# Heaps

## **Time Complexities of Some Common Data Structures**

	Insert(X)	getMin()	deleteMin()
Sorted Array	O(N)	0(1)	O(N) - ASC, O(1) - DESC
Linked List (Sorted)	O(N)	0(1)	O(1)
Binary Search Tree	O(N)	O(N)	O(N)
Balanced Binary Search Tree	O(logN)	O(logN)	O(logN)
Min Heap	O(logN)	0(1)	O(logN)

In a Min or Max heap we try to maintain a complete binary tree with minimum or maximum element as the root of the tree.

## **Properties of Heap**

- 1. It is a complete binary tree.
- 2. For all nodes in a min heap, node.value <= node.left.value and node.value <= node.right.value. Similar logic can be used for max heap.

Suppose we have array elements A = [2, 3, 1, 6, 4] A min heap would look like:



Corresponding array representation would be:

```
T = [1, 2, 3, 4, 6]
```

## **Insertion in Min Heap**

- 1. Insert the new element by creating a new leaf.
- 2. Keep on swapping it with the parent until the parent node is smaller. This is a down heapify operation.

#### Pseudo Code:

```
function(T, K):
    N = length of T
    append K to T
    cur = N
    while cur > 0:
        parent = (cur - 1)/2
        if(T[parent] < T[cur]):
            swap(T[parent], T[cur])
        else:
            break loop
        cur = parent
    return T</pre>
```

Same logic is used for max heaps.

## **Deletion Minimum in Min Heap**

- 1. Swap the minimum element with the last element of the min heap.
- 2. Now, climb down from the root and heapify it. This is an up heapify operation.

```
function(T):
    N = length of T
    swap(T[0], T[N - 1])
    last = N - 2
    i = 0
    while i < last:
        minIndex = i
        l = 2 * i + 1
        r = 2 * i + 2</pre>
```

```
if 1 <= last and A[1] < A[minIndex]:
    minIndex = 1

if r <= last and A[r] < A[minIndex]:
    minIndex = r

if minIndex == i:
    break

swap(A[i], A[minIndex])

i = minIndex</pre>
```

Same logic is used for max heaps.

## **Question - 1**

Given a 2D matrix of size NxM with sorted rows. Merge all the rows into a sorted 1D array.

## Example:

[[1, 3, 8], [2, 5, 7], [4, 9, 12]]

Here, the respective 1D array would be:

[1, 2, 3, 4, 5, 7, 8, 9, 12]

#### Solution:

#### **Brute force:**

- Insert all the elements in an array.
- Sort the array using any sorting algorithm
- Time complexity: O(N \* M \* log(N \* M))

```
function(A):
    rows = length of A
    cols = length of A[0]
    ans = []
    for i from 0 to rows - 1:
        for j from 0 to cols - 1:
            append A[i][j] to ans
    sort(ans)
    return ans
```

### **Observation:**

We observe that the matrix is sorted. So, we can use this property to efficiently create the sorted 1D array.

#### Pseudo Code:

```
Data:
val, r, c
function(A):
N = length of A
M = length of A[0]
H = Heap()
for i from 0 to N-1:
insert(Data(A[i][0], i, 0)) in H
ans = []
while H is not empty:
T = Min of H
remove T from H
append T.val to ans
if T.c < M - 1:
insert(Data(A[T.r][T.c + 1], T.r, T.c + 1)) in H
return ans
```

- Time complexity: O(N \* M \* log(N))
- Space complexity: O(N)

## **Question - 2**

Given two sorted arrays A and B, generate all the possible pair sum and print Kth smallest sum.

## **Example:**

```
A = [1, 2, 3]

B = [2, 4, 5]
```

K = 2

All possible are:

[3, 4, 5, 6, 7, 8]

the 2nd smallest is 4.

### Solution:

### **Brute force:**

Simply create the array of all possible pair sums and sort them.

### **Pseudo Code:**

```
function(A, B, K):
    C = []
    for a in A:
        for b in B:
            append (a + b) in C

    sort(C)
    return C[K - 1]
```

- Time complexity: O(N \* M \* log(N \* M))
- Space complexity: O(N \* M)

### **Observation:**

If we get an element (i, j) such that its rank < K, then our possible ans can be (i + 1, j) or (i, j + 1)

#### Pseudo Code:

return ans

```
function(A, B, K):
    N = length(A)
    M = length(B)
    i = 0
    j = 0
    H = Heap()
    rank = 0
    ans = -1
    while(rank < K):
        rank++
        T = min of H
        remove T from H
        add(A[i + 1] + B[j]) to H
        add(A[i] + B[j + 1]) to H</pre>
```

- Time complexity: O(K \* log(K))
- Space complexity: O(K)

## **Question - 3**

You are getting a stream of integers. With every new element you get, return the current median.

## **Example:**

```
Suppose the stream is: [4, 6, 3, 2, 9]
After 1st element: [4] -> Median = 4
After 2nd element: [4, 6] -> Median = 5
After 3rd element: [4, 6, 3] -> [3, 4, 6] -> Median = 4
After 4th element: [4, 6, 3, 2] -> [2, 3, 4, 6] -> Median = 3.5
After 5th element: [4, 6, 3, 2, 9] -> [2, 3, 4, 6, 9] -> Median = 4
```

#### Solution:

#### **Brute force:**

Whenever we receive the new element, simply add it to an array. After which, we sort the array to find the median elements.

- Time complexity: O(N2log(N)), as we are sorting the array after every insertion of the element.
- Space complexity: O(N)

## **Optimization:**

We can observe from the brute force solution is that what we care about after every insertion is the middle elements. We can use a pair of min and max heap to maintain this information in an efficient manner.

```
function(A):
    minHeap = MinHeap()
    maxHeap = MaxHeap()
    added = 0

for ele in A:
    added = added + 1
    add ele in maxHeap
    if maxHeap.max > minHeap.min:
```

```
max = maxHeap.max
min = minHeap.min
remove max from maxHeap
remove min from minHeap
add max to minHeap
  add min to maxHeap
if size(maxHeap) > size(minHeap) + 1:
max = top of maxHeap
remove max from maxHeap
  add max to minHeap
median = -1
if added is odd:
median = maxHeap.max
else:
median = (maxHeap.max + minHeap.min)/2
print(median)
```

- Time complexity: O(log(N)) per insertion, so O(N \* log(N)) for all insertions
- Space complexity: O(N)

## **Heap Sort**

In this sorting algorithm, we simply convert the unsorted array into a min heap. After this, we continuously extract the minimum element from the heap and create a new sorted array.

- Time complexity: O(N) + O(N \* log(N))
- Space complexity: O(1) -> We can use in place sorting to attain this time complexity.

```
function(A):
    minHeap = MinHeap(A) // in O(N)
    ans = []

    // O(N * log(N))
    while minHeap is not empty:
        min = min element of minHeap
        append min to ans
    return ans
```