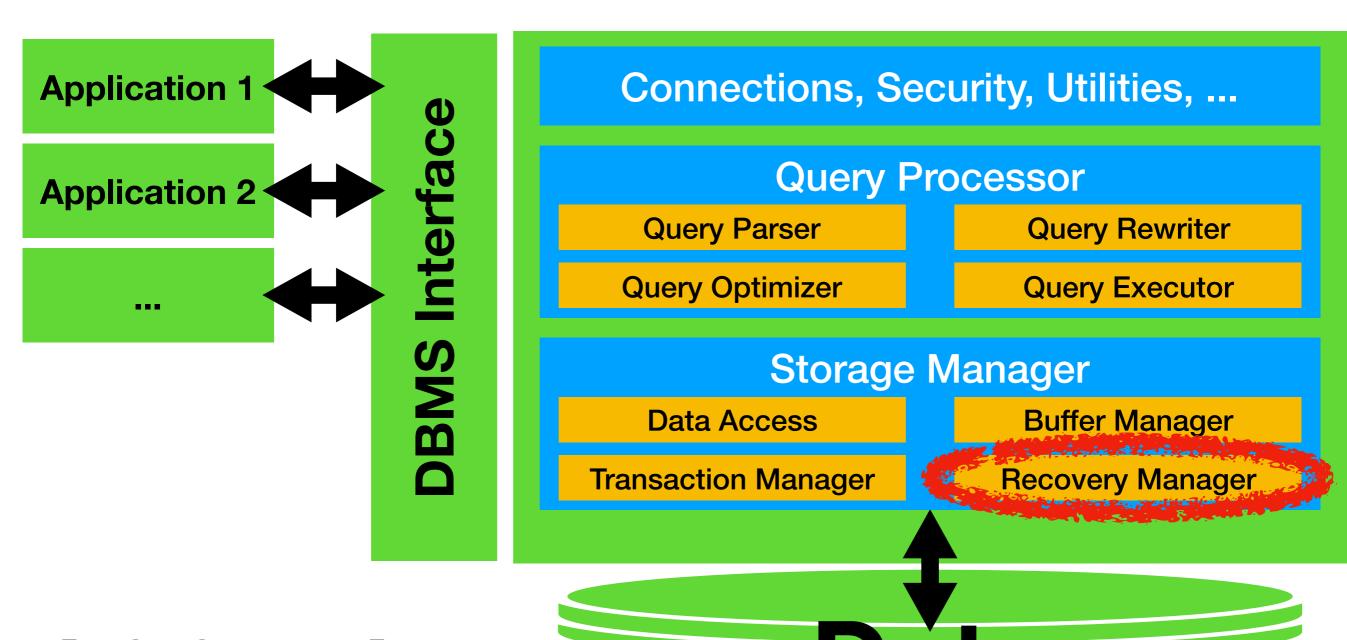
Recovery After System Crashes

Immanuel Trummer itrummer@cornell.edu www.itrummer.org

Database Management Systems (DBMS)

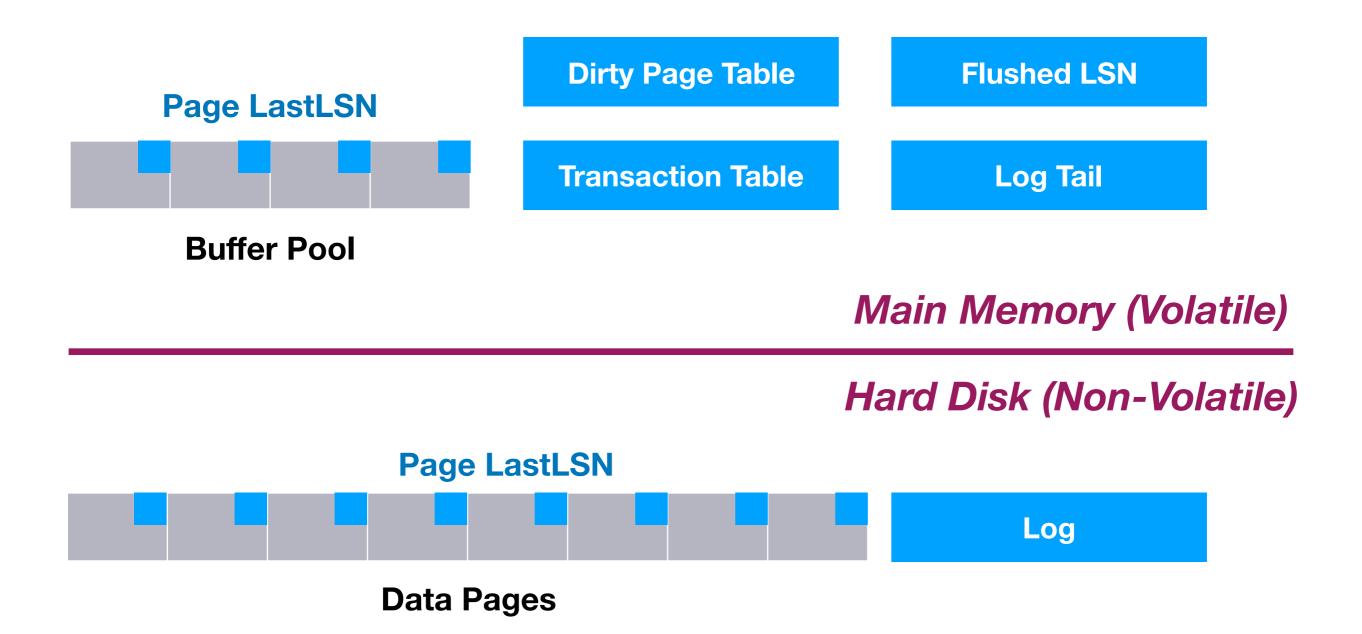


[RG, Sec. 20]

