# ECE 449 Machine Learning (ZJU-UIUC Institute) Assignment 3

**Due Date:** May 15th, 2021.

## 1 Overview

Welcome to ECE449 assignment 3!

In this assignment, you will complete 2 Python programming tasks and answer 4 conceptual questions. Assignment 3 has 50 pts in total: 25 pts from programming tasks and 25 pts from conceptual questions.

# 2 Programming Questions

## 2.1 Programming environment update

In assignment 3, you need a new library plotly to visualize your final result. Even if missing plotly will not influence your answer to the question, I would still recommend you to install this to see the final result.

To do this, you may use: pip install plotly

#### 2.2 Programming questions brief descriptions

There are 2 Python notebook files you need to work on in this assignment.

The first Python notebook file, exercise1-template.ipynb, is about support vector machines with 4 functions to implement.

The second Python notebook file, exercise2-template.ipynb, is about PCA and K-means with 7 functions to implement.

The programming part has 25 pts in total.

## 2.3 Autograder notice

We use autograder to grade your implementations, and here are some things we want to highlight:

- 1. Do NOT modify the names of any functions we grade. Autograder will give 0 for functions with names modified.
- 2. Do NOT modify the input interface of any functions, Autograder will give 0 for functions with input interfaces modified. However, in some cases you are allowed to modify the return values of some functions.
- 3. Do NOT import extra libraries. The Python libraries given in the .py scripts should be adequate for you to obtain a correct answer.

## 2.4 Collaboration policy

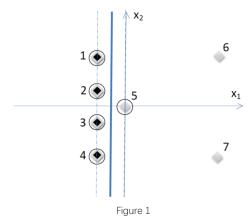
You should not look at python code solutions from other students, the Python implementations should be your own work.

# 3 Conceptual Questions

There are 4 questions you need to answer in the conceptual question part. You can write down your answer on a few sheets of paper and scan them to a single PDF file, or you can use a digital pen to work on these questions and export your handwritten answers to a single PDF file. We also accept answers in pdf format generated using LATEX.

## 3.1 **Problem 1: SVM**[6pts]

The "maximum margin classifier" (also called linear "hard margin" SVM) is a classifier that leaves the largest possible margin on either side of the decision boundary. The samples lying on the margin are called support vectors.



- 3.1.a Draw on Figure 1 the decision boundary obtained by the linear hard margin SVM method with a thick solid line. Draw the margins on either side with thinner dashed lines. Circle the support vectors.
- 3.2.b The removal of which sample will change the decision boundary?

## 3.2 Problem 2: k-means cluster [6pts]

Cluster the following eight points (with (x, y) representing locations) into three clusters: A1(2, 10), A2(2, 5), A3(8, 4), A4(5, 8), A5(7, 5), A6(6, 4), A7(1, 2), A8(4, 9)

Initial cluster centers are: A1(2, 10), A4(5, 8) and A7(1, 2).

The distance function between two points a = (x1, y1) and b = (x2, y2) is defined as-P(a, b) = |x2 - x1| + |y2 - y1|

Use K-Means Algorithm to find the three cluster centers after the second iteration.

## 3.3 Problem 3: PCA algorithm [6pts]

Consider the two dimensional patterns (2, 1), (3, 5), (4, 3), (5, 6), (6, 7), (7, 8).

Compute the principal component using PCA Algorithm.

## 3.4 Problem 4: SVM[7pts]

Assume we have 6 points showing 6 observations in a 2-D Euclidean space as below:

Observation	$X_1$	$X_2$	Y
1	0	2	class 1
2	0	3	class 1
3	1	3	class 1
4	1	1	class 2
5	2	1	class 2
6	2	2	class 2

Using a maximum margin classifier, determine the optimal separating hyperplane and give an equation for it, and determine which observations are the support vectors.

### 4 Submission

You only need to submit 3 files to BlackBoard: the 2 Python notebook files with your programming implementations and a PDF file with your answers to the conceptual questions.

For example, a student whose ID number is 3180100111 should submit the following 3 files:

```
exercise 1\_3180100111.ipynb, exercise 2\_3180100111.ipynb, conceptual\_3180100111.pdf
```

If you want to submit a zip file, please name it as [your\_studentID]\_assignment3.zip. For example, a student whose ID number is 3170100111 should submit the zip file 3170100111\_assignment3.zip to BlackBoard. Please make sure that you add the 3 files directly to your zip file, not a folder containing your 3 files. A quick verification for this is that if you unzip your zip file, the 3 files should appear in the same folder as your zip file. **Even if you submit a zip file, renaming the 3 files inside that zip file is required.** Otherwise our autograder will not be able to recognize the files you submit, which may even result in a 0 score for the file not properly renamed.