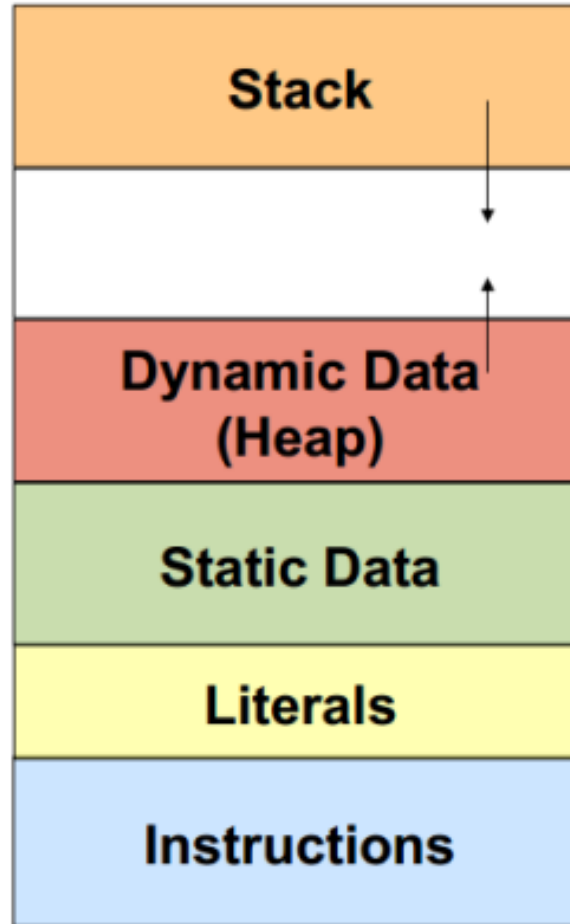


# Malloc Lab, Part 1

# OMET Survey

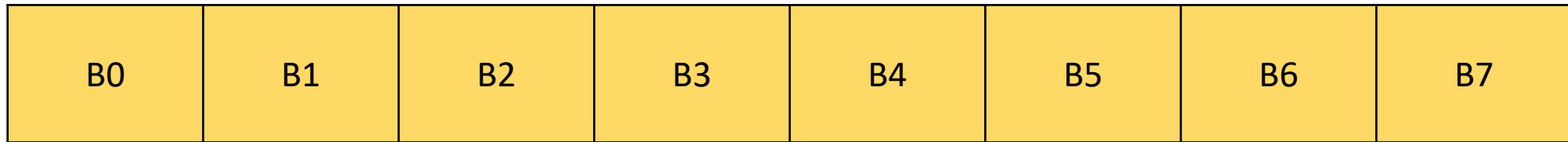
- Please take some time to complete the OMET survey of my recitations.

# Heap



# Heap

HEAP

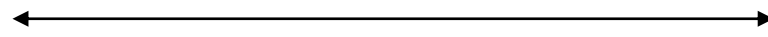
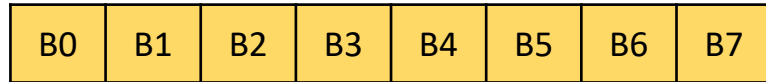


8 Bytes

A sequence of Bytes!

# Increasing the heap size

HEAP



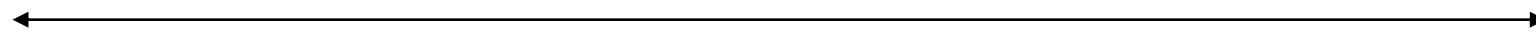
8 Bytes

**Increase the Heap by 8 Bytes**

`void *p = mem_sbrk(8)`



HEAP



Heap Size = 16 Bytes

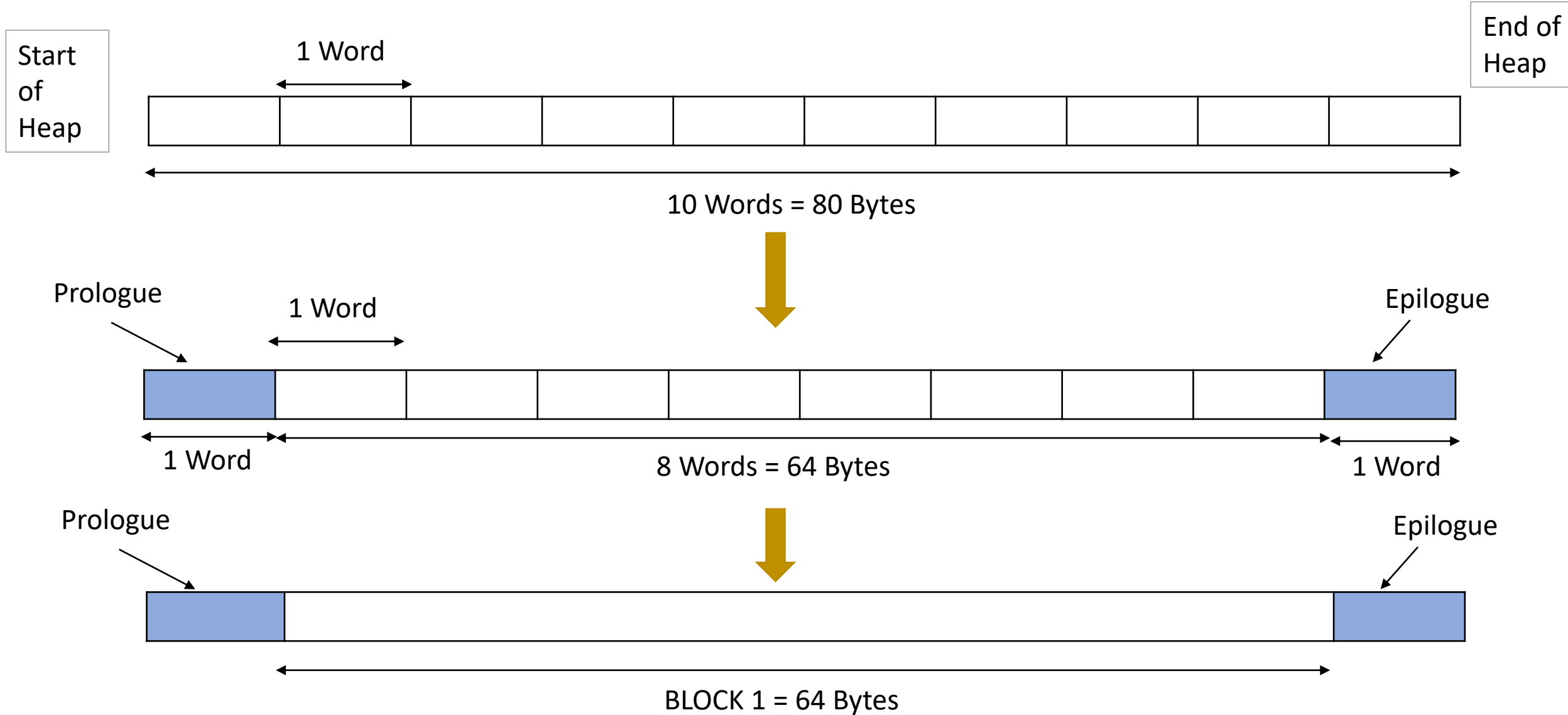
# mem\_sbrk() (part of “memlib.c”)

```
void *mem_sbrk(int incr)
{
    char *old_brk = mem_brk;

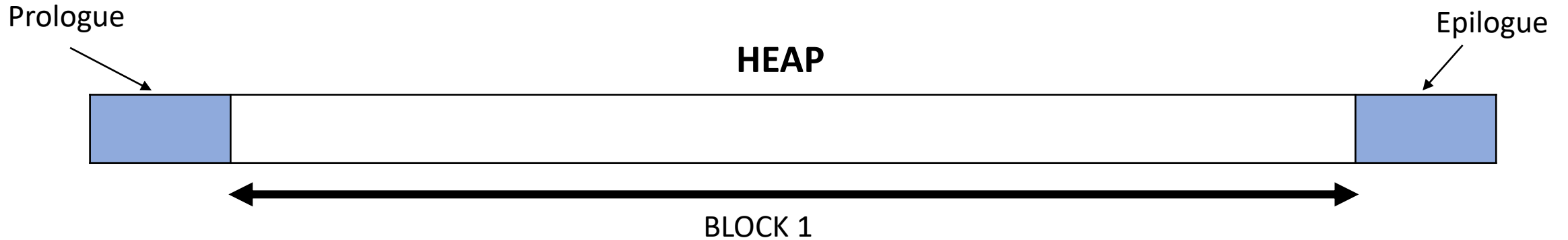
    if ( (incr < 0) || ((mem_brk + incr) > mem_max_addr)) {
        errno = ENOMEM;
        fprintf(stderr, "ERROR: mem_sbrk failed. Ran out of memory...\n");
        return (void *)-1;
    }
    mem_brk += incr;
    return (void *)old_brk;
}
```

# Visualizing the Heap

1 Word = 8 Bytes



# Let's Allocate (malloc()) some space from Heap



```
int *p = (int*) malloc (12);
```

Is Block 1 free ??

