Capacity Analysis of Multihop ORS-Assisted FSO Communication Systems

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FSO communication and its advantages

Free-Space Optical (FSO) Communication refers to the transmission of data through a free space medium (such as air, outer space, or vacuum) using optical signals, typically laser beams. It serves as an alternative to fiber-optic communication, without the need for physical cables. The data is transmitted as light beams modulated to carry information between two points.

- High Bandwidth: FSO offers high data rates, making it suitable for applications requiring fast communication
- Cost-Effective: No need for expensive infrastructure like fiber cables; installation is quick and affordable.
- Ease of Deployment: Can be rapidly deployed, especially in areas where laying cables is challenging (e.g., mountains, urban settings).
- No Spectrum Licensing: Operates in unlicensed optical wavelengths, avoiding regulatory constraints.
- Low Latency: Provides faster communication compared to some traditional wireless technologies.

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IRS and ORS

Intelligent Reflecting Surface (IRS) is a passive array of programmable reflecting elements that can manipulate the phase, amplitude, and polarization of incident electromagnetic waves to enhance communication links.

Table 1: FSO vs RF IRS design

Properties	FSO	RF	
Wavelength	Shorter wavelengths, necessitate pre- cise and highly sensitive surface de-	Longer wavelengths, requiring less precision	
	signs.		
Material and Structure	Uses micro-mirrors, liquid crystals, or	Employs electronically tunable mate-	
	metasurfaces	rials like varactors or PIN diodes	
Sensitivity	Highly sensitive to misalignment due	Less alignment-sensitive because of	
	to the narrow laser beams	broader RF wave propagation and	
		diffraction characteristics	
Environmental Factors	Needs to address challenges like atmo-	Must deal with different environmen-	
	spheric turbulence, fog, and scattering	tal effects such as multipath fading	
	that significantly affect optical signals	and electromagnetic interference, but	
		is less affected by weather conditions	

where ORS is a special case of optical RIS when it operates as a perfect mirror is mandatory to consider multiple ORSs between source and destination in a backhaul network scenario.

Proposed System Model

Multihop FSO communication involves relaying data through multiple intermediate hops rather than establishing a single long-distance direct link. We use decode-and-forward (DF) relaying in this model.

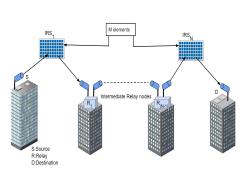


Fig. 1: IRS-Assisted multihop FSO System

- Improved Signal Quality: Splitting the path into shorter hops reduces attenuation, scattering, and turbulence effects.
- Extended Coverage: Multihop networks can cover longer distances by using intermediate relay hops, bypassing the limitations of a single-link system.
- Reliability: Shorter hops are less sensitive to environmental factors like fog, rain, or dust, making the overall system more robust to adverse weather conditions.
- Reduced Beam Divergence: Over short distances, the optical beam remain narrow, minimizing power loss and improving receiver alignment.

Problem Statement: Capacity Analysis of Multihop ORS-Assisted FSO Communication Systems

- Closed-form expressions of ergodic capacity (EC) were derived without invoking the central limit theorem (CLT)
- The effect of atmospheric turbulence (AT), pointing errors, and fog were considered in the numerical results
- Expressions of EC are derived for different statistical models of AT (i.e., Gamma-Gamma, Málaga and IGGG distributions)
- We conduct Monte-Carlo simulations to verify the correctness of derived analytical expressions
- This model performed better than the free space optics (FSO) system without the ORS



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Literature Review and Motivations

Table 2: Literature Review

Reference	Type of system	Link Distribution	Metrics
[1]	Dual-hop (DH) FSO	Gamma-Gamma	ABER, OP and EC
[2]	DH FSO/RF	FSO—Gamma—Gamma, RF—Nakagami—m	ABER, OP and EC
[3]	Multihop FSO	Gamma — Gamma	OP
[4]	DH IRS—assisted FSO/RF	FSO—Gamma—Gamma, RF—Rayleigh	ABER, OP
[5]	Multihop IRS—assisted FSO	FSO—Gamma—Gamma, Málaga, IGGG	ABER, OP
This model	Multihop ORS—assisted FSO	FSO—Gamma—Gamma, Málaga, IGGG	EC