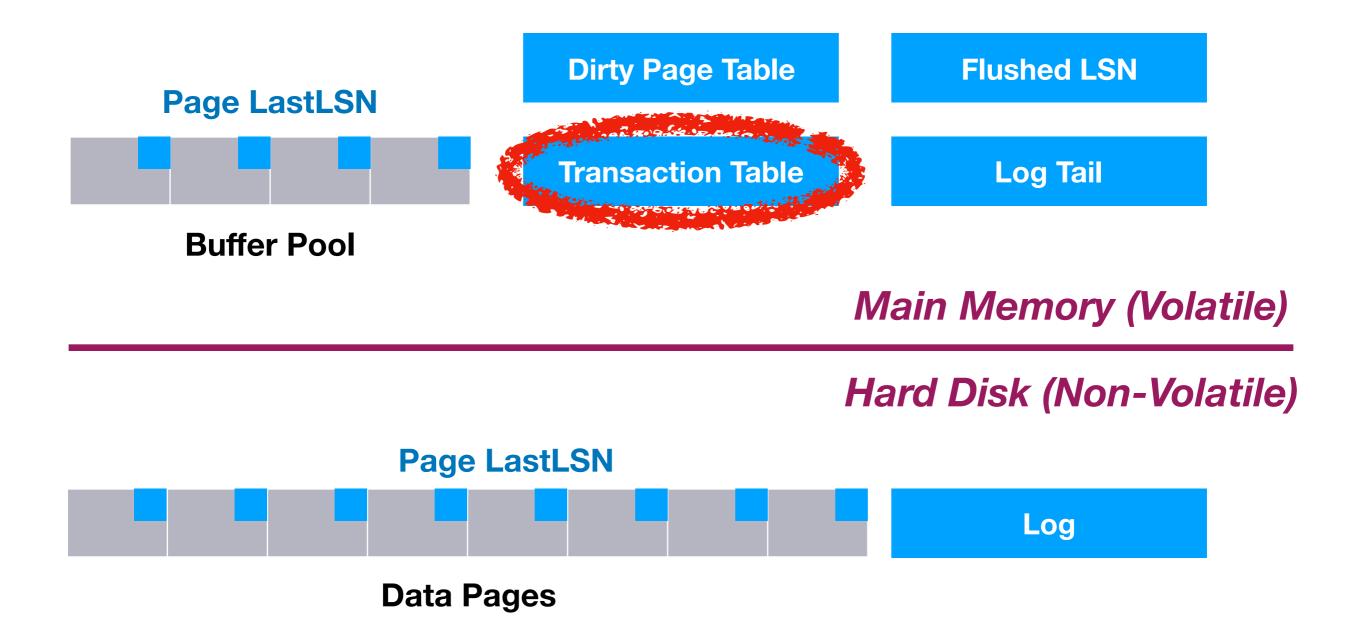
Outlook

- ARIES data structures
- ARIES run time behavior
- ARIES recovery algorithm

ARIES Data Structures



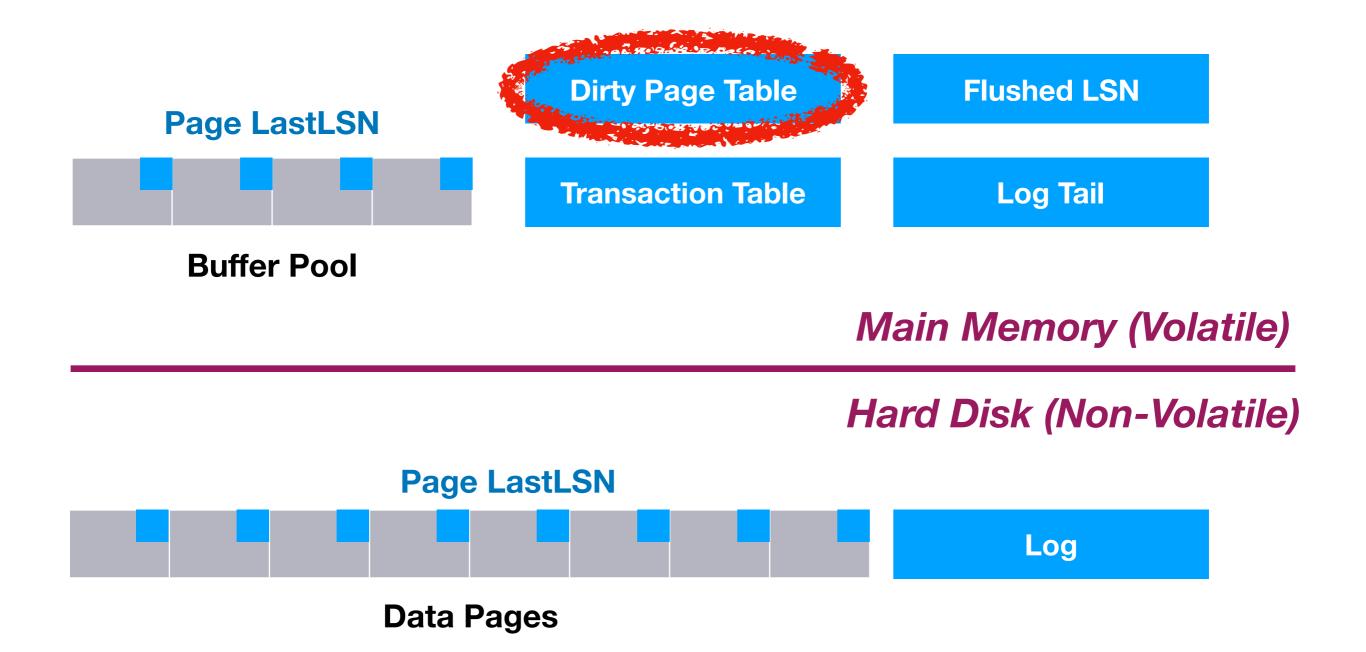
ARIES Data Structures



Updating Transaction Table

Scenario	Table Update
Transaction updates data	Update transaction lastLSN
Transaction commits	Update transaction status to committed
Transaction aborts	Update transaction status to aborted
Transaction ends	Remove from transaction table

ARIES Data Structures



Updating Dirty Page Table

Table Update Scenario If page not in table: Page Changed add page with current LSN as recLSN Remove page if in table Page Written to Disk

ARIES Data Structures



Dirty Page Table

Flushed LSN

Transaction Table

Log Tail

Buffer Pool

Main Memory (Volatile)

Hard Disk (Non-Volatile)

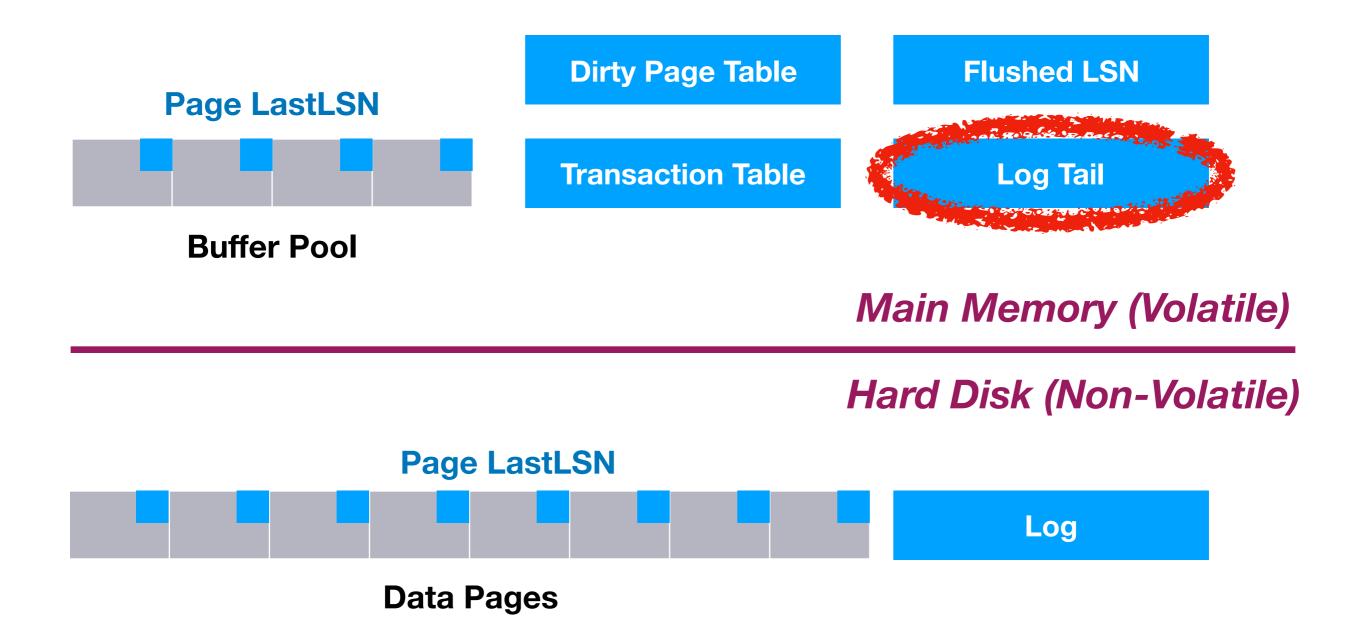


Log

Updating PageLSN

Scenario Table Update **Update PageLSN** Data update in memory PageLSN is copied Page Written to Disk to disk

