

# [PostgreSQL] pgagroal: I/O layer

## 0. Contributor Information

**Name:** Henrique A. de Carvalho

**Email:** [decarv.henrique@gmail.com](mailto:decarv.henrique@gmail.com)

**GitHub:** [decarv](https://github.com/decarv)

**Languages:** Portuguese, English

**Country:** Brazil (GMT -03:00)

**Who Am I:** I earned a Bachelor's degree in Computer Science from the University of São Paulo (2020 - 2023) and currently work as a Backend Software Engineer at a medium-scale Investment Fund. Throughout my bachelor's program, I did bunch of different stuff, from algorithms and data structures, to systems engineering, to machine learning theory and applications, computer vision, computer graphics and application development and benchmarking. Nevertheless, the things that live in my heart are systems engineering, performance-oriented development and computer graphics, which are things I rarely have the opportunity to do. Therefore, I see contributing to open-source as a great way to stay close to what makes me happy in software engineering and, of course, to be able to provide good software for free to other humans. Specifically, my interest in pgagroal stems from its system program nature and its promise of high-performance. My main objective with this project is to learn from the community and assist pgagroal in achieving unparalleled performance among connection pools.

**Contributions:** [#408](#), [#411](#), [#427](#), [#431](#)

## 1. Synopsis

The project consists of replacing the I/O Layer of pgagroal, today highly dependant on libev library, for a pgagroal's own implementation of this I/O Layer. The so called I/O Layer is an event loop abstracted by libev.

The motivation behind this project is because libev is not being maintained any longer. Therefore pgagroal needs an efficient (i.e. maintainable, reliable, fast, lightweight, secure and scalable) implementation of an event loop that can be maintained by the pgagroal community.

Currently, pgagroal depends on libev to (a) watch for incoming read/write requests from its connections in a non-blocking fashion; (b) launch timer events; and (c) watch signals.

In Linux, these functionalities may be optimally achieved by using `io_uring` (introduced in Linux kernel 5.1). `io_uring` is a communication channel between a system's application and the kernel by providing an interface to receive notifications when I/O is possible on file descriptors. `io_uring` is accessible to system applications through `liburing`, which is a library that contains helpers for setup of `io_uring`. A successful Linux implementation of an efficient event loop for pgagroal necessarily utilizes `io_uring` -- as well as other Linux I/O interfaces (e.g. `stdio`) -- for efficient I/O. For cases where `io_uring` may fall short, Linux has other options that may replace it, such as `epoll`.

In FreeBSD, these functionalities may be optimally achieved by using `kqueue` (introduced in FreeBSD 4.1). `kqueue` is an event notification interface that allows monitoring of multiple file descriptors. Like `io_uring` in Linux, `kqueue` enables non-blocking I/O operations, but it is specifically designed to fit into the FreeBSD kernel's event-driven architecture.

The objective of this proposal is to provide a plan to achieve such efficient implementations in both Linux and FreeBSD, which shall be, at the end of this program, at least as efficient as libev, but fully maintained and controlled by the pgagroal community.

## 2. Proposal

As mentioned before, my objectives with this proposal are to implement an efficient event loop for pgagroal. In order to accomplish this, I could benefit from dividing the implementation into two phases: (a) Experimentation (Phase 1); (b) Testing and Continuous Refactoring (Phase 2).

For *Phase 1*, I propose the implementation of an interface containing a simple abstraction for an event loop (with `io_uring`, for Linux, and with `kqueue`, for FreeBSD).

The result of this first phase would be a maintainable and small footprint event loop that suffices for pgagroal specific uses, potentially resulting in minimal changes in functions and behavior of the existing code -- as the implementation would work as an interface used by the implemented code and, only where necessary, have behaviour modified.

For *Phase 2*, I propose the creation of functional tests, for testing functional requirements of the connection pool, and performance tests, for measuring resource utilization for pgagroal under different workloads.

Functional tests would measure correctness of the new event loop implementation, while the performance tests would guarantee the responsiveness and stability of the new implementation.

Since the intention of this phase is to improve an experimental implementation, the tests would help achieve the desired functionality and would ensure that refactoring efforts would not introduce performance regressions.

