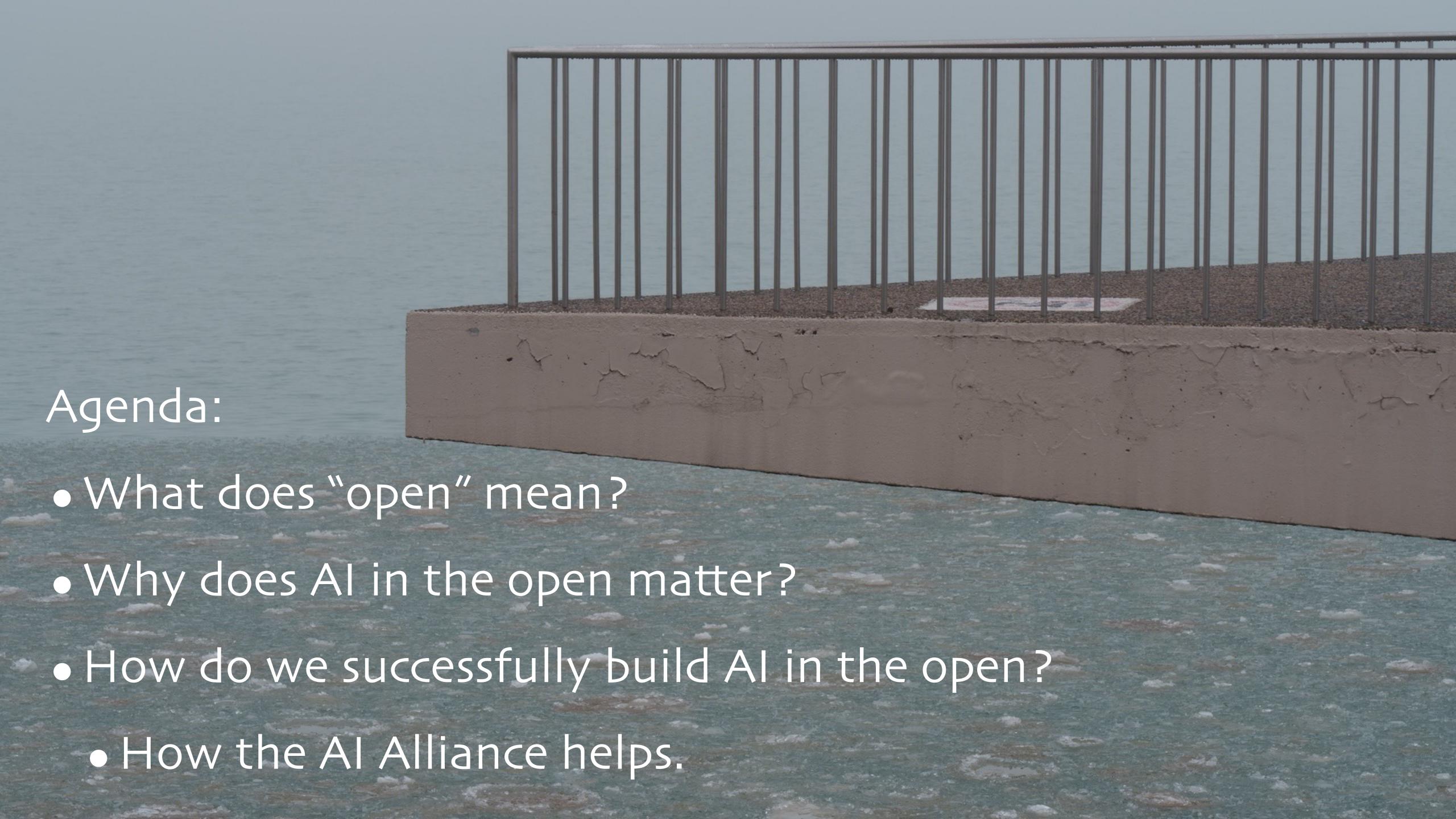
Al in the Open: Why It Matters. How to Achieve It.