Motivations

- Using ORS in each hop improves the coverage and this sort of model is proposed keeping in mind the dense urban environment
- There is less amount of work done on ORS-assisted multihop FSO communication
 where ORS in each hop is enabled to enable LoS communication

System Model

• The overall channel coefficient for p^{th} hop, Z_p can be written as $Z_p = h_1^{(p)} h_2^{(p)}$, where $h_{:}^{(p)}=h_{a:}^{(p)}h_{pe:}^{(p)}h_{f:}^{(p)}$ of any p^{th} hop and the received FSO signal at the photodetector of any p^{th} hop is given as [5, Eq.1]

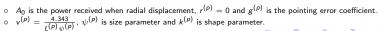
$$y_p = Z_p x_p + n_p$$

- $\circ x_p$ is the message signal transmitted from Tx and n_p is the AWGN with zero-mean and variance $\sigma_{o_p}^2$ for any pth hop
- The PDF of pointing error coefficient, h_{pe} is given as [5, Eq.6]

$$f_{h_{pe}}^{(p)}(x) = \frac{(g^{(p)})^2}{A_0} \left(\frac{x}{A_0}\right)^{(g^{(p)})^2 - 1}$$

• The PDF of fog fading coefficient, $h_f^{(p)}$ is given as [5, Eq.7]

$$f_{h_f}^{(p)}(x) = \frac{(v^{(p)})^{k^{(p)}}}{\Gamma(k^{(p)})} \left[ln\left(\frac{1}{x}\right) \right]^{k^{(p)}-1} x^{v^{(p)}-1}$$





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Channel Model: Gamma—Gamma

• The probability density function (PDF) atmospheric turbulence from Tx to IRS of any p^{th} hop, $f_{h_a}^{(p)}$ is [5, Eq.2]

$$f_{h_a}^{(p)}(x) = \frac{2(\alpha^{(p)}\beta^{(p)})^{\frac{\alpha^{(p)}+\beta^{(p)}}{2}}}{\Gamma(\alpha^{(p)})\Gamma(\beta^{(p)})} x^{\frac{\alpha^{(p)}+\beta^{(p)}}{2}-1} K_{\alpha^{(p)}-\beta^{(p)}}(2\sqrt{\alpha^{(p)}\beta^{(p)}x})$$

- $K_d(.)$ is the modified Bessel function of second kind and d^{th} order, $\alpha^{(p)}$ and $\beta^{(p)}$ are the large and small scale scattering parameters
- The PDF of the instantaneous SNR, $\gamma^{(p)}$ is given as

$$\begin{split} f_{\gamma^{(p)}}(\gamma) &= \frac{1}{2\gamma} \left(\zeta_1^{(p)}\zeta_2^{(p)}\right)^{-1} \left(\chi_1^{(p)}\chi_2^{(p)}\right) \left((v_1^{(p)})^{k_1^{(p)}}(v_2^{(p)})^{k_2^{(p)}}\right) \\ & G^{6+k_1^{(p)}+k_2^{(p)}}_{2+k_1^{(p)}+k_2^{(p)}} \mathop{}^{0}_{6+k_1^{(p)}+k_2^{(p)}} \left(\left((\zeta_1^{(p)}\zeta_2^{(p)})\sqrt{\frac{\gamma B^2}{\overline{\gamma^{(p)}}}}\right) \middle| \frac{\Delta_1}{\Delta_2}\right) \end{split}$$

$$\circ \quad G_{p,q}^{m,n}(.) \text{ is Meijer's G-function, } \chi_i^{(p)} = \frac{\alpha_i^{(p)}\beta_i^{(p)}(g_i^{(p)})^2}{A_0\Gamma(\alpha_i^{(p)})\Gamma(\beta_i^{(p)})}, \ \zeta_i^{(p)} = \frac{\alpha_i^{(p)}\beta_i^{(p)}}{A_0} \text{ and } \gamma^{(p)} = \frac{\overline{\gamma^{(p)}Z_p^2}}{B^2}$$

 \circ $\overline{\gamma^{(p)}}$ is the average electrical SNR and B is the expectation value of overall channel coefficient Z_p .



