



École Polytechnique Fédérale de Lausanne

A Control Plane in Time and Space for Locality-Preserving
Blockchains

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Master Thesis

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Chapter 1

Related Work

This work builds upon several other works that are linked to the domains of blockchains and locality. Nyle proposes a decentralized cryptocurrency using different strategies than [**bitcoin-paper**], [**Dfinity**], [**Byzcoin**], [**Omniledger**], [**Monoxide**] and [**Stellar**]. It used them as an inspiration source and share some aspects with theses general cryptocurrencies. It is somehow orthogonal to them because it can use any of theses cryptocurrencies as an underlying system and enhance them using the idea of locality to add some partition-resistance to them.

But some ideas are taken from these papers are used as well. The Sybil-resistance scheme used in the registration system is directly inspired from [**Dfinity**], using *endorsement* in the general way, which can be in practice replaced by any Sybil-resistance scheme like Proof-of-Work [**bitcoin-paper**].

In particular this work tries to solve some drawbacks of traditional cryptocurrencies like [**bitcoin-paper**], solving the problem of the waiting time for validation by using region validation and making it resistant to WW3 scenarios. [**Byzcoin**] and [**Omniledger**] gives another interesting solution to the waiting time for validation, but their results are orthogonal to this research.

[**Omniledger**] and [**Monoxide**] uses sharding to increase performance. Sharding splits the system in random committee that allow the fastest processing of the transaction. This not directly related of what is done in this work, as even if the system is split in different parts, it is not done randomly but based on the locality, and the system is replicated in all the regions. However, cryptocurrencies using shards can still be used as an underlying system of Nyle, enhancing the performance of the partition-resistant blockchain system created by this means.

This work is directly related to the locality-preserving algorithms developed in [**CRUX**] and compact-graph algorithms [**approximation oracle**]. These are described in detail in the next section.

There is a class of algorithm that uses the idea of locality in a different manner. For example

[**Geo-DNS**] or [**IP Anycast**] use the idea of locality to shorten the path for the packets, connecting the servers via the closest path.

Replication is often used to guarantee integrity of the stored information [**find-paper-replication**]. In [**CRUX**] and Nyle regional replication of the system is used to create partition-resistance, and in Nyle it can be used to allow region validation.

Classic consensus algorithms as [**Paxos**], [**PBFT**] were used as an inspiration to develop some parts of the theoretical algorithm. In practice, and for efficiency, this work use [**BlsCoSi**] that is much more efficient, using trees for communication.

This works uses a distributed public algorithm for the source of randomness like [**RandHound**]. Each node will use this source to draw a random level. The way it is used is described in detail in the next section.

Chapter 2

Background

This Master Thesis is part of a biggest project that concerns locality-preserving systems. In particular, it builds upon CRUX[[basescu2014crux](#)] and is part of Nyle. This section describes the two different projects.

2.1 CRUX

2.1.1 General Presentation

CRUX introduces a smart way of dealing with partitions in decentralized systems. The purpose is the following : partitions occur in decentralized system. But one can maybe try to find a solution to reduce their effects on the global system. For example, if a partition occurs, there is no reason that nodes that are functioning in the same side of the partition should stop working because of the partition.

The general idea is that a system can be replicated at different scales, from local (cities, region) to global. With the additional property that each replicated system will continue to work correctly if no partition splits it. If a global partition occur, then the global region might not work, but all the replicated system in local regions will still continue working. This is a direct solution to the previously mentioned problem : nodes working on the same of the partition will continue to work.

Obviously, this solution comes with an overhead, as the system should be replicated in all the regions. But there are some ways of reducing this overhead, in a way that it stays reasonable and that the resistance to partition is maintained. To reduce this overhead, CRUX algorithm for regions creation presented below ensure that the proper number of regions is created, in a manner that the number of regions created induce a reasonable overhead and that the partition

| Levels/Nb of Nodes | 100 | 200 | 500 | 1000 |
|--------------------|-----|-----|-----|------|
| 0 | 90 | 180 | 450 | 900 |
| 1 | 9 | 18 | 45 | 90 |
| 2 | 1 | 2 | 5 | 9 |
| 3 | 0 | 0 | 0 | 1 |

Table 2.1: Example of lottery with $P = 0.1$ where $k = 3$ for $N = 100, 200, 500$ and 4 for $N = 1000$

resistance stays efficient. If CRUX is used for a particular, known system, overhead can be even more reduced. As the systems are replicated in every region, most of the data is replicated along the regions. So one might actually dig inside the specification of one system and manages not to store twice the same data. But this overpass a bit the goal of CRUX, which wants to be the more general possible.

Indeed, the force of CRUX is that it is applicable to any distributed system, as no particular hypothesis on the system is made. It only starts from one simple idea : one system can be replicated at smaller scale to ensure partition resistance.

A note should be made about the CAP-theorem. Recall that this theorem states that no system can be consistent, available and partition-resistant at the same time. It seems that this solution is adding partition tolerance to available and consistent system. Thus leading to the violation of the theorem. But it is not exactly the case, as the enhanced system only ensure that nodes can still work in the same side of a partition. The regions split by a partition are not working anymore. Even if the system can still work on the same side of a partition, it's not totally partition resistant.

2.1.2 Common Tools : ARAs

This section describes how to create the regions that are used to replicate the system. These regions are used by Nyle as well, therefore we will describe it in detail. These regions are called *Available Responsive Areas*, in each region a copy of the replicated system is deployed. To create these regions each node will participate first at a lottery. Each node starts at level 0. Then each node go to the next level with a given probability p . This procedure is repeated at each level, and is stopped when no nodes are promoted to the next level. This first empty level is called k . Then each node can compute two quantities that will be necessary to create ARAs : their bunch and their cluster.

Bunch A node can compute its bunch in the following manner. It looks at every other nodes by order of distances in ascending order and includes it in its bunch if its level is not smaller than the one it encounters so far, including its own level.

Cluster A cluster is a complementary concept. The cluster of node A is defined as the set of other nodes that have A in their bunch.

The smallest region radius R_{min} is defined for the whole system. Each node will construct ARA's around itself starting at R_{min} and doubling the radius at each time. It stops at the first ARA's that is covering its entire cluster.

By the lottery, most nodes will be level-zero nodes. Therefore their cluster supposed to be small, conducting to the creation of a small number of ARA's. The small number of nodes that are at level $k - 1$ will have every other nodes in their cluster by construction. This means that there will be at least one ARA that covers the whole system.

2.2 Nyle

Nyle is cryptocurrency that uses locality to answer some classical problems of a blockchain systems. Two main problems are addressed: WW3 scenarios and approval time for a transaction.

WW3 Scenarios In case of a WW3, we can expect to have at least a long-lasting partition that will split the system in two. This is a problem for classical cryptocurrencies like [bitcoin-paper], because for a block to be approved, the users are supposed to wait to have a global consensus. This consensus will not be reached with a long-lasting partition and therefore it will create problems for classical cryptocurrencies. Nyle solve this issue by design using locality.

