

## 7.4

Equation 7.6 gives the probability per unit energy for finding an electron in a given energy state  $E$  at a given temperature  $T$ :

$$P(T, E) = \frac{1}{1 + \exp \{(E - E_F) / kT\}},$$

where  $E_F$  is the Fermi energy and  $k$  is the Stefan-Boltzmann constant and equals  $8.62 \times 10^{-5} \text{ eV K}^{-1}$ .

The bandgap energy for silicon is the difference in energy between the top of the valence band and the bottom of the conduction band  $E_G = E - E_F = 1.12 \text{ eV}$  (Table 7.4).

By taking the ratio of  $P$  at the two temperatures, we can estimate the relative probabilities:

$$P(E = E_G) / P(E = E_F) = \frac{\frac{1}{1 + \exp \{(E - E_F) / kT\}}}{1/2}.$$

Let's make a plot to compare the prediction from Fermi-Dirac statistics (Equation 7.6) to that of Boltzmann (Equation 7.3).

```
In [11]: %matplotlib inline

import matplotlib.pyplot as plt
import numpy as np

StefanBoltzmann_const = 8.62e-5 # eV/K

def FermiDirac_probability(T, E=1.12):
    return 2./(1. + np.exp(E/StefanBoltzmann_const/T))

def Boltzmann_probability(T, E=1.12, gi=1, gj=1):
    return gi/gj*np.exp(-E/StefanBoltzmann_const/T)

temperatures = np.linspace(1., 1000., 1000)
FD_prob = FermiDirac_probability(temperatures)
B_prob = Boltzmann_probability(temperatures)

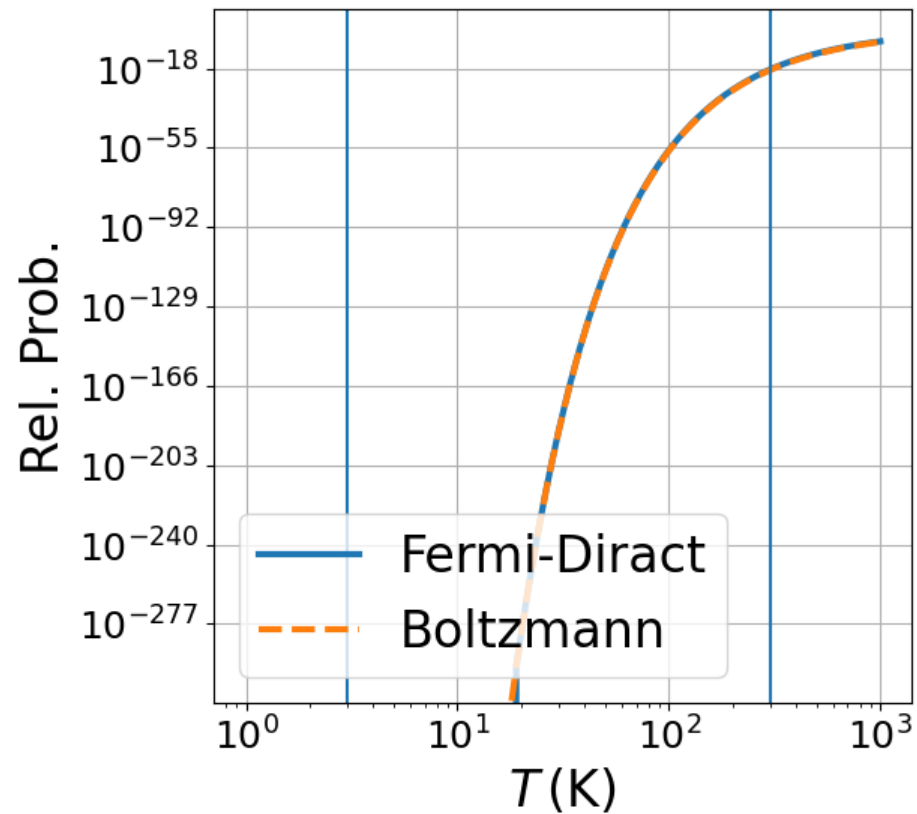
fig = plt.figure(figsize=(6, 6))
ax = fig.add_subplot(111)

ax.loglog(temperatures, FD_prob, lw=3, label="Fermi-Dirac")
ax.loglog(temperatures, B_prob, lw=3, ls='--', label="Boltzmann")

ax.grid(True)
ax.legend(loc='best', fontsize=24)
ax.tick_params(labelsize=18)
ax.set_xlabel(r'$T$, \left( \rm K \right) $', fontsize=24)
ax.set_ylabel("Rel. Prob.", fontsize=24)
ax.axvline(3.)
ax.axvline(300.)
```

```
/var/folders/0p/vptds8v9203dlqkw0pv01n100000gr/T/ipykernel_50529/2356649113.py:10: RuntimeWarning: overflow encountered in exp
    return 2./(1. + np.exp(E/StefanBoltzmann_const/T))
```

Out[11]: <matplotlib.lines.Line2D at 0x7fef9a44700>



You can see that, even for  $T \rightarrow 1000$  K, there is a very small probability to occupy the conduction band.

