

Generative AI: Should We Say Goodbye to Deterministic Testing?

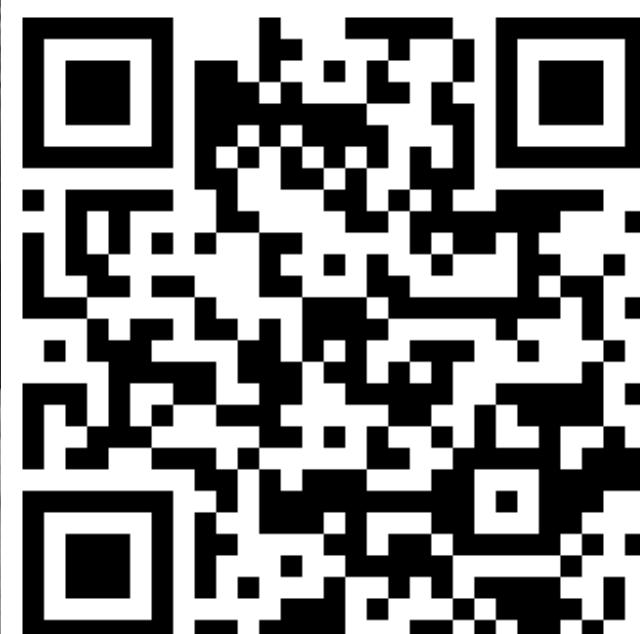
Dean Wampler, Ph.D.
The AI Alliance and IBM Research
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thealliance.ai

deanwampler.com/talks



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Outline

- First, about the AI Alliance
- How non-deterministic GenAI affects testing
- What we can do about the challenges
- Adopt a new perspective?

This isn't a "problem solved!" talk. I'll describe the problem and outline potential solutions.



Outline

- First, about the AI Alliance
- How non-deterministic GenAI affects testing
- What we can do about the challenges
- Adopt a new perspective?

What's the connection to FP?
I'll discuss connections
as we go...



The AI Alliance brings together organizations, people, and resources to accelerate *open innovation, technology development* and *adoption*.

Launched December 5, 2023



Map of Members

Member organizations in the AI Alliance comprise academia, commercial, research and non-profits and span the globe.

Our core beliefs in AI that is open is the tie that binds us, despite our differences.

The AI Alliance is made up of +140 organizations in +20 countries, and growing



Focus Areas & Mission

Represents the investment priorities for the AI Alliance

1. Skills & Education

Support global AI skills building, education, and exploratory research.

2. Trust & Safety

Create benchmarks, tools, and methodologies to ensure and evaluate high-quality and safe AI.

3. Applications & Tools

Build and advance efficient and capable software frameworks for model builders and developers.

4. HW Enablement

Foster a vibrant AI hardware accelerator ecosystem through SW.

5. Foundation Models & Data

Enable an ecosystem of open foundation models and datasets for diverse modalities.

6. Advocacy

Advocate for regulatory policies that create a healthy open ecosystem for AI.

Focus Areas & Mission

Represents the investment priorities for the AI Alliance

Member organizations have the choice to take part in one or more of these six focus areas and the agility to shift participation based on their interest and priorities.

1. Skills & Education 2. Trust & Safety

Support global AI building, education, exploratory research

The screenshot shows the 'AI Application Testing for Developers' page of the The AI Alliance website. At the top, there's a logo with three overlapping colored shapes (blue, yellow, and red) and the text 'The AI Alliance'. Below the logo are two purple buttons: 'Join Our Work Group' and 'GitHub Repo'. The main title 'AI Application Testing for Developers' is displayed in large, bold, black font. Underneath the title is a table with two rows: 'Authors' and 'FA3: Applications and Tools (See the Contributors)' in the first row, and 'Last Update' and 'V0.0.3, 2024-12-06' in the second row. A section titled 'Tips:' follows, containing two numbered items: '1 Use the search box at the top of this page to find specific content.' and '2 Capitalized, italicized terms link to a [glossary of terms](#)'. Below the tips is a paragraph about the project's goal to advance developer testing for Generative AI ('GenAI') Applications. The text discusses the challenge of writing deterministic, repeatable, and automatable tests for nondeterministic AI models. It also mentions the use of Unit, Integration, and Acceptance tests to verify behavior and prevent regressions. At the bottom of the page is a question: 'What can be done about this problem?'

4. HW Enablers

Foster a vibrant AI accelerator ecosystem through SW.

