MODULE 1:

Introduction: Concept of Operating Systems, Generations of Operating systems, Types of Operating Systems, OS Services, System Calls, Structure of an OS-Layered, Monolithic, Microkernel Operating Systems, Concept of Virtual Machine, Case study on UNIX and WINDOWS Operating System.

MODULE 2:

Processes: Definition, Process Relationship, Different states of a Process, Process State transitions, Process Control Block (PCB), Context switching Thread: Definition, Various states, Benefits of threads, Types of threads, Concept of multithreads

Process Scheduling: Foundation and Scheduling objectives, Types of Schedulers, Scheduling criteria: CPU utilization, Throughput, Turnaround Time, Waiting Time, Response Time; Scheduling algorithms: Pre-emptive and Non pre-emptive, FCFS, SJF, RR; Multiprocessor scheduling: Real Time scheduling: RM and EDF, Process management in UNIX

MODULE 3:

Inter-process Communication: Critical Section, Race Conditions, Mutual Exclusion, Hardware Solution, Strict Alternation, Peterson's Solution, The Producer-Consumer Problem, Semaphores, Event Counters, Monitors, Message Passing, Classical IPC Problems: Reader's & Writer Problem, Dinning Philosopher Problem etc., System V IPC

MODULE 4:

Deadlocks: Definition, Necessary and sufficient conditions for Deadlock, Deadlock Prevention, Deadlock Avoidance: Banker's algorithm, Deadlock detection and Recovery.

MODULE 5:

Memory Management: Basic concept, Logical and Physical address map, Memory allocation: Contiguous Memory allocation – Fixed and variable partition—Internal and External fragmentation and Compaction; Paging: Principle of operation – Page allocation –Hardware support for paging, Protection and sharing, Disadvantages of paging.

Virtual Memory: Basics of Virtual Memory – Hardware and control structures –Locality of reference, Page fault, Working Set, Dirty page/Dirty bit – Demand paging, Page Replacement algorithms: Optimal, first in First Out (FIFO), Second Chance (SC), Not recently used (NRU) and Least Recently used (LRU), Memory Management in UNIX

MODULE 6:

I/O Hardware: I/O devices, Device controllers, Direct memory access Principles of I/O Software: Goals of Interrupt handlers, Device drivers, Device independent I/O software, Secondary-Storage Structure: Disk structure, Disk scheduling algorithms File Management: Concept of File, Access methods, File types, File operation, Directory structure, File System structure, Allocation methods (contiguous, linked, indexed), Free-space management (bit vector, linked list, grouping), directory implementation (linear list, hash table), efficiency and performance. Disk Management: Disk structure, Disk scheduling - FCFS, SSTF, SCAN, C-SCAN, Disk reliability, Disk formatting, Bootblock, Bad blocks

Books

Operating System by P.B. Galvin

G.Gagne

A. Silberschatz

Operating System by Prof. D. M. Dhamdhere

1.1 General Definition

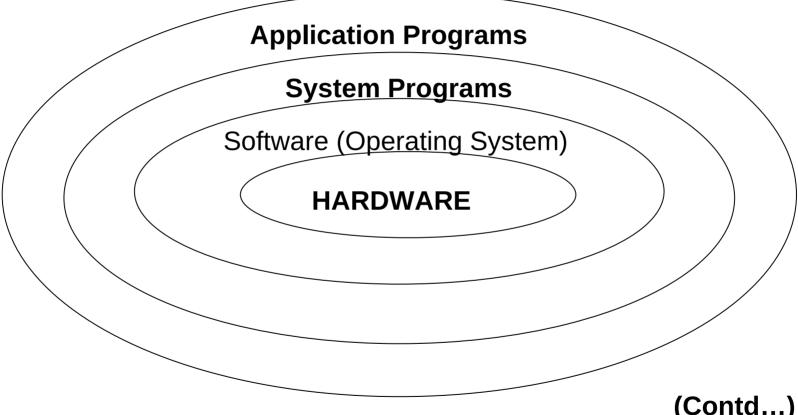
- An OS is a program which acts as an *interface* between computer system users and the computer hardware.
- It provides a user-friendly environment in which a user may easily develop and execute programs.
- Otherwise, hardware knowledge would be mandatory for computer programming.
- So, it can be said that an OS hides the complexity of hardware from uninterested users.

Introduction

Banking system	Airline reservation	Web browser	Application programs
Compilers	Editors	Command interpreter	System
0	perating syste	programs	
Ma	achine langua		
Microarchitecture			Hardware
Р	hysical device		

- A computer system consists of
 - -hardware
 - -system programs
 - application programs

Structure of Operating System:



(Contd...)

Structure of Operating System (Contd...):

- The structure of OS consists of 4 layers:
 - 1. Hardware

Hardware consists of CPU, Main memory, I/O Devices, etc,

2. Software (Operating System)

Software includes process management routines, memory management routines, I/O control routines, file management routines.

(Contd...)

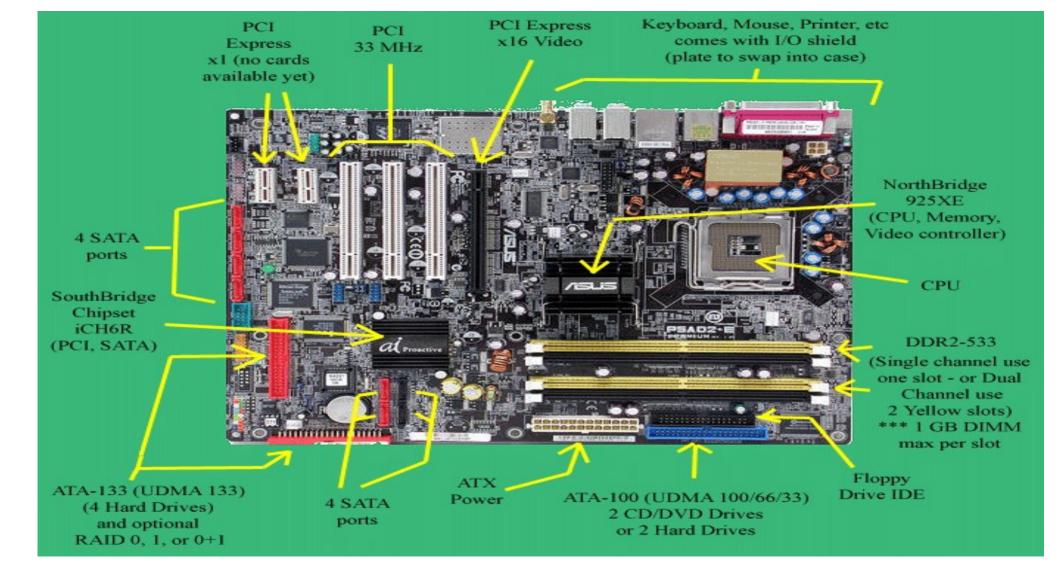
Structure of Operating System (Contd...):

3. System programs

This layer consists of compilers, Assemblers, linker etc.

4. Application programs

This is dependent on users need. Ex. Railway reservation system, Bank database management etc.,



- We buy the computer hardware.
- Then we ask the vendor to install the operating system (Windows, Linux, MAC....etc).
- Then we install the device drivers and anti virus.
- Then we can install the application software(MS word, VIC playeretc)
- Then we start working on it.

History of Operating Systems

- First generation 1945 1955
 - -vacuum tubes, plug boards
- Second generation 1955 1965
 - -transistors, batch systems
- Third generation 1965 1980
 - -ICs and multiprogramming
- Fourth generation 1980 present
 - -personal computers

History

- Pre 1950 : the very first electronic computers
 - -valves and relays
 - -no OS
 - -single program (written with 0 and 1) with dedicated function
- Pre 1960 : stored program valve machines
 - -single job at a time
 - -Still program written with 0 and 1.
 - -OS just consists of a program loader



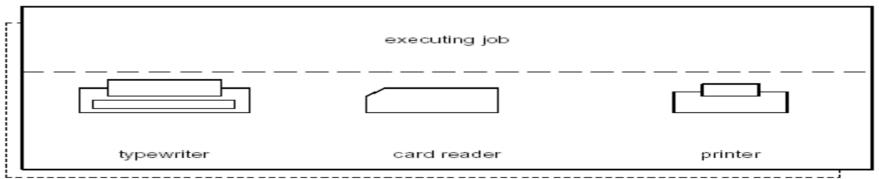
Early Systems



Structure

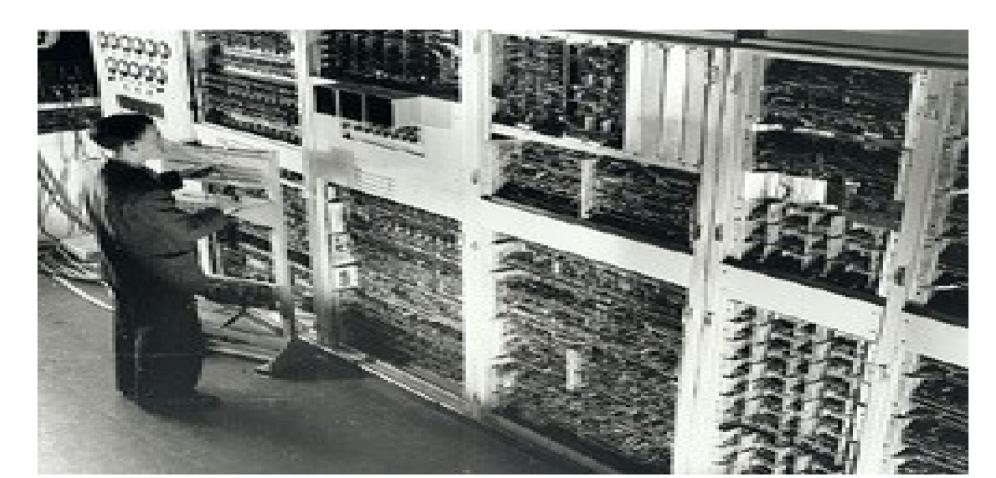
- Single user system.
- Programmer/User as operator (Open Shop).
- Large machines run from console.







