Swift Compatible Application Profile 2023

Provider	**BROADRIDGE FINANCIAL SOLUTIONS LTD**	Application	**FinMApp**
PIC	**CNETGB2L**	Version	**6.3**
Business Area	**Corporates**	Label	**Treasury**

HQ location	New York	Provider contacts	Contact name	e-mail	Phone
Provider contact	Rainer Hill	Global contact	Rainer Hill	rainer.hill@broadridge.com	+49 69 271003 42
Function		Local office 1	James Lorenz	james.lorenz@broadridge.com	+1 845 521 2458
Website	www.broadridge.com	Local office 2	Danny Ong	danny.ong@broadridge.com	+65 9459 3099
Product URL	https://www.broadridge.com/financial-services				

Functional Overview

Standards	MT (FIN payload)	**Yes**
	MX (ISO20022)	**Yes**
	MyStandards Base Libraries embedded	**Yes**
	Other standards (please specify)	
Directories	BIC Directory	**Yes**
	Bank Directory Plus	No
	IBAN Plus	No

Swift Message Type / Category

Corporate-to-bank (C2B)		Bank-to-corporate (B2C)	
MT 101	Yes	MT 199	Yes
MT 199	Yes	MT 940	Yes
MT 210	Yes	MT 942	Yes
MT 999	Yes	MT 999	Yes
MT 300	Yes	MT 300	Yes
MT 320	Yes	MT 320	Yes
MT 399	Yes	MT 399	Yes
MT 104	Yes	MT 195	Yes
MT 192	Yes	MT 196	Yes
MT 195	Yes	MT 900	Yes
MT 196	Yes	MT 910	Yes
MT 920	Yes	MT 941	Yes
		MT 950	Yes

Corporate-to-bank (C2B) and Bank-to-corporate (B2C)			
MT 303	Yes	MT 361	Yes
MT 304	Yes	MT 362	Yes
MT 305	Yes	MT 364	Yes
MT 306	Yes	MT 365	Yes
MT 321	Yes	MT 390	Yes
MT 330	Yes	MT 502	Yes
MT 340	Yes	MT 391	Yes
MT 509	Yes	MT 392	Yes
MT 543	Yes	MT 540	Yes
MT 549	Yes	MT 568	Yes
MT 565	Yes	MT 541	Yes
MT 515	Yes	MT 542	Yes
MT 527	Yes	MT 395	Yes
MT 341	Yes	MT 396	Yes
MT 350	Yes	MT 370	Yes
MT 360	Yes	MT 321	Yes

Integration with Swift

Swift integration	Alliance Access R7.6 or higher	AFT	**Yes**
		MQHA	No
		SOAP	No
	Lite2	Autoclient	**Yes**

Swift Messaging Services Supported

FIN	**Yes**
FileAct - Real Time	**Yes**
FileAct - Store & Forward	**Yes**
InterAct - Real Time	**Yes**
InterAct - Store & Forward	**Yes**
WebAccess	No

Messaging Features

Message creation and processing	**Yes**
Message technical or business activity monitoring (BAM)	**Yes**
Message flow control	**Yes**
Message exception handling	**Yes**
Message reconciliation	**Yes**
Message switching and routing	**Yes**
Message repair and STP enrichment	**Yes**
Message reporting and auditing	**Yes**
Message validation (syntax, XML Schema)	**Yes**
Message validation (SWIFT Network rules or MFVR)	**Yes**
Message validation (market practices)	No
Message translation (MT-MX)	**Yes**
Message transaction management	**Yes**
Message entry (Graphical User Interface)	**Yes**

Additional Initiatives Supported

Enabled for 3SKey approval workflow	No
Regulatory reporting on Swift (EMIR)	Yes

Geographical Reach

Region	Sales staff	Support staff	Customers
North America	Yes	Yes	Yes
Latin America	No	No	Yes
Northern Europe	Yes	Yes	Yes
Southern Europe	Yes	Yes	Yes
Middle-East & Africa	Yes	Yes	Yes
Asia Pacific	Yes	Yes	Yes

Reference Customers

Institution	Customer Contact		
on request	Name	email	
	Name	email	
	Name	email	
	Name	email	