## 7.5

We're told to assume the electrical conductivity depends on the number density of electrons, which we can estimate as a function of bandgap energy  $E_G$  and temperature  $T$  using Equation 7.9:

$$n_N = AT^{3/2}e^{-\frac{E_G}{kT}}.$$

For silicon,  $E_G = 1.12$  eV and for germanium,  $E_G = 0.67$  eV (Table 7.4).

So we're asked to compare  $n_N$  for  $T = 40$  K and for  $T = 40$  K + 1 K for these two semiconductors. This is a very small difference  $\Delta T/T = 1/40$ , so let's Taylor-expand  $n_N$  about small  $\Delta T$ :

$$(T + \Delta T)^{3/2} = T^{3/2} \left(1 + \frac{\Delta T}{T}\right)^{3/2} \approx T^{3/2} \left(1 + \frac{3\Delta T}{2T}\right)$$

$$\exp\left(-\frac{E}{k(T + \Delta T)}\right) = \exp\left(-\frac{E}{kT} \left(1 + \frac{\Delta T}{T}\right)^{-1}\right) \approx \exp\left(-\frac{E}{kT} \left(1 - \frac{\Delta T}{T}\right)\right) = \exp\left(-\frac{E}{kT}\right) \exp\left(\frac{E}{kT} \frac{\Delta T}{T}\right)$$

$$\approx \exp\left(-\frac{E}{kT}\right) \left(1 + \frac{E}{kT} \frac{\Delta T}{T}\right)$$

$$\Rightarrow T^{3/2} e^{-\frac{E}{kT}} \left(1 + \left(\frac{3}{2} + \frac{E}{kT}\right) \frac{\Delta T}{T}\right).$$

The term on the left outside the parentheses is just number density of electrons at temperature  $T$ , so taking the ratio gives

$$\frac{n_N(T + \Delta T)}{n_N(T)} \approx \left(1 + \left(\frac{3}{2} + \frac{E}{kT}\right) \frac{\Delta T}{T}\right).$$

Again, we can plot this expression.

```

In [20]: %matplotlib inline

import matplotlib.pyplot as plt
import numpy as np

StefanBoltzmann_const = 8.62e-5 # eV/K

def relative_conductivity(Delta_T, E, T=40.):

    return (1. + (3./2 + E/StefanBoltzmann_const/T)*Delta_T/T)

Delta_T = 10*np.linspace(-1, 1, 100)
E_Si = 1.12
E_Ge = 0.67
Si_prob = relative_conductivity(Delta_T, E_Si)
Ge_prob = relative_conductivity(Delta_T, E_Ge)

fig = plt.figure(figsize=(6, 6))
ax = fig.add_subplot(111)

ax.loglog(Delta_T, Si_prob, lw=3, label="Silicon")
ax.loglog(Delta_T, Ge_prob, lw=3, ls='--', label="Germanium")

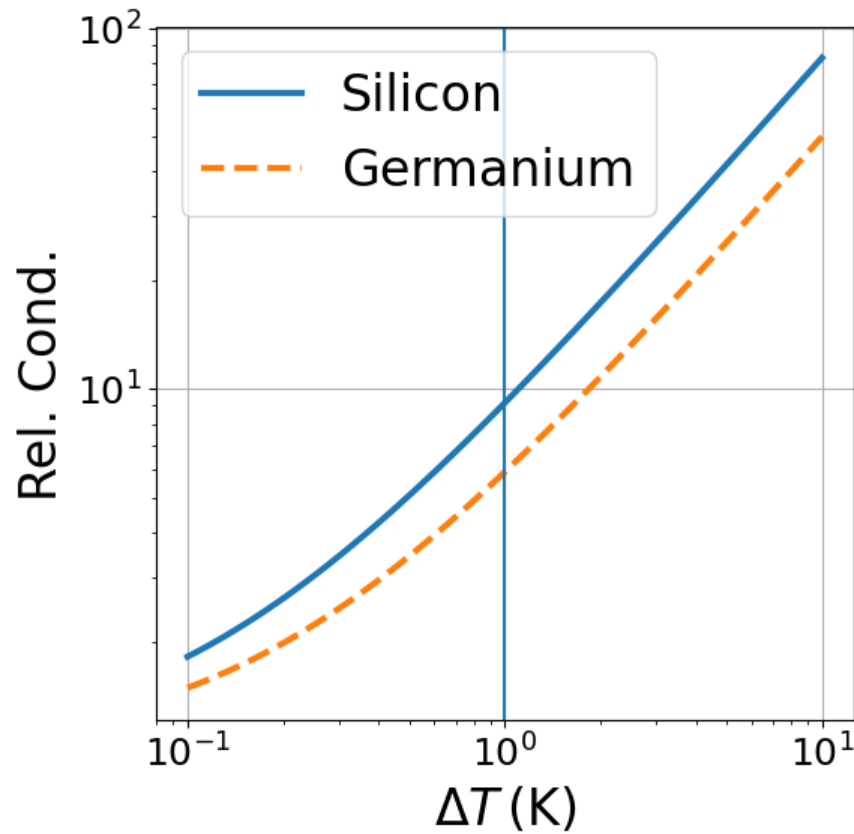
ax.grid(True)
ax.legend(loc='best', fontsize=24)
ax.tick_params(labelsize=18)
ax.set_xlabel(r'$\Delta T$, \left( {\rm K} \right)$', fontsize=24)
ax.set_ylabel("Rel. Cond.", fontsize=24)
ax.axvline(1.)