Approval Time for a Transaction Another issue with waiting global consensus is that it usually takes a long time. If a customer wants to use a cryptocurrency in a daily life, the nodes should be able to validate (at least partially) a transaction relatively fast. The solution provided by Nyle use locality again: with Nyle a transaction is validated at different levels, and it is up to the customer to wait a local, or global validation for a transaction. For small transaction, for example for buying a coffee, the customer might agree to only have local validation. For bigger transaction he might wants to wait a bit longer to have global validation.

2.2.1 Locality : From CRUX to Nyle

Nyle uses ARA as the representation of one region. In each of these regions there will be a copy of the same system, in the case of Nyle the system is a blockchain. So each region will have its own blockchain and validate all the transactions between the nodes that are included in it. Some nodes can be included in different regions, and they will send their transactions to all the

regions they are part of. Which ensure that each blockchain will be updated each time there is a transaction that concerns one of its nodes.

2.2.2 Stable Environment vs Byzantine Evolving System

The big difference between CRUX and Nyle is that the purpose of CRUX is to work in environments where machines are relatively "stable" which means that they are not supposed to churn or to crash often, and more, where the machines are not supposed to move. This is not the case for Nyle : if we have a cryptocurrency, we can expect to have malicious, deficient and/or moving nodes. This will add some difficulties that will be managed by the protocol.

2.2.3 Blockchain System

Each region will have its own blockchain, in Nyle the choice for the blockchain will be chosen between Omniledger or ByzCoin. But it can be generalized to any kind of blockchain.

2.2.4 What is already implemented for Nyle

Transaction validation

We already have a protocol that validates a transaction.

Block storage on node

As each node will participate in different regions (from very local to world-wide), it will need to store the blockchain for all of these regions. We have a method that reduces the redundancy, by only storing the hash of a block instead of the full block at each level.

Proof-of-Location

We already have a protocol for controlling the distance from a new node to the rest of the nodes. And that assures no one cheats by giving false distances.

2.2.5 Next Steps

Here is the structure of Nyle :

- Based on the proof-of-location, build a CRUX-like network
- In each of the region of the regions build a Blockchain (see 2.2.3)
- Use the transaction validation to give info on the validated region (see 2.2 (Approval time for a transaction))
- Dealing with moving actors.
- Dealing with double-spending issues (if a node spend the same coin in different regions) (see 2.2 WW3 Scenarios)
- (Investigate if this design is open to other errors)

2.2.6 Purpose of this project : motivation for a control plane

CRUX proposes a system that is working in a stable system (with low-churn) and where nodes do not move too much. As this situation corresponds to some systems like wide-area database, ... It is definitely not the case of a cryptocurrency. For these kind of system, one can expect to have at least some churn, some moving nodes and some adversarial nodes. If the system has a precise protocol for dealing with nodes entering, leaving and moving in the system, then the problem of the evolution of the system is solved. Indeed the churn phenomenon can be described as some nodes leaving the system and optionally reentering later.

Therefore the purpose of the control plane will be to deal with the evolution of the regions that follows the evolution of the nodes in the system. Once that problem is solved, the blockchain can be replicated in the evolving region and the strategy will be the same as in CRUX.

Thus this project introduce a control plane, that is in charge of the evolution of the nodes in the system. In particular, it will be in charge of dealing with the nodes joining, leaving and moving in the global system. If the blockchains is replicated in all the regions, the control plane will be global.

Chapter 3

Control Plane : Design

This part will describe the design of the Control Plane, which has the mission to solve the problem of node insertion, deletion and movement inside the system. Allowing to use a CRUX-like region creation algorithm in an environment with churn.

3.1 Problem definition

3.1.1 Hypothesis

Three hypotheses are made on the network. First it assumes an internet-like network with one-to-one communication. Each node is able to contact any other nodes. The network is supposed to be synchronic. This means that every message sent by a node to another will arrive in order, and that a message that is sent will be received within a given window of time. The third hypothesis is made on the geometry of the network. It states that for small pings (under 100ms) the ping time is actually correlated with the distance between two nodes. This is a result from **[locality-result]** on which we build the locality properties of the system.

3.1.2 Threat model

3.2 General Presentation

The Control Plane is composed of five different components [FIG. 3.1], each necessary to solve different part of the problem. It needs a membership component, to define precisely which nodes are in the system at any time. It needs a locality component which gives the distance between two nodes in the system. Then it needs a region management component, which will

draw the regions based on the membership and the locality. The time will be split into epochs, a component is in charge of dealing that aspect. And finally, the control plane is in charge of answering some requests linked to the location and presence of the nodes in the system. Each will be described in detail below.

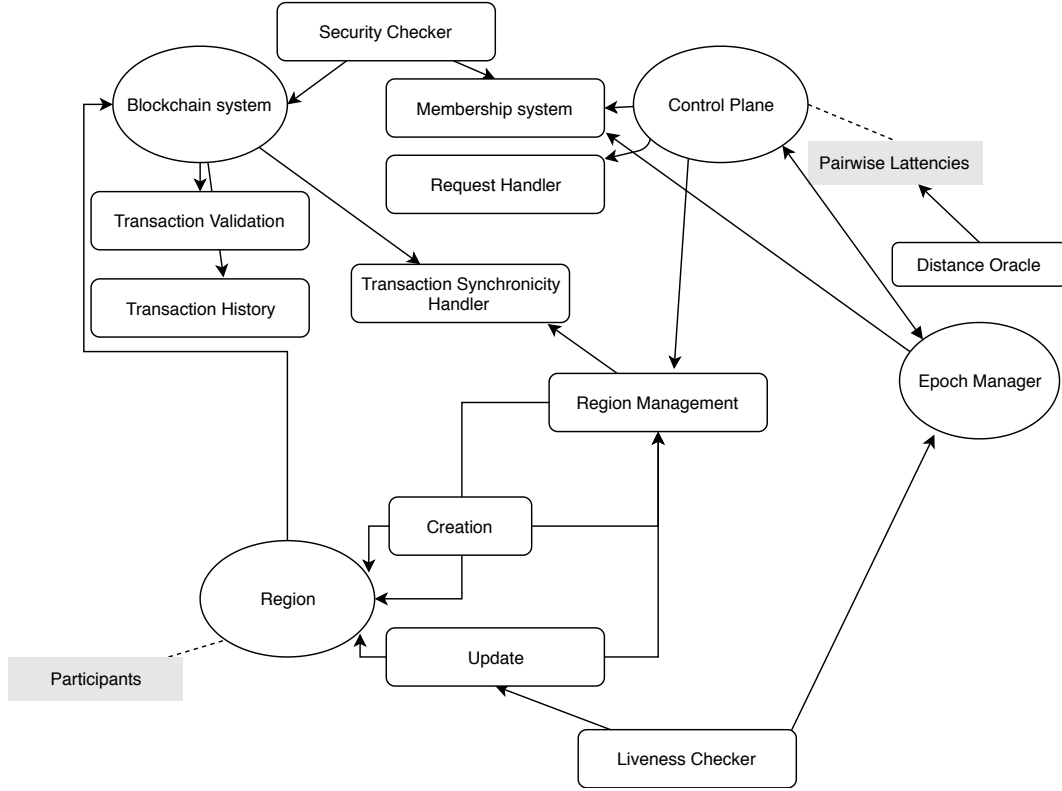


Figure 3.1: List of modules of Nyle

3.2.1 Membership Component

At each epoch, a registry contract containing a summary of all participants is created. Registration use endorsement (for example solution to a proof-of-work problem). This system will be global. Nodes can ask the participants of the system to know the identity of other nodes. To validate a new contract it should be signed by the majority of the nodes of the previous epochs.

