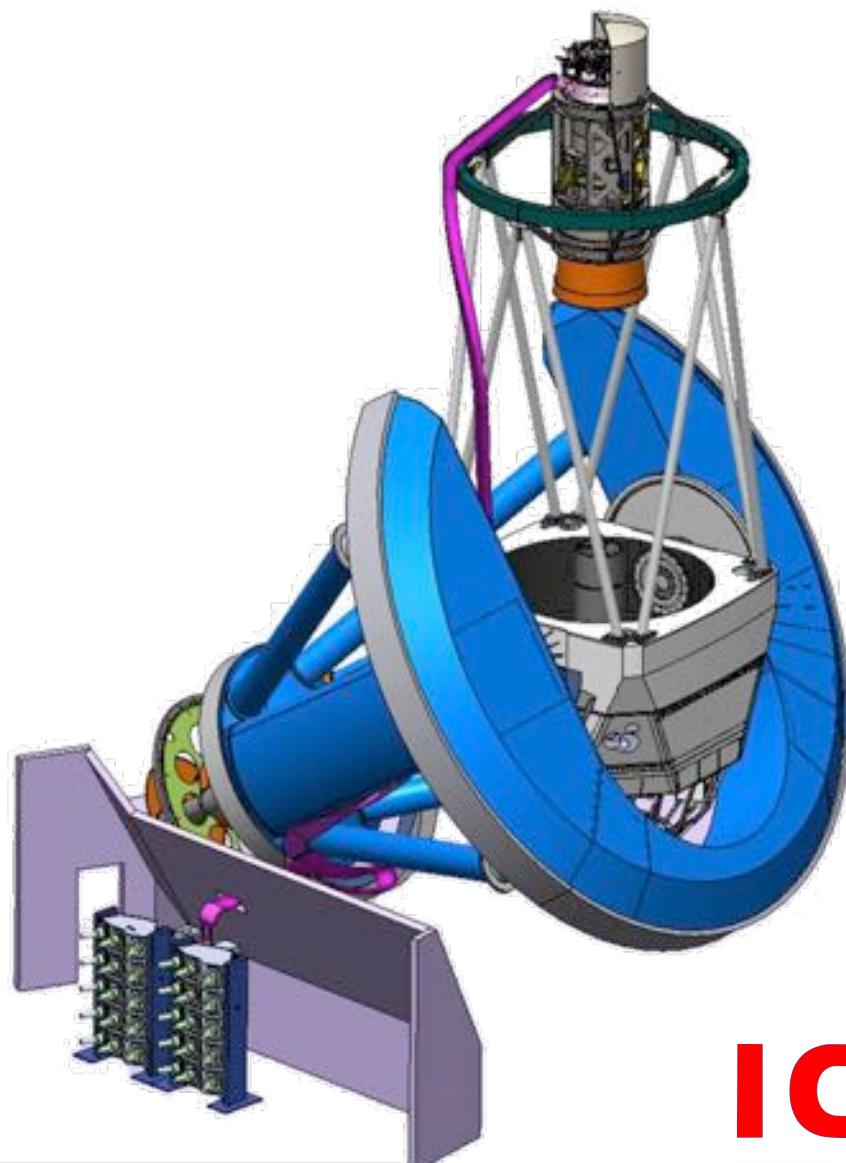




# DESI

## Dark Energy Spectroscopic Instrument

Francisco Javier Castander  
and the Barcelona-Madrid Regional  
Participation Group  
on behalf of the DESI collaboration



**ICE IEE C<sup>R</sup> IFAE<sup>R</sup>** Institut de Física  
d'Altes Energies



Centro de Investigaciones Energéticas,  
Medioambientales y Tecnológicas

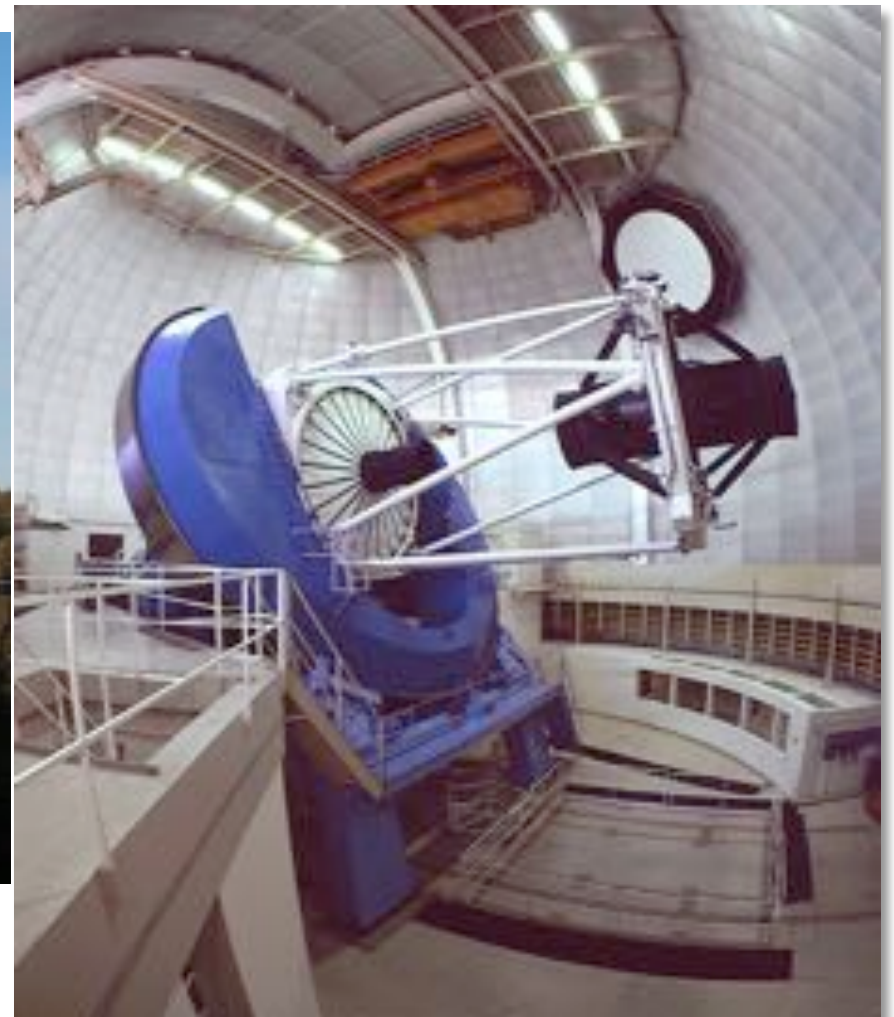


Instituto de Física Teórica  
UAM/CSIC

# DESI Concept



- **DESI is the Dark Energy Spectroscopic Instrument**
- **A new multi-fibre spectrograph**
- **DESI will be installed at the Mayall Telescope on Kitt Peak, AZ**
- **Kitt Peak is operated by NOAO for the NSF**



# DESI Concept



- Scale up BOSS to a massively parallel fiber-fed spectrometer with 5x more fibers, larger telescope aperture, robotic fiber positioners
- Stage-IV BAO over a broad redshift range:  $z < 3.5$
- Sky area: 14,000 square degrees
- Number of galaxy redshifts: 30 million
- Medium resolution spectroscopy,  $R \sim$  up to 5500

Three main hardware components:

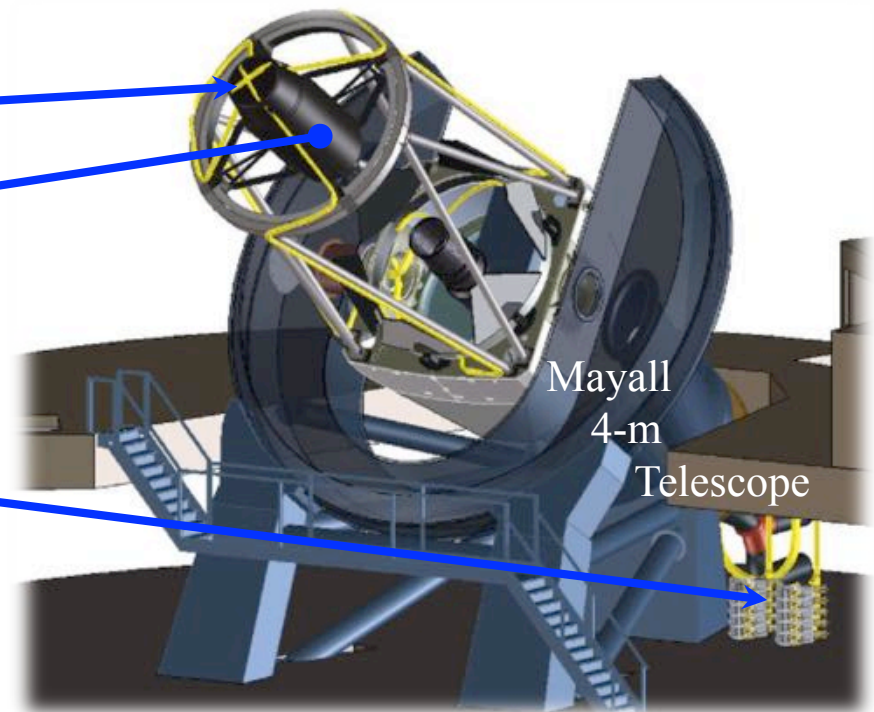
5000 fiber actuators

New 8 deg<sup>2</sup> field-of-view  
corrector

DES heritage

10 New spectrographs

BOSS heritage



# DESI Science Objectives

---



- Is cosmic expansion accelerating because of a breakdown of General Relativity (GR) on cosmological scales or because of a new energy component that exerts repulsive gravity within GR?
- If the latter, is it consistent with a cosmological constant or does it evolve in time?
- Any answers to this will point to new physics!
- Measure the expansion rate of the Universe
  - The distance-redshift relation  $D_A(z)$
  - Directly measure  $H(z)$
- Measure the rate at which structures grow in the Universe
  - Growth function and its derivatives
- DESI will do both in one survey

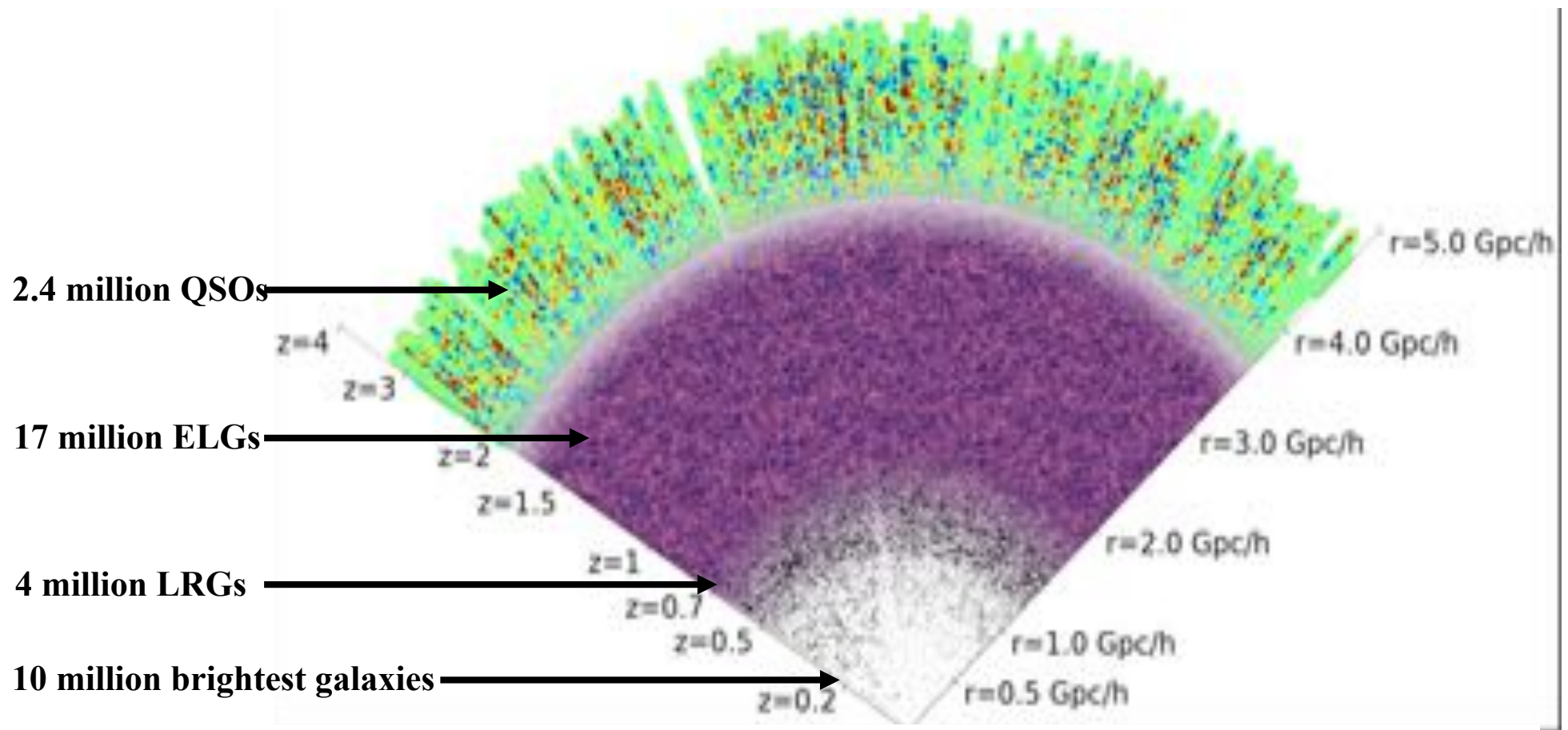


# DESI survey



Five target classes spanning redshifts  $z=0 \rightarrow 3.5$ .

