FINplus Standards Release 2023

This document describes the Standards Release (SR) for FINplus

June 2023

Table of Contents

Preface

About this document

This document describes the messages deployed in the FINplus service as part of Standards Release 2023.

Deployment pilot future (swift.finplus!pf) – 22nd of July 2023
Deployment live (swift.finplus) & Deployment pilot current (swift.finplus!pc) – 19th of November 2023

Please note:
- All FINplus messages require RMA to the exception of the tracking (trck) messages where no RMA is required.
- The policy of the FINplus service is to support only one version of a message at any one time in the Live environment. The old version of a message is withdrawn from the FINplus service at the same time as the new version becomes available for live use. There is an exception for the penalties reporting message where the DRAFT4 and DRAFT5 versions of the semt.044 coexist.

Documentation:
FINplus service description: click here
FINplus messages introduction dates: click here
MyStandards Securities messages landing page: click here
MyStandards CBPR+ messages landing page: click here

1 New messages introduced in FINplus Live with the Standards Release 2023

1.1 CBPR+ messages

Request Types (RT)	Message name	Version	Request Subtype
camt.055	Customer Payment Cancellation Request	camt.055.001.08	swift.cbprplus.01
camt.058	Notification To Receive Cancellation Advice	camt.058.001.08	swift.cbprplus.01
camt.107	Cheque Presentment Notification	camt.107.001.01	swift.cbprplus.01
camt.108	Cheque Cancellation Or Stop Request	camt.108.001.01	swift.cbprplus.01
camt.109	Cheque Cancellation Or Stop Report	camt.109.001.01	swift.cbprplus.01
pacs.003	FI To FI Customer Direct Debit	pacs.003.001.08	swift.cbprplus.01
pacs.010	Financial Institution Direct Debit [1]	pacs.010.001.03	swift.cbprplus.col.01
pain.008	Customer Direct Debit Initiation	pain.008.001.08	swift.cbprplus.01

1.2 Other SCoRE (Single Collateral Management Rulebook for Europe) messages

Request Types	Message name	Version	comment
admi.005	Report Query Request	admi.005.001.01	New message as part of the SCoRE (Single Collateral Management Rulebook for Europe) initiative.
camt.011	Modify Limit	camt.011.001.07	New message as part of the SCoRE (Single Collateral Management Rulebook for Europe) initiative.
camt.025	Receipt	camt.025.001.05	New message as part of the SCoRE (Single Collateral Management Rulebook for Europe) initiative.
camt.050	Liquidity Credit Transfer	camt.050.001.05	New message as part of the SCoRE (Single Collateral Management Rulebook for Europe) initiative.

1.3 Tracking

Request Types (RT)	Message name	Version	Request Subtype
trck.005	Tracker Investigation Status Notification	SWIFT GPI	swift.invgmt.01

---

[1] This UG covers a scenario specific to Central Counterparty (CCP) for margin payments

2 Existing messages changing in FINplus __Live__ with the Standards release 2023

2.1 Securities – Collateral

Request Types	Message name	Version	comment
colr.003	Margin Call Request	colr.003.001.05	New version of the message
colr.004	Margin Call Response	colr.004.001.05	New version of the message
colr.006	Collateral Management Cancellation Status	colr.006.001.05	New version of the message
colr.007	Collateral Proposal	colr.007.001.06	New version of the message
colr.008	Collateral Proposal Response	colr.008.001.06	New version of the message
colr.009	Margin Call Dispute Notification	colr.009.001.05	New version of the message
colr.010	Collateral Substitution Request	colr.010.001.05	New version of the message
colr.011	Collateral Substitution Response	colr.011.001.05	New version of the message
colr.012	Collateral Substitution Confirmation	colr.012.001.05	New version of the message
colr.013	Interest Payment Request	colr.013.001.05	New version of the message
colr.014	Interest Payment Response	colr.014.001.05	New version of the message
colr.015	Interest Payment Statement	colr.015.001.05	New version of the message
colr.016	Collateral And Exposure Report	colr.016.001.05	New version of the message