Example of an early computer system



First generation: direct input

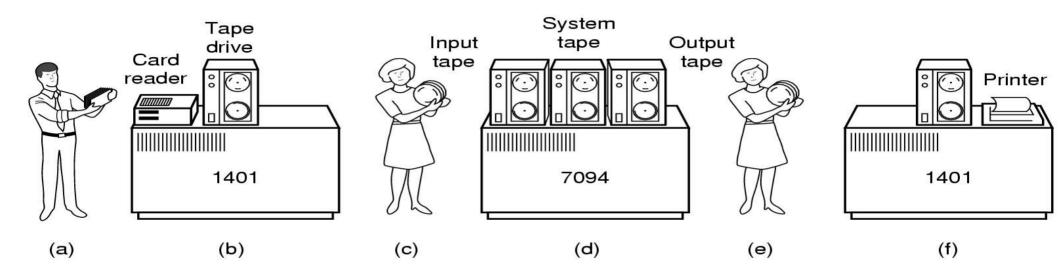
- Run one job at a time
 - Enter it into the computer (might require rewiring!)
 - Run it
 - Record the results
- Problem: lots of wasted computer time!
 - Computer was idle during first and last steps
 - Computers were very expensive!
- Goal: make better use of an expensive commodity: computer time

solution

- Paper tape is slower than the tape drive.
- New device called tape is invented.
- Remember video or audio cassette



History of Operating Systems (1)



Early batch system

- -bring cards to 1401
- -read cards to tape
- -put tape on 7094 which does computing
- -put tape on 1401 which prints output

To prepare a FORTRAN program for execution

- 1. LOAD the FORTRAN compiler in to the computer. The compiler was normally kept on a magnetic tape . So it would need to be mounted on a tape drive.
- 2. The program would be read through the card reader and written onto another tape.
- 3. The compiler would then take the program as input and then produce assembly language output.
- 4. The assembler would now need to assemble the above output. This would mean mounting another tape with the assembler.
- 5. The output of the assembler would need to be linked with its supporting library routines.
- 6. Finally the binary object form of the program would be ready to execute.

Problem

1. Setup time for execution

2. CPU utilization.

Solution

Batch programming:

Computer operators batch similar types of jobs together and execute.

All C programming jobs

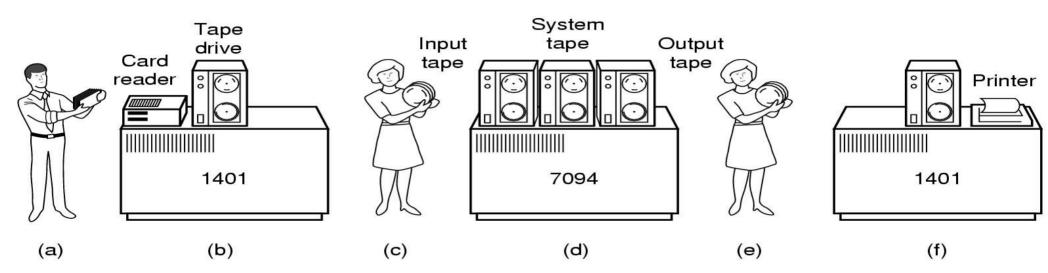
Then all JAVA programming jobs.

When a job is involved with I/O operation the CPU sit idle because the I/O devices are very slow compared to CPU.

To overcome this off-line processing was used.

CPU does not read or write to cards or printers(tapes).

spooling



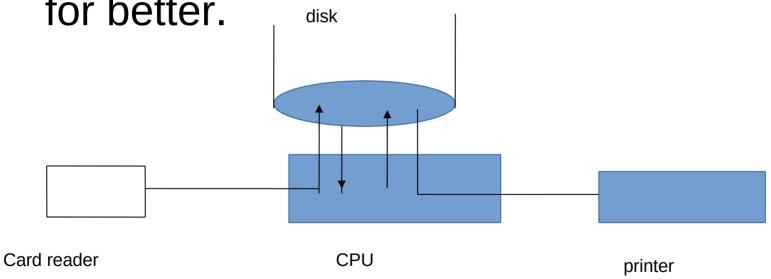
There was a problem with tape....

Tape is sequential access device

If some one is writing into the beginning of the tape no one can read from the end of the tape...

Because there is single head to read and write in the tape.

Disk became widely available and things changed for better.



Read and write can be done simultaneously in Disk.

And it is a random access device(example HDD VIc and programming)

SPOOLING (<u>Simultaneous Peripheral Operation</u> <u>On-Line</u>)

In a disk system cards are read directly from the card to the disk.

When a job is executed and a card is needed as input the equivalent record is read from the disk.

Similarly the output is written onto the disk.

This is known as SPOOLING.

Spooling can keep both the CPU and the I/O devices work at much higher speed.

BY that time memory became large...

More than one processes can be loaded in the memory at the same time.

job1	job2	RAM

Still problem

CPU still not utilized fully....

E.g:

When a job stopped the operator would have to notice that by observing the console, Determine why it stopped(normal / abnormal) and then take a necessary actions.

CPU Switching from one job to another takes time

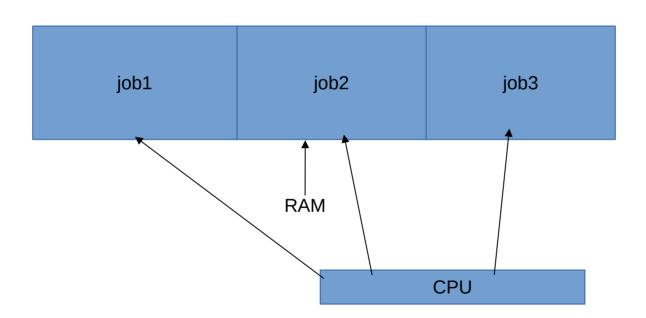
Still problem

CPU still not utilized fully....

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CPU Switching from one job to another takes time



Solution

Resident monitor.....?

The first operating systems were designed to improve the utilization. The solution was simple: break up the memory into several blocks, as

shown

Loader Resident job sequencing Monitor control card interpreter job1 user program area Job n

One program was always loaded into memory, and continuously running, the resident monitor.

If a user program was loaded into memory, the resident monitor would transfer control to the program.

When the program halted, it would return control to the resident monitor, which could then transfer control to the next program. Even at this stage, interaction with the computer was not via terminals.

The user programs were read from punched cards, and utilities like the FORTRAN compiler from tape.

To improve efficiency, jobs were run in batches - programs that required the same utility (compiler, e.g.) would be run together, to avoid multiple loading/unloading of the compiler.

In modern computers, I/O is much faster.

Usually, the computer reads the executable program from peripheral memory devices such as floppies, or hard disks.

Output, if it is to be printed, is transmitted to printers and displayed on the screen. Still, compared to the CPU speed, I/O is many magnitudes slower.

Another bottleneck arises due to interactive programs.

If the execution requires input from the user, the CPU has to wait a long time while a user enters the input via keyboard (for instance).

Solution?????

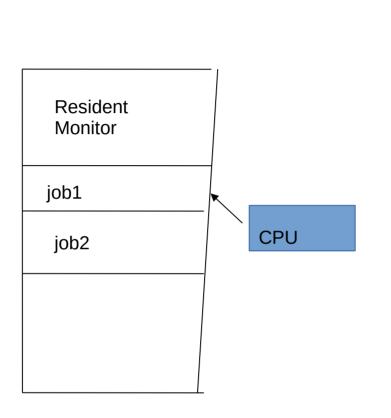
most operating systems schedule many different processes simultaneously.

This is called multiprogramming.

Here, many programs are loaded into different parts of the memory. The OS starts executing the first one.

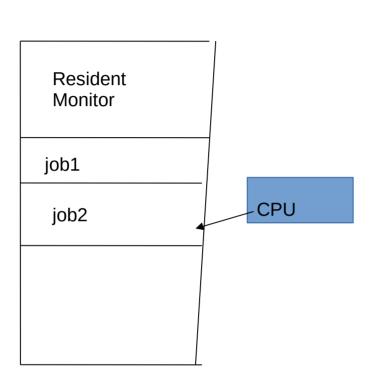
If/when the execution requires I/O or some such delay, the CPU immediately switches to the next job and starts it; and so on.

The concept is similar to how human managers operates.



```
#include<stdio.h>
Int main()
{
        Int I;
        printf("\n enter the number");
        Scanf("%d",&i);
        printf("\n i=%d",i);
        Return 0;
}
```

When job1 is doing I/o cpu sit idle



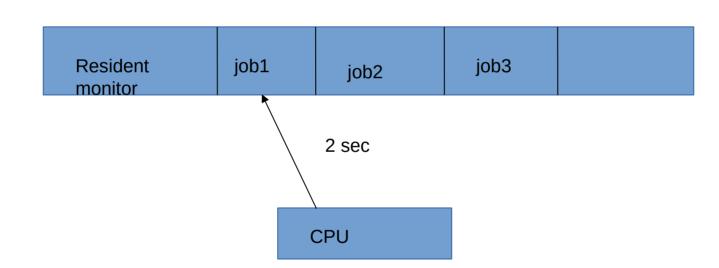
```
#include<stdio.h>
Int main()
      Int I;
      printf("\n enter the number");
      Scanf("%d",&i);
                                                 → I/o
      printf("\n i=%d",i);
      Return 0;
              job1
           When job1 is doing I/o cpu sit idle
#include<stdio.h>
 Int main()
       Int p;
       P=90+20;
       Return 0;
```

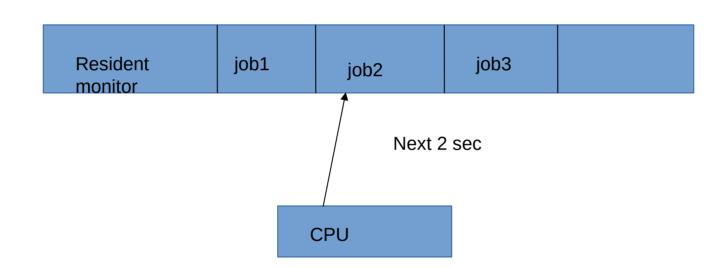
job2

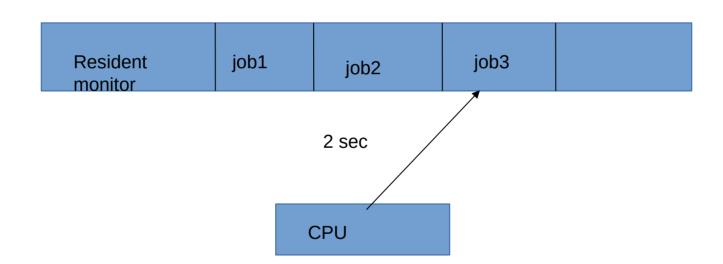
THE OTHER WAY IS TIME SHARING(or Multi tasking)

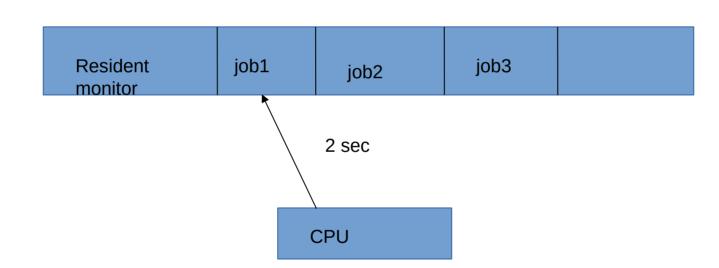
. Is an extension of multiprogramming.

