

- Searching can be done on probability basis.
- Binary Search = Sort + Search.
- Binary Search is based on "Divide and conquer" strategy.
- Linear search - Iterative.
- Greedy approach - It first search which has the highest probability.

Step 1 : problem statements (well known or scenarios based)

Step 2 : Category of problems (P, NP)

Step 3 : strategy of algorithm design.

- ① Iterative (P)
- ② Divide and Conquer (P)
- ③ Backtracking (NP)
- ④ Branch and Bound (NP)
- ⑤ Greedy strategy (~~NP~~ P)
- ⑥ Dynamic programming (P)
- ⑦ Recursion (P)
- ⑧ Recursion with backtracking (NP)

NOTE: for NP problems Divide and conquer cannot be used

Step 4 :- Also writing (plain english) and check the correctness of algo

worst	Best	sort	strategy
$n^2$	$n \log n$	Quick sort	Divide and Conquer
$2^n$	$n \log n$	Merge sort	" "
$n^2$	$n$	Selection sort	Iterative
$n^2$	$n$	Insertion sort	"
$n^2$	$n/n^2$	Bubble sort	"

$n$  = size of data,

eg. `int arr[10];`  
 $n=10$

Asymptotic

Step 5 :- Complexity analysis of algo.

① time

② space

③ Network.

Ques. How to lower down its complexity?

The validation is done at the client side, and the final data will be send to the server then the server will send a small message.

• Asymptotic analysis :- means how much time space will be required by the algo based on the size of the data.

NOTE: more nested loops leads to more complexity  
classmate

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Correctness - which terminates and which gives correct o/p on I/P.

Unit 1 - Step 4  
Step 5

Unit 2, 3 - Step 3

Unit 4 - Step 2

Step 5 : Asymptotic analysis algorithm

Time complexity :

algo1: units aver. [20]

for ( $i=1$ ;  $i \leq n$ ;  $i++$ ) — (n)

{

  pf (arr[i])

}

for ( $i=1$  to 2) — (2)

  pf (i)

  for ( $i=n$ ;  $i>1$ ;  $i--$ ) — (n)

    pf (arr[i])

algo2:

units aver [20][20] — (1)

for  $i=1$  to  $n$  — (n)

  { for  $j=1$  to  $n$  — (n)

    {

      pf (arr[i][j])

    }

}

algo 1 :

$$n = 20$$

$$\text{instruction} = 2n + 2 = 42$$

$$\begin{aligned}\text{Complexity} &= 2n + 2 \\ &= n\end{aligned}$$

If data is of size ' $n$ ' then  $(2n + 2 \approx n)$  instruction will be executed to work on data.

algo 2 :

$$\text{time complexity} = n^2 + 1 \text{ or } n^2$$

$$i=1 \rightarrow j=1 \text{ to } n$$

$$i=2 \rightarrow j=1 \text{ to } n$$

$$\vdots \quad \vdots \quad \vdots$$

$$i=n \rightarrow j=1 \text{ to } n$$

NOTE: ① In nested loops - multiply

② In independent loops - addition

UNIT - I

## \* Asymptotic Analysis :-

- ① Time
- ② Space (Compromised)
- ③ Network

Asymptotic time analysis or asymptotic time complexity of any algo. specifies the no. of instructions which will be executed to process 'n' size data.

Time  $\rightarrow$  no. of instructions executed  $\propto n$   
 by algo ↑  
datasize.

## Time complexity of Insertion sort :-

int arr[n]

— ① Time

for i = 2 to n

— (n-1) Times

{

Key = arr[i] — once for each (n-1)

j = i-1 — once for each (n-1)

while (j &gt; 0 &amp;&amp; Key &lt; arr[j])

{

arr[j+1] = arr[j];  $\rightarrow$  once for each j

j = j-1;

}

arr[j+1] = key — once for each i

y

$j = j - 1;$

eg. ~~for i = 2 to n-1 → n-2~~

3

for.  $j = 2$  to  $n-1 \rightarrow n-2$

5

pf (i ≠ j)

3

3

## Independent loops

$$\begin{aligned}
 \text{Time Complexity} &= (n-2) * (n-2) \\
 &= n^2 - 4n + 4 \\
 &\approx n^2
 \end{aligned}$$

## Time complexity of Insertion sort :

$$1^{\text{st}} \text{ method} \quad \frac{(n-1)}{j} * \frac{(n-1)}{j(\max)} = n^2 - 2n + 1$$

$$\text{for } g=2 \quad \text{for } g=3 \quad n^2$$

$$\overline{1+2+3+4+5+6}_{n-1}$$

= Sum of  $(n-1)$  natural no.

$$\begin{aligned}
 &= \frac{n(n-1)}{2} \\
 &= \frac{(n-1)(n-2)}{2} \\
 &= \frac{n^2 - 2n + 2}{2} \approx n^2
 \end{aligned}$$

Difference b/w 2 methods :-

① In first we ~~will~~ assumed that j will execute 6 times but in second method we are exactly calculating how many times j will be executed for every i.

② eq'n difference

eg.  $n = 7$

2<sup>nd</sup> method -

1<sup>st</sup> method -  $49 - 14 + 1 = 36$

$$\frac{49 - 14 + 2}{2} = 18.5$$

\* Effects of dataset on the algorithm time complexity

Algorithm time complexity depends upon the configuration of data (i.e. data is sorted, unsorted, in ascending order, descending order)

j loop	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>1</td><td>3</td><td>7</td><td>9</td><td>80</td><td>40</td><td>80</td></tr> <tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr> </table>	1	3	7	9	80	40	80	1	2	3	4	5	6	7	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>80</td><td>40</td><td>20</td><td>9</td><td>7</td><td>3</td><td>1</td></tr> <tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr> </table>	80	40	20	9	7	3	1	1	2	3	4	5	6	7	Best case for $\approx n$ insertion sort	worst case for insertion sort
1	3	7	9	80	40	80																										
1	2	3	4	5	6	7																										
80	40	20	9	7	3	1																										
1	2	3	4	5	6	7																										
do not execute																																

1	3	4	80	40	1 <sup>st</sup>
---	---	---	----	----	-----------------

avg. case for insertion sort

eg.	3	9	17	80	40	12	2
-----	---	---	----	----	----	----	---

① 3 - 1<sup>st</sup> time (Best case of algo)

② 2 - 7<sup>th</sup> time  
11<sup>th</sup> time (Worst case algo)

③ 80 - 4<sup>th</sup> time  
 $\frac{n}{2}$  time (avg. case for algo)

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### \* Asymptotic Analysis of Selection Sort :-

Selection Sort()

int arr[n];  
for i=1 to n-1 → insert element in arr[i]

$$\min = i$$

for (j=i+1 to n)

{

if (arr[min] > arr[j])

{

$$\min = j;$$

}

if p! = min

swap arr[i] ↔ arr[min]

}

→ Best case : (data sorted)

8	9	12	15	16	19	80
↑	↑					

(min)

$i = 1$	$j = 2 \text{ to } 7$
$i = 2$	$j = 3 \text{ to } 7$
$i = 3$	$j = 4 \text{ to } 7$
$i = 4$	$j = 5 \text{ to } 7$
$i = 5$	$j = 6 \text{ to } 7$
$i = 6$	$j = 7 \text{ to } 7$

NOTE : min. is not reset.

→ Worst case : (data is sorted in reverse order)

80	19	16	19	12	9	8
↑	↑					

$$\min = i$$

NOTE : min. is getting updated.

→ Time complexity (best case)  $\approx n^2$  or  $\approx n$

→ Time complexity (worst case)  $\approx n^2$

→ Average case :

For selection sort which is implemented to sort elements in ascending order we have 3 cases of data :-

Case 1 : data sorted in ascending order (best case)

Case 2: Data sorted in descending order (worst)

In both the cases 'i' loop will execute  $(n-1)$  times and 'j' loop will execute from  $i+1$  to  $n$ , as follows.

$i=1 \quad j=2 \text{ to } 7$   
 $i=2 \quad j=3 \text{ to } 7$   
 $\vdots \quad \vdots$   
 $\vdots \quad \vdots$

In both worst and best case, data time complexity of the algorithm is

$$= (n-1) * (n-1)$$

for  $i^{\leftarrow}$        $\downarrow$  for  $j$  (max iterations of  $j$  for  $i$ )

$$= n^2 - 2n + 1$$
$$\approx n^2$$

But in best case data, the min. variable will never be reset. and for the worst case min. variable will be reset everytime 'j' loop is executed.

So because of the overhead it could be said that the best case complexity is ' $n$ ' from the min. point of view.