```

```

Out[20]: <matplotlib.lines.Line2D at 0x7fefdac7dbe0>

```



## 7.6

The quantum efficiency  $q$  is a measure of how readily photons are converted into current by the CCD, and it depends on the surface reflectivity  $R$ , the absorption coefficient  $\alpha$ , and the layer thickness  $z$ .

Equation 7.11 tells us how  $R$  depends on the index of refraction:

$$R = \left( \frac{n_1 - n_2}{n_1 + n_2} \right)^2,$$

where  $n_1$  is the index for air ( $\approx 1$ ) and  $n_2$  is the index for silicon (we're told  $n_2 = 4$  at 500 nm).

With these numbers, we get

$$R = \left( \frac{1 - 4}{1 + 4} \right)^2 = 0.36,$$

which means 36% of the incident energy is reflected, leaving 74% to be absorbed the CCD.

Next, we need to determine the absorption coefficient for silicon at 500 nm. Figure 7.10 gives that information. The figure suggests  $\alpha = 10^4 \text{ cm}^{-1}$ . (Unfortunately, Chromey has a typo in the figure caption. You can see a similar plot with the right units here - <https://www.pveducation.org/pvcdrom/materials/optical-properties-of-silicon>.)

So we can estimate the required thickness  $z$  to achieve a given quantum efficiency  $q$  using

$$q = (1 - R) e^{-\alpha z} \Rightarrow z = \frac{\ln\left(\frac{1-R}{q}\right)}{\alpha} = \frac{\ln\left(\frac{0.76}{0.4}\right)}{(10^4 \text{ cm}^{-1})} \approx \boxed{600 \text{ nm}}.$$

## 8.1

We're told we start out with a quantum efficiency of 40% for our detector.

Referring to Problem 7.6 above, we can cast the quantum efficiency as

$$q = (1 - R) e^{-\alpha z}.$$

We are told that the reflectivity of the detector is reduced from 30% to 5%. Since nothing else about the detector has changed, we can calculate the ratio of the new to the old efficiency as

$$\frac{q'}{q} = \frac{1 - R'}{1 - R},$$

where the primed quantities represent the new values.

Solving for  $q'$  gives

$$q' = q \left( \frac{1 - R'}{1 - R} \right) = (0.4) \left( \frac{1 - 0.05}{1 - 0.3} \right) = \boxed{0.54}.$$

## 8.2

Equation 8.5 tells us

$$\text{DQE} = \frac{(\text{SNR}_{\text{out}})^2}{(\text{SNR}_{\text{perfect}})^2} = \frac{(\text{SNR}_{\text{out}})^2}{N_{\text{in}}}.$$

We are told that the measurement involves  $10^4$  photons, which will take as  $N_{\text{in}}$ . So next we'll need the signal-to-noise ratio for the voltages,  $\text{SNR}_{\text{out}}$ .

Our average voltage (the signal) is

$$S = \frac{(113 + 120 + 115) \text{ mV}}{3} = 116 \text{ mV}.$$

And the noise will be the standard deviation of the voltage measurements:

$$\sigma = 3 \text{ mV}.$$

So now we can write our DQE as

$$\text{DQE} = \frac{\left(\frac{116 \text{ mV}}{3 \text{ mV}}\right)^2}{10^4} \approx \boxed{0.15}.$$

### 8.3

The detector in this problem has a quantum efficiency of  $q$ . That means that, for every  $N_{\text{in}}$  photon that strikes the surface of the detector,  $N_{\text{detect}} = qN_{\text{in}}$  are actually detected (and  $(1 - q) N_{\text{in}}$  are NOT detected).