Dean Wampler
IBM Research and The AI Alliance
thealliance.ai

© 2023-2024, Dean Wampler, except where noted. Photos at https://www.flickr.com/photos/deanwampler/

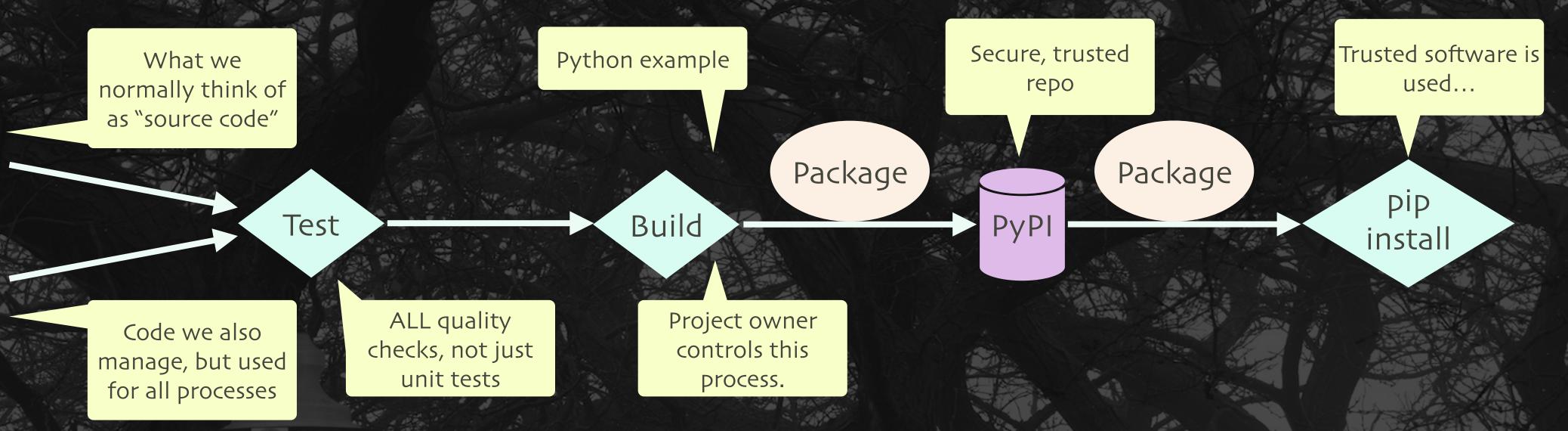




Is Al like other software?

"Functional" source code

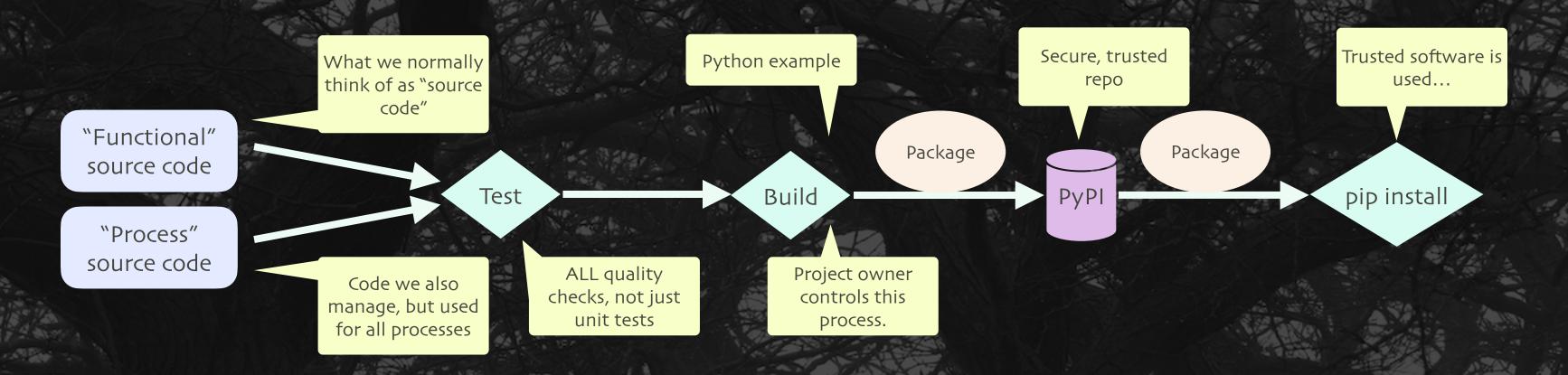
"Process" source code



Part of the conventional software lifecycle.

