurement Unit	
1345	- Comments	
1346 1347 1348	- For each oriented couple of Areas the following data is repeated: Areas, direction and f each MTU period within the day the capacity value and its computation status	OI



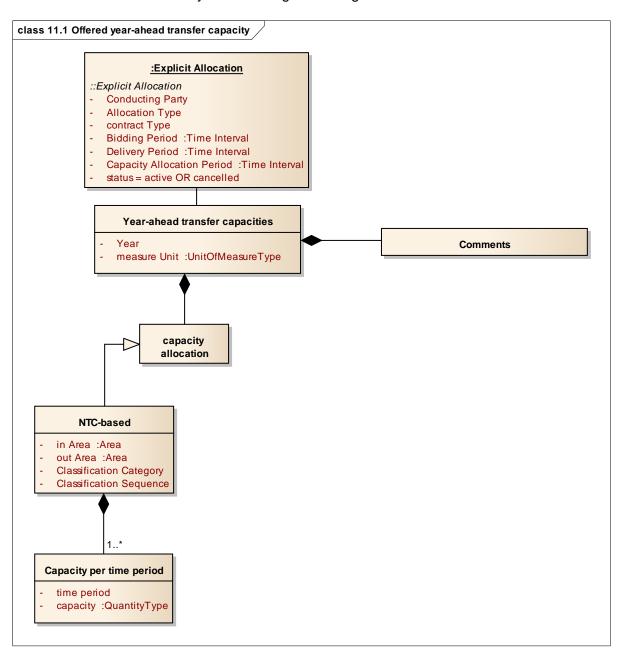
## 7.7 OFFERED YEAR-AHEAD TRANSFER CAPACITIES [11.1]

## 7.7.1 DATA DESCRIPTION

1351

1353

1352 This item can be described by the following class diagram:



1354 The document shall cover the offered capacities related to one auction only.



- 1355 Yearly offered transfer capacities are associated with a yearly explicit transmission auction.
- 1356 Offered year-ahead transfer capacities are described between an In Area (the Area flows
- 1357 come into) and an out Area (the Area flows come out), for one year, with a single capacity
- 1358 value for the whole year expressed in Measure Unit.
- 1359 Capacity values are submitted separately per Classification Category and Classification
- 1360 Sequence.

- Optionally, offered year-ahead transfer capacities can be complemented with comments.
- 1362 **Note:** Flow-based parameters are not in scope for year-ahead transfer capacities.

## 7.7.2 Pre-configuration

- 1364 Year-ahead transfer capacities are expected for all couples of Areas covered by a yearly
- auction's capacity products. Refer to chapter 7.26.1.2 for details on the configuration of an
- 1366 auction's capacity products.
- 1367 For each Auction, the Data Provider is the one indicated in the capacity allocation
- 1368 configuration. Refer to chapter 7.26.1.3 for details.
- 1369 The submission deadline is one week (W-1) before the yearly allocation process, but no later
- than the 15<sup>th</sup> of December. Therefore, there shall be a default submission deadline set to one
- week before the start of the yearly auction's capacity allocation period. Additionally, it must
- be possible to specify another deadline that supersedes the default.
- 1373 Example: if the oriented couple of Areas is France Bidding Zone to Germany Bidding
- Zone, the year is 2013 and if the Yearly Explicit Auction for 2013 has a Capacity
- 1375 Allocation Period from November 2012, 15<sup>th</sup> 20:00 UTC to 18<sup>th</sup> 22:00 UTC, the document
- shall be submitted before November 8<sup>th</sup>, 2012, 20:00 UTC.
- 1377 Example: if the oriented couple of Areas is France Bidding Zone to Spain Bidding Zone,
- the year is 2013 and there is no Yearly Explicit Auction for 2013, the document shall be
- 1379 submitted before December 15<sup>th</sup>, 2012, 24:00 UTC.
- The submission deadline is the same for all area couples covered by the auction's
- 1381 capacity products.

### 1382 **7.7.3 ASSUMPTIONS**

- 1383 A single value should be provided for the whole year, but a higher resolution is possible (up
- to the Market Time Unit used by the couple of Areas).
- 1385 There is not more than one Data Provider per auction instance.

- 7.7.4 INTEGRATION1387 Areas shall be recognised by the platform
  - 3 , 1
- 1388 The Data Provider shall be consistent with the auction configuration
- 1389 The time interval of the submitted document is one year
- 1390 **7.7.5 MONITORING**
- 1391 For a given instance of an auction, platform shall monitor that offered transfer capacity
- values are submitted for all area couples as deduced from the auction's capacity products.
- The submission deadline described in section 7.7.2 will be monitored.
- 1394 Monitoring shall verify that values are submitted for all Capacity Products (i.e. for all
- 1395 Classification Categories and Classification Sequences).
- 1396 **7.7.6 PROCESSING**
- 1397 No processing is performed on this data item.
- 1398 **7.7.7 PUBLICATION**
- 1399 7.7.7.1 PUBLICATION BEHAVIOUR
- 1400 No specific publication behaviour applies.
- 1401 7.7.7.2 FILTERING AND SORTING CRITERIA
- 1402 Data shall be visually accessed by selecting the following:
- 1403 Year (Selection is mandatory)
- 1404 Country (selection is optional: If selected, Areas will be filtered to include only those that
- 1405 partially or completely cover the Country)
- Optionally, user may specify Area, couple of Areas and direction.
- 1407 7.7.7.3 DISPLAY
- 1408 This data shall be displayed in the following section:
- 1409 offer of transfer capacities / year-ahead
- 1410 The following attributes of data shall be displayed:
- 1411 Title

1412	- Measurement Unit
1413	- Comments
1414 1415	- For each oriented couple of Areas the following data is repeated: Areas, direction and the capacity value (for every classification category and classification sequence)
1416 1417	If capacity values vary during the year, it shall be possible to expand the view so that they are displayed as a curve and in a table.
1418	

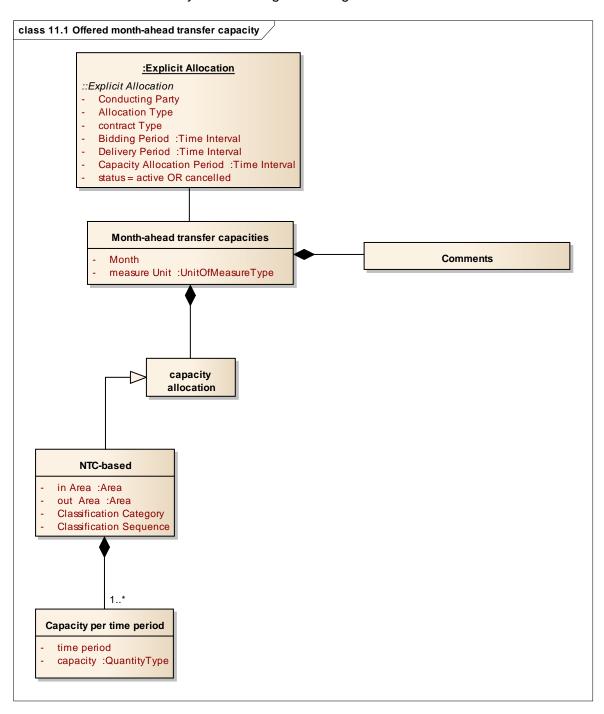


# 7.8 OFFERED MONTH-AHEAD TRANSFER CAPACITIES [11.1]

## 7.8.1 DATA DESCRIPTION

1421

1422 This item can be described by the following class diagram:



- The document shall cover the offered capacities related to one auction only.
- 1425 Monthly offered transfer capacities are associated with a monthly explicit transmission
- 1426 auction.
- 1427 Offered month-ahead transfer capacities are described between an In Area (the Area flows
- 1428 come into) and an out Area (the Area flows come out from), for one month, with a single
- 1429 capacity value expressed in Measure Unit.
- 1430 Capacity values are submitted separately per Classification Category and Classification
- 1431 Sequence.
- Optionally, offered month-ahead transfer capacities can be complemented with comments.
- 1433 Note: Flow-based parameters are not in scope for month-ahead transfer capacities.

## 1434 7.8.2 PRE-CONFIGURATION

- Month-ahead offered transfer capacities are expected for all couples of Areas covered by a
- monthly auction's capacity products. Refer to chapter 7.26.1.2 for details on the configuration
- 1437 of an auction's capacity products.
- 1438 For each Auction, the Data Provider is the one indicated in the capacity allocation
- 1439 configuration. Refer to chapter 7.26.1.3 for details.
- For a given month, submission must be done at the latest two working days (D-2) before
- the start of the Allocation Period of the associated monthly explicit auction bidding.
- 1442 Example: if the oriented couple of Areas is France Bidding Zone to Germany Bidding
- Zone, the month is April 2012 and if the Monthly Explicit Auction for April 2012 has a
- 1444 Capacity Allocation Period from March 15<sup>th</sup> 20:00 UTC to March 18<sup>th</sup> 20:00 UTC, the
- document shall be submitted before March 13<sup>th</sup>, 2012, 20:00 UTC.
- 1446 The submission deadline applies to all area couples covered by the auction.

## 1447 **7.8.3 ASSUMPTIONS**

- 1448 A single value should be given for the whole month, but a higher resolution is possible (up to
- the Market Time Unit used by the couple of Areas).
- 1450 There is not more than one Data Provider per auction instance.

## 1451 **7.8.4 INTEGRATION**

- 1452 Areas shall be recognised by the platform
- 1453 The Data Provider shall be consistent with the auction configuration

- 1454 The time interval of the submitted document is one month
- 1455 **7.8.5 MONITORING**
- 1456 For a given instance of an auction, platform shall monitor that offered transfer capacity
- values are submitted for all area couples as deduced from the auction's capacity products.
- 1458 There is a submission deadline as described in section 7.8.2.
- 1459 Monitoring shall verify that values are submitted for all Capacity Products (i.e. for all
- 1460 Classification Categories and Classification Sequences).
- 1461 **7.8.6 PROCESSING**
- No processing is performed on this data item.
- 1463 **7.8.7 PUBLICATION**
- 1464 7.8.7.1 PUBLICATION BEHAVIOUR
- 1465 No specific publication behaviour applies.
- 1466 7.8.7.2 FILTERING AND SORTING CRITERIA
- Data shall be visually accessed by selecting the following:
- 1468 Month (Selection is mandatory)
- 1469 Country (selection is optional: If selected, Areas will be filtered to include only those that
- 1470 partially or completely cover the Country)
- Optionally, user may specify Area, couple of Areas and direction.
- 1472 7.8.7.3 DISPLAY
- 1473 This data shall be displayed in the following section:
- 1474 offer of transfer capacities / month-ahead
- 1475 The following attributes of data shall be displayed:
- 1476 Title
- 1477 Month
- 1478 Measurement Unit
- 1479 Comments

- For each oriented couple of Areas the following data is repeated: Areas, direction and the capacity value (for every classification category and classification sequence)
- 1482 If capacity values vary during the month, it shall be possible to expand the view so that they are displayed as a curve and in a table.



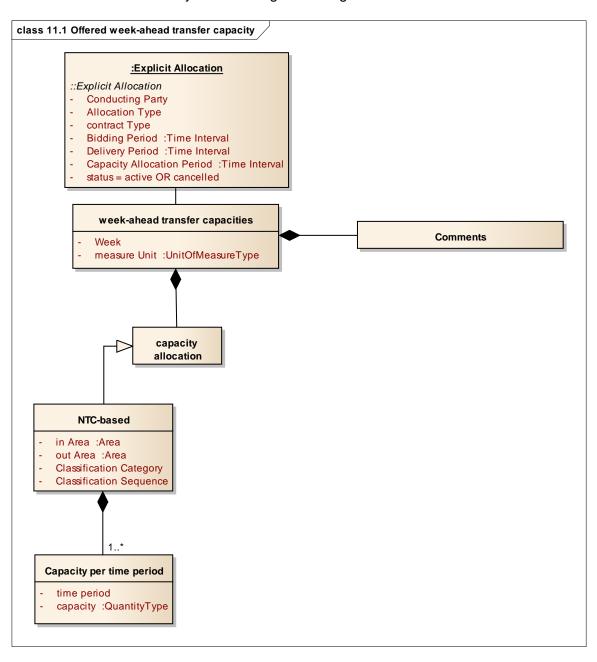
### 7.9 OFFERED WEEK-AHEAD TRANSFER CAPACITIES 1484 [11.1]

#### 7.9.1 DATA DESCRIPTION 1486

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1487 This item can be described by the following class diagram:



The document shall cover the offered capacities related to one auction only. 1489



- 1490 Weekly offered transfer capacities are associated with a weekly explicit transmission auction.
- 1491 Offered week-ahead transfer capacities are described between an In Area (the Area flows
- 1492 come into) and an out Area (the Area flows come out from), for one week, with a single
- 1493 capacity value for the whole week expressed in Measure Unit.
- 1494 Capacity values are submitted separately per Classification Category and Classification
- 1495 Sequence.
- Optionally, offered week-ahead transfer capacities can be complemented with comments.
- 1497 Note: Flow-based parameters are not in scope for week-ahead transfer capacities.
- 1498 7.9.2 PRE-CONFIGURATION
- Week-ahead offered transfer capacities are expected for all couples of Areas covered by a
- weekly auction's capacity products. Refer to chapter 7.26.1.2 for details on the configuration
- of an auction's capacity products.
- 1502 For each Auction, the Data Provider is the one indicated in the capacity allocation
- 1503 configuration. Refer to chapter 7.26.1.3 for details.
- The submission deadline for offered week-ahead transfer capacity is one day (D-1) before
- 1505 the weekly allocation process:
- 1506 Example: if the oriented couple of Areas is France Bidding Zone to Germany Bidding
- 1507 Zone, the weekly capacity allocation period starts on Friday 10:00 UTC and the week for
- which offers are to be provided is Week 11, 2012 (12<sup>th</sup> of March to 18<sup>th</sup> of March), the
- document shall be submitted before Thursday March 8<sup>th</sup>, 2012, 10:00 UTC.
- The submission deadline is the same for all couples of Areas covered by the auction's
- 1511 capacity products.
- 1512 **7.9.3 ASSUMPTIONS**
- 1513 A single value should be provided for the whole week, but a higher resolution is possible (up
- to the Market Time Unit used by the couple of Areas).
- 1515 There is not more than one Data Provider per auction instance.
- 1516 **7.9.4 INTEGRATION**
- 1517 Areas shall be recognised by the platform
- 1518 The Data Provider shall be consistent with the capacity allocation configuration
- 1519 The time interval of the submitted document is one week

7.9.5 MONITORING 1520 1521 For a given instance of an auction, platform shall monitor that offered transfer capacity 1522 values are submitted for all area couples as deduced from the auction's capacity products. The submission deadline described in section 7.9.2 will be monitored. 1523 Monitoring shall verify that values are submitted for all Capacity Products (i.e. for all 1524 Classification Categories and Classification Sequences). 1525 7.9.6 PROCESSING 1526 1527 No processing is performed on this data item. 7.9.7 Publication 1528 7.9.7.1 PUBLICATION BEHAVIOUR 1529 1530 No specific publication behaviour applies. 7.9.7.2 1531 FILTERING AND SORTING CRITERIA 1532 Data shall be visually accessed by selecting the following: Week (Selection is mandatory) 1533 Country (selection is optional: If selected, Areas will be filtered to include only those that 1534 partially or completely cover the Country) 1535 1536 Optionally, user may specify Area, couple of Areas and direction. 7.9.7.3 DISPLAY 1537 1538 This data shall be displayed in the following section: 1539 - offer of transfer capacities / week-ahead 1540 The following attributes of data shall be displayed: 1541 - Title 1542 - Week 1543 - Measurement Unit 1544 - Comments 1545 - For each oriented couple of Areas the following data is repeated: Areas, direction and the

capacity value (for every classification category and classification sequence)

1547 If capacity values vary during the week, it shall be possible to expand the view so that they are displayed as a curve and in a table.



## 7.10 Offered DAY-AHEAD TRANSFER CAPACITY [11.1]

## 7.10.1 DATA DESCRIPTION

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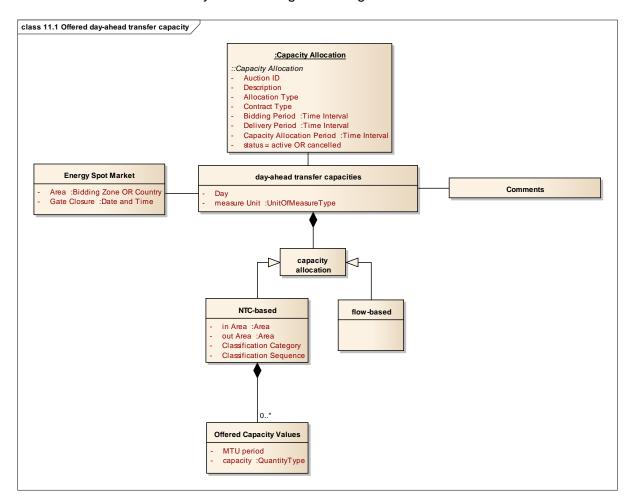
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1551 This item can be described by the following class diagram:



For NTC-based allocation of capacities, the document shall cover the offered capacities related to one auction only.

Day-ahead offered transfer capacities are associated with a daily capacity allocation (either implicit or explicit).

Offered day-ahead transfer capacity is described between an In Area (the Area flows come into) and an out Area (the Area flows come out from), for one day, by Market Time Unit period, with a capacity value expressed in Measure Unit.

1560 If there are several Capacity Products, capacity values are submitted separately per 1561 Classification Category and Classification Sequence (this is the case for explicit auctions).

- 1562 NTC-based values are applicable between two Areas.
- Optionally, Offered day-ahead transfer capacity can be complemented with comments.
- 1564 In some situations there may not be any capacity values to submit because of no allocation
- 1565 on a specific day.
- 1566 **Notes:**
- At the time of writing (November 2012), analysis of data model for flow-based capacity parameters had not been completed.
- 1569 7.10.2 PRE-CONFIGURATION
- 1570 Day-ahead transfer capacities are expected for all couples of Areas covered by a daily
- auction's capacity products. Refer to chapter 7.26.1.2 for details on the configuration of an
- 1572 auction's capacity products.
- 1573 For each Auction, the Data Provider is the one indicated in the capacity allocation
- 1574 configuration. Refer to chapter 7.26.1.3 for details.
- 1575 The submission deadline for offered day-ahead transfer capacity is 1 hour (H-1) before
- 1576 energy spot market gate closure. Refer to chapter 7.1.4 for details on the configuration of
- 1577 energy spot markets.
- 1578 Example: if the oriented couple of Areas is France Bidding Area to Germany Bidding
- Area, and if spot energy market gate closure is 10:00 UTC March 12<sup>th</sup> for the time interval
- of March 13<sup>th</sup>, 2012, the document shall be submitted before March 12<sup>th</sup>, 2012, 09:00
- 1581 UTC.
- 1582 **7.10.3** ASSUMPTIONS
- 1583 There is not more than one Data Provider per auction instance.
- 1584 The deadline determined by spot market gate closure is the same for In Area and Out Area.
- 1585 **7.10.4** INTEGRATION
- 1586 Areas shall be recognised by the platform
- 1587 The Data Provider shall be consistent with the auction configuration
- 1588 The time interval of the submitted document is one day

1614

- Measurement Unit

- Comments



7.10.5 MONITORING 1589 1590 For a given instance of an auction, platform shall monitor that transfer capacity values are 1591 submitted for all area couples as deduced from the auction's capacity products. The submission deadline as described in section 7.10.2 will be monitored. 1592 Monitoring shall verify that values are submitted for all Capacity Products (i.e. for all 1593 1594 Classification Categories and Classification Sequences). This is applicable for explicit 1595 auctions. 7.10.6 **PROCESSING** 1596 1597 No processing is performed on this data item. 7.10.7 **PUBLICATION** 1598 7.10.7.1 PUBLICATION BEHAVIOUR 1599 1600 No specific publication behaviour applies. 7.10.7.2 FILTERING AND SORTING CRITERIA 1601 Data shall be visually accessed by selecting the following: 1602 1603 Day (Selection is mandatory) 1604 Country (selection is optional: If selected, Areas will be filtered to include only those that partially or completely cover the Country) 1605 1606 Optionally, user may specify Area, couple of Areas and direction. 7.10.7.3 DISPLAY 1607 1608 This data shall be displayed in the following section: - offer of transfer capacities / Day-ahead 1609 1610 The following attributes of data shall be displayed: 1611 - Title 1612 - Day selection

- For each oriented couple of Areas the following data is repeated: Areas, direction and for each Market Time Unit within the selected day the capacity value (for every classification category and classification sequence, when applicable)

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## 7.11 Offered Intraday transfer capacity [11.1]

## 1620 7.11.1 DATA DESCRIPTION

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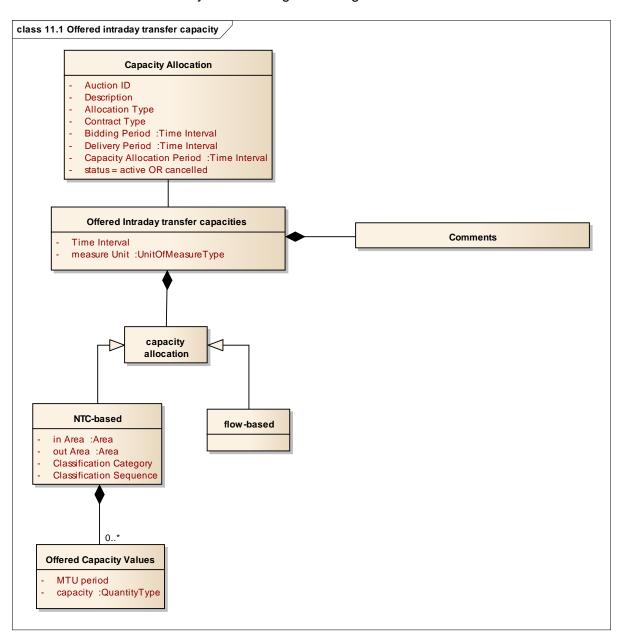
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1621 This item can be described by the following class diagram:



For NTC-based allocation of capacities, the document shall cover one capacity allocation only.

Intraday offered transfer capacities are associated with an intraday explicit or implicit capacity allocation.



- Offered intraday transfer capacities are described between an In Area and an Out Area, for a
- time interval spanning up to a day. Values are provided for each MTU Period, with a capacity
- 1629 value expressed in Measure Unit (MW).
- 1630 If there are several Capacity Products, capacity values are submitted separately per
- 1631 Classification Category and Classification Sequence.
- 1632 NTC-based values are applicable between two Areas.
- Optionally, offered intraday transfer capacity can be complemented with comments.
- 1634 In some situations there may not be any capacity values to submit.
- 1635 **Notes:**
- At the time of writing (November 2012), analysis of data model for flow-based capacity parameters had not been completed.
- 1638 7.11.2 PRE-CONFIGURATION
- 1639 Intraday transfer capacities are expected for all couples of Areas covered by the intraday
- 1640 capacity allocation's capacity products. Refer to chapter 7.26.1.2 for details on the
- 1641 configuration of an auction's capacity products.
- 1642 For each capacity allocation, the Data Provider is the one indicated in the capacity
- allocation configuration. Refer to chapter 7.26.1.3 for details.
- 1644 The submission deadline for offered intraday transfer capacity is one hour (H-1) before the
- start of the capacity allocation period for the first delivery period of the day. For the remaining
- delivery periods of the day, submissions will be performed close to real-time, for example 5
- minutes before the start of the allocation period.
- 1648 Example: If the oriented couple of Areas is France to Germany Bidding Zones, and if
- intraday capacity allocation periods are as follows for day D:
- 1650 1<sup>st</sup> Capacity Allocation Period: D-1, 18:00-19:00 UTC for the delivery period D, 00:00-
- 1651 24:00 UTC
- 1652 2<sup>nd</sup> Capacity Allocation Period: D. 03:00-04:00 UTC for the delivery period D. 05:00-
- 1653 *24:00 UTC*
- 1654 3<sup>rd</sup> Capacity Allocation Period: D, 09:00-10:00 UTC for the delivery period D, 11:00-
- 1655 24:00 UTC
- 1656 4<sup>th</sup> Capacity Allocation Period: D, 15:00-16:00 UTC for the delivery period D, 17:00-
- 1657 24:00 UTC

7.11.7.2

1684

1685



- 5<sup>th</sup> Capacity Allocation Period: D, 20:00-21:00 UTC for the delivery period D, 22:00-1658 1659 24:00 UTC 1660 Data shall be submitted for the delivery period D, 00:00-24:00 UTC, no later than D-1, 17:00 UTC. Data may be submitted for the delivery period D, 05:00-24:00 UTC, by D, 1661 02:55 UTC, etc. 1662 1663 The submission deadline is the same for all couples of Areas covered by the capacity 1664 allocation's products. 7.11.3 ASSUMPTIONS 1665 1666 There is not more than one Data Provider per capacity allocation instance. 7.11.4 INTEGRATION 1667 1668 - Areas shall be recognised by the platform - The Data Provider shall be consistent with the capacity allocation configuration 1669 1670 - The time interval in the document shall be up to one day 7.11.5 MONITORING 1671 1672 For a given instance of an auction, platform shall monitor that offered transfer capacity 1673 values are submitted for all area couples as deduced from the capacity allocation's products. The submission deadline as described in section 7.11.2 shall be monitored. Monitoring shall 1674 verify that values are submitted for all Capacity Products (i.e. for all Classification Categories 1675 1676 and Classification Sequences). 7.11.6 PROCESSING 1677 No processing is performed on this data item. 1678 7.11.7 **PUBLICATION** 1679 7.11.7.1 PUBLICATION BEHAVIOUR 1680 1681 No particular publication behaviour applies. As usual, the latest version of submitted values 1682 will be displayed. In a given day, values submitted for a later allocation period will update the 1683 offered capacity values for the remainder of the day's delivery period.

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FILTERING AND SORTING CRITERIA

Data shall be visually accessed by selecting the following:

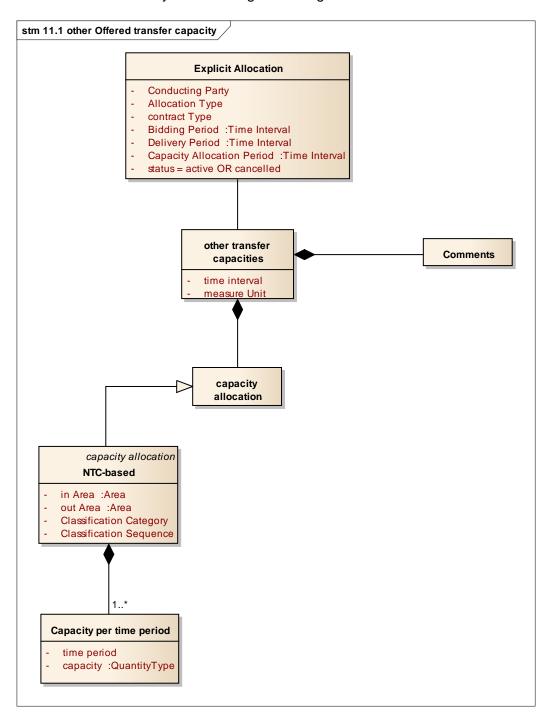
1686	Day (Selection is mandatory)		
1687 1688	Country (selection is optional: If selected, Areas will be filtered to include only those that partially or completely cover the Country)		
1689	Optionally, user may specify Area, couple of Areas and direction.		
1690	7.11.7.3 DISPLAY		
1691	This data shall be displayed in the following section:		
1692	- offer of transfer capacities / Intraday		
1693	The following attributes of data shall be displayed:		
1694	- Title		
1695	- Selected day		
1696	- Measurement Unit		
1697	- Comments		
1698 1699 1700	- For each oriented couple of Areas the following data is repeated: Areas, direction and for each Market Time Unit period within the selected day, the capacity value (for every classification category and classification sequence, when applicable)		
1701			



## 7.12 OTHER OFFERED TRANSFER CAPACITIES [11.1]

## 1703 7.12.1 DATA DESCRIPTION

1704 This item can be described by the following class diagram:



1706 The document shall cover the offered capacities related to one allocation only.



- 1707 Other offered transfer capacities are associated with a semester, quarter, weekend and other
- 1708 explicit transmission allocations that are not covered by the data items described in chapters
- 1709 7.7 through 7.11.
- 1710 Other offered transfer capacities are described between an In Area (the Area flows come
- 1711 into) and an out Area (the Area flows come out from), during a time interval corresponding to
- 1712 the allocation's delivery period, with a single capacity value for the whole time interval
- 1713 expressed in Measure Unit.
- 1714 Capacity values are submitted separately per Classification Category and Classification
- 1715 Sequence.
- 1716 Optionally, other offered transfer capacities can be complemented with comments.
- 1717 **Note:** Flow-based parameters are not in scope for other offered transfer capacities.
- 1718 7.12.2 PRE-CONFIGURATION
- 1719 Other offered transfer capacities are expected for all couples of Areas covered by an
- 1720 explicit allocation's capacity products. Refer to chapter 7.26.1.2 for details on the
- 1721 configuration of an auction's capacity products.
- 1722 For each allocation, the Data Provider is the one indicated in the capacity allocation
- 1723 configuration. Refer to chapter 7.26.1.3 for details.
- 1724 The submission deadline for other offered transfer capacities is a configurable time before
- the allocation process:
- 1726 Example: The oriented couple of Areas is Netherlands Bidding Zone to Belgium Bidding
- Zone, the weekend capacity allocation period starts on Friday 10:00 UTC and deadline is
- 1728 D-1. The weekend for which offers are to be provided is 17<sup>th</sup> of March to 18<sup>th</sup> of March.
- 1729 The document shall be submitted before Thursday March 15<sup>th</sup>, 2012, 10:00 UTC.
- 1730 The submission deadline is the same for all couples of Areas covered by the allocation's
- 1731 capacity products.
- 1732 **7.12.3** ASSUMPTIONS
- 1733 A single value should be provided for the whole time interval, but a higher resolution is
- possible (up to the Market Time Unit used by the couple of Areas).
- 1735 There is not more than one Data Provider per auction instance.
- 1736 **7.12.4** INTEGRATION
- 1737 Areas shall be recognised by the platform



- 1738 The Data Provider shall be consistent with the capacity allocation configuration
- 1739 The time interval of the submitted document corresponds to the capacity allocation's
- 1740 delivery period
- 1741 **7.12.5 MONITORING**
- 1742 For a given instance of an allocation, platform shall monitor that offered transfer capacity
- values are submitted for all area couples as deduced from the allocation's capacity products.
- 1744 The submission deadline described in section 7.12.2 will be monitored.
- 1745 Monitoring shall verify that values are submitted for all Capacity Products (i.e. for all
- 1746 Classification Categories and Classification Sequences).
- 1747 **7.12.6** PROCESSING
- 1748 No processing is performed on this data item.
- 1749 7.12.7 PUBLICATION
- 1750 7.12.7.1 PUBLICATION BEHAVIOUR
- 1751 No specific publication behaviour applies.
- 1752 7.12.7.2 FILTERING AND SORTING CRITERIA
- 1753 Data shall be visually accessed by selecting the following:
- 1754 Contract Type (Selection is mandatory)
- 1755 Time interval (Selection is mandatory)
- 1756 Country (selection is optional: If selected, Areas will be filtered to include only those that
- 1757 partially or completely cover the Country)
- 1758 Optionally, user may specify Area, couple of Areas and direction.
- 1759 7.12.7.3 DISPLAY
- 1760 This data shall be displayed in the following section:
- 1761 offer of transfer capacities / other
- 1762 The following attributes of data shall be displayed:
- 1763 Title

they are displayed as a curve and in a table.