## \* BINARY SEARCH :

→ Asymptotic analysis of binary search :-

- ① Best case - 1
- ② Worst case -  $\log n$

3	90	40	13	80	101	119
1	2	3	4	5	6	7

Binary Search (l, h, Key)

{ int mid;

while (l <= h)

{

mid =  $\frac{l+h}{2}$ ;

if (Key == arr[mid])

{

print exit();

}

else if (Key < arr[mid])

{

h = mid;

}

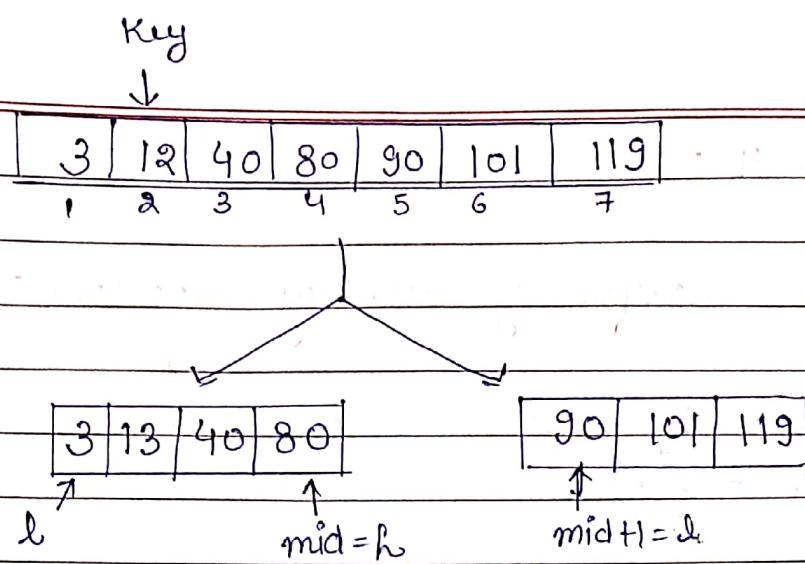
else

{

l = mid + 1;

}

}



$n \approx 2^i \rightarrow$  array is divided in 2 parts

$$7 \approx 2^3$$

→ taking  $\log$ ,  $\log_2 n = i * \log_2 2$

$$\Rightarrow \log_2 n = i * \log_2 2$$

$$P = \log_2 n$$

$n$  = array size

NOTE: If the strategy is divide and conquer then complexity will be  $\log n$ .

# \* Asymptotic analysis of Quick sort :

Quick sort (arr[], l, u)

{

if ( $l < u$ )

{ index at which we are dividing the array

$j = \text{partition}(\text{arr}, l, u)$

Quick sort (arr, l, j-1)

Quick sort (arr, j+1, u)

}

}

Partition (arr[], l, u)

{

key = arr[l]

$i = l + 1$

$j = u$

while ( $i < j$ ) (n-1) times  $\approx n$

{

while ( $\text{arr}[i] < \text{key}$ )  $i++$ ;

while ( $\text{arr}[j] > \text{key}$ )  $j--$ ;

if ( $i < j$ )

swap  $\text{arr}[i] \leftrightarrow \text{arr}[j]$

{

if ( $i > j$ )

swap  $\text{arr}[l] \leftrightarrow \text{arr}[j]$

return j

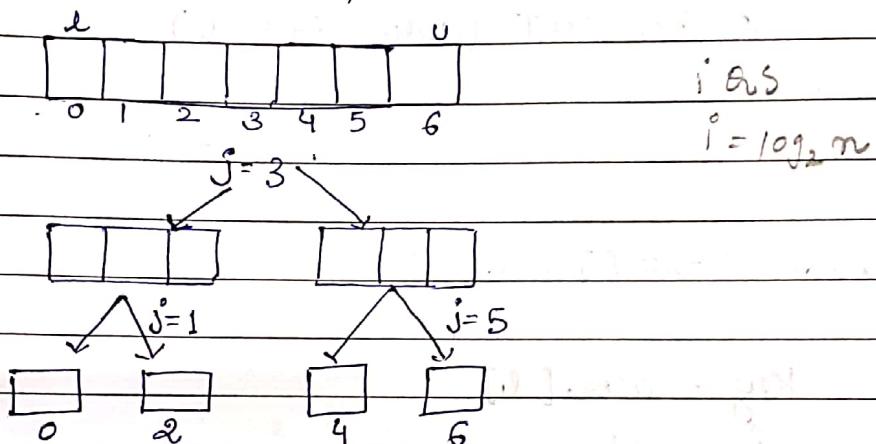
}

	<i>l</i>				<i>u</i>		
eg.	3	90	40	2	5	7	18

when we do partition everytime  
 suppose  $j^o = 3$   $\rightarrow \text{Partition}()$   
 the value of  $j$  value  $i=0$   $1$   $j-1=2$   $j+1=4$   $5$   $6$   
 is lost

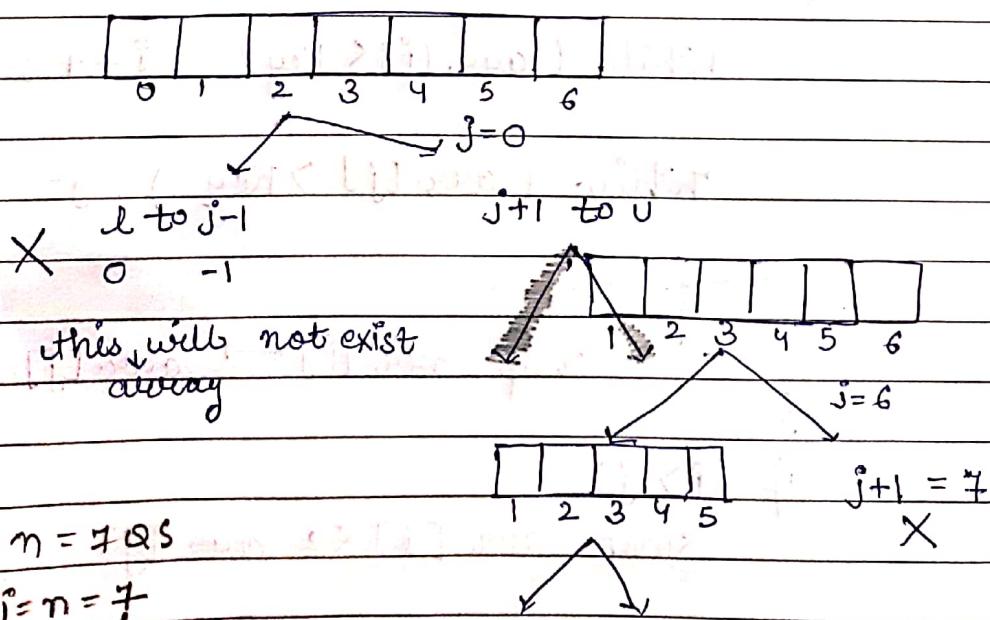
①

Best case data for Quick sort :



②

Worst case :



5 times quick sort

will be called

NOTE 8

$$n = 2^i$$

$$7 = 2^3$$

In best case the array will be sorted in 3 times but in worst case the no. of times quick sort called is 'n' times (i.e. data)

Best case,  $i = \log_2 n$

Worst case,  $i = n$

→ Best case: array with elements where partition is in mid.

$$\text{Best case} = \frac{\log_2 n}{\text{as recursion}} * \underbrace{n}_{\text{partition}}$$

$$\approx n \log_2 n$$

→ Worst case:

$$\text{Worst case} = \frac{n}{\text{as recursion}} * \underbrace{n}_{\text{partition}}$$

$$\approx n^2$$

In worst case array is sorted and the partition takes place at the first or the last position.

Worst case

Best case

2	3	9	13	40	60	80
l	1	2	3	4	5	6

13	9	3	2	80	40	60
l	1	2	3	4	5	6

$$\text{key} = \text{arr}[l] \\ = 2$$

$$\text{key} = \text{arr}[l] \\ = 13$$

$$i = l + 1 = 1$$

$$i = l + 1 = 1$$

$$j = U = 6$$

$$j = 6$$

while ( $i < j$ )while ( $i < j$ ) ✓while ( $\text{arr}[i] < \text{key}$ )while ( $\text{arr}[i] < \text{key}$ ) $\text{arr}[1] \neq \text{key}$  $i = 1, \text{arr}[1] < \text{key}$ 

3

2

9 &lt; 13

while ( $\text{arr}[j] > \text{key}$ ) $i = 2, \text{arr}[2] < \text{key}$  $\text{arr}[2] > \text{key}$ 

3 &lt; 13

80 &gt; 2

60 > 2       $j = 5$  $i = 3, \text{arr}[3] < \text{key}$ 40 > 2       $j = 4$ 

2 &lt; 13

if ( $i < j$ )    13 > 2     $j = 3$ swap  $\text{arr}[i] \leftrightarrow \text{arr}[j]$  $i = 4, \text{arr}[4] < \text{key}$ if ( $i > j$ )

80 &lt; 13 X

swap  $\text{arr}[l] \leftrightarrow \text{arr}[j]$ if ( $i < j$ )return  $j$ ;swap  $\text{arr}[i] \leftrightarrow \text{arr}[j]$  $j = 0$ if ( $i > j$ )

swap

 $j = 3$  (return)  
0 → 2      4 → 6

Ques. In how many situations, element 18 would be added in actual position.

18	7	2	4	90	5	60
0	1	2	3	4	5	6

NOTE: In merge sort waiting takes place  
at Merge step.



\*

## MERGE SORT:

Merge (aux[], p, q, r)

{

$$n_1 = q - p + 1$$

$$n_2 = r - q$$

L [1 to  $n_1 + 1$ ]

R [1 to  $n_2 + 1$ ]

$\frac{n}{2} \approx n_1$  times  $\leftarrow$  for  $i = 1$  to  $n_1$

$$L[i] = aux[p+i-1]$$

$\frac{n}{2} \approx n_2$  times  $\leftarrow$  for  $j = 1$  to  $n_2$

$$R[j] = aux[q+j-1]$$

$$i=1$$

$$j=1$$

for  $K = p$  to  $r$

{

if ( $L[i] \leq R[j]$ )

$$aux[K] = R[j]$$

$$j=j+1$$

j

K++

j

$n_1$  = size of left subproblem

$n_2$  = " right "

MS ( arr, p, r )  
 ↴

if ( p < r )  
 ↴

$$\text{mid} = \left( \frac{p+r}{2} \right)$$

MS ( arr, p, mid )

MS ( arr, mid+1, q )

Merge ( arr, p, q, r )

"mid."