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Channel Model: Málaga

• The probability density function (PDF) atmospheric turbulence from Tx to IRS of any p^{th} hop, $f_h^{(p)}$ is [6, Eq.3]

$$f_{h_a}^{(p)}(x) = A_M \sum_{d^{(p)}=1}^{\beta^{(p)}} a_d x^{\frac{\alpha^{(p)}+d^{(p)}}{2}-1} K_{\alpha^{(p)}-\beta^{(p)}} \left(2\sqrt{\frac{\alpha^{(p)}\beta^{(p)}\chi}{y^{(p)}\beta^{(p)}+\Omega'^{(p)}}} \right)$$

$$\circ \ \ A_{M}^{(p)} = \frac{2\alpha(p)\alpha^{(p)}/2}{y(p)1+\alpha^{(p)}/2\Gamma(\alpha^{(p)})} \left(\frac{y(p)\beta^{(p)}}{y(p)\beta^{(p)}+\Omega^{\prime}(p)}\right)^{\beta(p)+\alpha^{(p)}/2} \text{ and}$$

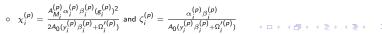
$$a_{d}^{(p)} = \left(\begin{array}{cc} \beta^{(p)} - 1 \\ a^{(p)} - 1 \end{array}\right) \frac{(y^{(p)}\beta^{(p)}+\Omega^{\prime}(p))1-a^{(p)}/2}{(a^{(p)}-1)!} \left(\frac{\Omega^{\prime}(p)}{y(p)}\right)^{d^{(p)}-1} \left(\frac{\alpha^{(p)}}{\beta^{(p)}}\right)^{d^{(p)}/2}$$

 $\circ \ \Omega'^{(p)}$ and $y^{(p)}$ are parameters related to power of different components of Málaga distribution.

• The PDF of the instantaneous SNR, $\gamma^{(p)}$ is given as

$$f_{\gamma^{(p)}}(\gamma) = \frac{1}{2\gamma} \left(\zeta_1^{(p)} \zeta_2^{(p)} \right)^{-1} \left(\chi_1^{(p)} \chi_2^{(p)} \right) \left((v_1^{(p)})^{k_1^{(p)}} (v_2^{(p)})^{k_2^{(p)}} \right)$$

$$\sum_{d_1^{(p)}=1}^{\beta_1^{(p)}}\sum_{d_2^{(p)}=1}^{\beta_2^{(p)}}b_{d_1}b_{d_2}G_{2+k_1^{(p)}+k_2^{(p)}}^{6+k_1^{(p)}+k_2^{(p)}} \\ 0\\ 2+k_1^{(p)}+k_2^{(p)} 6+k_1^{(p)}+k_2^{(p)}} \left(\left((\zeta_1^{(p)}\zeta_2^{(p)})\sqrt{\frac{\gamma B^2}{\overline{\gamma^{(p)}}}}\right)\bigg|\Delta_1\right)$$





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Channel Model: IGGG

• The probability density function (PDF) atmospheric turbulence from Tx to IRS of any p^{th} hop, $f_h^{(p)}$ is [7]

$$f_{h_a}^{(p)}(x) = \frac{A}{x} G_{12}^{21} \left(\frac{\alpha^{(p)} \beta^{(p)} x}{\lambda^{(p)} - 1} \middle| \frac{1 - \lambda^{(p)}}{\alpha^{(p)}, \beta^{(p)}} \right)$$