1771

- Contract Type
- Time interval
- Measurement Unit
- Comments
- For each oriented couple of Areas the following data is repeated: Areas, direction and the capacity value (for every classification category and classification sequence)
If capacity values vary during the time interval, it shall be possible to expand the view so that



# 7.13 CROSS-BORDER CAPACITY FOR DC LINKS [11.3] –

## 1773 RAMPING RESTRICTIONS

- 1774 7.13.1 DATA DESCRIPTION
- 1775 This data item consists of a report in the form of a PDF file, describing the ramping or other
- 1776 restrictions applied on a Transmission Asset of type DC Link. The two areas connected by
- 1777 the DC Link are also recorded inside the report.
- 1778 7.13.2 Pre-configuration
- 1779 Data Providers shall be able to configure the following reference data on the platform:
- 1780 A list of Control Areas where ramping restrictions may apply
- 1781 For each Control Area, the unique Data Provider
- 1782 **7.13.3** ASSUMPTIONS
- 1783 Data to be submitted as a report. No monitoring is performed on this data item, due to its
- 1784 unpredictability.
- 1785 **7.13.4** INTEGRATION
- 1786 Data Provider shall on the platform's web site, on the same page where these reports are
- 1787 published, be able to upload a PDF file and associated it with one Control Area. Platform
- shall validate that the Data Provider is authorized for the given Control Area.
- 1789 **7.13.5** MONITORING
- 1790 No monitoring is performed on this data item.
- 1791 **7.13.6** PROCESSING
- No processing is performed on this data item.
- 1793 7.13.7 PUBLICATION
- 1794 7.13.7.1 PUBLICATION BEHAVIOUR
- 1795 Documents are published immediately after upload. All versions shall be published.

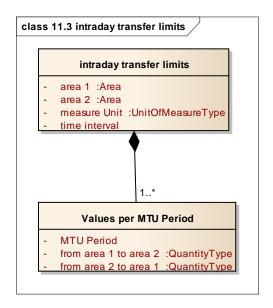
1796	7.13.7.2	FILTERING AND SORTING CRITERIA
1797	- Count	ry or Control Area (mandatory)
1798	- Date r	ange (optional)
1799	7.13.7.3	DISPLAY
1800	This data sha	Il be displayed in the following section:
1801	Transmission	n / Cross Border Capacity of DC Links
1802	Each report shall be available for download by clicking a link	
1803	For every repo	ort, the publication date shall be displayed.



# 7.14 CROSS-BORDER CAPACITY FOR DC LINKS [11.3] – 1806 INTRADAY TRANSFER LIMITS

## 7.14.1 DATA DESCRIPTION

1807



- Document may contain data related to more than one area couple.
- 1810 For two connected Areas, this data item describes intraday transfer limits in each direction,
- 1811 applied on a Transmission Asset of type DC Link, during a time interval up to one day.
- Values are provided per MTU period and expressed in measure unit (MW).
- 1813 Note that the transfer limits may be negative.
- 1814 7.14.2 Pre-configuration
- Data Providers shall be able to configure the following reference data on the platform:
- A list of Area couples where ramping restrictions may apply
- 1817 For each Area couple, up to two Data Providers
- 1818 **7.14.3** ASSUMPTIONS
- 1819 It is not necessary to provide references or details of the concerned transmission asset (of type DC Link).
- No monitoring is performed on this data item, due to its unpredictability.

- 1822 **7.14.4** INTEGRATION
- 1823 Platform shall validate that it recognises the Area couple.
- 1824 Platform shall validate that the Data Provider is authorized for the given Area couple.
- 1825 Time interval covered by document is up to one day.
- 1826 **7.14.5 MONITORING**
- No monitoring is performed on this data item.
- 1828 **7.14.6** PROCESSING
- 1829 If two Data Providers submit data relating to the same Area couple, platform shall for each
- 1830 direction and MTU period select the minimum value for publication.
- 1831 **7.14.7** PUBLICATION
- 1832 7.14.7.1 PUBLICATION BEHAVIOUR
- 1833 Documents are published immediately after upload.
- 1834 Latest version only shall be published.
- 1835 7.14.7.2 FILTERING AND SORTING CRITERIA
- 1836 Area couple (mandatory)
- 1837 Date or date range (mandatory)
- 1838 7.14.7.3 DISPLAY
- 1839 This data shall be displayed in the following section:
- 1840 Transmission / Cross Border Capacity of DC Links
- 1841 The following attributes of the data shall be displayed:
- 1842 Title
- 1843 Unit of measurement (MW)
- 1844 Area couple
- 1845 For each direction between the Areas and for each MTU period, the transfer limit

### 7.15 YEARLY REPORT ABOUT CRITICAL NETWORK 1846 ELEMENTS LIMITING OFFERED CAPACITIES [11.4] 1847 7.15.1 DATA DESCRIPTION 1848 1849 This data item consists of a file that shall be associated with one or several Control Areas. 1850 No monitoring will be performed. 7.15.2 Pre-configuration 1851 1852 ENTSO-E administrator shall be able to manage the reference data that indicates the list of allowed Data Providers per Control Area for this data item. 1853 7.15.3 INTEGRATION 1854 1855 Data Provider shall on the platform's web site, on the same page where these reports are 1856 published, be able to upload a file and associated it with one or several Control Areas. Platform shall validate combination of Control Area and Data Provider. 1857 7.15.4 **PUBLICATION** 1858 7.15.4.1 PUBLICATION BEHAVIOUR 1859 1860 Documents are published immediately after upload. 1861 All versions shall be published. 7.15.4.2 FILTERING AND SORTING CRITERIA 1862 End user shall be able to select data for display by specifying: 1863 1864 - Country or Control Area (optional) 1865 - Publication year (optional) 7.15.4.3 DISPLAY 1866 1867 This data shall be displayed in the following section: 1868 Transmission / Critical network elements 1869 Each report shall be available for download by clicking a link. 1870 For every report, the publication date shall be displayed. 1871

# 7.16 EXPLICIT ALLOCATIONS - USE OF THE TRANSFER CAPACITY [12.1.A]

## 7.16.1 DATA DESCRIPTION

1874

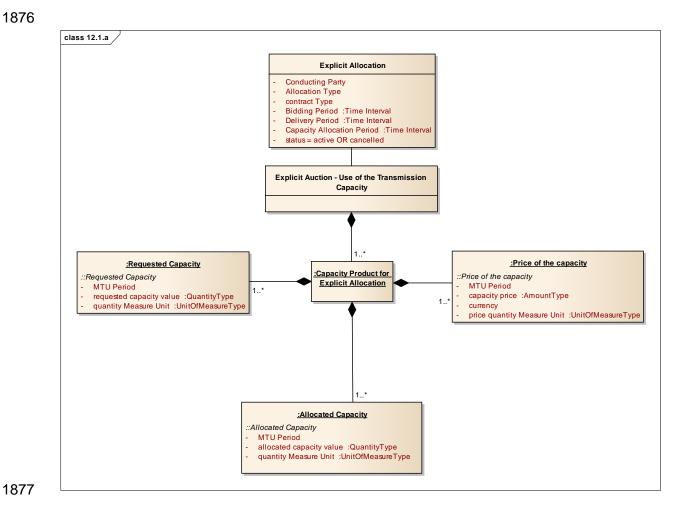
1875

1878

1879 1880

1881

This item can be described by the following class diagram:



The document shall contain data related to one auction only. Results of an Explicit Auction<sup>14</sup> are given by capacity product for the Explicit Auction's Delivery Period, i.e. the time interval during which the transfer capacity will be used. The length of the time interval depends on the auction's Contract Type (one year, one month, one day, etc.). The results consist of:

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 $<sup>^{14}</sup>$  The Explicit Auction in question is the same as the Explicit Auction described in « Total Capacity Already Allocated [12.1.c] »



1882 - the Requested Capacity with a quantity in quantity Measure Unit (MW) for each Market 1883 Time Unit period within the time interval 1884 - the Allocated Capacity with a quantity in quantity Measure Unit (MW) for each Market Time 1885 Unit period within the time interval - the Price of the Capacity with a price in Currency per Price Quantity Measure Unit (MWh) 1886 1887 for each Market Time Unit period within the time interval 7.16.2 Pre-configuration 1888 1889 - For each Explicit Auction instance's capacity products, the data item "Use of the Transmission Capacity", shall be expected by the platform<sup>15</sup>. Refer to chapter 7.26.1.2 for 1890 details on the configuration of an auction's capacity products. 1891 - For each Explicit Auction instance, the unique allowed Data Provider is the one indicated by 1892 1893 the capacity allocation configuration. Refer to chapter 7.26.1.3 for details. 1894 - For each explicit auction instance, the submission deadline: 1895 For a given Explicit Auction instance, submission must be done at the latest one hour 1896 (H+1) after the end of the Capacity Allocation Period. 1897 Example: if the capacity product is Yearly Base 1 between France and German Bidding Zones for the time interval of year 2013, and if the Capacity Allocation Period ends on 1898 1899 November 17<sup>th</sup>, 2012, at 08:00 UTC, the document describing the use of transmission capacity in this time interval must be submitted before November 17<sup>th</sup>, 2012, 09:00 UTC. 1900 1901 Submission deadline is the same for all results associated with all of the Auction 1902 instance's capacity products. 7.16.3 ASSUMPTIONS 1903 No specific assumptions apply to this data item. 1904 7.16.4 INTEGRATION 1905 - The Data Provider shall be consistent with the configuration of the capacity allocation 1906 1907 - Capacity Product shall be consistent with the configuration of the capacity allocation

 $^{\rm 15}$  If Allocation Type is "continuous", prices will not be expected.

- Currency shall be consistent with the capacity product

1908

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1909 - The time interval described in the document shall be complete (e.g. a whole day for the 1910 results of a Daily Auction) 7.16.5 MONITORING 1911 The submission deadline described in section 7.16.2 shall be monitored for each of the 1912 1913 auction's capacity products. 1914 If Allocation Type is "continuous", no monitoring will be performed. 7.16.6 **PROCESSING** 1915 No processing is performed by the platform at this step. 1916 7.16.7 **PUBLICATION** 1917 7.16.7.1 PUBLICATION BEHAVIOUR 1918 1919 No particular behaviour applies to this data item. 7.16.7.2 FILTERING AND SORTING CRITERIA 1920 1921 Data shall be visually accessed by selecting the following: 1922 - Contract Type (Selection is Mandatory) 1923 - Country (selection is optional: If selected, Areas will be filtered to include only those that 1924 partially or completely cover the Country) - Areas couple (Selection is Mandatory) 1925 1926 - Direction (both by default) (Selection is Mandatory) 1927 - Classification Category (Selection is optional) 1928 - Classification Sequence (Selection is optional) 1929 - Conducting Party (Selection is optional) 1930 - Time interval (Start and End date) (Selection is mandatory) 7.16.7.3 1931 DISPLAY 1932 This data shall be displayed in the following section:

- Explicit Auctions (together with the Total Capacity Already Allocated / [12.1.c])

The following attributes of data shall be displayed:

1933

1935	- Title
1936	- Areas name
1937	- Contract Type
1938	- Conducting Party
1939	- Time interval
1940	- Measurement Unit for requested and allocated capacity
1941	- Currency for capacity price
1942	- Measurement Unit for capacity price
1943 1944 1945	- For each MTU Period within the time interval, the requested capacity value, the allocated capacity value and the capacity price value. This is repeated per direction, Classification Category and Classification Sequence.

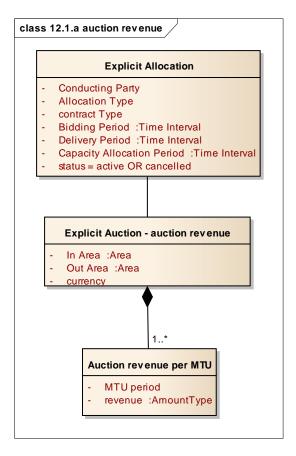


# 7.17 EXPLICIT ALLOCATIONS — AUCTION REVENUE

1948 **[12.1.A]** 

## 1949 7.17.1 DATA DESCRIPTION

This item can be described by the following class diagram:



1951

1952

1953

1954

1955

1956

1950

The document shall contain data related to one auction only. Revenue from an Explicit Auction<sup>16</sup> is given by Area couple for the Explicit Auction's Delivery Period, i.e. the time interval during which the transfer capacity will be used. The length of the time interval depends on the auction's Contract Type (one year, one month, one day, etc.). Revenue is expressed in a Currency. Resolution is MTU period.

<sup>&</sup>lt;sup>16</sup> The Explicit Auction in question is the same as the Explicit Auction described in « Total Capacity Already Allocated [12.1.c] »

## 1957 7.17.2 Pre-configuration

- For each Area couple covered by an Explicit Auction instance's capacity products, the data
- 1959 item "Explicit Auctions Auction Revenue" shall be expected by the platform 17. Refer to
- 1960 chapter 7.26.1.2 for details on the configuration of an auction's capacity products.
- For each Explicit Auction instance, the single allowed Data Provider is the one indicated by
- the capacity allocation configuration. Refer to chapter 7.26.1.3 for details.
- 1963 For each explicit auction instance, the submission deadline:
- For a given Explicit Auction instance, submission must be done at the latest one hour (H+1) after the end of the Capacity Allocation Period.
- 1966 Example: if the Explicit Auction instance is Yearly Base 1 between France and German 1967 Bidding Zones for the time interval of year 2013, and if the Capacity Allocation Period
- ends on November 17<sup>th</sup>, 2012, at 08:00 UTC, the document describing the auction
- revenue in this time interval must be submitted before November 17<sup>th</sup>, 2012, 09:00 UTC.
- Submission deadline is the same for all Area couples covered by the Auction instance's
- 1971 capacity products.

### 1972 **7.17.3** ASSUMPTIONS

- 1973 Not more than one Data Provider per Explicit Auction instance.
- 1974 Data Provider submits one single value of revenue per Area couple and MTU period,
- aggregated for all capacity products (peak, off-peak, etc.) under the auction's Contract Type.
- 1976 Delivery Periods are the same in both directions across a border. Only one currency is
- 1977 applicable in both directions across a border.

## 1978 **7.17.4** INTEGRATION

- 1979 The Data Provider shall be consistent with the capacity allocation configuration
- 1980 Area couple shall be consistent with capacity product, as per capacity allocation
- 1981 configuration
- 1982 Currency shall be consistent with the capacity products covering the Area couple

## 1983 **7.17.5 MONITORING**

1984 The submission deadline described in section 7.16.2 shall be monitored for all Area couples

1985 covered by the auction's capacity products.

 $^{\rm 17}$  When Allocation Type is "continuous", data is not expected.

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- 7.17.6 PROCESSING

  1987 Platform aggregates the total revenue for the whole delivery period by summing up the values submitted per MTU period.

  1989 Once total revenue values for a given delivery period have been submitted for both
- 1991 **7.17.7** PUBLICATION

- 1992 7.17.7.1 PUBLICATION BEHAVIOUR
- A delay in submission of values in a given direction shall not withhold publication of already submitted values in the opposite direction. Publication of aggregated sum per border is performed independently of publication of values per direction. Aggregated sum per border shall be published as soon as values in both directions have been submitted.
- 1997 7.17.7.2 FILTERING AND SORTING CRITERIA

directions, platform aggregates a grand total for the border.

- 1998 Data shall be visually accessed by selecting the following:
- 1999 Contract Type (selection is mandatory)
- Country (selection is optional: If selected, Area couples will be filtered to include only those
   that partially or completely cover the Country)
- 2002 Areas couple (selection is mandatory)
- 2003 Date or date range (selection is mandatory)
- 2004 7.17.7.3 DISPLAY
- 2005 This data shall be displayed in the following section:
- **Explicit Auctions** (together with the previously allocated Capacity / [12.1.c])
- 2007 The following attributes of data shall be displayed:
- 2008 Title
- 2009 Area couple
- 2010 Contract Type
- 2011 Currency
- 2012 Delivery Period

- For each delivery period during the selected date range, the following details of auction revenue are displayed:
 - In each direction and per MTU period
 - aggregated revenue in each direction for the whole Delivery Period
 - aggregated revenue for the border, for the whole Delivery Period



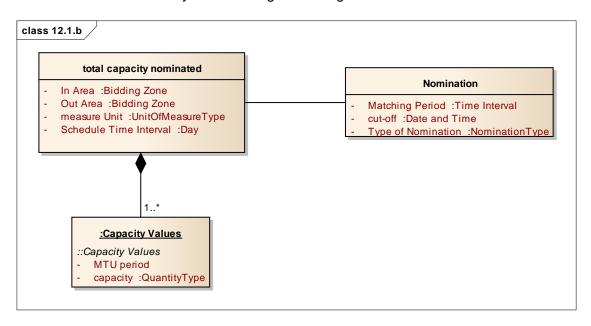
# 7.18 TOTAL CAPACITY NOMINATED [12.1.B]

#### 2019 7.18.1 DATA DESCRIPTION

2020

2021

This item can be described by the following class diagram:



- The document may cover several couples of bidding zones and directions.
- Values are expected for one day and are given by Market Time Unit period.
- For a given In and Out Area couple and Schedule Time Interval (i.e. day), values may be submitted separately for each applicable nomination round, or in a single aggregated submission. When there are several nomination rounds, they are typically separate for Long-term, Daily and Intraday. There may be several intraday nominations rounds for a given In and Out Area.
- Total Capacity Nominated is relevant only for borders between bidding zones where explicit auctions are being held.
- 2031 7.18.2 Pre-configuration
- A list of In and Out Area couples where the data item "Total Capacity Nominated" is expected
- For each In and Out Area couple and day, the applicable Nominations as per 7.1.3
- 2035 For each In and Out Area couple, the allowed Data Provider(s), with their priorities
- For each In and Out Area couple, the applicable submission deadline(s).

2037 2038 2039	<ul> <li>Submission must be done H+1 after the respective cut-off time (when no correction is possible on nominations) of the concerned nomination round on this given In and Out Area couple.</li> </ul>
2040 2041 2042 2043	Example: if the couple of Bidding Zones is France - Germany, and if there is only a dayahead cut-off time at 20:00 UTC, for the day March 13 <sup>th</sup> , 2012, the document shall be submitted before March 12 <sup>th</sup> , 2012, 21:00 UTC and contain capacity values for the whole day of March 13 <sup>th</sup> , 2012.
2044 2045	Example: If the couple of Bidding Zones is France – Spain and in addition to long-term and daily nominations there are also intraday nominations:
2046 2047	long-term nomination round with cut-off at D-1, 12:00 UTC for the delivery period D, 00:00 – 24:00 UTC
2048 2049	daily nomination round with cut-off at D-1, 18:00 UTC for the delivery period D, 00:00 – 24:00 UTC
2050 2051	1 <sup>st</sup> intraday nomination round with cut-off at D-1, 20:00 UTC for the delivery period D, 06:00-24:00 UTC
2052 2053	2 <sup>nd</sup> intraday nomination round with cut-off at D, 04:00 UTC for the delivery period D, 12:00-24:00 UTC
2054 2055	3 <sup>rd</sup> intraday nomination round with cut-off at D, 12:00 UTC for the delivery period D, 18:00-24:00 UTC
2056	The following documents shall be submitted:
2057 2058	A document containing the long-term nominations for the whole delivery period D, 00:00 – 24:00 UTC, shall be submitted no later than D-1, 13:00 UTC
2059 2060	A second document containing the daily nominations for the whole delivery period D, 00:00 – 24:00 UTC, shall be submitted no later than D-1, 19:00 UTC
2061 2062	A third document containing the intraday nominations for the whole delivery period D, 00:00 – 24:00 UTC, shall be submitted no later than D-1, 21:00 UTC.
2063 2064	A higher version of the third document containing the intraday nominations for the whole delivery period D, 00:00 – 24:00 UTC, shall be submitted no later than D, 05:00 UTC.
2065 2066	A higher version of the third document containing the intraday nominations for the whole delivery period D, 00:00 – 24:00 UTC, shall be submitted no later than D, 13:00 UTC.
2067 2068	Configuration of the matching process, including cut-off times, is described in chapter 7.1.3.

- 2069 **7.18.3** ASSUMPTIONS
- 2070 if Data Provider submits aggregated data, there would then be only one daily submission
- 2071 covering the whole day (and possibly with subsequent updates).
- 2072 If there is more than one round of intraday nominations for a given Schedule Time Interval
- 2073 (day), then each round of nomination shall be described by a higher version of the original
- 2074 document.
- 2075 **7.18.4** INTEGRATION
- 2076 Area couple shall be recognised by the platform
- 2077 The Data Provider shall be consistent with the identified Area couple
- 2078 The Schedule Time Interval in the submitted document is one day
- 2079 **7.18.5** MONITORING
- 2080 This data item is expected one or several times per day for all declared In and Out Area
- 2081 couples. For each couple of In and Out Areas, the daily or intraday submission deadline(s)
- 2082 described in section 7.18.2 shall be monitored. If no data has been submitted, all declared
- 2083 Data Providers will be notified.
- 2084 **7.18.6** PROCESSING
- 2085 If data has been submitted for a given Bidding Zone couple, direction, nomination and
- 2086 schedule Time Interval (day) by more than one Data Provider, platform shall process data
- 2087 coming from Data Provider with highest priority.
- 2088 When there are several submissions for a given Bidding Zone couple, direction and
- 2089 Schedule Time Interval (day), platform shall aggregate nominations per MTU period:
- 2090 Aggregated nomination = Long-term nomination + daily nomination + most recent intraday
- 2091 nomination
- 2092 Continuing the second example from section 7.18.2, this means that the values submitted for
- 2093 1<sup>st</sup> intraday nomination will be replaced by the values submitted for the 2<sup>nd</sup> intraday
- 2094 nomination round, which in their turn will be replaced by the values submitted for the 3<sup>rd</sup>
- 2095 intraday nomination round.
- 2096 **7.18.7** PUBLICATION
- 2097 7.18.7.1 Publication Behaviour
- 2098 Aggregated values only shall be published.

2099	7.18.7.2 FILTERING AND SORTING CRITERIA
2100	Data shall be visually accessed by selecting the following:
2101	- Day (Selection is mandatory)
2102 2103	- Country (selection is optional: If selected, Bidding Zone couples will be filtered to include only those that partially or completely cover the Country)
2104	- Bidding Zones couple or Bidding Zone
2105	7.18.7.3 DISPLAY
2106	This data shall be displayed in the following section:
2107	- Transmission / Total nominated capacity
2108	The following attributes of data shall be displayed:
2109	- Title
2110	- Schedule Time Interval (i.e. selected Day)
2111	- Measurement Unit
2112	- MTU
2113	- Bidding Zone couple
2114	- in each direction, the aggregated capacity value for each MTU
2115	Data is published in table and chart formats.



# 7.19 TOTAL CAPACITY ALREADY ALLOCATED [12.1.C]

### 2117 7.19.1 DATA DESCRIPTION

2119

2120

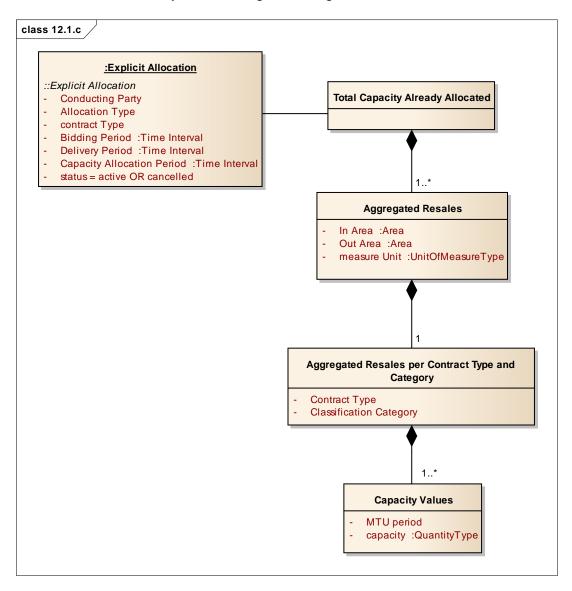
2121

2122

2123

2124

2118 This item can be described by the following class diagram:



The document shall contain data related to exactly one auction instance. For each couple of In and Out Areas covered by the auction's capacity products, the aggregated resales of capacity is submitted per Contract Type and Classification Category, with one capacity value in measure Unit for each Market Time Unit period within a time interval corresponding to the auction's Delivery Period.



- 2125 This data item is relevant for explicit allocations only. It is not applicable to allocations of
- 2126 yearly capacity products since in that case there is no previously allocated capacity.
- 2127 Example: Before a daily auction, documents submitted separately shall contain the
- 2128 aggregated resales from yearly to monthly auction, and from yearly and monthly auction to
- 2129 weekly auction, respectively. Hence aggregated resales are provided per contract types
- 2130 "monthly" and "weekly" and per classification category ("base" and "peak", for example). For
- 2131 each of those contract types and classification categories, capacity values shall be provided
- 2132 for each MTU period of the delivery period of the daily auction.

### 2133 7.19.2 Pre-configuration

- 2134 For each Explicit Allocation instance (except for yearly), the data item "Total Capacity
- 2135 Already Allocated" shall be expected by the platform for all Area couples covered by the
- 2136 Auction's capacity products. Refer to chapter 7.26.1.2 for details on the configuration of an
- 2137 auction's capacity products.
- 2138 For each Explicit Allocation instance, the unique allowed Data Provider is the one indicated
- in the capacity allocation configuration. Refer to chapter 7.26.1.3 for details.
- 2140 For a given allocation, the submission deadline depends on the Contract Type (monthly,
- 2141 weekly, etc.) and is the same as for the offered transfer capacity [11.1], refer to sections
- 2142 7.10.2 through 7.11.2.

#### 2143 7.19.3 ASSUMPTIONS

- 2144 Data Providers have submitted allocated capacity as per data item [12.1.a], refer to chapter
- 2145 7.16. If this information has not been submitted, the calculations described in chapter 7.19.6
- 2146 cannot be carried out.

### 2147 **7.19.4** INTEGRATION

- The Data Provider shall be consistent with the configuration of the capacity allocation, refer
- 2149 to chapter 7.26.1.3 for details
- 2150 The time interval described in the document shall be complete<sup>18</sup> (e.g. a whole day for a
- 2151 Daily auction)

<sup>&</sup>lt;sup>18</sup> If there is a yearly auction in which the offered capacity covers a time interval with maintenance periods (e.g. due to outages), the submitted document should still cover the whole year (from 01.01.YYYY to 31.12.YYYY).



### 2152 **7.19.5** MONITORING

- 2153 For a given instance of an Explicit Allocation (except for yearly), platform shall monitor that
- 2154 the data item "Total Capacity Already Allocated" is submitted for all area couples as deduced
- 2155 from the auction's capacity products. Platform shall verify that aggregated resales are
- 2156 submitted for all applicable Contract Types and Classification Categories, as per available
- 2157 configuration of capacity allocations.
- 2158 Example: Continuing with the daily auction described above, aggregated resales for the
- 2159 monthly and weekly auctions of the "base" and "peak" categories, respectively, will be
- 2160 expected and monitored by the platform.
- 2161 Submission deadlines depend on the auction as explained in chapter 7.19.2.

#### 2162 **7.19.6** PROCESSING

- 2163 For each In and Out Area couple and per MTU period, platform shall add total capacity
- 2164 already allocated, as submitted for data item [12.1.a]. Values for all products, i.e. for all
- 2165 classification categories and classification sequences, shall be added. From this sum,
- 2166 platform shall subtract the aggregated resales for all Contract Types and classification
- 2167 categories as submitted for this data item [12.1.c].
- 2168 Example: Continuing with the example of the daily auction, the total capacity already
- 2169 allocated is established by
- 2170 + values submitted under data item [12.1.a] representing allocated capacity in yearly auction
- 2171 (for peak, off-peak, etc. and all classification sequences)
- 2172 + values submitted under data item [12.1.a] representing allocated capacity in monthly
- 2173 auction
- 2174 + values submitted under data item [12.1.a] representing allocated capacity in weekly auction
- 2175 values submitted under this data item [12.1.c] representing aggregated resales from yearly
- 2176 to monthly auction for all classification categories ("peak", "base", etc.)
- 2177 values submitted under this data item [12.1.c] representing aggregated resales from yearly
- 2178 and monthly auctions to weekly auction for all classification categories ("peak", "base", etc.).