3.2.2 Locality Component

The role of the locality component is to give all pairwise latencies between nodes of the system. We assume it already exists (distance oracle), or it can be computed by nodes. In the first model all pairwise latencies is computed between each node and every node agree on them via consensus.

3.2.3 Region Component

This component is used to create and update regions. For the simple case, this part will be based on CRUX. At each epoch CRUX is run based on the new registration, and regions are created.

3.2.4 Epoch Component

The epoch manager is linked to the membership system (we allow to change membership at the beginning of one epoch). New nodes can join at the beginning of one epoch. If nodes have moved, the region component will change or maintain their assignment at the beginning of one epoch.

Epochs happen at a defined rhythm (e.g. one day). This frequency can be shortened to ensure that nodes that want to join do not wait too long, or made longer if one wants regions not to be redrawn too frequently.

3.2.5 Request Handler

The control plane is the right part to get requests as it is aware of the nodes location and region assignment. It will be in charge of answering the request for nodes assignment and nodes location.

3.3 First version : Simple Control plane

This version presents the first version of the Control Plane. In which most of the work is done on the membership component. At each epoch nodes can join if they manage to get an approval from the member of the previous region. The locality component in this model is brute force : every node computes its pings to every other nodes and consensus is made on that information. The region component in this model is really simple : based on the registration, and the pings, CRUX is run at each epoch. Redrawing the map of the entire system.

3.3.1 Membership Protocol

This section describes the membership protocol [FIG. 4.6].

The system will go through some cycles (called epoch) of two different phases : the registration period and the live period. The first period is actually there to manage the participants of one

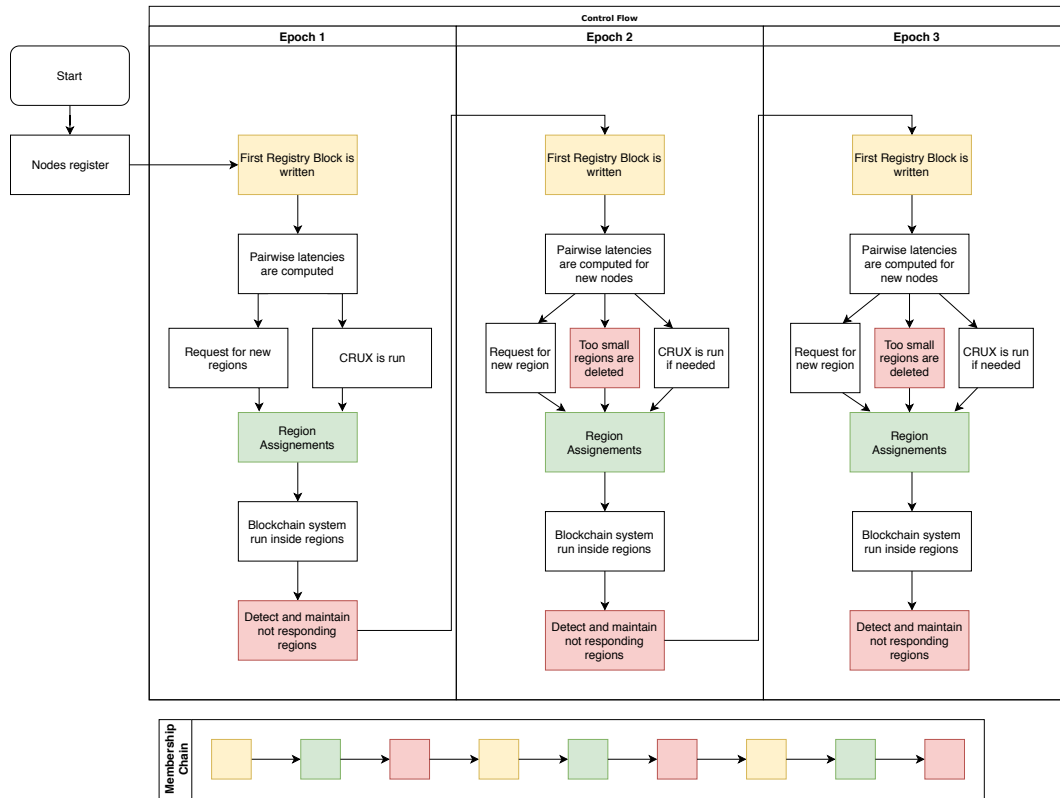


Figure 3.2: General control Flow of Nyle

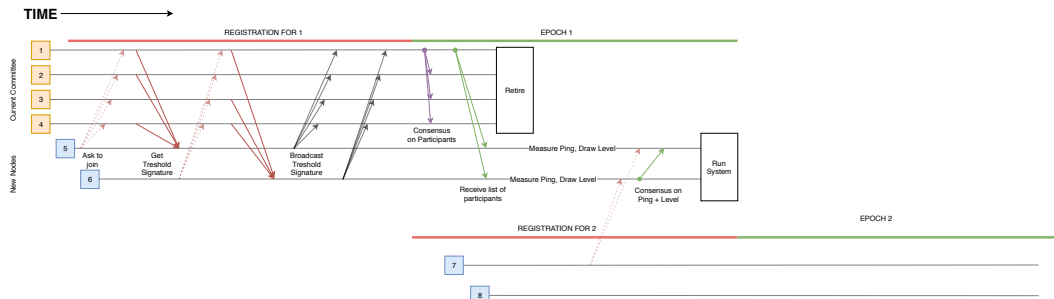


Figure 3.3: Sketch of the Protocol

current epoch, and the “underlying system” (for example a cruxified-blockchain) will be run during the live period. Assume that each node has a synchronized wall-clock which gives the time of the different periods.

The authority that will decide which node participates in the next epochs are the participants of the current epoch, which will be called the admission committee. Assume that a set of genesis participants, which will be the first admission committee, exists.

Registration Period If a node wants to register for the next epoch, it has to send the following information to the admission committee : a name, a public key, and an endorsement (for example solution to a proof-of-work problem) and ask for a threshold-signature.