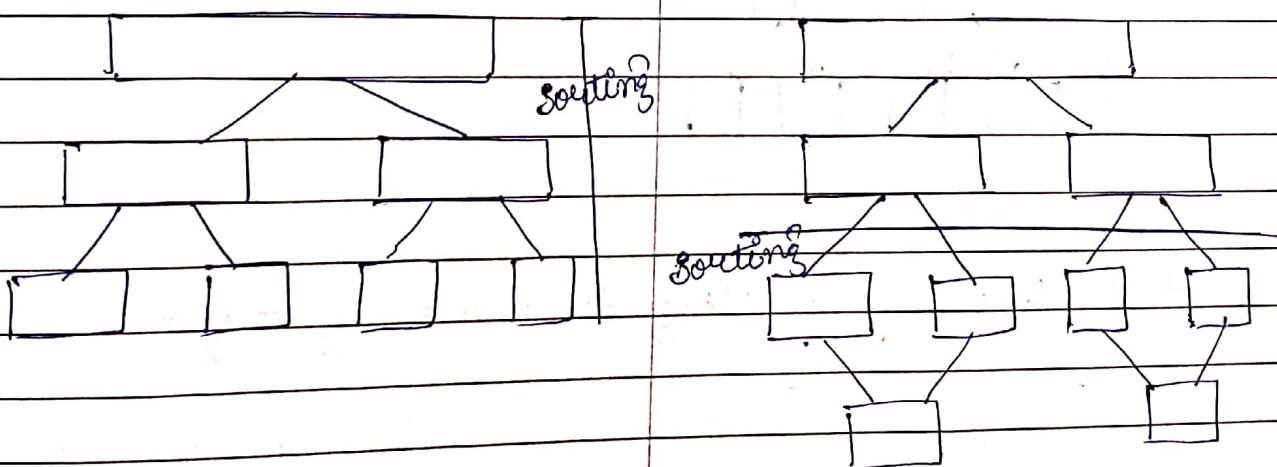
?

?



Quick Sort

Merge sort



$$n = \log_2 n = \text{Best} = \text{Worst}$$

best or <sup>worst</sup> data can  
be. many arrangement  
of data

Asymptotic time complexity = No. of times M.S calls  
of Merge Sort

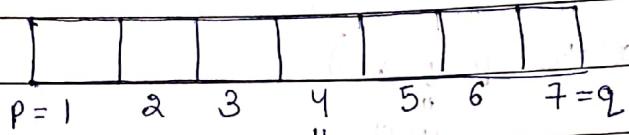
$$\log_2 n$$

X

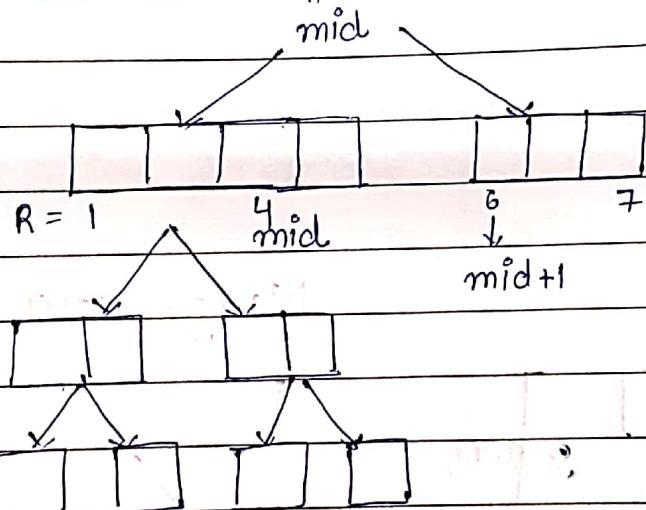
time taken by Merge  
each time  
 $n$

$$= n \log_2 n$$

e.g.



$$\text{mid} = \frac{1+7}{2} = 4$$



→ Complexity of Merge Function =  $\frac{n}{2} + \frac{n}{2} + n$

$$= \frac{n+n+2n}{2} = \frac{4n}{2} = 2n$$

$$\approx n$$

Ques.

8 7 90 1 11 12 70

NOTE: Selection and insertion - Iterative strategy  
 Binary, Merge, Quick - Divide and Conquer strategy  
 \* not in place sorting =  $T(n)$

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Ans.

30	90	11	17	10	1	4
$i=0$	1	2	3	4	5	6

MS = costly in terms of storage

$$U =$$

$$j = \frac{0+6}{2} = 3$$

30	90	11	17
0	1	2	3

10	1	4
4	5	6

$$j = \frac{0+3}{2} = 1$$

$$j = \frac{4+6}{2} = 5$$

30	90
0	1

11	17
2	3

10	1
4	5

4
6

$$j = \frac{0+1}{2} = 0$$

$$j = \frac{2+3}{2} = 2$$

$$j = \frac{4+5}{2} = 4$$

30
0

90
1

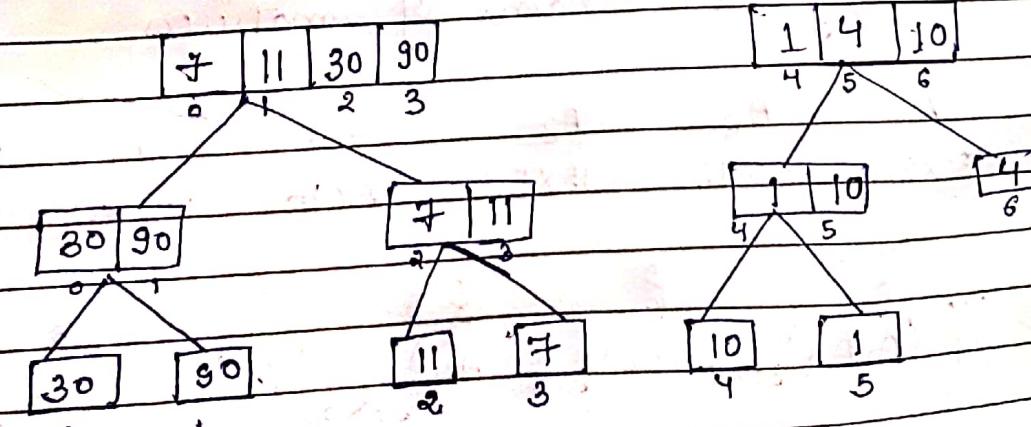
11
2

17
3

10
4

1
5

Now the merge function is called.



**NOTE:** for matrix multiplication, the no. of columns of first matrix and no. of rows of second matrix must be same

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$$R = [1 \text{ to } 4]$$

L [1 to 5]

L	11	17	30	90	100
	1	2	3	4	5

R	1	4	10	100
	1	2	3	4

1	4	10	11	17	30	90
0	1	2	3	4	5	6

$$n_1 = q - p + 1 = 3 - 0 + 1 = 4$$

$$n_2 = q - p = 6 - 3 = 3.$$

### \* DYNAMIC PROGRAMMING (3<sup>rd</sup> strategy)

We will apply DP when the problem is of maximization or minimization.

A solu. which is either max or min is called the optimal solution.

DP is called cumbersome bcz it checks all methods.

### \* Matrix Chain Multiplication :-

Ques. Find min. of multiplications required to multiply a chain of matrices

$A_1 \quad A_2 \quad A_3 \quad A_4 \quad A_5 \quad A_6 = n$   
 row col  
 $30 \times 35 \quad 35 \times 15 \quad 15 \times 5 \quad 5 \times 10 \quad 10 \times 20 \quad 20 \times 25$

$\textcircled{1} (((((A_1 \underset{30 \times 35}{\underset{\text{row}}{\times}} A_2 \underset{35 \times 15}{\underset{\text{col}}{\times}} A_3 \underset{15 \times 5}{\underset{\text{row}}{\times}} A_4 \underset{5 \times 10}{\underset{\text{col}}{\times}} ) \underset{30 \times 10}{\underset{\text{row}}{\times}} A_5 \underset{10 \times 20}{\underset{\text{col}}{\times}} ) \underset{30 \times 20}{\underset{\text{row}}{\times}} A_6 \underset{20 \times 25}{\underset{\text{col}}{\times}} ) \underset{30 \times 25}{\underset{\text{row}}{\times}} )$

~~Answers:  $(A_1 (A_2 (A_3 (A_4 (A_5 A_6)_{10 \times 25})_{5 \times 25})_{15 \times 25})_{35 \times 25})_{30 \times 25}$~~

③  $((A_1 * A_2) (A_3 * A_4)) (A_5 A_6)$  or more

eg.

$$\begin{array}{cc} A_1 & A_2 \\ 2 \times 3 & 3 \times 5 \end{array} \quad \begin{array}{cc} A_3 & A_4 \\ 5 \times 1 & 1 \times 7 \end{array}$$

①  $((A_1 A_2) (A_3 A_4))$

case 1

cost. = ?