### 2.1. Phase 1 Details

The Phase 1 implementation depends on me knowing how `io_uring` and `kqueue` work, and understanding how libev functions are used throughout the code, so I can determine how they will be replaced.

#### 2.1.1. Linux implementation

`io_uring` is a communication channel between the application and the kernel, functioning as an asynchronous I/O interface. It works by placing I/O submission events and I/O completion events into two queues (ring buffers) that are shared between the application and kernel. `io_uring` has the potential to reduce system calls and implement asynchronous I/O. Much of the complexity of managing these data structures is abstracted by a library called liburing. [1] Documentation on this library can be found at [2].

All of pgagroal's I/O layer can benefit from `io_uring` operations, such as writing to and reading from sockets, but the networking event loop part of pgagroal can also benefit from `io_uring`.

A simple webserver example can be found at [3], and pgagroal's event loop can use the same structure.

`io_uring` can be used in the server as a replacement for `epoll`, but this is not as straightforward as it may seem, and there is a lot of room to further optimize the code by using `io_uring` code and its new features. The right usage of these features can be evaluated with `strace` to measure the number of system calls that are being spared. [4]

In fact, `io_uring` developer Jens Axboe created a document [5] in 2023, presenting recipes for `io_uring` and networking. As Axboe points out in this document, simply replacing `epoll` with `io_uring` will work, but doing so "does not lead to an outcome that fully takes advantage of what `io_uring` offers". All of these features that could be used by pgagroal in different places, such as (a) batching, as networking

can have dependent operations that can all be waited to be ready at the same time (such as accept followed by recv); (b) multi-shot, as networking can have recurring operations (again, accept and recv); or (c) socket state awareness. The usage of further features mentioned in this document should definitely be evaluated.

On another note, features continue to be implemented into `io_uring`, which makes it an exciting feature to add to a project. As an example, one feature particularly interesting for pgagroal's process model is `io_uring_spawn`, presented in 2022. This feature could potentially reduce the process spawning time, but it is still under active development. [6]

### 2.1.2. FreeBSD Implementation

For FreeBSD, the transition to a native I/O solution centers around `kqueue`. Similar to `io_uring`, `kqueue` enables non-blocking I/O handling by using queues shared by the kernel and userspace and by supporting kevent for communication. It supports a wide range of event types, specified as *filters*, including file descriptors readiness, timers, and process and signal monitoring.

This document [7] contains the API specification for `kqueue`, as well as an example of event handling. The specific documentation can be found at [8]. As the author of the document explains, and similar to `io_uring`, simply adapting the event loop to work with `kqueue` as it would with `poll` will not yield the best results, and applications should be rewritten to fully utilize `kqueue`'s capabilities.

`kqueue` is targeted specifically at I/O multiplexing and, differently from `io_uring`, should be used solely for the event library. I could not find reference to any features designed to enhance networking usability, as with Axboe's document, but the aforementioned document provides enough examples to implement for pgagroal's needs.

Key to the FreeBSD implementation will be leveraging `kqueue`'s strengths, such as efficient timer management and fine-grained control over event monitoring, to optimize pgagroal's event-driven architecture.

### 2.1.3. Implementation Details

As stated above, the replacement of pgagroal's I/O layer will primarily involve creating a new event loop for pgagroal and may require a redesign of the existing code due to the design of these event APIs. This should occur in `main.c`, `worker.c`, `pipeline.c`, `prometheus.c`, the respective headers, and potentially other files. They all use `libev` structs and functions for I/O and interacting with an event loop. Therefore, their code will be redesigned to work with the new format.

Since it is intended for pgagroal to support both Linux and FreeBSD, it is clear that pgagroal could benefit from wrapping the same interface for each implementation and using this interface throughout the code. Nevertheless, maintaining the same interface for both Linux and FreeBSD seems unattainable given the differences in both APIs, despite their similarities (both have notification event data structures shared between kernel and userspace). The implementation, thus, would have to follow the recipe:

```
#ifdef HAVE_LINUX
...
#elif HAVE_FREEBSD
...
#endif
```

Therefore, what may happen is the creation of different function abstractions for each implementation and the use of such abstractions throughout the code to avoid cluttering the main code. These

abstractions would be defined in the same files and used throughout the code. This is an initial idea, and due to the complexity of the API usage, this may not be attainable either.