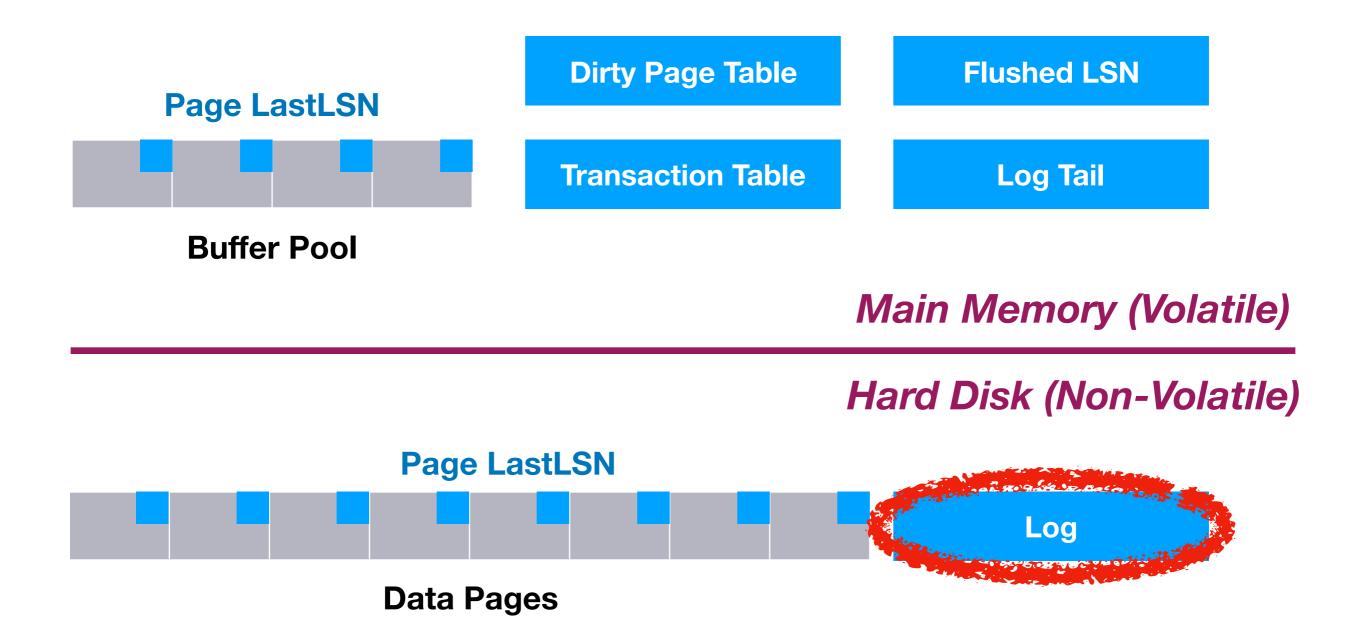
ARIES Data Structures



Updating Log Tail Buffer

Scenario	Log Update
Transaction updates data	Write update log entry
Transaction commits	Write commit log entry
Transaction aborts	Write abort log entry
Undo transaction update	Write compensation log record
Finished transaction cleanup	Write end log record

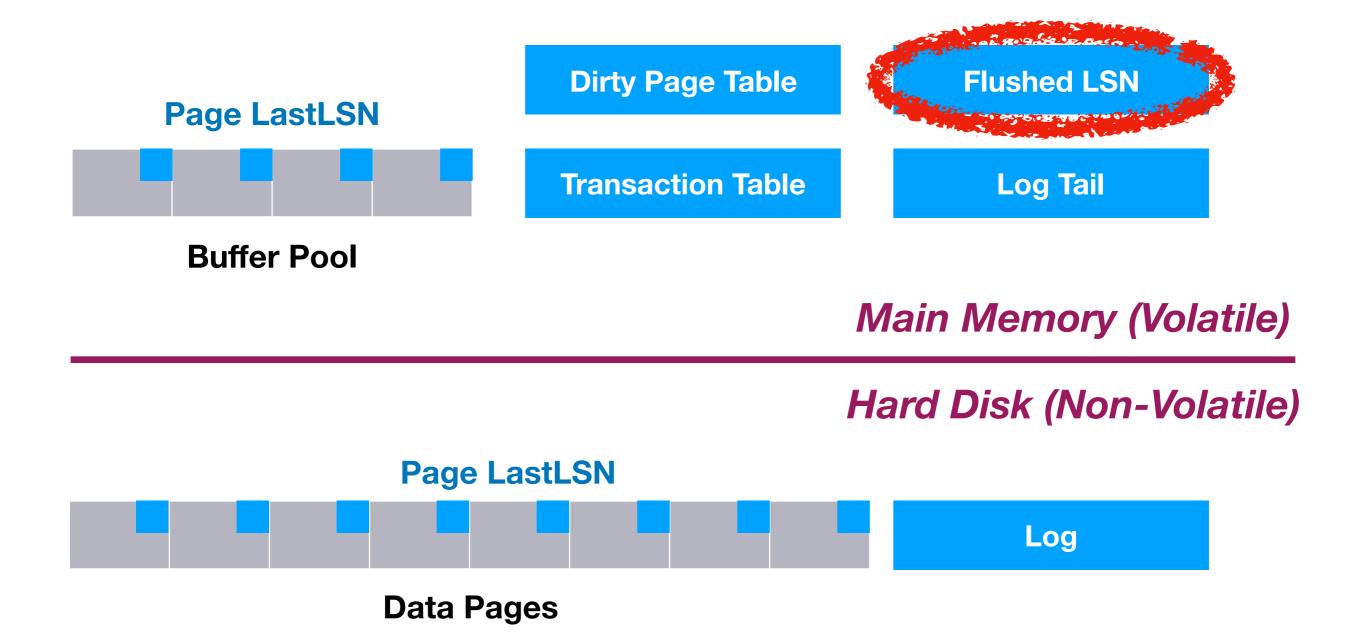
ARIES Data Structures



Updating Log on Disk

Scenario Disk Log Update Before commit: Flush log entries **Transaction commits** up to last transaction entry Before writing: Flush log entries until last entry affecting page Page written to disk (pageLSN)