- . Like in multiprogramming multiple jobs are executed by the cpu switching between them but the switches occur so frequently that the users may interact with each program while it is running.
- . It gives an impression that s/he has his or her own computer. Whereas actually one computer is being shared among many users.









Multitasking and Multiprogramming

An interactive computer system provides online communication between the user and the system.

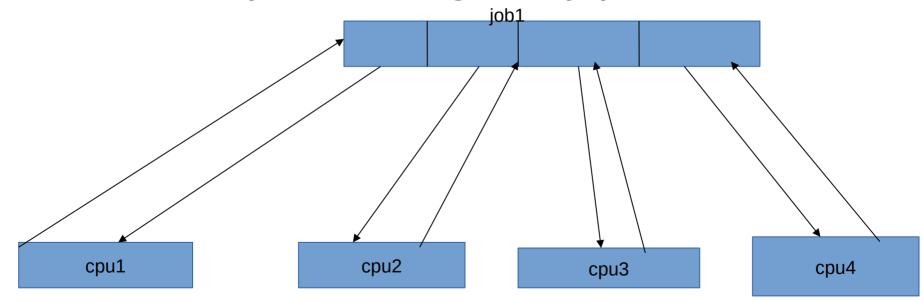
The user gives instruction to the operating system or to the program directly and receives an immediate response.

Time sharing os is more complex than the Multiprogramming.

- 1. several jobs must be kept simultaneously in memory, which requires some form of memory management and protection.
- 2. Time sharing system must also provide an online file system. The file system resides on a collection of disk hence, disk management is required.
- 3. They also provide a mechanism for concurrent execution, which required sophisticated CPU scheduling policies.
- 4. They also must provide mechanism for job synchronization and communication and must ensure that dead lock does not occure.

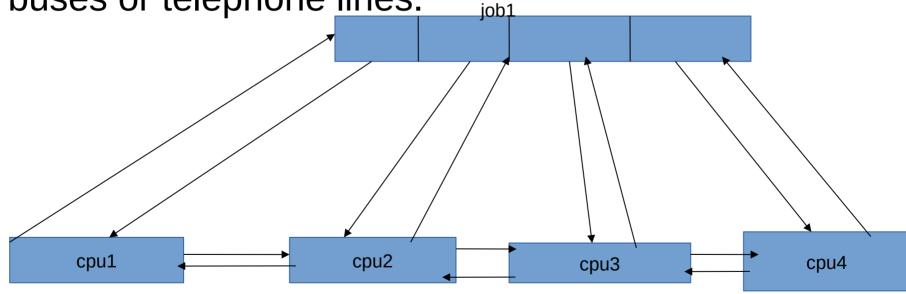
Distributed OS

Distribute the jobs among many processors.



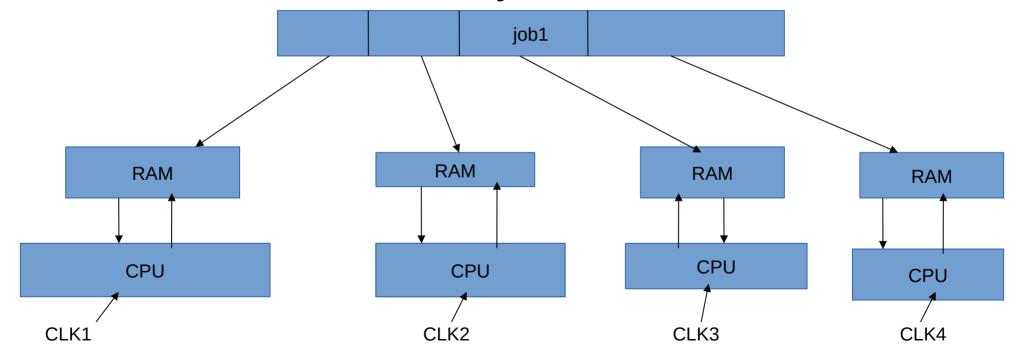
Distributed OS

The processors communicate with each other through various communication lines, such as high speed buses or telephone lines.



Distributed OS Loosely coupled system

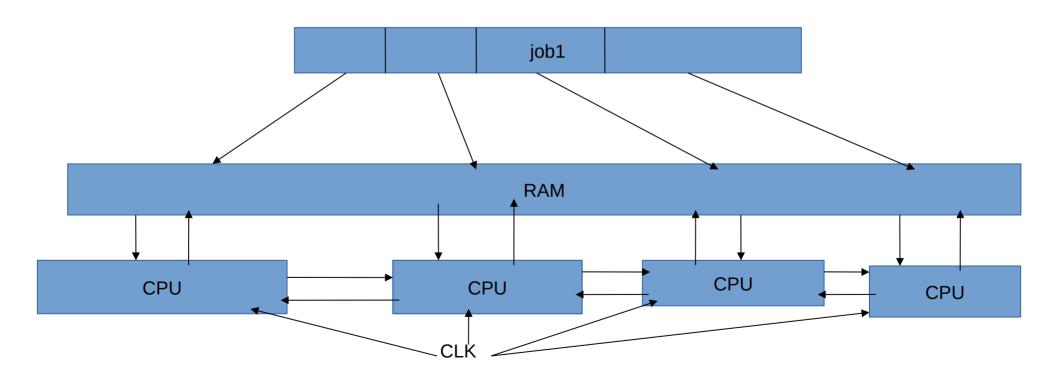
It does not share memory or a clock.



Distributed OS Tightly coupled system

Tightly coupled system

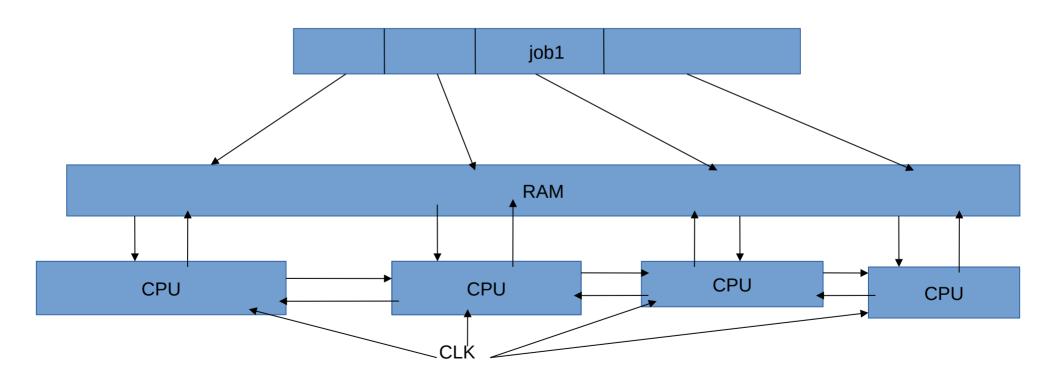
One in which there are multiple processors which communicate with each other by sharing computer bus, the clock, memory etc.



Distributed OS Tightly coupled system

Tightly coupled system

One in which there are multiple processors which communicate with each other by sharing computer bus, the clock, memory etc.



Distributed OS

Also known as parallel system or multiprocessor system.

Why Distributed system?

1. Resource sharing: files, printers etc...

2. Computation speed up: A problem can be divided into sub problems and can be run concurrently in different CPU and result is found quickly.

3. Reliability: If one CPU fails others are still working.

4. Communication: When a number of CPUs are connected communication takes places and information is exchanged.

Real time os(RTOS)

A real time os is considered to function correctly only if it returns the correct result the correct result within any time constraints.