If the new node manages to get back a threshold-signature from the current committee, it has to broadcast it again to the admission committee during the same registration period. The current committee will then acknowledge that it is a participant for the next epoch. The admission committee will aggregate the threshold-signatures for all the participants for the next epoch. At the end of the registration period, the admission committee will reach a consensus on the new participants, by threshold-signing the list of the members.

Live Period At the beginning of the live period, one member of the admission committee will send the threshold-signed list of the participants to the current members. If one of the participants did not receive the list, it can ask any member of the admission committee to have it. After that propagation, the admission committee can retire, and the member of the current epoch becomes the new admission committee. Then members of the new epoch will compute ping-distances between each other. Participants will as well draw a level from unpredictable, bias-resistant public randomness source. They will then reach consensus on those ping-distances and levels by threshold-signing them and rebroadcast them. At this point each member of the new epoch will have the same view of the system (participants + pings + levels). Therefore these participants will be capable of running the system in a deterministic manner.

Following the election of the new admission committee at the beginning of the live-epoch, the registration period for the next epoch can begin, as the authority that will accept admission is running. Registration period and live period can therefore be superposed [FIG. 4.6], which permits to have a system running at every time.

3.3.2 Threshold-Signing Admission

To get an admission a node that wants to join for the next system will use the BlsCoSi protocol [**BlsCoSi_protocol**]. it will generate a tree with him as the root and the admission committee as nodes in the tree. Each node of the admission committee will have the choice of signing or rejecting the admission query. The threshold will be set at the majority. So if a node manages to get a majority of signatures then it will be accepted in the system, A node from the admission committee is supposed to accept the query if it has not already seen the node, and if the endorsement is convincing and was made with the public-key associated. This ensures that a node cannot steal the endorsement of another for registration.

3.3.3 Committee Consensus

Committee consensus is used at two different times. First at the end of the registration period. Consensus should be reached by the admission committee to the participants of the next epochs. A random member of the admission committee is selected to run the consensus protocol. It will send the list of members that it aggregated during the registration period. And try to get a threshold signature on it from the other member of the admission committee. Members of the admission committee are supposed to sign the list if they aggregated the same list of members for the next epoch.

If one member does not manage to reach consensus, another can be selected to run the consensus. A communication round can be added between two consensus phases in order that every member of the admission committee broadcast its list of members with valid proofs.

The same idea is used at the beginning of the live epoch to reach consensus on the list of pings between every member of the system and on the levels on all nodes in the system.

3.3.4 Public distributed source of randomness

To draw the level to run the region creation algorithm, a distributed public source of randomness will be used. This can be targeted by adversaries trying to get a higher level and thus a higher place in the system. To be sure that this source is not targeted, it is based on the information created during the consensus on the participants just before drawing the regions.

3.4 Discussion

3.4.1 Advantages

This simple version of the control plane is actually solving the problem of churn and nodes movement in the system. A comparison will be made with a fixed version only using CRUX for region management but without control plane. The system begins with a fixed number of nodes and create regions based on CRUX, then the system is replicated inside all regions.

Nodes insertion

The version without control plane cannot add nodes to the system. Indeed a fixed number of nodes is required to create the regions. With this control plane, node insertion is possible at the beginning of every epoch.

Churn resistance

Nodes can churn. If the system is not supposed to change, crashing nodes can be still in the system. With this control plane, nodes that have crashed cannot register for the next epoch and therefore are removed from the system.

Adaptation to Node Movements

Nodes can move as well, if the regions are only drawn at the beginning of the system. Then it's possible that after a while a lot of nodes have migrated from where they were at the time that the regions were drawn. This might be a problem, indeed, the purpose of the replication was to ensure that in case of a partition, nodes participating in the same side of the partition should still be able to work. If most of the nodes have moved, but are still participating in the region of their first assignment, a partition could happen somewhere in the system leading to failing regions that should be on the same side of the partition. The control plane solves this problem as the region are redrawn at each epoch taking account of the movement of the nodes. Increasing the partition resistance, with the movement of nodes.

3.4.2 Drawbacks

This control plane is simple and reach its objective, but it requires a lot of resources. Some of the drawbacks of this approach are listed below. These drawbacks are addressed in the section Improvements.

Control Plane is global

If the system is replicated in all the regions, the control plane itself is global. Meaning it could be subject to a partition. In this case the replicated system would continue to work, but the control plane could only continue to work on the side of the majority. This is not a major drawback as the main purpose, the continuity of the subordinate system is guaranteed.

Epoch Transition Requires Resources

Epoch transition requires a lot of resources, indeed first it needs a lot communication for the consensus and the registration as every node that were previously on the system should be contacted by every new node. If N_i is the number of participants at epoch i . Then registration for epoch $i + 1$ requires $O(N_i * N_{i+1})$ messages. As every new node has to send a message to every member of the previous committee. This can be really inefficient.

Then when the registration is done, the protocol as it is will redraw most of the regions as the algorithm for region creation is reused. This can be inefficient as well, and it is then for the transition to happen, a copy of the whole underlying system at epoch i should be replicated in each new region of epoch $i + 1$.

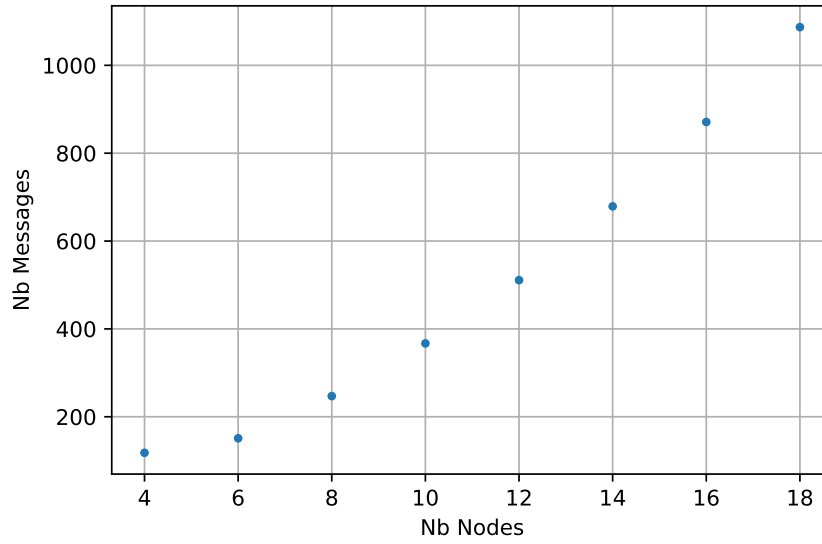


Figure 3.4: Growth of the number of messages for one epoch with the number of nodes.