ARIES Data Structures



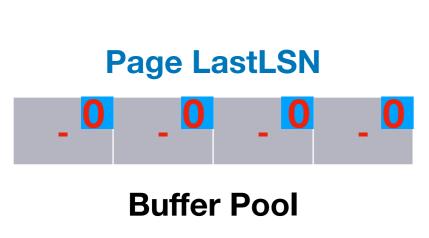
Updating FlushedLSN

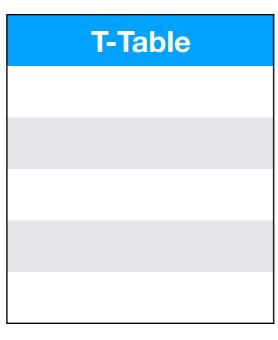
Scenario

Update to FlushedLSN

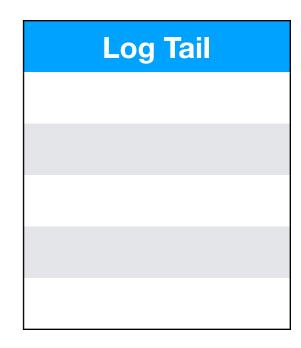
Log written to hard disk until LSN X

Update FlushedLSN to X





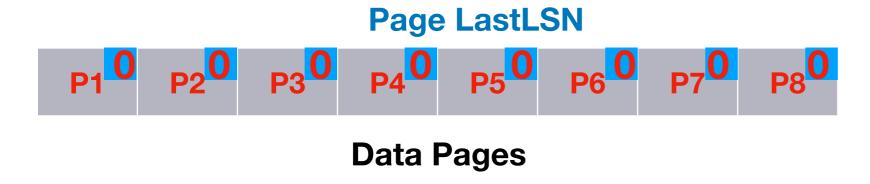


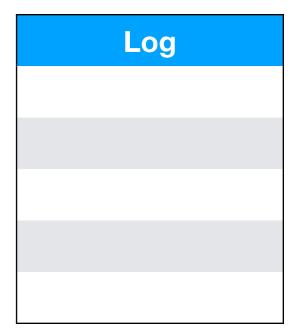


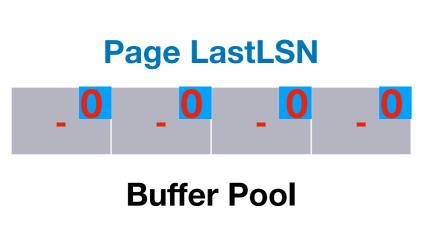
FlushedLSN: 0

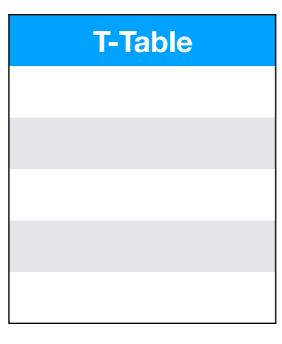
Main Memory (Volatile)

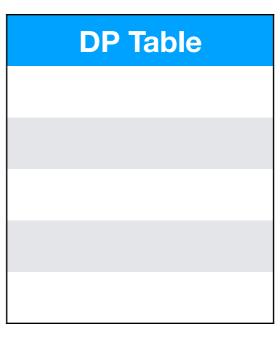
Hard Disk (Non-Volatile)









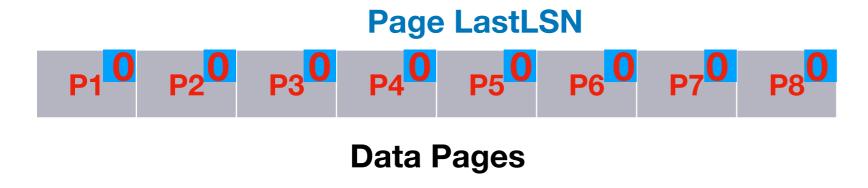


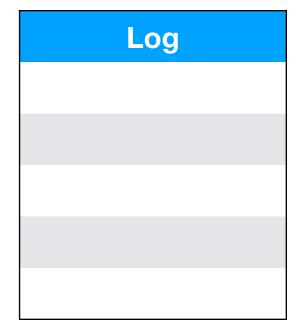


FlushedLSN: 0

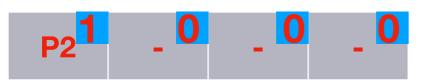
Main Memory (Volatile)

Hard Disk (Non-Volatile)



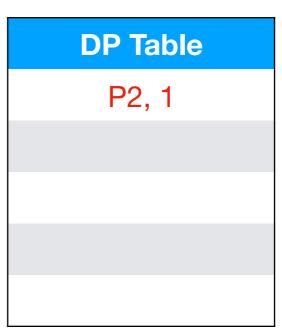






Buffer Pool







FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages



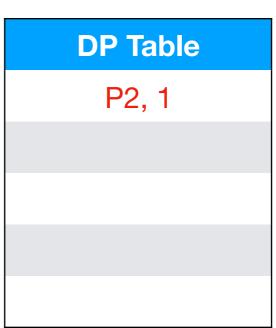
Slides by Immanuel Trummer, Cornell University

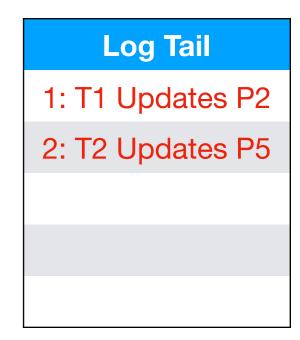




Buffer Pool





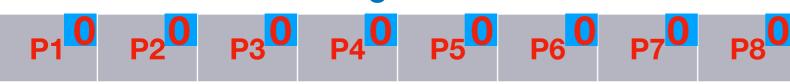


FlushedLSN: 0

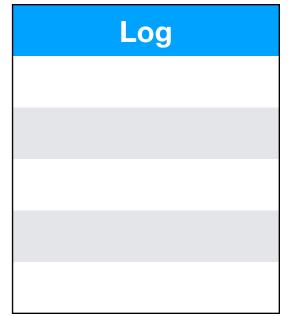
Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages



Slides by Immanuel Trummer, Cornell University





Buffer Pool

T-Table
T1, Running, 1
T2, Running, 2

DP Table	
P2, 1	
P5, 2	

Log Tail
1: T1 Updates P2
2: T2 Updates P5

FlushedLSN: 0

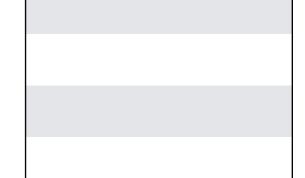
Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN











Buffer Pool

T-Table
T1, Running, 1
T2, Running, 2

DP Table	
P2, 1	
P5, 2	

Log Tail
1: T1 Updates P2
2: T2 Updates P5
3: T1 Updates P2

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN











Buffer Pool

T-Table
T1, Running, 3
T2, Running, 2

DP Table
P2, 1
P5, 2

Log Tail
1: T1 Updates P2
2: T2 Updates P5
3: T1 Updates P2

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN









Buffer Pool

T-Table
T1, Running, 3
T2, Running, 2

DP Table	
P2, 1	
P5, 2	

Log Tail
1: T1 Updates P2
2: T2 Updates P5
3: T1 Updates P2
4: T2 Commits

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages



Slides by Immanuel Trummer, Cornell University





Buffer Pool

T-Table T1, Running, 3 T2, Committed, 4

DP Table
P2, 1
P5, 2

Log Tail
1: T1 Updates P2
2: T2 Updates P5
3: T1 Updates P2
4: T2 Commits

FlushedLSN: 0

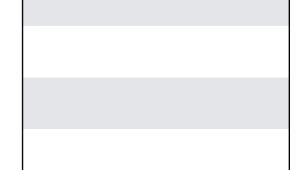
Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN





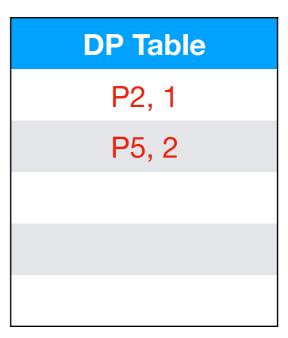






Buffer Pool





Log Tail
5: T2 End

FlushedLSN: 4

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

Log

1: T1 Updates P2

2: T2 Updates P5

3: T1 Updates P2

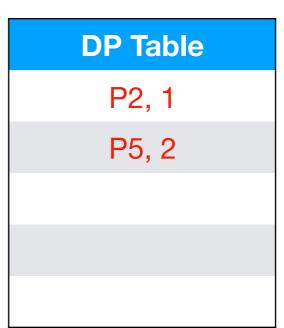
4: T2 Commits





Buffer Pool





Log Tail
5: T2 End
6: T3 Updates P7

FlushedLSN: 4

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

Log

1: T1 Updates P2

2: T2 Updates P5

3: T1 Updates P2

4: T2 Commits

Page LastLSN



Buffer Pool

T-Table
T1, Running, 3
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6

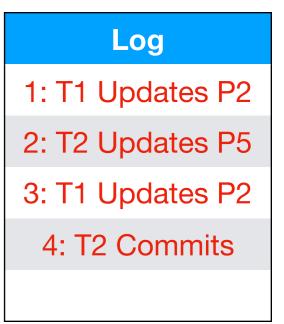
Log Tail
5: T2 End
6: T3 Updates P7

FlushedLSN: 4

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN O P2 P3 P4 P5 P6 P7 P8







Buffer Pool

T-Table
T1, Running, 3
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6

Log Tail
5: T2 End
6: T3 Updates P7
7: T1 Updates P8

FlushedLSN: 4

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

Log

1: T1 Updates P2

2: T2 Updates P5

3: T1 Updates P2

4: T2 Commits

Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail
5: T2 End
6: T3 Updates P7
7: T1 Updates P8

FlushedLSN: 4

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

Log

1: T1 Updates P2

2: T2 Updates P5

3: T1 Updates P2

4: T2 Commits

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Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail
5: T2 End
6: T3 Updates P7
7: T1 Updates P8
8: T1 Updates P6

FlushedLSN: 4

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

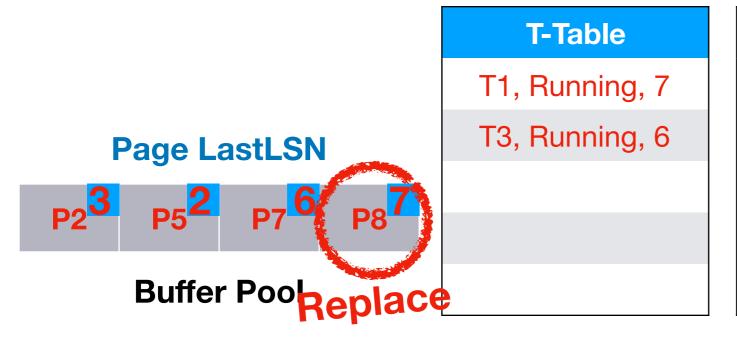
Log

1: T1 Updates P2

2: T2 Updates P5

3: T1 Updates P2

4: T2 Commits



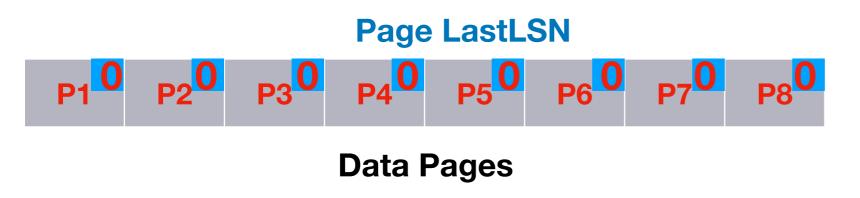
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

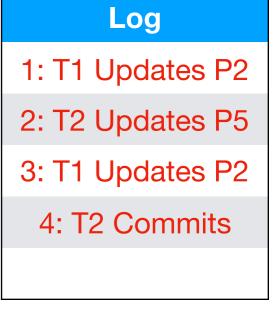
Log Tail
5: T2 End
6: T3 Updates P7
7: T1 Updates P8
8: T1 Updates P6

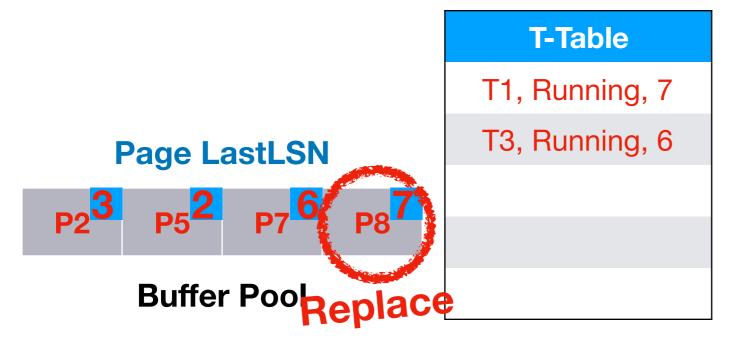
FlushedLSN: 4

Main Memory (Volatile)

Hard Disk (Non-Volatile)







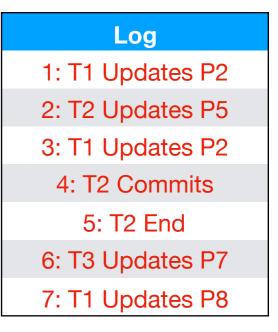
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

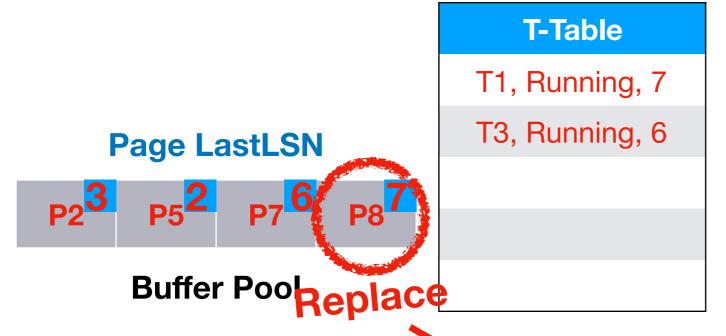
Log Tail
8: T1 Updates P6

FlushedLSN: 7

Main Memory (Volatile)

Hard Disk (Non-Volatile)





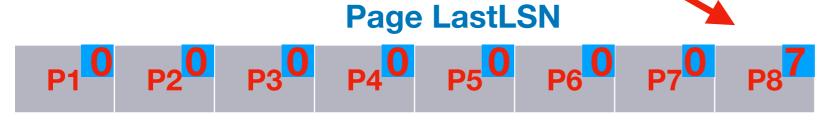
DP Table
P2, 1
P5, 2
P7, 6

Log Tail
8: T1 Updates P6

FlushedLSN: 7

Main Memory (Volatile)

Hard Disk (Non-Volatile)



Data Pages

Log 1: T1 Updates P2 2: T2 Updates P5 3: T1 Updates P2 4: T2 Commits 5: T2 End 6: T3 Updates P7 7: T1 Updates P8





Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6

Log Tail
8: T1 Updates P6

FlushedLSN: 7

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

Log 1: T1 Updates P2 2: T2 Updates P5

3: T1 Updates P2

4: T2 Commits

5: T2 End

6: T3 Updates P7

7: T1 Updates P8

Outlook

- ARIES data structures
- ARIES run time behavior
- ARIES recovery algorithm

Recovery Phase Overview

- Analysis phase
 - Read log to restore transaction & dirty page tables
- Redo phase
 - Redo non-persisted changes of all transactions
- Undo phase
 - Undo changes of aborted transactions

