

## Euclidean TSP

Wednesday, January 28, 2015  
10:59 AM

Lecture 3, Jan 27 2015  
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Recall: TSP NP-hard. In fact, no approx possible for arbitrary distances. For (symmetric) metric TSP, we saw  $\frac{3}{2}$ -approx (Christofides '76). Also, for this problem, NP-hard to have a  $\frac{22}{219}$ -approx (Papadimitriou-Vempala '00).

Polynomial time approx scheme (PTAS):

For a minimization problem  $P$ , a PTAS for  $P$  is an algorithm that, given input instance  $I$  and a parameter  $\epsilon > 0$ , returns a solution of cost  $\leq (1+\epsilon) \cdot OPT_I$  in time  $O(n^{f(\epsilon)})$ .  
(No PTAS for metric TSP)

### Euclidean TSP

Input:  $n$  pts in  $\mathbb{R}^2$  with  $d(x, y) = \|x - y\|_2$

Output: shortest tour visiting all  $n$  points.

Also, NP-hard (complete?)

But PTAS for this problem!! (Arora '98, Mitchell '99)

(and generalization to any

const. dimension  $d$  (points in  $\mathbb{R}^d$ )

- No PTAS for  $d = O(\log n)$  (Troyanov '97)

- No PTAS for  $d = O(\sqrt{\log n})$  (Bartal-Götlieb '13)

Best so far: PTAS in time  $2^{\text{poly}(\frac{1}{\epsilon})} \cdot n$  (Bartal-Götlieb '13)

We will see Arora's  $n^{O(1/\epsilon)}$  time PTAS.

### How to show PTAS

- A "Structure Theorem" showing that there's a solution of cost  $\leq (1+\epsilon) \cdot OPT$  that has special local structure
- Find structured solution by divide / conquer or DP.

### Arora's TSP PTAS

Three steps:

1. Embedding

(round instance so pts are on grid corners)  
→ → → race into squares

- Randomized dissection (partition)
- Portal-respecting tours (apply DP to find tours that enter/exit squares at specific positions)

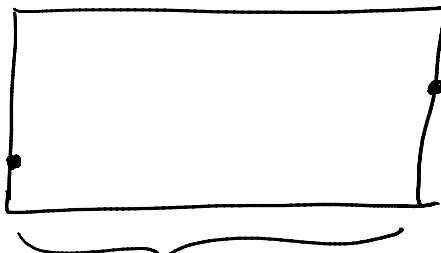
(assume  $\frac{1}{\varepsilon}$  integral for simplicity)

### Perturbation

Want:

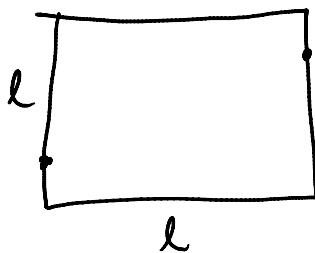
- each pt to have integer coordinates in  $[0, \frac{9n}{\varepsilon}]^2$
- smallest nonzero distance between pts to be  $\geq 4$ .

- Translate pts so that they are in a bounding box with longer side length 1.