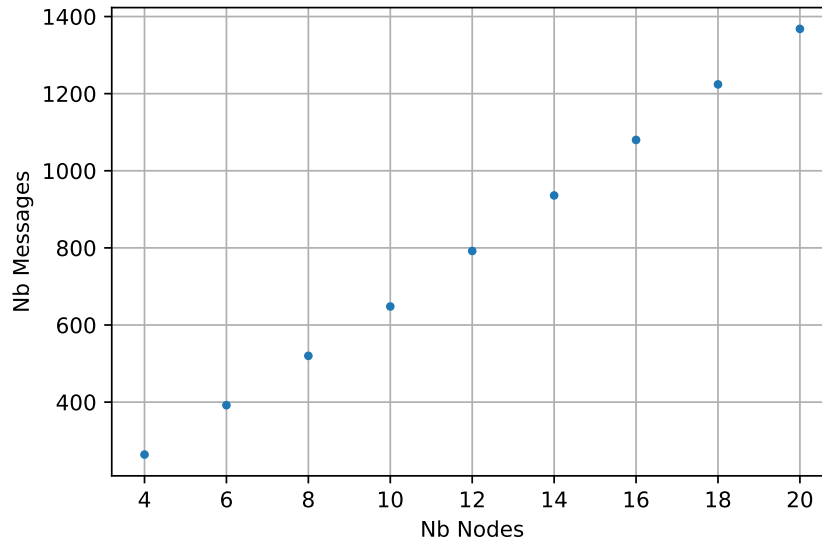


Figure 3.5: Growth of the number of storage for ping distances for one epoch with respect to the number of nodes.

Omniscience of the Nodes

Nodes are actually aware of a lot of information. By design they are aware of the list of every other nodes in the system, their levels, the pings between each pair of nodes in the system, all the region created and all the region assignment. The nodes need to be aware of this information in order that every node will run the algorithm for region creation and arrives to the same regions. But this can be a lot of information to store.

3.5 Security Analysis

3.5.1 Threat Model

Attacks on the system can be made internally (from malicious nodes) or externally by delaying the interaction between nodes or intercepting and changing messages. We will give the precise portion of malicious nodes that this protocol can handle. In this threat model, malicious nodes are regular nodes that decide to act against the system. In particular, malicious nodes have only access to a bounded computational power, and they cannot break the cryptographic primitives.

3.5.2 Network Attacks

Man-in-the-middle attacks

The messages exchanged during the protocol are the following:

As all the messages are signed, if a message is changed it will be noticed by the receiver. Which will discard the altered message, and ask again to the sender. Therefore the only effect of a Man-in-the-middle attack will be to delete some of the messages, which is a sort of delay attacks.

Delay attacks

This protocol is not really resistant against delay attacks. It assumes wall-clock synchronicity between the nodes, which can cause some problems. The effect of a delay of message are listed in [TAB. 3.1]. During the registration, if the messages are delayed until the start of the next epoch, then it will lead to the refusal of the request, and the node has to create a request again for the next epoch. If the messages of the leader of the consensus for the participants of the pings and levels are deleted or delayed, the other nodes will ask for a view change : asking the next node in the list to start the consensus.

| | Message | Signature | Effects of a Sufficient Delay |
|---|--|---|-------------------------------|
| 1 | Join request | Requesting node | Request refused |
| 2 | Threshold signature of the request | Threshold number of the current committee | Request refused |
| 3 | Broadcasting of the Threshold signature | Threshold number of the current committee | Request refused |
| 4 | Messages for the consensus on the participants, list of the participants | Leader of the current committee | View Change |
| 5 | List of pings and levels | Leader of the current committee | View Change |

Table 3.1: List of the messages exchanged during the protocol. The signature of the message and the effects of a delay are given.

3.5.3 Malicious nodes

If a malicious node is already in the committee, the only misbehavior that it can do period is to refuse to sign the message. Re-sending wrong messages are already treated in 3.5.2 and it's not possible to forge them because of the signature. Refusing to sign join request can lead to a failing protocol if the number of malicious nodes is bigger than the threshold required to get the signature. As the signature procedure is made using BlsCoSi, the registration process is subject to the same threat. Therefore it is required to have no more than f malicious nodes, where f is given by : $3f + 1 = N$, where N is the number of nodes in the current committee. As the number of nodes in the system evolves with time, it required to not surpass this number of malicious nodes in the system at any epoch. If for one epoch, the number of malicious nodes is bigger, then they can block all the consensus, leading to a failing system.

If a malicious node is elected as a leader of the consensus on the list of participants or on the nodes, it can decide not to start the consensus. After a while, another node will be elected to run the consensus, which will eventually succeed if the number of malicious nodes is low enough.

Examples of bad behavior

Chapter 4

Improvements

This section proposes improvement to the simple control plane approach. They are supposed to address drawbacks of this simple protocol, each improvement will be illustrated in a Strawman model. At the end of Strawman, an advanced version of the control plane that uses a region creation algorithm based on time/space graphs will be proposed.

4.1 Strawman 1 : Locarno Treaties

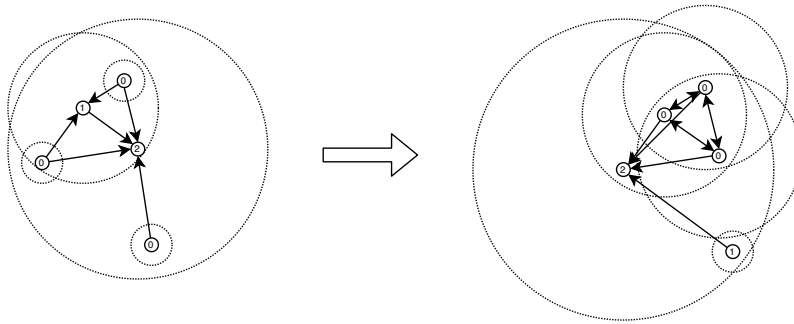


Figure 4.1: Redrawing the levels at each epoch can leads to very different version of the system. This is what is improved by the Locarno Treaties. Shrinking regions are depicted in red, growing regions in green and same region in black.

Following the First World War, it was decided that the borders of Germany should remain fixed. The Locarno Treaties defined some of these borders. The idea of this Strawman model is not to let the borders of regions change more than defined. The rules for evolving from one epoch to another are defined. The idea is to use a deterministic set of rules, based on the ping, the registrations and the map of the previous epoch to draw the map of the current epoch using the less redrawing as possible. CRUX is run at the first epoch giving the first version of the system. Registration is still global and each node will have all the information about the memberships of

every node. Then from one epoch to another, the purpose of the game is to keep as much region as possible without breaking the locality rules.

4.1.1 Rebalancing the levels

Conserving the levels is the way to go, but maintaining levels can lead to de-equilibrated systems. Consider a system with 200 Nodes at epoch 1, with the repartition given in Table ???. If from epoch 1 to epoch 2, 100 level-0 nodes leave the system, the remaining system would contain 80 level-0 nodes instead of 90.

De-equilibrated systems can lead to some problems, the proof is given in the appendix [APP. ???].

The lottery process presented in section ??, is a bit changed in this part to allow the adaptation of the levels. The total number of participants N in the system is known after the registration, and as the probability P is given, it is straightforward to compute the expected number of nodes that one should have at every level as it mentioned in Table ??.