②  $((A_1 A_2) A_3) A_4$

case 2

Case 1

$$((A_1 A_2) (A_3 A_4))_{2 \times 7}$$

for  $i = 1$  to 2

{

    for  $j = 1$  to 3

{

        for  $k = 1$  to 5

{

}

}

$$A_1 A_2 = 2 * 3 * 5 = 30$$

$$A_3 A_4 = 5 * 1 * 7 = 35$$

$$(A_1 A_2) (A_3 A_4) = 2 * 5 * 7 = 70$$

$$\text{cost} = 30 + 35 + 70 = 135 \text{ multiplications}$$

NOTE: no. of multiplication to multiply <sup>2</sup>  
matrices,  $A_{i \times j} \& A_{j \times k}$   
 $= i * j * k$

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### Case 2 :-

NOTE: In case of DP, we whenever calculate solution to any subproblem it is <sup>safe</sup> to use further while calculating solution to another problem, this concept is called as Memoization.

$$(A_1 A_2)_{2 \times 5} = 30$$

$$-(A_1 A_2)_{2 \times 5} A_3)_{2 \times 1} \Rightarrow 2 \times 5 \times 1 = 10$$

optimal sol. structure  $\leftarrow \{(A_1 A_2) A_3\}_{2 \times 1} * A_4 = 2 \times 1 \times 7 = 14$

(optimal sol) Total cost =  $30 + 10 + 14 = 54$  multiplications  
optimal sol. value

NOTE: Matrix multiplication problem ask for the order of the matrix multiplication keeping the sequence of matrices same in which cost of multiplication of matrix chains is minimum.

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To multiply chain of matrices in given sequence through an order which results into min. no. of multiplication.

- 4 steps to solve DP problem:-

Step 1: Optimal substructure

Step 2: Recursive soln.

(algo)

Step 3 : optimal soln value.

Step 4 : optimal soln structure (find out optimal parenthesization structure)

eg.

 $A_1 \dots A_n$  (Step 1) $((A_1 A_2) A_3) (A_4 (A_5 A_6))$ 

If we get optimal soln from  $A_1$  to  $A_n$ , so we can get optimal soln for all the substructures of  $A_1$  to  $A_n$  will be optimal.

 $A_1 \ A_2 \ A_3 \ \dots \ A_6$ 

$\xrightarrow{\text{optimal soln}}$  (min) 500  $(A_1 A_2) \uparrow ((A_3 A_4) \cdot A_5) A_6))$  option 1

650  $((A_1 A_2) (A_3 A_4) \uparrow (A_5, A_6))$  option 2

Step 1 : In case of DP, the problem should have optimal substructure property which means that if a particular parenthesization gives optimal soln to the problem, that means the soln to its subproblem is also optimal.

Step 2 : In this step we write down the recursive soln to the problem.

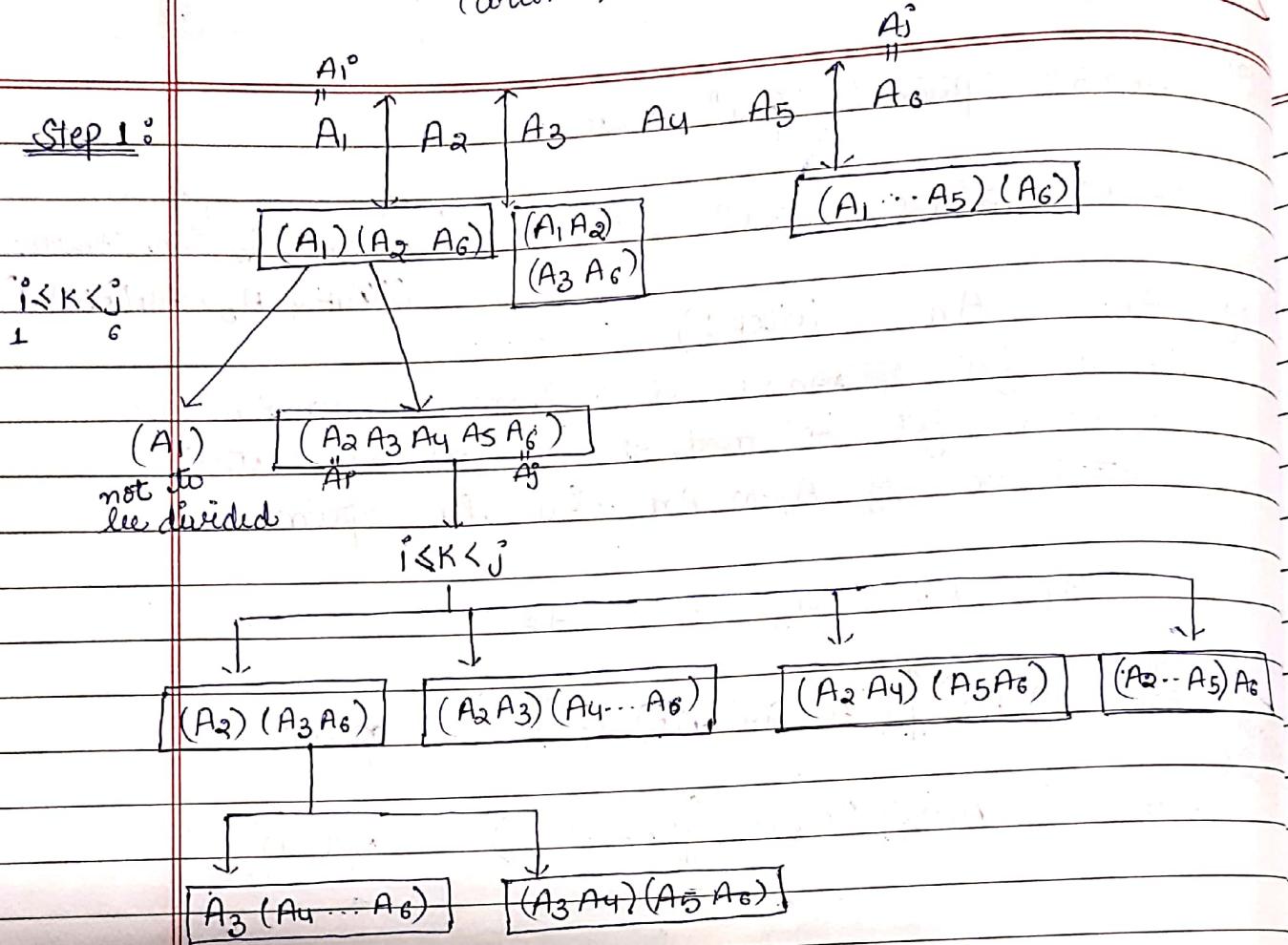
(algo)  
gives a no.

Step 3 : The algorithm gives us the optimal soln value for the problem.

(algo)  
gives a structure

Step 4 : It shows the actual parenthesization through which we get optimal soln in Step 3.

(row)  $\xrightarrow{\downarrow}$  (column)  $\xrightarrow{K}$  (column in  $\alpha^m$ )



In step 1, we divide the given problem  $A_1 A_2 \dots A_j$  at any point ' $K$ ', where  $K$  ranges from  $i \leq K \leq j$  and we get two subproblems i.e  $(A_1 \dots A_K)$  and  $(A_{K+1} \dots A_j)$ , which need to be divided further till every subproblem consists of single matrix i.e. the cost of multiplication of single matrix is zero.

## Step 2 : Recursive soln

P 28  
 Recursive soln  
 $m[i, j] = \begin{cases} D & i=j \\ m[i, k] + m[k+1, j] + i * j * K & \text{if } i < j \end{cases}$   
 (only 1 matrix in matrix chains)  
 ~~$m[i, k]$~~   
 $m[i, j] = \begin{cases} D & i=j \\ m[i, k] + m[k+1, j] + i * j * K & \text{if } i < j \end{cases}$   
 If  $i < j$   
 left subproblem sol.      right subproblem sol.  
 Store optimal soln value for all problems and subproblems

$m[i,j]$  stores optimal no. of multiplications required to multiply matrices  $a_i$  to  $a_j$  (ie  $A_1$  to  $A_6$ )

Two possibilities from  $A_1$  to  $A_3$

$$\textcircled{1} (A_1 (A_2 A_3))$$

$$\textcircled{2} ((A_1 A_2) A_3)$$

(where  $K=1, 2$ )

$$A_1 A_2 A_3 \quad \text{optimal soln value}$$

$$A_1 A_2 A_3 \quad 3 \leq K \leq 6$$

we have to check where  $j=i$  or  $i=j$

$m[i][j]$

cost of multiplication

of single matrix  $A_1$

NOTES:

we do not consider

$i > j$  case

$i=1$	$j=1$	$i=2$	$j=2$	$i=3$	$j=3$	$i=4$	$j=4$	$i=5$	$j=5$	$i=6$
$\times$										
$\times$										
$\times$										
$\times$										

06/feb/2019

Step 3

optimal soln value  $\Rightarrow$  min no of multiplications required to multiply chain of matrices  $A_1 \dots A_j$

$A_1 \dots A_n$

$m[n][n] \quad (n=G)$

also

$s[n][n]$

From this matrix we'll get the optimal soln value.

for  $i=1$  to  $n$

$m[i][i] = 0$

all  $m[i][j] = \infty$  where  $i \neq j$

for  $j=2$  to  $n$

for  $i=1$  to  $n-j+1$

Optimal solution value ( ) ( suppose  $n=6 \rightarrow A_1 \dots A_6$  )