- $\circ \ \ A = \frac{1}{\Gamma(\alpha^{(p)})\Gamma(\beta^{(p)})\Gamma(\lambda^{(p)})}, \ \alpha^{(p)}, \ \beta^{(p)} \ \ \text{and} \ \ \lambda^{(p)} = \alpha^{(p)} + 2 \ \text{are the large, medium and small scale scattering parameters}$
- The PDF of the instantaneous SNR, $\gamma^{(p)}$ is given as

$$\begin{split} f_{\gamma^{(p)}}(\gamma) &= \frac{1}{2\gamma} \left(\zeta_1^{(p)}\zeta_2^{(p)}\right)^{-1} \left(\chi_1^{(p)}\chi_2^{(p)}\right) \left((v_1^{(p)})^{k_1^{(p)}}(v_2^{(p)})^{k_2^{(p)}}\right) \\ & G \frac{6 + k_1^{(p)} + k_2^{(p)}}{4 + k_1^{(p)} + k_2^{(p)}} \frac{2}{6 + k_1^{(p)} + k_2^{(p)}} \left(\left((\zeta_1^{(p)}\zeta_2^{(p)})\sqrt{\frac{\gamma B^2}{\gamma^{(p)}}}\right) \middle| \frac{\Delta_4}{\Delta_2}\right) \end{split}$$

$$\circ \quad \chi_{i}^{(p)} = \frac{A_{i}^{(p)}\alpha_{i}^{(p)}\beta_{i}^{(p)}(g_{i}^{(p)})^{2}}{A_{0}(\lambda_{i}^{(p)}-1)} \text{ and } \zeta_{i}^{(p)} = \frac{\alpha_{i}^{(p)}\beta_{i}^{(p)}}{A_{0}(\lambda_{i}^{(p)}-1)}$$





Multihop ORS-Assisted FSO: Performance Metrics

• The capacity of the p^{th} hop is derived by solving the integral

$$C^{(p)} = \int_0^\infty log_2(1+s_r\gamma)f_{\gamma(p)}$$

 According to the min-cut max-flow theorem, the system's overall capacity cannot be greater than the capacity of an individual hop. Therefore, the upper bound for the capacity is given as [8, Eq.29]

$$C_{DF} = min\left(C^{(1)}, C^{(2)}, \cdots, C^{(N)}\right)$$

The Ergodic capacity of the proposed system model is derived as

$$C^{(p)} = \frac{2^{\alpha_1^{(p)} + \alpha_2^{(p)} + \beta_1^{(p)} + \beta_2^{(p)} - k_1^{(p)} - k_2^{(p)} - 6}}{\pi^2 \ln 2} \left(\zeta_1^{(p)} \zeta_2^{(p)} \right)^{-1} \left(\chi_1^{(p)} \chi_2^{(p)} \right) \left((v_1^{(p)})^{k_1^{(p)}} (v_2^{(p)})^{k_2^{(p)}} \right)$$

$$G^{\frac{14 + 2k_1^{(p)} + 2k_2^{(p)}}{6 + 2k_1^{(p)} + 2k_2^{(p)}} \frac{1}{14 + 2k_1^{(p)} + 2k_2^{(p)}} \left(\frac{\left(\zeta_1^{(p)} \zeta_2^{(p)} \right)^2 B^2}{256 s_r \overline{\gamma^{(p)}}} \right| \Delta_5$$

$$(Gamma - Gampa)$$

$$(Gamma - Gampa)$$

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Multihop IRS-Assisted FSO: Performance Metrics

$$\begin{split} C^{(p)} &= \frac{\left(\zeta_1^{(p)}\zeta_2^{(p)}\right)^{-1}\left(\chi_1^{(p)}\chi_2^{(p)}\right)\left((v_1^{(p)})^{k_1^{(p)}}(v_2^{(p)})^{k_2^{(p)}}\right)}{\pi^2 \ln 2} \sum_{d_1^{(p)}=1}^{\beta_1^{(p)}} \sum_{\substack{j=0 \ j \neq 0 \ j \neq 0}}^{\beta_2^{(p)}} b_{d_1}b_{d_2}\\ 2^{\alpha_1^{(p)}+\alpha_2^{(p)}+d_1^{(p)}+d_2^{(p)}-k_1^{(p)}-k_2^{(p)}-6}G_{6+2k_1^{(p)}+2k_2^{(p)}}^{14+2k_1^{(p)}+2k_2^{(p)}} & 1\\ 6+2k_1^{(p)}+2k_2^{(p)}& 14+2k_1^{(p)}+2k_2^{(p)}} \left(\frac{\left(\zeta_1^{(p)}\zeta_2^{(p)}\right)^2B^2}{256s_r\overline{\gamma^{(p)}}}\right|^{\Delta_5} \Delta_7 \end{split}$$
 (Málaga)