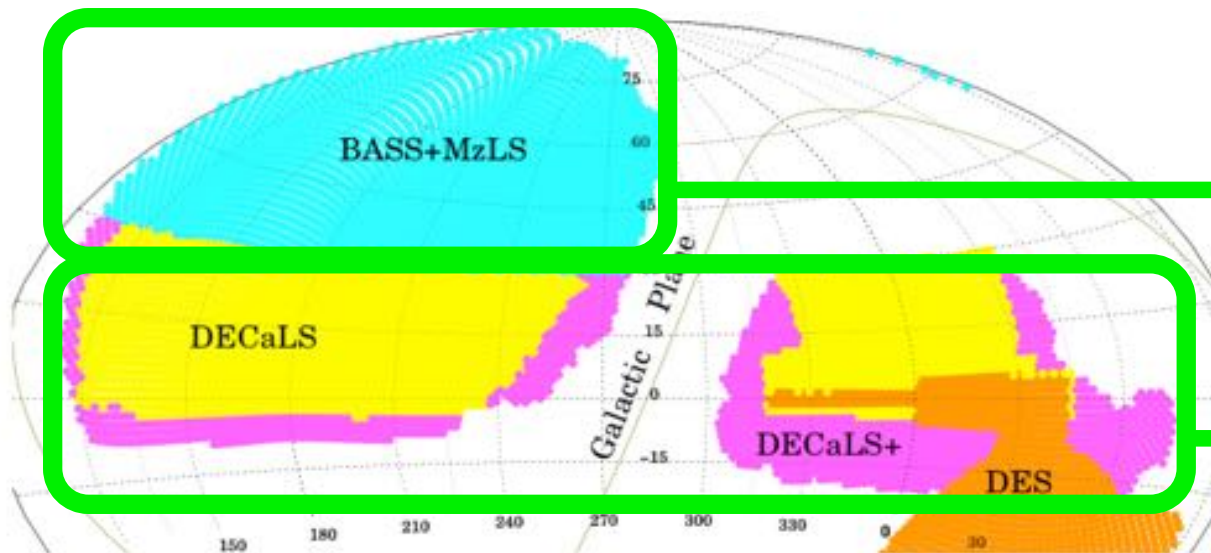
~34 million redshifts over 14,000 sq. degrees (baseline survey).



# DESI Survey Area & Imaging



- 14,000 sq. degree footprint defined by low Galactic and atmospheric extinction
- DESI targeting requires new imaging over this area



**“North cap”:**

**Accessible from Northern telescopes only**

Bok (gr) + Mayall (z)

**“Equatorial”:**

**Accessible from Northern or Southern telescopes**

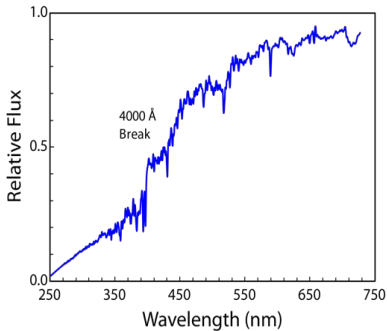
DECam, including DECaLS project started August 2014, first data release of ~800 sq. deg. May 2015

- **Combined imaging:  $g=24.0$ ,  $r=23.6$ ,  $z=23.0$**   
(compare to SDSS  $g=22.2$ ,  $r=22.2$ ,  $z=20.5$ )

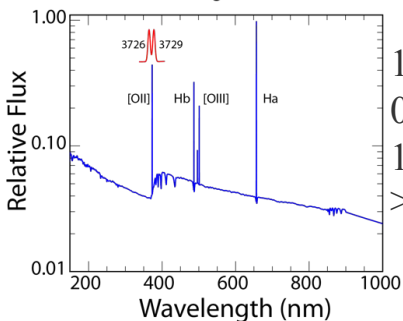
# DESI Hubble Diagram



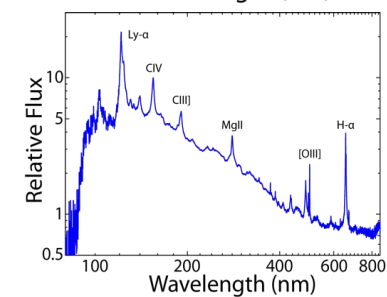
Target type	z range	Target density deg <sup>-2</sup>	Good z density deg <sup>-2</sup>	$\Delta z/(1+z)$ precision	$\Delta z/(1+z)$ systematic	Bad z assignment	Completeness
LRG	0.4–1.0	350	300	0.0005	0.0002	< 5%	> 95%
ELG	0.6–1.6	2400	1280	0.0005	0.0002	< 5%	> 90%
QSO	< 2.1	170	120	0.0025	0.0004	< 5%	> 90%
Ly- $\alpha$	> 2.1	90	50	0.0025	-	< 2%	> 72%



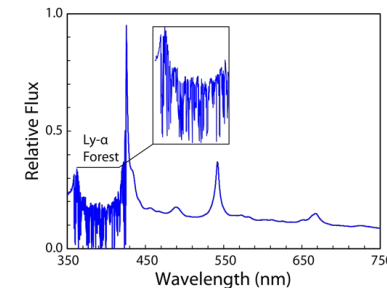
300/sq. degree  
 $0.4 < z < 1.0$   
 4.2 M  
 >95% completeness



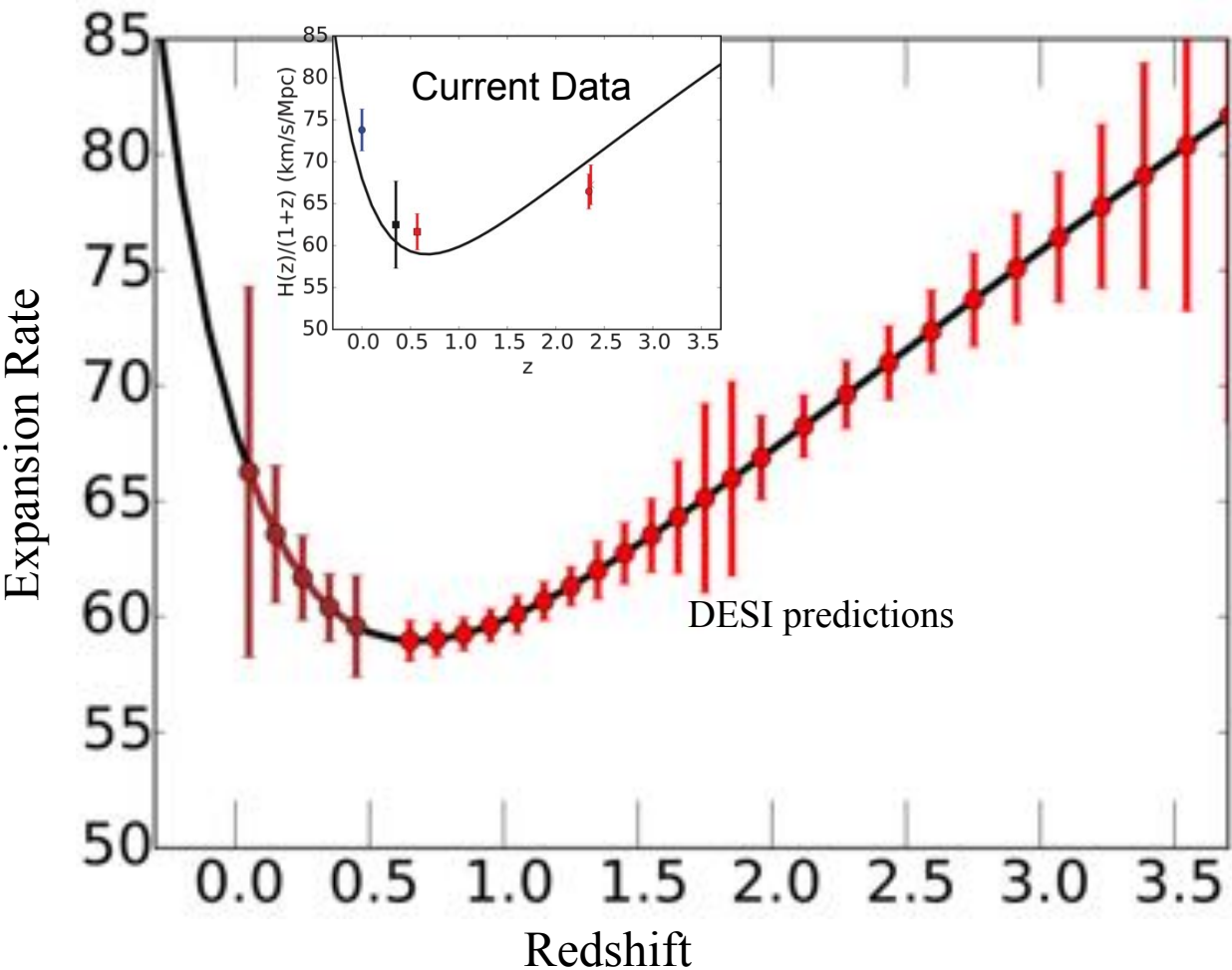
1280/sq. degree  
 $0.6 < z < 1.6$   
 17.9 M  
 >90% completeness



120/sq. degree  
 $0.9 < z < 2.1$   
 1.7 M  
 >90% completeness

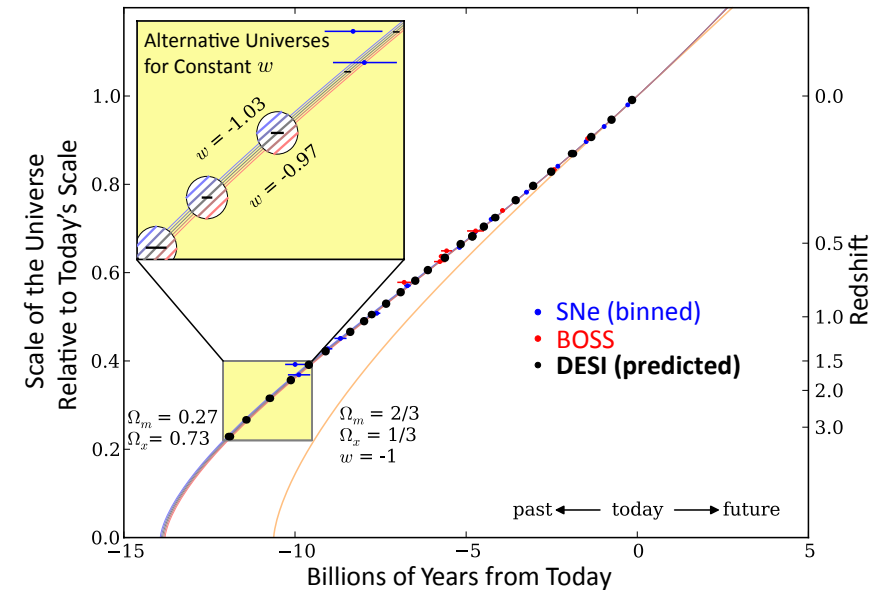
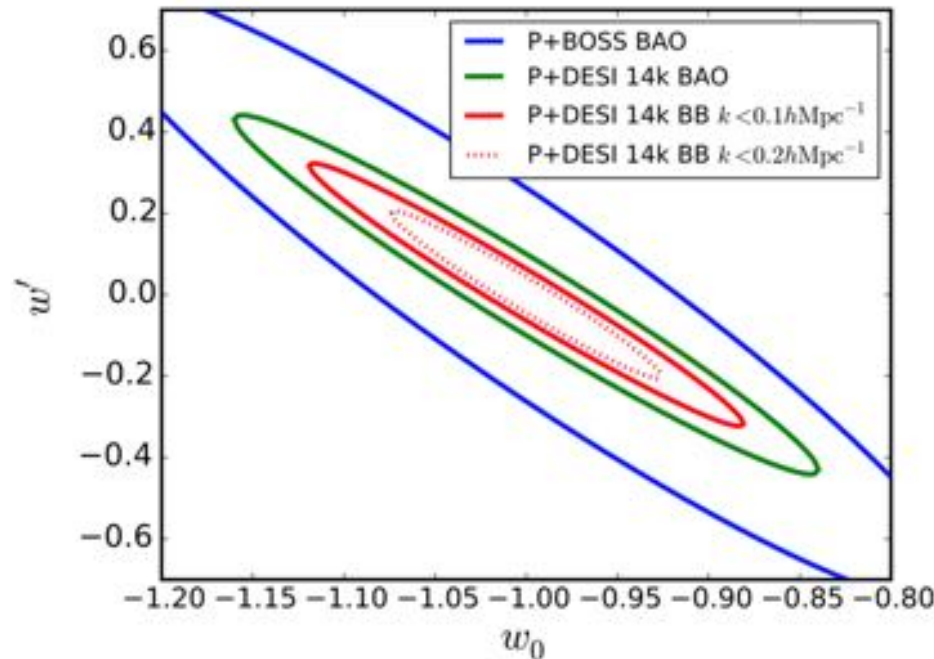


50/sq. degree  
 $z > 2.1$   
 0.7 M  
 >70% completeness





# DESI is a Stage IV DE Experiment



- **BAO FoM for baseline survey = 143**
- **Significant improvement when adding additional information from DESI and when adding external constraints**
- **DESI will discriminate DE models**

Surveys	FoM	$a_p$	$\sigma_{w_p}$	$\sigma_{\Omega_k}$
BOSS BAO	37	0.65	0.055	0.0026
DESI 14k galaxy BAO	112	0.72	0.025	0.0013
DESI 14k galaxy and Ly- $\alpha$ forest BAO	143	0.74	0.024	0.0011
DESI 14k BAO + gal. broadband to $k < 0.1 h Mpc^{-1}$	303	0.75	0.016	0.0009
DESI 14k BAO + gal. broadband to $k < 0.2 h Mpc^{-1}$	687	0.74	0.011	0.0007



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- 



## Readout & Control



# DESI Instrument

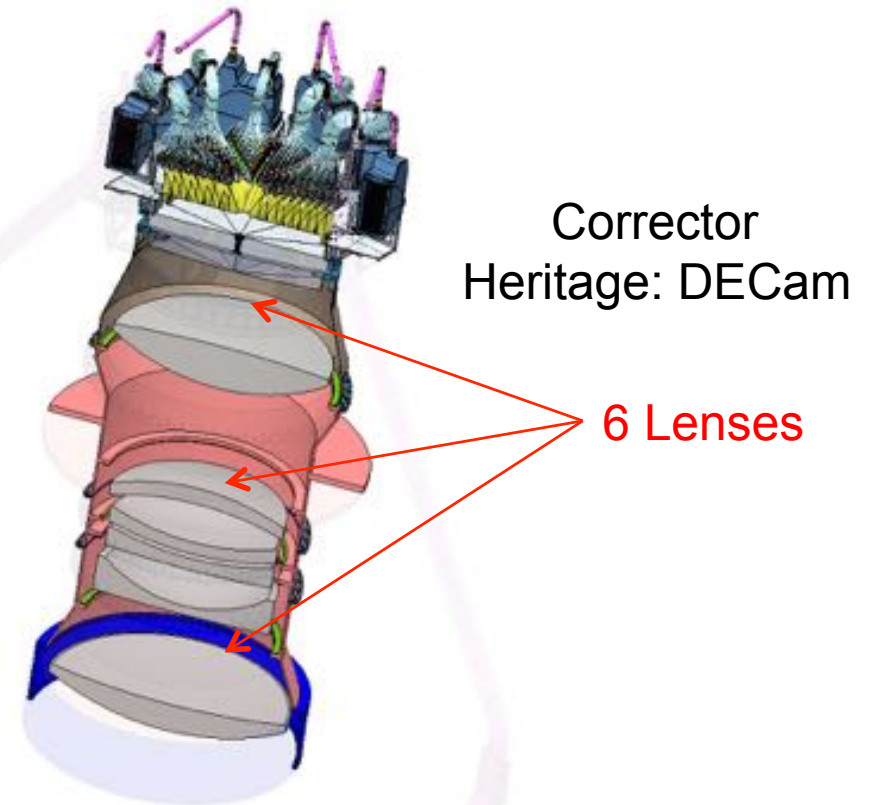


The DESI project will build:

A new instrument to study dark energy

**To be installed and commissioned on the Mayall Telescope**

A new corrector for the telescope (creating an  $8 \text{ deg}^2 \text{ FOV}$ )



# DESI Instrument



The DESI project will build:

A new instrument to study dark energy

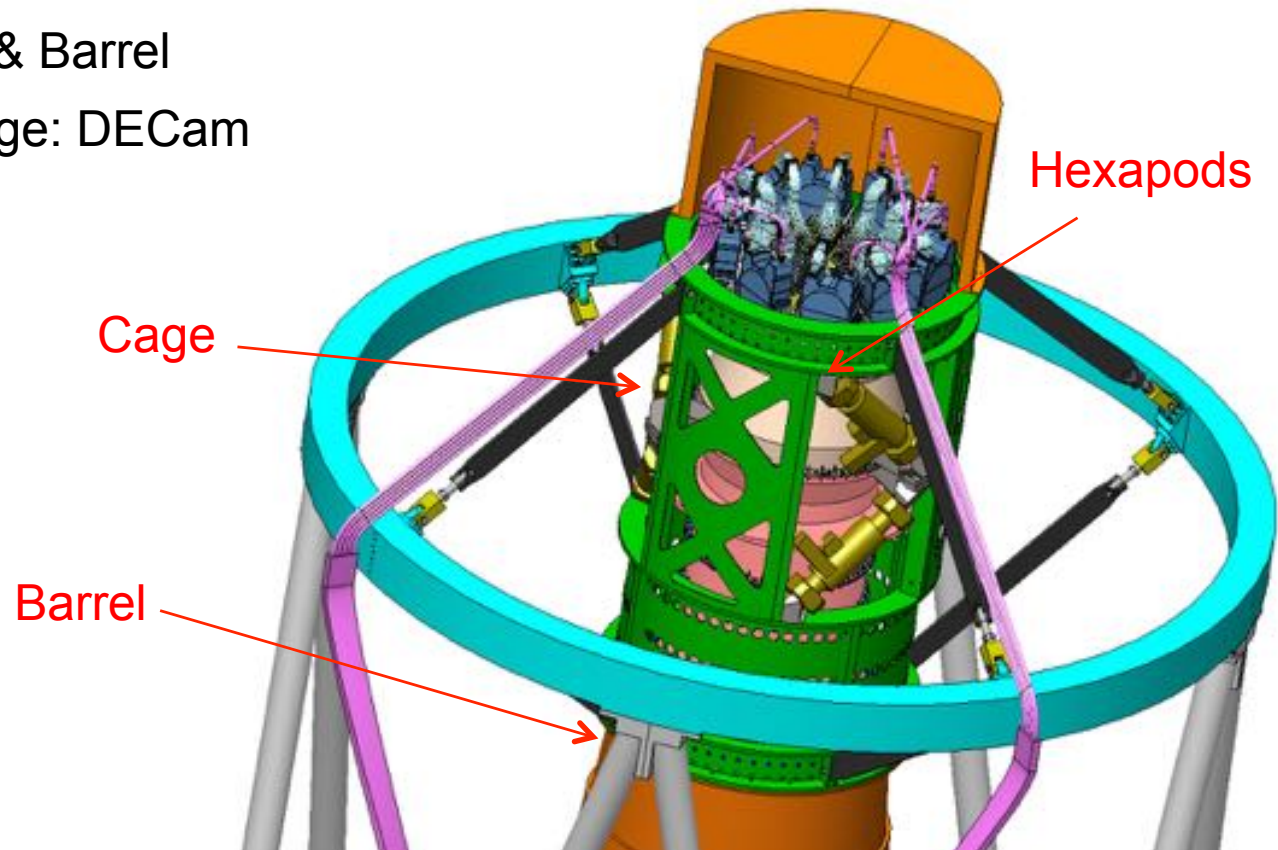
**To be installed and commissioned on the Mayall Telescope**

A new corrector for the telescope (creating an 8 deg<sup>2</sup> FOV)

A new top ring and cage, barrel and hexapod assembly

Cage & Barrel

Heritage: DECam





# DESI instrument



The DESI project will build:

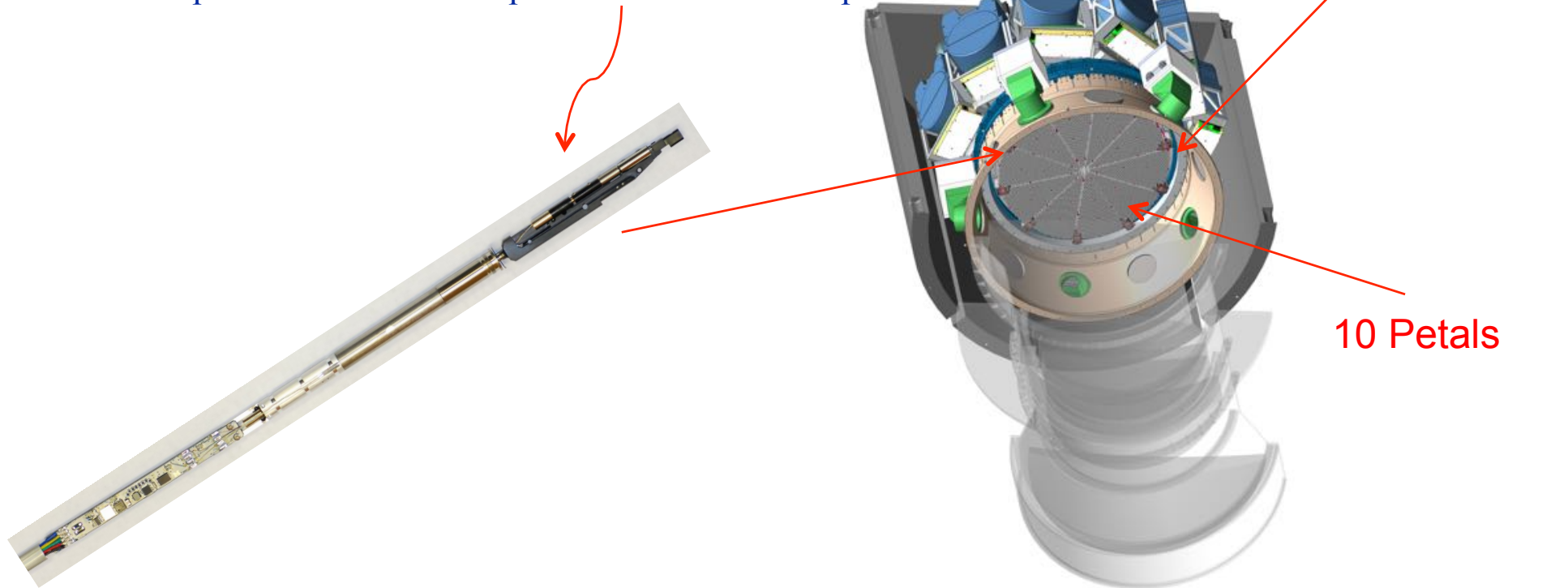
A new instrument to study dark energy

**To be installed and commissioned on the Mayall Telescope**

A new corrector for the telescope (creating an 8 deg<sup>2</sup> FOV)

A new top ring and cage, barrel and hexapod assembly

A focal plane with 5000 fiber positioner robots in 10 petals





# DESI instrument



The DESI project will build:

- A new instrument to study dark energy

- To be installed and commissioned on the Mayall Telescope**

- A new corrector for the telescope (creating an 8 deg<sup>2</sup> FOV)

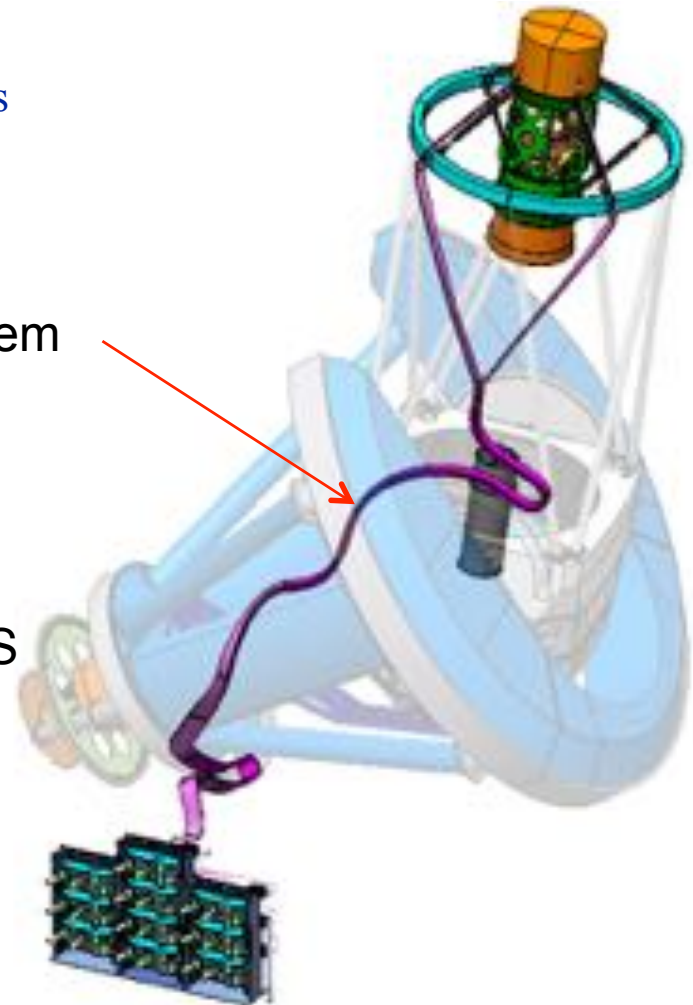
- A new top ring and cage, barrel and hexapod assembly

- A focal plane with 5000 fiber positioner robots in 10 petals

- A fiber optic system to transport the light to spectrographs

Fiber System

Heritage: SDSS/BOSS



# DESI instrument



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**To be installed and commissioned on the Mayall Telescope**

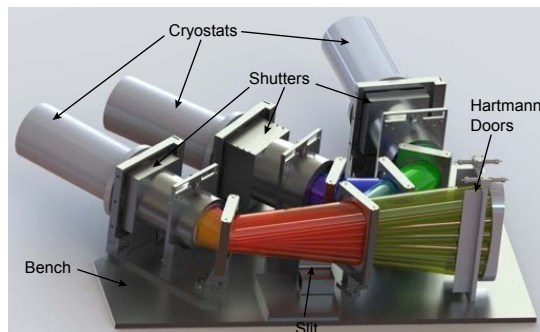
A new corrector for the telescope (creating an 8 deg<sup>2</sup> FOV)

A new top ring and cage, barrel and hexapod assembly

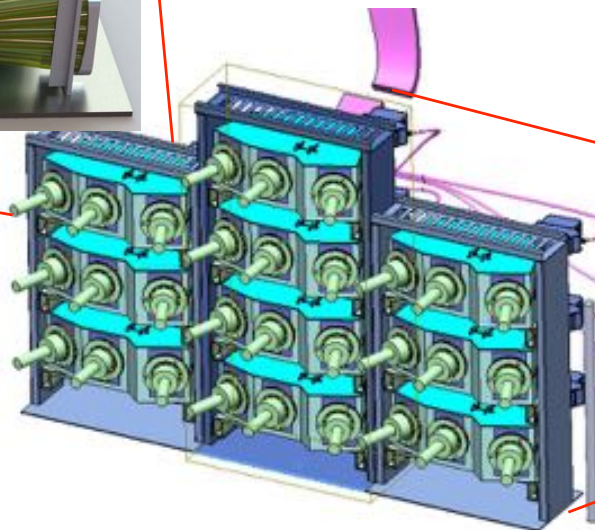
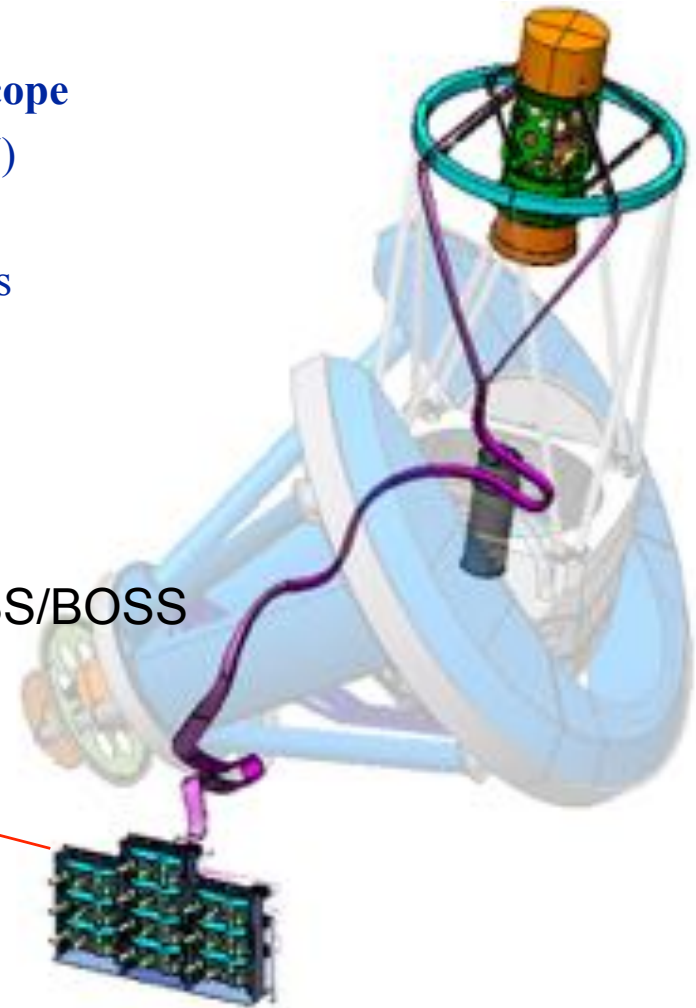
A focal plane with 5000 fiber positioner robots in 10 petals