$m[n][n]$

$s[n][n]$

For  $i = 1$  to  $n$

$$m[1^\circ][1^\circ] = 0$$

all  $m[i][j] = \infty$  where  $i < j$

$(n-1) \leftarrow$  for  $i = 2$  to  $n$

三

~~For i=1 to n-1+1~~

$$j = i + l - 1$$

for K = i to j-1

$$q = m[i][k] + m[k+1][j] + i * j * k,$$

if  $q < m[i][j]$

$$m[i][j] = q$$

$$S[i:j, i:j] = k$$

$s[i][j] = k$  of optimal soln

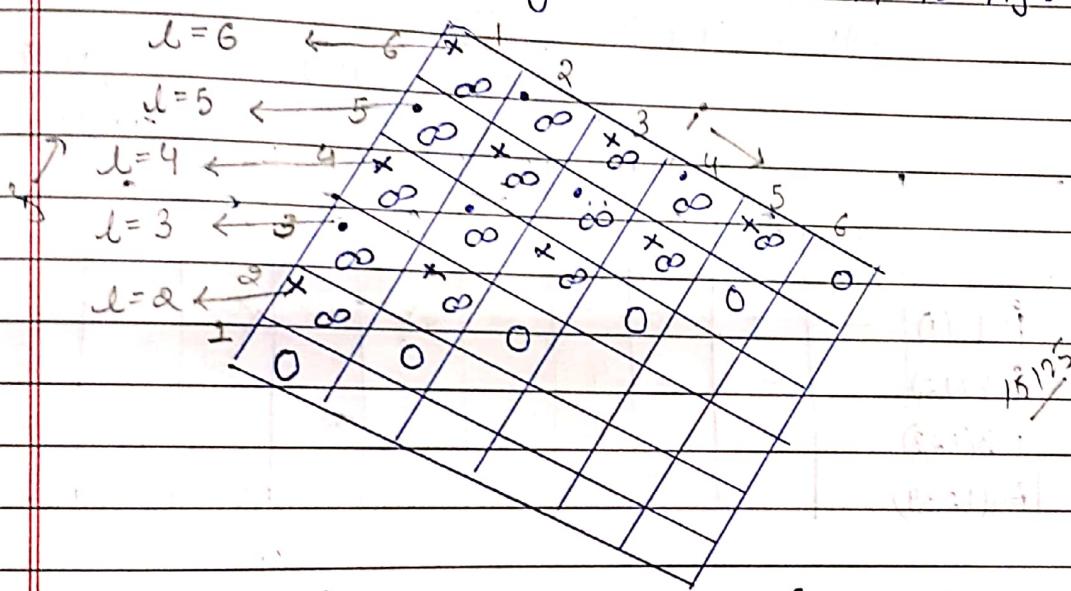
$s[i][j] = k$  (of optimal solution)

**NOTE:** For every algorithm consider these 4 steps :-

- ① also
  - ② dry runs
  - ③ time complexity
  - ④ correctness.

notes: ① The 2D array 'm' stores the min. no. of multiplications required to multiply any problem  $A_i$  to  $A_j$  at index  $m[i][j]$

② The array 's' stores the optimal division point  $k$  for the problem  $A_i$  to  $A_j$  and this ' $k$ ' resulted into min. no. of multiplications required for the chains of matrices  $A_i$  to  $A_j$ .



$l=2, i=5$  (for  $l=2$ ,  $i$  executes 5 times)

$l=3, i=4$

$l=4, i=3$

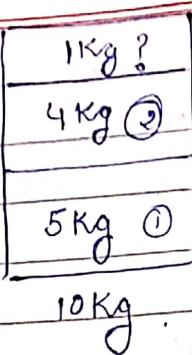
$l=5, i=2$

$l=6, i=1$

07/02/2019

maximization {greedy} O/I (DP) } fraction  
Problem 2: Knapsack problem →

Items $\rightarrow i$	1	2	3	4	Bag = 10 Kg.
weight	5	4	6	3	
val. (Rs)	10	40	30	50	



0/1 Knapsack:

1, 2      1, 3

2, 3      2, 4

3, 4      1, 2, 3

1, 4

2, 3, 4

< 10Kg & max value

fractional knapsack  $\rightarrow$

we can pick any part of the item.

$$2+4 \Rightarrow 4+3 \Rightarrow 7\text{Kg}$$

$$40+50 = 90 \text{ Rs.}$$

Knapsack

$w=10\text{Kg}$

	1	2	3	4	5	6	7	8	9	10
value has to be max.	$i=1(1)$	-	-	-	$10^①$	$10^①$	$10^①$	$10^①$	$10^①$	$10^①$
	$i=2(1,2)$	-	-	-	$40^②$	$40$	$40$	$40$	$40^②$	$50$
Can we make any combination of 2.	$i=3(1,2,3)$	-	-	-	$40^②$	$40$	$40$	$40$	$40$	$50$
$i=4(1,2,3,4)$	-	-	$50^④$	$50^④$	$50$	$50$	$90$	$90^{(2+4)}$	$90^{(2+4)}$	$90$

or make a  
weight  
to weight  
ratio

$10^{(2+4)}$

pick  
(max  
value)  $\checkmark$  40  $(2+4)$

$10^①$

$40^②$

$50^①, 2$

Optimal solution. = 90  $(2+4)$

14/Febr/2019

minimize cost of search of Keys in BST

classmate  
Date \_\_\_\_\_  
Page \_\_\_\_\_ (3)

Numerical

Problem 3 : Optimal Binary Search Tree. (OBST)

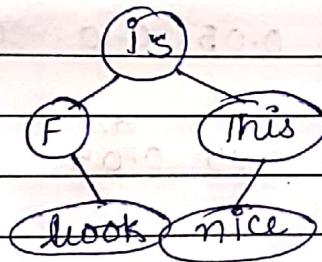
English → German  
(source lang)      Translator (S/W)      (Target lang).

e.g. This is a nice book.

Eng	german
This	XY
is	F
a	AB
nice	
book	

linear search ( $n$ )

↓  
no. of Keys supported by translator



Binary search ( $\log_2 n$ )  
( $\log_2 n < n$ )

e.g. This room has many chairs

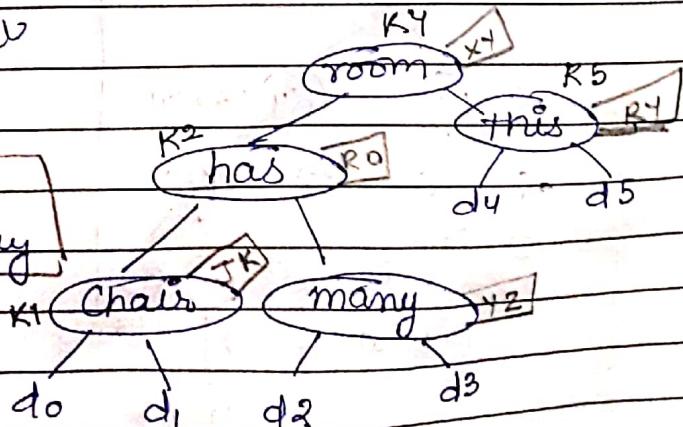
↑ chairs ↑ has ↑ many ↑ room ↑ this ↑  
d<sub>0</sub>      K<sub>1</sub>      d<sub>1</sub>      K<sub>2</sub>      d<sub>2</sub>      K<sub>3</sub>      d<sub>3</sub>      K<sub>4</sub>      d<sub>4</sub>      K<sub>5</sub>      d<sub>5</sub>

words which are not there

K<sub>i</sub> = Key

d<sub>i</sub> = Dummy key

unsuccessful



Consider one case in paper. Q.  
Find its cost.

classmate

Date \_\_\_\_\_

Page \_\_\_\_\_

(3)

OBST problem is to find a BST based on 'n' Keys such that the cost of searching any key in BST is minimal provided  $p_i$  and  $q_i$  where

$p_i$  = probability of occurrence of any key  $K_i$  ~~and~~

and  $q_i$  = probability of occurrence of any dummy key  $d_i$

$n=5$  Keys  
supported  
by  
dummy  
key

$p_i^o$	$K_i^o$	Key
$q_i^o$	$d_i^o$	dummy Key

translator i 0 1 2 3 4 5

$K_1$  (chair)

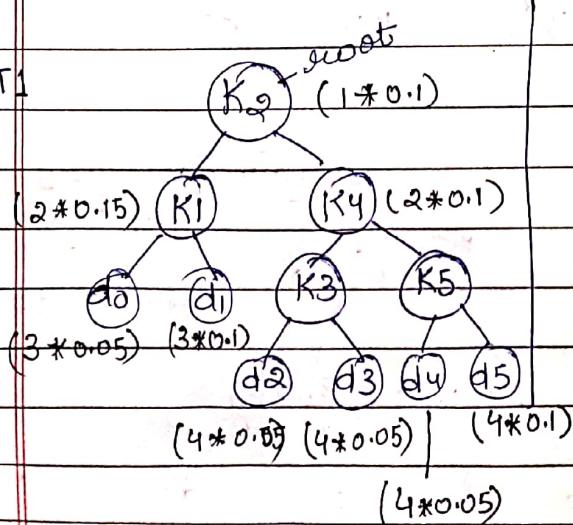
$p_i^o$	-	0.15	0.10	0.05	0.10	0.20
---------	---	------	------	------	------	------

$q_i^o$	$d_0$ 0.05	$d_1$ 0.10	$d_2$ 0.05	$d_3$ 0.05	$d_4$ 0.05	$d_5$ 0.10
---------	---------------	---------------	---------------	---------------	---------------	---------------