However, details in their implementations can be provided for some of the required features, as explored below.

## Main Loop

The main event loop is currently (mainly) done with:

```
struct ev_io;
void ev_io_init();
void ev_loop();
```

Linux implementation. The implementation is straightforward, with `io_uring_wait_cqe` wrapped around a loop and a call to the `cqe->user_data` that can potentially hold a struct containing a callback. Every process should have its own loop, and the loop could be created as soon as the process is forked.

FreeBSD implementation. The implementation should also be straightforward: create a kqueue, set up a monitoring event for readability on the specified file descriptors, and then enter an infinite loop. When an event is detected, determine the type of event (readable or writable) and invoke the callback function.

## Periodic Event Handling

Issuing periodic callbacks at regular intervals is currently done with:

```
struct ev_periodic;
void ev_periodic_init();
void ev_periodic_start();
```

Linux implementation. This could be accomplished with timeout commands, with `io_uring_prep_timeout`, but this approach should be further evaluated. The upside here is to keep the implementation simple with `io_uring`. Since everything is going to be wrapped around a while loop and I/O will wait on cques, timeouts can be abstracted to work as periodic tasks (isn't this all they are, anyways?).

FreeBSD implementation. FreeBSD's implementation will leverage kqueue's timer events to achieve similar periodic functionality. Setting up timer events in kqueue involves specifying `EVFILT_TIMER` filters in `kevent` calls, allowing pgagroal to execute periodic tasks effectively within the event loop.

## Signal Watchers

Monitoring signals are done with:

```
struct ev_signal;
void ev_signal_init();
```

Linux implementation. This can be accomplished by using `signalfd` along with `io_uring`. This way, we can handle signal events in the same asynchronous event loop used for I/O operations.

FreeBSD implementation. kqueue can monitor signal events directly, without needing a separate file descriptor, by leveraging `EVFILT_SIGNAL` as the filter.

## Fork Handling

The forking is handled by libev with:

```
void ev_fork_loop();
```

This function is called whenever a `fork()` call is made so that the event loop is duplicated. In both operating systems, the implementation is based on reinitializing the event loop and taking care not to interfere with the parent's event loop. Since the processes do not interact, no concurrency control is needed.

## 2.2. Phase 2 Details

With testing, I intend to establish rigorous methods for measuring whether the implementation of the new I/O layer is correct and how it is evolving during the refactoring phase.

I believe that the state of the experimental implementation in Phase 1 should clarify how we should test for correctness. I also believe, however, the performance tests are agnostic to the first implementation and could be designed even before Phase 1.

For consistency in the approach, I propose defining tests in a posterior phase, after the Phase 1 implementation.

### 2.2.1. Functional Tests

I propose the creation of tests using testing frameworks in C. A unit test framework can be selected from [9], for example, and this decision should be aligned with the community in a discussion on GitHub.

Testing the application should be followed by a coding period to fix any identified issues or rollback to the previous application state.

The functional tests should be implemented right after the implementation of Phase 1 in order to ensure correctness and should be run after every refactoring after the first implementation to ensure that no regression in functionality appears.

### 2.2.2. Performance Tests

Performance tests could be conducted using Linux tools such as `gprof`, and `perf`.

`gprof` may be the most useful after having a working version of the code, but before fully optimizing it. This tool is valuable for identifying how new features are affecting performance.

`perf` is good for system level analysis, so it could be most useful at later stages of development.

The profiling and testing should provide insights for further optimizations and improvements in the implementation.

The specific benchmark criteria (performance metrics or KPIs) should be discussed with the community prior to the development of the strategy, but this should include attempts to measure latency, throughput, CPU usage, and memory footprint. In sum, we should be measuring for time and memory.

Measuring resource utilization in this phase will enable the identification of bottlenecks in the code and places in the code where the new implementation can benefit from optimizations.

The measurements made propose diving deeper into improvements that could be made to the simple event loop implementation of the previous phase. Here I intend to investigate the potential necessary changes in the structure of the main code, considering other optimizations (e.g., reducing system calls, investigating new features introduced in `io_uring_sqe` fields, kernel-side polling, cache performance, memory layout, vectorization).