A fiber optic system to transport the light to spectrographs

Ten 3-arm spectrographs based upon the BOSS design



Spectrograph  
Heritage: SDSS/BOSS



# DESI Instrument



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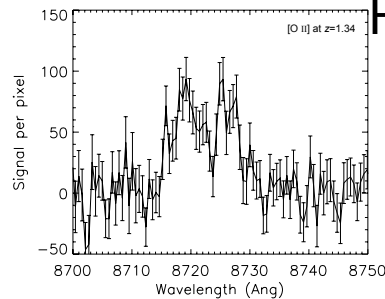
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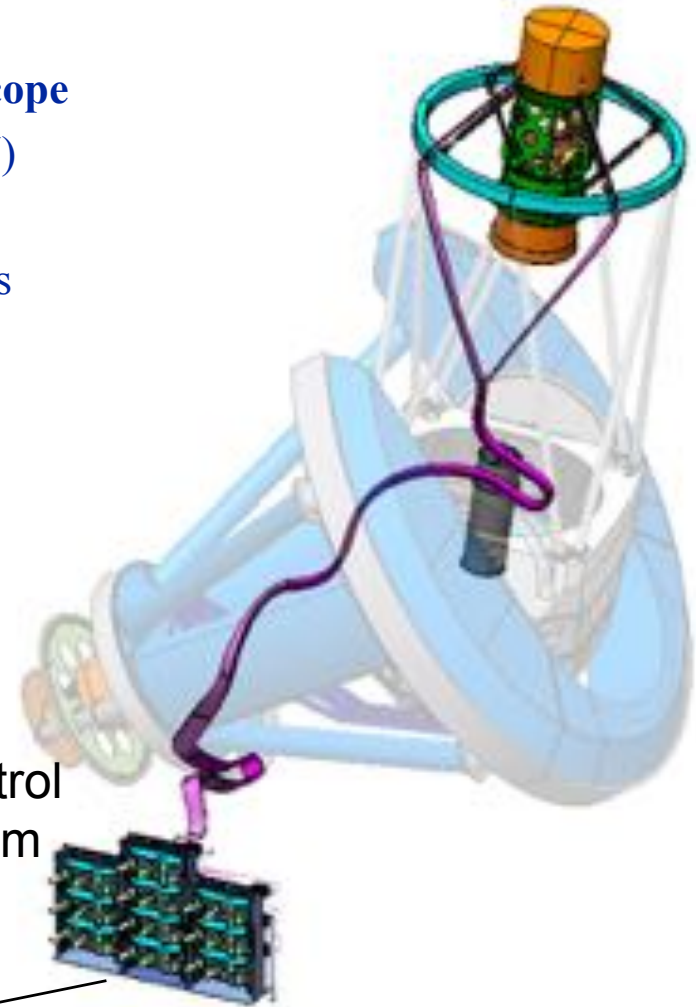
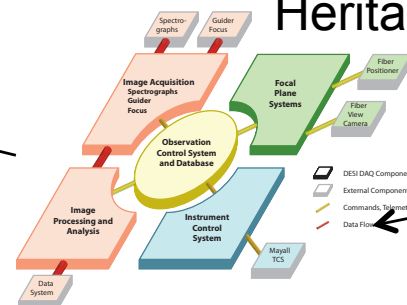
Instrument controls and data processing

Data System

Heritage: BOSS



Instrument Control  
Heritage: DECam



# DESI Instrument



The DESI project will build:

- A new instrument to study dark energy

- To be installed and commissioned on the Mayall Telescope**

- A new corrector for the telescope (creating an 8 deg<sup>2</sup> FOV)

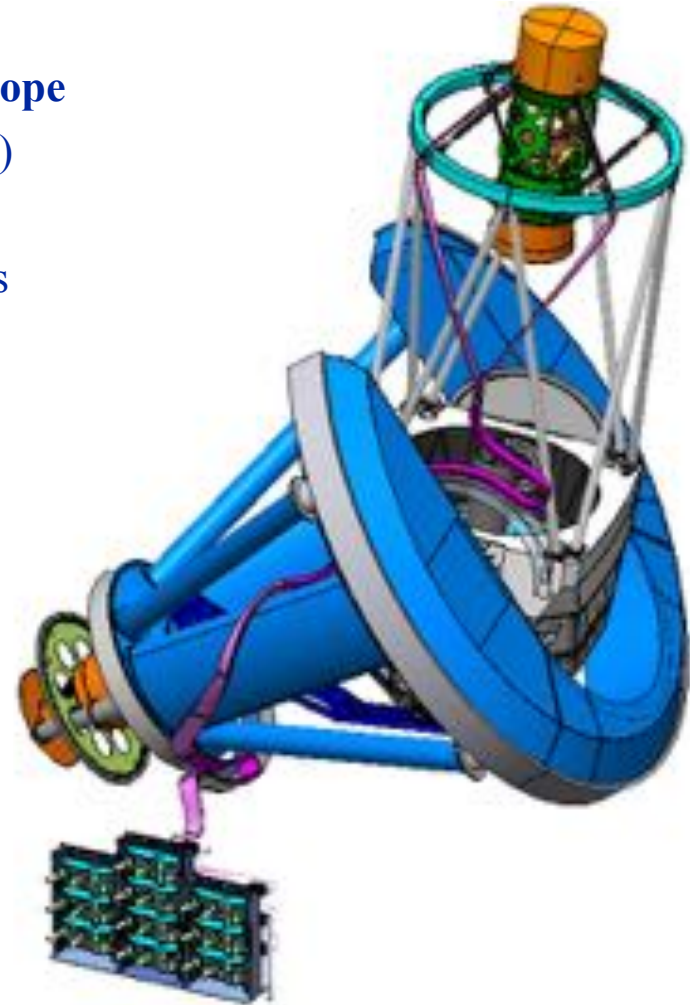
- A new top ring and cage, barrel and hexapod assembly

- A focal plane with 5000 fiber positioner robots in 10 petals

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- Ten 3-arm spectrographs based upon the BOSS design

- Instrument controls and data processing





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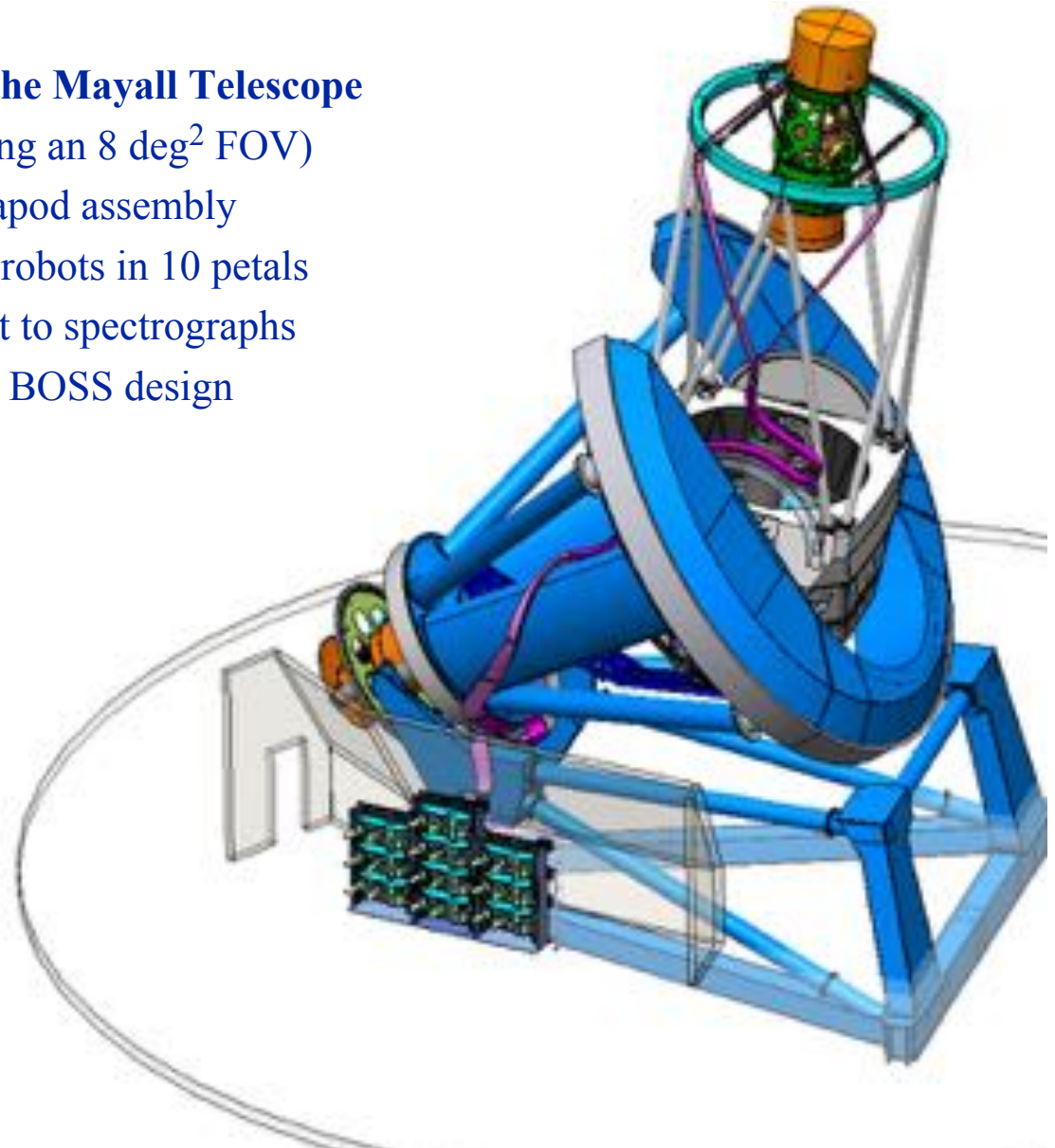
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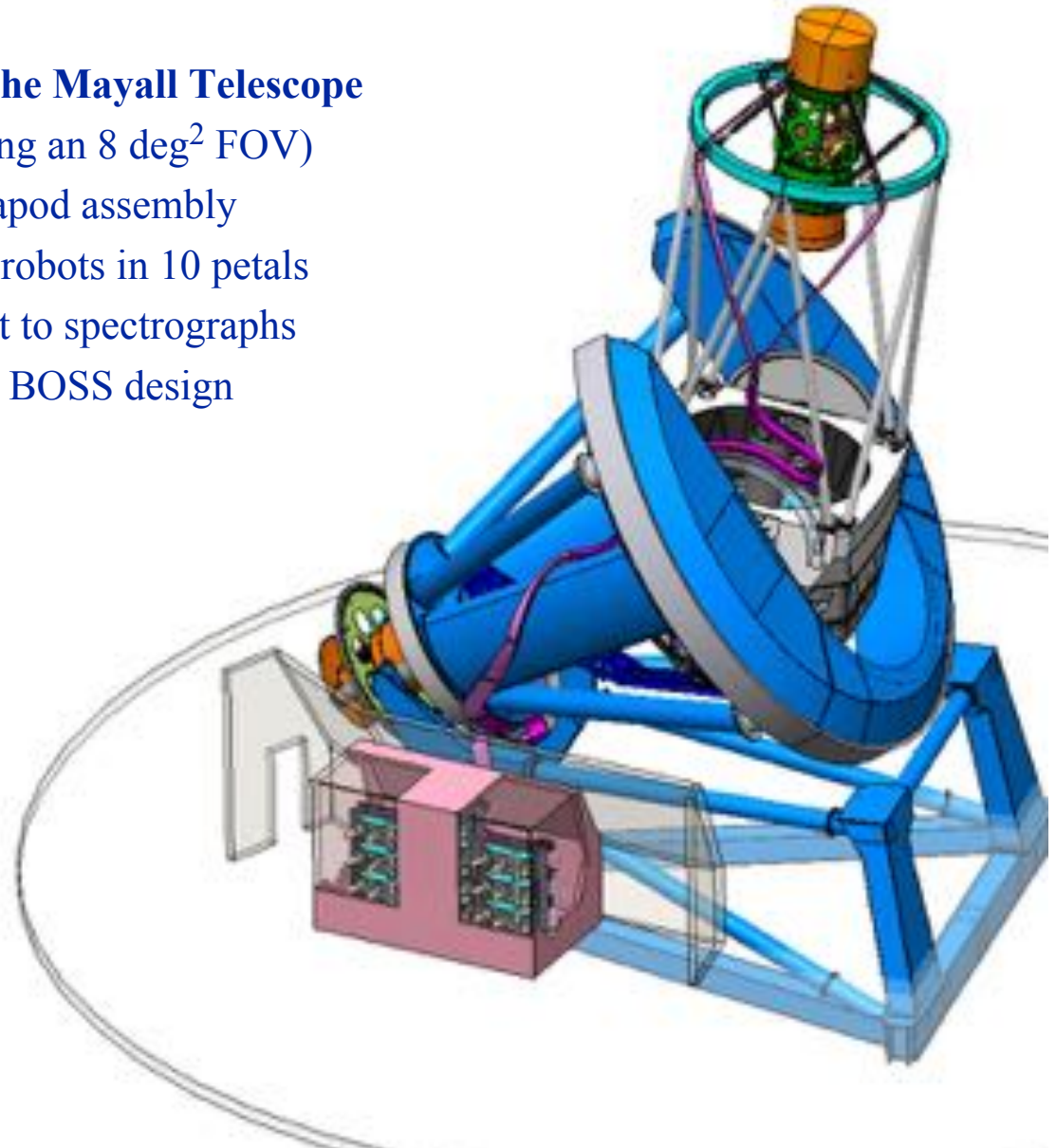
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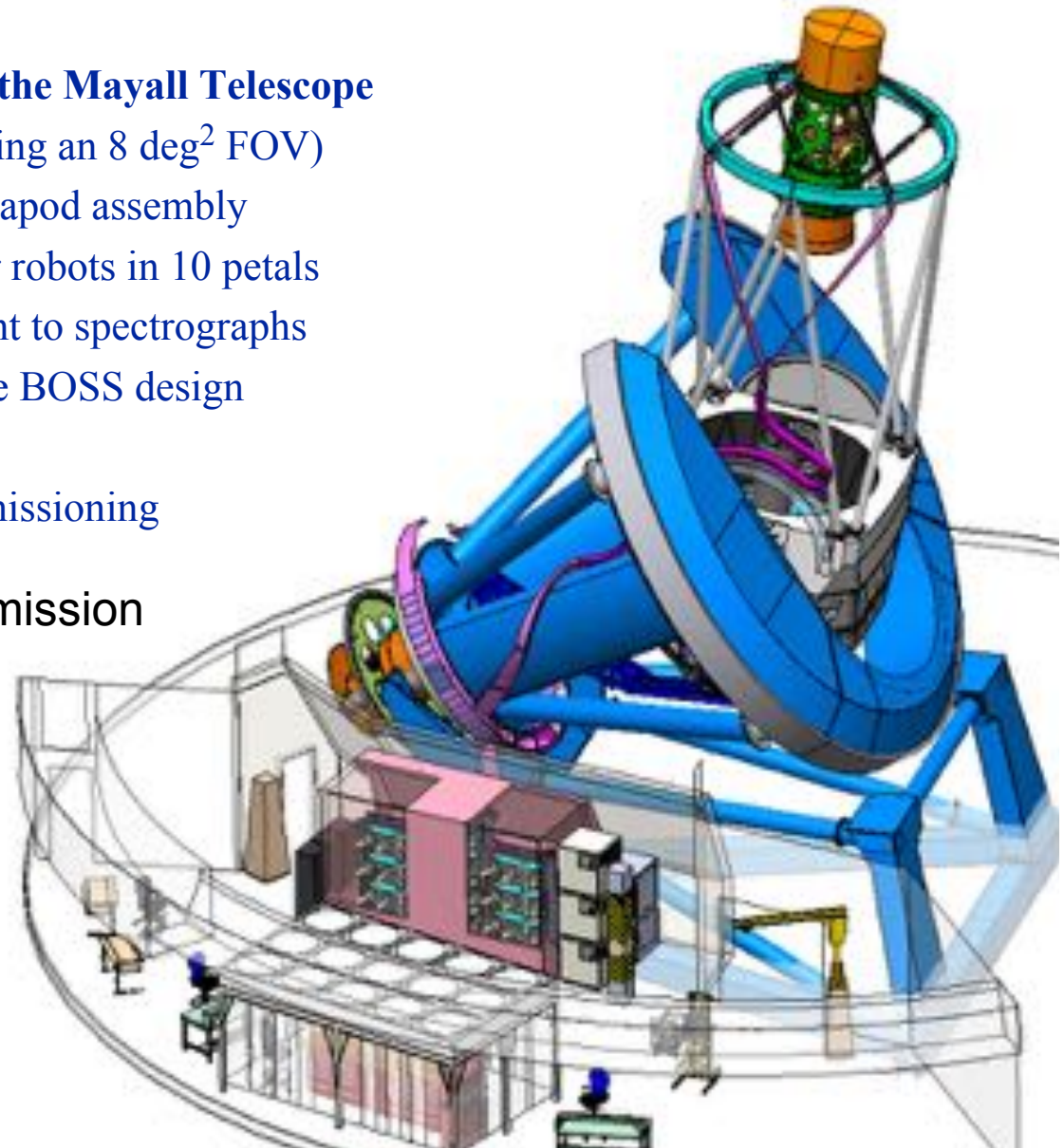
Instrument controls and data processing