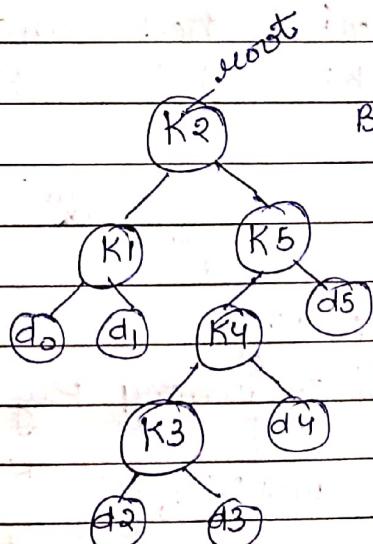
This is my chair

$d_3$

BST1



BST2



$$\text{cost of searching in BST} = \sum_{i=0}^n (d_i + 1) * q_i + \sum_{i=1}^n (K_i + 1) * p_i$$

↓  
 depth  
 ↓  
 dummy  
 Key

$$K_1 = 0.3$$

$$K_2 = 0.1$$

$$K_3 = 0.15$$

$$K_4 = 0.15 \ 0.2$$

$$K_5 = 0.2 \ 0.6$$

$$d_0 = 0.6 \ 0.15$$

$$d_1 = 0.15 \ 0.3$$

$$d_2 = 0.3 \ 0.2$$

$$d_3 = 0.2$$

$$d_4 = 0.2$$

$$d_5 = 0.4$$

2.80

2.75

5/26/2019

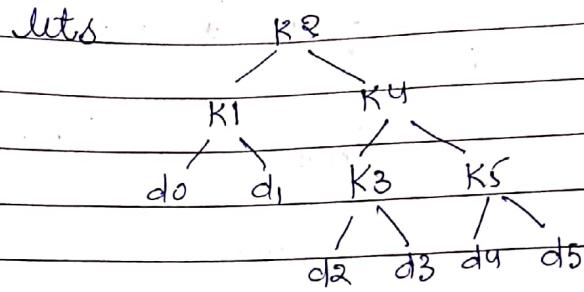
OBST :

Step 1 : optimal Substructure

Step 2 : Recursive soln

Step 3 : optimal soln value (Algo) - gives optimal cost of searching in a BST of given n keys

Step 4 : optimal soln structure (Algo)  
algo for optimal BST structure



Step 1: optimal substructure

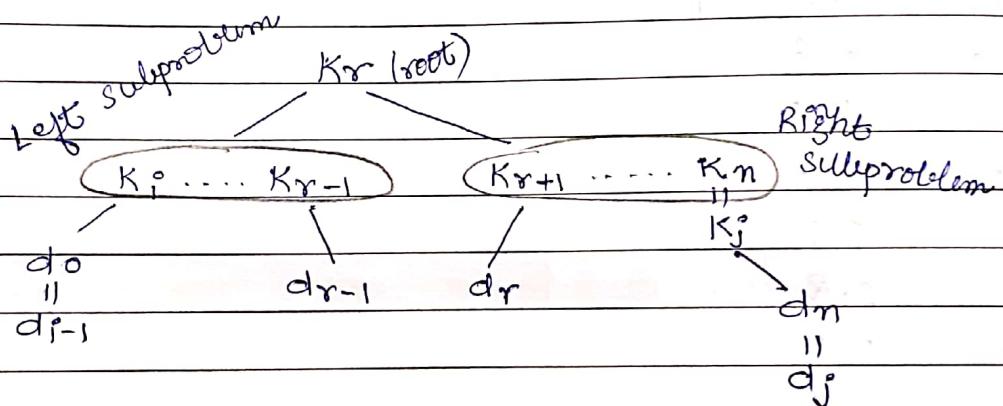
$$1 \leq i \leq r \leq j \leq n$$

$$K_1, \dots, K_n$$

$$\boxed{1 \leq i \leq r \leq j \leq n}$$

$$K^o, \dots, K_j$$

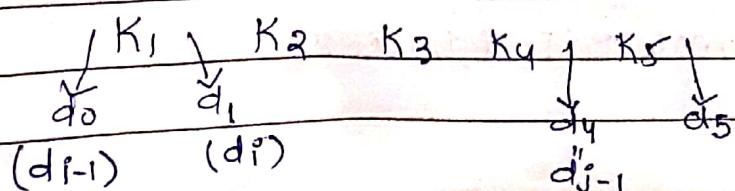
$$K$$



NOTE: DP is Bottom-up approach

OBST problem ensures optimal substructure property because if we get a solution or the <sup>min</sup> searching cost for the actual problem  $K_1, \dots, K_n$  that means we have the optimal searching cost for any of the subproblem

Step 2: Recursive soln



1. Optimal BST in 1991

$$c[3, 6] = \text{suppose}(2, 6)$$

isme cost stone hogi cost o BST ke

$$c[i, j]$$

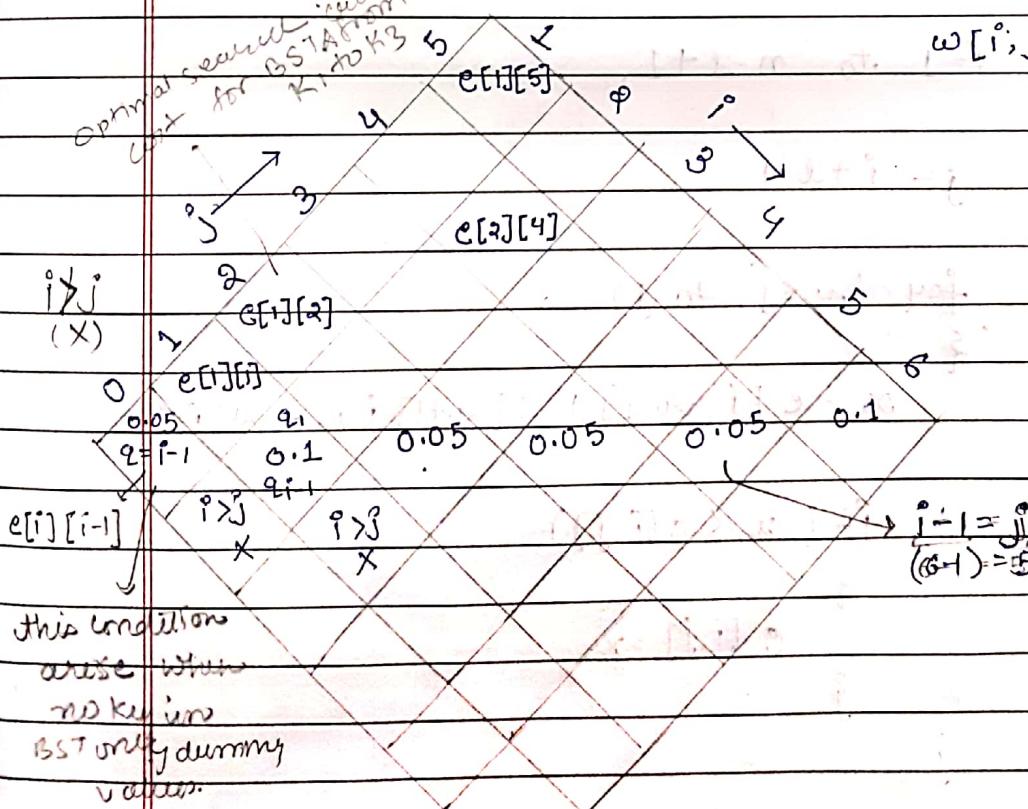
$\downarrow$

contains the optimal searching cost is BST selected on keys  $k_i, \dots, k_j$

$$c[i, j] = \begin{cases} q_{i-1} & \text{if } (j = i-1) \\ c[i, K-1] + c[K+1, j] + w[i, j] & \text{or } r+1 \text{ (right subproblem)} \\ w[i, j] = w[i, r-1] + p_r & \text{if } (i \leq j) \\ & + w[r+1, j] \end{cases}$$

$p_r$  = probability of root

i	0	1	2	3	4	5
$K_p \leftarrow p_i$	-	0.15	0.1	0.05	0.1	0.2
$d_p \leftarrow q_i$	0.05	0.1	0.05	0.05	0.05	0.1



$w[i, j] = \text{cost change due to inc. in height of BST as } k_j \text{ is selected as root}$

this condition arise when no key in BST only dummy values.

For optimal soln to BST we have to recursive eqn based on two conditions -

Condition 1  $\rightarrow j = i-1$  and we place the search cost as the probability of dummy key

20 July 2013

Step 3: algo to find optimal searching cost.

BST()

$$c[6][6] \quad // \text{if } m=5$$

for ( $i=1$  to  $n+1$ )

$$c[i][i-1] = q_{i-1} \quad // \text{if } j = i-1 \quad (\text{no key is BST only dummy values})$$

for  $l=1$  to  $n$

for  $i=1$  to  $n-l+1$

$$j = i + l - 1$$

for ( $x=i$  to  $j$ )

If  $i < j$

①  $i = j \rightarrow K_i$   
(BST has  
only 1 key)

$$x = c[i, i-1] + c[i+1, j] + w[i, j]$$

if ( $x < c[i, j]$ )

②  $i < j$

( $> 2$  keys  
in BST)

$$c[i, j] = x$$

$j$

$j$

$$\begin{aligned} j=1 \Rightarrow i &= j \\ j=2 \text{ to } n \Rightarrow i &< j \end{aligned}$$

Time complexity  $\approx n^3$

\* HEAP SORT : Heap (data structure)

algo

definition

application occurs like used to implement priority queue.