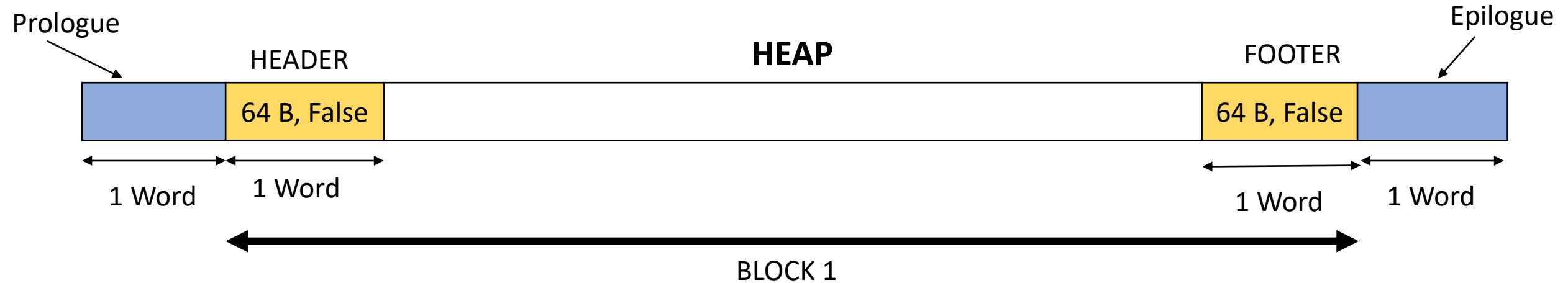
- Can allocate there only if it is FREE

Or is there some data in there already??

How Will I know??!



# Header and Footer



## **BLOCK 1:**

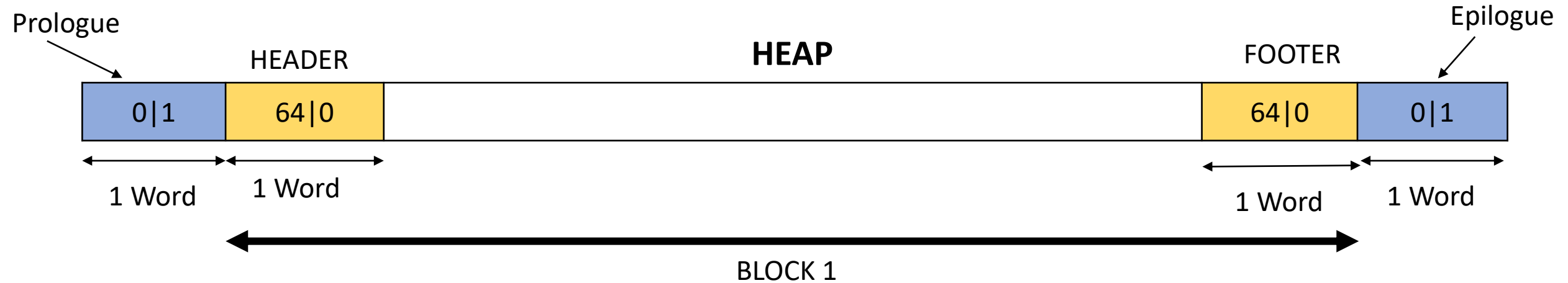
Size: 64 Bytes

Allocation Status: False

Now I know that Block 1 is free because:

- I can check the header and/or footer to check the **allocation status**.

# Header and Footer



## **BLOCK 1:**

Size: 64 Bytes

Allocation Status: False

Now I know that Block 1 is free because:

- I can check the header and/or footer to check the **allocation status**.

# Let's See Some Code

```
int mm_init(void)
{
    /* Create the initial empty heap */
    word_t *start = (word_t *) (mem_sbrk(2*wsize));
    if ((ssize_t)start == -1) {
        printf("ERROR: mem_sbrk failed in mm_init, returning %p\n", start);
        return -1;
    }

    /* Prologue footer */
    start[0] = pack(0, true);
    /* Epilogue header */
    start[1] = pack(0, true);

    /* Heap starts with first "block header", currently the epilogue header */
    heap_start = (block_t *) &(start[1]);

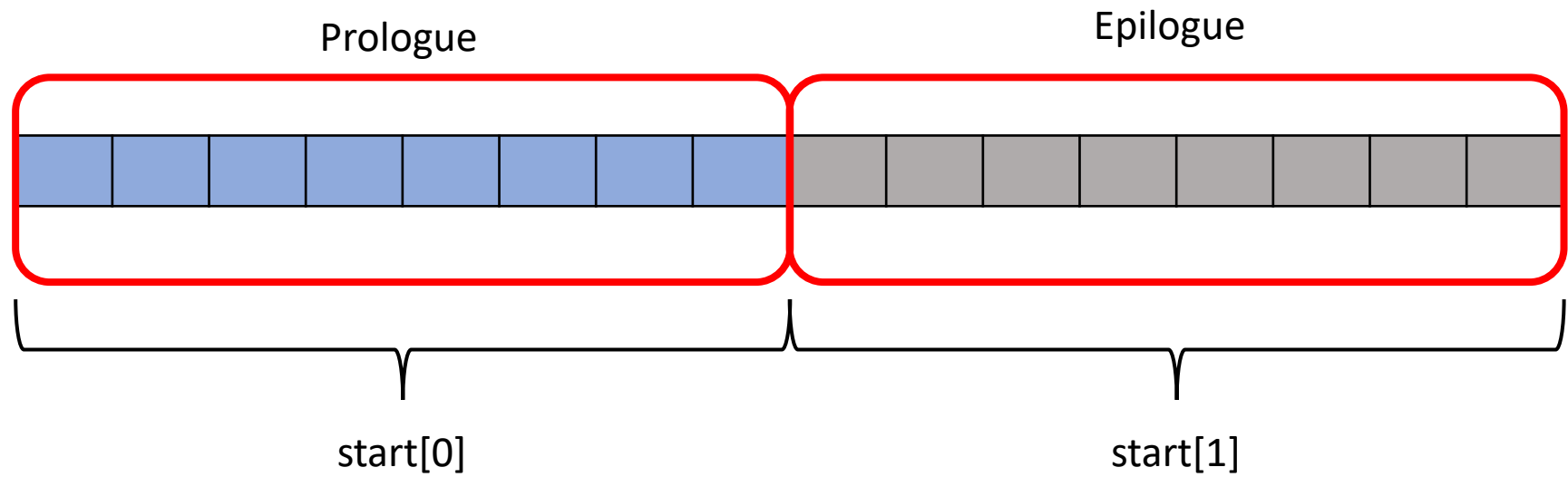
    /* Extend the empty heap with a free block of chunksize bytes */
    block_t *free_block = extend_heap(chunksize);
    if (free_block == NULL) {
        printf("ERROR: extend_heap failed in mm_init, returning");
        return -1;
    }

    /* Set the head of the free list to this new free block */
    free_list_head = free_block;
    free_list_head->payload.links.prev = NULL;
    free_list_head->payload.links.next = NULL;

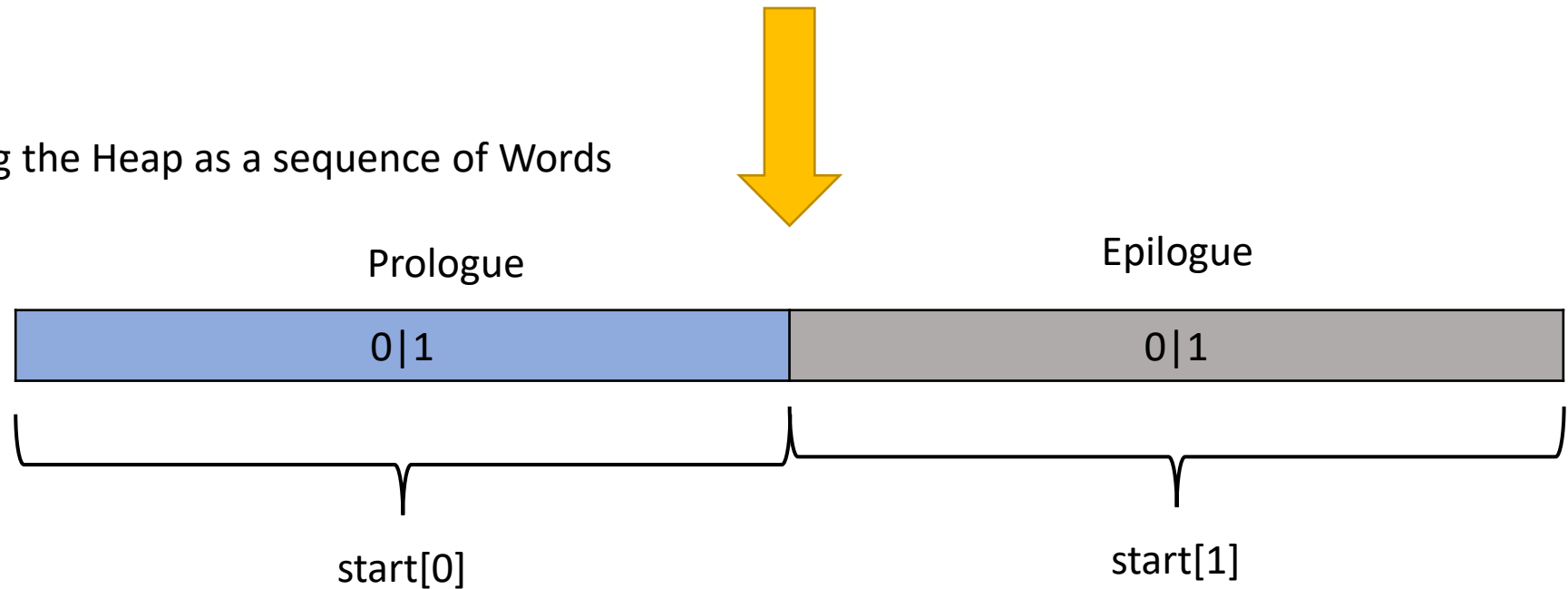
    return 0;
}
```