Test and verification, installation, commissioning

Assembly, Integration, Test & Commission

Category	Item	Quantity	Unit
Mechanical	Top Ring and Cage Assembly	1	Set
	Barrel and Hexapod Assembly	1	Set
	Focal Plane Assembly	1	Set
	Fiber Optic System	1	Set
	3-Arm Spectrograph	10	Units
	Instrument Controls	1	Set
	Data Processing	1	Set
	Test and Verification	1	Set
	Installation	1	Set
	Commissioning	1	Set
Electrical	Power Distribution	1	Set
	Signal Processing	1	Set
	Control System	1	Set
	Data Acquisition	1	Set
	Instrumentation	1	Set
	Calibration	1	Set
	Verification	1	Set
	Integration	1	Set
	Assembly	1	Set
	Commissioning	1	Set
Software	Control Software	1	Set
	Data Processing Software	1	Set
	Instrumentation Software	1	Set
	Calibration Software	1	Set
	Verification Software	1	Set
	Integration Software	1	Set
	Assembly Software	1	Set
	Commissioning Software	1	Set
	Documentation	1	Set
	Training	1	Set

Heritage: DECam





# Project Status

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- **CD-1 signed by DOE on March 19**
  - Then executed “advanced procurement authority” (APA) to acquire the gratings for the spectrograph. Receiving TEC funds for this purpose.
  - Draft APA for early start on CCD fabrication
- **Technical/Preliminary Design Reviews (Spring 2015):**
  - Corrector, Barrel, Focal Plane, Fiber System, Spectrograph, Instrument Control, Data System, Installation and Commissioning
- **CD-2 passed on July 2015, approved September 2015**
  - Approved project scientific scope, schedule and funding profile
- **CD-3 scheduled May 2016**



# DESI Expert Collaboration



## Partners are experienced



**Barcelona:** Guiders  
*DECam*

**Fermilab (U.S.):** Telescope top-end + lens cell  
**w/ UCL (U.K.):** Telescope optics  
*Dark Energy Survey top-end + optics*



**Durham:** Fibers + testing  
*FMOS + Fibers for physics exp'ts*



**LAM + CPPM (France):** Spectrographs  
*VIMOS spectrographs*

**CEA (France):** Cryo systems  
*Megacam cryo*

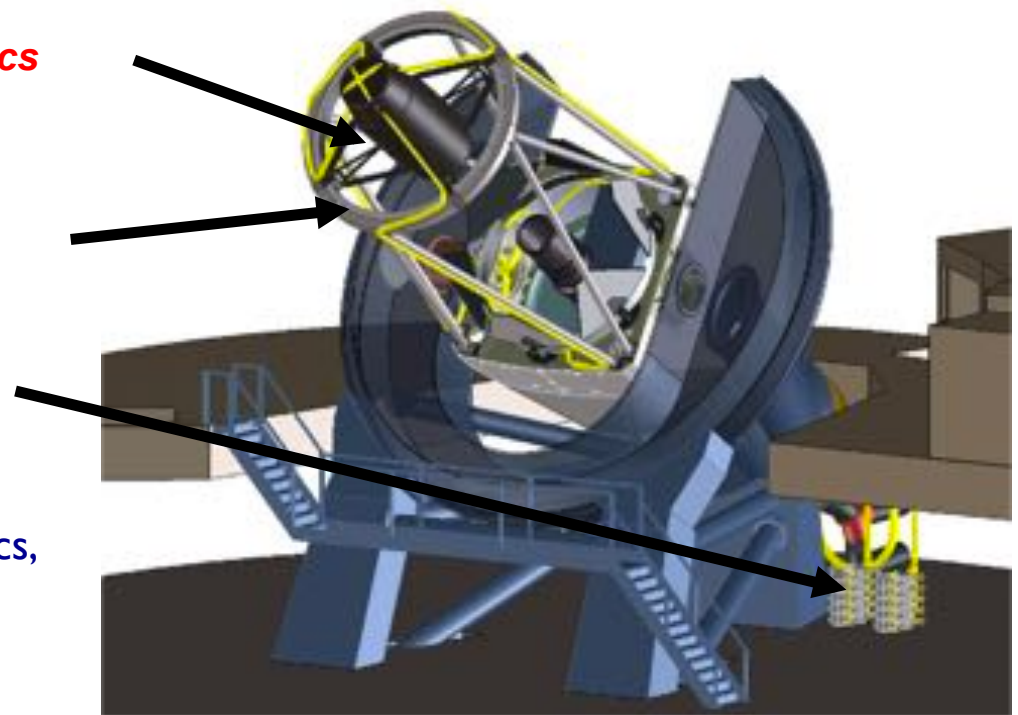
**Berkeley Lab (U.S.):** CCDs + electronics,  
optical design, project management  
*WFIRST/JDEM optical design*  
*DES, BOSS, JDEM detectors*

**Yale:** fiber view camera */QUEST*

**U Michigan:** positioners */DES*

**SLAC, Ohio State:** data acquisition + guiding  
*BOSS, DES, LSST*

**NOAO:** telescope interface, operations *DECam*



# DESI Collaboration has grown to ~200 Participants and 43 Institutions



## Institution Membership

AMU RPG	RPG
Arizona	Full
Barcelona-Madrid RPG	RPG
CPG-NAOC	RPG
Durham	Full
EPFL	Full
FNAL	Full
LBNL	Full
Mexico RPG	RPG
Michigan	Full
NOAO	Full
OSU	Full
Portsmouth	Full
Saclay	Full
SLAC	Full
UK RPG	RPG
UCB	Full
UCL	Full
ANL	Assoc (3)
KASI	Assoc (3)
Penn	Assoc (3)
Yale	Assoc (3)

## Institution

## Membership

Andes	Assoc (1)
Barcelona (ICC)	Assoc (1)
BNL	Assoc (1)
BU	Assoc (1)
CMU	Assoc (1)
Cornell	Assoc (1)
ETHZ	Assoc (1)
Harvard	Assoc (2)
GMT RPG	Assoc (3)
Irvine	Assoc (1)
KIAS	Assoc (1)
LLNL	Assoc (1)
LPNHE	Assoc (1)
Pittsburgh	Assoc (2)
Queensland	Assoc (1)
Siena	Assoc (1)
SMU	Assoc (1)
Swinburne	Assoc (1)
Toronto	Assoc (1)
UCSC	Assoc (2)
Utah	Assoc (2)

# Our Contribution

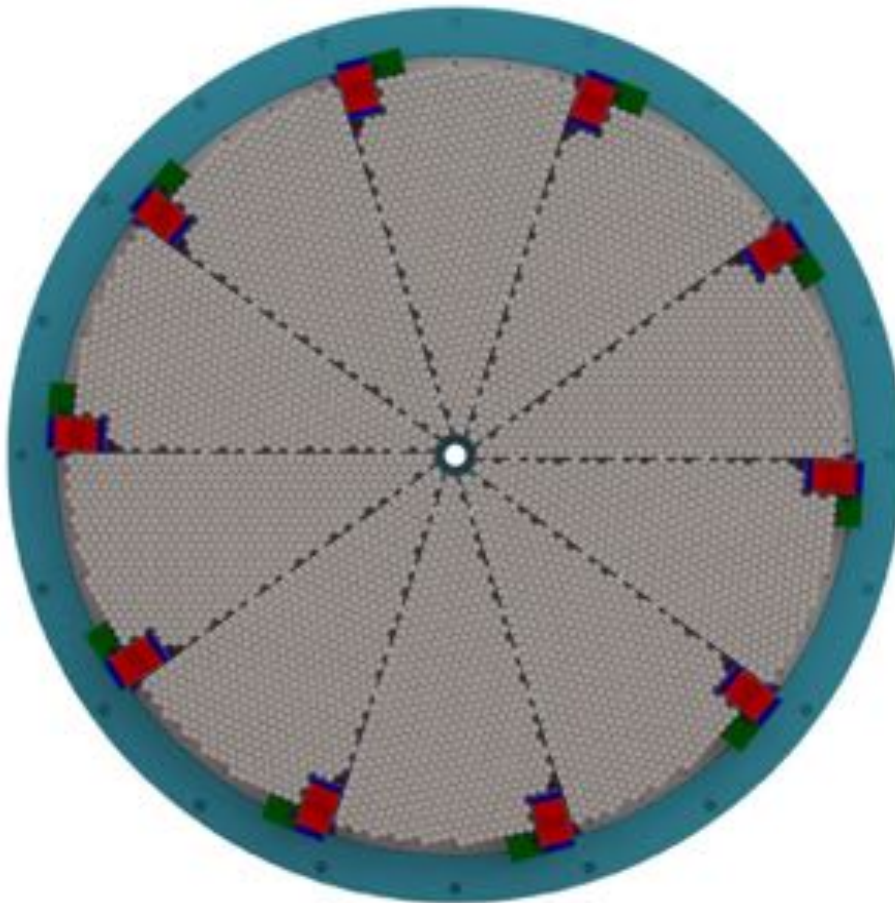
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## Barcelona-Madrid Regional participation Group

- **Institutions**
  - ICE, IEEC/CSIC
  - IFAE
  - CIEMAT
  - IFT/UAM
- **Instrumentation**
  - Guide Focus and Alignment Units
  - Guiding software
- **Science**
  - Working Group participation
  - Leading image validation task force
- **Management**
  - Part of Institutional Board
  - Member of several committees

# Guide, Focus & Alignment



- Focal Plane composed of 10 petals
- Each petal contains a GFA
- GFA of two types:
  - 6 for guiding and field acquisition
  - 4 for focus and alignment
  - Identical except for optical filter



# Guide, Focus & Alignment

---



- GFA requirements DESI-0526:
- Guiding
  - Centroids with 0.03 arcsecond accuracy at 1 Hz ( $<0.5$ s latency).
  - At least 3 focus GFA with approximate symmetry around focal plane
  - 4 windowed star images and 1 windowed sky image archived per camera
- Environment
  - Must survive within DESI survival requirement (DESI-0583)
  - Shall operate (with degraded performance) under all observing conditions: galactic latitude, seeing, clouds
  - Function even when bright stars hit sensor