$$\begin{split} C^{(p)} &= \frac{2^{\alpha_{1}^{(p)} + \alpha_{2}^{(p)} + \beta_{1}^{(p)} + \beta_{2}^{(p)} + \lambda_{1}^{(p)} + \lambda_{2}^{(p)} - k_{1}^{(p)} - k_{2}^{(p)} - 8}}{\pi^{3} \ln 2} \left(\zeta_{1}^{(p)} \zeta_{2}^{(p)} \right)^{-1} \left(\chi_{1}^{(p)} \chi_{2}^{(p)} \right) \\ & \left((v_{1}^{(p)})^{k_{1}^{(p)}} (v_{2}^{(p)})^{k_{2}^{(p)}} \right) G_{10+2k_{1}^{(p)} + 2k_{2}^{(p)}}^{14+2k_{1}^{(p)} + 2k_{2}^{(p)}} & 5 \\ & 1_{0+2k_{1}^{(p)} + 2k_{2}^{(p)}} \left(\frac{(\zeta_{1}^{(p)} \zeta_{2}^{(p)})^{2} B^{2}}{16s_{r} \overline{\gamma^{(p)}}} \right| \Delta_{6} \\ & O(GGG) \end{split}$$



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Notations Used

Table 3: List of Notations

$$\Delta_{1} = 1 + (g_{1}^{(p)})^{2}, 1 + (g_{2}^{(p)})^{2}, \{1 + v_{1}^{(p)}\}_{1}^{k_{1}^{(p)}}, \{1 + v_{2}^{(p)}\}_{1}^{k_{2}^{(p)}}$$

$$\Delta_{2} = (g_{1}^{(p)})^{2}, (g_{2}^{(p)})^{2}, \alpha_{1}^{(p)}, \alpha_{2}^{(p)}, \beta_{1}^{(p)}, \beta_{2}^{(p)}, \{v_{1}^{(p)}\}_{1}^{k_{1}^{(p)}}, \{v_{2}^{(p)}\}_{1}^{k_{2}^{(p)}}$$

$$\Delta_{3} = (g_{1}^{(p)})^{2}, (g_{2}^{(p)})^{2}, \alpha_{1}^{(p)}, \alpha_{2}^{(p)}, d_{1}^{(p)}, d_{2}^{(p)}, \{v_{1}^{(p)}\}_{1}^{k_{1}^{(p)}}, \{v_{2}^{(p)}\}_{1}^{k_{2}^{(p)}}$$

$$\Delta_{4} = 1 - \lambda_{1}^{(p)}, 1 - \lambda_{2}^{(p)}, 1 + (g_{1}^{(p)})^{2}, 1 + (g_{2}^{(p)})^{2}, \{1 + v_{1}^{(p)}\}_{1}^{k_{1}^{(p)}}, \{1 + v_{2}^{(p)}\}_{1}^{k_{2}^{(p)}}$$

$$\Delta_{5} = 0, 1, \frac{1 + (g_{1}^{(p)})^{2}}{2}, \frac{2 + (g_{1}^{(p)})^{2}}{2}, \frac{1 + (g_{2}^{(p)})^{2}}{2}, \frac{2 + (g_{2}^{(p)})^{2}}{2}, \frac{2 + (g_{2}^{(p)})^{2}}{2}, \frac{1 + (g_{1}^{(p)})^{2}}{2}, \frac{2 + (g_{2}^{(p)})^{2}}{2}, \frac{1 + (g_{1}^{(p)})^{2}}{2}, \frac{1 + ($$

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Flow chart of Monte-Carlo simulations

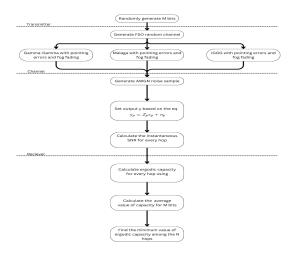


Fig. 2: Flow chart of Monte-Carlo simulations



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Multihop ORS-Assisted FSO: Simulation Parameters