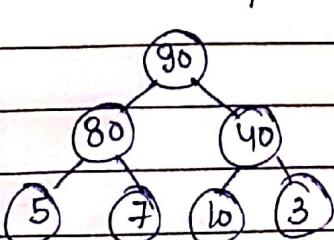
uses & run

	0	1	2	3	4	
Element	96	18	70	11	81	
Timestamp	8AM	9AM	10AM	12PM	2PM	
Priority	4	2	1	5	2	

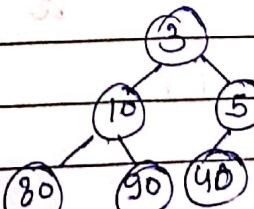
1 = higher priority

	0	1	2	3	4	
Element	70	81	18	96		
Timestamp	10AM	2PM	9AM	8AM		
Priority	1	2	3	4	5	

Max heap



Min heap

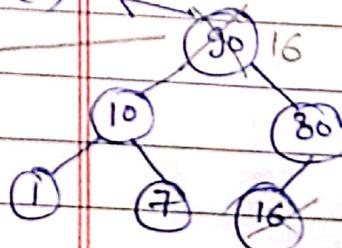


- Two implementations of heap sort :-

- Heap sort (from inserted array) ( $n \log_2 n$ )
- Restore heap (after deleting element from root) ( $\log_2 n$ )

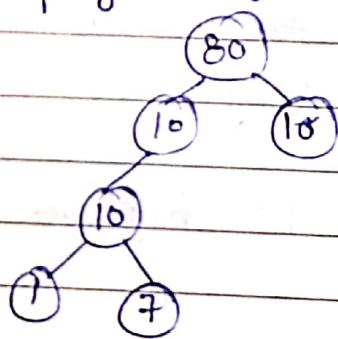
20/July/2019

10	7	1	16	90	80
1	2	3	4	5	6

 $n=6$  $O(n)$  - to find max. $O(1)$  $90 \leftarrow$ 

(max)

heap sort()

Restore heap() == heapify  $O(\log_2 n)$ Algorithm :-

Heapsort ()

{

for  $i = \frac{n}{2}$  to 1 //  $\frac{n}{2}$ 

Heapify

{

Heapify (i)

{

 $n = \text{no. of elements in the array}$

`int A[n];`

`T-heapify(i)`

{

$$l = 2 * i$$

$$r = 2 * i + 1$$

`if ( l ≤ length(A) && A[l] > A[i] )`  
`max = l`

`else`

$$\max = i$$

`if ( r ≤ length(A) && A[r] > A[max] )`

$$\max = r$$

`if ( max != i )`

{

`swap A[max] ↔ A[i]`

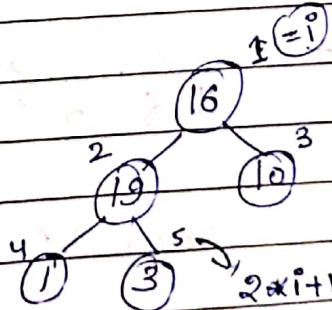
`Heapify(max)`

}

}

`int A[n=5]`

16	19	10	1	3
1	2	3	4	5



$$2 * i + 1 = 2 * 2 + 1 = 5$$

$$n = 5$$

$n = 3$  or  $2$ .

Complexity of Heapsort = no. of times Heapify called  
 $\times$  Complexity of Heapify  
 $= \frac{n}{2} \times \log_2 n$

Dry Run:

Heapsort

$$i = \frac{n}{2} - 1 \Rightarrow \frac{5}{2} - 1 = 3 - 1 \quad i = 3 \\ i = 2 \\ i = 1$$

$$\rightarrow i = 3 \quad l = 2 * i = 6 \quad r = 2 * i + 1 = 7$$

if ( $l \leq \text{length}(A)$ )  $\max = i = 3$

if ( $r \leq \text{length}(A)$ )  
 $\neq$

if ( $\max! = i$ ) False  $\begin{array}{l} \text{(no swap)} \\ \text{(no heapify call)} \end{array}$

$$\rightarrow i = 2 \quad l = 2 * i = 4 \quad r = 2 * i + 1 = 5$$

if ( $l \leq \text{length}(A)$ )  $\begin{array}{l} \text{if } A[l] > A[i] \\ 4 \leq 5 \end{array}$

$$\max = i = 2$$

if ( $r \leq \text{length}(A)$ )  $\begin{array}{l} \text{if } A[r] > A[\max!] \text{ False,} \\ 5 \leq 5 \end{array}$

if ( $\max! = i$ )  $\begin{array}{l} \text{False} \\ 2 = 2 \end{array}$   $\begin{array}{l} \text{(no swap)} \\ \text{(no heapify call)} \end{array}$

$$\rightarrow i = 1 \quad d = 2 * i = 2 \quad r = 2 * i + 1 = 3$$

if ( $i \leq \text{length}(A)$ ) & &  $A[i] > A[j]$ )  
     $j \leftarrow 5$       19      16

$$\max = \text{el} = 2$$

if ( $r \leq \text{length}(A)$ )  $\&\&$   $A[r] > A[\text{max}]$ )  
3      5      10      19

if ( $\max_2 = i$ ) True., Swap  $A[\max_2] \leftrightarrow A[i]$

Heapify (max)

- Heapsort function works on the array representation of tree.
  - To calculate the complexity of heap sort we multiply the no. of calls to Heapify with the complexity of Heapify. The complexity of Heapify will be  $\log_2 n$  because Heapify fn has tail recursion and at the max it could be called for one complete level of tree which is  $\log_2 n$ .
  - Heap is complete Binary tree.

20	1	80	40	8	7	16	10
----	---	----	----	---	---	----	----

Create a max heap from the given array.

Max heap

1. Root of the tree is called root node.

2. Left child of root is called left child.

3. Right child of root is called right child.

4. Left child of left child is called left-left child.

5. Right child of left child is called left-right child.

6. Left child of right child is called right-left child.

7. Right child of right child is called right-right child.

8. Left child of left-right child is called left-left-left child.

9. Right child of left-right child is called left-left-right child.

10. Left child of right-left child is called right-left-left child.

11. Right child of right-left child is called right-left-right child.

12. Left child of right-right child is called right-right-left child.

13. Right child of right-right child is called right-right-right child.

14. Left child of left-right-right child is called left-left-left-left child.

15. Right child of left-right-right child is called left-left-left-right child.

\* Asymptotic Notation : analyze time complexity

analysis of algorithm

Asymptotic time complexity = no. of instructions executed by algo  $\propto n$  (datasize)

Asymptotic Space Complexity  
= no. of memory spaces  $\propto n$

- Asymptotic space means not in bytes.
- Asymptotic time means not in milliseconds.

→ How to analyze algo -

① Manual analysis - looking at loops / recursion / function call.

② Recurrence Relation of algorithm to be solved.

$$f(n) = 2n^2 + 1 \underset{\text{calculated}}{\approx} n^2$$

$g(n)$   
growth function

asymptotic time complexity.

→ Asymptotic Notation

① Worst case

Big O

small o

② Best case  $\rightarrow \Theta(\log \text{omega})$   
 $\rightarrow \Theta(n)$  (small omega)

③ Avg case  $\rightarrow \Theta(\theta)$

- Quick sort time complexity is  $n^2$   $O(n^2)$  worse case
- Quick sort time complexity  $\rightarrow \Theta(n \log_2 n)$  best case

→ Algo 1

$$f(n) = 2n^2 + 3n + 1 \underset{g(n)}{\approx} n^2$$

$$\text{Big O} \Rightarrow f(n) = O(g(n))$$

$$2n^2 + 3n + 1 = O(n^2)$$

$$f(n) = O(g(n)) \rightarrow \text{if } 0 < \frac{2n^2 + 3n + 1}{g(n)} \leq \frac{n^2}{c_1}$$

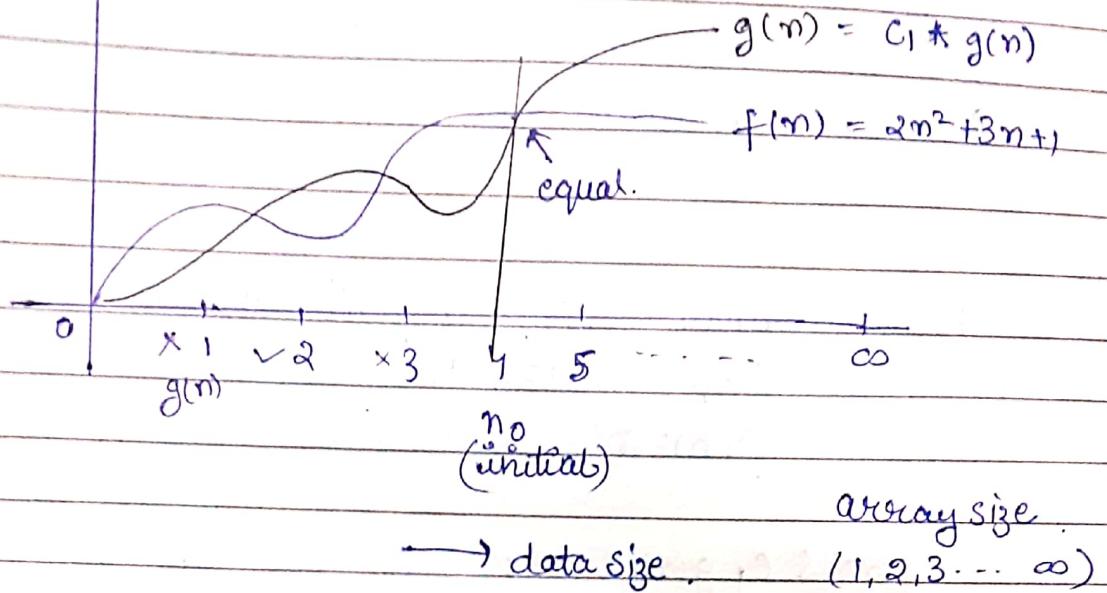
where  $c_1 > 0$

$$n \geq n_0 \geq 1$$

worst case = upper bound.