Analysis Refinement: Checkpoints

- Restore transaction & dirty page table during analysis
 - Without refinements, would have to read entire log
- Checkpoints save time during the analysis phase
 - Checkpoint captures transaction & DP table state
 - Checkpoint written to log
 - Master stores LSN of last checkpoint

Writing Checkpoints

- Want to write checkpoints without stopping transactions
- Log "Begin_Checkpoint" at checkpoint start
- Now start writing Transaction & DP table to log
- Log "End_Checkpoint" once this is done
- Logged tables represent state at checkpoint start

Log Scans During Recovery

Oldest Log Entry of Transaction **Active at Crash** Increasing LSNs Hard Redo Smallest recLSN in DP Table Last Checkpoint Analysis **60**

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Analysis Phase I

- Initialize transaction & DP table to last checkpoint
- Scan log forward starting from Begin_Checkpoint
- LSN Lx: Transaction Ty updates page Pz
 - If Pz not in DP table, add with recLSN = Lx
 - If Ty not in transaction table, add as running
 - Set lastLSN = Lx in transaction table

Analysis Phase II

- LSN Lx: transaction Ty commits
 - Mark Ty as committed in transaction table
- LSN Lx: transaction Ty aborts
 - Mark Ty as aborted in transaction table
- LSN Lx: end record for transaction Ty
 - Remove Ty from transaction table

Do We Get Precise Transaction Table & DP Table State Before Crash?

Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6

Log Tail
8: T1 Updates P6

FlushedLSN: 7

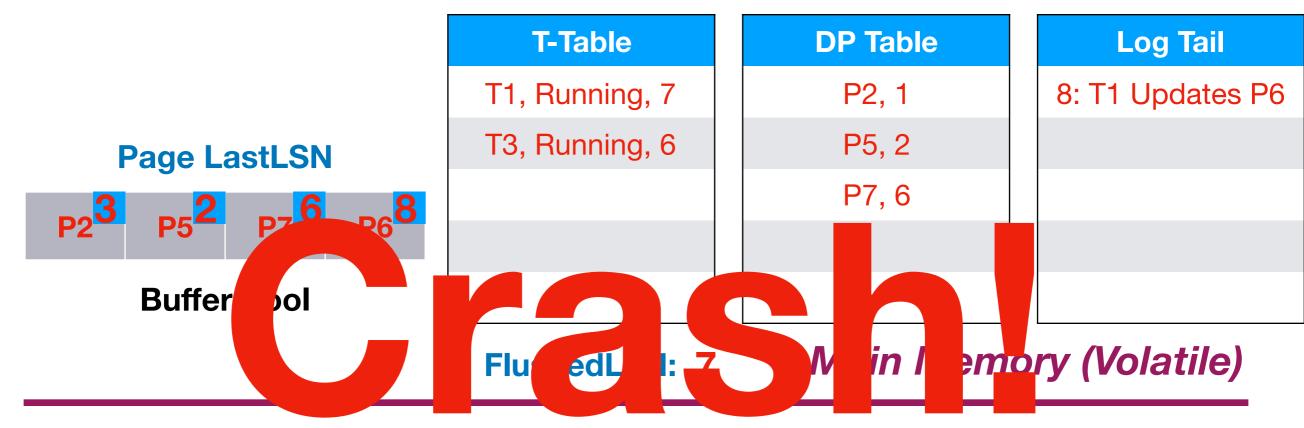
Main Memory (Volatile)

Hard Disk (Non-Volatile)

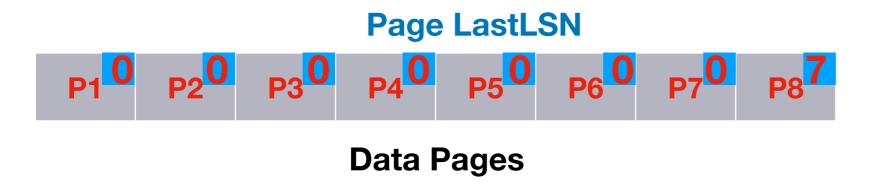
Page LastLSN

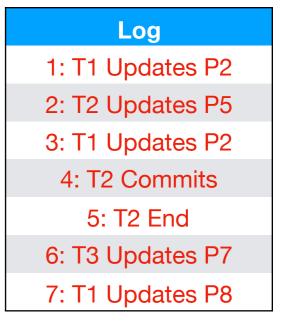


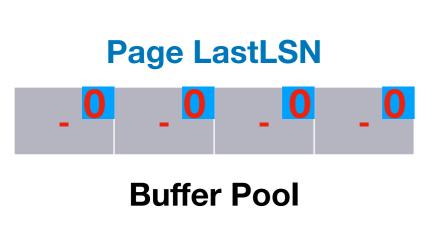
Data Pages

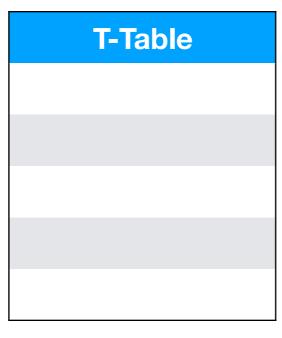


Hard Disk (Non-Volatile)

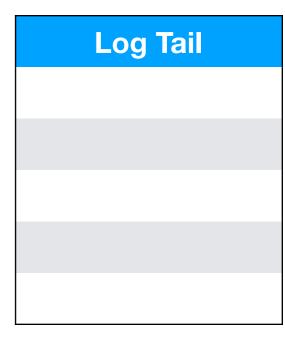








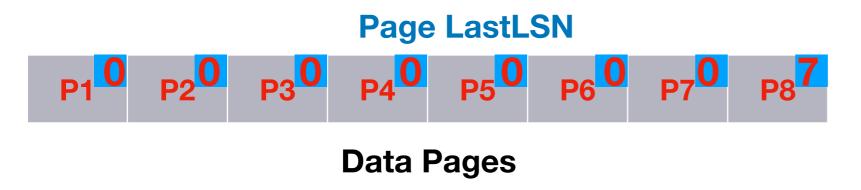


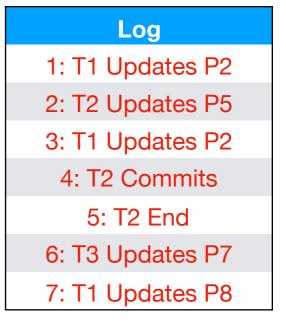


FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)



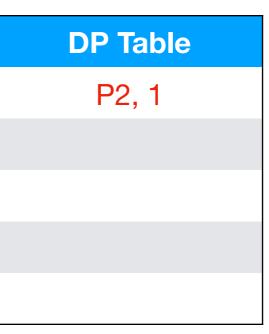


Page LastLSN



Buffer Pool



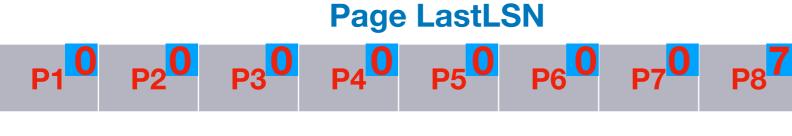




FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)