Al applications include lots of conventional software, but what about models?

Is Al like other software?



Model

Secure, trusted

repo

Repo

"Functional" source code

"Process" source code

Training data set

Tell PyTorch how to train the model

Now we have data, LOTS OF IT,

filtered,

formatted...

Less of this now

"Build" the model
(Some code
testing, perhaps,
but essential
testing is later.)

Model

Tuning for better alignment;, from modest additional training on domain data to big processes like Red Teaming, RLHF[^]

Tune

Install for inference (serving) using Hugging Face APIs

Model Repo Model

HF APIs

Al model training

‡ alignment - output aligns with user goals and doesn't include objectionable content.

RLHF - Reinforcement Learning with Human Feedback

So, what does open mean??

"Functional" source code

"Process" source code

What "open-source software" means to us. Note: Human readable!!

Test

An artifact that may not be human readable.

Package

Pypl

Package

Pip install

"Functional" source code

It is rare for these

"Process" source code

Training data set

parts to be "open sourced"!

Model
Train

Model
Repo

Model
Tune

Model
Repo

HF APIs

This is **binary**, not human readable. **A black box.**

What many people are offering as "open", which usually means "no usage restrictions".

Since you can't inspect the model (like inspecting source code), you 1) rely on the model builder's reputation and 2) aggressive test how the model behaves, before and after tuning.