Big O and small O  $\rightarrow f(n)$  is bounded by  $g(n)$   
↑ on upper side

$$f(n) < g(n)$$

$g(n)$   
 $f(n)$ 

→ Growth function [ $g(n)$ ] a function in terms of data size, which shows how the no. of instructions grow unproportion to the growing data size for given algo. Growth functions are standard functions and relation b/w various growth functions is predefined.

for eg - always  $O(1) < O(\log n) < O(n) < O(n \log_2 n) < O(n^2)$   
..... and so on.

• Big O notation - is Tight Upper Bound.  
Small O is Upper Bound.

\* Small o :

$$f(n) = o(g(n))$$

$$\text{if } 0 < f(n) < c_1 g(n)$$

where,  $c_1 > 0$  and  $n > n_0 > 1$

Any function  $f(n)$  is set to be equal to  $g(n)$  if the value of function  $f(n)$  is always less than the values of  $g(n) \times \text{constant } (c_1)$ , for all size of data, which is greater than equal to initial size  $n_0$ .

marks

Ques.

Prove that  $f(n) = O(g(n))$  where

$$f(n) = 2n^2 + 3n + 1$$

$$g(n) = n^2$$

Solu.

$f(n) \leq c_1 g(n)$  for  $c_1 = ?$  and  $n_0 = ?$

To prove.  $[f(n) \leq g(n)]$

$$\begin{aligned} 2n^2 + 3n + 1 &\leq c_1 g(n) \\ = n^2 \left(2 + \frac{3}{n} + \frac{1}{n^2}\right) &\leq c_1 (n^2) \quad [n=1] \\ = c_1 &\geq 6 \end{aligned}$$

$$\boxed{c_1 = 6}$$

	$2n^2 + 3n + 1$	$\leq 6n^2$	
$n=1$	$2+3+1$	$\leq 6*1$	T
$n=2$	$8+6+1$	$\leq 6(2^2)$	T

$$\text{Let } c_1 = 5 \quad (2n^2 + 3n + 1 \leq 5n^2)$$

	$2n^2 + 3n + 1$	$\leq 5(1)$	F X
$n=2$	$2(4) + 3(2) + 1$	$\leq 5(2)^2$	T

1st pair  $c_1 \geq 6$  and  $n \geq n_0$ ,  $n_0 \geq 1$  ( $c=6$ )  
 2nd pair  $c_1 = 5$  and  $n_0 \geq 2$  ( $c=5$ )

20 | 1 | 80 | 40 | 8 | 7 | 16 | 10 |

Create max heap from the given array.

23/ Feb/ 2019.

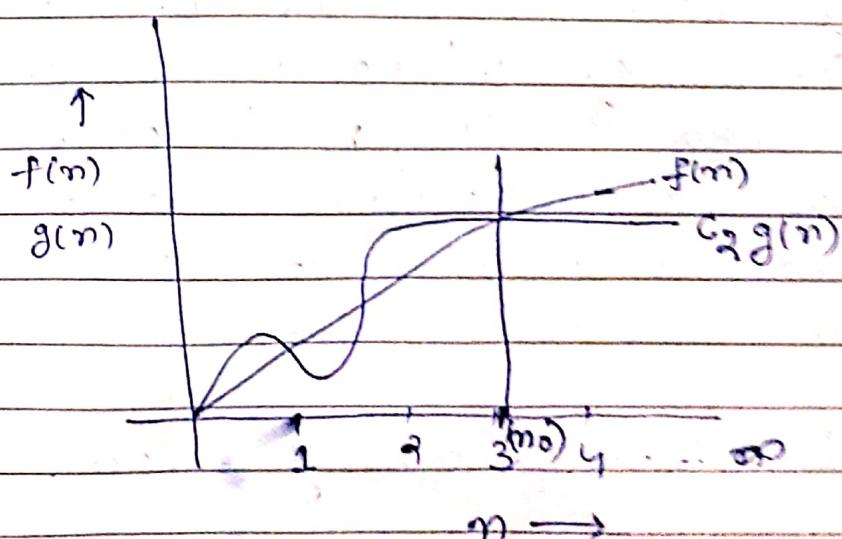
$g(n)$  - growth  $f^n$  or rate of growth of algo time.

① worst case analysis  $\xrightarrow{\text{tight}} O(B^3)$   $\xrightarrow{\text{loose}} \omega$   $\xrightarrow{\text{upper bound}}$   $c_1 n$  - upper bound on algo complexity.

② Best case analysis  $\xrightarrow{\text{tight}} \Omega(n^2)$   $\xrightarrow{\text{loose}} \omega$   $\xrightarrow{\text{lower bound}}$  to algo complexity.

$$f(n) = \Omega(g(n)) \text{ if } 0 < c_2 g(n) \leq f(n) \\ (\pi^2 < 2\pi^2)$$

$$f(n) = \omega(g(n)) \text{ if } 0 < c_2 g(n) < f(n)$$



(Best case)

Ques.

Prove that

$$f(n) = \Omega g(n) \text{ where}$$

$$f(n) = 2n^2 + 3n + 1$$

Ans.

$$f(n) = \Omega g(n) \text{ if } 0 < c_2 \frac{g(n)}{n^2} \leq f(n)$$

$$c_2 n^2 \leq 2n^2 + 3n + 1$$

we have to calculate some ( $c_2$  and  $n_0$ )

$$\therefore 2n^2 \leq 2n^2 + 3n + 1$$

let select  $c_2 = 2$ , (pick the highest order term)

$\therefore c_2$  is selected as 2

$c_2 n^2$	$\leq 2n^2 + 3n + 1$
$= 2n^2$	
$n=1 \quad 2(1)^2$	$\leq 2(1)^2 + 3(1) + 1 \quad \checkmark$
$n=2 \quad 2(2)^2$	$\leq 2(2)^2 + 3(2) + 1 \quad \checkmark$

other  $c_2$  and  ~~$n_0$~~  pair

let  $c_2 = 3$

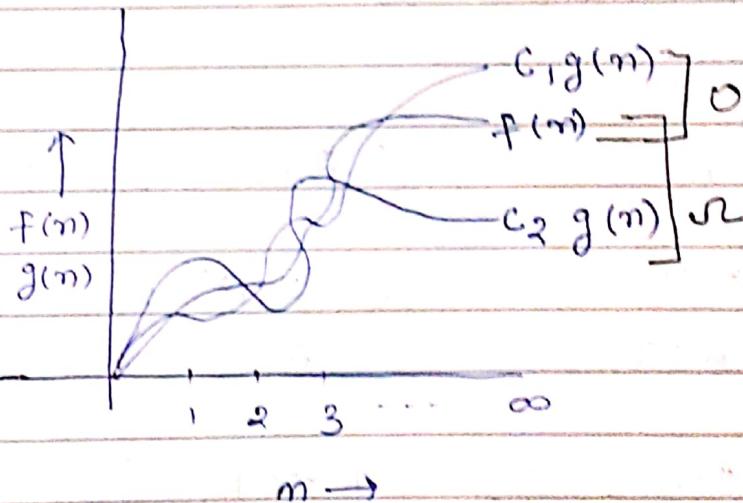
$n=1$	$3(1)^2$	$\leq 2(1)^2 + 3(1) + 1 \quad \checkmark$
$n=2$	$3(2)^2$	$\leq 2(2)^2 + 3(2) + 1 \quad \checkmark$
$n=3$	$3(3)^2$	$\leq 2(3)^2 + 3(3) + 1 \quad \checkmark$

(3)

theta ( $\Theta$ )↑  
average case analysis

we can calculate avg. case complexity of any algorithm only if the  $f(n)$  of algorithm is bounded both at upper and lower end by same  $g(n)$

$f(n) = \Theta(g(n))$  iff  
 calculated complexity  
 of algo.



bounded on  
 ↑ upper & lower  
 by  $g(n)$   
 $\Theta(Big\Theta)$

$f(n)$  is bounded  
 by same  $g(n)$  at  
 lower end.

Ques. Prove that  $f(n) = 2n^2 + 3n + 1$   
 is  $f(n) = \Theta(g(n))$

Ans.  $\therefore f(n) = \Theta(g(n))$

$\Rightarrow 0 < c_2 g(n) \leq f(n) \leq c_1 g(n)$   
 and for  $c_1 = 5$   
 and  $n_0 \geq 2$

$$f(n) = O(g(n))$$

for  $c_2 = 2$  and  $n_0 \geq 1$

$$f(n) = n^2 g(n)$$

so,

$$0 < 2n^2 \leq f(n) \leq 5n^2$$

$\frac{c_2}{\parallel} \frac{\parallel}{g(n)} \frac{\parallel}{c_1} \frac{\parallel}{g(n)}$

- When we have both  $g(n)$  value same, then only we can write,

$$\boxed{f(n) = \Theta(g(n))}$$

Ques. If  $f(n) = \Theta(g_1(n))$  for  $c_1 = 2$  and  $n_0 \geq 2$   
and  
 $f(n) = \Theta(g_2(n))$  for  $c_2 = 3$  and  $n_0 \geq 1$

Is  $f(n) = \Theta(g(n))$ . Justify (Y/N)

Ans. No, bcz  $g_1(n) \neq g_2(n)$

if  $g_2$  = not defined then also  $\Theta$  not find &  
or if  $g_1 = " "$  "