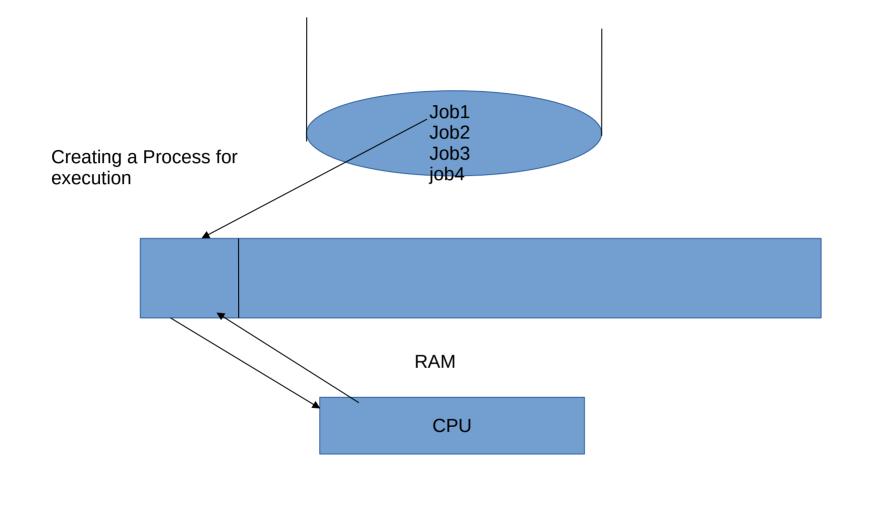
E.g: Missile technology

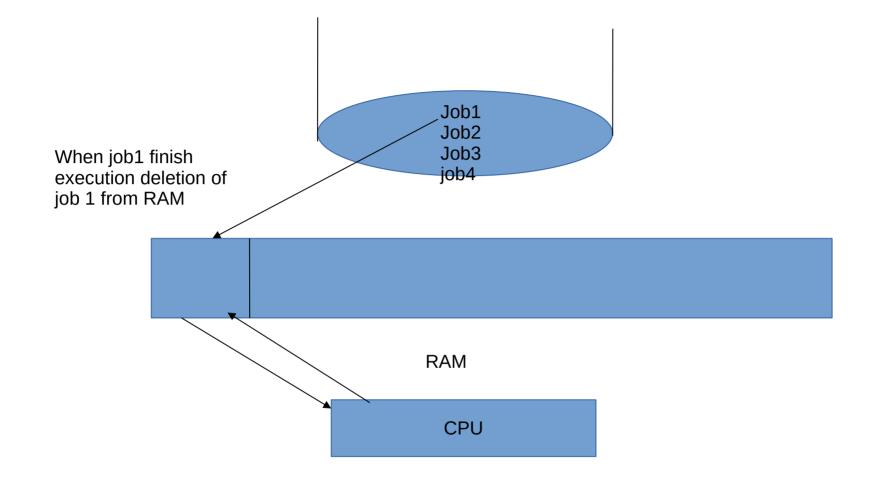
- Space technology
- Robotics

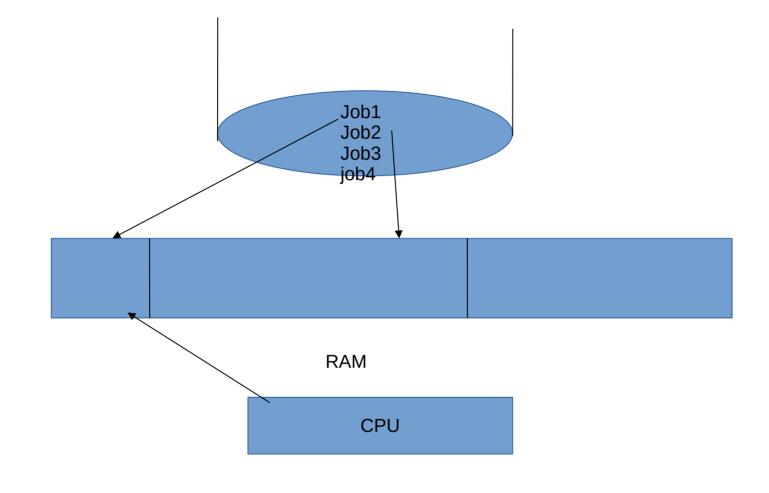
OS Components

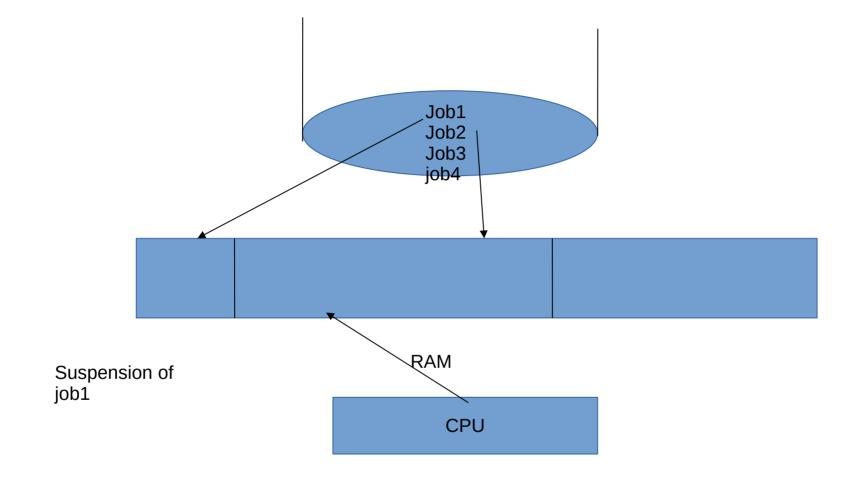
1. Process management:

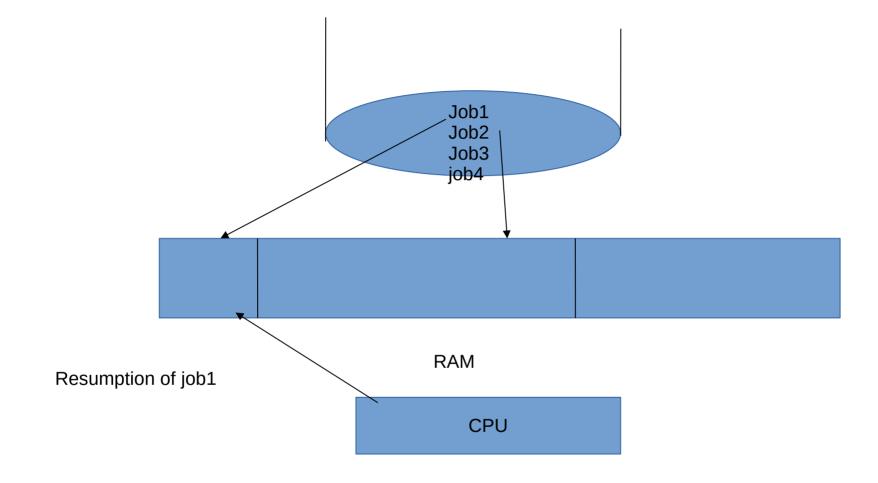
- Creation and deletion of process.
- Suspension and resumption of process.
- Process communication.
- Deadlock handling.

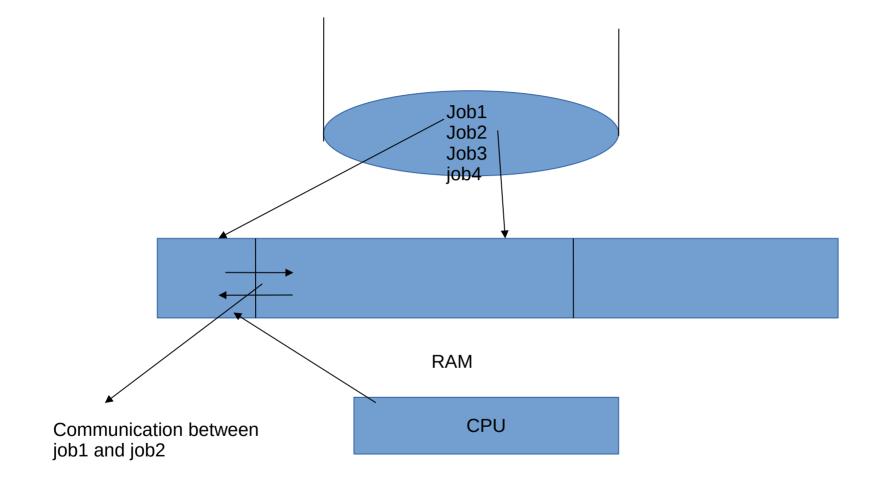




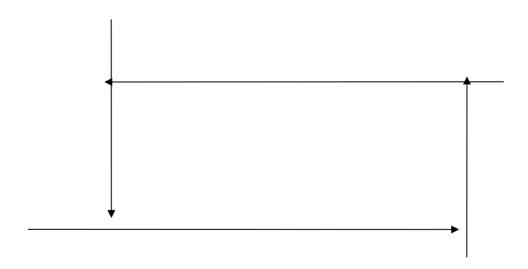








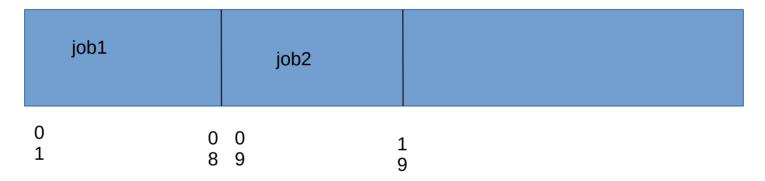
DEADLOCK????



OS Components

2. Main memory management:

- Keep track of which part of the memory are currently being used by whom.
- Decide which processes are to be loaded into memory when memory space becomes available.
- Allocate and deallocate memory space as needed.



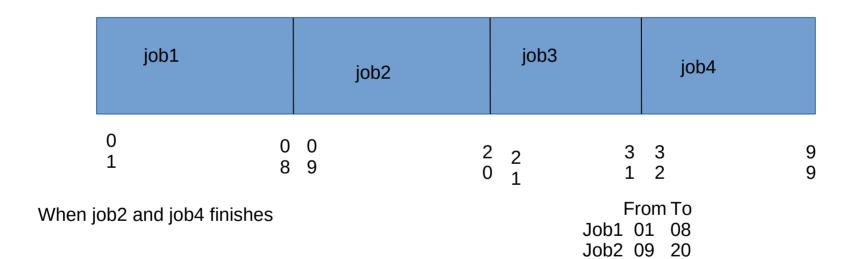
From To Job1 01 08 Job2 09 19



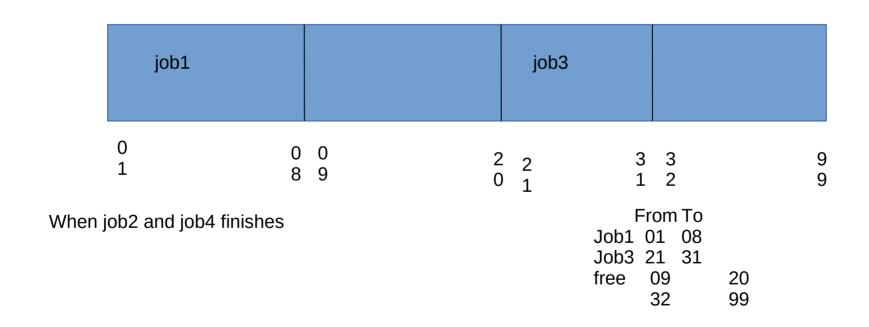
When job2 finishes

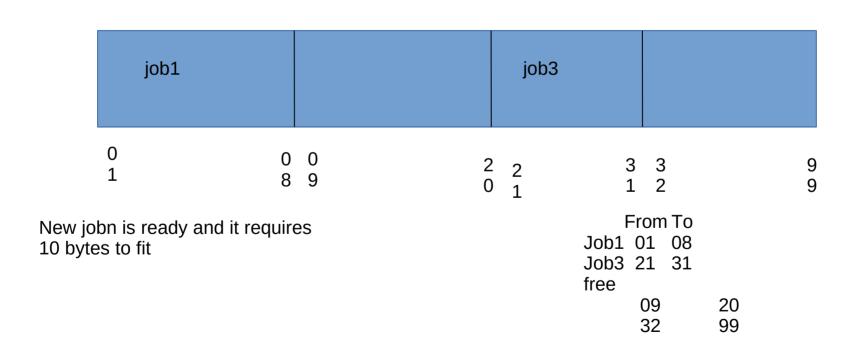
From To Job1 01 08

Free 09 99



Job3 21 31 Job4 32 99





3. File Management

- The creation and deletion of files.
- The creation and deletion of directories.
- Modifying a file.
- Mapping of files into secondary storage.