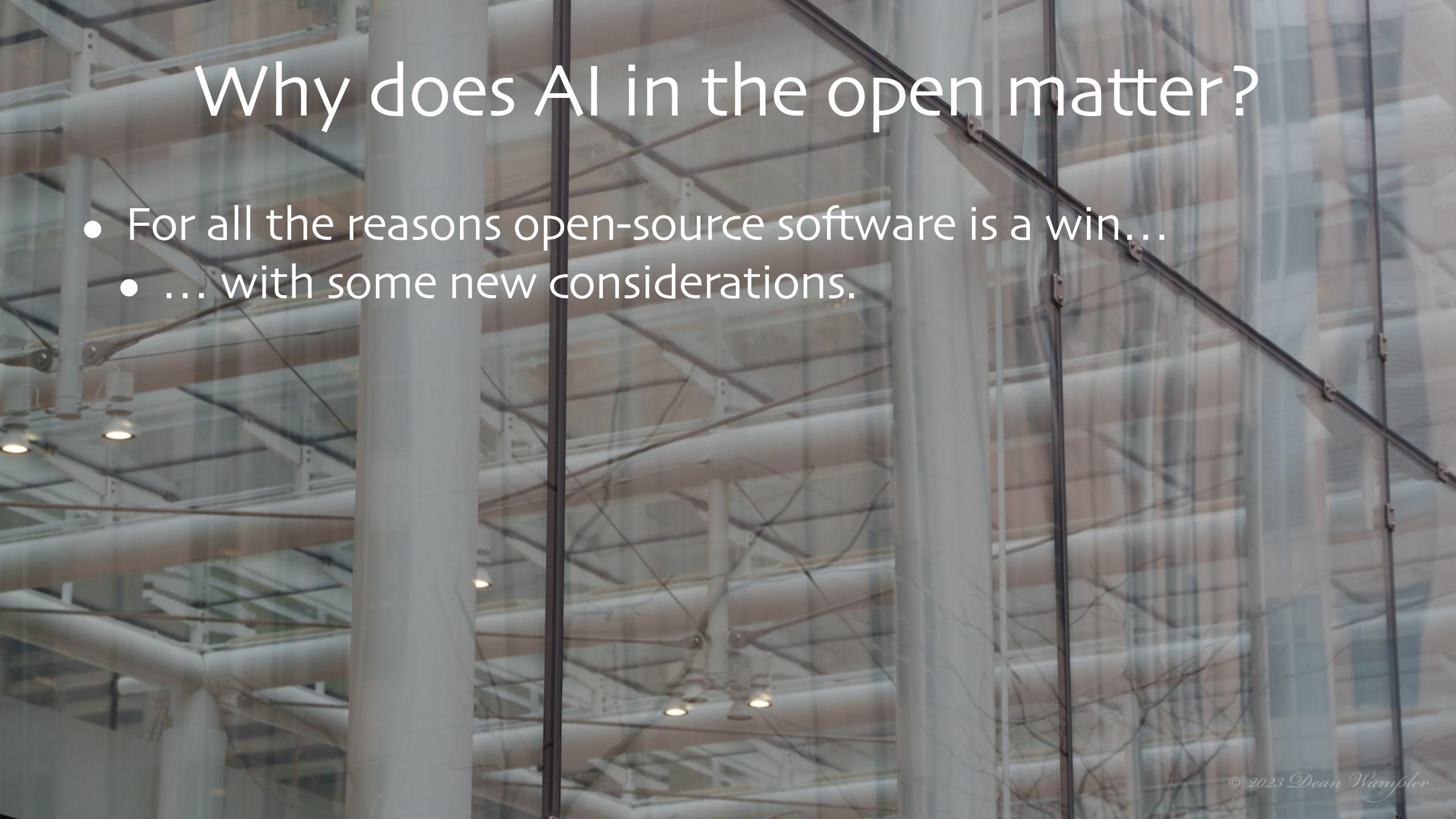
Summary

Unique aspects of AI compared to to conventional software:

- 1. Models are binary, "black box" artifacts:
 - 1. They are usually released without the code and data used to build them.
 - 2. You have to trust the builder's reputation.
 - 3. You have to test the model behavior yourself.
 - 4. You probably need to tune for "alignment" of behavior.

Another crucial difference:

5. Model outputs are probabilistic! Developers are used to deterministic outputs, which are easier to test and reason about!



Why does AI in the open matter?

- Open models and data...
 - Stimulate research innovations:
 - Improved architectures, alignment methods, optimizations (especially for inference)
 - Stimulate commercial innovations by developers:
 - Novel applications
 - Accelerated productivity
 - Support for private hosting
 - Support tuning, which is cheaper than training from scratch

© 2023 Dean Wampler

Why does AI in the open matter?

- One size does not fit all. In 2023 we learned useful model size tradeoffs:
 - Big models:
 - √ More generalizable
 - √ Highest benchmark scores
 - X Much higher costs, carbon footprint
 - Small models:
 - X Less generalizable
 - ✓ Easy to tune to be "good enough" for specific applications
 - ✓ Much lower costs, carbon footprint

2023 Dean Wample





- Train more people in Al concepts, including safety
- Work together, pooling resources, to...
 - Broaden available models and applications tools
 - Create useful standards
 - Test and align models for particular goals
 - Fund innovation for basic research
 - Advocate for the benefits of AI in the open
 - But with appropriate safeguards