2.2 Securities – Corporate Actions

Request Types	Message name	Version	remark
seev.031	Corporate Action Notification	seev.031.001.13	New version of the message
seev.035	Corporate Action Movement Preliminary Advice	seev.035.001.14	New version of the message
seev.036	Corporate Action Movement Confirmation	seev.036.001.14	New version of the message
seev.037	Corporate Action Movement Reversal Advice	seev.037.001.14	New version of the message
seev.039	Corporate Action Cancellation Advice	seev.039.001.12	New version of the message
seev.040	Corporate Action Instruction Cancellation Request	seev.040.001.12	New version of the message
seev.041	Corporate Action Instruction Cancellation Request Status Advice	seev.041.001.13	New version of the message
seev.044	Corporate Action Movement Preliminary Advice Cancellation Advice	seev.044.001.12	New version of the message

Please note - formalised DTCC supplementary data may be used with some of these seev messages. Refer to annex for more details.

2.3 Securities – General Meeting (Proxy Voting)

Request Types	Message name	Version	remark
seev.001	Meeting Notification	seev.001.001.10	New version of the message
seev.002	Meeting Cancellation	seev.002.001.09	New version of the message
seev.003	Meeting Entitlement Notification	seev.003.001.09	New version of the message
seev.004	Meeting Instruction	seev.004.001.09	New version of the message
seev.005	Meeting Instruction Cancellation Request	seev.005.001.09	New version of the message
seev.006	Meeting Instruction Status	seev.006.001.09	New version of the message
seev.007	Meeting Vote Execution Confirmation	seev.007.001.09	New version of the message
seev.008	Meeting Result Dissemination	seev.008.001.08	New version of the message

2.4 Securities – Shareholder Identification Disclosure

Request Types	Message name	Version	remark
seev.045	Shareholders Identification Disclosure Request	seev.045.001.04	New version of the message

2.5 Securities – Management

Request Types	Message name	Version	remark
semt.001	Securities Message Rejection	semt.001.001.04	New version of the message

2.6 Other SCoRE (Single Collateral Management Rulebook for Europe) messages

Request Types	Message name	Version	remark
camt.077	Billing Report	DRAFT7camt.077.001.01	New DRAFT version of the message
reda.024	Collateral Value Creation Request	DRAFT8reda.024.001.01	New DRAFT version of the message
reda.025	Eligible Securities Creation Request	DRAFT8reda.025.001.01	New DRAFT version of the message
reda.028	Collateral Data Status Advice	DRAFT8reda.028.001.01	New DRAFT version of the message
reda.075	Eligible Securities Deletion Request	DRAFT2reda.075.001.01	New DRAFT version of the message
reda.077	Close Link Deletion Request	DRAFT2reda.077.001.01	New DRAFT version of the message

3 Existing messages <u>without any changes</u> in FINplus Live and Pilot current and future with the Standards release 2023

3.1 CBPR+ messages

Request Types (RT)	Message name	Version	Request Subtype
camt.029	Resolution Of Investigation	camt.029.001.09	swift.cbprplus.02
camt.052	Bank To Customer Account Report	camt.052.001.08	swift.cbprplus.02
camt.053	Bank To Customer Statement	camt.053.001.08	swift.cbprplus.02
camt.054	Bank To Customer Debit Credit Notification	camt.054.001.08	swift.cbprplus.02
camt.056	FI To FI Payment Cancellation Request	camt.056.001.08	swift.cbprplus.02
camt.057	Notification To Receive	camt.057.001.06	swift.cbprplus.02
camt.060	Account Reporting Request	camt.060.001.05	swift.cbprplus.02
pacs.002	Payment Status Report	pacs.002.001.10	swift.cbprplus.02
pacs.004	Payment Return	pacs.004.001.09	swift.cbprplus.02
pacs.008	FI To FI Customer Credit Transfer	pacs.008.001.08	swift.cbprplus.02
pacs.008 STP	FI To FI Customer Credit Transfer STP	pacs.008.001.08	swift.cbprplus.stp.02
pacs.009	Financial Institution Credit Transfer	pacs.009.001.08	swift.cbprplus.02
pacs.009 ADV	Financial Institution Credit Transfer Advise	pacs.009.001.08	swift.cbprplus.adv.02
pacs.009 COV	Financial Institution Credit Transfer Cov	pacs.009.001.08	swift.cbprplus.cov.02
pacs.010	Financial Institution Direct Debit	pacs.010.001.03	swift.cbprplus.02
pain.001	Customer Credit Transfer Initiation	pain.001.001.09	swift.cbprplus.02
pain.002	Payment Status Report	pain.002.001.10	swift.cbprplus.02