### 2179 **7.19.7** PUBLICATION

### 2180 7.19.7.1 PUBLICATION BEHAVIOUR

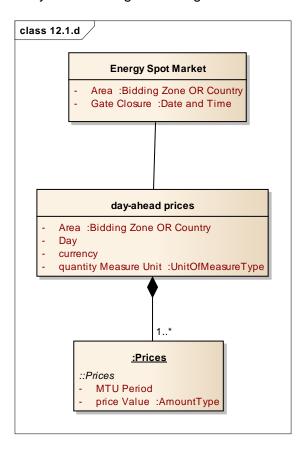
- 2181 If allocated capacity has not been submitted for data item [12.1.a] and calculation therefore
- 2182 cannot be performed, values shall be indicated as "missing".

2183	7.19.7.2 FILTERING AND SORTING CRITERIA
2184	Data shall be visually accessed by selecting the following:
2185	- Contract Type (Selection is Mandatory)
2186 2187	- Country (selection is optional: If selected, Areas will be filtered to include only those that partially or completely cover the Country)
2188	- Areas couple (Selection is Mandatory)
2189	- Direction (both by default) (Selection is Mandatory)
2190	- Time window (Start and End date) (Selection is mandatory)
2191	7.19.7.3 DISPLAY
2192	This data shall be displayed in the following section:
2193	- Explicit Auctions / Total Capacity Already Allocated
2194	The following attributes of data shall be displayed:
2195	- Title
2196	- Areas name
2197	- Contract Type
2198	- Time window
2199	- Measurement Unit
2200 2201	- For each MTU Period within the time window, the total capacity already allocated. This is repeated per direction.

# 2203 7.20 DAY-AHEAD PRICES [12.1.D]

### 2204 7.20.1 DATA DESCRIPTION

2205 This item can be described by the following class diagram:



The document shall cover only one bidding zone. For a given day, prices are given for every MTU period and expressed in currency per MWh.

### 2209 7.20.2 PRE-CONFIGURATION

2206

- By default, day-ahead prices are expected for all bidding zones. In some instances, it is submitted by country rather than bidding zone<sup>19</sup>.
- 2212 For each bidding zone or country, the unique allowed Data Provider
- For each bidding zone or country, the daily submission deadline: One hour (H+1) after the
   gate closure of energy spot market. This is controlled by pre-configuration, refer to chapter
   7.1.4 for details.

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 $<sup>^{\</sup>rm 19}$  This is the case for Germany and Austria.

- Bidding Zone or Country

7.20.3 ASSUMPTIONS 2216 2217 There shall be only one Data Provider for a given bidding zone or country. 7.20.4 INTEGRATION 2218 2219 - Bidding zone or country shall be recognised by the platform 2220 - The Data Provider shall be consistent with the bidding zone or country 2221 - Currency shall be consistent with pre-configuration [C9] 7.20.5 2222 MONITORING 2223 For every bidding zone or country where this data is expected, the daily submission deadline 2224 shall be monitored. 7.20.6 **PROCESSING** 2225 2226 No processing is performed by the platform on this data item. 7.20.7 **PUBLICATION** 2227 7.20.7.1 PUBLICATION BEHAVIOUR 2228 2229 No particular behaviour applies to this data item. 7.20.7.2 FILTERING AND SORTING CRITERIA 2230 2231 Data shall be visually accessed by selecting the following: 2232 - Country or Bidding zone (Selection is Mandatory) - Day (Selection is mandatory) 2233 7.20.7.3 DISPLAY 2234 This data shall be displayed in the following section: 2235 2236 - Day-ahead prices The following attributes of data shall be displayed: 2237 2238 - Title

2240	<ul> <li>Selected</li> </ul>	day
------	------------------------------	-----

- 2241 Currency
- 2242 Quantity Measurement Unit (MWh)
- 2243 For each MTU period during the selected day, the day-ahead price
- 2244



# 7.21 IMPLICIT ALLOCATIONS – NET POSITIONS [12.1.E]

### 2246 7.21.1 DATA DESCRIPTION

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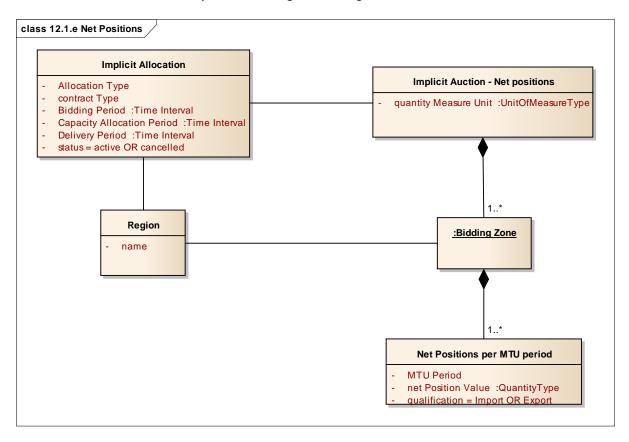
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This item can be described by the following class diagram:



Net positions for an Implicit Allocation<sup>20</sup> are given by Bidding Zone for a given time interval corresponding to the implicit allocation's delivery period (for which transfer capacity was auctioned and will be used). The Net Positions consist of a value for each Market Time Unit period during the Delivery Period, in Quantity Measure Unit (MW). Note that net position is always a positive value or zero. The Net Position is described as "import" or "export".

When Contract Type is Day-Ahead, the length of the Delivery Period is exactly one day. When Contract Type is Intraday, the length of the Delivery Period is up to one day.

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 $<sup>^{20}</sup>$  The Implicit Allocation in question is the same as the Implicit Allocation described in « Implicit Allocation – Congestion Income » - chapter 7.22

### 2256 7.21.2 Pre-configuration

- For each bidding zone covered by the implicit allocation's products, net positions shall be
- 2258 expected by the platform. Refer to chapter 7.26.1.2 for details on the configuration of an
- 2259 allocation's capacity products.
- 2260 For each bidding zone covered by any implicit allocation, the single allowed Data Provider
- 2261 is the one indicated by the capacity allocation configuration. Refer to chapter 7.26.1.3 for
- 2262 details.
- 2263 For each Implicit Allocation, the submission deadline:
- For a given Implicit Allocation instance, submission must be done at the latest one hour (H+1) after the end of the Capacity Allocation Period.
- 2266 Example: if the Daily Implicit Allocation instance is between France and German Bidding
- Zones for a time interval of March 13<sup>th</sup>, 2012, and if the Capacity Allocation Period ends
- on March 12<sup>th</sup>, 2012, at 12:00 UTC, the documents describing the net positions for the
- time interval must be submitted before March 12<sup>th</sup>, 2012, 13:00 UTC.
- 2270 Submission deadline is the same for all bidding zones associated with the Implicit
- 2271 Allocation.

### 2272 **7.21.3** ASSUMPTIONS

2273 There is not more than one Implicit Allocation per Region and Contract Type.

### 2274 **7.21.4** INTEGRATION

- 2275 The Data Provider shall be consistent with the implicit allocation and bidding zone as per
- pre-configuration described in 7.21.2
- 2277 The Delivery Period described in the document shall be complete
- 2278 **7.21.5 MONITORING**
- 2279 For a given instance of an Implicit Allocation, the submission deadline shall be monitored for
- 2280 all bidding zones covered by the implicit allocation's capacity products.
- 2281 **7.21.6** PROCESSING
- No processing is performed by the platform.

2283	7.21.7	PUBLICATION
2284	7.21.7.1	PUBLICATION BEHAVIOUR
2285	No specific be	ehaviour applies to this data item.
2286	7.21.7.2	FILTERING AND SORTING CRITERIA
2287	Data shall be	visually accessed by selecting the following:
2288	- Region (Sel	ection is optional)
2289 2290	• `	election is optional: If selected, Areas will be filtered to include only those that impletely cover the Country)
2291	- One or seve	eral Bidding Zones <sup>21</sup> (Selection is Mandatory)
2292	- Day (Select	ion is mandatory)
2293	- Contract Ty	pe: Day-Ahead or Intraday
2294	7.21.7.3	DISPLAY
2295	This data sha	all be displayed in the following section:
2296	- Implicit Alle	ocations
2297	The following	attributes of data shall be displayed:
2298	- Title	
2299	- Region nam	ne (if selected)
2300	- Day	
2301	- Measureme	nt Unit (MW)
2302	- Contract Ty	ре
2303 2304 2305 2306	each MTU P "export". Who	ng data is repeated for each selected Bidding Zone: Bidding Zone name and for Period within the selected day, the net position and whether it is "import" or en selected Contract Type is Intraday, net positions are displayed for each od during the selected day.
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<sup>21</sup> If region has been selected, the selectable Bidding Zones shall be associated with the Region, as per pre-configuration [C-TRM-6].

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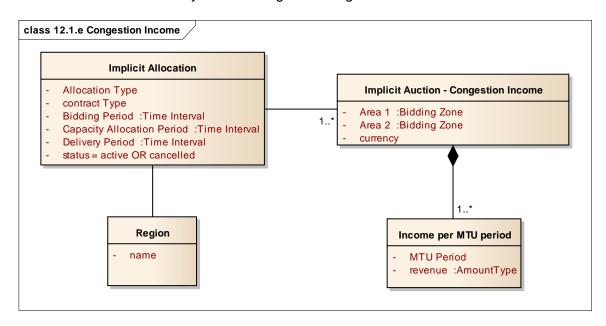
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# 7.22 IMPLICIT ALLOCATIONS – CONGESTION INCOME [12.1.E]

### 7.22.1 DATA DESCRIPTION

2311 This item can be described by the following class diagram:



Congestion income for an Implicit Allocation<sup>22</sup> is given per Area couple for a given time interval corresponding to the implicit allocation's delivery period (for which transfer capacity was auctioned and will be used).

When Contract Type is Day-Ahead, length of Delivery Period is exactly one day. When Contract Type is Intraday, length of Delivery Period is up to one day.

The congestion revenue is described by a value for each Market Time Unit period during the Delivery Period, in currency.

### 7.22.2 Pre-configuration

- For each Area covered by all the implicit allocation's capacity products, Congestion Income shall be expected by the platform. Refer to chapter 7.26.1.2 for details on the configuration of an allocation's capacity products.

<sup>&</sup>lt;sup>22</sup> The Implicit Allocation in question is the same as the Implicit Allocation described in « Implicit Allocation – Net Positions » (chapter 7.21)

7.22.7.1

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2324 - For each Area couple covered by the implicit allocation, the single allowed Data Provider is 2325 the one indicated by the capacity allocation configuration. Refer to chapter 7.26.1.3 for 2326 2327 - For each Implicit Allocation, the submission deadline: 2328 For a given Implicit Allocation instance, submission must be done at the latest one 2329 hour (H+1) after the end of the Capacity Allocation Period. 2330 Example: if the Daily Implicit Allocation instance is between France and German Bidding Zones for the time interval of March 13th, 2012, and if the Capacity Allocation Period ends 2331 on March 12<sup>th</sup>, 2012, at 12:00 UTC, the documents describing the Congestion Income for 2332 this time interval must be submitted before March 12th, 2012, 13:00 UTC. 2333 2334 Submission deadline is the same for all Area couples covered by the Implicit Allocation. 7.22.3 ASSUMPTIONS 2335 Only one Data Provider per Area couple. 2336 2337 There is not more than one Implicit Allocation per Region. 2338 Data is submitted per area couple and platform does not have to perform any calculation. 7.22.4 INTEGRATION 2339 2340 - Currency shall be consistent with the capacity products covering the Area couple 2341 - The Data Provider shall be consistent with the implicit allocation as per pre-configuration 2342 described in 7.22.2 2343 - The Delivery Period described in the document shall be complete 7.22.5 MONITORING 2344 2345 For a given instance of an Implicit Allocation, the submission deadline common to all Area 2346 couples covered by the capacity products shall be monitored. 7.22.6 **PROCESSING** 2347 No processing is performed by the platform at this step. 2348 7.22.7 **PUBLICATION** 2349

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PUBLICATION BEHAVIOUR

No specific behaviour applies to this data item.

2352	7.22.7.2	FILTERING AND SORTING CRITERIA
2353	Data shall be	visually accessed by selecting the following:
2354	- Region (Sel	ection is optional)
2355 2356		election is optional: If selected, Bidding Zones will be filtered to include only tially or completely cover the Country)
2357	- One or seve	ral Bidding Zones <sup>23</sup> (Selection is Mandatory)
2358	- Contract Typ	pe e
2359	- Day (Selecti	on is mandatory)
2360	7.22.7.3	DISPLAY
2361	This data sha	Il be displayed in the following section:
2362	- Implicit Aud	ctions
2363	The following	attributes of data shall be displayed:
2364	- Title	
2365	- Region nam	e (if selected)
2366	- Day	
2367	- Contract Typ	pe e
2368 2369 2370 2371	Zones and cu When selecte	ng is repeated for each border (i.e. couple of Bidding Zones): Name of Bidding Irrency and for each MTU Period within the selected day, the revenue amount and Contract Type is Intraday, revenue amounts are displayed for each Delivery the selected day.

<sup>23</sup> The selectable Bidding Zones shall be associated with the Region, as per pre-configuration [C-TRM-6].

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### 7.23 SCHEDULED COMMERCIAL EXCHANGES [12.1.F]

#### 7.23.1 DATA DESCRIPTION

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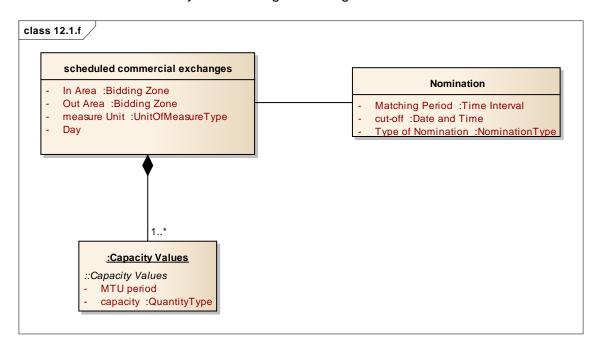
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This item can be described by the following class diagram:



The document may cover several couples of bidding zones and directions. Values are expected for one day and are given by Market Time Unit period.

#### 2379 7.23.2 Pre-configuration

- The data item "Scheduled commercial exchanges" is expected for all In and Out Area couples covered by a matching process. Refer to chapter 7.1.3 for details on the configuration of the matching processes.
- For each In and Out Area couple, the allowed Data Provider(s) with their priorities
- For each In and Out Area couple, and assuming there is a nomination process for this Area couple, the submission deadline is dependent on the nomination process. There is a daily submission deadline one hour (H+1) after the last cut-off time.
- Example: if the couple of Bidding Zones is France Germany, and if the day-ahead cutoff time is 20:00 UTC, for the day March 13<sup>th</sup>, 2012, the document shall be submitted before March 12<sup>th</sup>, 2012, 21:00 UTC.
- Further, if there is also intraday nomination on the In and Out Area couple and such intraday nomination results in an update to the scheduled day-ahead commercial



2393 the intraday nomination. 2394 Configuration of matching process, including the cut-off time, is described in chapter 2395 7.1.3. 7.23.3 ASSUMPTIONS 2396 2397 Updates are submitted only if schedule has been modified. As a consequence, monitoring of 2398 submission deadlines for updates are not feasible and will not be performed. 7.23.4 2399 INTEGRATION 2400 - Bidding Zones shall be recognised by the platform 2401 - The Data Provider shall be consistent with the identified Bidding Zones 2402 - The time interval in the submitted document is of one day 7.23.5 MONITORING 2403 For each couple of In and Out Areas configured in the matching process (refer to chapter 2404 2405 7.1.3), the daily submission deadline described in section 7.23.2 shall be monitored. If no 2406 data has been submitted, all declared Data Providers will be notified. 7.23.6 **PROCESSING** 2407 2408 If for a given Bidding Zone couple and MTU period more than one Data Provider has 2409 submitted data, platform will publish the value from the Data Provider with highest priority. 7.23.7 **PUBLICATION** 2410 7.23.7.1 PUBLICATION BEHAVIOUR 2411 2412 No specific publication behaviour for this data item. 7.23.7.2 FILTERING AND SORTING CRITERIA 2413 2414 Data shall be visually accessed by selecting the following: - Day (Selection is mandatory) 2415 2416 - Country (selection is optional: If selected, Bidding Zone couples will be filtered to include 2417 only those that partially or completely cover the Country) 2418 - Bidding Zones couple or Bidding Zone

exchange, an updated schedule shall be submitted no later than two hours (H+2) after

2419	7.23.7.3 DISPLAY
2420	This data shall be displayed in the following section:
2421	- Transmission / Scheduled day-ahead commercial exchanges
2422	The following attributes of data shall be displayed:
2423	- Title
2424	- selected Day
2425	- Measurement Unit
2426	- MTU
2427 2428	- for each couple of Bidding Zones, for each Market Time Unit within the selected day, the capacity values per direction in each Bidding Zone



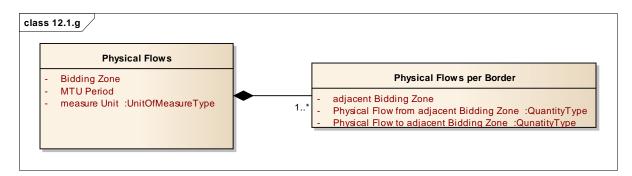
# 7.24 PHYSICAL FLOWS [12.1.G]

#### 7.24.1 DATA DESCRIPTION

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2432 This item can be described by the following class diagram:



- 2434 Physical Flows are described between two Bidding Zones, or in some cases two countries, with one flow value per Market Time Unit period in each direction, in Measure Unit (MW).
- 2436 7.24.2 PRE-CONFIGURATION
- 2437 A list of couples of Bidding Zones or countries where Physical Flows are expected
- For each couple of Bidding Zones or countries where Physical Flows are expected, as of what date and time it shall be expected by the platform. Optionally an end date and time may be configured as well.
- For each couple of Bidding Zones or countries, the allowed Data Providers, and their
   priorities for each direction across the border between the Bidding Zones
- For each couple of Bidding Zones or countries and Data Provider, an indicator whether netted or non-netted values are expected. By default, netted values are expected.
- For each couple of Bidding Zones or countries where Physical Flows are expected, the submission deadline (to be configured if needed to override the default submission deadline):
- For a given couple of Bidding Zones or countries, submission must be done at the latest one hour (H+1) after the end of the MTU Period.
- Example: if the couple of Bidding Zones is France Germany, for a MTU Period of March 13<sup>th</sup>, 2012, between 10:00 and 10:30 UTC, the document describing the physical flows during this MTU Period must be submitted before March 13<sup>th</sup>, 2012, 11:30 UTC.
- 2452 **7.24.3** ASSUMPTIONS
- 2453 There are no assumptions specific to this data item.

### 2454 **7.24.4** INTEGRATION

- 2455 Bidding Zones or countries shall be recognised by the platform
- 2456 The Data Provider shall be consistent with the identified couple of Bidding Zones or
- 2457 countries
- 2458 Data will be rejected if data provider configured as sending netted values sends non-netted
- 2459 values
- 2460 Data will be rejected if data provider configured as sending non-netted values actually
- sends netted values (i.e. submits values in one direction only).

### 2462 **7.24.5** MONITORING

- 2463 For a given couple of Bidding Zones or countries where Physical Flows are expected, the
- 2464 submission deadline described above shall be monitored for every MTU Period. If no data
- 2465 has been received, all declared Data Providers will be notified.

### 2466 7.24.6 PROCESSING

- 2467 If Data Provider is configured as submitting non-netted values for a given couple of Bidding
- Zones (or countries) and MTU period, platform shall calculate net value.
- 2469 If Data Provider is configured as submitting netted values, the absence of a value in a given
- 2470 direction and MTU period shall be treated as a zero value.
- 2471 Values from all senders (when applicable) are to be stored in the database, with the
- 2472 indication of the associated priority.

### 2473 **7.24.7** PUBLICATION

### 2474 7.24.7.1 PUBLICATION BEHAVIOUR

- 2475 The following precedence rule applies, when more than one party is responsible for the
- 2476 submission of data:
- 2477 For a given direction between a couple of Bidding Zones (or countries) and a given MTU
- 2478 Period, the platform shall publish the net value available from the party with the highest
- 2479 priority
- 2480 If, for a given MTU Period, data is missing from the provider with the highest priority, then
- 2481 the net value from the Data Provider with the inferior priority shall be displayed if available
- 2482 (and so on if more than two providers).

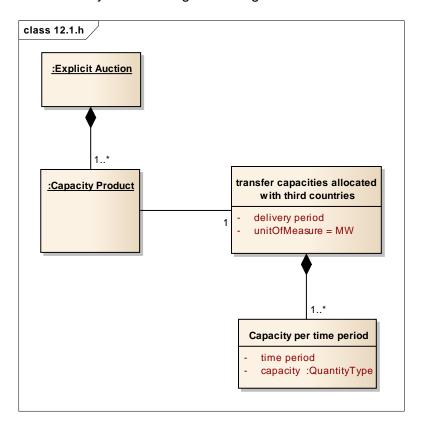
2483	1.24.1.2 FILTERING AND SORTING CRITERIA
2484	Data shall be visually accessed by selecting the following:
2485	- Day (Selection is mandatory)
2486	- Country or Bidding Zone, alternatively couple of countries or Bidding Zones
2487	
2488	7.24.7.3 DISPLAY
2489	This data shall be displayed in the following section:
2490	- Cross-Border Physical Flows
2491	The following attributes of data shall be displayed:
2492	- Title
2493	- Selected day
2494	- Measurement Unit
2495 2496	- For each Bidding Zone or country couple, for each Market Time Unit within the selected day, the netted physical flow values in each direction



# 7.25 TRANSFER CAPACITIES ALLOCATED WITH THIRD COUNTRIES [12.1.H]

### 2500 7.25.1 DATA DESCRIPTION

2501 This item can be described by the following class diagram:



The document shall contain data related to not more than one auction. For each capacity product, the allocated transfer capacity is described for the whole Delivery Period. The length of the delivery period depends on the auction's Contract Type (yearly, daily, etc.).

The allocated capacity may be described as a constant value or may vary during the delivery period, with a resolution up to MTU.

2508 Measure Unit is fixed to MW.

2502

2509 For this data item, the capacity product's In Area or Out Area may be a third country.

### 2510 7.25.2 Pre-configuration

2511 - A list of third countries



- 2512 If for a given explicit auction instance the In Area or Out Area of a capacity product is a third
- country, the data "Transfer Capacities allocated with third countries" shall be expected by the
- 2514 platform. Refer to chapter 7.26.1.2 for details on the configuration of an auction's capacity
- 2515 products.
- 2516 For each capacity product, the unique allowed Data Provider is the one indicated by the
- 2517 Transmission Auction configuration. Refer to chapter 7.26.1.3 for details.
- 2518 For each explicit auction instance, the submission deadline: One hour after end of the
- 2519 capacity allocation period.
- 2520 Submission deadline is the same for all the Auction's products.
- 2521 **7.25.3** ASSUMPTIONS
- 2522 No specific assumptions apply to this data item.
- 2523 **7.25.4** INTEGRATION
- 2524 The Data Provider shall be consistent with the capacity product
- 2525 **7.25.5 MONITORING**
- 2526 The submission deadline shall be monitored for each explicit auction's capacity products that
- 2527 cover a third country.
- 2528 **7.25.6** PROCESSING
- No processing is performed by the platform on this data item.
- 2530 **7.25.7** PUBLICATION
- 2531 7.25.7.1 PUBLICATION BEHAVIOUR
- No particular behaviour applies to this data item.
- 2533 7.25.7.2 FILTERING AND SORTING CRITERIA
- 2534 Data shall be visually accessed by selecting the following:
- 2535 Country (selection is optional: If selected, In and/or Out Areas that at least partially cover
- 2536 the Country will be used as filtering criteria)
- 2537 Areas couple (Selection is Mandatory)
- 2538 Direction (Selection is Optional)

that they are displayed as a curve and in a table.

2553

2539 - Date or date range (Selection is mandatory) 2540 - capacity product (i.e. contract type), selection is optional 7.25.7.3 DISPLAY 2541 2542 This data shall be displayed in the following section: 2543 - Transmission / Transfer capacities allocated with third countries 2544 The following attributes of data shall be displayed: 2545 - Title 2546 - Areas name 2547 - Date or date range 2548 - Contract Type 2549 - Measurement Unit - Attributes of the applicable capacity product(s): contract type, classification category, 2550 2551 classification sequence and allocated capacity value. 2552 If capacity values vary during the delivery period, it shall be possible to expand the view so



# 7.26 CONFIGURATION OF TRANSMISSION CAPACITY

### ALLOCATION

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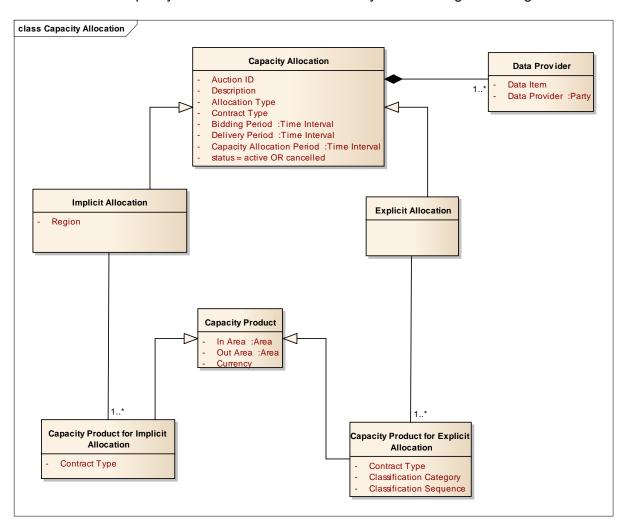
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### 2556 7.26.1 DATA DESCRIPTION

### 7.26.1.1 CAPACITY ALLOCATIONS

2558 A Transmission Capacity Allocation can be described by the following class diagram:



For each Capacity Allocation, the following attributes are mandatory: Type (implicit or explicit) and a unique Auction ID. An optional free-text description may be associated with an allocation.

For implicit allocations, optionally the allocation type may be specified (Market Coupling or Market Splitting). For explicit auctions, by default the allocation type is not specified but may in particular cases be "shadow auction" or "continuous".

2566	For implicit allocations, optionally a Region may be indicated.
2567	For explicit allocations, optionally a Conducting Party may be declared.
2568	The Auction ID may never be modified once an Allocation has been created.
2569	Instances of capacity allocations have specific bidding, allocation and delivery periods.
2570 2571 2572 2573 2574 2575	Allocations typically take place on a recurring basis, for example in December every year to sell capacity products for use during the following year (i.e. yearly products). The Contract Type determines the frequency, i.e. once a year, once a month, every day, etc. Exceptionally, there may also be non-recurring allocations, held at a single occasion only. For every allocation, it shall be possible to record the date(s) and time(s) when it is being held. It shall be possible to select the date(s) using a calendar.
2576 2577 2578 2579	For recurring allocations, it shall be possible to record exceptions to the configuration that apply on a specific instance of the allocation (i.e. on a specific date). Examples of exceptions are extending the capacity allocation period, excluding or adding one or several capacity products from/to the capacity allocation or cancelling the whole capacity allocation instance.
2580 2581 2582	It shall be possible to cancel a capacity allocation instance. When a capacity allocation is cancelled, as a consequence platform will not expect any data submissions related to the capacity allocation or its products.
2583	7.26.1.2 CAPACITY PRODUCTS
2584 2585 2586 2587 2588	Each capacity allocation is associated with one or several capacity products. Each capacity product is described by the following mandatory attributes: In Area, Out Area, Currency and Contract Type. For implicit allocations, Contract Type must be Day-Ahead or Intraday. For products associated with explicit allocations, additionally the attributes Classification Category and Classification Sequence are mandatory.
2589 2590	All capacity products associated with a given capacity allocation must have the same contract type as the capacity allocation, i.e. yearly products for a yearly auction only, etc.
2591 2592 2593 2594 2595	By default the capacity product will be applicable during the capacity allocation's Delivery Period. As a consequence, platform will expect data for the Capacity Product and corresponding Bidding Zones. When a capacity product exceptionally has been excluded from a capacity allocation instance <sup>24</sup> , platform shall not expect any data submissions related to that product.

<sup>24</sup> Example: For the yearly auction held in December 2014 between two given bidding zones there will be no capacity product with classification category peak.

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2596	7.26.1.3 DATA PROVIDERS
2597 2598 2599	For a transmission capacity allocation, as specified by the combination of Auction ID, Contract Type and capacity products, the relevant Data Providers must be configured as well. For each applicable data item, the unique Data Provider is to be selected.
2600	For implicit as well as explicit capacity allocations:
2601	- Offered Transfer Capacity [11.1]
2602	For implicit capacity allocations:
2603	- Net Positions [12.1.e]
2604	- Congestion Income [12.1.e]
2605	For explicit capacity allocations:
2606	- Use of the Transfer Capacity [12.1.a]
2607	- Auction revenue [12.1.a]
2608	- Total Capacity already Allocated [12.1.c]
2609	- Transfer Capacities Allocated with Third Countries [12.1.h]
2610	7.26.2 MONITORING
2611 2612 2613 2614 2615	By default, no monitoring will be performed on the submission of capacity allocation configuration. It shall be possible though to configure monitoring so that for a given combination of In and Out Area, Contract Type and Delivery Period, configuration of capacity allocation may be expected by the platform as of a certain date and time. Optionally, an end date and time may be specified.
2616 2617 2618	If configuration shall be expected, a recurring submission deadline must also be specified, as well as the unique data provider. Submission status of configuration data will be visible to privileged users only.
2619	7.26.3 CREATION OF CAPACITY ALLOCATION
2620 2621 2622	Capacity allocations may be created through machine-to-machine data submission and via human-to-machine submission. For human-to-machine submission, there shall be a webpage, where creation or modification of capacity allocations will require authentication <sup>25</sup> .