1 word = 8 Bytes

Representing the Heap as a sequence of Bytes



Representing the Heap as a sequence of Words



# Let's See Some Code

```
int mm_init(void)
{
    /* Create the initial empty heap */
    word_t *start = (word_t *) (mem_sbrk(2*wsize));
    if ((ssize_t)start == -1) {
        printf("ERROR: mem_sbrk failed in mm_init, returning %p\n", start);
        return -1;
    }

    /* Prologue footer */
    start[0] = pack(0, true);
    /* Epilogue header */
    start[1] = pack(0, true);

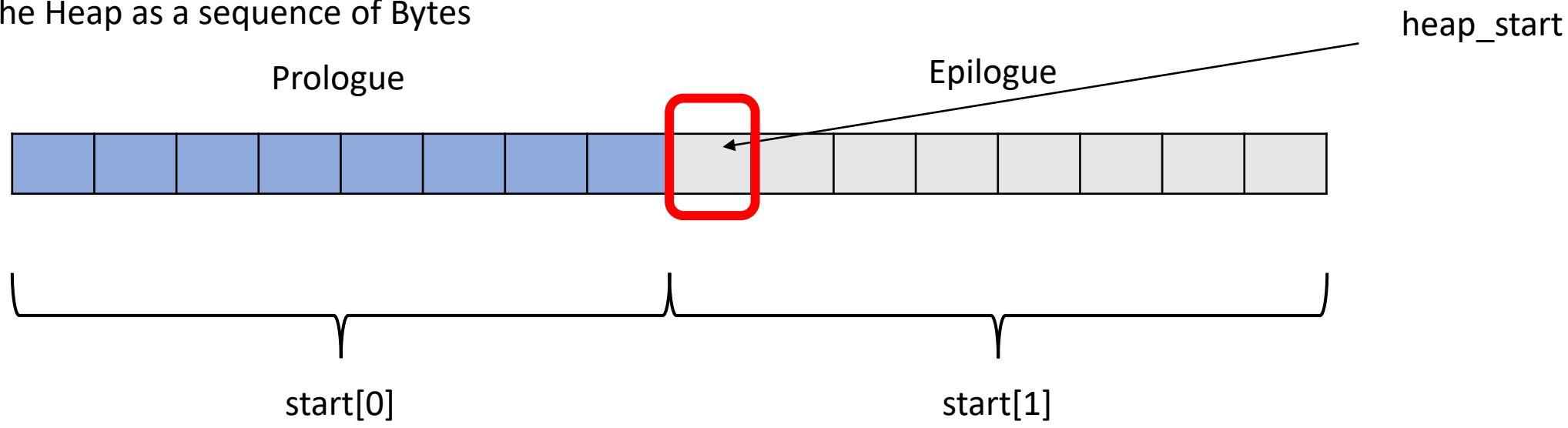
    /* Heap starts with first "block header" currently the epilogue header */
    heap_start = (block_t *) &(start[1]);

    /* Extend the empty heap with a free block of chunksize bytes */
    block_t *free_block = extend_heap(chunksize);
    if (free_block == NULL) {
        printf("ERROR: extend_heap failed in mm_init, returning");
        return -1;
    }

    /* Set the head of the free list to this new free block */
    free_list_head = free_block;
    free_list_head->payload.links.prev = NULL;
    free_list_head->payload.links.next = NULL;

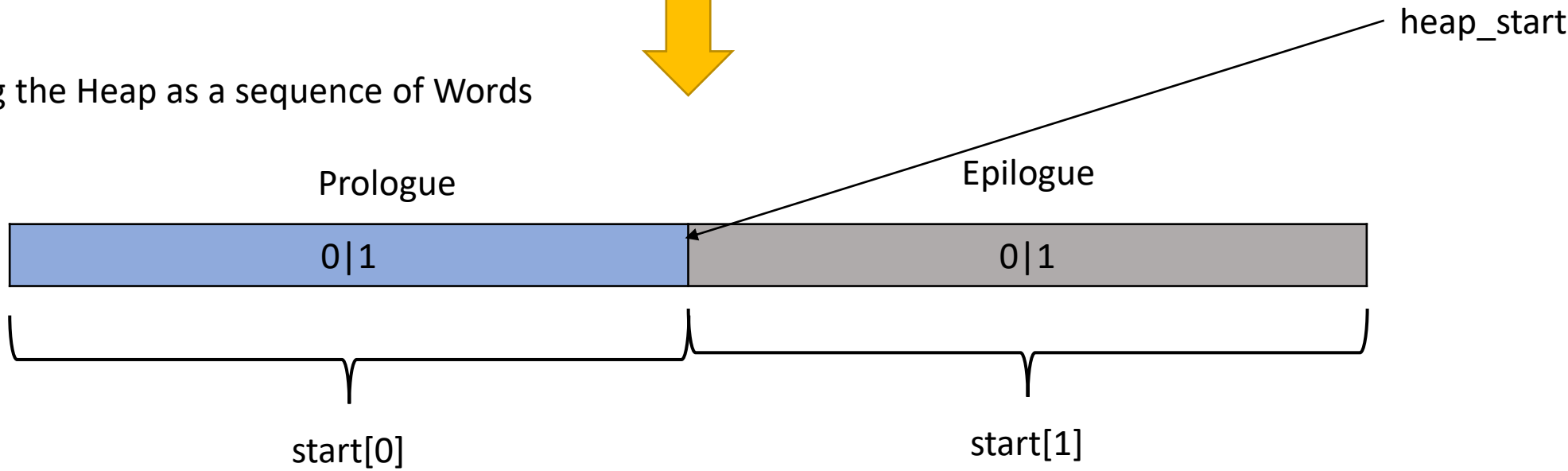
    return 0;
}
```

Representing the Heap as a sequence of Bytes



1 Word = 8 Bytes

Representing the Heap as a sequence of Words



```

struct block
{
    /* Header contains:
    * a. size
    * b. allocation flag
    */
    word_t header;

    union
    {
        struct
        {
            block_t *prev;
            block_t *next;
        } links;
        /*
        * We don't know what the size of the payload will be, so we will
        * declare it as a zero-length array. This allows us to obtain a
        * pointer to the start of the payload.
        */
        unsigned char data[0];

        /*
        * Payload contains:
        * a. only data if allocated
        * b. pointers to next/previous free blocks if unallocated
        */
    } payload;

    /*
    * We can't declare the footer as part of the struct, since its starting
    * position is unknown
    */
};

```

# Union

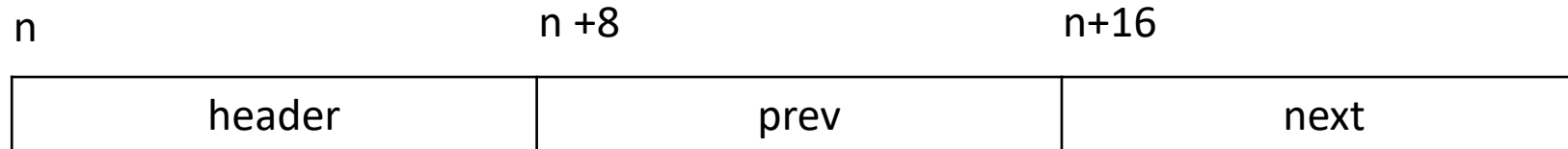
- Union allocates one common storage space for all its members

```
union student {  
    int a;  
    int b;  
    struct s{  
        int x;  
        int y;  
    }struct_var;  
};  
  
int main(){  
    union student st;  
    printf("Size of Union:%ld", sizeof(st)); //8  
    printf("\nAddress of a:%p",&st.a );//0x04  
    printf("\nAddress of b:%p",&st.b );//0x04  
    printf("\nAddress of x:%p",&st.struct_var.x);//0x04  
    printf("\nAddress of y:%p",&st.struct_var.y);//0x08  
    return 0;  
}
```

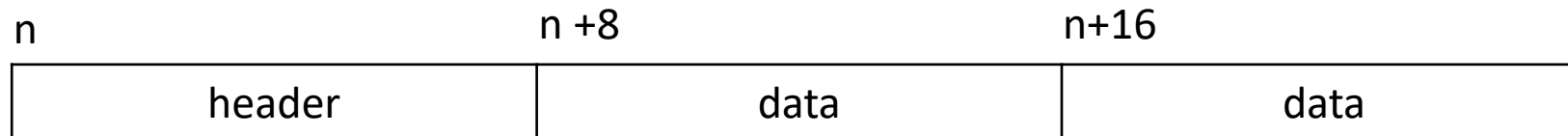


# struct block

If NOT allocated



If allocated



- You DO NOT need to put any data in the allocated space
  - **You just need to know the STARTING ADDRESS OF data (which is the address of header + size of a word)**
- Utilize the prev and next pointer when using explicit list traversal

# Let's See Some Code

```
int mm_init(void)
{
    /* Create the initial empty heap */
    word_t *start = (word_t *) (mem_sbrk(2*wsize));
    if ((ssize_t) start == -1) {
        printf("ERROR: mem_sbrk failed in mm_init, returning %p\n", start);
        return -1;
    }

    /* Prologue footer */
    start[0] = pack(0, true);
    /* Epilogue header */
    start[1] = pack(0, true);

    /* Heap starts with first "block header", currently the epilogue header */
    heap_start = (block_t *) &(start[1]);

    /* Extend the empty heap with a free block of chunksize bytes */
    block_t *free_block = extend_heap(chunksize);
    if (free_block == NULL) {
        printf("ERROR: extend_heap failed in mm_init, returning");
        return -1;
    }

    /* Set the head of the free list to this new free block */
    free_list_head = free_block;
    free_list_head->payload.links.prev = NULL;
    free_list_head->payload.links.next = NULL;

    return 0;
}
```