3.2 SWIFT Go

Request Types (RT)	Message name	Version	Request Subtype
pacs.008 Go	FI To FI Customer Credit Transfer Go	pacs.008.001.08	swift.gpi.swiftgo.01

3.3 Securities – Clearing

Request Types	Message name	Version	remark
secl.001	Trade Leg Notification	secl.001.001.03	
secl.002	Trade Leg Notification Cancellation	secl.002.001.03	
secl.003	Trade Leg Statement	secl.003.001.03	
secl.004	Net Position	secl.004.001.03	
secl.005	Margin Report	secl.005.001.02	
secl.006	Default Fund Contribution Report	secl.006.001.02	
secl.007	Buy In Notification	secl.007.001.03	
secl.008	Buy In Response	secl.008.001.03	
secl.009	Buy In Confirmation	secl.009.001.03	
secl.010	Settlement Obligation Report	secl.010.001.03	

3.4 Securities – Collateral

Request Types	Message name	Version	comment
colr.001	Collateral Value Query	colr.001.001.01	
colr.002	Collateral Value Report	colr.002.001.01	
colr.005	Collateral Management Cancellation Request	colr.005.001.06	
colr.019	Triparty Collateral Transaction Instruction	colr.019.001.01	
colr.020	Triparty Collateral Transaction Instruction Processing Status Advice	colr.020.001.01	
colr.021	Triparty Collateral Allegement Notification	colr.021.001.01	
colr.022	Triparty Collateral And Exposure Report	colr.022.001.01	
colr.023	Triparty Collateral Status Advice	colr.023.001.01	
colr.024	Triparty Collateral Allegement Notification Cancellation Advice	colr.024.001.01	

3.5 Securities – Corporate Actions

Request Types	Message name	Version	remark
seev.032	Corporate Action Event Processing Status Advice	seev.032.001.08	
seev.033	Corporate Action Instruction	seev.033.001.12	
seev.034	Corporate Action Instruction Status Advice	seev.034.001.13	
seev.038	Corporate Action Narrative	seev.038.001.07	
seev.042	Corporate Action Instruction Statement Report	seev.042.001.11	

Please note - formalised DTCC supplementary data may be used with some of these seev messages. Refer to annex for more details.

3.6 Securities – Shareholder Identification Disclosure

Request Types	Message name	Version	remark
seev.046	Shareholders Identification Disclosure Request Cancellation Advice	seev.046.001.01	
seev.047	Shareholders Identification Disclosure Response	seev.047.001.02	
seev.048	Shareholder Identification Disclosure Response Cancellation Advice	seev.048.001.01	
seev.049	Shareholder Identification Disclosure Response Status Advice	seev.049.001.01	

3.7 Securities – Market Claims

Request Types	Message name	Version	remark
seev.050	Market Claim Creation	seev.050.001.01	
seev.051	Market Claim Cancellation Request	seev.051.001.01	
seev.052	Market Claim Status Advice	seev.052.001.01	
seev.053	Market Claim Cancellation Request Status Advice	seev.053.001.01	