For implicit auctions there will normally not be any auction configuration submitted as a document. Rather, a manual configuration that applies to all days would be set up on the platform.

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- 2623 Data Providers shall be permitted to create capacity allocations. Platform administrator in
- 2624 ENTSO-E shall be able to create and modify capacity allocations on behalf of Data
- 2625 Providers.
- 2626 When capacity allocation is created, the uniqueness of the ID shall be verified by checking
- 2627 that no other capacity allocation on platform with same ID already exists. Note that the
- 2628 assignation of ID assumes a manual procedure that is outside the scope of the central
- 2629 platform.
- 2630 When creating or modifying capacity allocations, the values of the following attributes shall
- be validated against list of permitted values held in platform's master data: Type, sub-type, In
- 2632 Area, Out Area, combination of In and Out Areas, Contract Type, Classification Category and
- 2633 Currency.
- 2634 When creating a capacity allocation via the webpage, it shall be possible to use an already
- 2635 defined capacity allocation as template when defining a new one.
- 2636 7.26.4 UPDATES TO CAPACITY ALLOCATIONS
- 2637 The Data Provider that created the capacity allocation may update the data at any time. ID
- 2638 will be used to match updated data to Auction.
- 2639 Platform shall validate that capacity allocation with quoted ID already exists. If not, update
- 2640 will be rejected.
- 2641 Platform will validate that Data Provider performing update is consistent with the Data
- 2642 Provider recorded for the capacity allocation. Platform administrator in ENTSO-E shall be
- able to update capacity allocation on behalf of Data Provider.
- 2644 Deletions are not foreseen under normal circumstances, but could be performed in
- 2645 exceptional situations by platform administrator in ENTSO-E.
- 2646 7.26.5 QUERIES OF REFERENCE DATA FOR CAPACITY ALLOCATIONS
- 2647 Data on capacity allocation shall be made available for visual inspection and download via
- 2648 web page.
- 2649 7.26.5.1 FILTERING AND SORTING CRITERIA
- 2650 It shall be possible to search for a capacity allocation by using ID as search criterion.
- 2651 Also, it shall be possible to retrieve several capacity allocations at the same time, by
- 2652 specifying the following filtering and sorting criteria:
- 2653 Country: -Selection is optional. If selected, In and/or Out Areas that at least partially cover
- 2654 the Country will be used as filtering criteria

2655 - Area: Selection is mandatory, one or several may be chosen 2656 - Date: Selection is mandatory, one day or range may be chosen. By default the current date shall be used. This date or date range will be used to filter auctions by delivery period. 2657 - Auction type: Explicit or implicit, selection is optional 2658 2659 - Contract type: Selection is optional 7.26.5.2 **DISPLAY** 2660 2661 This data shall be displayed in the following section: 2662 - Transmission / Auctions 2663 The following attributes of data shall be displayed: - Description 2664 2665 - ID 2666 - Allocation Type: Explicit or implicit 2667 - Allocation sub-type (when applicable) 2668 - Conducting Party (when applicable) - Region (when applicable) 2669 2670 - Effective Date 2671 - Bidding, Capacity Allocation and Delivery Period 2672 - Data Provider that created capacity allocation: Shall only be displayed towards 2673 authenticated users. - Capacity Products and their attributes 2674 2675 - Clear indication when capacity allocation has been cancelled or capacity product excluded - For each applicable data item, the unique Data Provider: Shall only be displayed towards 2676 authenticated users 2677 Data shall be displayed in a Table. 2678

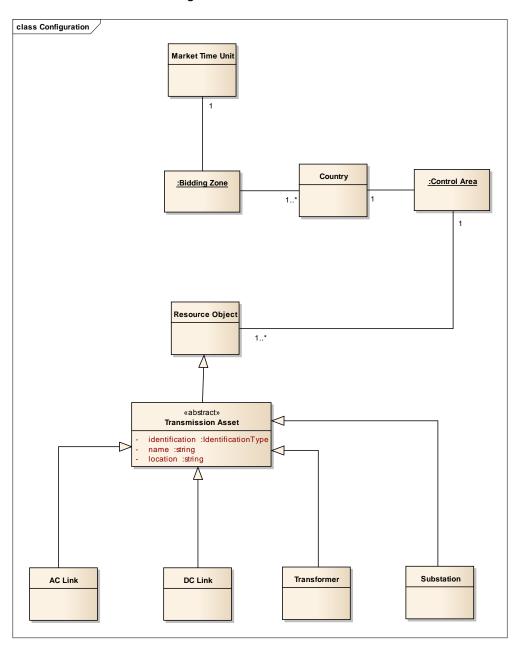


### 2680 8 CONGESTION MANAGEMENT

### 2681 8.1 REQUIREMENTS COMMON TO ALL DATA ITEMS

### 8.1.1 FUNDAMENTAL ENTITIES

For Congestion Management, the fundamental entities are a subset of the ones relevant to the Transmission and Outages domains:



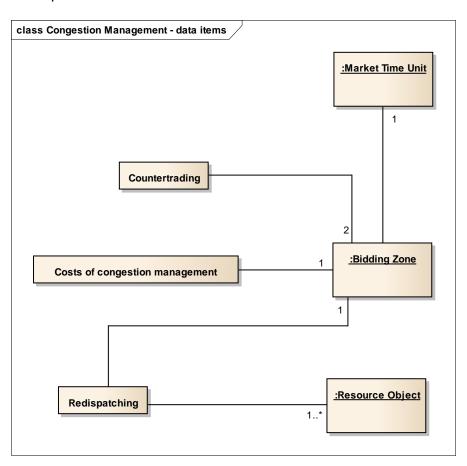
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For Congestion Management, the following relations between the fundamental entities and the required information have been identified:



#### 8.1.2 Pre-configuration

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- The platform administrator at ENTSO-E shall pre-configure the platform with the following reference data:
- [C-CGT-1] a list of Bidding Zones. This is the same configuration as for Generation, Load and Transmission
- [C-CGT-2] for each Bidding Zone, the Market Time Unit. This is the same configuration as for Generation, Load and Transmission
- [C-CGT-3] a list of redispatching actions: Production increase or decrease, load increase or decrease
- 2698 [C-CGT-4] a list of countertrading actions: Cross-zonal exchange increase or decrease
- [C-CGT-5] for each Control Area, the single authorized Data Provider, identified by a unique Code. This is the same configuration as for Generation and Load

2701 2702	- [C-CGT-6] for each Bidding Zone, the Currency. This is the same configuration as for Transmission
2703 2704	- [C-CGT-7] a list of Control Areas. This is the same configuration as for Generation and Load
2705 2706	- [C-CGT-8] a list of countries and for each country, the corresponding Control Area(s). This is the same configuration as for Generation and Load
2707 2708	- [C-CGT-9] a list of reasons for congestion management: "Load flow overload" and "Voltage level adjustment"
2709	8.1.3 Data container status / Data Status
2710	All data items follow the "None / Ready for publication" scheme.
2711	
2712	



# 2713 8.2 REDISPATCHING [13.1.A]

### 2714 8.2.1 DATA DESCRIPTION

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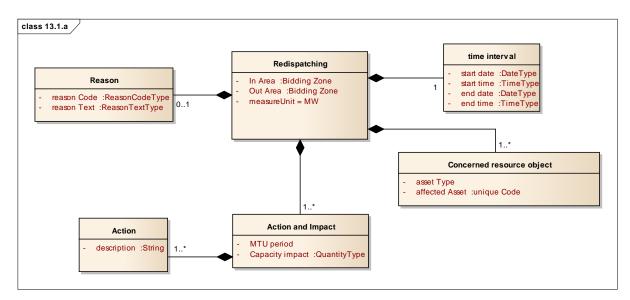
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This item can be described by the following class diagram:



For a given Bidding Zone or couple of Bidding Zones<sup>26</sup>, redispatching is described by start and end dates and times, a list of concerned resource objects and optionally a reason. For each MTU period during the time interval, one or several Actions and a Capacity Impact expressed in MW are specified.

Resource objects are Transmission Assets, of type AC Link, DC Link, Transformer or Substation.

### 2726 8.2.2 PRE-CONFIGURATION

2727 No specific pre-configuration applies to this data item.

### 2728 8.2.3 ASSUMPTIONS

2729 An update including the reason may be submitted after initial submission of document.

The concerned resource objects are located in the same single Control Area.

The concerned resource objects have been declared as per chapter 11.11.

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<sup>&</sup>lt;sup>26</sup> If the impact affects one Bidding Zone only, In Area and Out Area will be populated with the same Bidding Zone.

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2735 Data should be submitted at the latest one hour after the end of the MTU period (H+1). 2736 However no monitoring can be performed, due to the nature of the data. 2737 2738 Data Provider may choose to include in one single data submission values for a time interval longer than one MTU. The time interval would in this case necessarily be a multiple of the 2739 2740 MTU. 8.2.4 INTEGRATION 2741 2742 2743 - Bidding Zones are recognised by platform 2744 2745 - Data Provider is consistent with Bidding Zone(s) 2746 2747 - Data Provider is consistent with concerned resource objects 2748 2749 - Concerned resource objects are recognised by the platform. 2750 2751 - Concerned resource objects are located within the Bidding Zone(s). 2752 2753 - Action is among the values prescribed by pre-configuration [C-CGT-3] 2754 2755 - Reason, if provided, is among the values prescribed by pre-configuration [C-CGT-9] 2756 - Document should not be submitted before the beginning of the MTU. Reason being that this 2757 2758 is ex-post information. This validation shall trigger a warning only. 2759 2760 The time interval in the submitted document is of one MTU at least and is a multiple of the MTU identified for the Bidding Zone as per [C-CGT-2]. 2761 8.2.5 MONITORING 2762 2763 Due to the nature of the data, frequency of submission is not fixed and hence no monitoring is performed. Submission deadlines are not relevant to this data item. 2764 2765 8.2.6 Processing 2766 No processing is performed on this data item. 8.2.7 Publication 2767 8.2.7.1 FILTERING AND SORTING CRITERIA 2768 Data shall be visually accessed by selecting the following: 2769

- Country (selection is optional: If selected, Bidding Zones will be filtered to include only

those that partially or completely cover the Country)

2772 - Bidding Zone(s) (selection is mandatory, at least one must be selected) 2773 - Day or date range (selection is mandatory) 8.2.7.2 DISPLAY 2774 2775 This data shall be displayed in the following section: - Congestion Management / Redispatching 2776 The following attributes of data shall be displayed: 2777 2778 - Bidding Zone(s) - Market Time Unit 2779 - Start and end dates and times 2780 2781 - Measurement Unit 2782 - For each MTU period during the matching redispatching time intervals, the Action(s) and 2783 Capacity Impact. 2784 2785 - Reason is displayed if provided. 2786 - A list of concerned resource objects shall be available, with links to pages on central 2787 2788 platform providing more details on the resource objects. 2789 2790 Data shall be published as a table and as a chart. 2791



## 2792 8.3 COUNTERTRADING [13.1.B]

#### 8.3.1 DATA DESCRIPTION

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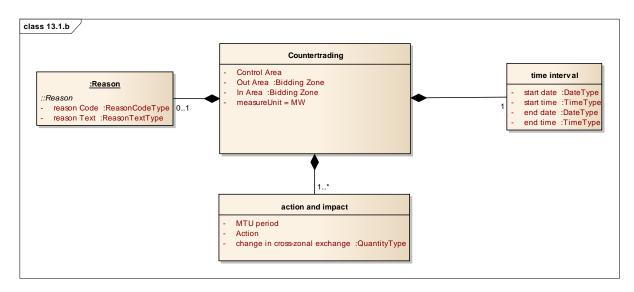
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This item can be described by the following class diagram:



For a given Control Area, countertrading between start and end dates and times is described for an In Area and an Out Area. Optionally, a reason is included.

For each Market Time Unit (MTU) period during the time interval, the change in exchange expressed in MW and the Action taken is specified.

The change in cross-zonal exchange is always a positive value.

### 8.3.2 Pre-configuration

For this data item there is no specific pre-configuration.

#### 8.3.3 ASSUMPTIONS

An update including the reason may be submitted after initial submission of document. 2809

Data should be submitted at the latest one hour after the end of the MTU period (H+1).
However no monitoring can be performed, due to the nature of the data.

Data Provider may choose to include in one single data submission values for a time interval longer than one MTU. The time interval would in this case necessarily be a multiple of the MTU.

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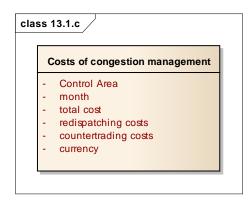
2816	8.3.4 INTE	EGRATION
2817 2818	- Bidding Zor	nes and Control Area are recognised by platform
2819	- Data Provid	der is consistent with Control Area
2820 2821	- Action is an	nong the values prescribed by pre-configuration [C-CGT-4]
2822 2823	Reason, if pr	ovided, is among the values prescribed by pre-configuration [C-CGT-9]
2824 2825 2826 2827		should not be submitted before the end of the MTU. Reason being that this is extion. This validation shall trigger a warning only.
2828 2829		erval in the submitted document is of one MTU at least and is a multiple of the ed for the Bidding Zone as per [C-CGT-2].
2830	8.3.5 Mon	NITORING
2831 2832		ature of the data, frequency of submission is not fixed and hence no monitoring . Submission deadlines are not relevant to this data item.
2833	8.3.6 PROCESSING	
2834	No processing is performed on this data item.	
2835	8.3.7 Pub	LICATION
2836	8.3.7.1	FILTERING AND SORTING CRITERIA
2837	Data shall be	e visually accessed by selecting the following:
2838 2839	• ,	election is optional: If selected, couple of Bidding Zones will be filtered to include at partially or completely cover the Country)
2840	- Bidding Zor	ne couple (selection is mandatory)
2841	- Day or date	e range (selection is mandatory)
2842	8.3.7.2	DISPLAY
2843	This data sha	all be displayed in the following section:
2844	- Congestion	n Management / Countertrading
2845	The following attributes of data shall be displayed:	
2846	- In and Out	Areas

2847	- Market Time Unit
2848	- Start and end dates and times
2849	- Measurement Unit
2850	- Reason, if available
2851 2852 2853	- For each MTU period during the matching countertrading time intervals, the Action and change in cross-zonal exchange
2854 2855	Data shall be published as a table and as a chart.

## 2856 8.4 COSTS OF CONGESTION MANAGEMENT [13.1.C]

#### 2857 8.4.1 DATA DESCRIPTION

This item can be described by the following class diagram:



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Per Control Area and month there is a report on the costs of congestion management. Report contains separate details for the total costs, costs due to redispatching and costs due to countertrading. Platform will calculate costs for other remedial action by subtracting costs of redispatching and countertrading from the total cost.

2865 2866

Document shall cover one single Control Area only.

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#### 8.4.2 PRE-CONFIGURATION

2869

For this data item there is no specific pre-configuration.

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#### 8.4.3 ASSUMPTIONS

2871

No monitoring is performed, due to the nature of the data. Hence, no submission deadlines will be configured.

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#### 8.4.4 Integration

2874 2875 - Control Area is recognised by platform

2876 2877 - Data Provider is consistent with Control Area

2878 2879 - Currency is consistent with Bidding Zone (indirectly through Control Area), as per preconfiguration [C-CGT-6]

2880 2881

2882	8.4.5 MONITORING		
2883 2884	Due to the nature of the data, frequency of submission is not fixed and hence no monitoring is performed. Submission deadlines are not relevant to this data item.		
2885	8.4.6 PROCESSING		
2886 2887	Platform will per Control Area and month calculate costs for other remedial action, by subtracting from total cost the costs of redispatching and countertrading.		
2888	8.4.7 Publication		
2889	8.4.7.1 FILTERING AND SORTING CRITERIA		
2890	Data shall be visually accessed by selecting the following:		
2891 2892	- Country (selection is optional: If selected, Control Area will be filtered to include only those that partially or completely cover the Country)		
2893	- Control Area (selection is mandatory)		
2894	- Month range (selection is mandatory)		
2895	8.4.7.2 DISPLAY		
2896	This data shall be displayed in the following section:		
2897	- Congestion Management / Costs		
2898	The following attributes of data shall be displayed:		
2899	- Control Area		
2900	- Currency		
2901	- Selected month range		
2902 2903	- For each month, the total cost, costs of redispatching, costs of countertrading and costs for other remedial actions		
2904	Data shall be published as a table and chart.		



#### GENERATION 2906

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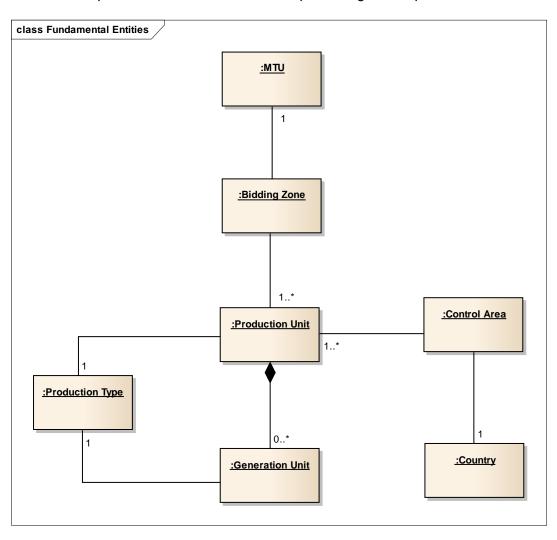
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#### 9.1 REQUIREMENTS COMMON TO ALL DATA ITEMS

#### 9.1.1 FUNDAMENTAL ENTITIES

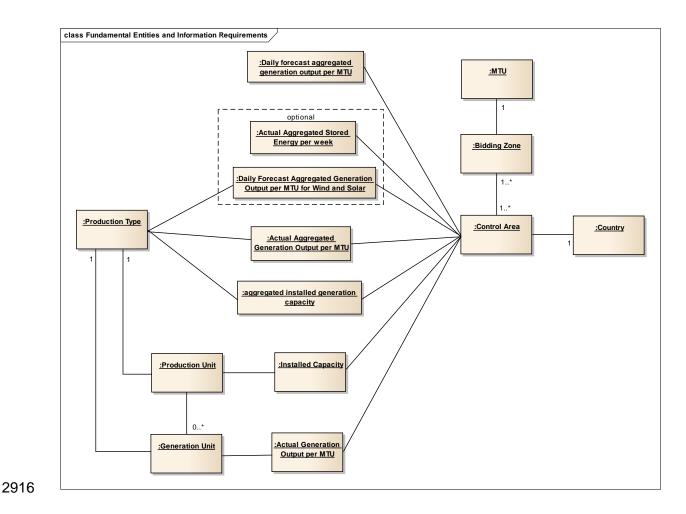
The following class diagram describes the fundamental entities to be taken into account within the scope of this document in order to pre-configure the platform.



- 2911
- 2912
- 2913
- 2914 2915

For a given Bidding Zone, there will be a Market Time Unit

- For a given Bidding Zone, there will be a list of Production and Generation Units. Every Production and Generation Unit is of exactly one Production Type
- Country will be deduced based on Control Area



Optional means here that submission of data is necessary only when power from corresponding Production Types exceed certain thresholds, as stipulated in [1].

It must be possible to determine to which bidding zone and control area that generation data contained in submitted documents refer to<sup>27</sup>.

#### 9.1.2 Pre-configuration

The platform administrator at ENTSO-E shall pre-configure application with the following reference data:

2924 - [C-GNR-1] a list of Bidding Zones

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- 2925 [C-GNR-2] a list of Production Types
- 2926 [C-GNR-3] for each Bidding Zone the Market Time Unit, expressed as duration

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<sup>&</sup>lt;sup>27</sup> If format of data does not permit explicit declaration of Control Area it may be deduced from Sender.



allowed Data Providers, identified by unique Codes<sup>28</sup> 2928 - [C-GNR-7] a percentage tolerance that indicates how much scheduled or actual capacity 2929 may exceed installed capacity. This is a single value common to all Generation Units and will 2930 2931 be set to 25%. If tolerance is exceeded, only a warning will be triggered. 2932 The following reference data will be managed by Data Providers: 2933 - [C-GNR-5] a list of Production and Generation Units, refer to chapter 9.9 for further details. 2934 This will be used for validation during the integration step. 2935 - [C-GNR-8] a list of Control Areas - [C-GNR-9] a list of countries and for each country, the corresponding Control Area(s) 2936 9.1.3 Publication 2937 For data items that do not require aggregation, data is published as soon as received, except 2938 2939 for data item [16.1.a] where publication will be pending a specified delay. 2940 For data items that require aggregation, aggregated values only shall be published. Data is 2941 being published as soon as it is complete, i.e. when all relevant Data Providers have 2942 submitted their contributions. However, if by the submission deadline data is still pending 2943 from one or several Data Providers, the aggregated value shall be published with a clear 2944 indication that the final value is pending further data submissions.

- [C-GNR-4] for each Bidding Zone, Control Area and data item subject to publication, the

<sup>28</sup> Whether EIC code or some other code will be used is left for the Implementation Guide to determine.

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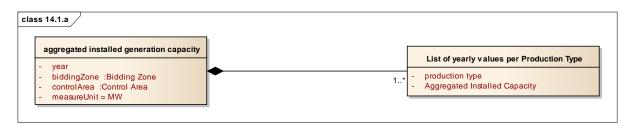


## 2945 9.2 INSTALLED GENERATION CAPACITY AGGREGATED

# 2946 **[14.1.A]**

#### 2947 9.2.1 DATA DESCRIPTION

2948 This item can be described by the following class diagram:



For a given Bidding Zone and Control Area, the Aggregated Installed Generation Capacity shall be recorded for all Production Types. Values are to be provided for 1 year. The fixed

2952 measure Unit is MW.

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#### 9.2.2 Pre-configuration

- 2954 [PC14.1.A-1] This data item shall be configured as expected.
- 2955 [PC14.1.A-2] For every Bidding Zone, there is a yearly submission deadline. Submission
- 2956 must be performed one week before the year for which data is provided.
- Example: if the first year for which data is provided is year 2013, the document must be submitted before December 25<sup>th</sup>, 00:00 UTC, 2012.

#### 2959 **9.2.3 ASSUMPTIONS**

- Note that in a given Bidding Zone, there may be multiple Data Providers and submission is not coordinated.
- 2962 9.2.4 INTEGRATION
- 2963 Bidding Zone and Control Area shall be recognised by the platform
- 2964 Production Type shall be recognised by the platform
- 2965 The Data Provider shall be consistent with the identified Control Area
- Note that no validation is possible on the combinations, inclusions or exclusions of Production Types in a given Bidding Zone.

#### 2968 9.2.5 **MONITORING**

- 2969 For a given Bidding Zone and year data is expected. This is controlled by pre-configuration
- 2970 [PC14.1.A-1]. For every Bidding Zone and year at least one Capacity Value is expected for at
- 2971 least one Production Type. The submission deadline [PC14.1.A-2] will be monitored for
- 2972 compliance.
- 2973 For a given Bidding Zone, data is not considered complete before all Data Providers
- 2974 declared per pre-configuration [C-GNR-4] have submitted their data contributions. If by the
- 2975 deadline only some Data Providers have submitted data, the data will be considered
- 2976 "missing".

#### 2977 9.2.6 PROCESSING

- 2978 Submitted Capacity values shall be aggregated per Country, Bidding Zone, Production Type
- and year. Aggregation here means simply adding up the submitted Capacity values.
- 2980 Submitted Capacity values shall also be aggregated per Country, Bidding Zone and year for
- 2981 the purpose of calculating a grand total value for the whole Country or Bidding Zone,
- 2982 common to all Production Types.
- 2983 Aggregated values per country are determined with the help of pre-configuration [C-GNR-9].
- 2984 9.2.7 DATA CONTAINER STATUS / DATA STATUS
- 2985 This data item follows the "None / Ready for publication / Missing" scheme.
- 2986 9.2.8 PUBLICATION
- 2987 9.2.8.1 FILTERING AND SORTING CRITERIA
- 2988 Data shall be visually accessed by selecting the following:
- 2989 Country or Bidding Zone: selection is mandatory
- 2990 Production Type: selection is optional, one or several may be chosen
- 2991 Year: selection is mandatory, single year or a range may be chosen
- 2992 9.2.8.2 DISPLAY
- 2993 This data shall be displayed in the following section:
- 2994 Generation / Aggregated Installed Capacity
- 2995 The following attributes of data shall be displayed:

2996	- Country or Bidding Zone name
2997	- Capacity per Production Type for each year
2998	Grand total Capacity for each year shall also be displayed.
2999	User shall have the choice between displaying data in a Table, Map or Pie Chart.
3000	

#### 9.3 INSTALLED GENERATION CAPACITY PER UNIT 3001

[14.1.B]3002

3003

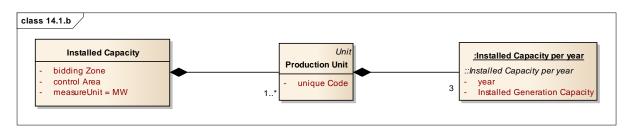
3005

3015

3020

### 9.3.1 DATA DESCRIPTION

3004 This item can be described by the following class diagram:



For every Production Unit in a given Bidding Zone and Control Area, Installed Generation 3006 Capacity >= 100 MW shall be recorded. Values are to be provided for 3 years, with one value 3007 3008 per year. The fixed measure Unit is MW.

Production Unit is identified by a unique Code<sup>29</sup>. 3009

#### 9.3.2 Pre-configuration 3010

- [14.1.B-1] This data item shall be configured as expected. 3011
- [14.1.B-2] For every bidding zone, there is a yearly submission deadline. Submission must 3012 3013 be performed one week before the first year for which values are provided.
- 3014 Example: if the first year for which values are provided is 2013, installed capacity values must be submitted before December 25<sup>th</sup>, 00:00 UTC, 2012.

#### 9.3.3 Assumptions 3016

3017 Installed capacity has been recorded in Master Data, as described in section 9.9. There is no 3018 additional data submission foreseen. Monitoring of submission deadline compliance will be 3019 performed against the present Master Data.

#### 9.3.4 INTEGRATION

3021 Not applicable, since covered by Master Data described in section 9.9.

<sup>&</sup>lt;sup>29</sup> Whether EIC code or some other code will be used is left for the Implementation Guide to determine.

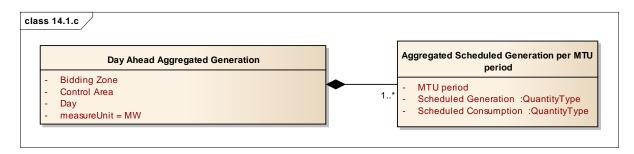
3022	9.3.5 Monitoring		
3023 3024 3025 3026	For a given Bidding Zone, Capacity values are expected for all Production Units that are in status Commissioned during at least some part of the year. This is controlled by pre configuration [14.1.B-1]. The submission deadline [14.1.B-2] shall be monitored for compliance.		
3027	9.3.6 PRO	CESSING	
3028	No processir	ng performed on this data item.	
3029	9.3.7 DATA CONTAINER STATUS / DATA STATUS		
3030	This data iter	m follows the "None / Ready for publication / Missing" scheme.	
3031	9.3.8 Pub	LICATION	
3032	9.3.8.1	FILTERING AND SORTING CRITERIA	
3033	Data shall be	e visually accessed by selecting the following:	
3034	- Country or	Bidding Zone: selection is mandatory	
3035	- Production	Type: selection is optional, one or several may be chosen	
3036	- Year: selec	tion is mandatory, single year or a range to be chosen	
3037	9.3.8.2	DISPLAY	
3038	This data sha	all be displayed in the following section:	
3039	- Generation	/ List of Production Units and Installed Capacity	
3040	The following	attributes of data shall be displayed:	
3041	- Country or	Bidding Zone name	
3042	- Production	Type, unique Code and Name	
3043	- For every P	roduction Unit and selected year(s), the installed capacity value(s)	
3044	Data shall be	e displayed in a Table.	
3045 3046	Links to pag provided.	ges on central platform with more details on each Production Unit shall be	



## 9.4 DAY AHEAD AGGREGATED GENERATION [14.1.C]

#### 3048 9.4.1 DATA DESCRIPTION

3049 This item can be described by the following class diagram:



For a given Bidding Zone and Control Area the Scheduled Generation for the day-ahead shall be recorded. The number of values corresponds to the number of Market Time Unit periods in one day. The fixed measure Unit is MW.

Optionally, power consumed by production/generation units may be included.

#### 3055 9.4.2 PRE-CONFIGURATION

- 3056 [PC-14.1.c-1] This data item shall be configured as expected.
- 3057 [PC-14.1.c-2] There is a daily submission deadline, common to all Bidding Zones.
- 3058 Submission must be performed not later than D-1 at 18:00 CET.

#### 3059 9.4.3 ASSUMPTIONS

3050

3060 No specific assumptions apply to this data item.

#### 3061 9.4.4 INTEGRATION

- 3062 Bidding Zone and Control Area shall be recognised by the platform
- The Data Provider shall be consistent with the identified Control Area

#### 3064 9.4.5 **MONITORING**

For a given Bidding Zone and day, data is expected. This is controlled by pre-configuration [PC-14.1.c-1]. Compliance with the submission deadline [PC-14.1.c-2] will be monitored.