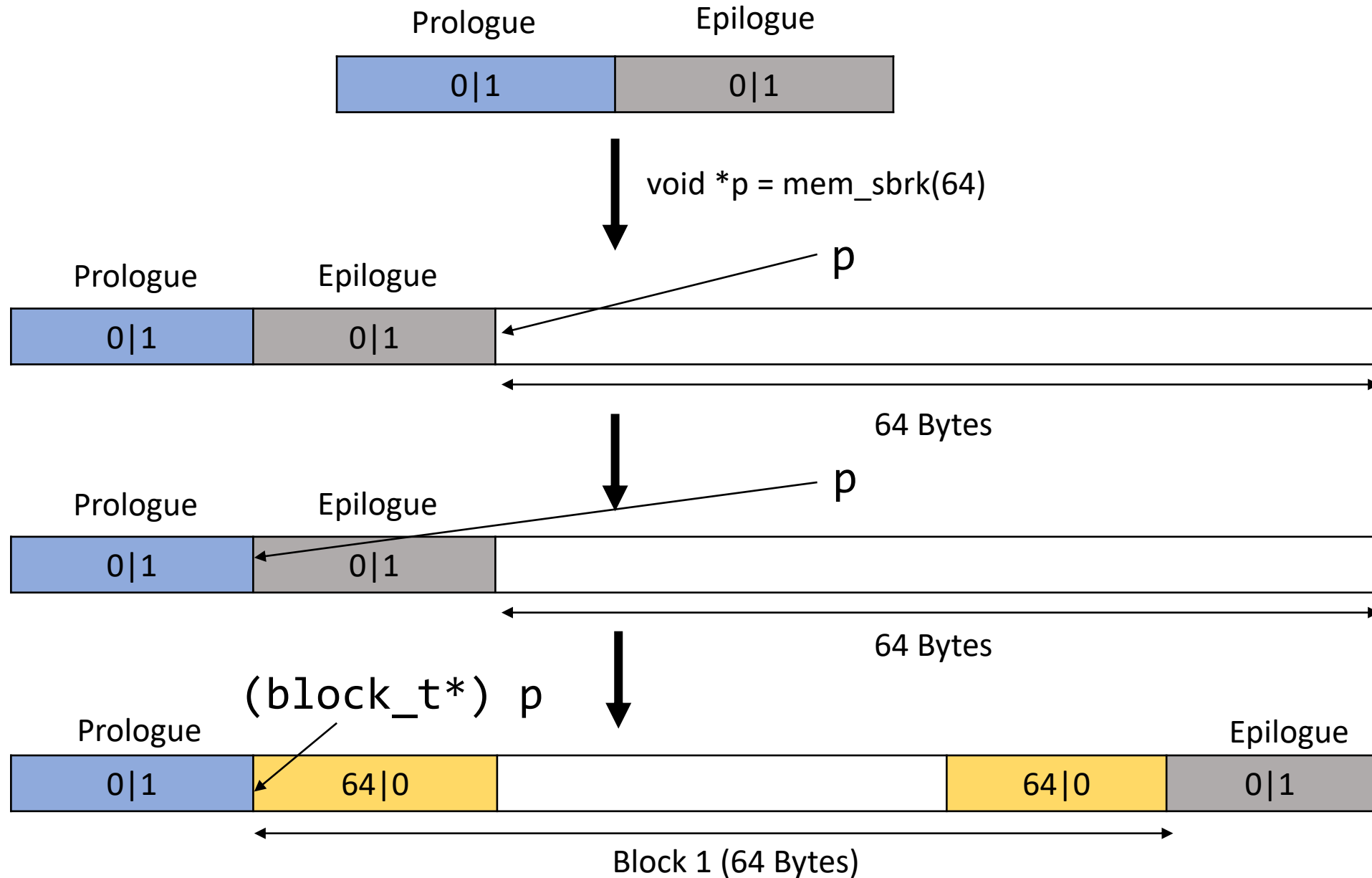
```
static block_t *extend_heap(size_t size)
{
    void *bp;

    // Allocate an even number of words to maintain alignment
    size = round_up(size, dsize);
    if ((bp = mem_sbrk(size)) == (void *) -1) {
        return NULL;
    }

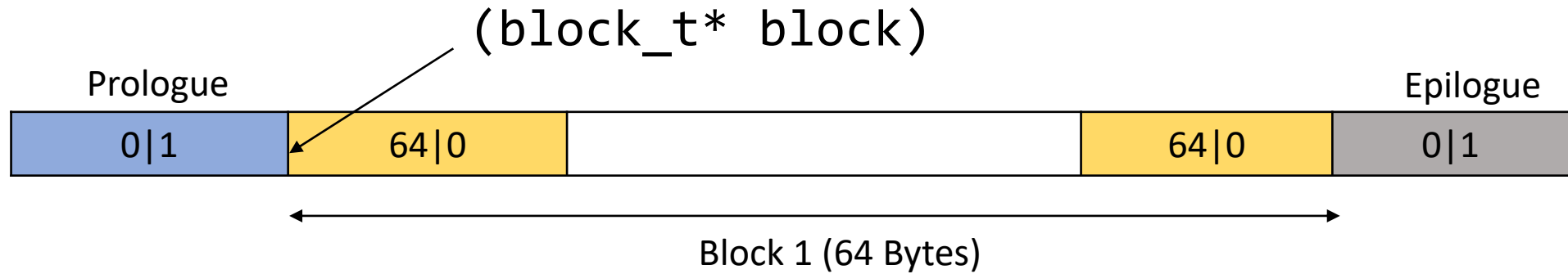
    // bp is a pointer to the new memory block requested

    // TODO: Implement extend_heap.
    // You will want to replace this return statement...
    return NULL;
}
```

# Example: extend\_heap by 64 Bytes



# Writing header and footer of a Block

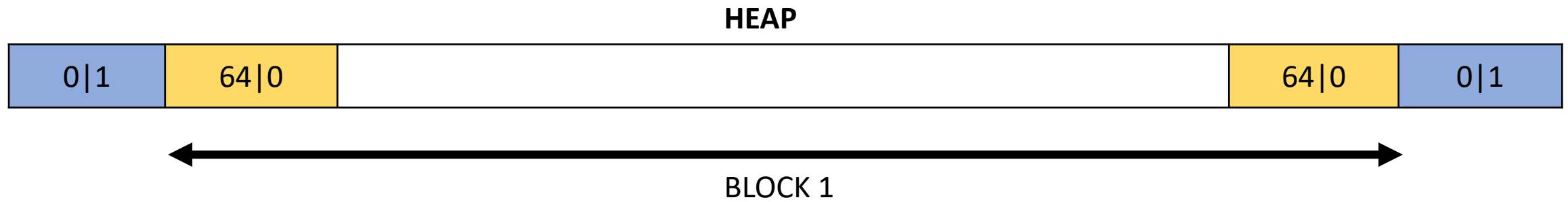


```
/*  
 * write_header: given a block and its size and allocation status,  
 *               writes an appropriate value to the block header.  
 */  
static void write_header(block_t *block, size_t size, bool alloc)  
{  
    block->header = pack(size, alloc);  
}
```

```
/*  
 * write_footer: given a block and its size and allocation status,  
 *               writes an appropriate value to the block footer by first  
 *               computing the position of the footer.  
 */  
static void write_footer(block_t *block, size_t size, bool alloc)  
{  
    word_t *footerp = header_to_footer(block);  
    *footerp = pack(size, alloc);  
}
```

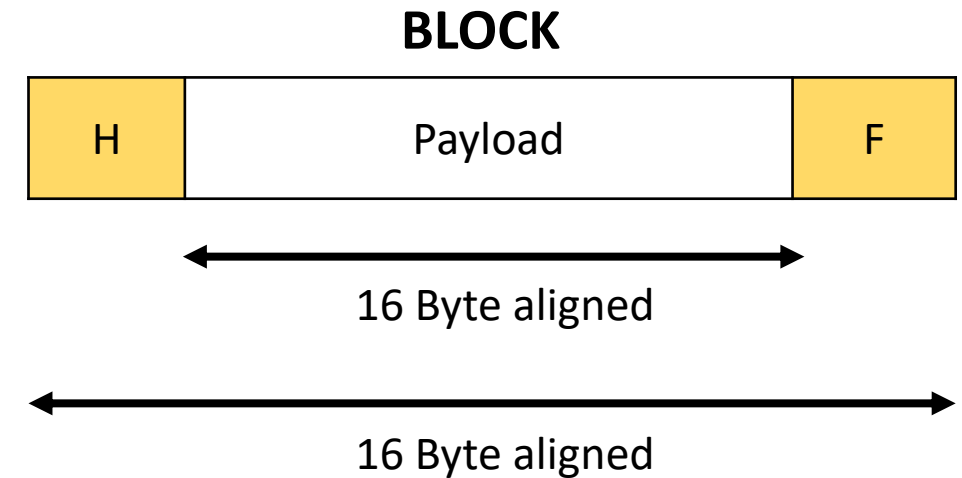
```
/*  
 * header_to_footer: given a block pointer, returns a pointer to the  
 *                   corresponding footer.  
 */  
static word_t *header_to_footer(block_t *block)  
{  
    return (word_t *) (block->payload.data + get_size(block) - dsize);  
}
```

Allocation (mm\_malloc())