3. Applications & Tools

Build and advance efficient and capable software frameworks for model builders and developers.

6. Advocacy

Advocate for regulatory policies that create a healthy open ecosystem for AI.

<https://bsky.app/profile/aialliance.bsky.social>

Join us!

- thealliance.ai



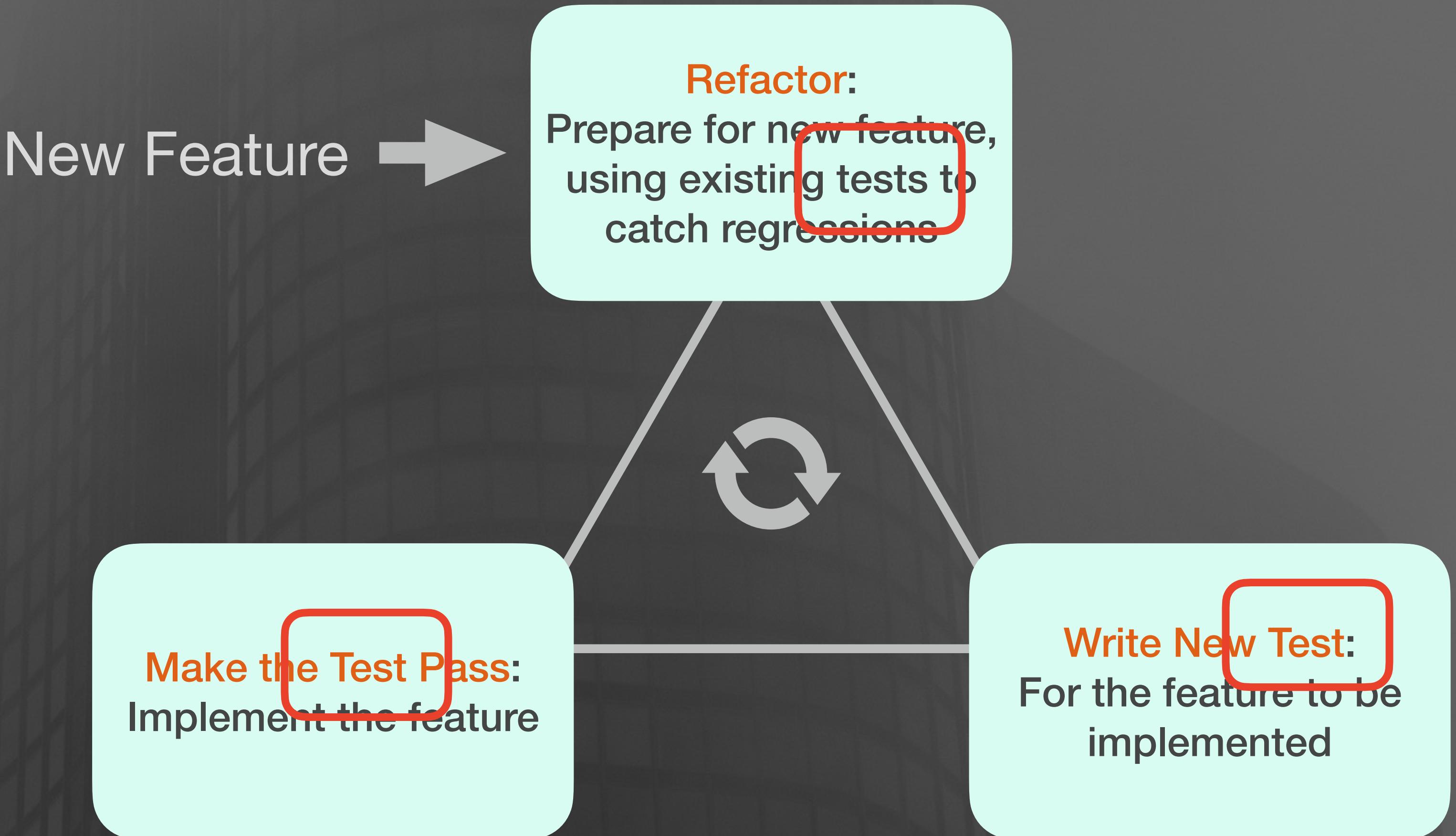


How non-deterministic GenAI affects testing



Remember the TDD[‡] loop?

Testing is integral
to this process!



‡ Test-Driven Development



What Do Developers Expect?