9.4.6 PROCESSING

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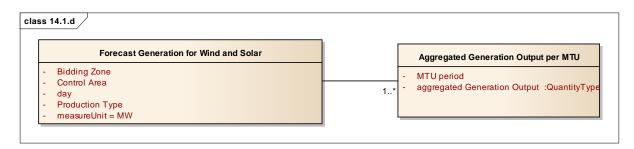
3068 3069 3070	Submitted Scheduled Generation values shall be aggregated per Country, Bidding Zone and Market Time Unit period. Aggregation here means simply adding up the submitted Scheduled Generation values.	
3071	Aggregated	values per country are determined with the help of pre-configuration [C-GNR-9].
3072	9.4.7 DAT	A CONTAINER STATUS / DATA STATUS
3073	This data ite	m follows the "None / Ready for publication / Missing" scheme.
3074	9.4.8 PUBLICATION	
3075	9.4.8.1	FILTERING AND SORTING CRITERIA
3076	Data shall be	e visually accessed by selecting the following:
3077	- Country or	Bidding Zone: selection is mandatory
3078	- Day: select	ion is mandatory, one or two consecutive days may be chosen
3079	- Start and e	nd time: selection is mandatory, start and end time within the selected day
3080	9.4.8.2	DISPLAY
3081	This data sha	all be displayed in the following section:
3082	- Generation	n / Day Ahead Aggregated Generation
3083	The following	g attributes of data shall be displayed:
3084	- Country or	Bidding Zone name
3085	- Measure U	nit
3086	- Selected Da	ay(s)
3087 3088 3089	•	MTU period within the selected day(s), the scheduled generation values, and values (if available). User shall have the choice between displaying data in a Chart.



# 9.5 DAY-AHEAD GENERATION FORECASTS FOR WIND AND SOLAR [14.1.D]

#### 9.5.1 DATA DESCRIPTION

3093 This item can be described by the following class diagram:



For a given Bidding Zone and Control Area there shall be a Forecast Aggregated Generation for wind onshore, wind offshore and solar power, respectively. Values are provided for the

for wind onshore, wind offshore and solar power, respectively. Values are provided for the day ahead, with a value per Market Time Unit (MTU) period. There is a fixed measure Unit

3098 (MW).

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Forecasts for wind onshore, wind offshore and solar may be provided in the same or in

3100 separate data submissions.

Note that duration of MTU depends on Bidding Zone.

#### 3102 9.5.2 PRE-CONFIGURATION

- 3103 [PC-14.1.d-1] For a given Bidding Zone, Data Providers shall be able to indicate whether
- 3104 data is expected or not for wind onshore, wind offshore and solar, respectively. By default it
- 3105 is not expected.
- 3106 [PC-14.1.d-2] There is a daily submission deadline, common to all bidding zones.
- 3107 Submission must be performed not later than D-1 at 18:00 CET. This submission deadline
- 3108 will apply only if data is expected, as per [PC-14.1.d-1].
- 3109 [PC-14.1.d-3] An update shall be provided during day D at 08:00 CET.

#### 3110 9.5.3 ASSUMPTIONS

3111 No specific assumptions apply to this data item.

#### 3112 9.5.4 INTEGRATION

3113 - Bidding Zone and Control Area shall be recognised by the platform

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3114 - The Data Provider shall be consistent with the identified Control Area 9.5.5 MONITORING 3115 3116 If for a given Bidding Zone and Production Type the data item has been configured as 3117 expected per [PC-14.1.d-1], compliance with submission deadlines [PC-14.1.d-2] and [PC-14.1.d-3] will be monitored. 3118 3119 For a given Bidding Zone, data is not considered complete before all Data Providers have 3120 submitted their data contributions. If by the deadline only some Data Providers have 3121 submitted data, the data will be considered "missing". 9.5.6 Processing 3122 3123 Submitted Scheduled Values shall be aggregated per Country, Bidding Zone, Production 3124 Type (wind onshore, wind offshore and solar, respectively) and Market Time Unit period. 3125 Aggregation here means simply adding up the submitted Scheduled Values. 3126 Aggregated values per country are determined with the help of pre-configuration [C-GNR-9]. 9.5.7 Data Container Status / Data Status 3127 3128 This data item follows the "None / Ready for publication / Missing" scheme. 9.5.8 Publication 3129 9.5.8.1 FILTERING AND SORTING CRITERIA 3130 3131 Data shall be visually accessed by selecting the following: - Country or Bidding Zone: selection is mandatory 3132 3133 - Production Type: wind onshore, wind offshore or solar, selection is mandatory, one or 3134 several may be chosen. By default all are chosen 3135 - Day: selection is mandatory, one or two consecutive days may be chosen 3136 - Start and end time: must be within the selected day(s) and selection is mandatory 9.5.8.2 DISPLAY 3137 This data shall be displayed in the following section: 3138 3139 - Generation / Day Ahead Generation Forecasts for Wind and Solar

The following attributes of data shall be displayed:

3141	- Country or Bidding Zone name
3142	- Measure Unit
3143	- Selected day(s)
3144 3145	- For the selected Production Type(s), generation forecast values for each MTU period within the selected start and end dates and times
3146	User shall have the choice between displaying data in a Table or in a Chart.
3147	



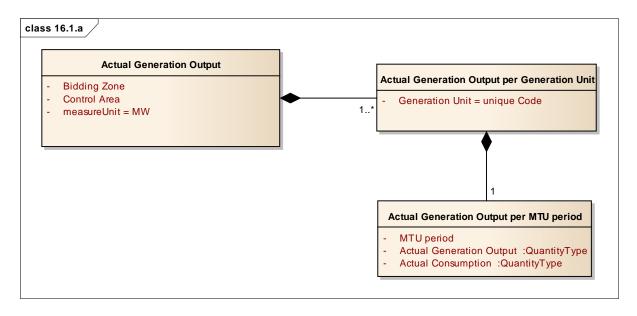
# 9.6 ACTUAL GENERATION OUTPUT PER GENERATION 3149 UNIT [16.1.A]

#### 9.6.1 DATA DESCRIPTION

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3151 This item can be described by the following class diagram:



- For a given Bidding Zone, Control Area and Market Time Unit (MTU) period, the Actual Generation Output shall be recorded per Generation Unit having installed generation capacity >= 100 MW. Common to all Generation Units there is a fixed measure Unit (MW).
- Optionally, power consumed by the generation unit may be included.
- Note that duration of MTU depends on Bidding Zone.

#### 3158 9.6.2 Pre-configuration

- 3159 [PC-16.1.a-1] This data item shall be configured as expected.
- 3160 [PC-16.1.a-2] For every Bidding Zone and MTU period there is a submission deadline.
- 3161 Submission must be performed not later than five days after the end of the MTU period.
- 3162 Example: If the MTU duration in the Bidding Zone is 15 minutes, then the document that
- 3163 covers the time March 12 from 14:00 until 14:15 must be submitted by March 17 at 14:15.

#### 3164 9.6.3 ASSUMPTIONS

Installed Capacity must have been submitted and validated for the Generation Unit and the year as per chapter 9.3. This is necessary in order to succeed in the validation and

missing" scheme.



3167 monitoring described in the next sections. If installed capacity has not been recorded in the 3168 platform, the validation will trigger a warning and monitoring will not produce any notification 3169 about missing data. 3170 Data Provider may choose to include in one single data submission values for a time interval 3171 longer than one MTU. The time interval would in this case necessarily be a multiple of the 3172 MTU. 9.6.4 Integration 3173 3174 - Bidding Zone and Control Area shall be recognised by the platform 3175 - The Data Provider shall be consistent with the identified Control Area and Generation Unit 3176 - Document should not be submitted before the end of the MTU period. Reason being that 3177 this is ex-post information. This validation shall trigger a warning only. The warning may be 3178 suppressed completely by changing configuration. 3179 - The Codes that identify Generation Units must already exist in the platform. This is 3180 controlled by pre-configuration [C-GNR-5]. 3181 - Generation Unit must be within the Control Area. This shall be validated against the 3182 Generation Unit reference data described in chapter 9.9. 3183 - For each Generation Unit, it shall be validated that the actual generation output does not 3184 exceed the Installed Capacity, recorded for the same year, by more than the percentage tolerance expressed in [C-GNR-7]. Tolerance currently set to 25%. This validation will trigger 3185 3186 a warning only. 3187 The time interval in the submitted document is of one MTU at least and is a multiple of the 3188 MTU identified for the Bidding Zone as per [C-GNR-3]. 9.6.5 MONITORING 3189 For a given Bidding Zone and MTU period, actual generation output is expected. This is 3190 3191 controlled by pre-configuration [PC-16.1.a-1]. This data is expected for all Generation Units 3192 with an installed generation capacity >= 100 MW and that are in status Commissioned during 3193 the MTU period. The submission deadline [PC-16.1.a-2] will be monitored for compliance. 9.6.6 PROCESSING 3194 3195 No further processing is performed on this data item. 9.6.7 Data Container Status / Data Status 3196 3197 The ex-post data item applies the "none / waiting for publication x 2 / ready for publication /

Generation Unit.

3199	9.6.8 PUB	LICATION
3200	9.6.8.1	FILTERING AND SORTING CRITERIA
3201	Data shall be	visually accessed by selecting the following:
3202	- Country or E	Bidding Zone: selection is mandatory
3203	- Production	Type: selection is optional, one or several may be chosen.
3204	- Day: selecti	on is mandatory, one or two consecutive days may be chosen
3205	- Start and er	nd time: selection is mandatory and must be within the selected day(s)
3206	9.6.8.2	DISPLAY
3207	This data sha	all be displayed in the following section:
3208	- Generation	/ Actual Generation per Generation Unit
3209	The following	attributes of data shall be displayed:
3210	- Country or E	Bidding Zone name
3211	- Production	Type, Code and Name of every Generation Unit
3212	- selected day	y(s)
3213 3214	•	Generation Unit, the actual generation output and actual consumption, if MTU period within the selected start and end dates and times
3215	Data shall be	displayed in a Table.
3216	Links shall be	e provided to pages on central platform that provide additional details on each



# 3218 9.7 AGGREGATED GENERATION PER TYPE [16.1.B&C]

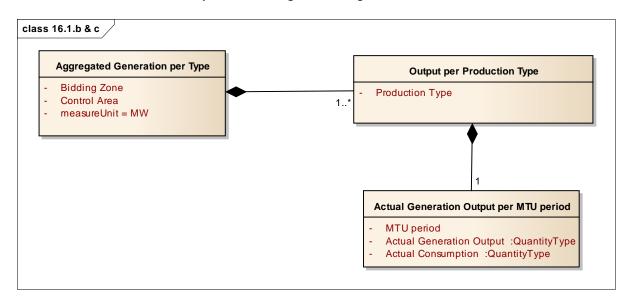
#### 9.7.1 DATA DESCRIPTION

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This item can be described by the following class diagram:



- 3222 For a given Bidding Zone, Control Area and a given Production Type, there shall be an
- 3223 Aggregated Generation Output per Market Time Unit (MTU) period. Common to all
- 3224 Production Types there is a fixed measure Unit (MW).
- 3225 Optionally, power consumed by production/generation units may be included.
- 3226 Note that duration of MTU depends on Bidding Zone.

#### 3227 9.7.2 PRE-CONFIGURATION

- 3228 [PC-16.1.bc-1] This data item shall be configured as expected.
- 3229 [PC-16.1.bc-2] For every Bidding Zone and MTU period there is a submission deadline.
- 3230 Submission must be performed not later than one hour after the end of the MTU period.
- 3231 Example: If the MTU duration in the Bidding Zone is 15 minutes, then the document that
- 3232 covers the time 14:00-14:15 must be submitted by 15:15.

## 3233 9.7.3 **ASSUMPTIONS**

3234 There is no requirement to configure per production type whether data is expected or not.

missing" scheme.

3235 Data Provider may choose to include in one single data submission values for a time interval 3236 longer than one MTU. The time interval would in this case necessarily be a multiple of the MTU. 3237 9.7.4 Integration 3238 - Bidding Zone and Control Area shall be recognised by the platform 3239 - Production Type shall be recognised by the platform 3240 3241 - The Data Provider shall be consistent with the identified Control Area 3242 - Document may not be submitted before the end of the MTU period. Reason being that this 3243 is ex-post information. This validation shall trigger a warning only. The warning may be 3244 suppressed completely by changing configuration. 3245 The time interval in the submitted document is of one MTU at least and is a multiple of the 3246 MTU identified for the Bidding Zone as per [C-GNR-3]. 9.7.5 MONITORING 3247 3248 For this data item, data is expected. This is controlled by pre-configuration [PC-16.1.bc-1]. For a given Bidding Zone and MTU period an actual aggregated generation output value is 3249 3250 expected for at least one Production Type. The submission deadline [PC-16.1.bc-2] will be 3251 monitored for compliance. 3252 For a given Bidding Zone, data is not considered complete before all Data Providers 3253 declared per pre-configuration [C-GNR-4] have submitted their data contributions. If by the 3254 deadline only some Data Providers have submitted data, the data will be considered 3255 "missing". 9.7.6 PROCESSING 3256 3257 Submitted actual generation values shall be aggregated per Country, Bidding Zone, 3258 Production Type and MTU period. Aggregation here means simply adding up the submitted 3259 actual generation values. Aggregated values per country are determined with the help of pre-configuration [C-GNR-9]. 3260 9.7.7 DATA CONTAINER STATUS / DATA STATUS 3261

The ex-post data item applies the "none / waiting for publication / ready for publication /

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3264	9.7.8 Publication
3265	9.7.8.1 FILTERING AND SORTING CRITERIA
3266	Data shall be visually accessed by selecting the following:
3267	- Country or Bidding Zone: selection is mandatory
3268	- Production Type: selection is optional, one or several may be chosen.
3269	- Day: selection is mandatory, one or two consecutive days may be chosen
3270	- Start and end time: selection is mandatory and must be within the selected day(s)
3271	9.7.8.2 DISPLAY
3272	This data shall be displayed in the following section:
3273	- Generation / Actual Aggregated Generation Output per Production Type
3274	The following attributes of data shall be displayed:
3275	- Country or Bidding Zone name
3276	- Selected day(s)
3277	- For the selected Production Type(s), for each MTU period within the selected start and end

dates and times, the actual aggregated generation output and consumption, if available

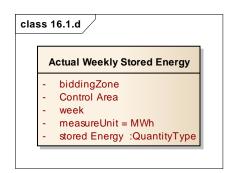
Data shall be displayed in a Table and in a Chart.



# 9.8 AGGREGATED FILLING RATE OF WATER RESERVOIRS AND HYDRO STORAGE PLANTS [16.1.D]

#### 3282 9.8.1 DATA DESCRIPTION

3283 This item can be described by the following class diagram:



- 3284
- 3285 For a given Bidding Zone and Control Area there shall be a weekly Stored Energy Value.
- 3286 The Measure Unit is fixed to "MWh".
- Note: Regulation prescribes that value for same week during previous year shall also be made available. Values corresponding to the year prior to go-live of central platform could be
- 3289 loaded into platform separately, on a voluntary basis as part of initial data population.
- 3290 9.8.2 Pre-configuration
- 3291 [PC-16.1.d-1] For a given Bidding Zone, Data Providers shall be able to indicate whether
- 3292 data is expected or not. By default it is not expected.
- 3293 [PC-16.1.d-2] For a given bidding zone, there is a weekly submission deadline. Submission
- 3294 must be performed not later than at the end of Wednesday of W+1. This submission deadline
- will apply only if data is expected, as per [PC-16.1.d-1].
- 3296 9.8.3 ASSUMPTIONS
- 3297 No specific assumptions apply for this data item.
- 3298 9.8.4 INTEGRATION
- 3299 Bidding Zone and Control Area shall be recognised by the platform
- 3300 The Data Provider shall be consistent with the identified Bidding Zone

3302 trigger a warning only. The warning may be suppressed completely by changing 3303 configuration. 9.8.5 MONITORING 3304 3305 If for a given Bidding Zone the data item has been configured as expected as per [PC-16.1.d-1], compliance with submission deadline [PC-16.1.d-2] will be monitored. For a given 3306 Bidding Zone, data is not considered complete before all Data Providers have submitted their 3307 3308 data contributions. If by the deadline only some Data Providers have submitted data, the data will be considered "missing". 3309 9.8.6 Processing 3310 Submitted Stored Energy Values shall be aggregated per Country and Bidding Zone. 3311 3312 Aggregation here means simply adding up the submitted Stored Energy Values. 3313 Aggregated values per country are determined with the help of pre-configuration [C-GNR-9]. 9.8.7 Data Container Status / Data Status 3314 3315 This is an ex-post data item that applies the "none / waiting for publication x 2 / ready for 3316 publication / missing" scheme. 9.8.8 Publication 3317 9.8.8.1 FILTERING AND SORTING CRITERIA 3318 Data shall be visually accessed by selecting the following: 3319 3320 - Country or Bidding Zone: selection is mandatory 3321 - Week: selection is mandatory, a single week or a range (from - to) may be chosen 9.8.8.2 DISPLAY 3322 This data shall be displayed in the following section: 3323 - Generation / water reservoirs and hydro storage plants 3324 3325 The following attributes of data shall be displayed: 3326 - Country or Bidding Zone name 3327 - Measure Unit 3328 - selected week(s)

- Submission shall not be performed before the end of the week W. This validation shall

- Stored Energy Values for the selected week(s) and the same week(s) during previous year
 User shall have the choice between displaying data in a Table or in a Chart.

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## 3332 9.9 GENERATION AND PRODUCTION UNITS

#### 9.9.1 DATA DESCRIPTION

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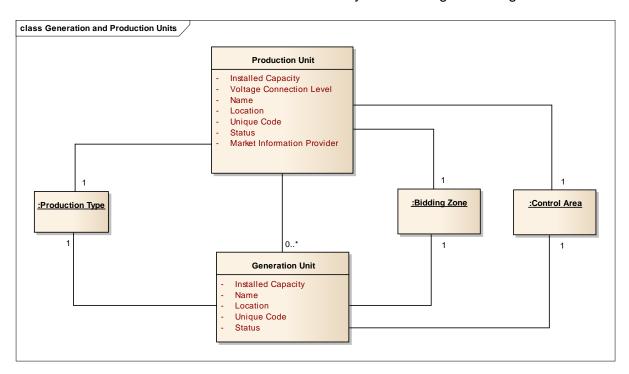
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Generation and Production Units can be described by the following class diagram:



A Production Unit may have zero, one or several Generation Units. A Generation Unit may not be defined on its own, without the corresponding Production Unit.

Status describes whether a Generation or Production Unit is commissioned or decommissioned. If Unit is no longer relevant, its status should be changed to Cancelled<sup>30</sup>. All attributes listed above in diagram are mandatory.

The unique code may never be modified once a Generation or Production Unit has been created. Data representing Generation and Production Units will be effective dated, since in particular Status may change over time.

Permitted Voltage Connection Levels are defined in a list of reference data.

### 9.9.2 ASSUMPTIONS

Although a Production Unit always has at least one Generation Unit, there is no obligation for Data Providers to register Generation Units with an installed capacity smaller than 100 MW.

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 $<sup>^{\</sup>rm 30}$  This could be useful in a scenario where a project to deploy a Unit is curtailed.

3348 Generation Unit may be associated with a different Control Area or Bidding Zone than the 3349 Production Unit that it belongs to. 3350 Alignment of Production Type or Status between Production Unit and its Generation Unit(s) 3351 will not be enforced by the platform. 3352 Data Provider is assumed to be the same for the Production Unit and its Generation Unit(s). 3353 Location is a free text field – guidelines regarding its content to be specified by [3]. 3354 Platform will not aggregate installed capacity for generation units. 3355 Platform will not validate installed capacity of production unit against installed capacity of its 3356 generation units. 9.9.3 Creation of Generation and Production Units 3357 3358 Generation and Production Units may be created one by one or several in one go. There shall be a webpage to this end, where creation or modification of Generation and Production 3359 3360 Units will require authentication. Additionally, a machine-to-machine interface shall be 3361 available, based on EDI mechanism. 3362 Platform administrator in ENTSO-E shall be able to create and modify Generation and 3363 Production Units on behalf of Data Providers. 3364 When Generation or Production Unit is created, the uniqueness of the Code shall be verified by checking that no other Generation or Production Unit on platform with same Code already 3365 3366 exists. 3367 Note that the assignation of unique Code assumes a manual procedure that is outside the 3368 scope of the central platform. Bidding Zone shall be validated against the list of recognised Bidding Zones [C-GNR-1]. 3369 3370 Production Type shall be validated against the list of Production Types [C-GNR-2]. 3371 Control Area shall be validated against the list of recognised Control Areas [C-GNR-8] 9.9.4 Updates to Generation and Production Units 3372 The Data Provider associated with the Generation or Production Unit may update the data at 3373 3374 any time. Code will be used to match updated data to Generation or Production Unit. Platform shall validate that Generation or Production Unit with quoted Code already exists. If 3375 3376 not, update will be rejected. 3377 Platform will validate that Data Provider performing update is consistent with the Data Provider recorded for the Production Unit. 3378



3379	Bidding Zone shall be validated against the list of recognised Bidding Zones [C-GNR-1].	
3380	Production Type shall be validated against the list of Production Types [C-GNR-2].	
3381	Control Area shall be validated against the list of recognised Control Areas [C-GNR-8].	
3382 3383	Deletions are not foreseen under normal circumstances, but could be performed in exceptional situations by platform administrator in ENTSO-E.	
3384	9.9.5 Queries of reference data for Generation and Production	
3385	Units	
3386 3387	Data on Generation and Production Units shall be made available for visual inspection and download via web page.	
3388	9.9.5.1 FILTERING AND SORTING CRITERIA	
3389 3390	It shall be possible to search for Generation or Production Unit by using Code as search criterion.	
3391 3392	Also, it shall be possible to retrieve several Generation and Production Units at the same time, by specifying the following filtering and sorting criteria:	
3393	- Country or Bidding Zone: selection is mandatory	
3394	- Production Type: selection is optional, one or several may be chosen	
3395	- Status: selection is optional, by default set to Commissioned	
3396 3397	- Effective Date: selection is optional, one day or from-to range may be chosen. By default the current date shall be used	
3398	9.9.5.2 DISPLAY	
3399	This data shall be displayed in the following section:	
3400	- Generation / Generation and Production Units	
3401	The following attributes of data shall be displayed:	
3402	- Country or Bidding Zone	
3403	- Effective Date	
3404 3405 3406	- List of Production Units, with their Installed Capacity, Production Type, Voltage Connection Level, Name, Location, Unique Code and Status. Data Provider shall only be displayed towards authenticated users.	

- For each Production Unit, the associated Generation Unit(s) with their Installed Capacity,
   Production Type, Name, Location, Unique Code and Status.
- 3409 Data shall be displayed in a Table.



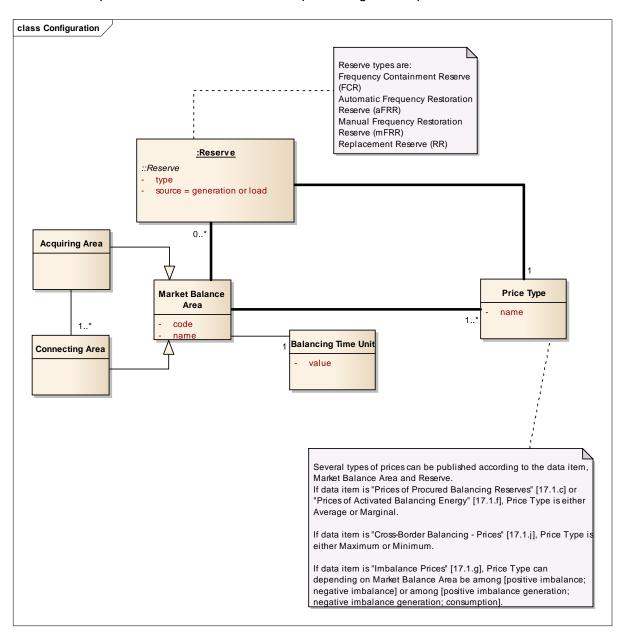
## 3410 10BALANCING

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#### 10.1 REQUIREMENTS COMMON TO ALL DATA ITEMS

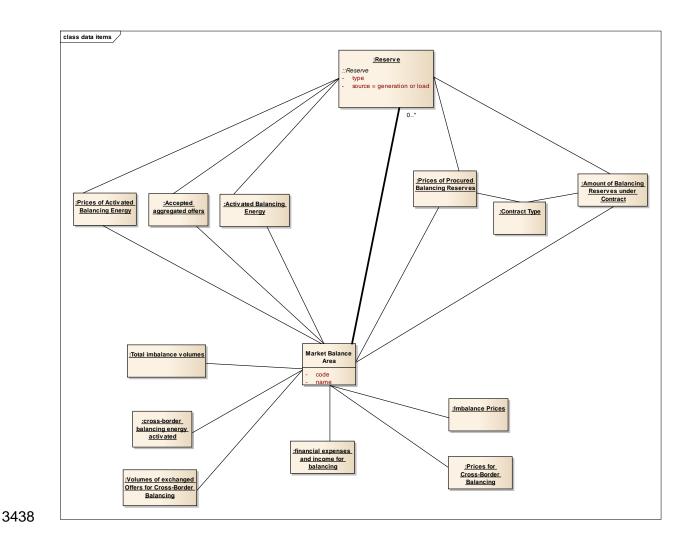
#### 3412 10.1.1 FUNDAMENTAL ENTITIES

The following class diagram describes the fundamental entities to be taken into account within the scope of this document in order to pre-configure the platform.





- All information regarding Balancing is given per **Market Balance Area**. For most data items, there is a set of applicable Reserves in each Market Balance Area. But in the cases of the data items "Imbalance Prices" [17.1.g], "Total Imbalance Volumes" [17.1.h] and "Financial Expenses and Income for Balancing" [17.1.i] the Reserves are not relevant.
- The **Reserve** is of different **Types**. Reserve may be further differentiated by source; load or generation. However this distinction is mandatory only for data item "Contracted Balancing Reserves" [17.1.b].
- The Balancing Time Unit is dependent on the Market Balance Area
- The **Type of Price** depends on the Market Balance Area and for some data items additionally also on the Reserve
- For the data items "Imbalance Prices" [17.1.g], the price in question is an imbalance price. Imbalance prices generally concern both generation and consumption but in certain Market Balance Areas, there is a distinction to be made between imbalance prices for generation and imbalance prices for consumption (in which case there is one price for consumption, whatever the direction, and two prices one upwards and one downwards for generation)
- The Balancing Time Unit for cross-border balancing is dependent on the acquiring Market Balance Area.



#### 10.1.2 Pre-configuration

- The platform administrator at ENTSO-E shall pre-configure the platform with the following reference data:
- 3442 [C-BLC-1] a list of Reserves

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- 3443 [C-BLC-3] for each data item a separate list of relevant Market Balance Areas
- 3444 [C-BLC-4] for select data items, a list of applicable Reserves in each Market Balance Area
- [C-BLC-5] a list of Price Types and their dependencies with the data item or combination of
   data item, Market Balance Area and Contract Type<sup>31</sup>

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<sup>&</sup>lt;sup>31</sup> The dependency on data item and Market Balance Area is relevant for data item "Imbalance Prices" [17.1.g] only. Dependency on Market Balance Area and Contract Type is relevant for data item "Prices of Procured Balancing Reserves" [17.1.c] only.



- 3447 [C-BLC-6] a list of Balancing Time Units and their dependencies with the Market Balance
- 3448 Area
- 3449 [C-BLC-7] for each Market Balance Area, its qualification as a potential acquiring Market
- 3450 Balance Area and if so, the list of applicable connecting Market Balance Areas
- [C-BLC-8] a list of Contract Types. The same list as for Transmission applies, refer to
- 3452 chapter 7.1.6
- 3453 [C-BLC-9] for each Market Balance Area, the currency
- 3454 [C-BLC-10] for each couple of acquiring and connecting Market Balance Areas, the
- 3455 currency for cross-border balancing activation. This is used in data item [17.1.j]
- 3456 [C-BLC-11] for each Market Balance Area, the country or list of countries that it covers, to
- 3457 facilitate publication of data per country
- 3458 10.1.3 ASSUMPTIONS
- No specific assumptions apply to the data items in the Balancing domain.
- 3460 10.1.4 INTEGRATION
- Data Provider shall in one single data submission be able to provide data for a time interval
- 3462 longer than one Balancing Time Unit. The time interval would in this case necessarily be a
- 3463 multiple of the Balancing Time Unit. Similarly, for data item Financial Expenses and Income
- for Balancing [17.1.i], the time interval may encompass several months.
- 3465 10.1.5 DATA CONTAINER STATUS / DATA STATUS
- 3466 All data items, with the exception of [17.1.b] and [17.1.c] follow the "none / waiting for
- 3467 publication / published / missing" scheme if properly declared as expected and if the
- 3468 submission deadline has been configured.
- 3469 10.1.6 PUBLICATION
- For all data items except [17.1.a], data shall be available on web site in all three formats;
- 3471 table, map and chart.

3472	10.2	RULES ON BALANCING [17.1.A]
3473	10.2.1	DATA DESCRIPTION
3474 3475	This data iter performed.	m consists of a PDF file per Market Balance Area. No monitoring will be
3476	10.2.2	PRE-CONFIGURATION
3477 3478		ministrator shall be able to manage the reference data that indicates the single Provider per Market Balance Area for this data item.
3479	10.2.3	Integration
3480 3481		shall on the platform's web site, on the same page where these reports are able to select a Market Balance Area and upload a PDF file.
3482	Platform shall	validate combination of Market Balance Area and Data Provider.
3483	10.2.4	PUBLICATION
3484	10.2.4.1	PUBLICATION BEHAVIOUR
3485	Documents ar	e published immediately after upload.
3486	All versions sh	nall be published.
3487	10.2.4.2	FILTERING AND SORTING CRITERIA
3488	End user shall	be able to select data for display by specifying:
3489 3490	• `	ection is optional: If selected, Market Balance Areas will be filtered to include t partially or completely cover the Country)
3491	- Market Balar	nce Area (optional)
3492	- Publication y	rear (optional)
3493	10.2.4.3	DISPLAY
3494	This data shal	I be displayed in the following section:
3495	Balancing / Ru	ules

Each report shall be available for download by clicking a link.