### 3. Timeline

Below, I set a timeline for 22 weeks, considering this is a hard and large project. I try to leave room for flexibility in the timeline to accommodate unexpected challenges.

| Week    | Date                        | Description  |
|---------|-----------------------------|--|
| 1 & 2   | May 01 - May 14             | <b>Community bonding period:</b> Set up a call to know each other, discuss pgagroal's history and future, and talk about the specifics of this project. Begin Phase 1 with a discussion of the first design of the code.   |
| 3 & 4   | May 15 - May 28             | <b>Phase 1 for Linux:</b> Start on the I/O Foundation by designing and implementing a simple <code>io_uring</code> loop for core I/O operations, marking the initial replacement of <code>libev</code> functionalities. Refine the <code>io_uring</code> integration for I/O other than event loop, try to identify potential room for more advanced <code>io_uring</code> techniques. |
| 5 & 6   | May 29 - June 11            | <b>Phase 1 for Linux:</b> Finish the I/O Foundation by integrating advanced networking <code>io_uring</code> features.   |
| 7 & 8   | June 12 - June 25           | <b>Phase 2 for Linux:</b> Design and implement functional tests to ensure functionality and stability. Fix potential issues with the code found during tests.  |
|         | June 26 - July 09           | Midterm evaluations and planning personal time to visit family during mid-year holidays. I will have limited availability but I will be reachable via email.   |
| 9 & 10  | July 10 - July 23           | <b>Phase 1 for FreeBSD:</b> Begin working on the I/O foundation for FreeBSD, focusing on integrating and adapting to FreeBSD's system specifics.   |
| 11 & 12 | July 24 - August 06         | <b>Phase 1 for FreeBSD:</b> Finish the I/O Foundation. Search and experiment with advanced networking patterns and usage for <code>kqueue</code> that can benefit the implementation.  |
| 13 & 14 | August 07 - August 20       | <b>Phase 2 for FreeBSD:</b> Design and implement functional tests to ensure functionality and stability. Fix potential issues with the code found during tests.  |
| 15 & 16 | August 21 - September 03    | <b>Phase 2 for Linux &amp; FreeBSD:</b> Design and implement a strategy for performance tests.   |
| 17 & 18 | September 04 - September 17 | <b>Phase 2 for Linux:</b> Identify code bottlenecks and define a strategy to optimize code based on findings and implement them.   |

|              |                           |   |
|--------------|---------------------------|---|
| 19 & 20      | September 18 - October 01 | <b>Phase 2 for FreeBSD:</b> Identify code bottlenecks and define a strategy to optimize code based on findings and implement them.  |
| 21, 22, & 23 | October 02 - October 22   | <b>Phase 2 for Linux &amp; FreeBSD:</b> Evaluate if expanding tests are necessary and, if so, implement more tests. Final testing of all implementations for both Linux and FreeBSD. Make sure everything is perfect.   |
| 24 & 25      | October 23 - November 04  | Finalize documentation, prepare a comprehensive report summarizing the development process, challenges faced, solutions implemented, and future work directions. Ensure all code is well-commented, and the repository is organized for easy navigation. Final preparations for project submission. |
|              | November 04               | Deadline to submit final work product and final evaluation.   |

## 4. References

- [1] <https://www.youtube.com/watch?v=-5T4Cjw46ys>
- [2] <https://unixism.net/>
- [3] [https://unixism.net/loti/tutorial/webserver\\_liburing.html](https://unixism.net/loti/tutorial/webserver_liburing.html)
- [4] <https://developers.redhat.com/articles/2023/04/12/why-you-should-use-iouring-network-io>
- [7] <https://people.freebsd.org/~jlemon/papers/kqueue.pdf>
- [5] [https://github.com/axboe/liburing/wiki/io\\_uring-and-networking-in-2023](https://github.com/axboe/liburing/wiki/io_uring-and-networking-in-2023)
- [6] <https://lwn.net/Articles/908268/>
- [8] <https://man.freebsd.org/cgi/man.cgi?query=kqueue&sektion=2>
- [9] <https://stackoverflow.com/questions/65820/unit-testing-c-code>