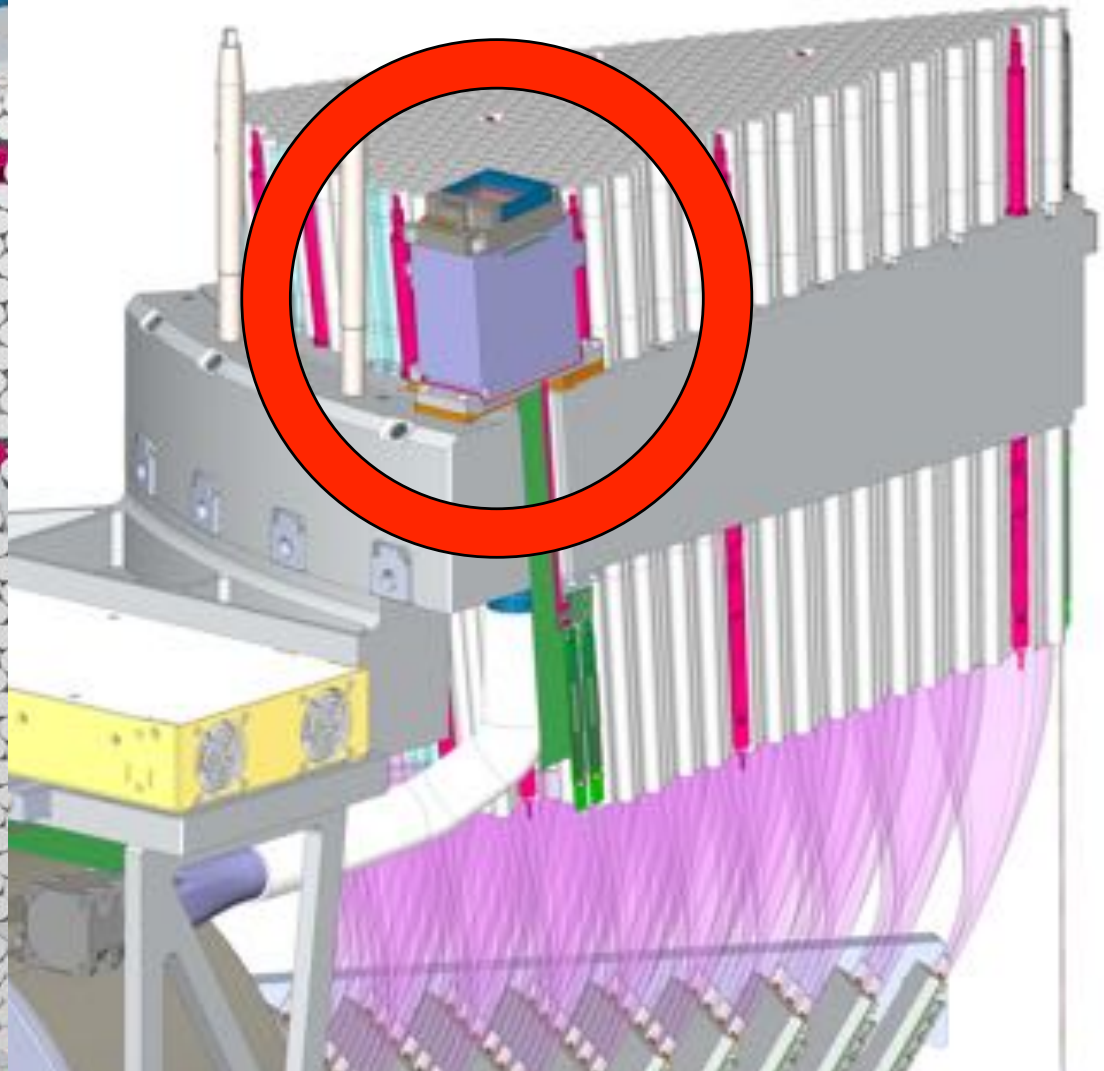
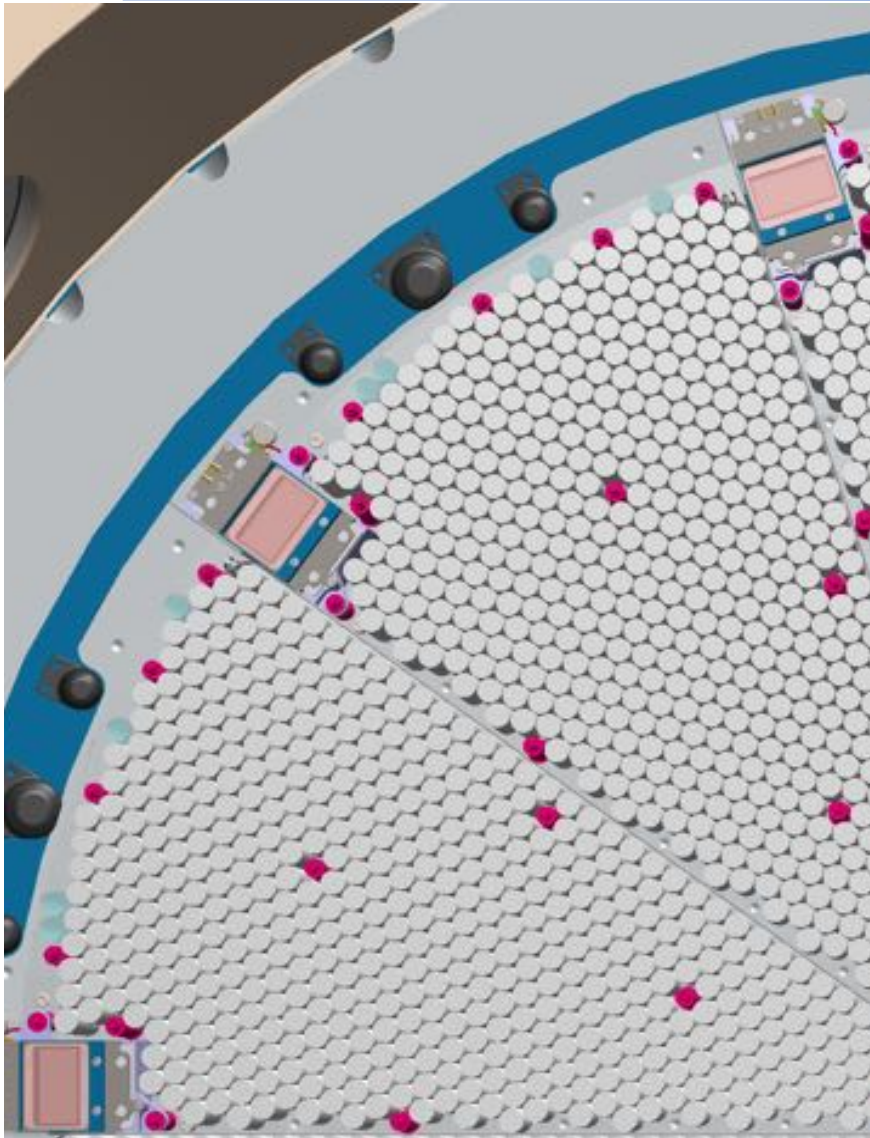
# Guide, Focus & Alignment

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- Field acquisition
  - Take acquisition exposure and determine current pointing within 20 seconds of slew.
  - Field acquisition images archived
  - Full frame images taken by any GFA on command.
- Focus and Alignment
  - Process at least 50 out of focus stars within 5 seconds
  - Determine barrel defocus relative to M1 within 30  $\mu\text{m}$ .
  - Determine barrel tip/tilt relative to M1 within 10 arcseconds

# Guide, Focus & Alignment

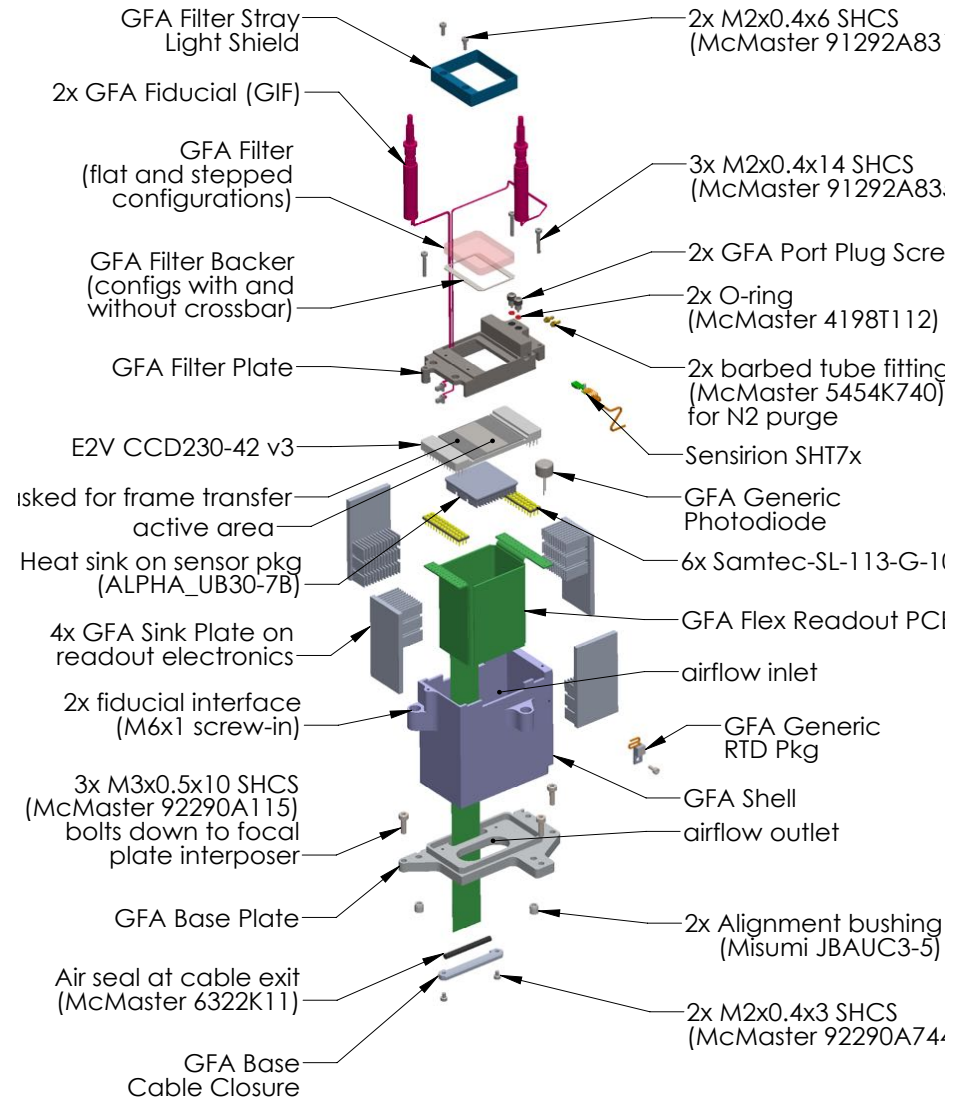




# Guide, Focus & Alignment



- Each petal has one camera.
- Light first passes through an optical filter (R)
- Central region of CCD is active.
- Edges are used for frame store.
- Electronics stays within unit allocated volume
- Thermal dissipation through air flow
- Integrate two fiducials
- Interface with FPA through a mount plate
- Implement a photodiode for unit safety



# Guide, Focus & Alignment

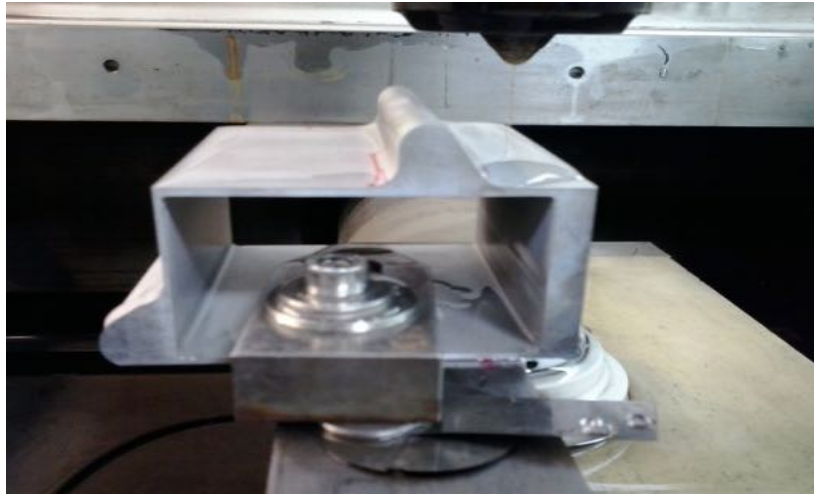
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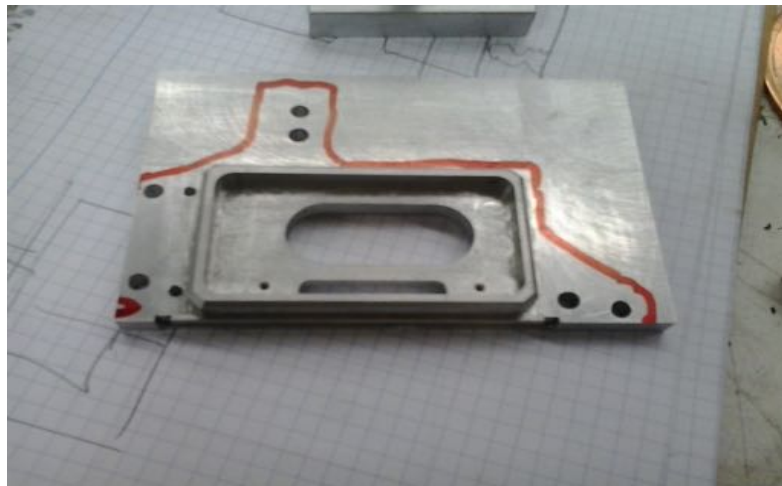
## Work packages

- Mechanics
- Electronics
- Gluing
- Software control
- Optics
- CCD characterization
- AIV