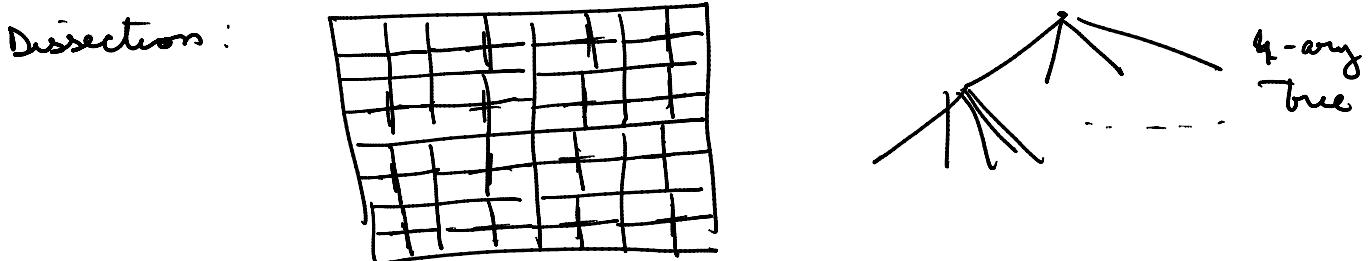
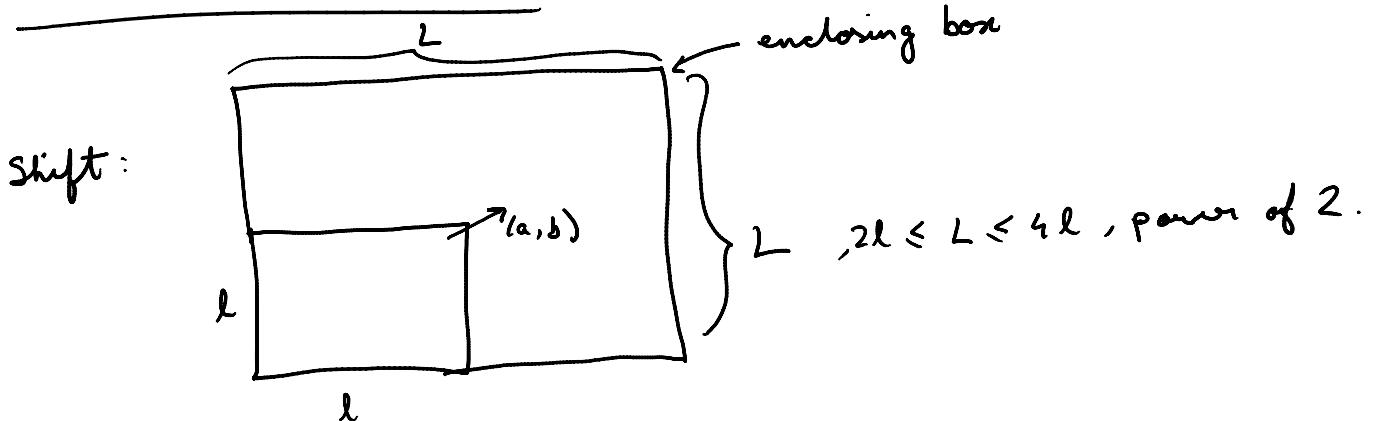


Note:  $\text{OPT} \geq 2$

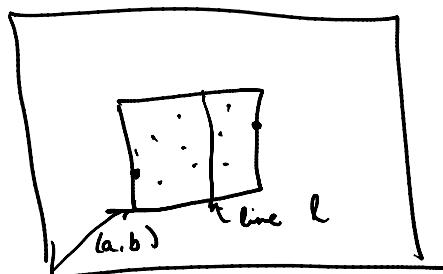
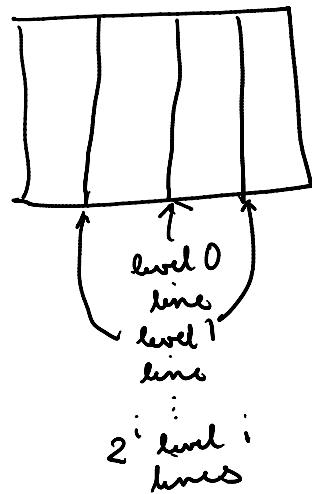
- Place a grid inside this box of granularity  $\frac{\varepsilon}{8n}$
- Move each pt to its nearest grid node
- Cost of TSP changes by  $\leq n \cdot 2 \cdot \frac{\varepsilon}{8n} \leq \frac{\varepsilon}{4} \leq \frac{\varepsilon}{8} \cdot \text{OPT}$
- Now divide all coordinates by  $\frac{\varepsilon}{32n}$ .
- All coordinates become integral and min. distance is  $\geq 4$ .
- Make bounding box square of side length  $\frac{32n}{\varepsilon} = l$



e. dominoed dissection



Enclosing box: level 0 square  
 $4$  level 1 squares  
 $16$  level 2 squares  
 $\vdots$   
 $2^i$ : level  $i$  squares