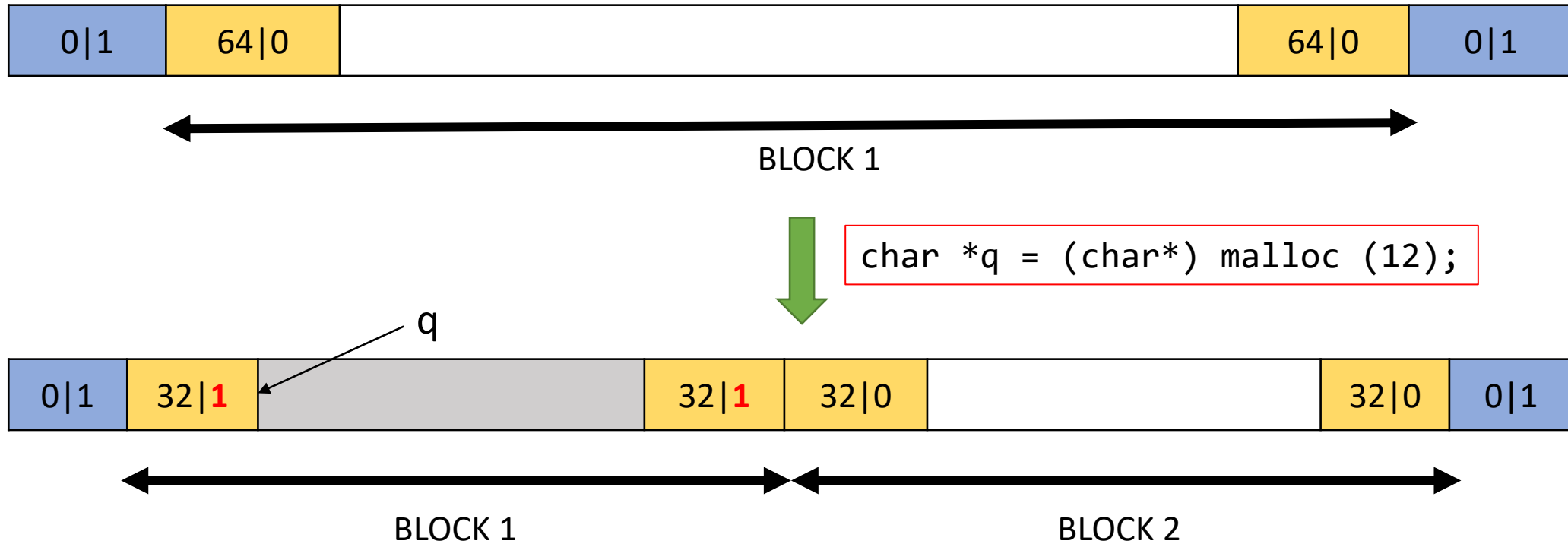


```
char *q = (char*) malloc (12);
```

- What will be the size of the payload?
  - 16 Bytes
- What will be the size of the new block?
  - Size of Payload + Size of Header + Size of Footer = 32 Bytes
- So, what is the minimum Block Size?
  - 32 Bytes



## HEAP



- How much space did we waste in Block 1?
  - 32 Bytes - 12 Bytes = 20 Bytes

This is called **Internal Fragmentation**

# Splitting A Free Block to Two Blocks

`split_block(p, 32)`



```
static void split_block(block_t *block, size_t asize) {
    size_t block_size = get_size(block);

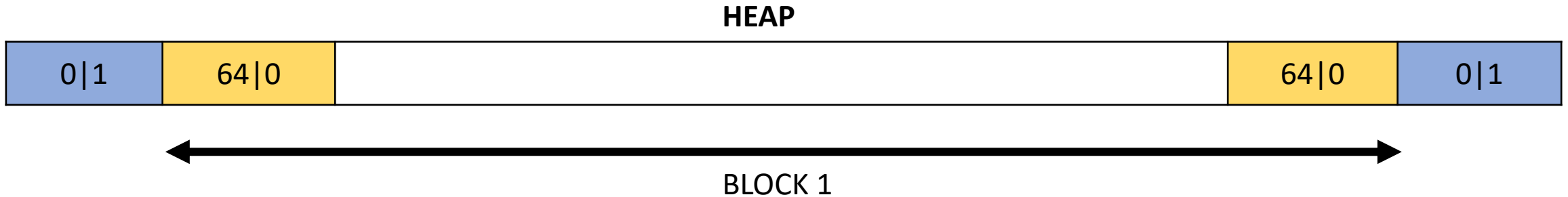
    if ((block_size - asize) >= min_block_size) {
        write_header(block, asize, true);
        write_footer(block, asize, true);
        block_t *block_next = find_next(block);
        write_header(block_next, block_size - asize, false);
        write_footer(block_next, block_size - asize, false);
    }
}
```

```
/*
 * find_next: returns the next consecutive block on the heap by adding the
 *             size of the block.
 */
static block_t *find_next(block_t *block)
{
    return (block_t *) ((unsigned char *) block + get_size(block));
}
```

37



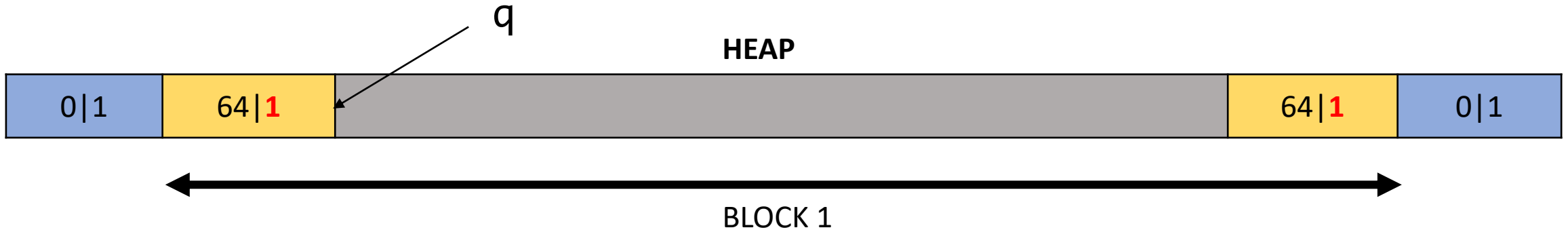
# Should I split Here?



```
char *q = (char*) malloc (20);
```

- What will be the size of the payload?
  - 32 Bytes
- What will be the size of the new block?
  - 48 Bytes
- What is the remaining space?
  - 16 Bytes
- Is the remaining space enough for a block?
  - NO. Minimum Block Size is 32 B

# Should I split Here? No

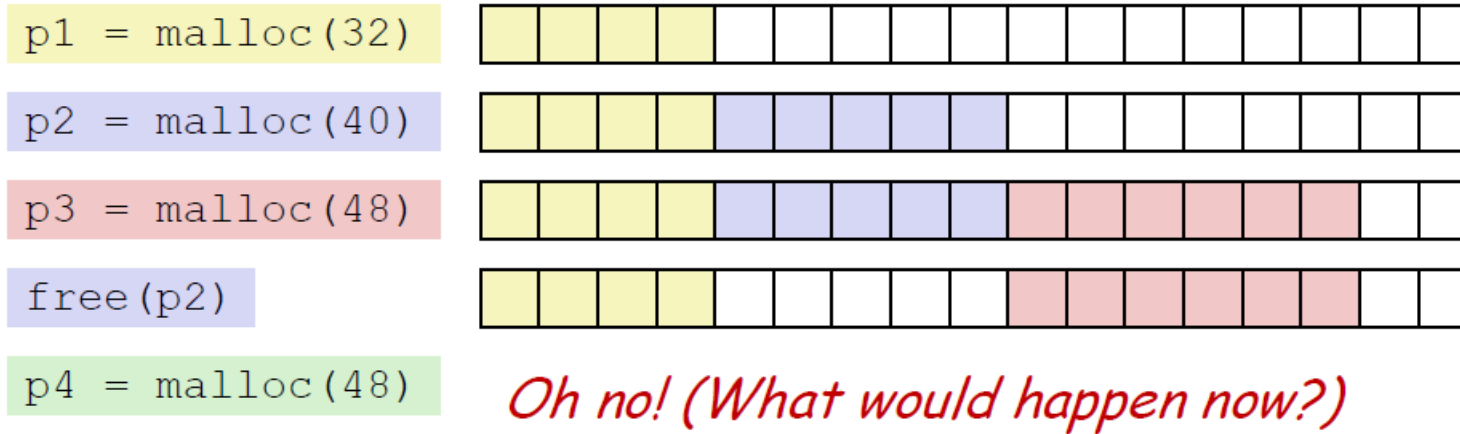


```
char *q = (char*) malloc (20);
```

- What will be the size of the payload?
  - 32 Bytes
- What will be the size of the new block?
  - 48 Bytes
- What is the remaining space?
  - 16 Bytes
- Is the remaining space enough for a block?
  - NO. Minimum Block Size is 32 B

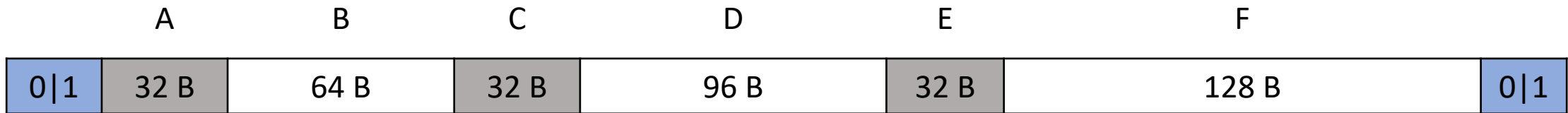
# External Fragmentation

- Occurs when there is enough aggregate heap memory, but no single free block is large enough
  - The aggregate payload is non-continuous