Meet the AI Alliance

thealliance.ai



Lightning AI LastMile AI

Simons Foundation & Flatiron Institute

Founding Members and Collaborators*

Startups & Enterprises

~80

Science Organizations & Non-profits

Total annual R&D funding represented

>\$80B

Students supported by these academic institutions

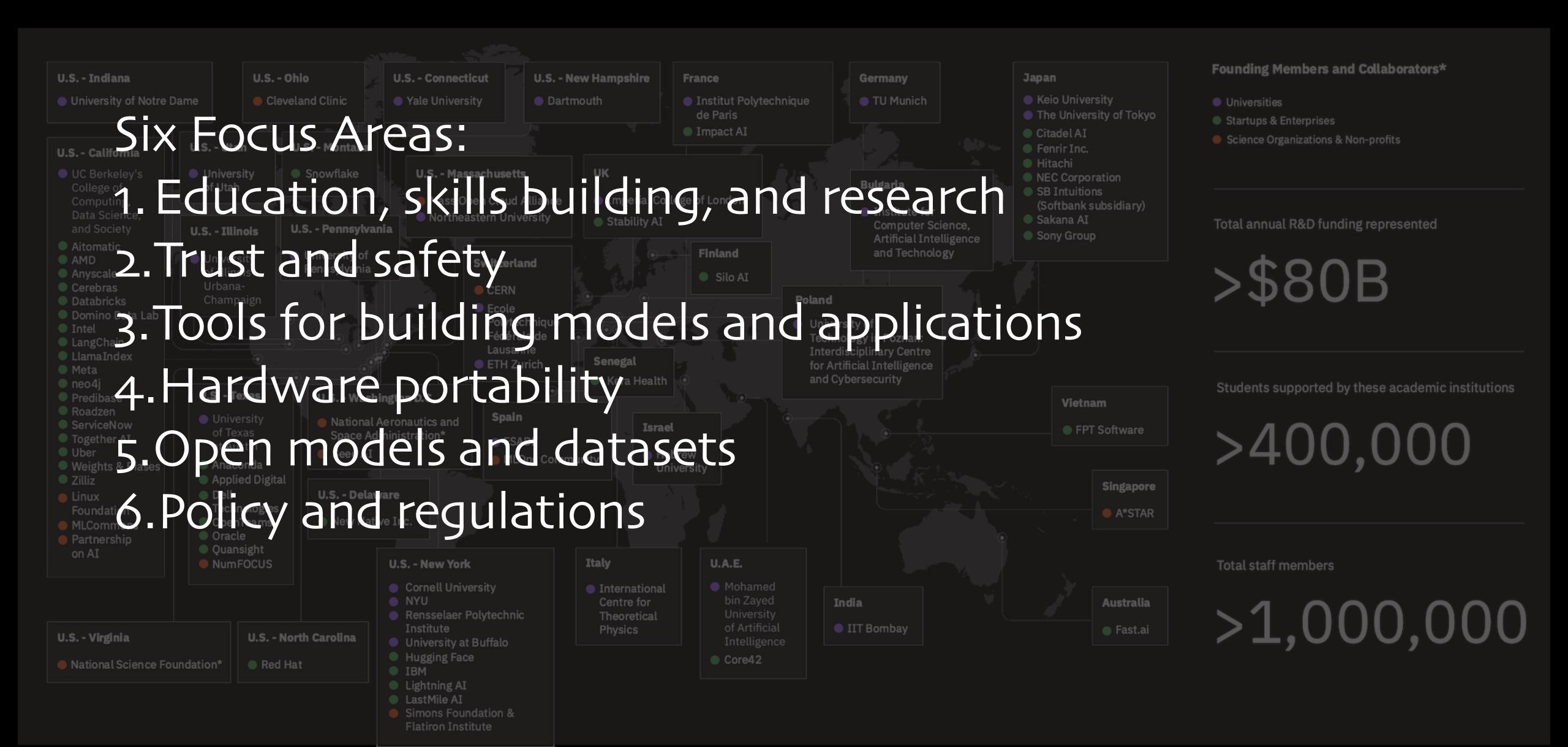
>400,000

Total staff members

>1,000,000

Meet the AI Alliance

thealliance.ai



Recap

- The AI Alliance was founded on the premise that the successful open-source software model still applies to AI.
- However, we need to work together to maximize access to AI technologies with appropriate safety constraints.

Questions?

- Visit thealliance.ai
 - (Join our mailing list: see the "learn more" page)
- Let me know what you think!
 - dean.wampler@ibm.com
 - Mastodon and Bluesky: @deanwampler
 - These slides: polyglotprogramming.com/talks