The detector is also said to have a quantum yield of  $y$  with an associated uncertainty  $\sigma(y)$ . That means that each of the  $N_{\text{detect}} = qN_{\text{in}}$  induces  $N_{\text{events}} = yN_{\text{detect}}$  events that are counted up by the detector.

So, as described by Equation 2.31 back in Chapter 2, the uncertainty  $\sigma(N_{\text{events}})$  associated with the events is given by

$$\sigma^2(N_{\text{events}}) = \left(\frac{\partial N_{\text{events}}}{\partial N_{\text{detect}}}\right)^2 \sigma^2(N_{\text{detect}}) + \left(\frac{\partial N_{\text{events}}}{\partial y}\right)^2 \sigma^2(y) = y^2 N_{\text{detect}} + N_{\text{detect}}^2 \sigma^2(y),$$

taking Poisson error bars for  $N_{\text{detect}}$  (i.e.,  $\sigma^2(N_{\text{detect}}) = N_{\text{detect}}$ ).

To calculate DQE, we need  $(SNR)_{\text{out}}^2$  and  $(SNR)_{\text{perfect}}^2$ . The latter is easy:

$$(SNR)_{\text{perfect}}^2 = N_{\text{in}}.$$

The former is

$$(SNR)_{\text{out}}^2 = \frac{y^2 N_{\text{detect}}^2}{y^2 N_{\text{detect}} + N_{\text{detect}}^2 \sigma^2(y)} = \frac{N_{\text{detect}}^2}{N_{\text{detect}} + N_{\text{detect}}^2 \left(\frac{\sigma(y)}{y}\right)^2}$$

Now to calculate DQE:

$$\text{DQE} = \frac{\frac{N_{\text{detect}}^2}{N_{\text{detect}} + N_{\text{detect}}^2 \left(\frac{\sigma(y)}{y}\right)^2}}{N_{\text{in}}}$$

But remember  $N_{\text{detect}} = qN_{\text{in}}$ , so

$$\text{DQE} = \frac{\frac{q^2 N_{\text{in}}^2}{qN_{\text{in}} + q^2 N_{\text{in}}^2 \left(\frac{\sigma(y)}{y}\right)^2}}{N_{\text{in}}} = \frac{q^2 N_{\text{in}}}{qN_{\text{in}} + q^2 N_{\text{in}}^2 \left(\frac{\sigma(y)}{y}\right)^2} = \boxed{\frac{q}{1 + qN_{\text{in}} \left(\frac{\sigma(y)}{y}\right)^2}}$$

So you can see that, if  $\sigma(y) = 0$ , then  $\text{DQE} = q$ . However, since all numbers are positive, if  $\sigma(y) > 0$ , then  $\text{DQE} < q$ .

## 8.4

We'll need to recall the definition of QE (Equation 8.1):

$$\text{QE} = \frac{N_{\text{detect}}}{N_{\text{in}}}.$$

We're told that  $\text{QE} = 0.9$ , so if 1000 photons are incident in the 1-second exposure, we'll actually detect  $(0.9) \times 1000 = 900$  of them.

Then recall the definition of DQE (Equation 8.5):

$$\text{DQE} = \frac{(\text{SNR})_{\text{out}}^2}{(\text{SNR})_{\text{perfect}}^2}.$$

For our detector we have a signal  $S = 900$  photons and sources of noise including the dark current  $(1 \text{ photon s}^{-1}) \times (1 \text{ s}) = 1 \text{ photon}$  and a read noise of 3 photons.

Therefore, our out SNR is

$$(\text{SNR})_{\text{out}} = \frac{900}{\sqrt{(900 + 1 + 3) + 1 + 3}} \approx 30.$$

A perfect detector would have

$$(\text{SNR})_{\text{perfect}} = \sqrt{1000} \approx 32.$$

So

$$\text{DQE} = \frac{30^2}{32^2} = \boxed{0.89}.$$

For the 4-second exposure, we have all the same numbers except now the dark current is  $(1 \text{ photon s}^{-1}) \times (400 \text{ s}) = 400 \text{ photon}$ .



So

$$(\text{SNR})_{\text{out}} = \frac{900}{\sqrt{(900 + 400 + 3) + 400 + 3}} \approx 22.$$

And

$$\text{DQE} = \frac{22^2}{32^2} = \boxed{0.47}.$$