- Depends on the pattern of *future* requests
  - Thus, difficult to measure

# Consider this scenario



`malloc (16)`

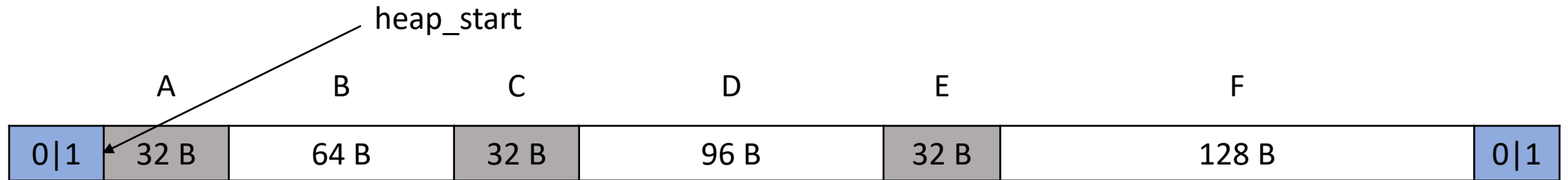
- Block Size = 32 Bytes

Need to allocate 32 Bytes in a FREE block

# How do I find the Blocks which are Free?

- Implicit List
  - Traverse all block and check if it is free
- Explicit List
  - Traverse only the FREE blocks

# Implicit List



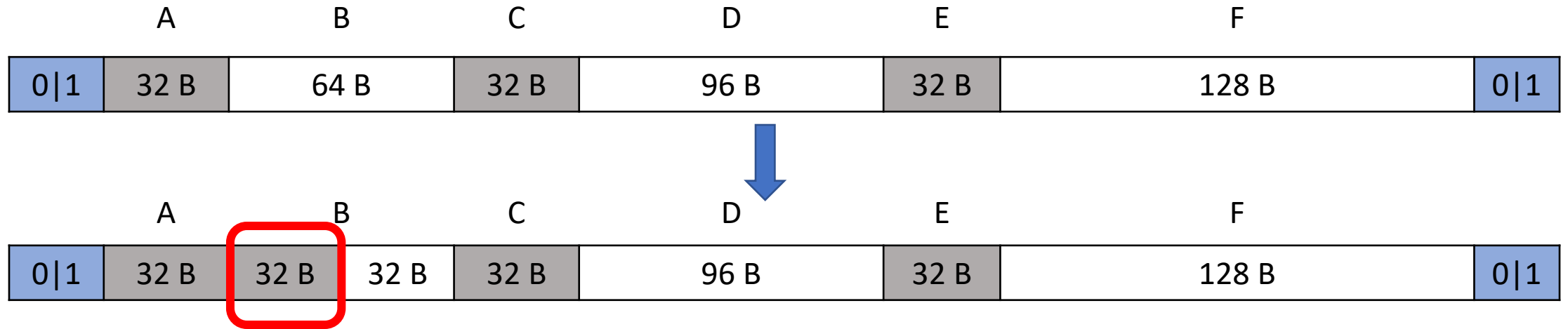
```
for (block = heap_start; /* first block on heap */  
    get_size(block) > 0 && block < (block_t*)mem_heap_hi();  
    block = find_next(block)) {
```

# Which Free Block To allocate in?

malloc (16)

- Block Size = 32 Bytes

## First Fit

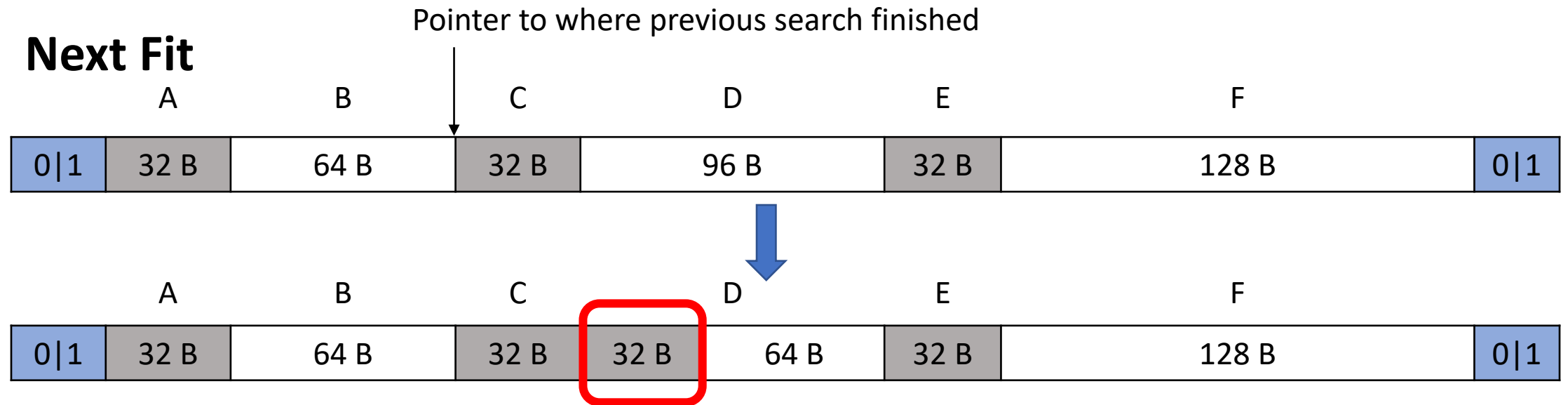


# Which Free Block To allocate in?

malloc (16)

- Block Size = 32 Bytes

## Next Fit



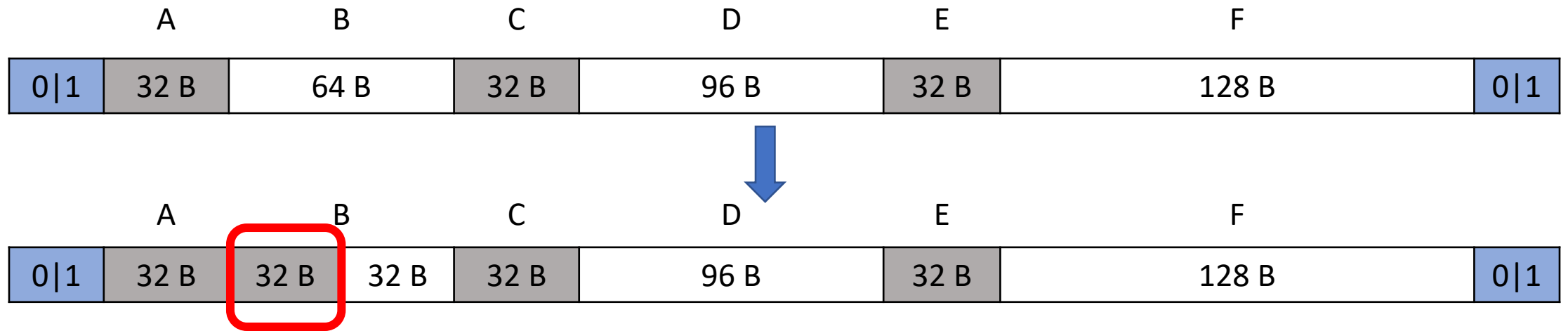


# Which Free Block To allocate in?

malloc (16)