Developers expect software to be deterministic[‡]:

- The same input → the same output.
 - e.g., $\sin(\pi) = -1$
- The output is different? Something is broken!
- Developers rely on determinism to help ensure correctness and reproducibility, and to catch regressions.

[‡] Distributed systems break this clean picture.



What Do Developers Expect?

Developers expect

- The system to be deterministic:
 - e.g., the same input always produces the same output.
- The output to be correct.
- Developers to be able to predict what will happen.

Put another way, the determinism makes it easier to specify the system invariants.

What should remain true before and after each step?

deterministic[‡]:

broken!
ensure
atch

[‡] Distributed systems break this clean picture.



What Do Developers Expect?

FP gave us property-based testing:

- E.g., QuickCheck, Hypothesis, ScalaCheck, ...
- Hypothesis example:

```
@given(st.integers(), nonzero_integers, st.integers(), nonzero_integers)
def test_two_non_identical_rationals_are_not_equal_to_each_other(self, numer1, denom1, numer2, denom2):
    """
    Rule: a/b == c/d iff ad == bc
    This is a better test, because it randomly generates different instances.
    However, the test has to check for the case where the two values happen to be
    equivalent!
    """
    rat1 = Rational(numer1, denom1)
    rat2 = Rational(numer2, denom2)
    if numer1*denom2 == numer2*denom1:
        self.assertEqual(rat1, rat2)
    else:
        self.assertNotEqual(rat1, rat2)
```



What do we get with generative AI?

Generative models are probabilistic[‡]:

- The same prompt → **different** output.
- `chatgpt("Write a poem")` → **insanity**

“Insanity is doing
the same thing
over and over
again and
expecting
different results.”
— not Einstein

[‡] A tunable “temperature” controls how probabilistic.



What do we get with generative AI?

Generative models are probabilistic[‡]:

- The same prompt → **different** output.
 - `chatgpt("Write a poem")` → **insanity**
- Without determinism, how do you write repeatable, reliable tests? Specifically for GenAI,
 - Is that new model actually better or **worse** than the previous model, in my application?
 - Did any regressions in other behavior occur?

“Insanity is doing the same thing over and over again and expecting different results.”
— not Einstein

[‡] A tunable “temperature” controls how probabilistic.



What do we get with generative AI?

Generative

- The
- cha
- With
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- Is t
- tha
- Did

Put another way, the **invariants** are much less clear and therefore harder to define programmatically and enforce.

ite
for GenAI,
worse

or occur?

‡ A tunable “temperature” controls how probabilistic.





A photograph of a forest path. Sunlight filters through the dense canopy of tall trees, creating bright rays and shadows on the ground. The path is a dirt or gravel road curving through the woods. The overall atmosphere is peaceful and natural.

What we can do
about the challenges



What we can do about the challenges

- Don't forget about coupling and cohesion
- Use external tools for verification
- Adapt benchmarks - “unit benchmarks”
- Use an LLM as a judge
- Understand and leverage statistics



Coupling and Cohesion



Coupling and Cohesion

- The non-deterministic AI model isn't the whole application. (E.g., Agent architectures)
 - Wrap the model in a good API.
 - Use deterministic test doubles for it.
 - Test everything else like you normally do.



Coupling and Cohesion

- Writing a good API:
 - Engineer your prompts to constrain outputs.
 - Use tools like Pydantic-AI for type safety (example).
 - Select the Gen AI models that seem to work best with your tools.



Coupling and Cohesion

- Writing
- Engineering
- Use tools
(examples)
- Select the best way

Thinking about types encourages you to find ways to constrain model queries such that the responses are more closely aligned with your goals.

outputs.
safety
to work



Coupling and Cohesion

- Writing
- Engineering
- Use tools
(examples)
- Select the best way

Most AI-enabled apps won't be open-ended chatbots, but use AI to resiliently translate between human text and tool APIs, and translate tool-to-tool interactions, so we don't have to do that translation in code ourselves.

outputs.

safety

to work



Coupling and Cohesion

- However, tried and true C&C techniques don't help us test the model input and output behaviors themselves, nor do they eliminate the non-determinism that is unavoidable in our acceptance tests[‡].

 [‡] The integration tests that prove features are done.



A photograph of a forest in autumn. The scene is filled with tall, thin trees, their trunks dark and silhouetted against a bright, hazy sky. The ground is covered with fallen leaves in shades of brown, orange, and yellow. In the foreground, a small pine tree with long, sharp needles stands out. The overall atmosphere is misty and serene.