3.8 Securities – Management

Request Types	Message name	Version	remark
semt.002	Custody Statement Of Holdings	semt.002.001.11	
semt.003	Accounting Statement Of Holdings	semt.003.001.11	
semt.004	Custody Statement Of Holdings Cancellation	semt.004.001.02	

Request Types	Message name	Version	remark
semt.005	Accounting Statement Of Holdings Cancellation	semt.005.001.02	
semt.013	Intra Position Movement Instruction	semt.013.001.06	
semt.014	Intra Position Movement Status Advice	semt.014.001.07	
semt.015	Intra Position Movement Confirmation	semt.015.001.09	
semt.016	Intra Position Movement Posting Report	semt.016.001.09	
semt.017	Securities Transaction Posting Report	semt.017.001.12	
semt.018	Securities Transaction Pending Report	semt.018.001.13	
semt.019	Securities Settlement Transaction Allegement Report	semt.019.001.10	
semt.020	Securities Message Cancellation Advice	semt.020.001.07	
semt.021	Securities Statement Query	semt.021.001.08	
semt.022	Securities Settlement Transaction Audit Trail Report	semt.022.001.05	
semt.023	Securities End Of Process Report	semt.023.001.01	
semt.024	Total Portfolio Valuation Report	semt.024.001.01	
semt.041	Securities Balance Transparency Report	semt.041.001.02	
semt.042	Securities Balance Transparency Report Status Advice	semt.042.001.01	

3.9 Securities – Settlement

Request Types	Message name	Version	remark
sese.020	Securities Transaction Cancellation Request	sese.020.001.07	
sese.021	Securities Transaction Status Query	sese.021.001.06	
sese.022	Securities Status Or Statement Query Status Advice	sese.022.001.06	
sese.023	Securities Settlement Transaction Instruction	sese.023.001.11	
sese.024	Securities Settlement Transaction Status Advice	sese.024.001.12	
sese.025	Securities Settlement Transaction Confirmation	sese.025.001.11	
sese.026	Securities Settlement Transaction Reversal Advice	sese.026.001.10	
sese.027	Securities Transaction Cancellation Request Status Advice	sese.027.001.07	
sese.028	Securities Settlement Transaction Allegement Notification	sese.028.001.10	
sese.029	Securities Settlement Allegement Removal Advice	sese.029.001.06	
sese.030	Securities Settlement Conditions Modification Request	sese.030.001.09	
sese.031	Securities Settlement Condition Modification Status Advice	sese.031.001.09	
sese.032	Securities Settlement Transaction Generation Notification	sese.032.001.11	
sese.033	Securities Financing Instruction	sese.033.001.11	
sese.034	Securities Financing Status Advice	sese.034.001.09	
sese.035	Securities Financing Confirmation	sese.035.001.11	

Request Types	Message name	Version	remark
sese.036	Securities Financing Modification Instruction	sese.036.001.08	
sese.037	Portfolio Transfer Notification	sese.037.001.07	
sese.038	Securities Settlement Transaction Modification Request	sese.038.001.09	
sese.039	Securities Settlement Transaction Modification Request Status Advice	sese.039.001.06	
sese.040	Securities Settlement Transaction Counterparty Response	sese.040.001.04	
sese.041	Buy In Regulatory Advice	sese.041.001.01	
sese.042	Buy In Regulatory Advice Response	sese.042.001.01	

3.10 Securities – CSDR Penalties Reporting

Request Types	Message name	Version	remark
semt.044	Securities Transaction Penalties Report	DRAFT4semt.044.001.01	
semt.044	Securities Transaction Penalties Report	DRAFT5semt.044.001.01	

3.11 Tracking

RMA is not applicable for those messages.