Instead of drawing the levels from a randomness source, nodes will draw a random number from this source between 0 and 1. All nodes can deduce what number the others will draw deterministically from the registration list. The highest level will go to the node which has drawn the highest random number. And levels are given according to the drawing in a descending order.

Each node that stays in the system will keep its random number from when it joined the system, new nodes gets new random numbers.

Note that this approach can be targeted from attackers. But as it is equivalent to the other one, attacks that are valid for one system are valid for this one. But this will ensure to have the proper number of levels in the system in each epoch.

An example of one attack : if one node is not happy with its level assignment it can leave the system a reenter later, hoping to get a better level. This attack works against the two algorithms, but this attack does not create too bad consequences, as it is presented in ??.

4.1.2 Effects for a fixed node

This section describes how to transform the regions from one epoch to another. The point of view of one node that stays fixed in the system is taken, the goal is that node can keep most of its region assignment. What are the implications. As it was said other nodes might join, leave or move. This leads either to change in its cluster or in its bunch. Additional effect can come from the level rebalancing.

Nodes Leaving the Cluster

This will shrink the cluster. As the regions created by a given node stops when the radius covers the whole cluster, this might lead to the deletion of some regions. This does not change the region assignment and the nodes can still keep the replicated system of the previous epoch running.

Additional effect can come from the level rebalancing.

Nodes Joining the Cluster

On the contrary, if nodes join the cluster, this might lead to the creation of additional region to cover these extra nodes. The node will then replicate its system to the newly created regions. But most of the regions are kept the same.

Nodes Leaving the Bunch

Nodes participate to all the region in their bunch, eventually they will participate to a region that spans the whole system. If a node left the system in the bunch, this leads to a region centered around a point that is not in the system anymore, this assignment might be forgotten.

From the point of view of a node, if another node leaves its bunch, it can have as effect to add other nodes in its bunch, leading to more region assignment. And in some cases, to more nodes in its cluster, leading to region growth.

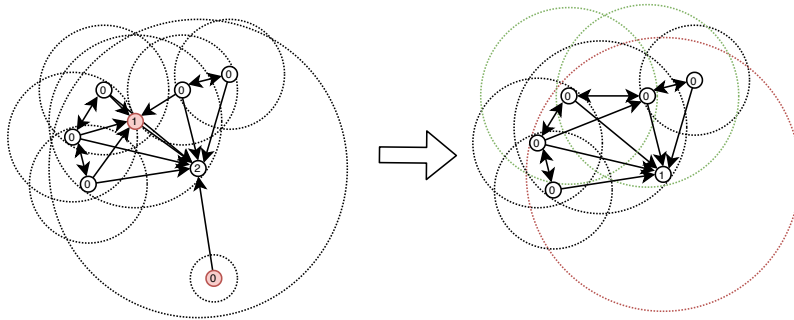


Figure 4.2: A leaving node is changing assignments of other nodes but keep the same regions. Shrinking regions are depicted in red, growing regions in green and same region in black.

Nodes joining the bunch

If nodes are joining in a bunch, this leads to additional region assignment.

4.1.3 Rules for other nodes

Moving nodes or joining nodes are different, they are joining a new region, the question is how to integrate them in the system while keeping the system balanced.

Moving node example

Assume that going from Epoch i to $i + 1$, one node has gone from one place to the other end of the system. Nodes can keep their level, it will change some of the assignment, but most of the regions will be maintained.

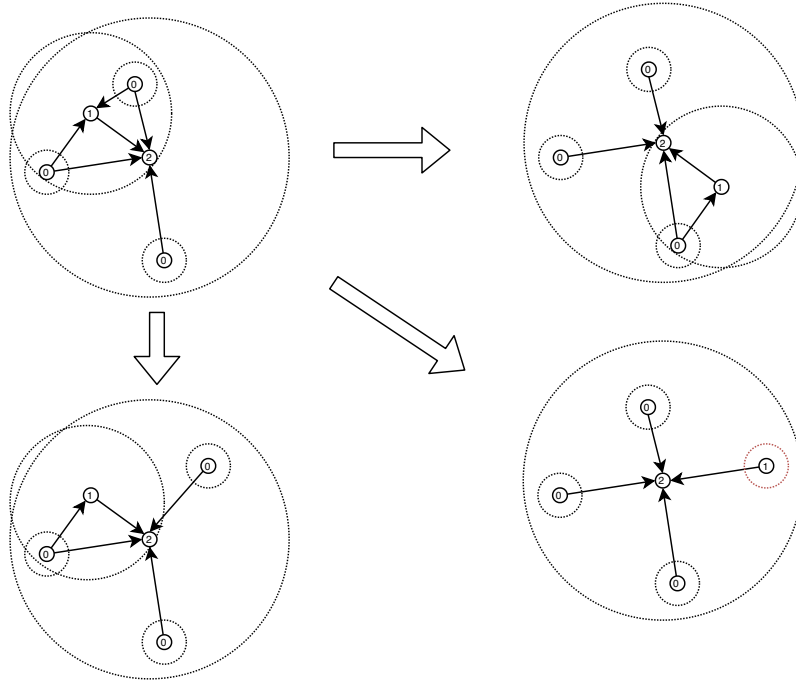


Figure 4.3: A moving node keeping its levels is changing assignments of other nodes but keep the same regions. Shrinking regions are depicted in red, growing regions in green and same region in black.

This seems to indicate that movement should not be a problem. There is a slight difference between that situation and redrawing the levels at each epoch. As each node can keep its copy of the underlying system working in its region. If the levels are redrawn, communication might be needed to transfer knowledge from one region to another. This communication overhead is reduced in that situation.

Leaving nodes

Leaving nodes does not create additional problems as fixed nodes does already know how to deal with that case.

Joining nodes

The joining nodes can lead to the growth of one region or the creation of regions. The precise rules for that are listed below.

In the space-time graph (the image), most of the regions remain the same and the modifications appear in small places.