Use external tools for verification

Use external tools for verification

- Are you asking a model to generate code?
- Check it with a parser or compiler
- Scan for security vulnerabilities
- Check for excessive cyclomatic complexity
- Check that only allowed third-party libraries and versions are used.
- ...



Use external tools for verification

- Are you asking a model to generate code?
- Using TDD? If you ask for code that makes your hand-written tests pass, does the generated code allow the tests to pass?
- (Example)



Use external tools for verification

- Are you writing tests by hand?
- Using a neural network to generate tests?
- (Example) Generating code to pass the tests.

Currently, I don't think many models are very good at generating powerful tests, but they can do a reasonable job generating code to pass the tests.

Code? Does it make sense?



Use external tools for verification

- Are you asking a model to do logic or reasoning?
- Check it with a logic/reasoning engine
- Or use that tool instead to create your logic!



Use external tools for verification

- Are you asking a model to do planning?
- Check it with a planning engine
- Or use that tool instead to create your plan!



Use external tools for verification

- Are you asking a model to generate possible chemicals or physical processes?
 - Try creating and testing the chemical in a lab.
 - Test the physical process with a simulator.
 - (Letting AI generate the “idea”, then testing in a simulator may be cheaper than using the simulator to generate possible ideas.)



Use external tools for verification



One of the reason that Agents are so popular now is the recognition that models can't do everything well (or cheaply). So, complementing models with other tools provides the best results.



Adapt benchmarks - “unit benchmarks”



Adapt benchmarks - “unit benchmarks”

- Models are evaluated with benchmarks.
- Use a large number of examples.
- Typically cover a broad topic,
 - e.g., effective Q&A, detect hate speech, detect bias, measure throughput, ...
- Return a single measurement, usually 0-100%.



Adapt benchmarks - “unit benchmarks”

- Not the same thing as a developer “test”.
- But can we adapt the idea for testing?
 - Use a very narrow scope.
 - Still use a lot of examples for higher confidence.
 - Return a single measurement, usually 0-100%.
 - But at what threshold do you “pass”??



Adapt benchmarks - “unit benchmarks”

- Example: SQL queries generated from text.
- Build a Q&A dataset that uses logged queries (expected answers) with appropriate human prompts (the queries).
- Each unit benchmark might focus on one specific kind of common query.

This is also an example of using a model to translate between text and an “API”.



Use an LLM as a judge



Use an LLM as a judge

- You have probably chosen a small model for production, because it costs less to use.
- Use a bigger, smarter for test runs to “judge” responses.
- You’ll call it less often, so the cost won’t be as much of an issue.

Need data for your unit benchmarks? Use a big model to synthesize data!



Use an LLM as a judge

- It can work like this:
 - A test sends a query to the model or app.
 - The query and the response are sent to a larger model with the question, “Is this a good response for this query? Answer yes or no, and if no, provide an explanation.”
 - Fail the test if the answer is no.
 - Use the explanation to debug.