- Block Size = 32 Bytes

## Best Fit

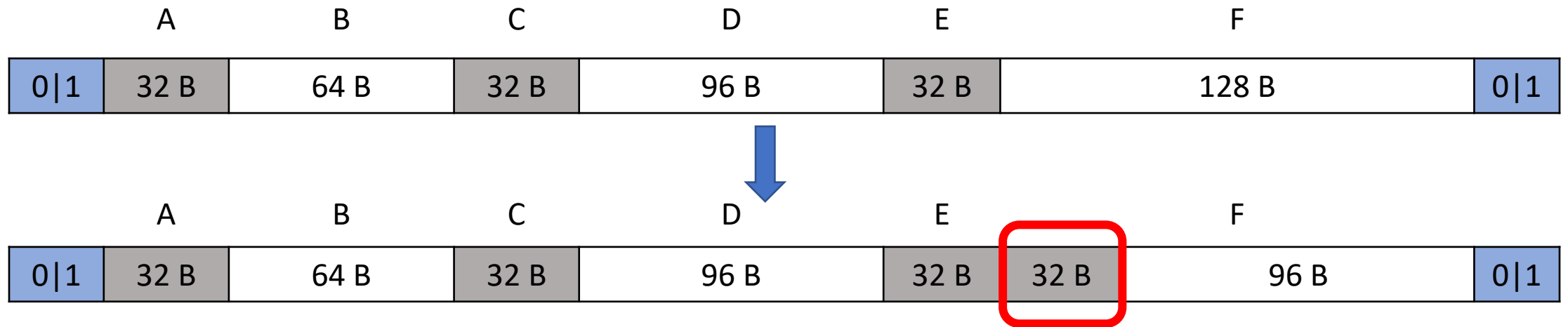


# Which Free Block to allocate in?

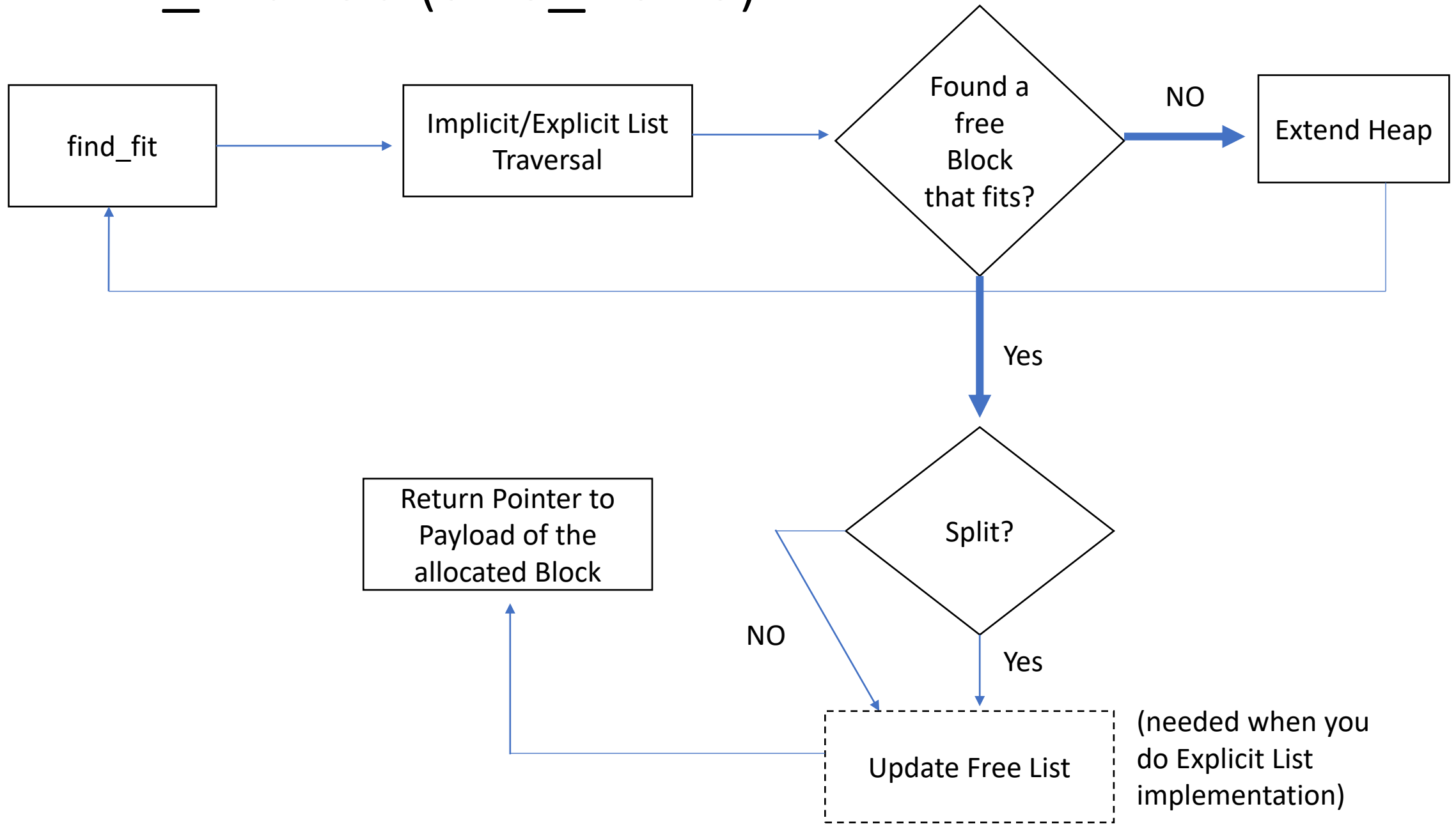
malloc (16)

- Block Size = 32 Bytes

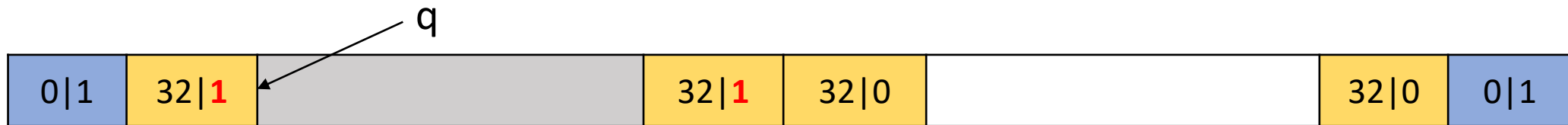
## Worst Fit



# mm\_malloc (size\_t size)



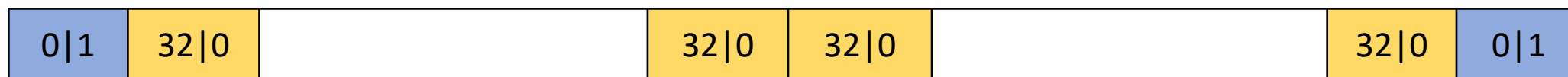
De-Allocation (`mm_free()`)



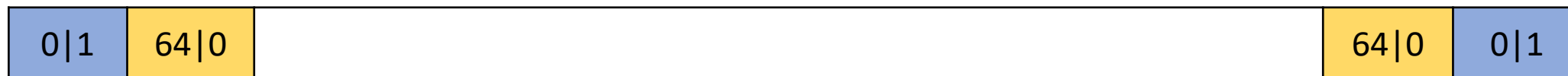
← BLOCK 1 → ← BLOCK 2 →



free(q);