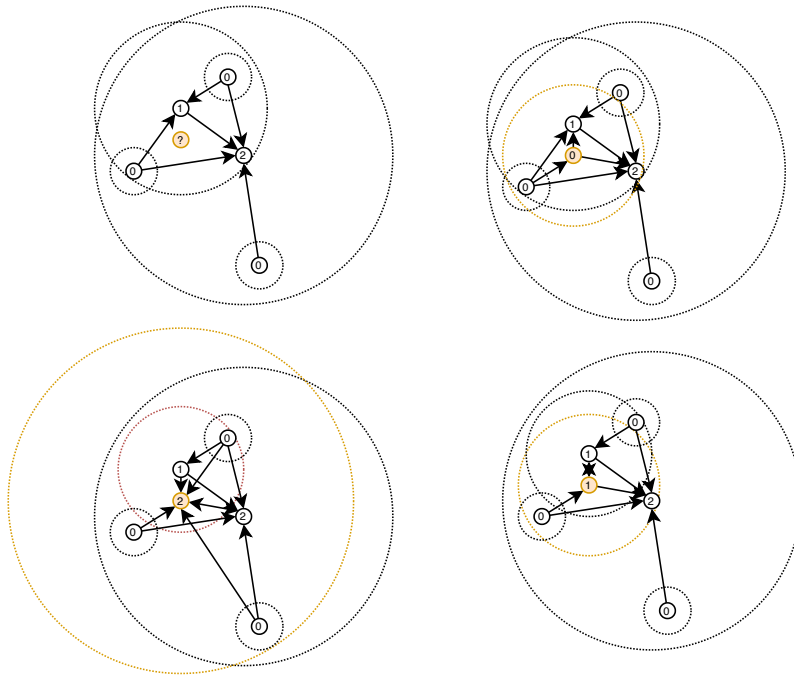


Figure 4.4: Keeping the same level leads to smaller changes when a node enter the system. Shrinking regions are depicted in red, growing regions in green and same region in black.

4.1.4 Protocol

The protocol is mostly the same as the simple control plane protocol [FIG. 4.6]. The main difference is the level assignment which follows the new algorithm described in 4.1.1.

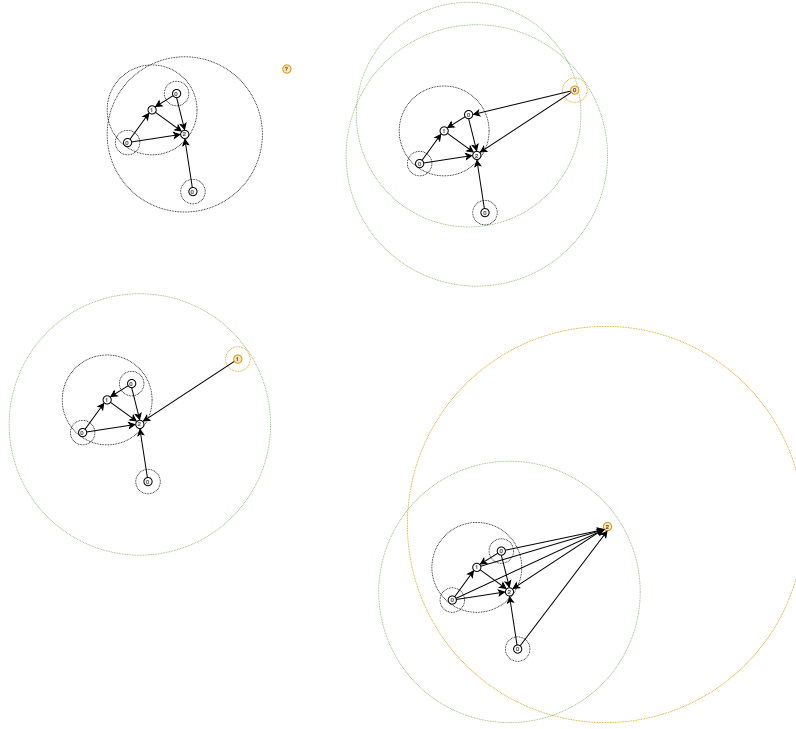


Figure 4.5: Keeping the same level leads to smaller changes when a node enter the system. Shrinking regions are depicted in red, growing regions in green and same region in black.

Quantifying the effect of Locarno Treaties

The goal of the new protocol is to keep most regions and region assignment following the evolution of the system.

A concrete comparison is made. The system starts with a fixed number of nodes and evolves with nodes moving, leaving and entering the system. A metric is chosen to evaluate the difference between the system from one epoch to the next. The metric is defined as the following : the list of participants in the system is taken sorted by name. Then for each node, their bunch and cluster will be compared. Each difference will be counted, if a new node enter the system, their bunch and cluster count as a difference. Same if a node leaves the system. The idea is that with this new protocol, the difference should be reduced.

The results of the experiment can be seen in [FIG. ??]. Maps of the system is given in the appendix.

4.2 Strawman 2 : Fog of the War

Each node of the system will have a different view of the world at a given time depending on its place in the system and its interactions. Again the idea is that one node should be aware of only the information it needs to perform its actions.

A correspondence can be made with the fog of war in some traditional real-time strategy video game. Where each player will have its own view of the system, based on where it is now (real-time evolution), where it was in the past but cannot see now (fog) and what it has not already seen (dark).

Each player view will evolve through space and time accordingly. In the context of the game, the advantage of this view is that it hides the adversarial strategy. In the context of our system, this view will hide most of the information that is not relevant to one node but allow it to perform its operation without the storage and/or communication overhead.

The design of this Strawman will be the following. Each node declares a position during the registration, and other nodes compute their bunch and cluster according to this declared position. Each node will therefore be able to compute their bunch and cluster based on these declared position. To ensure the correctness of the system a random committee of checkers are elected after the registration process. These checkers will perform some tests (pinging other nodes of one region) and publish the results.



Figure 4.6: Fog of war representation in a classic real-time strategy video game.

4.2.1 Purpose : Reducing the need of the Locality Component

The protocol presented in the Simple Control Plane protocol and in the Locarno Treaties still need a consensus on the pings between all nodes in the system. This can be cumbersome as the number of nodes increases in the system this quantity increases in $O(n^2)$ and consensus might

become too costly.

The idea is to change that consensus with a declared position and a random committee of checkers. The question is still : how to choose the committee ? If sampled randomly the chances are big that the selected nodes will be far away from each other, and above a certain threshold, the correlation between pings and distances are not satisfactory. Therefore the committee of checkers can be selected to be the N closest nodes based on the declared distances. And N can be adapted to increase if one node does not pass the checks.

If a node does not pass, the checks it either means that this node is faulty or that the number of checkers is constituted of a majority of malicious nodes. One can solve the second problem by increasing the number of checkers N , and progressively a majority of honest nodes should have checked the node. If the ping still does not correspond to what the node declared then one might assume that the node itself is faulty.

4.2.2 Misbehaving Nodes

Another question can be, what are we supposed to do with a node that are not passing the tests ? First it is important to notice that it won't actually change the view that all nodes will have of the system, as nodes use the declared distance to compute their bunch and cluster. But one node could use that system to keep its level and go to a strategic place where it can have more influence. This is what one may want to avoid.

There are three approaches to this problem, the first is to exclude the node from the system, but it might lead to the redrawing of a certain number of regions, and it might lead to attacks. Another strategy could be to rewrite the position of the faulty node with an approximation based on the ping. If we have the position of the other nodes and we have to fix the location of the unknown faulty node one might do that by computing the intersection between the circles based on the pings. This triangulation strategy can block one faulty node to reach a desired position.

4.2.3 Protocol

The protocol is mostly the same as the simple control plane protocol [FIG. 4.6]. The only difference is the consensus on the pings which are now replaced by a declared distance, which is announced before the level assignment and a round of checks and announce of the checks.