Understand and leverage statistics

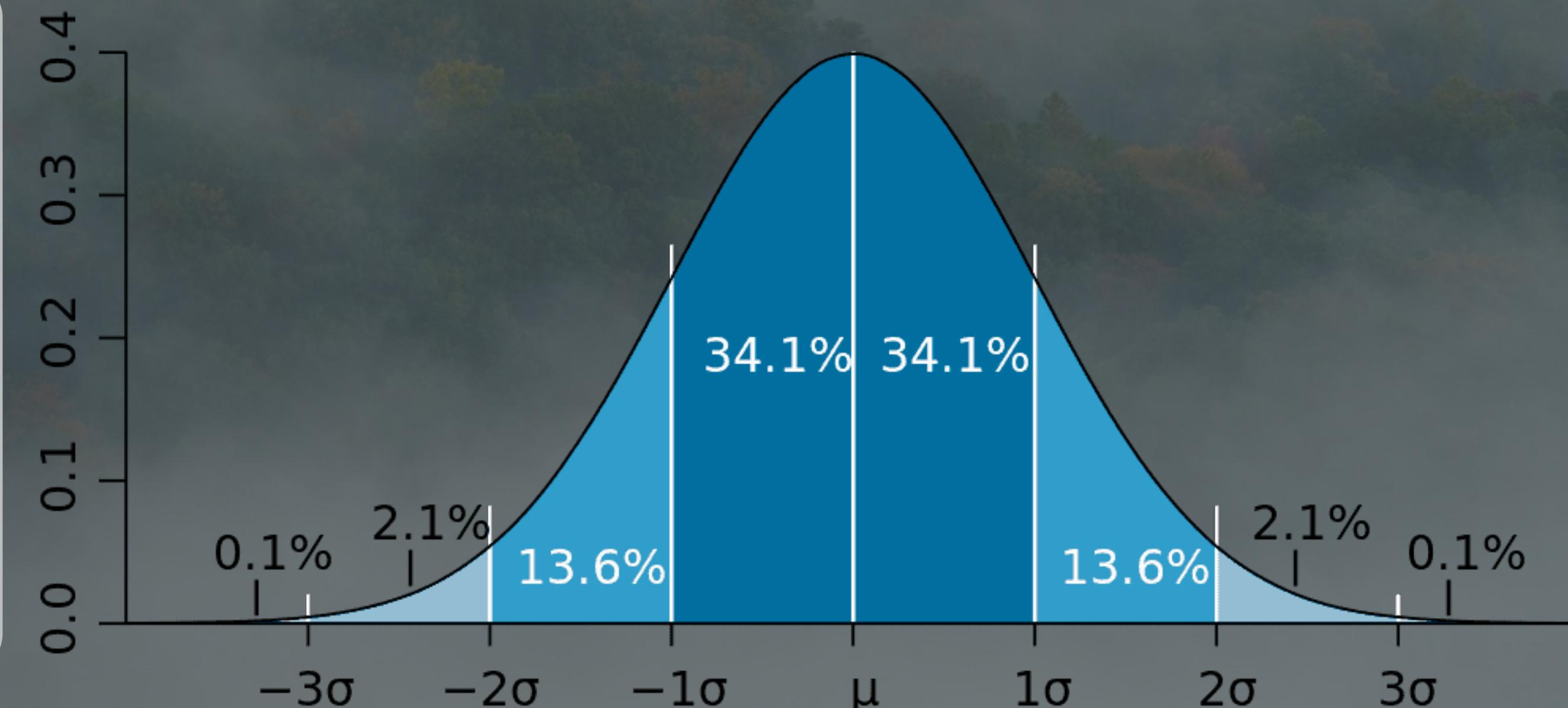


Understand and leverage statistics

- Scientists are accustomed to using statistics to analyze probabilistic phenomena.
- E.g., a potential discrepancy between theory and experiment must be > five sigma.

"A five-sigma level translates to one chance in 3.5 million that a random fluctuation would yield the result."

Wikipedia



Understand and leverage statistics

- Classifier models sometimes return a confidence level, i.e., how much they believe they are returning the correct classification.
- “Adding Error Bars to Eval: A Statistical Approach to Language Model Evaluations”
- <https://arxiv.org/abs/2411.00640>





A new perspective?



A new perspective?

- The Structure of Scientific Revolutions
- It's normal to try to bend our current theory to accommodate new data, rather than simply throw out our current theory and start over from the fundamentals.

Should we abandon the idea of deterministic testing, at least for model outputs, in favor of a new approach?



A new perspective?