Data Pages



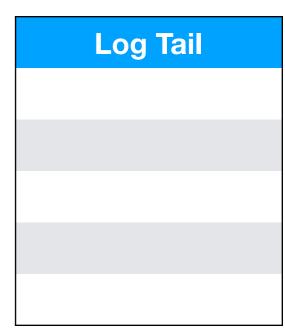




Buffer Pool

T-Table T1, Running, 1 T2, Running, 2

DP Table
P2, 1
P5, 2



FlushedLSN: 0

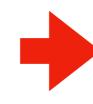
Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages







Buffer Pool

T-Table
T1, Running, 3
T2, Running, 2

DP Table
P2, 1
P5, 2

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages







Buffer Pool

T-Table T1, Running, 3 T2, Committed, 4

DP Table
P2, 1
P5, 2

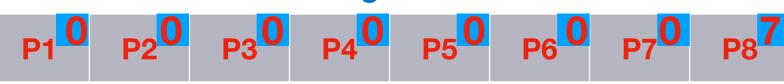
Log Tail	

FlushedLSN: 0

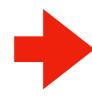
Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages



1: T1 Updates P2 2: T2 Updates P5 3: T1 Updates P2 4: T2 Commits 5: T2 End 6: T3 Updates P7 7: T1 Updates P8

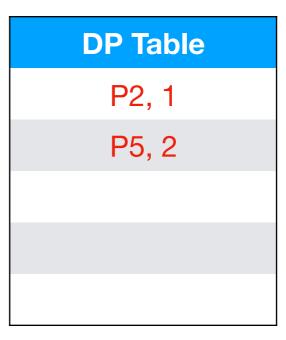
Log

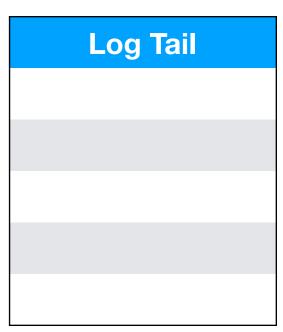




Buffer Pool







FlushedLSN: 0

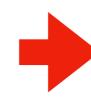
Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages







Buffer Pool

T-Table
T1, Running, 3
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages







Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

Log

1: T1 Updates P2

2: T2 Updates P5

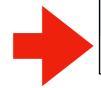
3: T1 Updates P2

4: T2 Commits

5: T2 End

6: T3 Updates P7

7: T1 Updates P8



Redo Phase

- Start scanning log from earliest recLSN in DP table
 - This is first log entry that made some page dirty
- Focus on data updates and compensation log records
 - Redo change, update pageLSN (no new log entry)
 - May avoid redo if certain conditions hold (see next)

Avoiding Redo Operations

- We can avoid a redo if one of those conditions holds
 - 1. Page affected by update is not in dirty page table
 - 2. Affected page in DP table but with recLSN > LSN
 - 3. PageLSN of page on hard disk with pageLSN ≥ LSN

Why Do We Check Conditions in This Order?





Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

Log 1: T1 Updates P2 2: T2 Updates P5 3: T1 Updates P2 4: T2 Commits 5: T2 End 6: T3 Updates P7

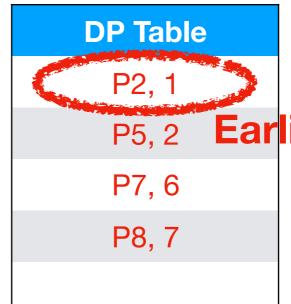
7: T1 Updates P8

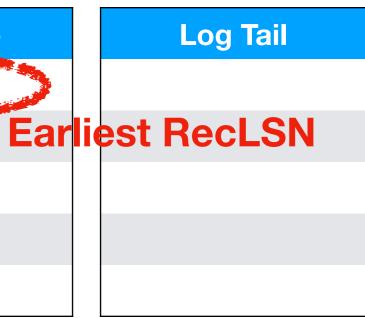




Buffer Pool

T-Table T1, Running, 7 T3, Running, 6





FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN

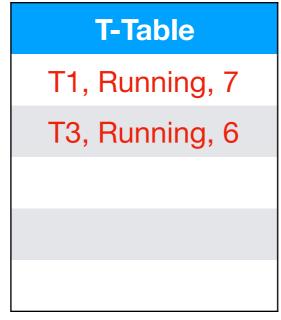


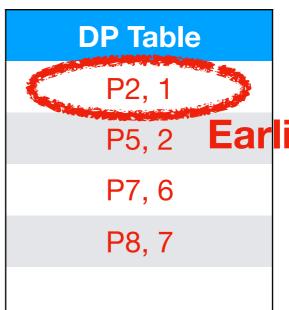
Data Pages

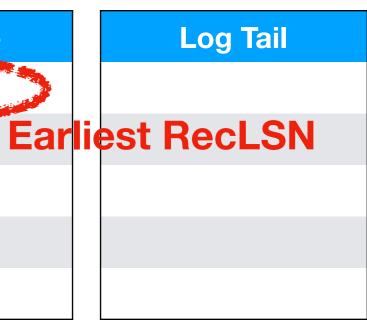




Buffer Pool







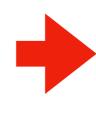
FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN Page

Data Pages



Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

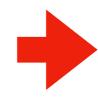
FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)



Data Pages



Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

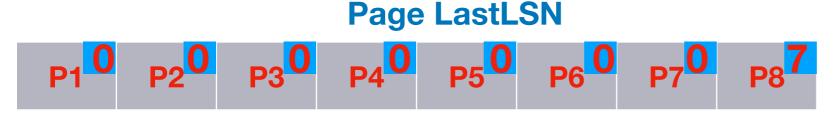
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

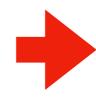
FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)



Data Pages



Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

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FlushedLSN: 0

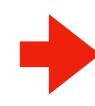
Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages



Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages



Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Data Pages



1: T1 Updates P2 2: T2 Updates P5 3: T1 Updates P2 4: T2 Commits 5: T2 End 6: T3 Updates P7 7: T1 Updates P8

Log

Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

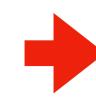
Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages



Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages



Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

1: T1 Updates P2

Log

2: T2 Updates P5

3: T1 Updates P2

4: T2 Commits

5: T2 End

6: T3 Updates P7

7: T1 Updates P8



Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN



Data Pages

Log

1: T1 Updates P2

2: T2 Updates P5

3: T1 Updates P2

4: T2 Commits

5: T2 End

6: T3 Updates P7

7: T1 Updates P8

Do We Need To Redo This ... ?