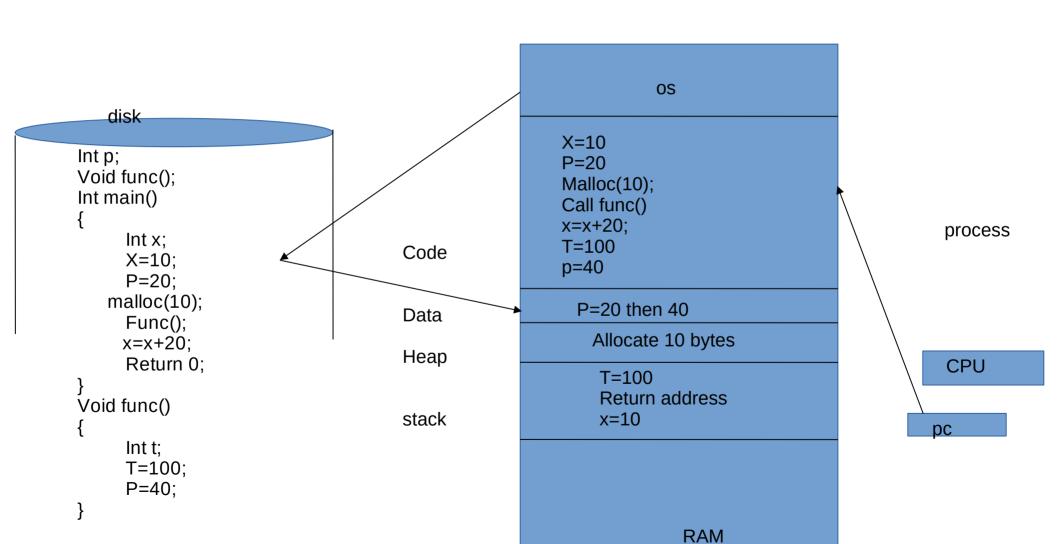
I/O system management

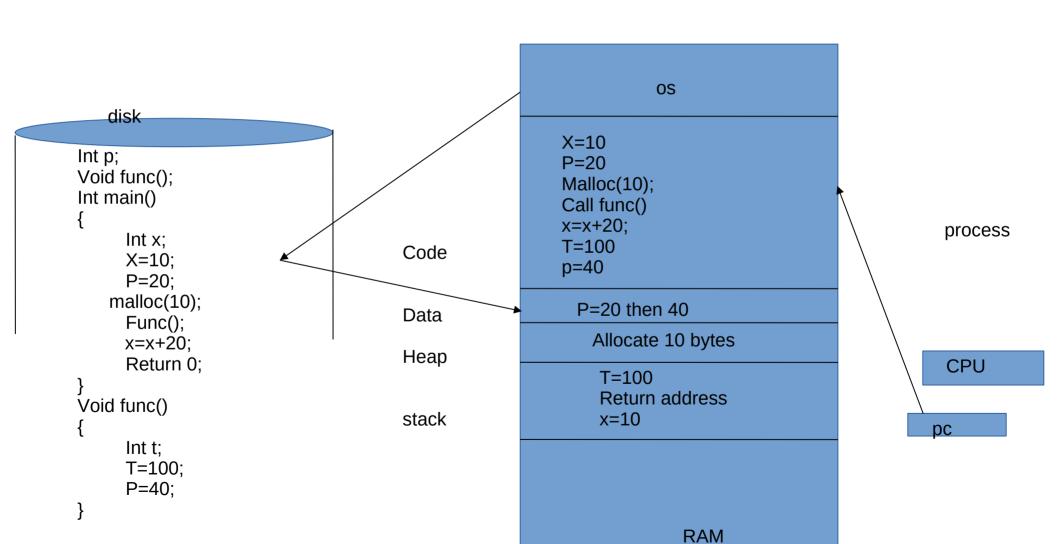
- General device driver interface.
- Drivers for specific hardware devices.
- If a printer is shared between more than one machines then buffering of document to be print.

- Networking
- Secondary storage management.
- Protection system.
- Command line interpreter.

OS services

- Program execution.
- I/O operation.
- File system manipulation.
- Communication.
- Error detection.
- Resource allocation.
- Accounting.
- Protection.





- Os processes execute system code and user processes execute user code.
- The term job and process are used interchangeably.
- Two processes may be associated with the same program. But they are two separate execution sequence.

PROCESS STATE

New:

A process is being created.

Running:

Instructions are being executed.

Waiting:

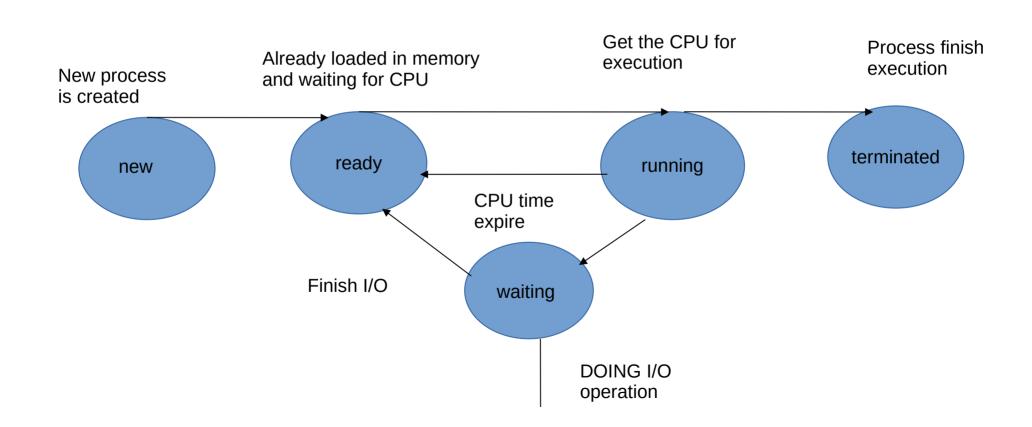
The process is waiting for some event to occur (such as an I/O completion).

Ready:

The process is waiting to be assigned to a processor.

Terminated:

The process has finished execution.

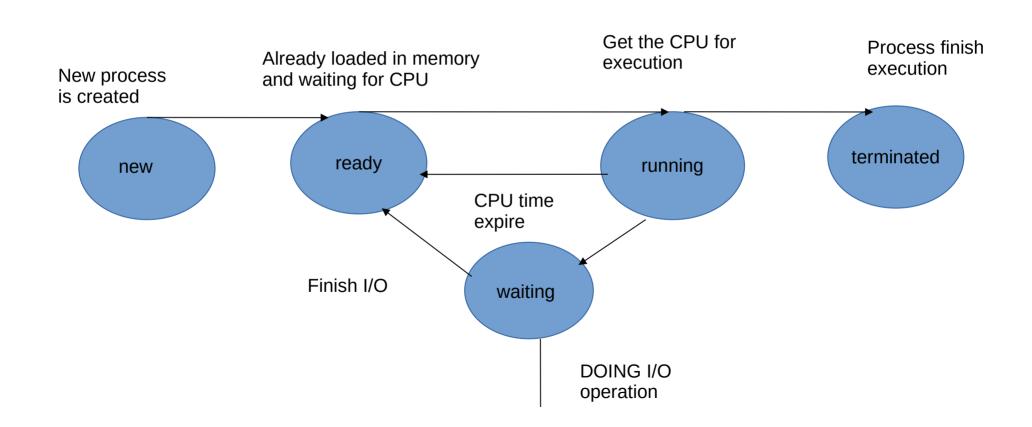


Process Control Block

Each process is represented in the OS by a process control block(PCB).

It contains many peace of information:

- Process state: new/ready/running/.....
- Program counter information: Address of the next instruction to be execute.
- CPU registers: Registers may be very in numbers.
- CPU scheduling information: Process priority.
- Memory management information: This may include the value of the base and limit address and other informations: page table, segment table etc.
- Account information: This includes the amount of CPU and real time used, process number etc..
- I/O status information: This includes the list of I/O devices allocated to this process.

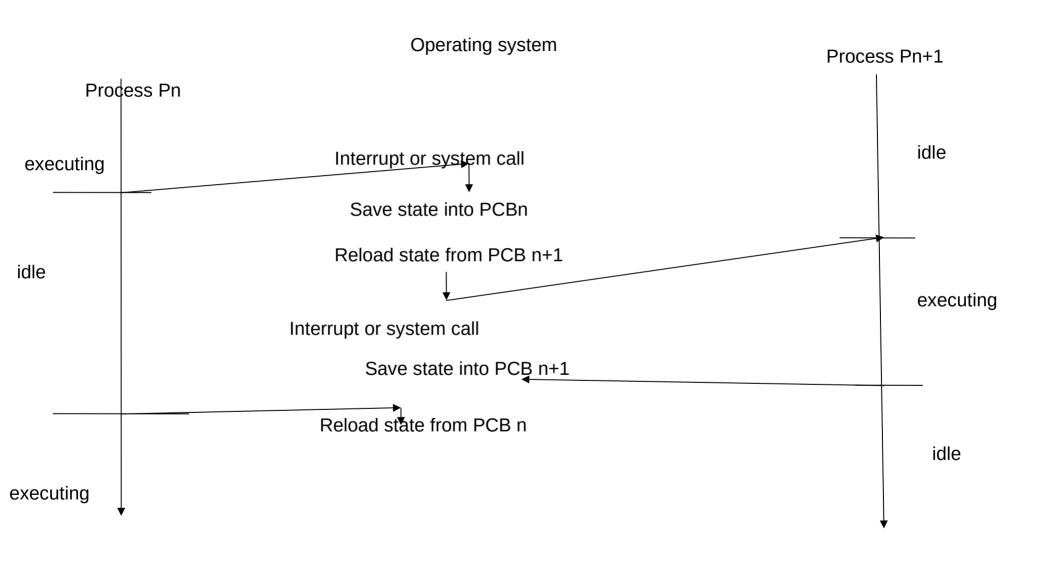


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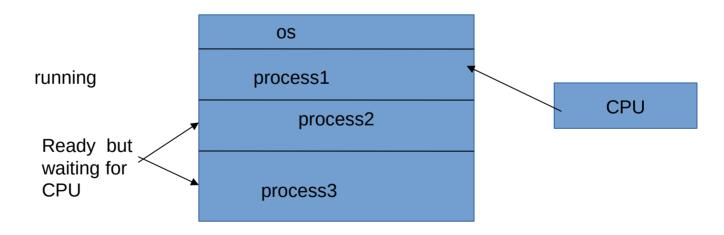
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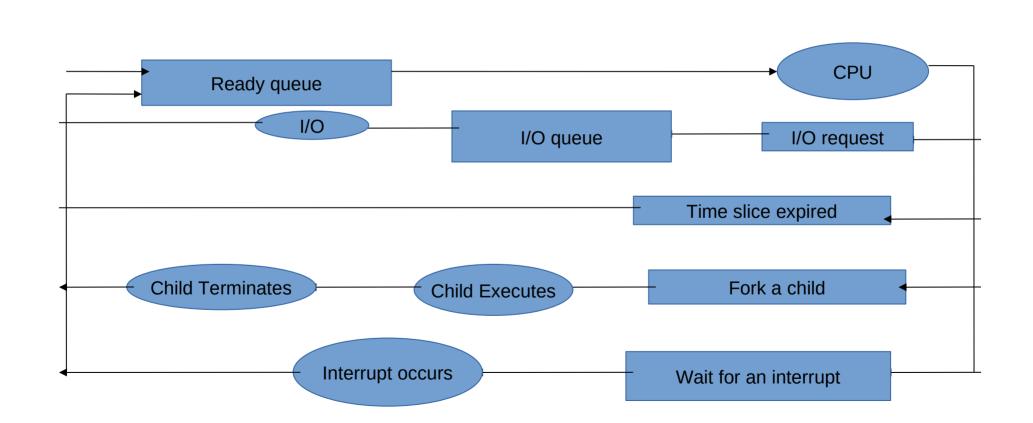
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Process Scheduling

- For a uniprocessor system there will never be more than one running process.
- If there are more processes, the rest have to wait until the CPU is free and can be rescheduled.