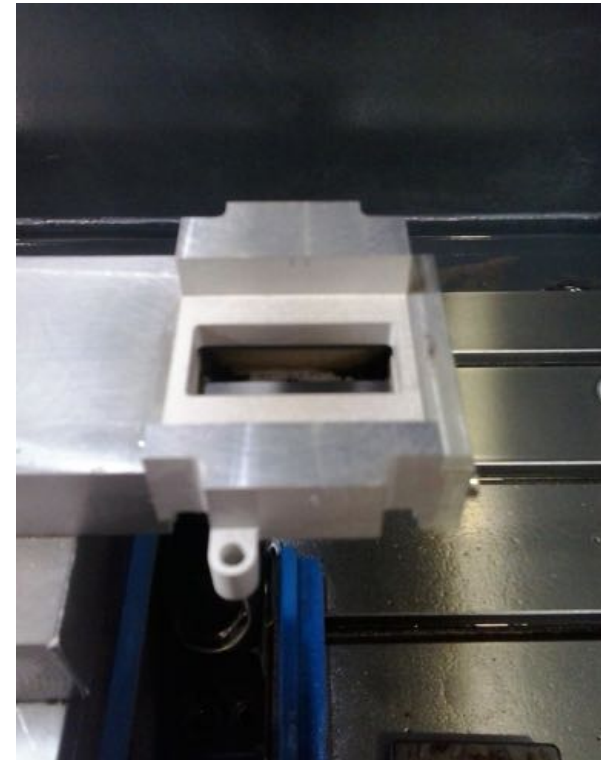
# Guide, Focus & Alignment



GFA Shell



GFA Base Plate

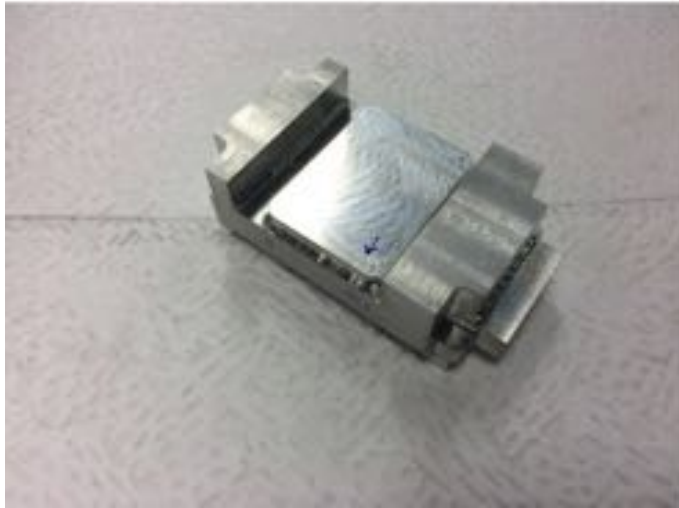


GFA Filter Plate



# Guide, Focus & Alignment

---



Gluing testing

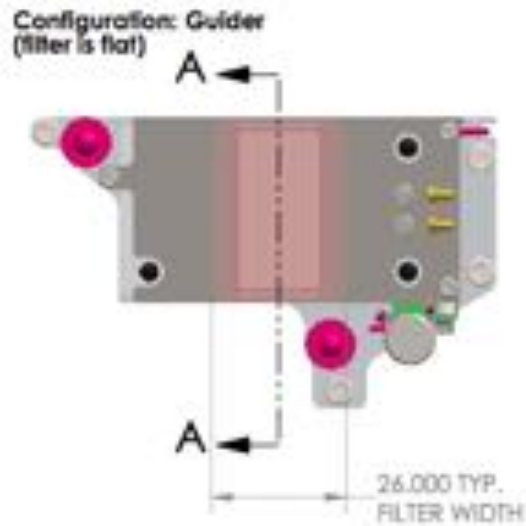


Leakage testing

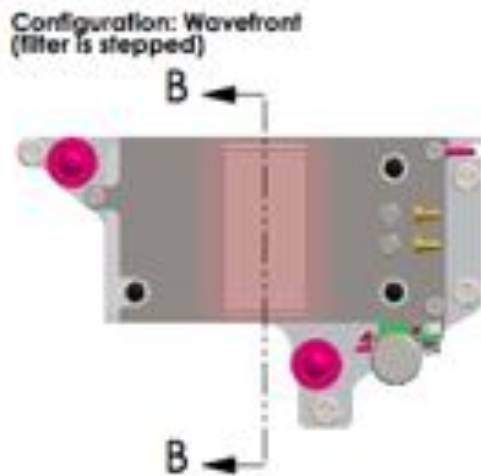
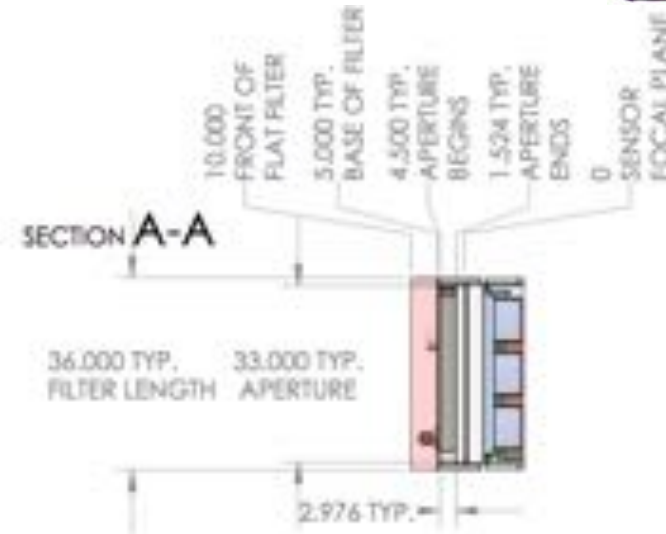


Alignment testing

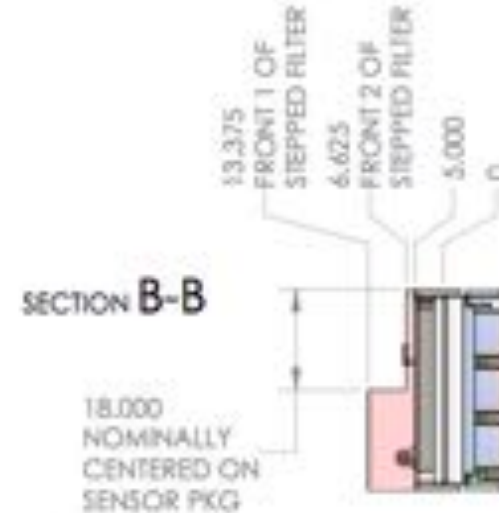
# Guide, Focus & Alignment



Guiding &  
Acquisition



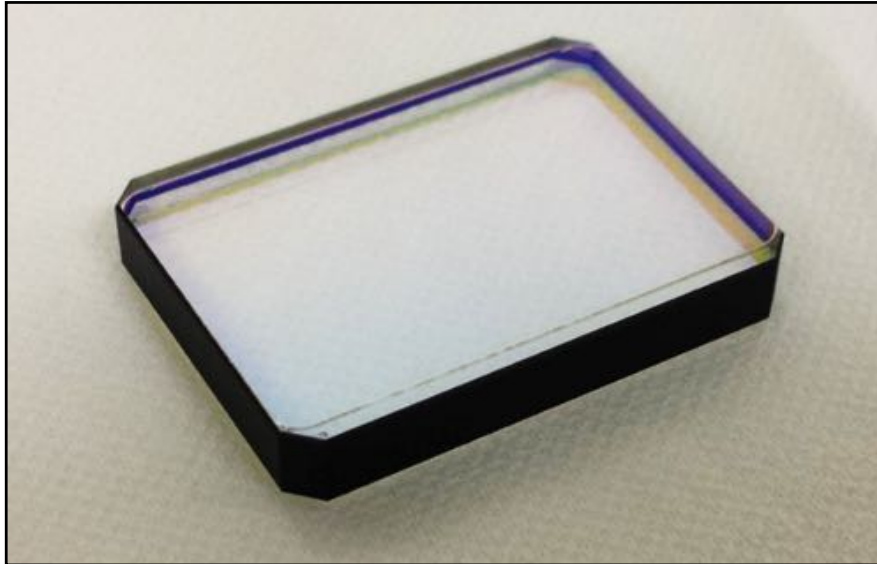
Focus &  
Alignment



# Guide, Focus & Alignment



**Guider filter (flat)**



**Wavefront filter (stepped)**



- 3 samples of each type were tested, all were coated in same batch
- Tests were made of:
  - transmission
  - wavefront
  - scratch-dig
  - temperature extremes
  - humidity extremes
  - bonding to Ti backer

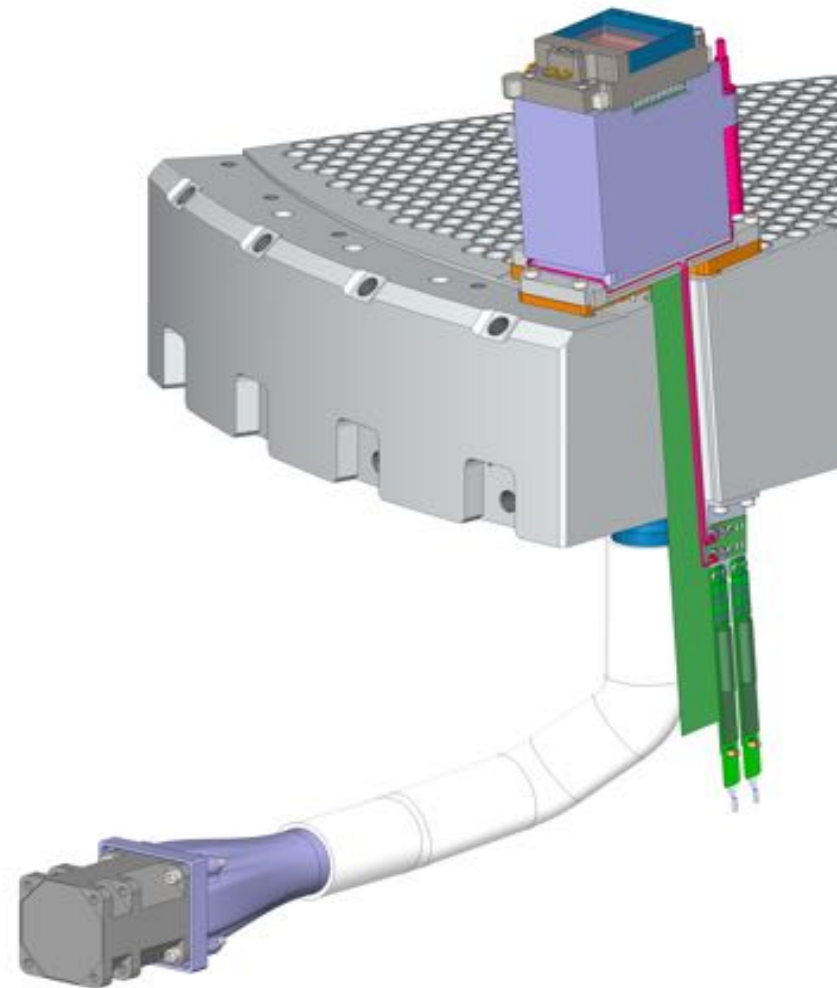


# Guide, Focus & Alignment



- GFA designed to operate at ambient temperature
- Advantages:
  - Greatly simplifies focal plane requirements having no cryogenics.
  - Removes condensation risk.
  - Thermoelectric cooling would consume significant fraction of system thermal budget.
  - Cooled by flow of air from a small fan.
    - flow rate requirement low, 5 cfm (DESI-0724)

Fan Duty Cycle	Air speed (ft/min)	Flow Rate (CFM)
10%	174	5.568
20%	229	7.328
30%	273	8.736
40%	322	10.304
50%	355	11.36
60%	382	12.224
70%	404	12.928
80%	426	13.632

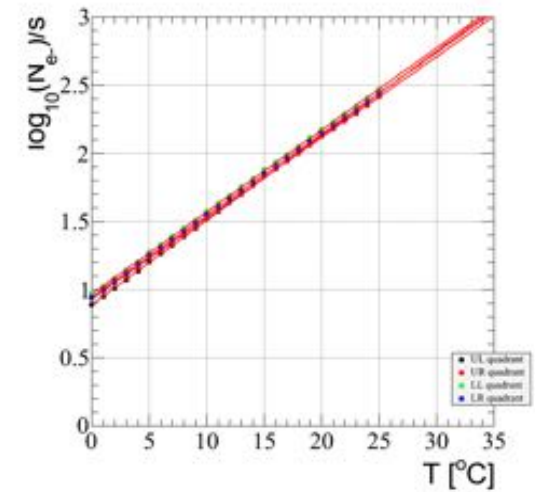
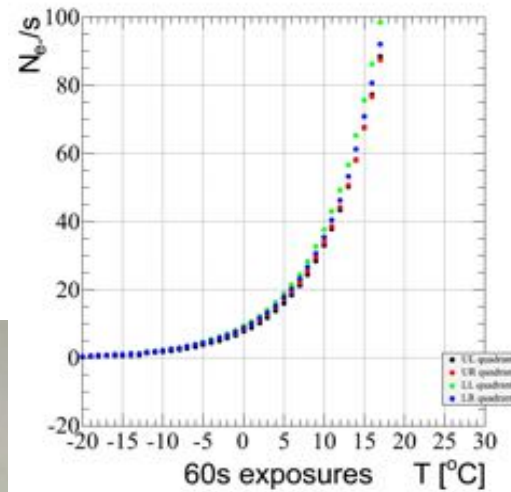


Flow test results: (DESI-1252)

# Guide, Focus & Alignment



- e2v ccd230-42 selected
  - Low dark current  $\sim 140$  e-/s at operating temperature

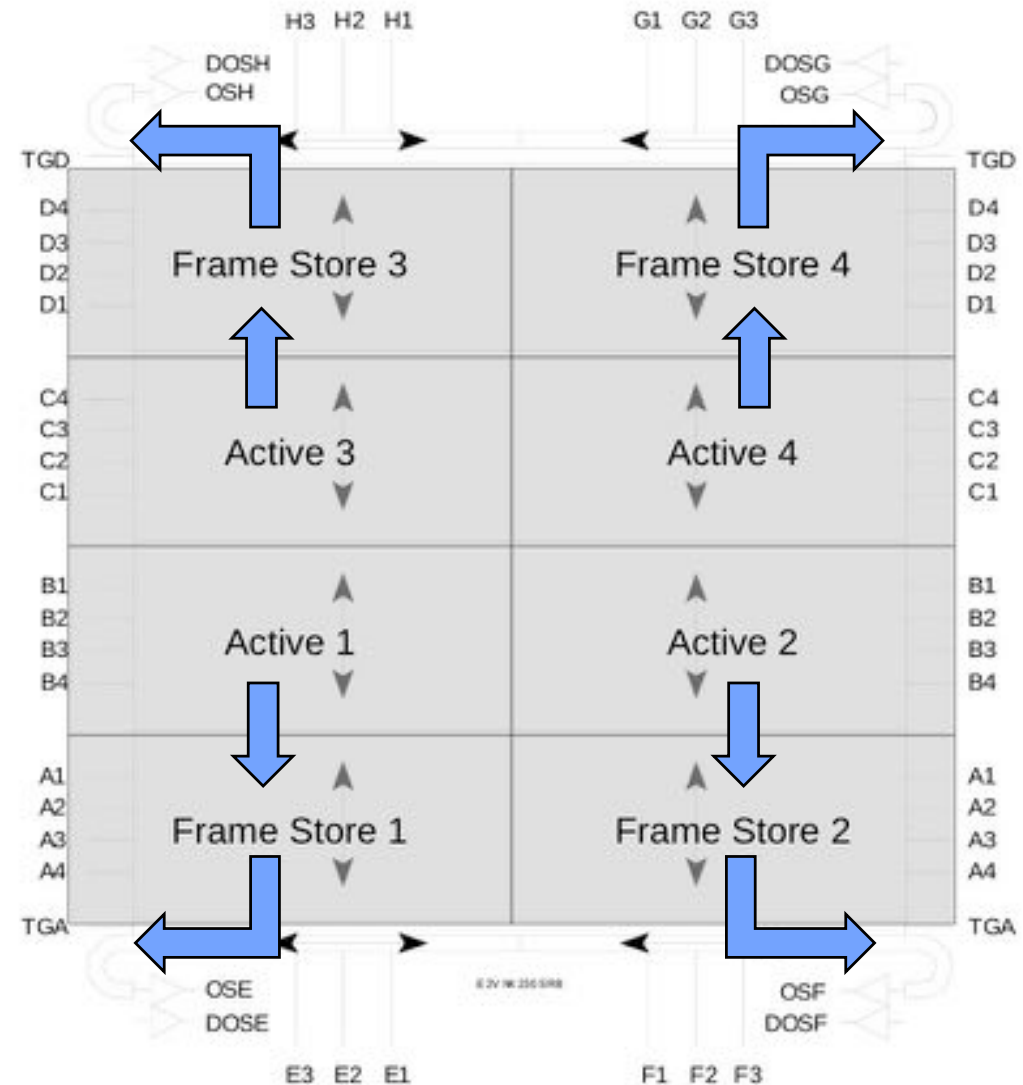


Temperature [°C]	Dark Current [e <sup>-</sup> /s]	Temperature [°C]	Dark Current [e <sup>-</sup> /s]
-21.0	<1	4.0	14.8
-20.0	<1	5.0	17.2
-19.0	<1	6.0	19.6
-18.0	<1	7.0	22.5
-17.0	<1	8.0	25.9
-16.0	<1	9.0	30.0
-15.0	<1	10.0	34.7
-14.0	1.0	11.0	39.7
-13.0	1.2	12.0	45.4
-12.0	1.5	13.0	52.3
-11.0	1.7	14.0	60.3
-10.0	2.0	15.0	69.9
-9.0	2.3	16.0	79.6
-8.0	2.7	17.0	91.0
-7.0	3.2	18.0	104.4
-6.0	3.7	19.0	120.3
-5.0	4.2	20.0	139.2
-4.0	4.9	21.0	158.1
-3.0	5.6	22.0	180.3
-2.0	6.4	23.0	206.1
-1.0	7.4	24.0	236.4
0.0	8.5	25.0	273.5
1.0	9.8	26.0	309.0
2.0	11.0	27.0	349.2
3.0	12.7	27.9	396.6