3497 For every report, the Market Balance Area and publication date shall be displayed.

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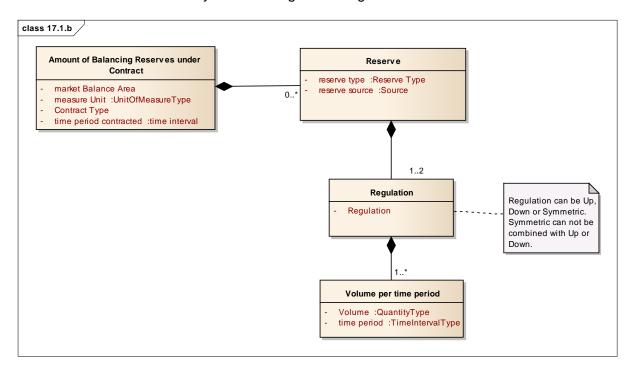
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# 10.3 AMOUNT OF BALANCING RESERVES UNDER CONTRACT [17.1.B]

## 10.3.1 DATA DESCRIPTION

This item can be described by the following class diagram:



For this data item, the submitted document shall contain values pertaining to only one Market Balance Area at a time. Not all Contract Types or Reserves have to be submitted in the same document. They could be submitted in separate documents, depending on local process.

This item describes for the applicable Reserves in the considered Market Balance Area and a given Contract Type (yearly, monthly, etc.) and contracted time period, the quantity of contracted balancing reserve volume per Regulation in a given fixed measure Unit (MW). For this data item, it is mandatory to qualify Reserve by Source.

Volume may be described as a constant value or may vary during the contracted time period, with a resolution up to BTU.

3513 If no volume was contracted for a given Reserve, the Reserve shall not be included in the 3514 submission.

- Reserve (selection is mandatory)

10.3.2 Pre-configuration 3515 3516 - For each applicable Market Balance Area and Contract Type, the period of time where "Contracted Balancing Reserves" shall be expected by the platform (possibly just the start 3517 3518 date and time), whatever the Reserve. 3519 - For each applicable Market Balance Area, the unique allowed Data Provider 10.3.3 INTEGRATION 3520 - The Market Balance Area shall be recognised by the platform 3521 3522 - The Reserve shall be recognised by the platform - Contract Type shall be recognised by the platform 3523 3524 - The provided Market Balance Area and Reserve shall be associated together with the data 3525 item [17.1.b] in the pre-configuration of reference data, as in [C-BLC-4] - The Data Provider shall be consistent with the identified Market Balance Area 3526 10.3.4 MONITORING 3527 Contracted time intervals and dates and times for procurement processes vary significantly 3528 among market balance areas, hence monitoring will not be performed on this data item. 3529 10.3.5 **PROCESSING** 3530 No processing is performed on this data item. 3531 10.3.6 DATA CONTAINER STATUS / DATA STATUS 3532 Data follows the "None / Ready for publication" scheme. 3533 10.3.7 **PUBLICATION** 3534 FILTERING AND SORTING CRITERIA 10.3.7.1 3535 3536 Data shall be visually accessed by selecting the following: 3537 - Country (selection is optional: If selected, Market Balance Areas will be filtered to include only those that partially or completely cover the Country) 3538 3539 - Market Balance Area (selection is mandatory)

3541	- Contract Type (selection is mandatory)	
3542	- Date or date range (selection is mandatory)	
3543	10.3.7.2 DISPLAY	
3544	This data shall be displayed in the following section:	
3545	- Balancing / Balancing Volumes Reservation	
3546	The following attributes of data shall be displayed:	
3547	- Title	
3548	- Market Balance Area name	
3549	- Contract Type	
3550	- Selected date or date range	
3551	- Measurement Unit	
3552 3553	- For reserves with contracted time period partially or completely within the selected date or date range, Reserve Type, Source and the contracted time period	
3554	- For each Reserve, the Regulation and contracted Volumes	
3555 3556	If volumes vary during the contracted time period, it shall be possible to expand the view so that volumes are displayed as a curve and a table.	

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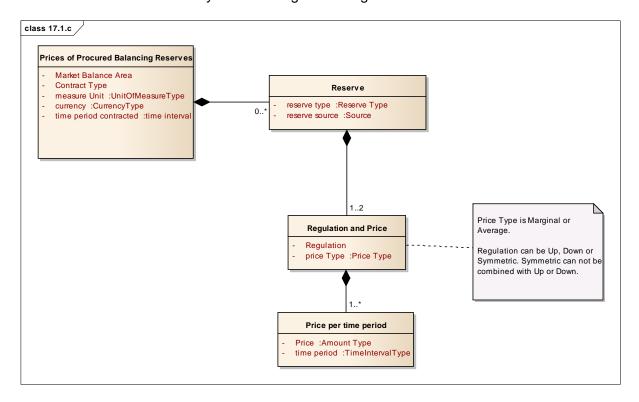
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# 10.4 PRICES OF PROCURED BALANCING RESERVES [17.1.C]

## 10.4.1 DATA DESCRIPTION

3561 This item can be described by the following class diagram:



For this data item, the submitted document shall contain values pertaining to one Market Balance Area only.

This item describes for a Market Balance Area, Contract Type and contracted time interval, for the applicable Reserves the price of procured reserve volume per Regulation in a given currency and fixed measure Unit (MW). Source of Reserve is optionally provided.

Price may be described as a constant value or may vary during the contracted time period, with a resolution up to BTU.

If no volume was procured for a given Reserve, the Reserve shall not be included in the submission.

- 3572 10.4.2 Pre-configuration
- 3573 For each applicable Market Balance Area and Contract Type, the period of time where
- 3574 "Prices of the Procured Balancing Reserves" shall be expected by the platform (possibly just
- 3575 the start date and time), whatever the Reserve.
- 3576 For each applicable Market Balance Area, the unique allowed Data Provider
- 3577 **10.4.3** INTEGRATION
- 3578 The Market Balance Area shall be recognised by the platform
- 3579 The Reserve shall be recognised by the platform
- 3580 The Contract Type shall be recognised by the platform
- 3581 The provided Market Balance Area and Reserve shall be associated together with the data
- item [17.1.c] in the pre-configuration of reference data, as in [C-BLC-4]
- 3583 The Data Provider shall be consistent with the identified Market Balance Area
- 3584 Currency shall be consistent with pre-configuration [C-BLC-9]
- 3585 10.4.4 MONITORING
- 3586 Contracted time intervals and dates and times for procurement processes vary significantly
- 3587 among market balance areas, hence monitoring will not be performed on this data item.
- 3588 10.4.5 PROCESSING
- 3589 Platform determines Price Type based on Market Balance Area, Reserve and possibly also
- 3590 Contract Type, using pre-configuration [C-BLC-5].
- 3591 10.4.6 DATA CONTAINER STATUS / DATA STATUS
- 3592 Data follows the "None / Ready for publication" scheme.
- 3593 **10.4.7** PUBLICATION
- 3594 10.4.7.1 FILTERING AND SORTING CRITERIA
- 3595 Data shall be visually accessed by selecting the following:
- Country (selection is optional: If selected, Market Balance Areas will be filtered to include
- only those that partially or completely cover the Country)
- 3598 Market Balance Area (selection is mandatory)

3599	- Reserve (selection is mandatory)
3600	- Date or date range (selection is mandatory)
3601	- Contract Type (selection is mandatory)
3602	10.4.7.2 DISPLAY
3603	This data shall be displayed in the following section:
3604 3605	- Balancing / Balancing Volumes Reservation (same section as for "Contracted Balancing Reserves / 17.1.b")
3606	The following attributes of data shall be displayed:
3607	- Title
3608	- Market Balance Area name
3609	- Measurement Unit
3610	- Currency
3611	- Contract Type
3612 3613 3614	- For each Reserve with a contracted time period at least partially within the selected date or date range, the contracted time period, Reserve Type and Source (if provided) are displayed. For each Reserve, the Regulation, Price Type and Prices are displayed.
3615 3616	Data shall be displayed together with the volumes (i.e. "Contracted Balancing Reserves / 17.1.b").
3617 3618	If prices vary during the contracted time period, it shall be possible to expand the view so that prices are displayed as a curve and a table.



# 10.5 ACCEPTED AGGREGATED OFFERS [17.1.D]

## 3621 10.5.1 DATA DESCRIPTION

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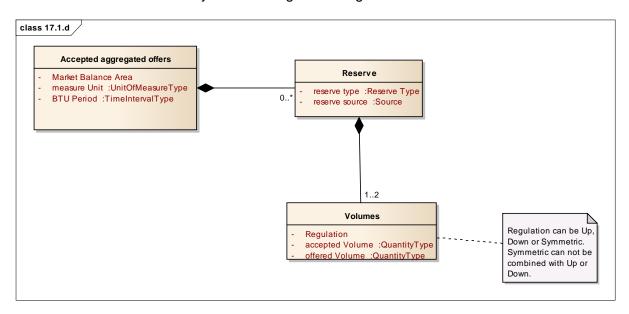
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This item can be described by the following class diagram:



For each applicable Market Balance Area, there should be a given Balancing Time Unit value. For this data item, the submitted document shall contain values pertaining to one Market Balance Area only.

This item describes for a time interval, equal to one Balancing Time Unit, and for the applicable Reserves in the considered Market Balance Area, the aggregated accepted volume for activation per Regulation in a given fixed measure Unit (MW).

- 3630 Source of Reserve is optionally included.
- Optionally, the offered volume may also be included, when there might be a difference between accepted and offered volumes due to market rules.
- 3633 If there was no accepted offer for a given Reserve, the Reserve shall not be included in the 3634 submission.

## 10.5.2 Pre-configuration

- For each applicable Market Balance Area, the period of time where the data item "Accepted
   Aggregated Offers for Activation" shall be expected by the platform (possibly just the start
   date and time)
- 3639 For each applicable Market Balance Area, the unique allowed Data Provider

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3640 - For each applicable Market Balance Area, the submission deadline: 3641 For a given time interval, submission must be done at the latest one hour (H+1) after 3642 the end of the time interval. 3643 Example: if the Balancing Time Unit is 30 min for a given Market Balance Area, and the time interval in question is January 15<sup>th</sup> [08:00; 08:30] UTC, values for this time interval 3644 must be submitted before January 15th, 09:30 UTC. 3645 10.5.3 INTEGRATION 3646 3647 - The Market Balance Area shall be recognised by the platform 3648 - The Reserve shall be recognised by the platform 3649 - The provided Market Balance Area and Reserve shall be associated together with the data item [17.1.d] in the pre-configuration of reference data, as in [C-BLC-4] 3650 3651 - The time interval in the submitted document is of one Balancing Time Unit at least and is 3652 necessarily a multiple of the Balancing Time Unit, this Balancing Time Unit being identified 3653 for the Market Balance Area as in [C-BLC-6] 3654 - The Data Provider shall be consistent with the identified Market Balance Area 10.5.4 MONITORING 3655 3656 For a given Market Balance Area, the submission deadline described above shall be 3657 monitored for each time interval. 10.5.5 **PROCESSING** 3658 No processing performed on this data item. 3659 10.5.6 **PUBLICATION** 3660 10.5.6.1 FILTERING AND SORTING CRITERIA 3661 3662 Data shall be visually accessed by selecting the following: 3663 - Country (selection is optional: If selected, Market Balance Areas will be filtered to include 3664 only those that partially or completely cover the Country) 3665 - Market Balance Area (selection is mandatory) 3666 - Reserve Type (selection is optional) 3667 - Day (selection is mandatory)

3668	- Time Window (Start time and end time) (selection is mandatory)	
3669	10.5.6.2 DISPLAY	
3670	This data shall be displayed in the following section:	
3671	- Balancing / Volumes of Offers for Activation	
3672	The following attributes of data shall be displayed:	
3673	- Title	
3674	- Market Balance Area name	
3675	- Reserve: Type and Source (if provided)	
3676	- Balancing Time Unit for the given Market Balance Area	
3677	- Day	
3678	- Measurement Unit	
3679 3680 3681	- For each Regulation, the Accepted Volumes per Balancing Time Unit within the Time Window for all applicable Reserves. If it has been provided, Offered Volume is also displayed.	



# 10.6 ACTIVATED BALANCING ENERGY [17.1.E]

## 3683 10.6.1 DATA DESCRIPTION

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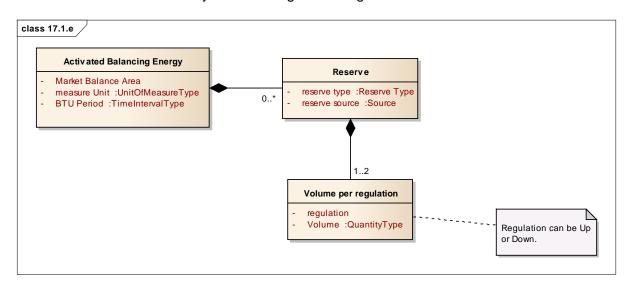
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This item can be described by the following class diagram:



For each applicable Market Balance Area there should be a given Balancing Time Unit value.
For this data item, the submitted document shall contain values pertaining to only one Market
Balance Area.

This item describes for a time interval, equal to one Balancing Time Unit, and for the applicable Reserves in the considered Market Balance Area, the volumes of activated balancing reserves per Regulation in a given fixed measure Unit (MWh).

Source of Reserve is optionally included.

3693 If no energy was activated for a given Reserve, the Reserve shall not be included in the 3694 submission.

#### 10.6.2 Pre-configuration

- For each applicable Market Balance Area, the period of time where "Volumes of Activated Balancing Energy" shall be expected by the platform (possibly just the start date and time), whatever the Reserve.
- 3699 For each applicable Market Balance Area, the unique allowed Data Provider
- 3700 For each applicable Market Balance Area, the submission deadline:



3701 For a given time interval, submission must be done at the latest thirty minutes 3702 (MM+30) after the end of the time interval. Example: if the Balancing Time Unit is 30 min for a given Market Balance Area and 3703 Reserve, and the time interval in question is January 15<sup>th</sup> [08:00; 08:30] UTC, values for 3704 this time interval must be submitted before January 15th, 09:00 UTC. 3705 10.6.3 INTEGRATION 3706 - The Market Balance Area shall be recognised by the platform 3707 - The Reserve shall be recognised by the platform 3708 3709 - The provided Market Balance Area and Reserve shall be associated together with the data 3710 item [17.1.e] in the pre-configuration of reference data, as in [C-BLC-4] 3711 - The time interval in the submitted document is of one Balancing Time Unit at least and is 3712 necessarily a multiple of the Balancing Time Unit, this Balancing Time Unit being identified 3713 for the Market Balance Area as in [C-BLC-6] 3714 - The Data Provider shall be consistent with the identified Market Balance Area 10.6.4 MONITORING 3715 For a given Market Balance Area, the submission deadline described above shall be 3716 3717 monitored for each time interval. 10.6.5 PROCESSING 3718 3719 No processing performed on this data item. 3720 10.6.6 **PUBLICATION** 10.6.6.1 FILTERING AND SORTING CRITERIA 3721 3722 Data shall be visually accessed by selecting the following: 3723 - Country (selection is optional: If selected, Market Balance Areas will be filtered to include 3724 only those that partially or completely cover the Country) - Market Balance Area (selection is mandatory) 3725 3726 - Reserve Type (selection is optional) 3727 - Day (selection is mandatory) 3728 - Time Window (Start time and end time) (selection is mandatory)

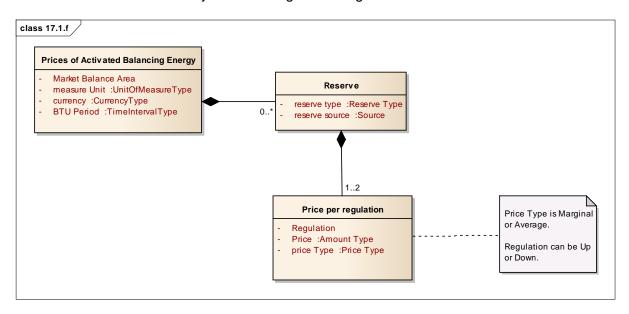
3729	10.6.6.2 DISPLAY
3730	This data shall be displayed in the following section:
3731	- Balancing / Activated Balancing Reserves
3732	The following attributes of data shall be displayed:
3733	- Title
3734	- Market Balance Area name
3735	- Reserve: Type and Source (if provided)
3736	- Balancing Time Unit for the given Market Balance Area
3737	- Day
3738	- Measurement Unit
3739 3740	- For each Regulation, Volumes per Balancing Time Unit within the Time Window for all applicable Reserves



# 10.7 PRICES OF ACTIVATED BALANCING ENERGY [17.1.F]

## 3743 10.7.1 DATA DESCRIPTION

3744 This item can be described by the following class diagram:



- 3746 For each applicable Market Balance Area there should be a given Balancing Time Unit value.
- For this data item, the submitted document shall contain values pertaining to only one Market
- 3748 Balance Area.

- 3749 This item describes for a time interval, equal to one Balancing Time Unit, for the applicable
- 3750 Reserves in the considered Market Balance Area, the price of activated balancing reserves
- per Regulation in a given currency and fixed measure Unit (MWh).
- 3752 Source of Reserve is optionally included.
- 3753 If no energy was activated for a given Reserve, the Reserve shall not be included in the
- 3754 submission.
- 3755 10.7.2 Pre-configuration
- For each applicable Market Balance Area, the period of time where "Prices of activated
- 3757 Balancing Energy" shall be expected by the platform (possibly just the start date and time)
- 3758 For each applicable Market Balance Area, the unique allowed Data Provider
- 3759 For each applicable Market Balance Area, the submission deadline:

- Reserve Type (selection is optional)



3760 For a given time interval, submission must be done at the latest one hour (H+1) after 3761 the end of the time interval. Example: if the Balancing Time Unit is 30 min for a given Market Balance Area and 3762 Reserve, and the time interval in question is January 15<sup>th</sup> [08:00; 08:30] UTC, values for 3763 this time interval must be submitted before January 15<sup>th</sup>, 09:30 UTC. 3764 10.7.3 INTEGRATION 3765 - The Market Balance Area shall be recognised by the platform 3766 - The Reserve shall be recognised by the platform 3767 3768 - The provided Market Balance Area and Reserve shall be associated together with the data 3769 item [17.1.f] in the pre-configuration of reference data, as in [C-BLC-4] - The time interval in the submitted document is of one Balancing Time Unit at least and is 3770 3771 necessarily a multiple of the Balancing Time Unit, this Balancing Time Unit being identified 3772 for the Market Balance Area as in [C-BLC-6] 3773 - The Data Provider shall be consistent with the identified Market Balance Area 3774 - Currency shall be consistent with pre-configuration [C-BLC-9] 10.7.4 MONITORING 3775 3776 For a given Market Balance Area, the submission deadline described above shall be 3777 monitored for each time interval. 10.7.5 **PROCESSING** 3778 Platform determines Price Type based on Market Balance Area and Reserve, using pre-3779 3780 configuration [C-BLC-5]. 10.7.6 **PUBLICATION** 3781 FILTERING AND SORTING CRITERIA 10.7.6.1 3782 3783 Data shall be visually accessed by selecting the following: - Country (selection is optional: If selected, Market Balance Areas will be filtered to include 3784 only those that partially or completely cover the Country) 3785 - Market Balance Area (selection is mandatory) 3786

3788 - Day (selection is mandatory) - Time Window (Start time and end time) (selection is mandatory) 3789 10.7.6.2 DISPLAY 3790 This data shall be displayed in the following section: 3791 3792 - Balancing / Activated Balancing Reserves (same section as for "Volumes of activated 3793 balancing reserves / 17.1.e") 3794 The following attributes of data shall be displayed: 3795 - Title 3796 - Market Balance Area name 3797 - Reserve: Type and Source (if provided) - Balancing Time Unit for the given Market Balance Area 3798 3799 - Day 3800 - Measurement Unit 3801 - Currency 3802 - For each Reserve, the Regulation and Price Type 3803 - Prices per Balancing Time Unit within the Time Window Data shall be displayed together with the volumes (i.e. "Volumes of activated balancing 3804 reserves / 17.1.e"). 3805



# 10.8 IMBALANCE PRICES [17.1.G]

## 3807 10.8.1 DATA DESCRIPTION

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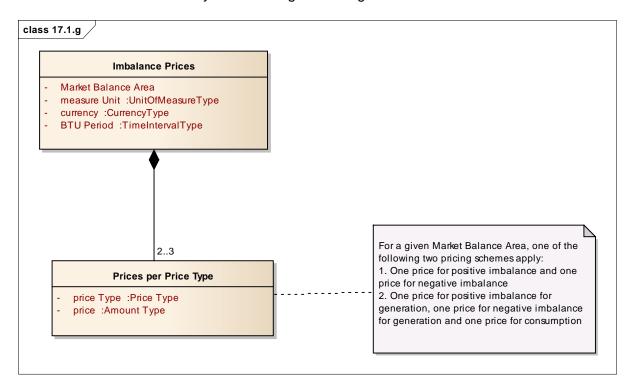
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This item can be described by the following class diagram:



For each applicable Market Balance Area, there should be a given Balancing Time Unit value and two or three applicable price types. For this data item, the submitted document shall contain values pertaining to only one Market Balance Area.

This item describes for a time interval, equal to one Balancing Time Unit, the imbalance prices in a given currency and fixed measure Unit (MWh).

#### 10.8.2 Pre-configuration

- For each applicable Market Balance Area, the period of time when "Imbalance Prices" shall be expected by the platform (possibly just the start date and time)
- 3818 For each applicable Market Balance Area, the unique allowed Data Provider
- 3819 For each applicable Market Balance Area, the submission deadline:
  - Data shall be submitted as soon as possible. The specific submission deadline will depend on Market Balance Area.

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submission deadline is H+2. The time interval in question is January 15<sup>th</sup> [08:00; 08:30] 3823 UTC. Values for this time interval must be submitted before January 15th, 10:30 UTC. 3824 10.8.3 INTEGRATION 3825 - The Market Balance Area shall be recognised by the platform 3826 3827 - The Price Types shall be recognised by the platform 3828 - The provided Market Balance Area shall be associated with the data item [17.1.g] in the 3829 pre-configuration of reference data, as in [C-BLC-3] 3830 - The provided Price Types shall be associated with the data item [17.1.g] and the given 3831 Market Balance Area, as in [C-BLC-5] 3832 - The time interval in the submitted document is of one Balancing Time Unit at least and is 3833 necessarily a multiple of the Balancing Time Unit, this Balancing Time Unit being identified 3834 for the Market Balance Area as in [C-BLC-6] 3835 - The Data Provider shall be consistent with the identified Market Balance Area - Currency shall be consistent with pre-configuration [C-BLC-9] 3836 10.8.4 MONITORING 3837 For a given Market Balance Area, the submission deadline described above shall be 3838 3839 monitored for each time interval. 10.8.5 **PROCESSING** 3840 3841 No processing is performed on this data item. 10.8.6 **PUBLICATION** 3842 FILTERING AND SORTING CRITERIA 10.8.6.1 3843 3844 Data shall be visually accessed by selecting the following: 3845 - Country (selection is optional: If selected, Market Balance Areas will be filtered to include 3846 only those that partially or completely cover the Country) 3847 - Market Balance Area (selection is mandatory) - Day (selection is mandatory) 3848 3849 - Time Window (Start time and end time) (selection is mandatory)

Example: Balancing Time Unit is 30 min for a given Market Balance Area where

DISPLAY

10.8.6.2

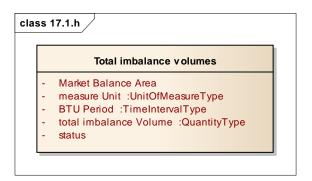
3851	This data shall be displayed in the following section:
3852	- Balancing / Imbalance
3853	The following attributes of data shall be displayed:
3854	- Title
3855	- Market Balance Area name
3856	- Balancing Time Unit for the given Market Balance Area
3857	- Day
3858	- Measurement Unit
3859	- Currency
3860 3861	- For each applicable Price Type, the Price Type and the price per Balancing Time Unit within the Time Window for the given Market Balance Area



# 10.9 TOTAL IMBALANCE VOLUMES [17.1.H]

## 3863 10.9.1 DATA DESCRIPTION

3864 This item can be described by the following class diagram:



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For each applicable Market Balance Area, there should be a given Balancing Time Unit value. For this data item, the submitted document shall contain values pertaining to only one Market Balance Area.

This item describes for a time interval, equal to one Balancing Time Unit, the total imbalance volume in a given fixed measure Unit (MWh), together with a status of the data (estimated or final).

#### 3872 10.9.2 Pre-configuration

- For each applicable Market Balance Area, the period of time where "Aggregated volumes of the imbalances" shall be expected by the platform (possibly just the start date and time)
- 3875 For each applicable Market Balance Area, the unique allowed Data Provider
- 3876 For each applicable Market Balance Area, the submission deadline:
- For a given time interval, submission must be done at the latest 30 minutes after the end of the time interval.
- Example: if the Balancing Time Unit is 30 min for a given Market Balance Area, and the time interval in question is January 15<sup>th</sup> [08:00; 08:30] UTC, values for this time interval must be submitted before January 15<sup>th</sup>, 09:00 UTC.

## 3882 10.9.3 INTEGRATION

- The Market Balance Area shall be recognised by the platform

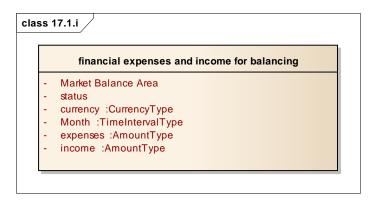
3884 - The provided Market Balance Area shall be associated together with the data item [17.1.h] 3885 in the pre-configuration of reference data, as in [C-BLC-3] 3886 - The time interval in the submitted document is of one Balancing Time Unit at least and is 3887 necessarily a multiple of the Balancing Time Unit, this Balancing Time Unit being identified for the Market Balance Area as in [C-BLC-6] 3888 3889 - The Data Provider shall be consistent with the identified Market Balance Area 10.9.4 MONITORING 3890 3891 For a given Market Balance Area, the submission deadline described above shall be monitored for each time interval. 3892 10.9.5 **PROCESSING** 3893 3894 No processing is performed on this data item. 10.9.6 **PUBLICATION** 3895 FILTERING AND SORTING CRITERIA 10.9.6.1 3896 3897 Data shall be visually accessed by selecting the following: - Country (selection is optional: If selected, Market Balance Areas will be filtered to include 3898 3899 only those that partially or completely cover the Country) 3900 - Market Balance Area (selection is mandatory) 3901 - Day (selection is mandatory) 3902 - Time Window (Start time and end time) (selection is mandatory) 10.9.6.2 DISPLAY 3903 This data shall be displayed in the following section: 3904 3905 - Balancing / Imbalance (same section as for "Imbalance prices / 17.1.g") 3906 The following attributes of data shall be displayed: 3907 - Title 3908 - Market Balance Area name 3909 - Balancing Time Unit for the given Market Balance Area 3910 - Day

3911	- Measurement Unit
3912 3913	- Status of data (Estimated or Final) for each Balancing Time Unit within the Time Window for the given Market Balance Area
3914 3915	- The total imbalance volume per Balancing Time Unit within the Time Window for the given Market Balance Area

# 10.10 FINANCIAL EXPENSES AND INCOME FOR BALANCING [17.1.1]

## 3918 10.10.1 DATA DESCRIPTION

3919 This item can be described by the following class diagram:



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- For each applicable Market Balance Area, this item describes for each month, the expenses and income, in a given currency, together with a status of this financial information (provisional or final).
- 3924 **Note**: Amounts (whether expense or income) can be both positive and negative.
- For this data item, the submitted document shall contain values pertaining to only one Market Balance Area.
- 3927 10.10.2 Pre-configuration
- For each applicable Market Balance Area, the period of time where "Financial Expenses
   and Income for Balancing" shall be expected by the platform (possibly just the start date and
   time).
- 3931 For each applicable Market Balance Area, the unique allowed Data Provider
- 3932 For each applicable Market Balance Area, the submission deadline:
- For a given month, submission must be done at the latest at the end of the last calendar day of M+3, where M is the month to which submitted values pertain.
- Example: if the considered month is January 2012, values for this month must be submitted before 30<sup>th</sup> April, 24:00 UTC.

10.10.3 INTEGRATION 3937 - The Market Balance Area shall be recognised by the platform 3938 3939 - The provided Market Balance Area shall be associated with the data item [17.1.i] in the pre-3940 configuration of reference data, as in [C-BLC-3] 3941 - The time interval in the submitted document is of one month at least and is necessarily a 3942 multiple of one month 3943 - The Data Provider shall be consistent with the identified Market Balance Area 3944 - Document is submitted after the end of the month described in the document, reason being 3945 that this is ex-post data. This validation shall trigger a warning only. 3946 - Currency shall be consistent with pre-configuration [C-BLC-9] 10.10.4 MONITORING 3947 For this item, the monthly submission deadline shall be monitored. 3948 10.10.5 PROCESSING 3949 3950 The following processing is performed by the platform: - calculate the difference between the expense and the income 3951 10.10.6 **PUBLICATION** 3952 10.10.6.1 FILTERING AND SORTING CRITERIA 3953 Data shall be visually accessed by selecting the following: 3954 3955 - Country (selection is optional: If selected, Market Balance Areas will be filtered to include 3956 only those that partially or completely cover the Country) 3957 - Market Balance Area (selection is mandatory) - Time Window (from one month to a year) (selection is mandatory) 3958 10.10.6.2 DISPLAY 3959 3960 This data shall be displayed in the following section: 3961 - Balancing / Financial expenses and income 3962 The following attributes of data shall be displayed:

- Title

3964	- Market Balance Area name
3965	- Time Window
3966	- Currency
3967	- For each month, the status of data (Provisional or Final)
3968	- For each month, the income
3969	- For each month, the expenses
3970	- For each month, the calculated difference between the expenses and income

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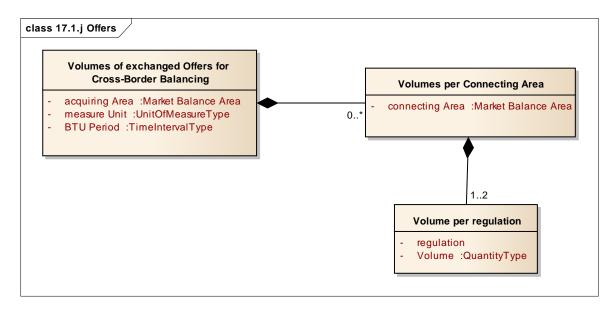
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# 10.11 CROSS-BORDER BALANCING – VOLUMES OF EXCHANGED BIDS AND OFFERS [17.1.J]

## 10.11.1 DATA DESCRIPTION

This item can be described by the following class diagram:



For each applicable acquiring Market Balance Area, there should be a given Balancing Time Unit value.