Job queue: As processes enter into the system, they are kept in this queue.

Ready queue: This queue consists of all processes that are ready and waiting to be executed.

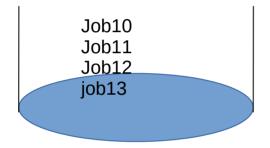
Device queue: This queue consist of all processes waiting for the particular I/O device. Thus there is a device queue for each device.

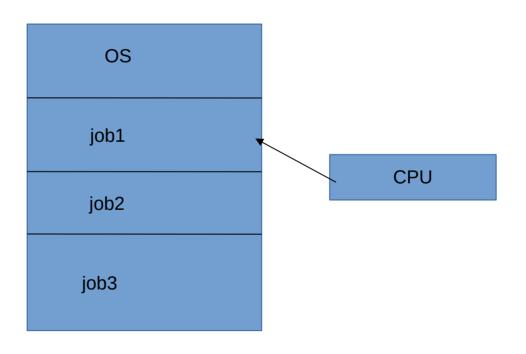
Once a process is executing one of the following events can occur

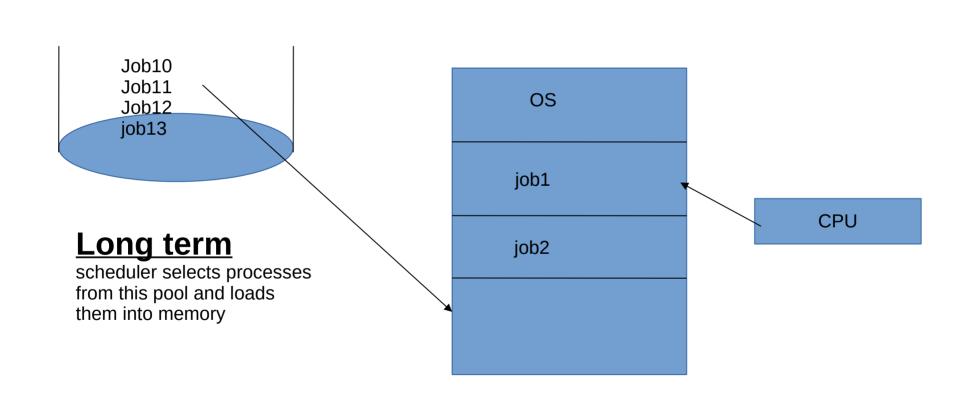
- 1. The process could issue an I/O request and then be placed in an I/O queue.
- 2. The CPU time expire(2 sec for each process).
- 3. The Process could create a new sub process and wait for its termination.
- 4. The process could be removed forcibly from the CPU as a result of an interrupt and be put back in the ready queue.

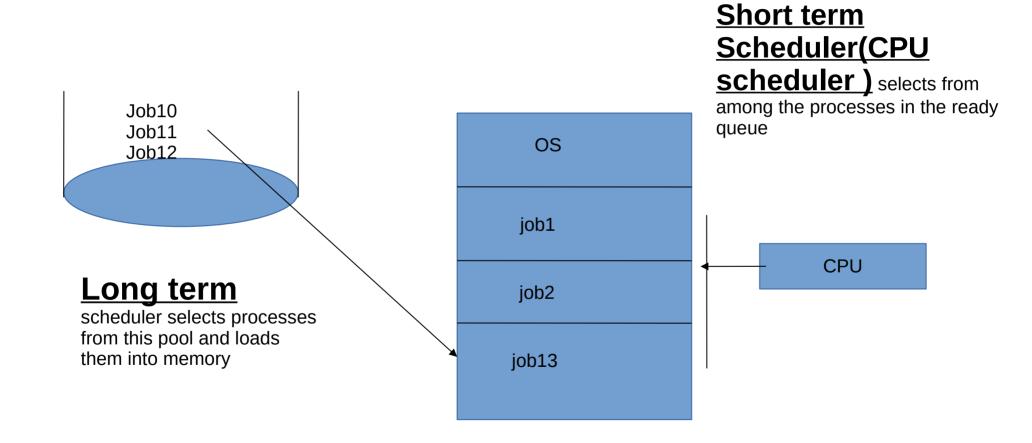
There are sometimes more processes submitted than can be executed immediately.

These processes are kept in a storage device (usually a disk) for later execution.









. Long term scheduler executes less frequently than the short term scheduler.

. Long term scheduler controls the degree of multiprogramming.

I/O and CPU bound process

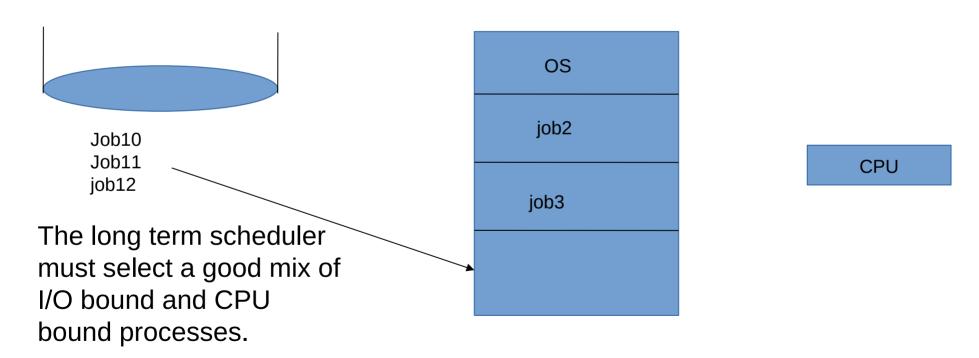
```
#include<stdio.h>
                                       #include<stdio.h>
Int main()
                                         int main()
                                               int q;
    Int p;
    P=10;
                                                p=100;
    printf("\n initial value=%d",p);
                                                    while(1)
   While(1)
                                                                       printf("\n hello");
               p=p+1;
```

If all processes are CPU bound processes then the I/O devices sit idle.

• If all processes are I/O bound processes then the CPU sit idle.

Utilization Problem.

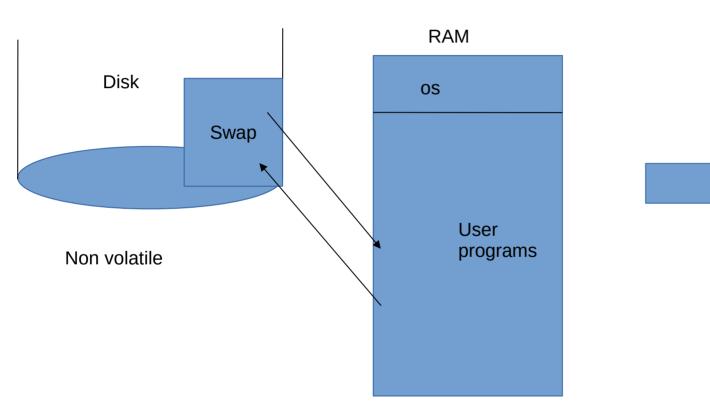
The long term scheduler is responsible for solving this problem.



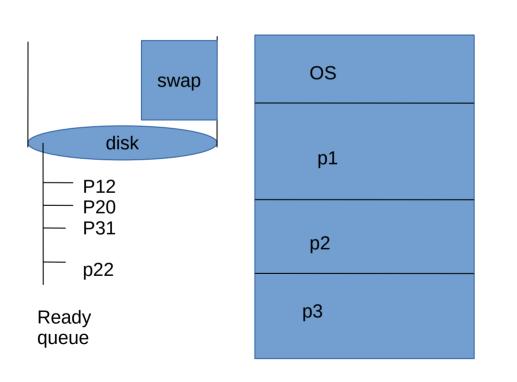
Mid Term Scheduler

Swapping

Hibernate

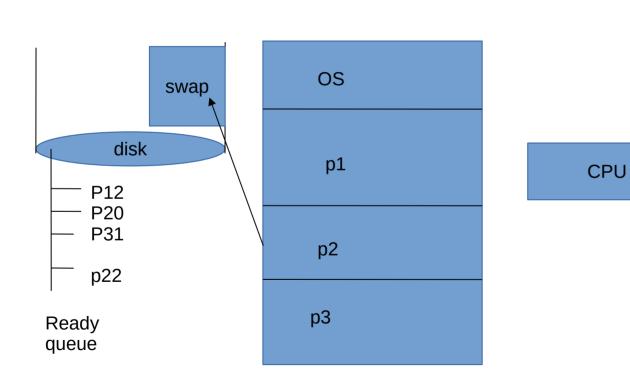


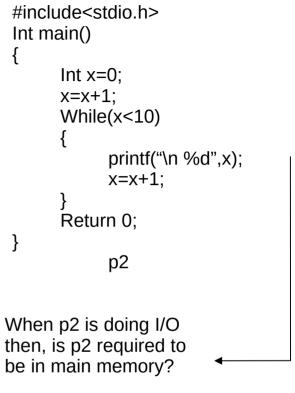
CPU



```
#include<stdio.h>
Int main()
{
         Int x=0;
         x=x+1;
         While(x<10)
         {
             printf("\n %d",x);
            x=x+1;
         }
         Return 0;
}</pre>
```