# Guide, Focus & Alignment



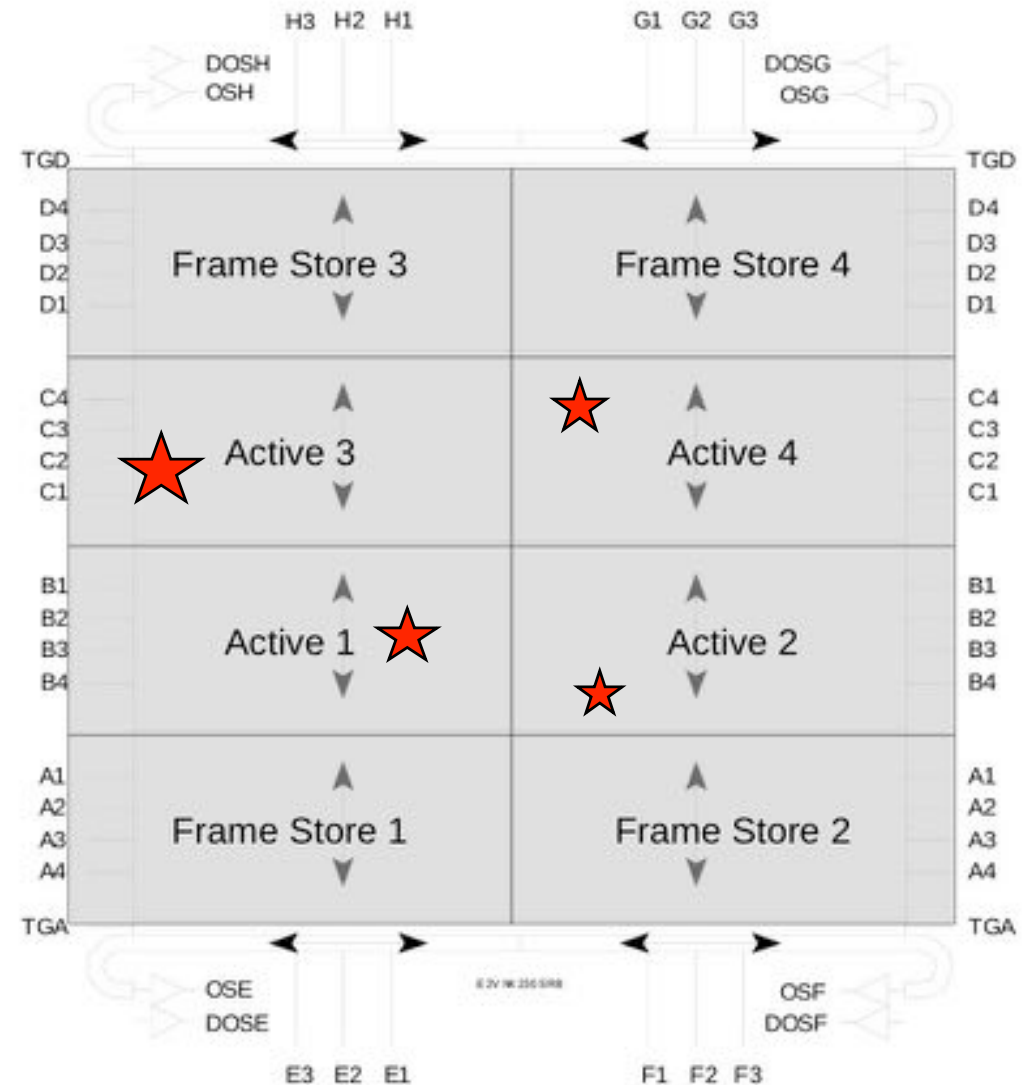
- e2v ccd230-42 selected
  - Low dark current ~140 e-/s at operating temperature
  - 4 channel readout
  - 2M pixel active area with frame store
  - Can read out full frame in 0.5 seconds (1 MHz clocking)



# Guide, Focus & Alignment

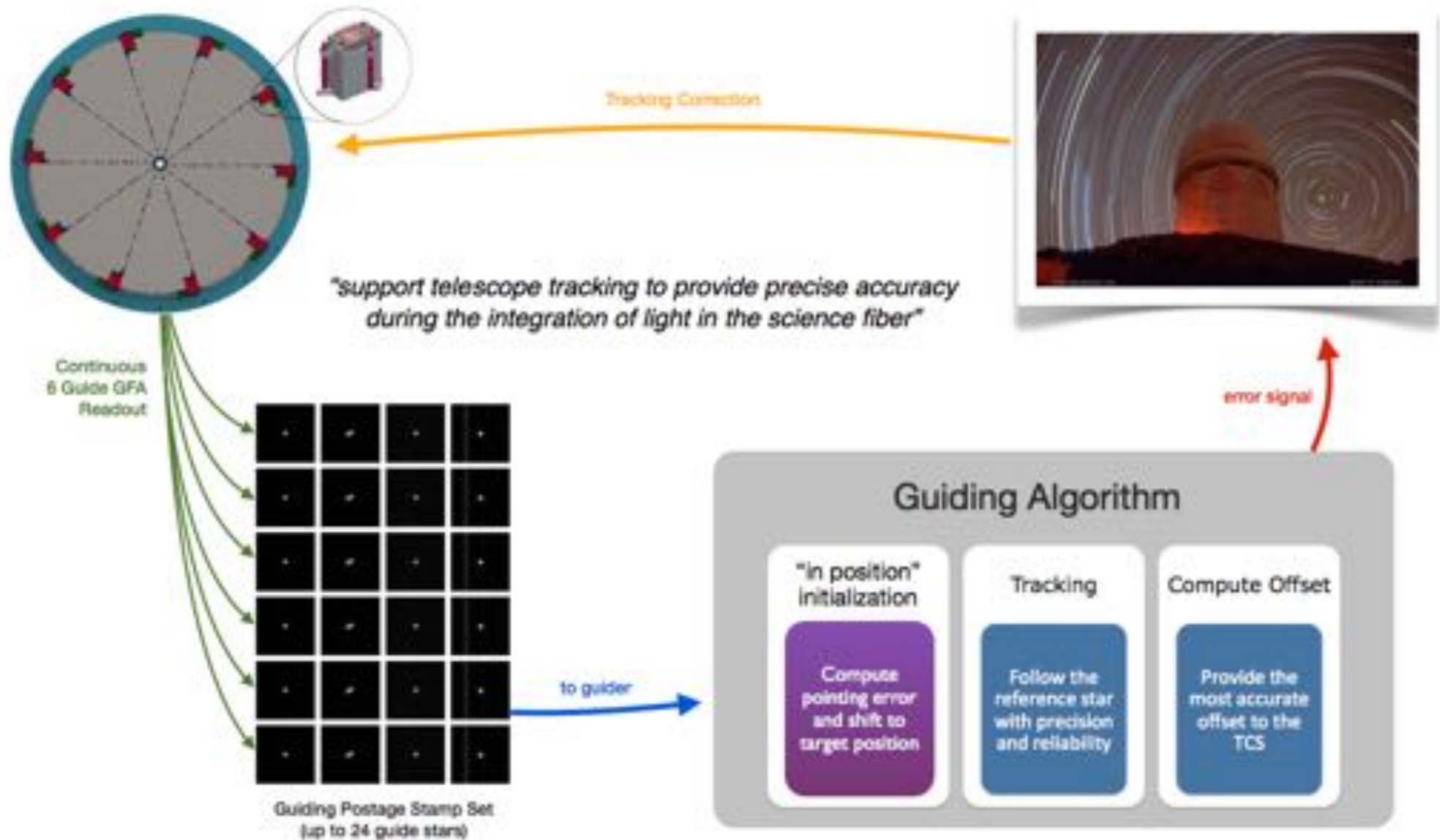


- e2v ccd230-42 selected
  - Low dark current ~140 e-/s at operating temperature
  - 4 channel readout
  - 2M pixel active area with frame store
  - Can read out full frame in 0.5 seconds (1 MHz clocking)
  - Can ROI each channel
    - all 4 channels clocked together so ROI star on one channel and 3 (mostly) sky ROI
    - enough stellar density for guiding targets
  - Many Hz readout possible in ROI mode





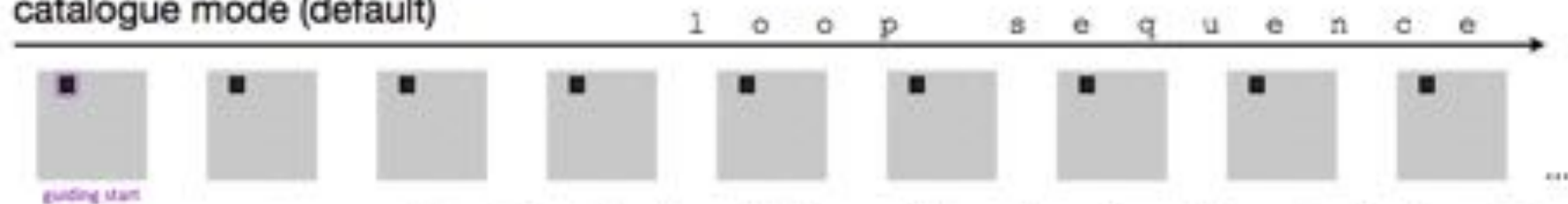
# Guiding software



# Guiding software



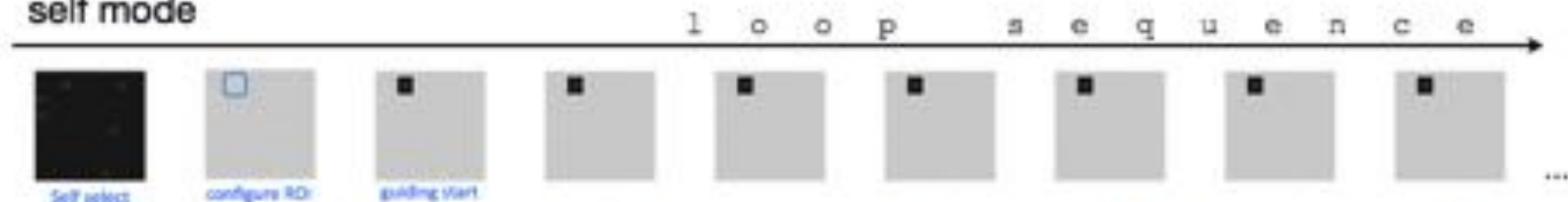
## catalogue mode (default)



"The guider will receive a list of zero-point positions where the target guide stars are"

*Default operation mode.*

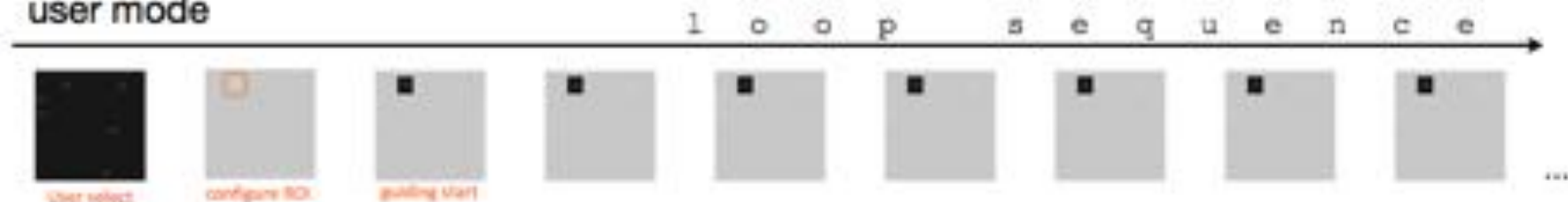
## self mode



"Guider finds best star by itself from full CCD image. Configures ROI and starts guiding"

*Starts guiding after 20s.*

## user mode



"User pick the guide star by itself from full CCD image. Configures ROI and starts guiding"

*For specific cases or test use only.*

# Guiding software



## Compute Offset (every iteration)



For every CCD, measure the distance between the actual position of the reference star and the Zero Point

Single CCD

$$OutputOffset = \frac{\sum_{iCCD}^{activeCCDs} offset_{iCCD} \cdot GuideStarSN_{iCCD}}{\sum_{iCCD}^{activeCCDs} GuideStarSN_{iCCD}}$$

Centroids more accurate in stars with higher S/N



Weighted Mean

Multiple CCDs

# Guiding software



Target SNR  $>10$  on stars mag  $R < 17.5$

11 stars meet the target SNR at NGP (worst-case scenario) in all guiding detectors

analysis study by K. Reil

Requirement:

Providing guide signals to the telescope at 1 Hz to a precision of  $<30$  mas.

Simulation test:

10 SNR star measures the centroid within 0.1 pixel RMS precision using 4 ROIs of a single GFA.  
Assuming a scale of  $0.27''/\text{pix}$  this provides 27 mas precision of centroid measurement.



# Conclusion

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- DESI builds on the long and successful experience of multiple collaborations in defining, building and executing wide area surveys to study the formation of our universe and the mystery of Dark Energy
  - SDSS, BOSS, DES...
- DESI will essentially complete BAO measurements in the northern sky out to redshift of 1.5.
- Technical design of DESI is very mature, Private/non-DOE funding being used for lenses and prototype spectrograph
- Strong support from HEP community and DOE: CD-2/3a baseline review passed and scope and funding profile approved.
- CD-3 Review May 2016
- On track for on-sky commissioning <4 years from now in 2019 and start of the survey in 2020
- Our group is actively involved