This item describes for each applicable connecting Market Balance Area, for a time interval equal to one Balancing Time Unit, the quantity of aggregated volume of offers for activation from the connecting Market Balance Area, upwards and/or downwards in a given fixed measure Unit (MW).

If no bids and offers were exchanged for a given connecting Area, to the connecting Area shall not be included in the submission.

For this data item the submitted document shall contain values pertaining to only one acquiring Area.

#### 10.11.2 Pre-configuration

- For each applicable Acquiring Area, as of what date and time this data item "Volumes of Exchanged Bids and Offers" shall be expected by the platform, whatever the Connecting Area. Optionally, it is possible to configure an end date and time as well.

- 3990 For each applicable Acquiring Area, the unique Data Provider
- 3991 For each applicable Acquiring Area, the submission deadline:
- For a given time interval, submission must be done at the latest one hour (H+1) after the end of the time interval.
- Example: if the Balancing Time Unit is 30 min for a given Acquiring Area and the time interval in question is January 15<sup>th</sup> [08:00; 08:30] UTC, the values for this time interval must be submitted before January 15<sup>th</sup>, 09:30 UTC.
- 3997 10.11.3 INTEGRATION
- 3998 The acquiring Area shall be recognised by the platform
- 3999 The connecting Areas shall be recognised by the platform
- Each of the provided Market Balance Areas (acquiring and connecting ones) shall be associated together with the data item [17.1.j] in the pre-configuration of reference data, as in
- 4002 [C-BLC-4]
- The time interval in the submitted document is of one Balancing Time Unit at least and is necessarily a multiple of the Balancing Time Unit, this Balancing Time Unit being identified
- 4005 for the Acquiring Market Balance Area as in [C-BLC-6]
- 4006 The Data Provider shall be consistent with the identified Acquiring Area
- 4007 10.11.4 MONITORING
- For a given acquiring Area, the submission deadline described above shall be monitored for each time interval.
- 4010 10.11.5 PROCESSING
- 4011 No processing performed on this data item.
- 4012 10.11.6 PUBLICATION
- 4013 10.11.6.1 FILTERING AND SORTING CRITERIA
- 4014 Data shall be visually accessed by selecting the following:
- 4015 Country (selection is optional: If selected, Market Balance Areas will be filtered to include
- 4016 only those that partially or completely cover the Country)
- 4017 Acquiring Area (selection is mandatory)

4018 - Connecting Area (selection is optional) 4019 - Day (selection is mandatory) 4020 - Time Window (Start time and end time) (selection is mandatory) 10.11.6.2 DISPLAY 4021 4022 This data shall be displayed in the following section: 4023 - Balancing / Offers for Cross-Border Balancing Activation 4024 The following attributes of data shall be displayed: 4025 - Title 4026 - Acquiring Area name 4027 - Connecting Area name 4028 - Balancing Time Unit for the given Acquiring Area 4029 - Day 4030 - Measurement Unit 4031 - The Up and/or Down Volumes per Balancing Time Unit within the Time Window for the 4032 given Acquiring Area and Connecting Area. This is repeated for all Connecting Areas if no specific one was selected. 4033



# 10.12 CROSS-BORDER BALANCING - PRICES [17.1.J]

## 10.12.1 DATA DESCRIPTION

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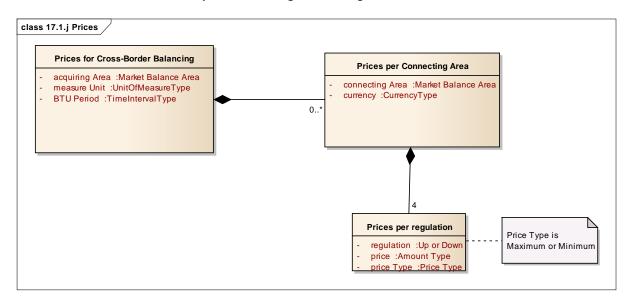
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This item can be described by the following class diagram:



4039 For each applicable acquiring Area, there should be a given Balancing Time Unit value.

This item describes for each applicable connecting Area, for a time interval equal to one Balancing Time Unit, the maximum price of offers for upwards regulation, the minimum price of offers for upwards regulation, the maximum price of offers for downwards regulation and the minimum price of offers for downwards regulation, in a given currency and fixed measure Unit (MWh).

If there were no offers for a given Connecting Area, the Connecting Area shall not be included in the submission.

For this data item, the submitted document shall contain values pertaining to only one acquiring Area.

#### 10.12.2 Pre-configuration

- For each applicable Acquiring Area, as of what date and time this data item "Prices for cross-border balancing activation" shall be expected by the platform, whatever the Connecting Area. Optionally, it is possible to configure an end date and time as well.
- 4053 For each applicable Acquiring Area, the unique allowed Data Provider
- 4054 For each applicable Acquiring Area, the submission deadline:

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- Connecting Area (selection is optional)



4055 For a given time interval, submission must be done at the latest one hour (H+1) after 4056 the end of the time interval. Example: if the Balancing Time Unit is 30 min for a given Acquiring Area and the time 4057 interval in question is January 15<sup>th</sup> [08:00; 08:30] UTC, the values for this time interval 4058 must be submitted before January 15<sup>th</sup>, 09:30 UTC. 4059 10.12.3 4060 INTEGRATION 4061 - The acquiring Area shall be recognised by the platform 4062 - The connecting Areas shall be recognised by the platform 4063 1 4064 - Each of the provided Areas (acquiring and connecting ones) shall be associated together with the data item [17.1.j] in the pre-configuration of reference data, as in [C-BLC-4] 4065 4066 - The time interval in the submitted document is of one Balancing Time Unit at least and is 4067 necessarily a multiple of the Balancing Time Unit, this Balancing Time Unit being identified 4068 for the Acquiring Area as in [C-BLC-6] 4069 - The Data Provider shall be consistent with the identified Acquiring Area 4070 - Currency shall be consistent with pre-configuration [C-BLC-10] 10.12.4 MONITORING 4071 For a given acquiring Area, the submission deadline described above shall be monitored for 4072 each time interval. 4073 10.12.5 PROCESSING 4074 No processing is performed on this data item. 4075 10.12.6 4076 **PUBLICATION** 10.12.6.1 FILTERING AND SORTING CRITERIA 4077 4078 Data shall be visually accessed by selecting the following: 4079 - Country (selection is optional: If selected, Market Balance Areas will be filtered to include 4080 only those that partially or completely cover the Country) 4081 Acquiring Area (selection is mandatory)

specific one was selected.

4083 - Day (selection is mandatory) 4084 - Time Window (Start time and end time) (selection is mandatory) 10.12.6.2 DISPLAY 4085 This data shall be displayed in the following section: 4086 4087 - Balancing / Offers for Cross-Border Balancing Activation (same section as for "Aggregated volumes of offers for cross-border balancing activation") 4088 The following attributes of data shall be displayed: 4089 4090 - Title 4091 - Acquiring Area name - Connecting Area name 4092 - Balancing Time Unit for the given Acquiring Area 4093 4094 - Day 4095 - Measurement Unit 4096 - Currency 4097 - The maximum and minimum prices for Upwards regulation, the maximum and minimum 4098 prices for Downwards regulation, per Balancing Time Unit within the Time Window for the given Acquiring Area and Connecting Area. This is repeated for all Connecting Areas if no 4099



# 10.13 CROSS-BORDER BALANCING -ENERGY ACTIVATED [17.1.J]

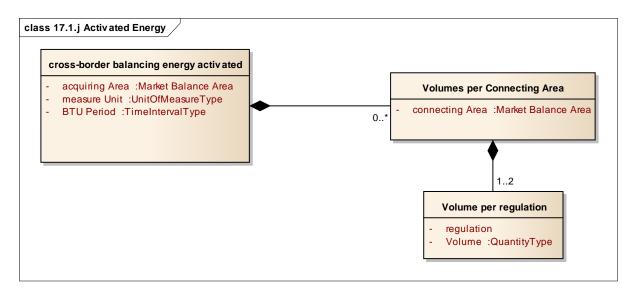
## 4103 10.13.1 DATA DESCRIPTION

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4102

4105

4104 This item can be described by the following class diagram:



- 4106 For each applicable acquiring Area, there should be a given Balancing Time Unit value.
- This item describes for each applicable connecting Area, for a time interval equal to one Balancing Time Unit, the volumes of upwards and/or downwards activated energy from this
- 4109 connecting Area in a given fixed measure Unit (MWh).
- If no energy was activated for a given connecting Area, the connecting Area shall not be included in the submission.
- For this data item the submitted document shall contain values pertaining to only one acquiring Area.

#### 4114 10.13.2 PRE-CONFIGURATION

- For each applicable Acquiring Area, as of what date and time this data item "Volumes of cross-border balancing energy activated" shall be expected by the platform, whatever the Connecting Area. Optionally, it is possible to configure an end date and time as well.
- 4118 For each applicable Acquiring Area, the unique allowed Data Provider
- 4119 For each applicable Acquiring Area, the submission deadline:



4120 For a given time interval, submission must be done at the latest one hour (H+1) after 4121 the end of the time interval. Example: if the Balancing Time Unit is 30 min for a given Acquiring Area and the time 4122 interval in question is January 15<sup>th</sup> [08:00; 08:30] UTC, the values for this time interval 4123 must be submitted before January 15<sup>th</sup>, 0930 UTC. 4124 10.13.3 4125 INTEGRATION 4126 - The acquiring Area shall be recognised by the platform 4127 - The connecting Areas shall be recognised by the platform 4128 - Each of the provided Areas (acquiring and connecting ones) shall be associated together 4129 with the data item [17.1.j] in the pre-configuration of reference data, as in [C-BLC-4] 4130 - The time interval in the submitted document is of one Balancing Time Unit at least and is 4131 necessarily a multiple of the Balancing Time Unit, this Balancing Time Unit being identified 4132 for the Acquiring Area as in [C-BLC-6] 4133 - The Data Provider shall be consistent with the identified Acquiring Area 10.13.4 MONITORING 4134 For a given acquiring Area, the submission deadline described above shall be monitored for 4135 4136 each time interval. 10.13.5 **PROCESSING** 4137 4138 No processing performed on this data item. 10.13.6 4139 **PUBLICATION** 10.13.6.1 FILTERING AND SORTING CRITERIA 4140 4141 Data shall be visually accessed by selecting the following: 4142 - Country (selection is optional: If selected, Market Balance Areas will be filtered to include 4143 only those that partially or completely cover the Country) 4144 - Acquiring Area (selection is mandatory) 4145 - Connecting Area (selection is optional) 4146 - Day (selection is mandatory) 4147 - Time Window (Start time and end time) (selection is mandatory)

Connecting Areas if no specific one was selected.

4160

10.13.6.2 DISPLAY 4148 4149 This data shall be displayed in the following section: 4150 - Balancing / Activated Cross-Border Balancing Reserves 4151 The following attributes of data shall be displayed: 4152 - Title 4153 - Acquiring Area name 4154 - Connecting Area name - Balancing Time Unit for the given Acquiring Area 4155 4156 - Day 4157 - Measurement Unit 4158 - The Up and/or Down Volumes of Activated Offers per Balancing Time Unit within the Time Window for the given Acquiring Area and Connecting Area. This is repeated for all 4159



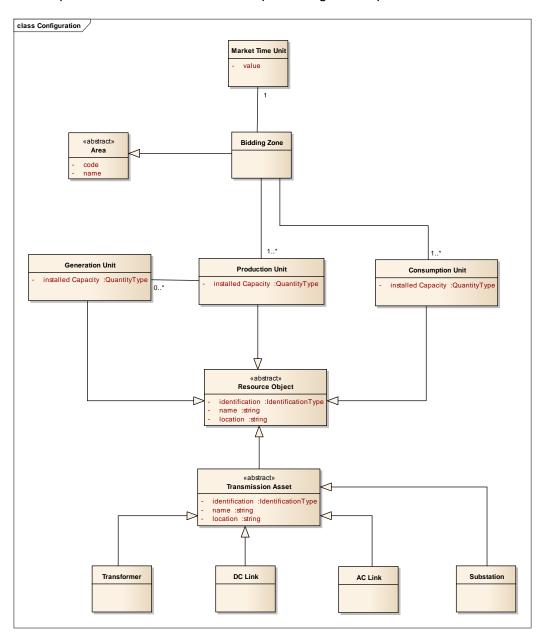
# 11 OUTAGES

4161

# 11.1 REQUIREMENTS COMMON TO ALL DATA ITEMS

# 4163 11.1.1 FUNDAMENTAL ENTITIES

The following class diagram describes the fundamental entities to be taken into account within the scope of this document in order to pre-configure the platform.

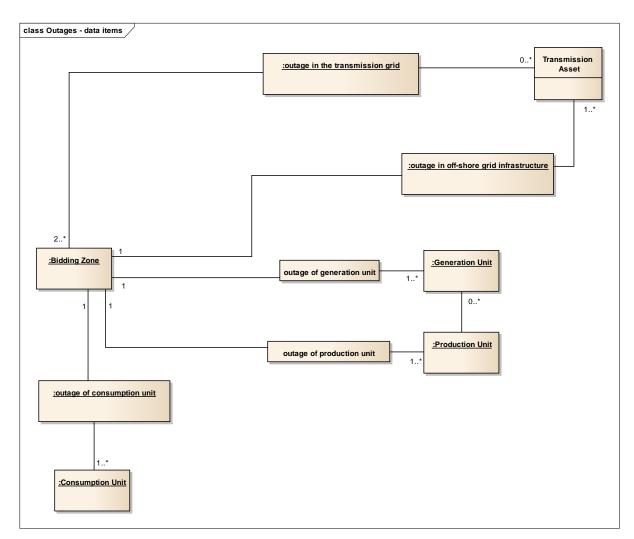


4176

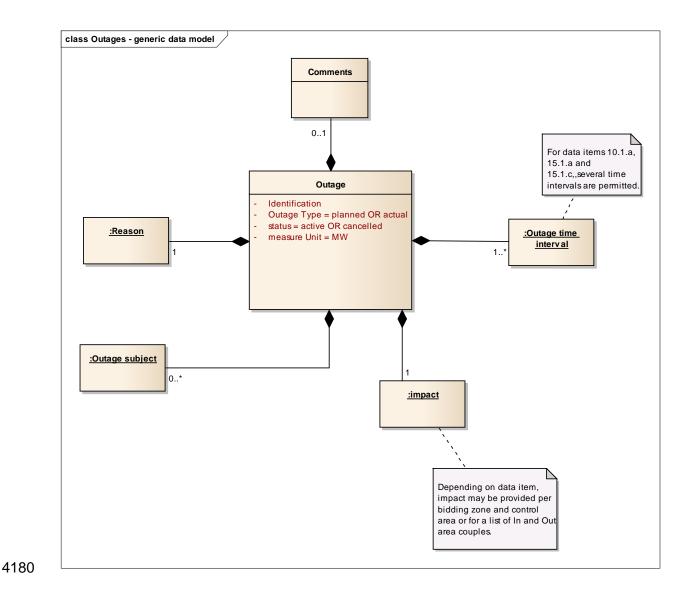
4177



- For a given Bidding Zone, there is a Market Time Unit
- There are four types of assets subject to outages: Transmission Assets, Generation
   Units, Production Units and Consumption Units. They are special types of Resource
   Objects
- All assets have an identification a name and a location
  - A Transmission Asset can be a Transformer, a Substation, a DC Link or an AC Link
- A Generation or Production Unit has a Production Type and an Installed Capacity and
   sits in a given Bidding Zone. Generation and Production Units are described in chapter
   9.9
  - A Consumption Unit sits in a given Bidding Zone



The submitted document shall contain one Outage only. The following generic data model is suggested:



### 11.1.2 Pre-configuration

- The ENTSO-E platform administrator shall pre-configure the following data, before collecting information, for the purpose of validation:
- 4184 [C-OTG-1] a list of Areas. Areas may be Bidding Zones and Bidding Zone Aggregations.
- The list of Bidding Zones is the same as for Generation, Load and Transmission domains.
- 4186 The Bidding Zone Aggregations are the same as for Transmission domain.
- [C-OTG-8] for each Bidding Zone and Control Area, the allowed Data Provider, identified by
   a unique code. If need be, additionally the granularity of data item could be applied. This
- 4189 reference data is used also for the data items in the Load and Generation domains.
- [C-OTG-2] values of Market Time Unit for a given Bidding Zone. This reference data is the
- 4191 same as for Generation, Load and Transmission domains.



- [C-OTG-6] a list of In and Out Area couples. The In and Out Area couples are also used in the Transmission domain<sup>32</sup>.
   [C-OTG-7] for each In and Out Area couples, the allowed Data Providers, identified by unique codes.
   [C-OTG-9] a list of countries and for each country, the corresponding Control Area(s). This
- [C-OTG-9] a list of countries and for each country, the corresponding Control Area(s). This
   is the same reference data as in Generation and Load domains. It will be used to facilitate
   search and filtering of published data by country and to aggregate capacity values for
   Outages of Consumption Units.
- [C-OTG-10] for each Bidding Zone the country or countries that it covers. This is the same reference data as in Load domain. It will be used to facilitate search and filtering of published data by country.
- Data Providers shall record the following pre-configuration on the platform for the purpose of validation of submitted information:
- [C-OTG-3] a list of Generation and Production Units in a given Bidding Zone, with their characteristics, refer to chapter 9.9 for details. This is the same reference data as for the Generation domain.
- [C-OTG-4] a list of Consumption Units in a given Bidding Zone, with their characteristics.
- 4209 Refer to chapter 11.12 for further details.
- [C-OTG-5] a list of Transmission Assets (Transformer, Substation, DC Link or AC Link) with
   their characteristics. Refer to chapter 11.11 for further details. This is the same reference
- 4212 data as for the Transmission domain.
- [C-OTG-11] a list of Reasons for Outages: Maintenance, Failure, Shutdown and Other.
- 4214 11.1.3 ASSUMPTIONS
- Data can be submitted for correction or update at any time, even after the end of the outage/unavailability.
- 4217 Planned unavailability can be cancelled at any time.
- 4218 The frequency of submission is not fixed.
- No monitoring is performed. For this data domain, submission deadlines are irrelevant to the platform.

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<sup>&</sup>lt;sup>32</sup> Technical Profiles (where one of the Areas is a Bidding Zone Aggregation) constitute a subset of this list.

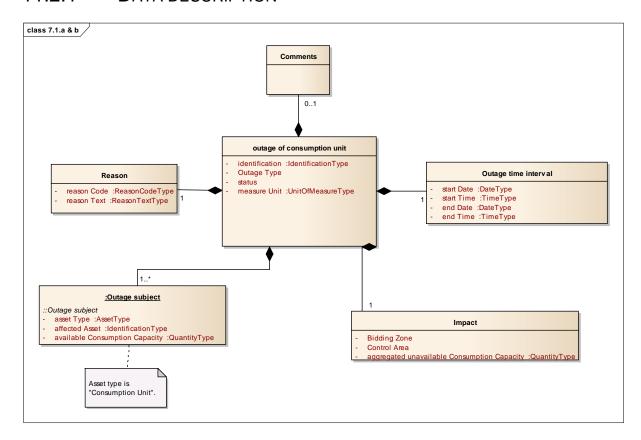
4221	11.1.4 INTEGRATION		
4222 4223 4224	A change in actual availability cannot be transformed into a planned unavailability, and vice versa. A change in actual availability remains until the affected asset becomes available/operational.		
4225 4226 4227	Planned unavailability may be cancelled, which is reflected as a status update. This is not permitted for changes in actual unavailability though. If a document has been submitted by mistake it may be withdrawn/revoked as outlined in chapter 5.1.2.		
4228 4229 4230	Reason "Failure" is permitted for changes in actual availability only. Reason "Shutdown" is permitted for planned unavailability and changes in actual availability of Consumption, Production and Generation Units.		
4231 4232	ENTSO-E administrator may suppress all warnings triggered during validation by changing configuration.		
4233	11.1.5 PUBLICATION RULES		
4234	Data items are displayed in table format only.		
4235 4236 4237 4238	Latest version of outage will be displayed. Previous versions will be available online, for example by expanding the view. If there is more than one version of the document, i.e. an update has been submitted, all versions shall be available with clear indication of version number.		
4239 4240	Submission date and time of each version will be available online, for example by expanding the view.		
4241	11.1.6 DATA CONTAINER STATUS / DATA STATUS		
4242	All data follows the "none / ready for publication" scheme.		



### 4244 11.2 PLANNED UNAVAILABILITY OF CONSUMPTION UNITS

# 4245 **[7.1.A]**

#### 4246 11.2.1 DATA DESCRIPTION



- 4248 A planned unavailability on consumption unit is described by the following:
- 4249 An identification of the outage, having a status (active or cancelled)
- 4250 Bidding Zone and Control Area

- 4251 The outage subject, defined by the asset Type (Consumption Unit) and its unique code
- 4252 The estimated unavailability time interval
- 4253 For each outage subject, the available consumption capacity in Measure Unit
- 4254 The reason for the planned unavailability
- 4255 Optionally, a free-text comment may be provided
- 4256 Measure Unit is fixed to megawatt (MW)

- 4257 Type of outage is "planned"
- 4258 11.2.2 PRE-CONFIGURATION
- 4259 No specific pre-configuration applies to this data item.
- 4260 11.2.3 ASSUMPTIONS
- 4261 Unavailability of consumption units is submitted per Bidding Zone and Control Area.
- 4262 For a given unavailability, there will be only one Data Provider.
- 4263 Platform shall calculate unavailable capacity in bidding zone per market time unit, based on
- 4264 the submitted available capacity values.
- 4265 Available consumption capacity is static during the outage time interval.
- 4266 11.2.4 INTEGRATION
- 4267 The outage subject (asset) described in the document shall be recognised by the platform
- 4268 Data Provider shall be consistent with the identified outage subject.
- 4269 The Bidding Zone and Control Area described in the document shall be recognised by the
- 4270 platform
- 4271 Data Provider shall be consistent with the identified Bidding Zone and Control Area
- 4272 11.2.5 PROCESSING
- 4273 Unavailability marked for cancellation shall be marked as cancelled in the platform database.
- 4274 Platform establishes unavailable consumption capacity per MTU period based on the
- 4275 submitted available capacity during the outage time interval and the installed capacity of the
- 4276 Consumption Unit (as recorded per chapter 11.12). In case MTU period only partially
- 4277 coincides with outage start or end time, the submitted available consumption capacity will
- 4278 apply also for those MTU periods.
- 4279 The platform aggregates the unavailable consumption capacity in each Bidding Zone and
- 4280 Country by Market Time Unit period. A single value per Country, Bidding Zone and MTU
- 4281 period shall be calculated, taking all planned unavailability into account.
- 4282 Likewise, a single value shall be established per Country, Bidding Zone and MTU period
- 4283 taking all changes in actual availability into account (refer to chapter 11.3 regarding changes
- 4284 in actual availability of consumption units).
- 4285 Finally, a grand total per Country, Bidding Zone and MTU period shall be calculated, taking
- 4286 both planned unavailability and changes in actual availability into account.



4287 The unavailability marked for cancellation is marked as such in the platform database and 4288 not taken into account in the aggregation calculation. Pre-configuration [C-OTG-9] will be used to aggregate values per Country. 4289 11.2.6 **PUBLICATION** 4290 11.2.6.1 4291 PUBLICATION BEHAVIOUR 4292 An outage marked for cancellation at submission shall be accessible on the platform but 4293 marked explicitly as cancelled. 11.2.6.2 FILTERING AND SORTING CRITERIA 4294 4295 Data shall be visually accessed by selecting the following: 4296 For all options: 4297 - Date or date range (selection is mandatory) 4298 - Country or Bidding Zone (selection is mandatory – if Country is selected, pre-configuration [C-OTG-9] will be used to identify the corresponding Control Area and filter Outages 4299 4300 accordingly) 4301 - Type of unavailability: planned, actual or both, selection is optional Unavailability for which time interval is either completely or partially on the selected date (or 4302 4303 possibly date range) will be displayed. 11.2.6.3 4304 DISPLAY 4305 This data shall be displayed in the following section: 4306 - Load / Unavailability 4307 The following attributes of data shall be displayed: 4308 - Title 4309 - Measure Unit 4310 - MTU 4311 For each outage that falls within the selected date or date range, the following details are 4312 displayed: - Status (active or cancelled) 4313

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4314	- Type of unavailability (planned or actual)
4315	- The reason for the outage
4316	- Country or Bidding Zone
4317	- Start date and time
4318	- End date and time
4319 4320 4321	- The aggregated unavailable consumption capacity per MTU period, by country or Bidding Zone: Separate values for planned unavailability, changes in actual availability and a grand total
4322	
4323	



4324	11.3	CHANGES IN ACTUAL AVAILABILITY OF	
4325	CONSUMPTION UNITS [7.1.B]		
4326	11.3.1	DATA DESCRIPTION	
4327 4328	-	tion is almost identical to data item "Planned unavailability of consumption units to chapter 11.2. The differences are:	
4329	- outa	age type is "actual"	
4330	- stat	us is always "active"	
4331	- not	more than one outage subject is permitted	
4332	11.3.2	Pre-configuration	
4333	No specific pre-configuration applies to this data item.		
4334	11.3.3	ASSUMPTIONS	
4335	Unavailability	of consumption units is submitted per Bidding Zone and Control Area.	
4336	For a given u	navailability, there will be only one Data Provider.	
4337	11.3.4	Integration	
4338 4339	- The document date and time of the submitted document should be posterior to the star date and time of the unavailability. This will trigger a warning only.		
4340 4341	All other validations are the same as for planned outages of consumption units, refer to chapter 11.2.4.		
4342	11.3.5	PROCESSING	
4343	Same proces	ssing as for planned outages of consumption units, refer to chapter 11.2.5.	
4344	11.3.6	PUBLICATION	
4345	11.3.6.1	PUBLICATION BEHAVIOUR	
4346	No specific p	publication behaviour applies to this data item.	

1347	11.3.6.2	FILTERING AND SORTING CRITERIA
1348 1349 1350	sorting criteria	displayed together with planned unavailability on the same page. Filter and are the same as for planned unavailability. It shall be possible to use type of (planned or actual) as a criterion. Refer to section 11.2.6.2.
1351	11.3.6.3	DISPLAY
1352 1353		displayed together with planned unavailability on the same page. The type of (planned or actual) shall be displayed. Refer to section 11.2.6.3.