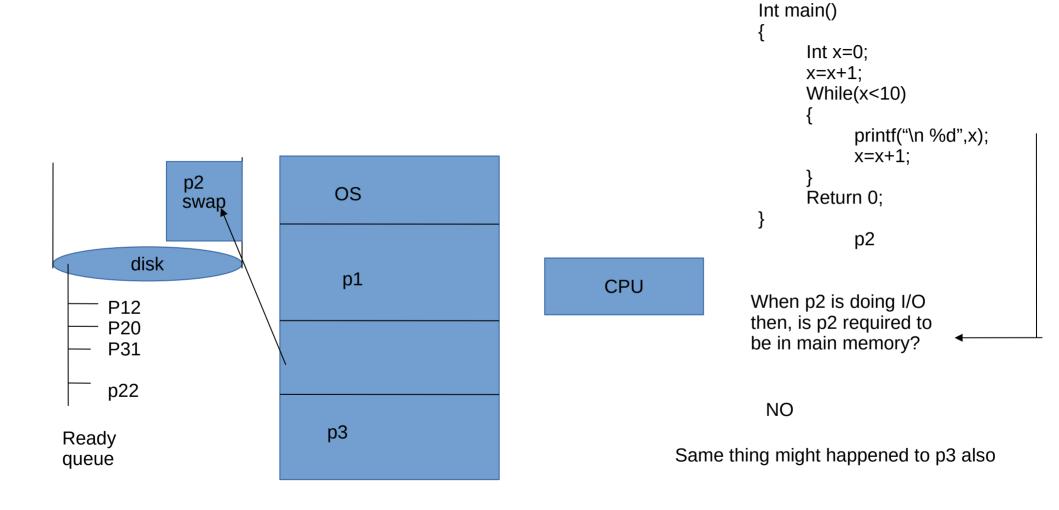
CPU

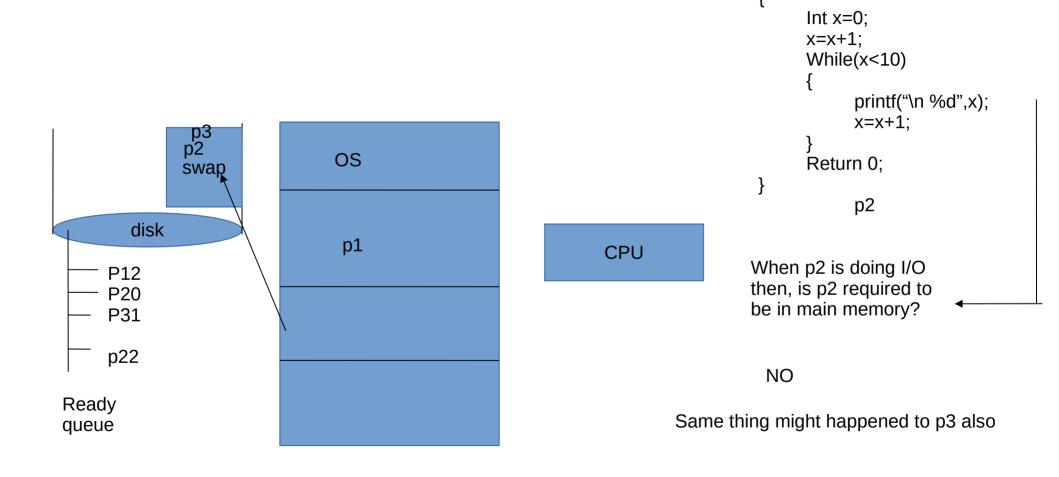




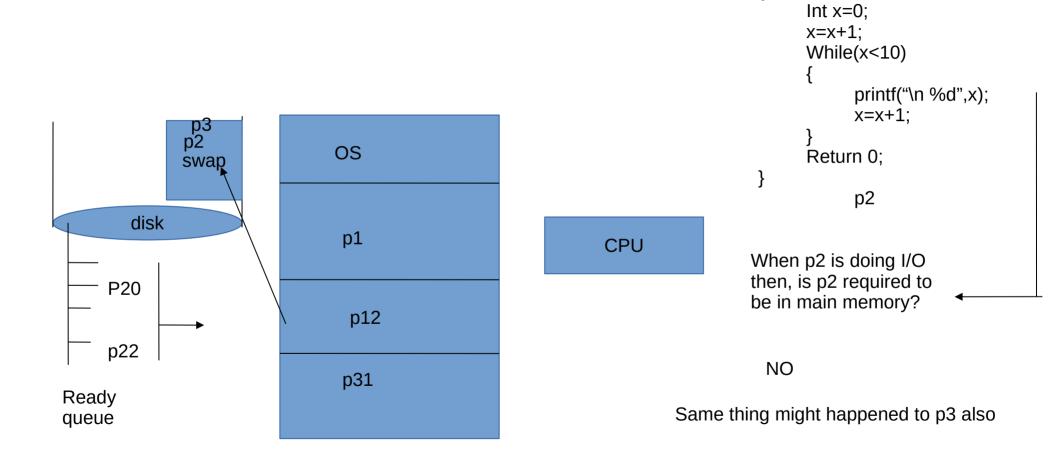
then, is p2 required to

NO



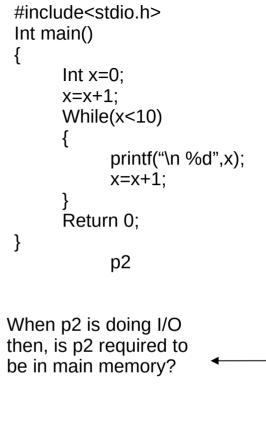


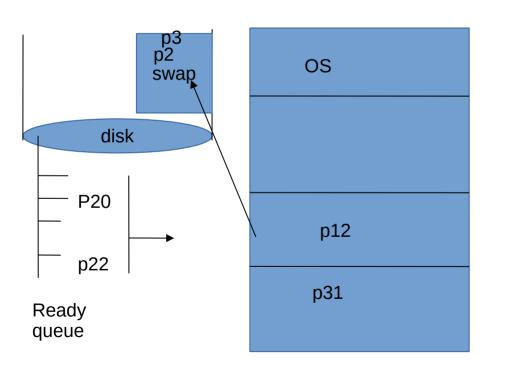
Int main()



Int main()

In the free memory only one can be fitted.. who will get the chance to be in main memory? By the time p1 finish its operation and both p2 and p3 finish I/O..

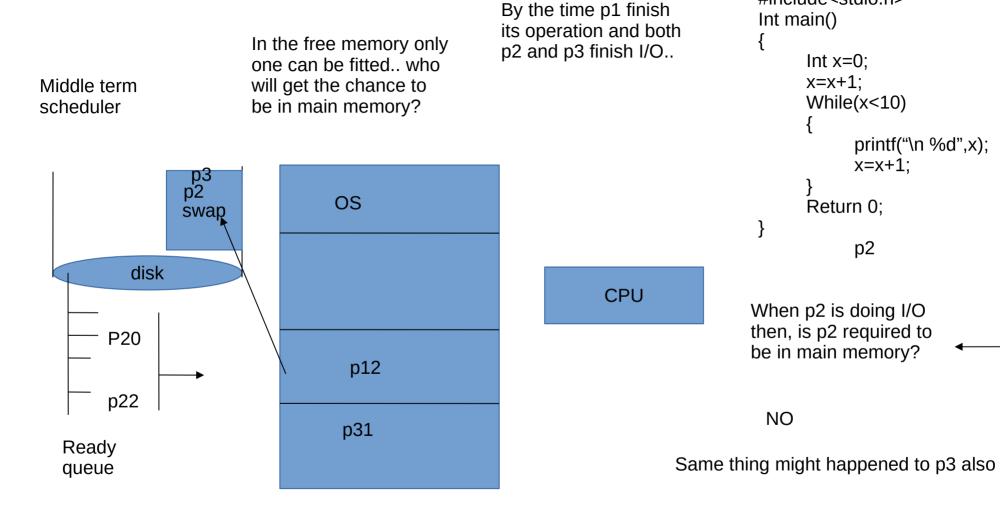




CPU When puthen, is

NO

Same thing might happened to p3 also



Process synchronization

A Co-operating process is one that can effect or be effected by the other co-operating processes executing in the system.

Co-operating process often share some common storage that can be read or write by both processes.

Storage may be a variable or a file

Concurrent access of the storage may result in data OS

inconsistency.

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The Producer and consumer Problem

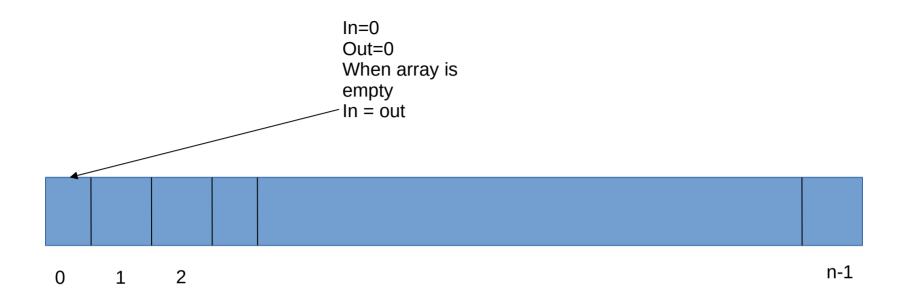
Both producer and consumer processes share the following variables:

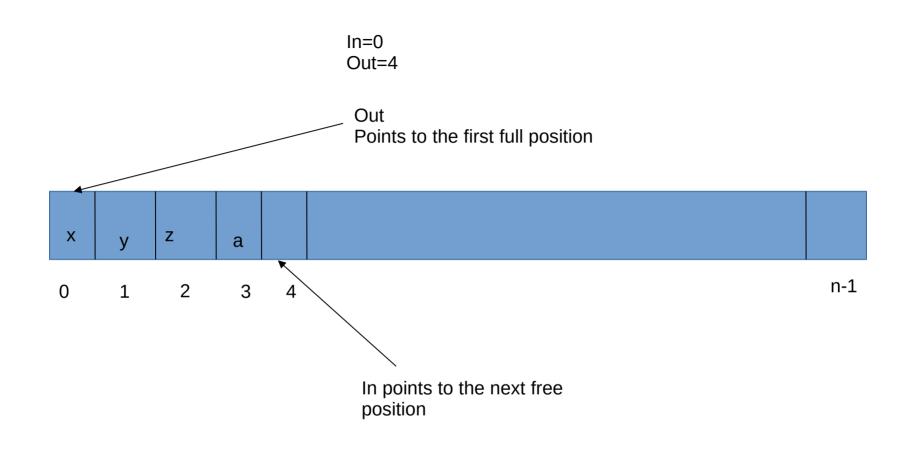
- var n;
- type item=....
- var buffer: array[0..n-1] of item //implemented as circular array with 2 logical pointers in and out
- in,out: 0 ... n-1 //initialized to 0