Table 4: Simulation Parameters

Parameter	Values
Shape parameter of fog, $k^{(p)}$	2
Scale parameter of fog, $\psi^{(p)}$	13.12
Pointing error coefficient, $g^{(p)}$	2.7
Link distance (Tx to IRS), L_1	1000 m
Link distance (IRS to Rx), L2	1000 m
No. of hops, N	3
No. of IRS elements, M	1



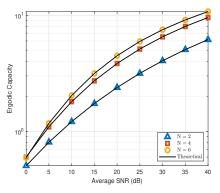


Fig. 3: Ergodic capacity for different no. of hops (Gamma-Gamma)

Fig. 4: Ergodic capacity for different values of pointing error coefficient (Málaga)

 To achieve a capacity of 3 bits/sec/Hz, N = 6 has an SNR gain of 5 dB and 12 dB while compared to N = 4 and N = 2, respectively

As the number of hops increases, the atmospheric severity will decrease, due to which capacity value increases

As the pointing error coefficient decreases, capacity also decreases

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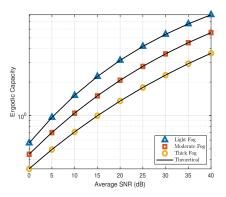


Fig. 5: Ergodic capacity for different different foggy weather conditions (IGGG)

Fig. 6: Ergodic capacity for different link distance (Gamma-Gamma)

- As the severity of fog increases, capacity decreases
- An increase in the link distance decreases the capacity as atmospheric turbulence sever will increase (i.e., α_i , β_i values will decrease)

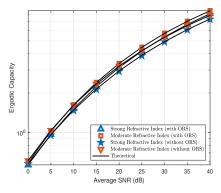


Fig. 7: Ergodic capacity for different refractive index values (Malaga)

Fig. 8: Comparision between ergodic capacity of the system with and without IRS (IGGG) $\,$

- The minimum capacity requirement of the eMBB usage scenario in a 5G system is achieved at an SNR of 25 dB with ORS, but without ORS it is achieved at an SNR of 28 dB
- As the refractive index value increases, atmospheric turbulence severity will increase the capacity will decrease
- With the ORS, the system's performance will improve compared to the system with the ORS

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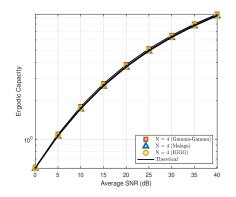


Fig. 9: Comparision between Gamma-Gamma, Málaga, and IGGG distributions

- When $\lambda_i \to \infty$ (i.e., $\lambda_i \ge 13$), IGGG reduces to the GG turbulence model, while all other parameters remain unchanged
- ullet Similarly for ho=1, $\Omega'=1$, and Y=0, Málaga reduces to Gamma-Gamma model
- As a special case, IGGG, and Málaga reduce to the Gamma-Gamma model

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Conclusions

- This work described the Multihop ORS-assisted FSO system under the effect of fog, AT, and pointing errors
- To model atmospheric turbulence, we have considered GG, IGGG, and Màlaga distribution
- Closed-form EC expressions for different AT turbulence models were derived and validated using Monte-Carlo simulations
- While increasing the pointing error severity, the performance of the system decreases
- With an increase in the intensity of fog, the performance decreases, this is due to varying degrees of attenuation, scattering, and absorption of optical signals
- The capacity performance of various AT turbulence models has been compared and observed that the performance of different models is nearly the same. IGGG and Màlaga distribution will be reduced to GG as a special case
- The Multihop ORS-assisted FSO system offered improved performance as compared the single-hop ORS-assisted FSO system and Multihop FSO system without ORS

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Future Works

- To obtain an asymptotic capacity expression for the given system model
- We will extend our work to multi-hop N IRS element FSO communication system
- The model discussed assumes perfect CSI conditions both at the ORS as well as at the receiver; imperfect CSI can be explored as a part of future work.
- For the ORS, perfect phase cancellation in order to maximize the SNR is assumed, as a
 part of the future work the non-ideal conditions for example non-unity ORS reflection
 coefficient and imperfect phase cancellation at ORS can be considered.





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Thank You