# 11.4 PLANNED UNAVAILABILITY IN THE TRANSMISSION GRID [10.1.A]

### 11.4.1 DATA DESCRIPTION

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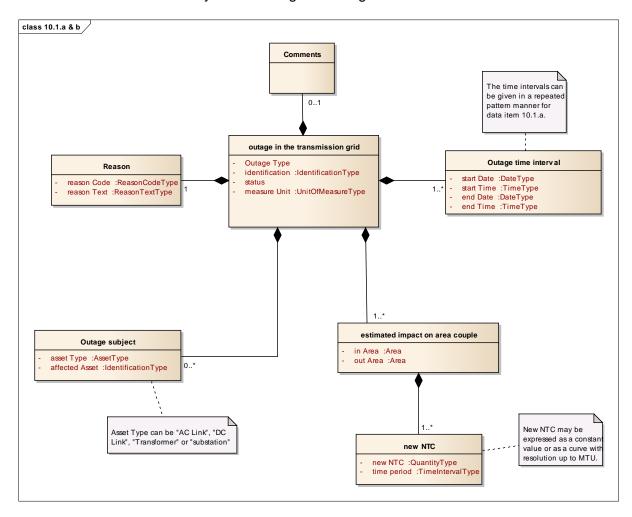
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This item can be described by the following class diagram:



- 4359 A planned outage in the transmission grid is described by the following:
- 4360 An identification of the outage, having a status (active or cancelled)
  - A list of outage subjects, each one defined by the asset Type (AC Link, DC Link, Transformer or substation) and the identification of the affected Asset. As per article 10(4) of transparency regulation (see reference [1]), Data Provider may exceptionally choose not to include any outage subject at all



- The estimated outage time intervals, possibly given in a repeated pattern manner (e.g. every day of a given week, from 20:00 to 22:00). This implies that an arbitrary number of time intervals may be included.
- One or several In and Out Area couples that are impacted, along with the new NTC, given
   in Measure Unit, for each couple. New NTC may be expressed as a constant value or as a
   curve with resolution up to MTU
- 4371 Measure Unit is fixed to megawatt (MW)
- 4372 For this data item, outage type is "planned".
- 4373 The reason for the planned outage
- 4374 Optionally, a free-text comment may be included<sup>33</sup>
- 4375 11.4.2 PRE-CONFIGURATION
- 4376 For this data item, there is no additional pre-configuration.
- 4377 11.4.3 ASSUMPTIONS
- 4378 For outages on interconnectors, there is a memorandum of understanding between Data
- 4379 Providers that governs who submits data to central platform, to avoid a situation with more
- 4380 than one data provider submitting data referring to the same outage.
- 4381 11.4.4 INTEGRATION
- if included in the document, the list of outage subjects (assets) shall be recognised by the platform.
- The list of In and Out Area couples described in the document shall be recognised by the platform, as per pre-configuration [C-OTG-6]
- Data Provider shall be consistent with the In and Out Area couples, as per pre-configuration [C-OTG-7]
- 4388 Data Provider shall be consistent with the outage subject(s).
- 4389 The outage time intervals may not overlap
- 4390 The time interval describing a new NTC must fall within an outage time interval

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<sup>&</sup>lt;sup>33</sup> This includes the explanation of the case when two parallel AC Links are given in the list of affected assets

4416

- Measure Unit

- Status (active or cancelled)

11.4.5 PROCESSING 4391 4392 Outages marked for cancellation shall be marked as cancelled in the platform database. 11.4.6 **PUBLICATION** 4393 11.4.6.1 PUBLICATION BEHAVIOUR 4394 4395 [PR-91a-4] An outage marked for cancellation at submission shall be accessible on the platform but marked explicitly as cancelled 4396 4397 11.4.6.2 FILTERING AND SORTING CRITERIA 4398 Data shall be visually accessed by selecting the following: 4399 - Date or date range (selection is mandatory) 4400 - Country (selection is optional: If selected, In and/or Out Areas that at least partially cover the Country will be used as filtering criteria) 4401 4402 - In Area (selection is optional) 4403 - Out Area (selection is optional) 4404 - Type of Asset (selection is optional) 4405 - Type of outage: planned or actual, selection is optional 4406 Outages for which outage time interval falls either completely or partially on the selected date (or possibly date range) will be displayed. 4407 11.4.6.3 DISPLAY 4408 4409 This data shall be displayed in the following section: - Transmission Grid / Unavailability 4410 4411 The following attributes of data shall be displayed: 4412 - Title 4413 For each outage that falls within the selected date or date range, the following details are 4414 displayed:

4417 - Type of outage (planned or actual) - If provided, the outage subjects with links to pages on central platform that provide full 4418 4419 details; type, name and location. This is displayed in a list if more than one asset has been 4420 declared. 4421 - The reason for the outage 4422 - Comments, if available 4423 The following information is provided for each declared time interval that falls within the 4424 selected date or date range: 4425 - Start date and time 4426 - End date and time 4427 - The In and Out Area(s) and the new NTC. If new NTC is expressed as a curve, it shall be 4428 possible to expand the view so time periods and NTC values are displayed in a table and in a 4429 chart

#### 11.5 CHANGES IN ACTUAL AVAILABILITY IN THE 4431 TRANSMISSION GRID [10.1.B] 4432 11.5.1 DATA DESCRIPTION 4433 4434 Data description is almost identical to data item "Planned unavailability in the transmission 4435 grid [10.1.a]", refer to chapter 11.2. The differences are: 4436 - outage type shall be "actual" 4437 - only one time interval is permitted - status is always "active" 4438 4439 Note that several outage subjects are permitted though, also for this data item. 11.5.2 4440 Pre-configuration 4441 For this data item, there is no additional pre-configuration. 11.5.3 **ASSUMPTIONS** 4442 4443 Reasons for the outage can be updated D+1 after the start of the outage. 4444 For outages on interconnectors, there is a memorandum of understanding between Data 4445 Providers that governs who submits data to central platform, to avoid a situation with more 4446 than one data provider submitting data referring to the same outage. 11.5.4 4447 INTEGRATION 4448 - The document date and time of the submitted document should be posterior to the start 4449 date and time of the outage. This validation will trigger a warning only. All other validations are the same as for planned unavailability of transmission infrastructure, 4450 4451 refer to chapter 11.4.4. 11.5.5 **PROCESSING** 4452 4453 No processing is performed on this data item. 11.5.6 **PUBLICATION** 4454 11.5.6.1 PUBLICATION BEHAVIOUR 4455 4456 No specific publication behaviour applies to this data item.

4457	11.5.6.2	FILTERING AND SORTING CRITERIA
4458 4459 4460	criteria are the	displayed together with planned outages on the same page. Filter and sorting same as for planned outages. It shall be possible to use type of outage ctual) as a criterion. Refer to section 11.4.6.2.
4461	11.5.6.3	DISPLAY
4462 4463		displayed together with planned outages on the same page. The type of outage ctual) shall be displayed. Refer to section 11.4.6.3.
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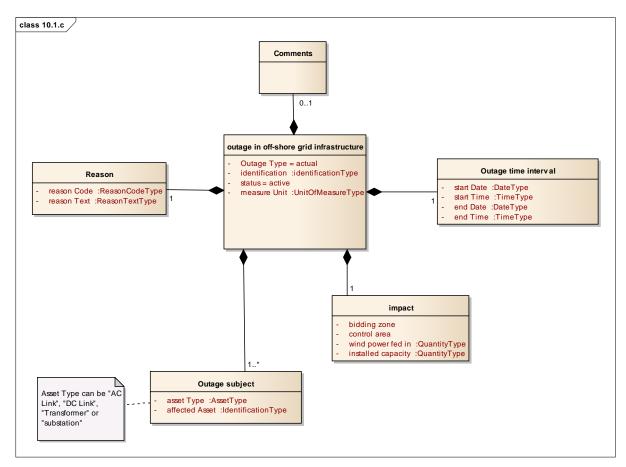
4469



# 11.6 CHANGES IN ACTUAL AVAILABILITY OF OFF-SHORE GRID INFRASTRUCTURE [10.1.C]

#### 11.6.1 DATA DESCRIPTION

This item can be described by the following class diagram:



- 4470 A change in the actual availability of off-shore grid infrastructure is described by the 4471 following:
- 4472 An identification of the outage
- A list of outage subjects, defined by the asset Type (AC Link, DC Link, Transformer or substation) and the identification of the affected Asset
- 4475 The outage time interval
- 4476 Bidding Zone and Control Area

- 4477 Installed generation capacity
- 4478 Wind power fed in at the time of the change in the availability
- 4479 Measure Unit is fixed to megawatt (MW)
- 4480 Outage type is fixed to "actual"
- 4481 Status is fixed to "active"
- 4482 The reason for the outage
- 4483 Optionally, a free-text comment may be provided
- 4484 11.6.2 PRE-CONFIGURATION
- 4485 For this data item, there is no additional pre-configuration.
- 4486 11.6.3 ASSUMPTIONS
- 4487 Outage of Generation units and Production Units will be accounted for in separate document,
- 4488 as per chapters 11.8 and 11.10.
- 4489 11.6.4 INTEGRATION
- 4490 The outage subjects (assets) described in the document shall be recognised by the
- platform. Failure to pass this validation will trigger a warning only.
- The Data Provider shall be consistent with the identified outage subjects.
- 4493 The document date and time of the submitted document should be posterior to the start
- date and time of the outage. This validation will trigger a warning only.
- 4495 11.6.5 PROCESSING
- No processing is performed on this data item.
- 4497 11.6.6 PUBLICATION
- 4498 11.6.6.1 PUBLICATION BEHAVIOUR
- 4499 No specific publication behaviour applies to this data item.
- 4500 11.6.6.2 FILTERING AND SORTING CRITERIA
- 4501 Data shall be visually accessed by selecting the following:

4502 - Date or date range (selection is mandatory) - Country or Bidding Zone (selection is mandatory); if Country is selected, pre-configuration 4503 [C9] will be used to identify the corresponding Control Area and filter Outages accordingly) 4504 4505 Outages for which time interval falls either completely or partially on the selected date (or 4506 possibly date range) will be displayed. 11.6.6.3 DISPLAY 4507 4508 Data shall be displayed in the following section: 4509 - Transmission Grid / Unavailability of off-shore grid 4510 The following attributes of data shall be displayed: 4511 - Title 4512 - Measure Unit 4513 For each outage that falls within the selected date or date range, the following details are 4514 displayed: 4515 - Country or Bidding Zone - Status (always "active") 4516 4517 - Type of outage (always "actual") 4518 - The outage subjects with links to pages on central platform that provide full details; asset 4519 type, name, location. This is provided in a list if more than one asset has been declared. 4520 - The reason for the outage 4521 - Comments, if available 4522 - Start date and time 4523 - End date and time 4524 - installed generation capacity - Wind power fed in 4525 4526



# PLANNED UNAVAILABILITY OF GENERATION UNITS 4527 [15.1.A]

4528

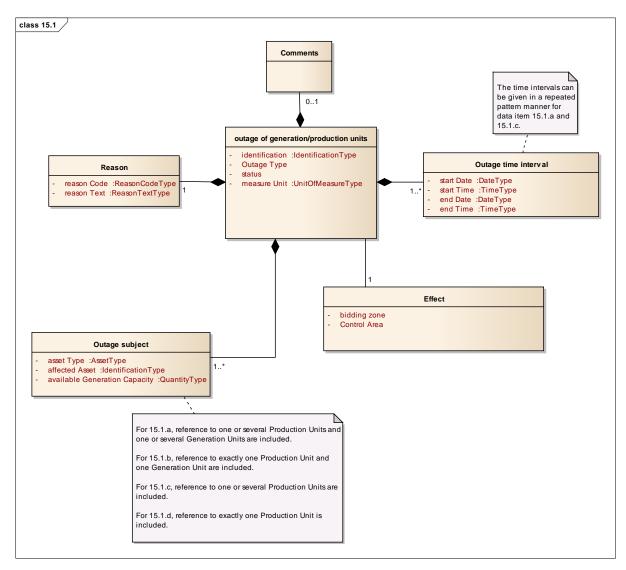
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#### 11.7.1 DATA DESCRIPTION

This item can be described by the following class diagram:



- 4532 A planned unavailability on generation unit is described by the following:
- 4533 - An identification of the outage, having a status (active or cancelled)
- 4534 - Bidding Zone and Control Area
- Type of outage is planned 4535

- 4536 The outage subject, defined by the asset Type (Generation Unit) and its Unique Code
- 4537 The Production Unit that the Generation Unit belongs to, defined by the asset Type
- 4538 (Production Unit) and its Unique Code
- 4539 The estimated outage time intervals, possibly given in a repeated pattern manner (e.g.
- every day of a given week, from 20:00 to 22:00). This implies that an arbitrary number of time
- 4541 intervals may be included.

- 4542 The estimated available generation capacity in Measure Unit
- 4543 The reason for the planned outage
- 4544 Measure Unit is fixed to megawatt (MW)
- 4545 Optionally, a free-text comment may be provided
- 4547 11.7.2 PRE-CONFIGURATION
- 4548 No specific pre-configuration applies to this data item.
- 4549 11.7.3 ASSUMPTIONS
- 4550 Unavailability of generation units is submitted per Bidding Zone and Control Area. For a
- 4551 given unavailability, there will be only one Data Provider.
- 4552 Installed capacity of generation unit is recorded in Master Data.
- 4553 11.7.4 INTEGRATION
- The outage subject (asset) described in the document shall be recognised by the platform
- 4555 Outage subject shall be consistent with Bidding Zone and Control Area
- 4556 Data Provider shall be consistent with Bidding Zone and Control Area
- 4557 Data Provider shall be consistent with the identified outage subject.
- 4558 11.7.5 PROCESSING
- 4559 Unavailability marked for cancellation shall be marked as cancelled in the platform database.
- 4560 Platform deduces Name of Generation Unit, location, production type and installed
- 4561 generation capacity based on the submitted Unique Code.
- 4562 Platform deduces Name of Production Unit based on the submitted Unique Code of the
- 4563 Production Unit.

- 4564 11.7.6 PUBLICATION
- 4565 11.7.6.1 PUBLICATION BEHAVIOUR
- 4566 An outage marked for cancellation at submission shall be accessible on the platform but
- 4567 marked explicitly as cancelled
- 4568 11.7.6.2 FILTERING AND SORTING CRITERIA
- 4569 Data shall be visually accessed by selecting the following:
- 4570 Date or date range (selection is mandatory)
- Country or Bidding Zone (selection is mandatory if Country is selected, pre-configuration
- 4572 [C-OTG-9] will be used to identify the corresponding Control Area and filter Outages
- 4573 accordingly)
- 4574 Type of unavailability: planned or actual, selection is optional
- 4575 Unavailability for which time interval falls either completely or partially on the selected date
- 4576 (or possibly date range) will be displayed.
- 4577 11.7.6.3 DISPLAY
- 4578 This data shall be displayed in the following section:
- 4579 Generation / Unavailability
- 4580 There shall be a link to the section Generation / List of Production Units and Installed
- 4581 Capacity, refer to 9.3.8.2.
- 4582 Title
- 4583 Measure Unit (MW)
- 4584 For each outage that falls within the selected date or date range, the following details are
- 4585 displayed:
- 4586 Status (active or cancelled)
- 4587 The outage subject(s) with link to page on central platform that provide full details;
- 4588 Production Type, Production and Generation Unit names and installed capacity.
- 4589 The reason for the outage
- 4590 Comments, if available
- 4591 Country or Bidding Zone

- Type of unavailability (planned or actual)
- The available generation capacity
The following information is provided for each declared time interval that falls within the selected date or date range:
- Start date and time
- End date and time



4599	11.8	CHANGES IN ACTUAL AVAILABILITY OF GENERATION	
4600	UNITS [15.1.B]		
4601	11.8.1	DATA DESCRIPTION	
4602 4603	•	tion is almost identical to data item "Planned unavailability of generation units er to chapter 11.6. The differences are:	
4604	- outa	age type shall be "actual"	
4605	- only	one time interval is permitted	
4606	- stat	us is always "active"	
4607	- not	more than one generation unit and production unit included	
4608	11.8.2	Pre-configuration	
4609	No specific pre-configuration applies to this data item.		
4610	11.8.3	ASSUMPTIONS	
4611	Unavailability	of generation units is submitted per Bidding Zone and Control Area.	
4612	For a given u	unavailability, there will be only one Data Provider.	
4613	Installed capacity of generation unit is recorded in Master Data.		
4614	11.8.4	Integration	
4615 4616	The document date and time of the submitted document should be posterior to the start dat and time of the unavailability. This validation shall trigger a warning only.		
4617 4618	All other validations are the same as for planned unavailability of generation units, refer to chapter 11.7.4.		
4619	11.8.5	Processing	
4620 4621	Platform deduces Name of Generation Unit, location, production type and installegeneration capacity based on the submitted Unique Code.		
4622 4623		luces Production Unit and retrieves its Name based on reference data described ubmitted Unique Code of Production Unit is used as key.	

4624	11.8.6	PUBLICATION
4625	11.8.6.1	PUBLICATION BEHAVIOUR
4626	No specific p	ublication behaviour applies to this data item.
4627	11.8.6.2	FILTERING AND SORTING CRITERIA
4628 4629 4630	Data shall be displayed together with planned unavailability on the same page. Filter and sorting criteria are the same as for planned unavailability. It shall be possible to use type of unavailability (planned or actual) as a criterion. Refer to section 11.7.6.2.	
4631	11.8.6.3	DISPLAY
4632 4633		e displayed together with planned unavailability on the same page. The type of (planned or actual) shall be displayed. Refer to section 11.7.6.3.
4634		

#### PLANNED UNAVAILABILITY OF PRODUCTION UNITS 4635 [15.1.c] 4636 11.9.1 DATA DESCRIPTION 4637 4638 Data description is almost identical to data item "Planned unavailability of generation units 4639 [15.1.a]", refer to chapter 11.6. The difference is that Asset Type is Production Unit. 11.9.2 Pre-configuration 4640 4641 No specific pre-configuration applies to this data item. 11.9.3 ASSUMPTIONS 4642 4643 Unavailability of Production Units is submitted per Bidding Zone and Control Area. 4644 For a given unavailability, there will be only one Data Provider. 4645 Installed capacity per Production Unit has been recorded in Master Data. 11.9.4 **INTEGRATION** 4646 4647 - The outage subject (asset) described in the document shall be recognised by the platform 4648 - Outage subject shall be consistent with Bidding Zone and Control Area 4649 - Data Provider shall be consistent with Bidding Zone and Control Area - Data Provider shall be consistent with the identified outage subject. 4650 11.9.5 **PROCESSING** 4651 Unavailability marked for cancellation shall be marked as cancelled in the platform database. 4652 Platform deduces Name of Production Unit, location, production type and installed 4653 4654 generation capacity based on the submitted Unique Code. 11.9.6 **PUBLICATION** 4655 11.9.6.1 PUBLICATION BEHAVIOUR 4656 4657 An outage marked for cancellation at submission shall be accessible on the platform but marked explicitly as cancelled. 4658

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- Type of unavailability (planned or actual)

- The available generation capacity

selected date or date range:

11.9.6.2 FILTERING AND SORTING CRITERIA 4659 Data shall be visually accessed by selecting the following: 4660 4661 - Date or date range (selection is mandatory) 4662 - Country or Bidding Zone (selection is mandatory - if Country is selected, pre-configuration 4663 [C-OTG-9] will be used to identify the corresponding Control Area and filter Outages accordingly) 4664 4665 - Type of unavailability: planned or actual, selection is optional 4666 Unavailability for which time interval falls either completely or partially on the selected date (or possibly date range) will be displayed. 4667 11.9.6.3 DISPLAY 4668 4669 This data shall be displayed in the following section: - Generation / Unavailability 4670 4671 There shall be a link to the section Generation / List of Production Units and Installed 4672 Capacity, refer to 9.3.8.2. - Title 4673 4674 - Measure Unit (MW) 4675 For each outage that falls within the selected date or date range, the following details are 4676 displayed: 4677 - Status (active or cancelled) 4678 - The outage subject(s) with link to page(s) on central platform that provide full details; 4679 Production Type, Production Unit name and installed capacity. 4680 - The reason for the outage 4681 - Comments, if available - Country or Bidding Zone 4682

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The following information is provided for each declared time interval that falls within the

Central Information Transparency Platform Business Requirements Specification v1r3

4687 - Start date and time

4688 - End date and time

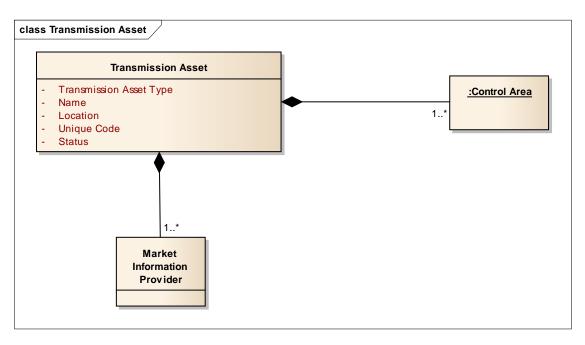


#### 11.10 CHANGES IN ACTUAL AVAILABILITY OF PRODUCTION 4690 UNITS [15.1.D] 4691 11.10.1 DATA DESCRIPTION 4692 4693 Data description is almost identical to data item "Planned unavailability of production units 4694 [15.1.c]", refer to chapter 11.9. The differences are: 4695 - outage type shall be "actual" 4696 - only one time interval is permitted - status is always "active" 4697 4698 - not more than one production unit included 11.10.2 4699 Pre-configuration 4700 No specific pre-configuration applies to this data item. 11.10.3 **ASSUMPTIONS** 4701 4702 Installed capacity per Production Unit has previously been recorded in Master Data. 4703 Unavailability of production units is submitted per Bidding Zone and Control Area. 4704 For a given unavailability, there will be only one Data Provider. 11.10.4 INTEGRATION 4705 4706 The document date and time of the submitted document should be posterior to the start date 4707 and time of the unavailability. This validation shall trigger a warning only. 4708 All other validations are the same as for planned unavailability of generation units, refer to 4709 chapter 11.7.4. 11.10.5 **PROCESSING** 4710 4711 Platform deduces Name of Production Unit, location, production type and installed 4712 generation capacity based on the submitted Unique Code.

4713	11.10.6	PUBLICATION	
4714	11.10.6.1	PUBLICATION BEHAVIOUR	
4715	No specific pu	ublication behaviour applies to this data item.	
4716	11.10.6.2	FILTERING AND SORTING CRITERIA	
4717 4718 4719	Data shall be displayed together with planned unavailability on the same page. Filter and sorting criteria are the same as for planned unavailability. It shall be possible to use type of unavailability (planned or actual) as criteria. Refer to section 11.9.6.2.		
4720	11.10.6.3	DISPLAY	
4721 4722		displayed together with planned unavailability on the same page. The type of (planned or actual) shall be displayed. Refer to section 11.9.6.3.	



# 4724 11.11 TRANSMISSION ASSETS



Reference data for transmission assets will have similar structure and maintenance

mechanism as generation and production units, refer to chapter 9.9.

4728 Just like generation units, transmission assets will have a status (commissioned,

decommissioned or cancelled), a unique code, name and location. Additionally, transmission

assets will have the attribute transmission asset type (Sub-station, AC Link, DC Link,

4731 Transformer or Substation).

4732 As opposed to generation units, a transmission asset may have several Data Providers.

Note that Bidding Zone is *not* an attribute of transmission asset.

Note that more than one Control Area may be associated with a transmission asset.

Transmission assets shall be visible for the Data Provider in the following section on the

4736 website:

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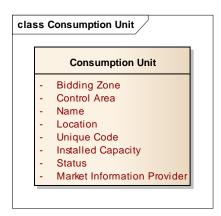
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#### - Transmission / Transmission Assets

4738 It shall be possible to filter the transmission assets by Control Area or Country.

## 4739 11.12 CONSUMPTION UNITS



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- Reference data for consumption units will have similar structure and maintenance mechanism as generation units, refer to chapter 9.9. Just like generation units, consumption units will have a status (commissioned, decommissioned or cancelled), bidding area, control area, a unique code, name and location. Installed capacity is mandatory.
- 4745 Similar to generation units, a consumption unit will have only one Data Provider.
- 4746 Consumption Units shall be displayed in the following section on the website:
- 4747 Load / Consumption Units
- 4748 It shall be possible to filter the consumption units by Control Area, Bidding Zone or Country.

# 12 REFERENCE DATA

The purpose of this chapter is to provide a consolidated view of the reference data that have been considered in the six domains Generation, Load, Transmission, Balancing, Outages and Congestion Management. No distinctions are being made between master, configuration or reference data.

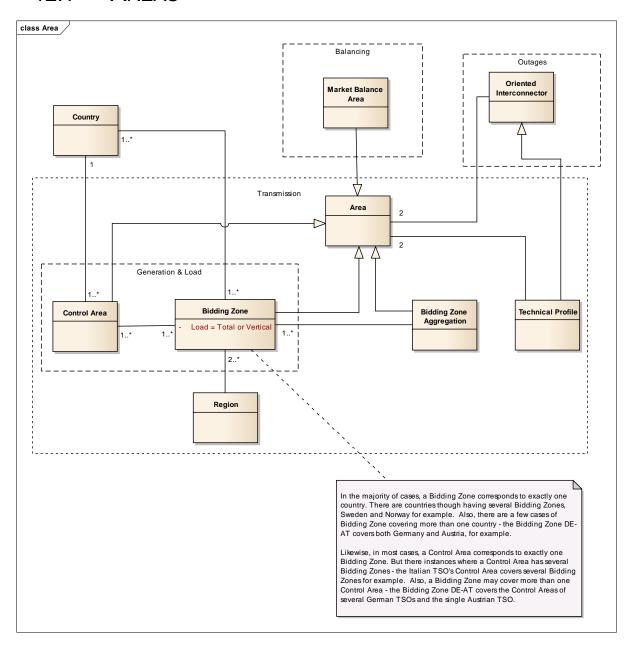
### 4755 12.1 AREAS

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4758 instead. 4759 A Control Area never overlaps another Control Area. Likewise, a Bidding Zone never overlaps another Bidding Zone. 4760 4761 In the Transmission domain, there are Regions and aggregation of Bidding Zones. An Area 4762 may consist of either a single Bidding Zone or a Bidding Zone Aggregation. Also in 4763 Transmission domain, a Technical Profile consists of two Areas with a direction. See section 4764 7.1 for further details. 4765 For Outages, there are Oriented Interconnectors that consist of two Areas with a direction. 4766 See also section 11.1.2. 12.2 **ASSETS** 4767 4768 There are four types of assets: Generation Units, Production Units, Consumption Units and 4769 Transmission Assets. Refer to diagram in section 11.1.1. More information on Generation and Production Units is available in section 9.9. 4770 4771 Consumption Units are detailed in 11.12. Transmission Assets are described in section 4772 11.11. 12.3 TRANSMISSION CAPACITY ALLOCATIONS AND 4773 CAPACITY PRODUCTS 4774 Capacity Allocations and products are relevant to the Transmission domain. Capacity 4775 4776 Allocations can be either explicit or implicit. Capacity products are associated with Capacity 4777 Allocations and are characterised by two Areas with a direction and a Contract Type, and 4778 additionally for explicit allocations a Classification Category and a Classification Sequence. 4779 Further details on Transmission Capacity Allocations and Capacity Products are available in 4780 chapters 7.1.1 and 7.26. PROCESSES, RESERVES AND PRICE TYPES 12.4 4781 4782 In the Balancing domain, there are constraints on the valid combinations of Market Balance 4783 Area, Reserves and Price Type. Full details are available in chapters 10.1.1 and 10.1.2. For 4784 some data items also Contract Types may be relevant. Contract Types are the same as in 4785 Transmission domain.

Bidding Zone is used in all domains except Balancing, where Market Balance Area is used

12.5 TIME UNITS

4787 4788 4789	In all domains except Balancing, Market Time Units are used and depend on Bidding Zone Bidding Zone Aggregation. For Balancing, Balancing Time Units are used and depend on Market Balance Area.	
4790	12.6	ACTIONS AND REASONS
4791 4792	For Congestin chapter 8.	tion Management, different sets of actions are foreseen. Further details provided 1.2.
4793 4794	•	s, a single set of reason codes is foreseen, common to all kinds of Outages. provided in chapter 11.1.2.



# 4795 12.7 DATA ITEMS

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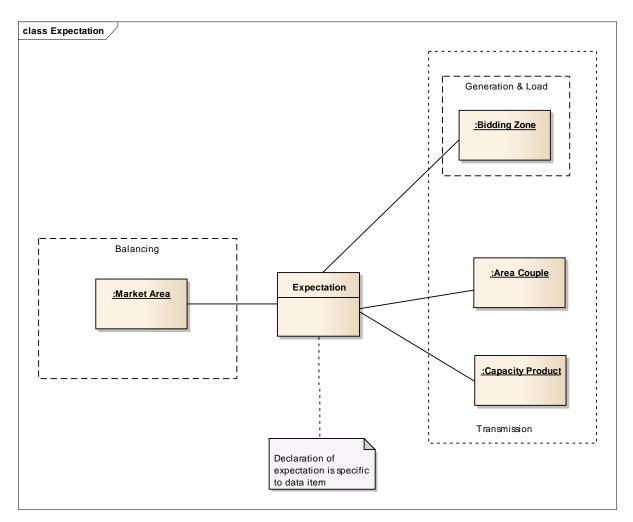
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#### 12.7.1 EXPECTATION OF DATA



Whether data is expected or not is declared per Bidding Zone for data items in the domains Generation and Load. In the Transmission domain, expectation is declared per Bidding Zone, Bidding Zone couple or per Technical Profile. For some Transmission data items related to capacity allocations, expectation is determined per capacity product.

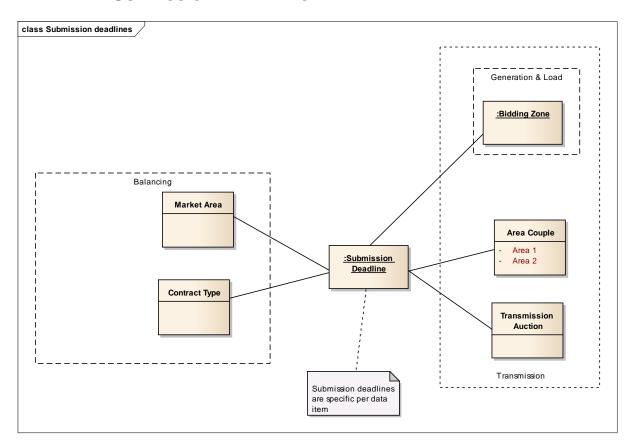
For data items in the Balancing domain, expectation is declared per Market Balance Area.

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#### 12.7.2 SUBMISSION DEADLINES



For data items in Generation and Load domains, submission deadlines are determined by Bidding Zone.

In Transmission domain, deadlines are defined per Bidding Zone or couple of Bidding Zones. For some Transmission data items related to capacity allocations, submission deadlines are set per capacity allocation instance.

For data items in the Balancing domain, submission deadlines are defined per Market Balance Area and for some data items also per Contract Type.

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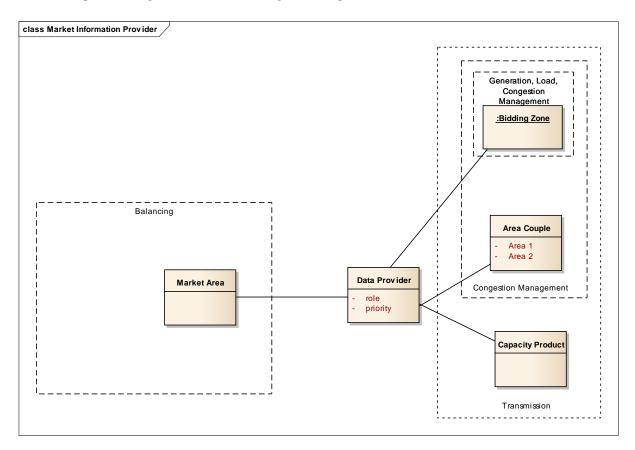
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#### 4812 12.7.3 ALLOWED DATA PROVIDERS



Data Providers have a priority and a role – those attributes are relevant in the Transmission domain only.

In the domains Generation and Load, the allowed Data Providers are associated with the Control Area and Bidding Zone.

In the Transmission and Congestion Management domains, the allowed Data Providers are associated with Bidding Zone, a couple of Bidding Zones or a Technical Profile. For some data items related to Transmission capacity allocations, allowed Data Providers are identified per Capacity Allocation.

In the Balancing domain, the allowed Data Provider is associated with Market Balance Area.