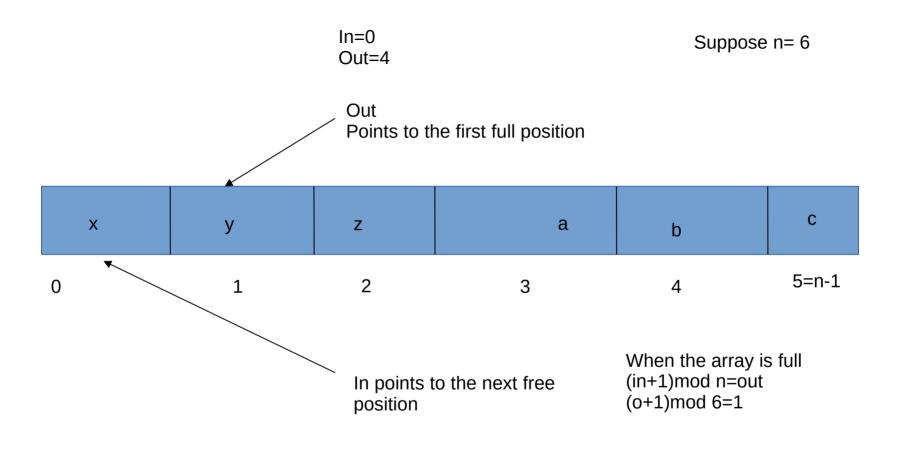
in points to next free position in the buffer and out points to the first full position in the buffer.

The buffer is empty when in =out;

The buffer is full when (out+1)mod n= in;







The no-op is a do nothing instruction Nextp is a local variable in which the new item produces is stored.

Code for producer

Repeat.....

Produce an item in nextp......

While (in+1)mod n=out do no-op//while buffer is full

buffer[in]=nextp

in=(in+1)mod n;

Until false

Nextc is a local variable in which the item to be consumed is stored.

```
Repeat
While in=out do no-op; //while buffer is empty
nextc=buffer[out];
out=(out+1)mod n;
Consume the next item in nextc;
Until false
```

This algorithm allows at most n-1 items in the buffer at the same time.

Some one need to count the number of items present in the array at a particular time.

Use a counter variable by both processes.

- Every time a new item is added counter is incremented by 1.
- Every time an item is removed from the array counter is decremented by 1.

Code for producer

Repeat.....

Produce an item in nextp......

While (in+1)mod n=out do no-op//while buffer is full

buffer[in]=nextp

in=(in+1)mod n;

counter=counter+1;

Until false

Consumer code

Repeat

While in=out do no-op; //while buffer is empty

nextc=buffer[out];

out=(out+1)mod n;

counter=counter-1;

.

Consume the next item in nextc;

Until false

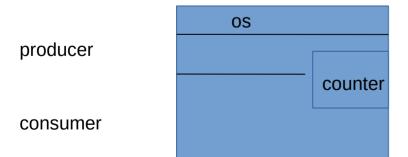
counter=counter+1; counter=counter-1;

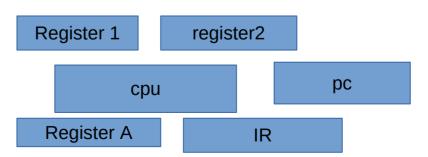
In machine language that statements are implemented as:

register1=counter; register2=counter;

register1=register1+1; register2=register2—1;

counter=register1; counter=register2;





Concurrent execution of producer and consumer

```
Remember CPU switch from one job to another in multi tasking? (2 sec for each process)......
Lets begin .... assume counter = 4 at that moment and a new item is added first then another consumed next....
T0: producer: execute : register1=counter [register1=4]
T1: producer: execute : register1=register1+1 [register1=5]
CPU switches from producer to consumer
T2: consumer: execute: register2=counter [register2=4]
T3: consumer: execute: register2=register2-1 [register2=3]
CPU switches from consumer to producer
T4: Producer: execute: counter=register1 [counter= 5]
T5: one more statement in producer executes
CPU switches from producer to consumer
T6: consumer : executes : counter=register2 [counter=3]
T7: consumer executes one more statement...
```

We have come to an incorrect state counter=3, the correct is counter=4;

BUT WHY?

We allow both the processes to manipulate the shared variable counter concurrently

A situation where more than one processes access the same variable simultaneously and the outcome of the execution depends on the particular order in which the access takes place is called a RACE CONDITION

Solution?

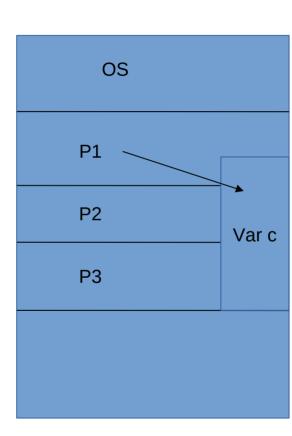
Ensure that only one process at a time can access/ manipulate the shared variables/files.....

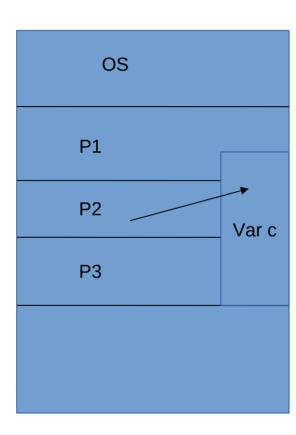
So some kind of synchronization required. That is known as process synchronization.

Since OS shares different resources so it should be taken care by the OS.

The Critical Section Problem

- . Consider a system consisting of n processes .
- . Each process has a segment code called critical section in which the process may be changing common variables, updating a table, writing a file and so on.
- . When a process is executing in its critical section no other process is allowed to execute in its critical section.



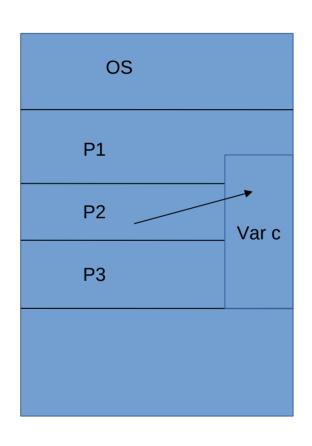


Each process must request permission to enter its critical section.

This section of code is known as entry section.

The critical section is followed by exit section.

Process P2 want to enter the CS



Entry section (To take the permission to enter in to the CS and it ensures that no one is in

c=c+1;

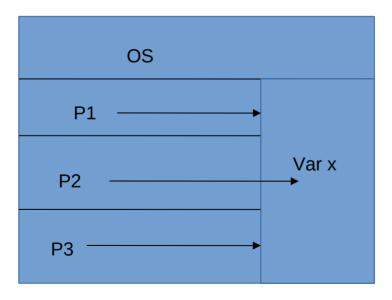
Exit section (To release the control of CS to others, so that others can enter the CS)

Remainder section

CS)

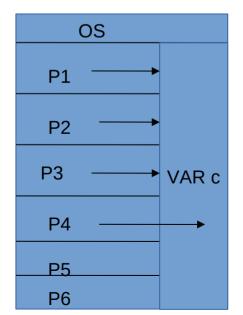
Mutual Exclusion

If a process P1 is executing in its Critical section then no other process can be executing in its CS.



Progress

If no process is executing in its cs and there exist some processes that wish to enter their cs then only those processes that are not executing in their remainder section can participate in the decision of which will enter its CS next and this selection can not be postponed indefinitely.



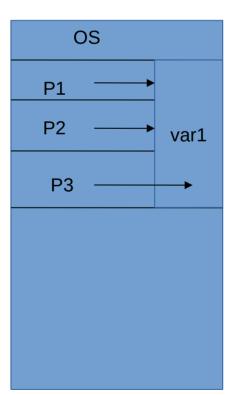
P5 and P6 finish executing their CS

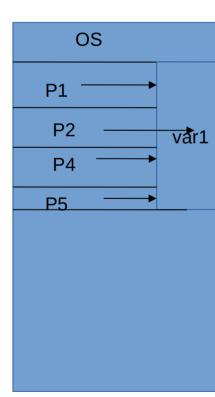
P1, P2, P3 are trying to enter their CS, When P4 finish its CS

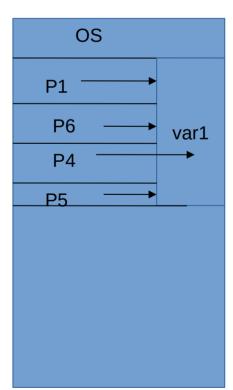
P4 will make the decision who will enter the CS next

Bounded Waiting

There must exist a bound on the number of times that other processes are allowed to enter their CS after a process has made a request to enter its CS and before that request is granted.







P1 is always waiting for CSit should not be like this way....there should be a time limit

Entry section

Critical section

Exit section

Remainder section

Solution 1 var turn=0/1(turn is a atomic instruction)

P1 P2

Repeat repeat

While turn!=0 do no_op while turn!=1 do no_op

CS

Turn=1 turn=0

Remainder section remainder section

Disadvantage?

It requires strict alteration, E.g. if turn=0 and process 2 is ready to enter the CS, process 2 can not do so even though process 1 may be in its remainder section.

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Turn=1 turn=0

Remainder section remainder section

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Var array flag[0..1] of boolean P1 P0 flag[0]=1flag[1]=1 While flag[1]==1 do while flag[0]==1 do no op no op CS CS flag[1]=0 Flag[0]=0Remainder section remainder section

Solution

replace turn with an array

Flag[0]=1 means that process 0 is ready to enter the CS. But flag[0]=0 means that it is no longer needed to be in its

Disadvantage

p0 sets flag[0]=1

P1 sets flag[1]=1

P0 and p1 will be looping forever in their while statement.

Algo-3 By combining the key idea of algo1 and 2 we got a correct solution

```
P0
                                                                    p1
Flag[0]=1
                                                              flag[1]=1
Turn=1
                                                              turn=0
While (flag[1]==1 \text{ and turn}==1)
                                                      while(flag[0]==1 and turn==0){
                                                              do no op}
Do no op }
CS
                                                                 CS
Flag[0]=0
                                                              flag[1]=0
Turn = 1
                                                              turn=0
```

N processes

var

number:array[0...n-1] of integer (a,b)<(c,d) if a<c or if a=c and b<d max(a0,...an-1) is a number, k such that k>=ai for i=0...n-1