Request Types (RT)	Message name	Version	Request Subtype
trck.001	Payment Status Tracker Update (Universal Confirmation)	SWIFT Community Payment Services	swift.uc.01
trck.001	Payment Status Tracker Update (gFIT)	SWIFT GPI	swift.gpi.gfit.01
trck.001	Payment Status Tracker Update (gCCINST)	SWIFT GPI	swift.gpi.gcctinst.01
trck.001	Payment Status Tracker Update (gCOV)	SWIFT GPI	swift.gpi.gcov.01
trck.001	Payment Status Tracker Update (gCCT)	SWIFT GPI	swift.gpi.gcct.01
trck.001	Payment Status Tracker Update (SWIFTGo)	SWIFT GPI	swift.gpi.swiftgo.01
trck.002	Payment Status Tracker Report (gFIT)	SWIFT GPI	swift.gpi.gfit.01
trck.002	Payment Status Tracker Report (gCCINST)	SWIFT GPI	swift.gpi.gcctinst.01
trck.002	Payment Status Tracker Report (gCOV)	SWIFT GPI	swift.gpi.gcov.01
trck.002	Payment Status Tracker Report (gCCT)	SWIFT GPI	swift.gpi.gcct.01
trck.002	Payment Status Tracker Report (SWIFTGo)	SWIFT GPI	swift.gpi.swiftgo.01
trck.003	Tracker Alert Notifcation	SWIFT GPI	swift.gpi.01
trck.004	Payment Status Customer Tracker Report (g4C)	SWIFT GPI	swift.gpi.g4c.01

Annex - Supplementary Data

Request Types	Message name	Version	remark
supl.001	DTCC Announcement CSD Extension	supl.001.001.15	Associated with: seev.031.002.13
supl.006	DTCC Announcement Cancellation Advice Extension	supl.006.001.11	Associated with: seev.039.002.12
supl.007	DTCC Announcement Eligible Balance Extension	supl.007.001.11	Associated with: seev.031.002.13
supl.008	DTCC Instruction Extension	supl.008.001.07	Associated with: seev.033.002.12
supl.009	DTCC Movement Preliminary Advice Extension	supl.009.001.10	Associated with: seev.035.002.14
supl.010	DTCC Event Processing Status Advice Extension	supl.010.001.09	Associated with: seev.032.002.08
supl.011	DTCC Movement Confirmation Extension	supl.011.001.10	Associated with: seev.036.002.14
supl.025	DTCC Reversal Advice Extension	supl.025.001.04	Associated with: seev.037.002.14
supl.030	DTCC Instruction Status Advice Extension	supl.030.001.07	Associated with: seev.034.002.13
supl.031	DTCC Instruction Statement Report Extension	supl.031.001.06	Associated with: seev.042.002.11
supl.032	DTCC Instruction Cancellation Request Extension	supl.032.001.02	Associated with: seev.040.002.12
supl.033	DTCC Instruction Cancellation Request Status Advice Extension	supl.033.001.03	Associated with: seev.041.002.13
supl.034	DTCC Movement Preliminary Advice Cancellation Advice Extension	supl.034.001.04	Associated with: seev.044.002.12

In green, new version of the supplementary data messages.

Legal Notices

Copyright

SWIFT © 2023. All rights reserved.

Disclaimer

This publication constitutes advance information only and is not to be considered the final and complete standards documentation for the subject matter published herein. The information in this publication may change from time to time. You must always refer to the latest available version.

SWIFT Standards Intellectual Property Rights (IPR) Policy - End-User License Agreement

SWIFT Standards are licensed subject to the terms and conditions of the *SWIFT Standards IPR Policy - End-User License Agreement*, available at www.swift.com > About Us > Legal > IPR Policies > SWIFT Standards IPR Policy.

Translations

The English version of SWIFT documentation is the only official and binding version.

Trademarks

SWIFT is the trade name of S.W.I.F.T. SC. The following are registered trademarks of SWIFT: 3SKey, Innotribe, MyStandards, Sibos, SWIFT, SWIFTNet, SWIFT Institute, the Standards Forum logo, the SWIFT logo, SWIFT gpi with logo, the SWIFT gpi logo and UETR. Other product, service, or company names in this publication are trade names, trademarks, or registered trademarks of their respective owners.