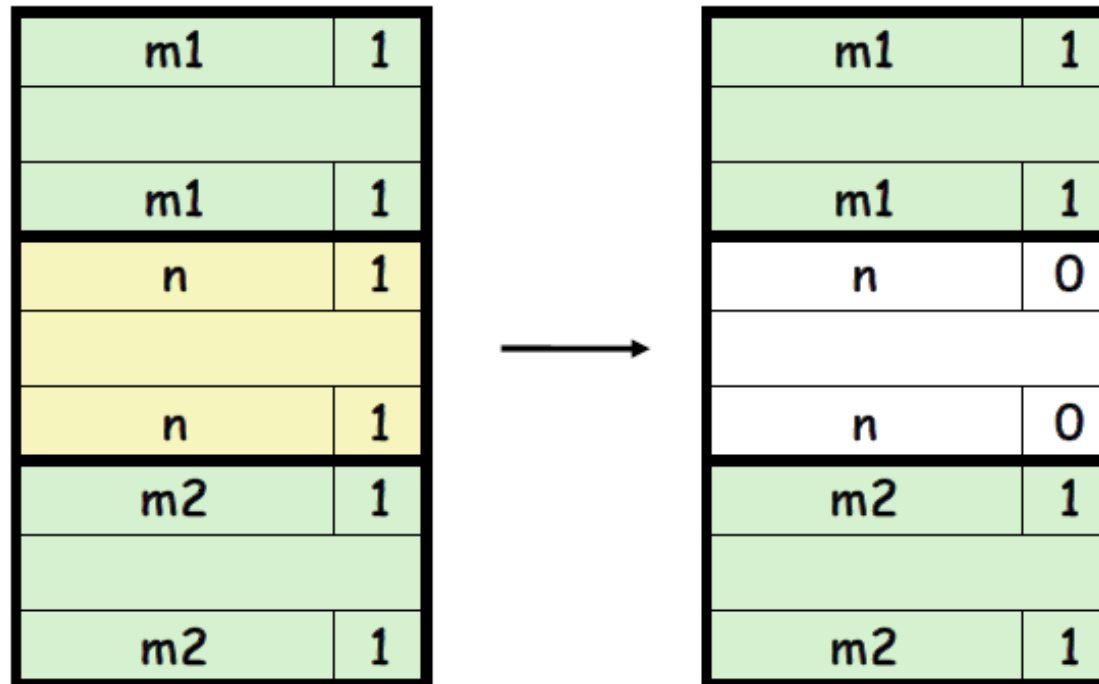


← BLOCK 1 → ← BLOCK 2 →

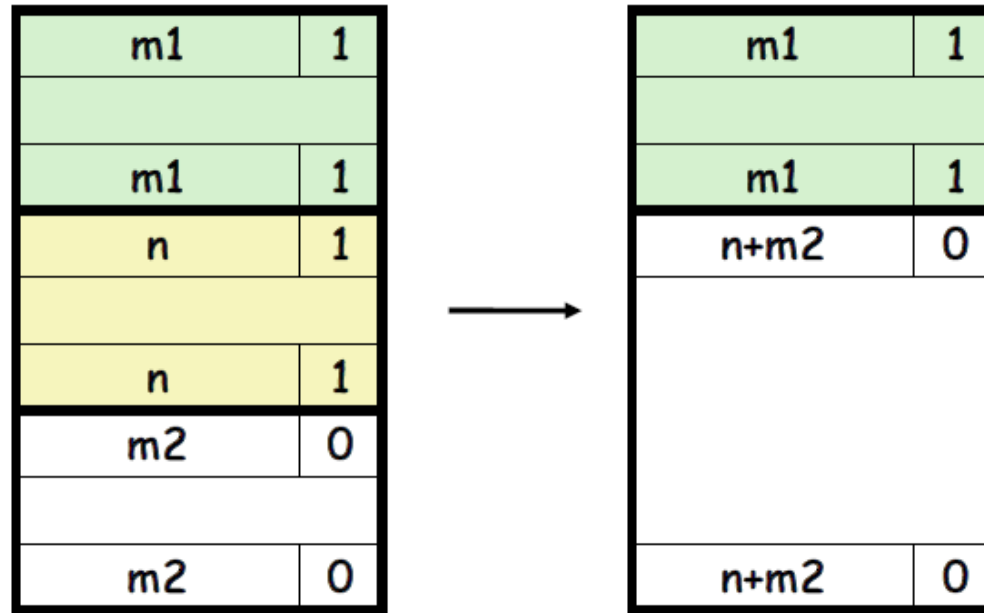


← BLOCK 1 →

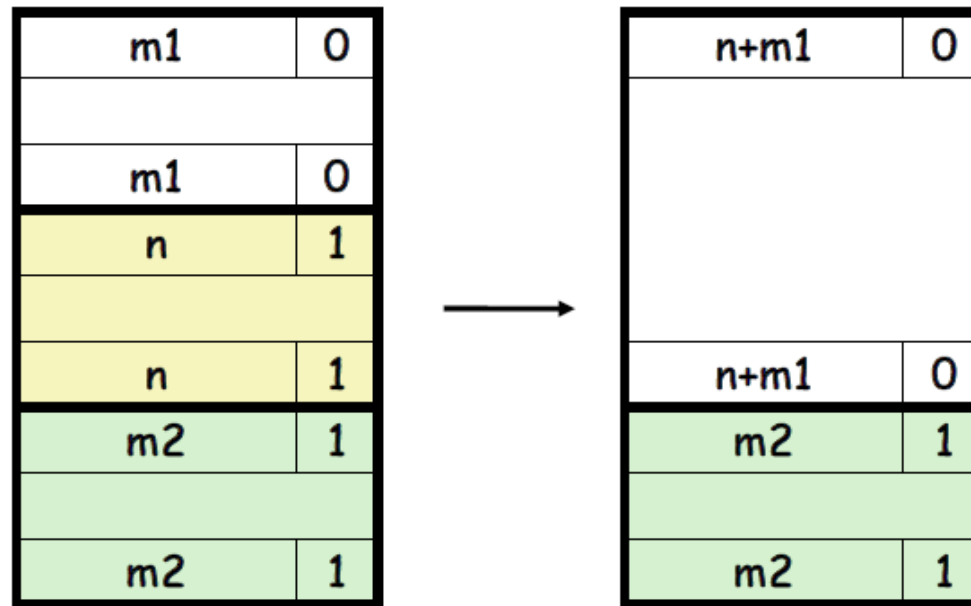
# Coalescing



# Coalescing

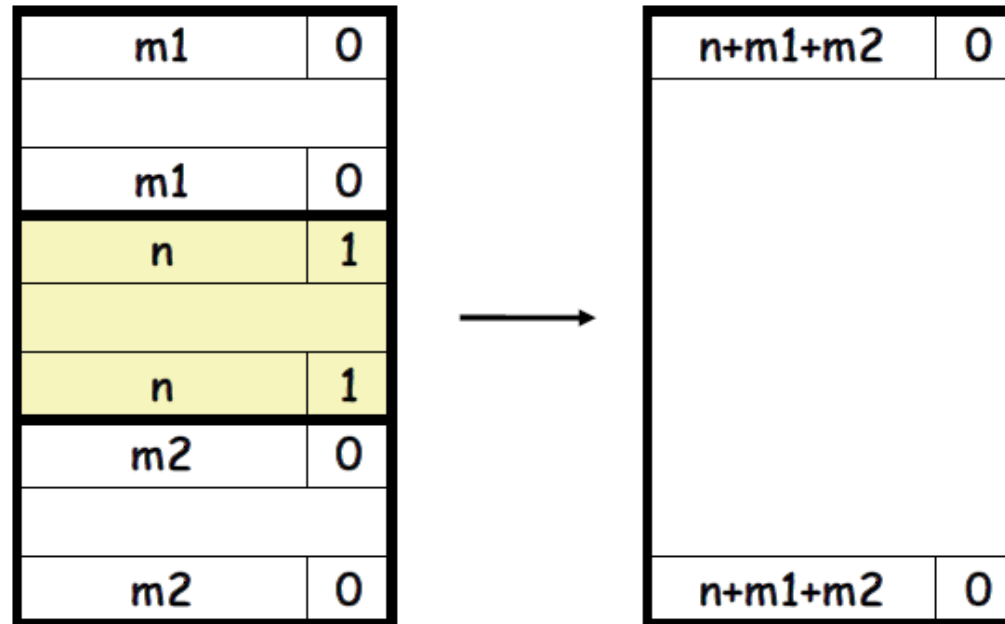


# Coalescing





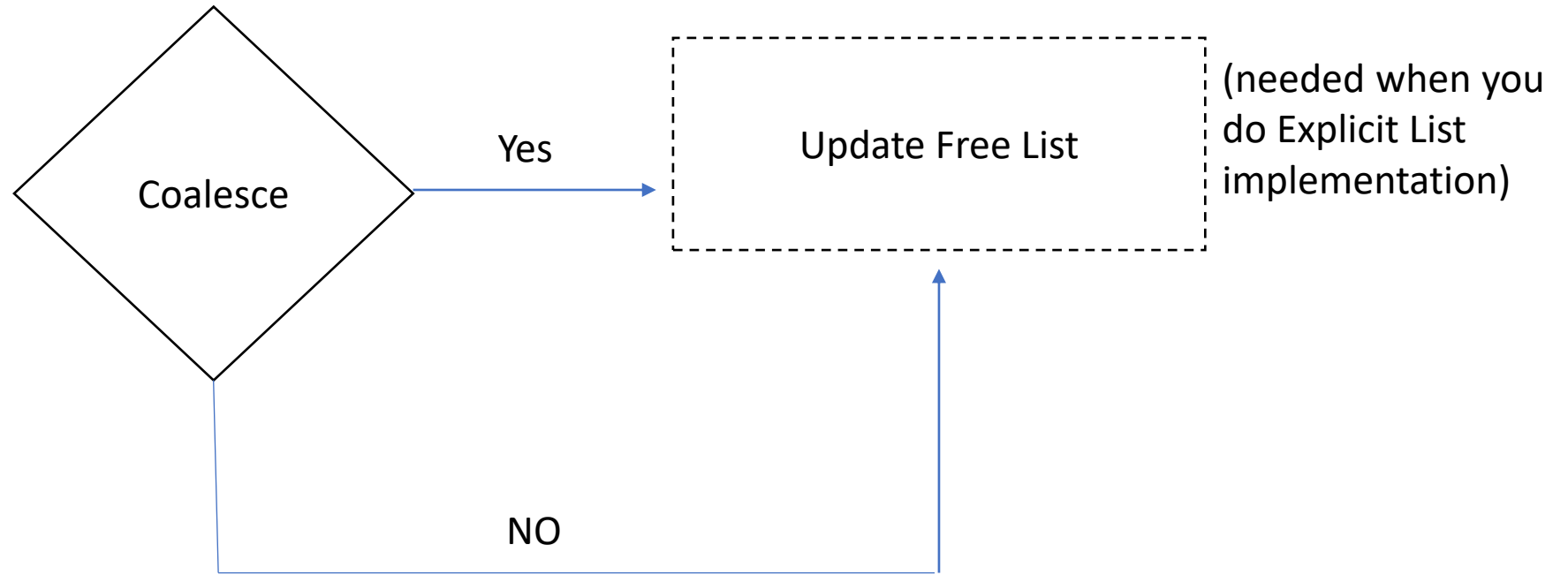
# Coalescing



# Where else do you need to Coalesce?

- **IMPORTANT REMINDER**
  - No Two Adjacent Blocks should be free.
    - If adjacent blocks are free, coalesce them

# mm\_free(void \*p)



# Debugging

# examine\_heap()

- Already implemented
  - Prints content of the heap

# check\_heap()

- Partially Implemented
  - Left for you to implement as per need
  - Optional. But Highly Recommended
- **Write the check\_heap() as you go, do not write it at the end.**
- Consider using a **macro** to turn the calls to check\_heap() and/or examine\_heap() on or off
- Can make use of a built-in macro called **\_\_LINE\_\_** that gets replaced with the current line number in the source file
  - You can use this with your check\_heap() to indicate **where the check\_heap() call** failed or gave an error.

# Some ideas of check\_heap()

Some examples of what a heap checker might check are:

- Is every block in the free list marked as free?
- Are there any contiguous free blocks that somehow escaped coalescing?
- Is every free block actually in the free list?
- Do the pointers in the free list point to valid free blocks?
- Do any allocated blocks overlap?
- Do the pointers in a heap block point to valid heap addresses?

Your heap checker will consist of the function `bool check_heap()` in `mm.c`. It will check any invariants or consistency conditions you consider prudent. It returns true if and only if your heap is consistent. You are not limited to the listed suggestions nor are you required to check all of them. You are encouraged to print out error messages when `check_heap` fails.

This consistency checker is for your own debugging during development. When you submit `mm.c`, make sure to remove any calls to `check_heap` as they will slow down your throughput.

# More Ideas for check\_heap()

- Block level:
  - Header and footer match.
  - Payload area is aligned.
- List level:
  - Next/prev pointers in consecutive free blocks are consistent.
  - Free list contains no allocated blocks.
  - All free blocks are in the free list.
  - No contiguous free blocks in memory (unless you defer coalescing).
  - There are no cycles in the list (unless you use circular lists).
  - Segregated list contains only blocks that belong to the size class
- Heap level:
  - Prologue/Epilogue blocks are at the boundaries and have special size/alloc fields.
  - All blocks stay in between the heap boundaries.
- And your own invariants (e.g. address order)



# How to use a macro to turn on/off *check\_heap*??

- Turning it ON

```
#define check_heap_actual() check_heap();  
//#define check_heap_actual();  
  
..  
..  
mm_free()  
{  ..  
    ..  
    check_heap_actual();  
}
```

# How to use a macro to turn on/off *check\_heap*??

- Turning it OFF

```
//#define check_heap_actual() check_heap();  
#define check_heap_actual();  
..  
..  
mm_free()  
{  ..  
    ..  
    check_heap_actual();  
}
```

# Important Points

- Make sure to **turn OFF** calls to `check_heap()` and `examine_heap()` **before your final submission** and when you are testing the performance of your code
  - Otherwise, the performance of your code will decrease because of the time taken to execute these functions.
- **Turn ON** calls to `check_heap()` and `examine_heap()` **ONLY when you are debugging** your code.