Undo Phase

- Undo changes by transactions running at crash
- Undo changes in reverse log order
 - Follow prevLSN pointers backwards in log
 - Write compensation log records while undoing

Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN





	Log
	1: T1 Updates P2
,	2: T2 Updates P5
	3: T1 Updates P2
	4: T2 Commits
,	5: T2 End
	6: T3 Updates P7
•	7: T1 Updates P8

Page LastLSN



Buffer Pool

T-Table
T1, Running, 7
T3, Running, 6

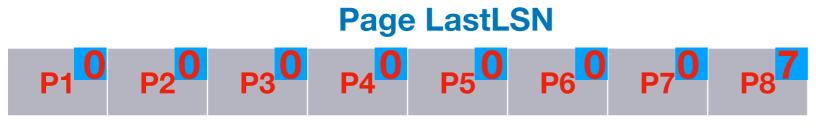
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail	

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)



Data Pages



Page LastLSN



Buffer Pool

T-Table
T1, Running, 8
T3, Running, 6

DP Table
P2, 1
P5, 2
P7, 6
P8, 7

Log Tail
8: CLR T1 P8

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)

Page LastLSN P1 0 P2 0 P3 0 P4 0 P5 0 P6 0 P7 0 P8 7

Data Pages



1: T1 Updates P2 2: T2 Updates P5 3: T1 Updates P2 4: T2 Commits 5: T2 End 6: T3 Updates P7 7: T1 Updates P8

Log

Page LastLSN



Buffer Pool

T-Table
T1, Running, 8
T3, Running, 6

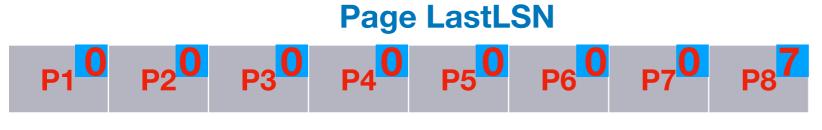
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

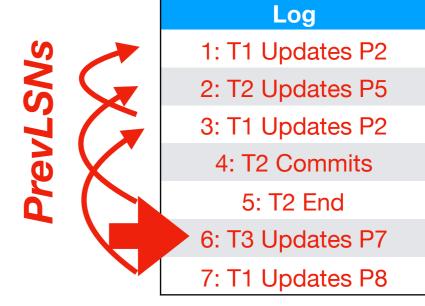
_
Log Tail
8: CLR T1 P8

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)





Page LastLSN



Buffer Pool

T-Table
T1, Running, 8
T3, Running, 9

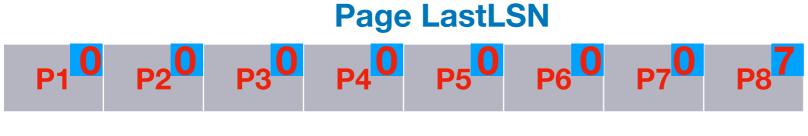
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

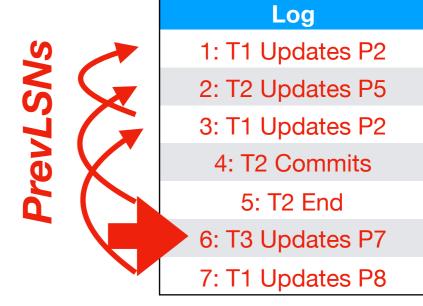
Log Tail
8: CLR T1 P8
9: CLR T3 P7

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)





Page LastLSN



Buffer Pool

T-Table
T1, Running, 8
T3, Running, 9

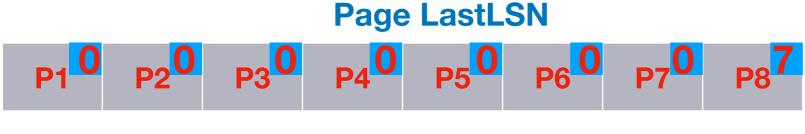
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

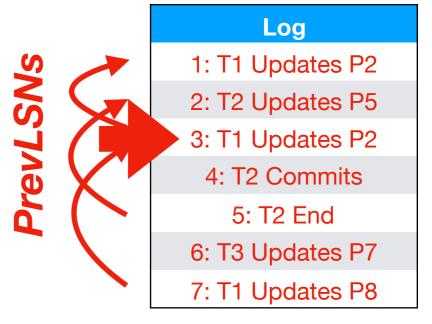
Log Tail
8: CLR T1 P8
9: CLR T3 P7

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)





Page LastLSN



Buffer Pool

T-Table
T1, Running, 10
T3, Running, 9

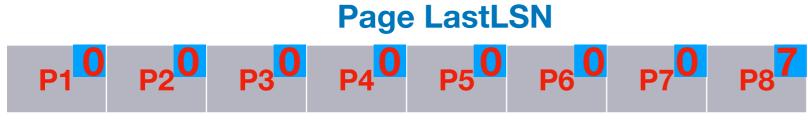
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

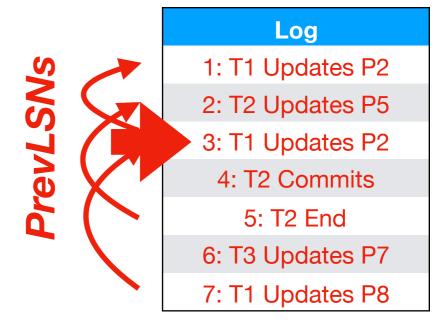
Log Tail
8: CLR T1 P8
9: CLR T3 P7
10: CLR T1 P2

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)





Page LastLSN



Buffer Pool

T-Table
T1, Running, 10
T3, Running, 9

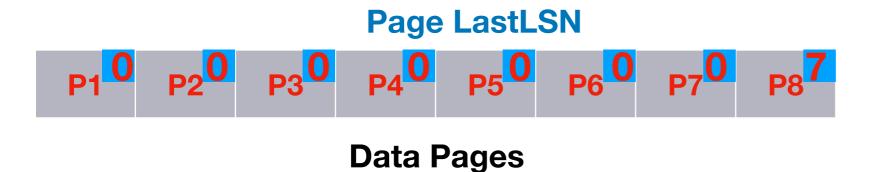
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

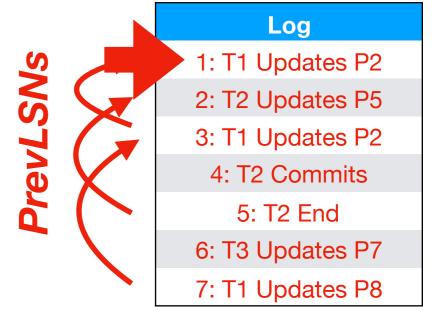
Log Tail
8: CLR T1 P8
9: CLR T3 P7
10: CLR T1 P2

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)





Page LastLSN



Buffer Pool

T-Table
T1, Running, 10
T3, Running, 9

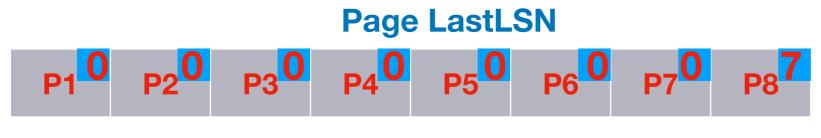
DP Table
P2, 1
P5, 2
P7, 6
P8, 7

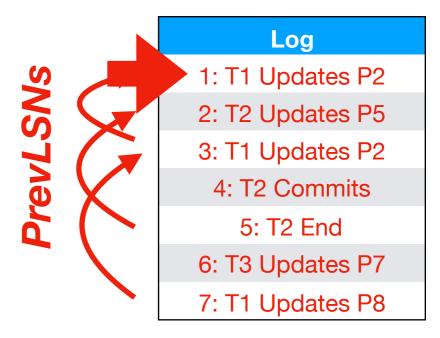
Log Tail
8: CLR T1 P8
9: CLR T3 P7
10: CLR T1 P2
11: CLR T1 P2

FlushedLSN: 0

Main Memory (Volatile)

Hard Disk (Non-Volatile)





Crash During Recovery?

- ARIES can deal with failures during any recovery phase
- Crash during analysis? Simply restart analysis.
- Crash during redo? Restart analysis and redo.
 - May not have to redo everything if changes persisted
- Crash during undo? Restart all phases.
 - Note: CLRs are redone but never undone!