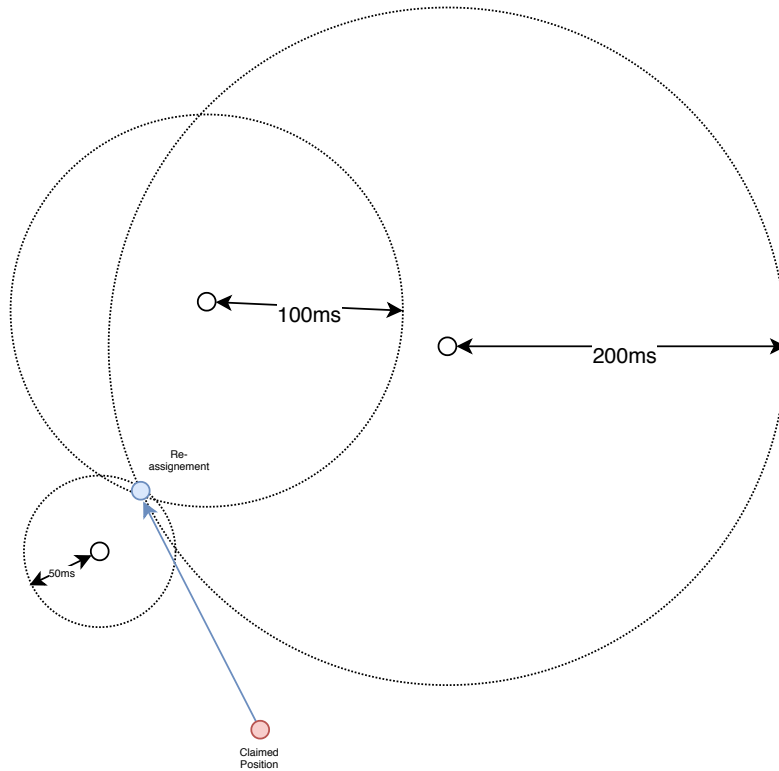


Figure 4.7: The classic triangulation can be used to reassign the position of a faulty node.

4.3 Introducing the Space/Time metrics

Pings give a space/time insight of the evolution of the system. This idea can be leveraged to create the Space/Time version of the control plane. In fact every message (send + reply - processing time) can be transformed to be used as a ping as well. Therefore each time a node interacts with other nodes it can use that additional information to get insight about the evolution of the system.

What type of information can be inferred from this additional method? First nodes can track the evolution through time and space of other nodes in the system. And react to them. For example, if a new node manages to ping an existing node from inside one region, the already existing nodes could trigger registration of these nodes. On the contrary, if one node starts to get away from the rest of the region, then other nodes will notice and can decide to kick this node out of the system (after consensus with the existing nodes). Modifications (e.g. nodes movement, churn), can be detected directly with the messages, and the system can react to it. Churn can be interpreted as a node movement in this model, with the churning node moving to infinity. If one moving node is leaving one region, this information can be propagated to the directly upper region containing the node.

4.3.1 Information as Bubbles in Space Time Graphs

Imagine the following situation : there is a system of some nodes divided into regions. All nodes are fixed except one which is moving. While moving this node is interacting with other nodes (sending messages and executing query for the system). Other nodes detect its movement, and are capable of reacting to it. This movement triggers some action : joining regions and leaving others. If the node is moving slowly, the reaction stays local, as information only needs to be propagated in some upper region to be resolved. On the contrary, if the node moves fast, then it generates bigger bubbles, it goes to the biggest region to be resolved. Structurally this view of the system is not really different from the one that we described before, but philosophically this view goes much deeper as it taking in account the interactions of the nodes in a space/time matter.

In terms of Nyle components, the locality component has an additional view and can influence first the region component. Registration is still global, epochs managed the rhythm for the registration. Instead of only having a locality measure at the beginning of the epoch, this view allows to have measures of the system dynamically at all time.

This can be used to adapt the region assignment during the system. At first the regions will be kept the same with fixed borders but the rules for region assignment can be stated.

Rules for Region Assignment based on the Space/Time Metric

Recall that nodes participate to all region that is bigger than its cluster. If nodes in one region detect that another is moving apart, they do not expect it to participate in this region anymore and they propagate the information in the directly upper region. This is done recursively till the node is again included in the more global region. In the opposite, if a region detect that one node is approaching it expects it to participate in the region, and propagate the information in the directly upper region.

If a node churns, then this information is propagated all the way to the biggest region, and the node is excluded from all the regions.

Transformation of regions

This change in region assignment actually have an effect on the form of the region, the same rules developed in 4.1 can be applied to adapt the regions on the fly.

Protocol If node *A* is moving and leaves a region, and another node *B* detects that while communicating with *A*, it will take that in account meaning it will store the last ping and adopt its own view on the system. If a major change is detected, for example that *A* leaves the cluster of

B leading to the shrinking of the cluster of B then B will notify the other nodes participating in its cluster that it shrank the region. It will as well notify the first node of its bunch, and provide the ping. As if A moved too fast, it might have left its cluster as well.

Rules for Membership Component based on the Space/Time metric

The Space/Time Metric can be leveraged to serve as a Membership Components. Decisions are made on the majority of alive nodes. To be able to participate in the system or to take part in a decision, a valid endorsement is required. If a node churns, then this information is propagated all the way to the biggest region, and the node is excluded from the system. If a node joins the system, it starts to ping some nodes for information. It can ask for the list of participants and pings everybody. When it starts pinging some nodes that are close to it, these nodes detect that a new node wants to join the system and when nodes are aware of the place of the new participant, it can be verified by a random number of checkers. The verification will check that the place is actually right and that a valid *endorsement* is provided, and a level will be given to it.

Epoch Components

With the Membership adaptation and the transformation of the regions, there is no need for epochs anymore. This component can be deleted from the system.

4.3.2 Space/Time Protocol

Assume that we have a precisely defined set of rules for evolving the region from one epoch to another. The Space/Time Protocol will be the following. Regions are created in the genesis of the system by the algorithm for region creation. The underlying system is replicated in all the deployed regions. And nodes start working in the system. Events are detected with the dynamic Space/Time Metric.

Chapter 5

Possible Improvements

This chapter lists the improvements that could be applied more or less directly to one part of the project, but that were not implemented due to time reasons.

In the simple control plane protocol, the live clock that are supposed to be synchrone for every nodes could be replaced by Timestamps Logical Clocks (TLC) [**Que-Sera-Consensus**]. This could allow the system to be more flexible. This replace the clock and succeed the registration and the live period. However, this was not implemented as it was hard to see how to go from one epoch to another using TLC. Recall that in the existing protocol, at the beginning of the live period one node of the old committee sends the necessary information to the new committee to begin. Synchronizing this could be hard.

Chapter 6

Conclusion

In the conclusion you repeat the main result and finalize the discussion of your project. Mention the core results and why as well as how your system advances the status quo.