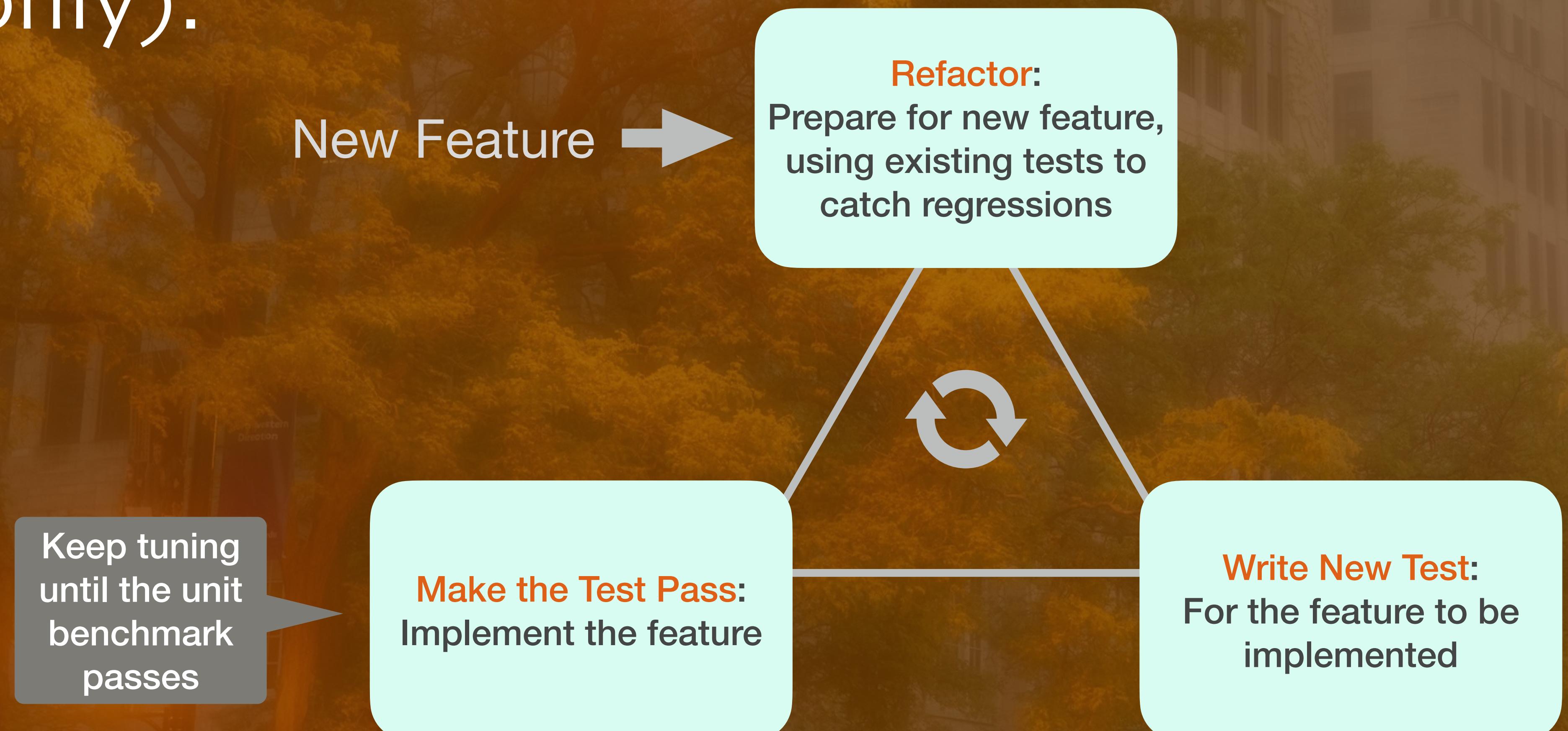
★ What if we switch from verifying desired model behavior to coercing desired behavior, instead?

- We already tune models to improve domain-specific knowledge, chatbot behavior, etc.
- So,
- Tired: Writing software and testing it.
- Wired: Tune until satisfactory behavior is achieved.



A new perspective?

- Changes to the TDD cycle (for model behaviors only):



Thank you!

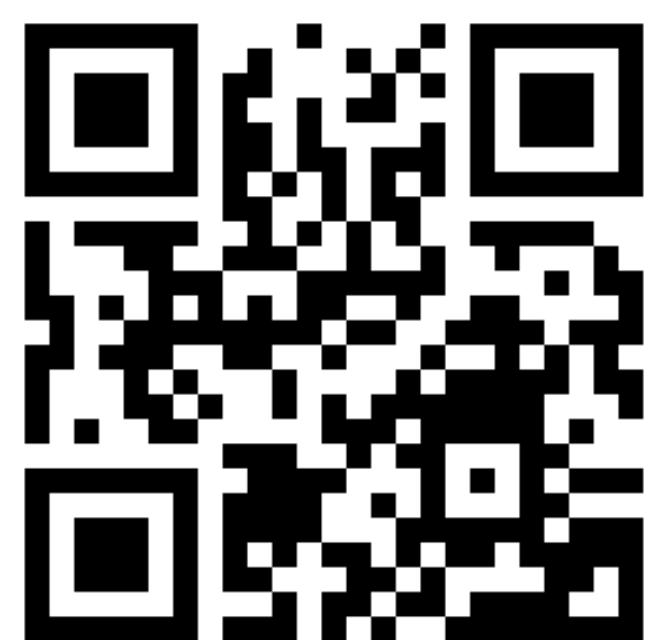
thealliance.ai

dwampler@thealliance.ai

Mastodon and Bluesky: @deanwampler

thealliance.ai

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