Obs:  $\Pr_{\substack{a,b \\ \leq L/2}} [\text{line } l \text{ is at level } i] \leq \frac{2^i}{L/2} = \frac{2^{i+1}}{L}$

at most  $2^i$  level  $i$  lines that could be reached by shifts of size  $\leq L/2$ .

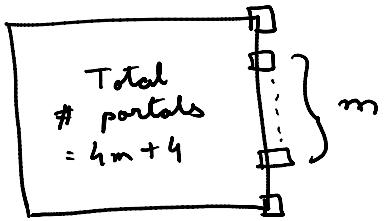
### Portal tours

- Each grid line has special points on it called "portals"
- A level  $i$  line has  $2^{i+1} m$  equally spaced portals
- and also each corner of a level  $i$  square on the line is a portal.



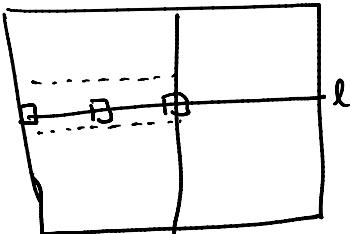
Portal tour is a tour

and  
a portal.



Portal tour is a tour that always crosses grid lines at portals.

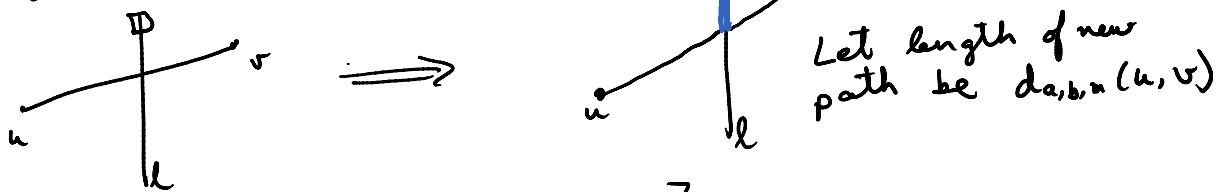
Obs: Without shifting, portal tour may be much longer than OPT.



Optimal tour crosses  $l$  lots of times but a portal tour has to have many long detours

Thm: For  $a, b$  random integers in  $[0, L/2]$ , with prob  $\geq \frac{1}{2}$ , there is a portal tour of the  $(a, b)$ -dissection of cost  $\leq \text{OPT} + \frac{8 \log L}{m} \cdot \text{OPT}$ .

Pf: We bound  $\mathbb{E} [\text{OPT}_{a,b,m} - \text{OPT}] \leq \frac{4 \log L}{m} \cdot \text{OPT}$ . Then follows from Markov.



$$\begin{aligned} & \mathbb{E} [d_{a,b,m}(u,v) - d(u,v)] \\ & \leq \sum_{l \text{ crossed}} \sum_{i=0}^{\log L-1} P_n[l \text{ is in level } i] \cdot \frac{L}{m 2^{i+1}} \\ & = \sum_{l \text{ crossed}} \sum_{i=0}^{\log L-1} \frac{2^{i+1}}{L} \cdot \frac{L}{m \cdot 2^i} = \frac{2 \log L}{m} \cdot \# \text{ of lines crossed} \end{aligned}$$

$$\begin{aligned} \# \text{ of lines crossed} & \leq |x_u - x_v| + |y_u - y_v| + 2 \\ \text{use that } |x_u - x_v|, |y_u - y_v| & \geq 4. \quad \Rightarrow \quad \leq \sqrt{2(|x_u - x_v|^2 + |y_u - y_v|^2)} + 2 \\ & \leq 2 \cdot d(u,v) \end{aligned}$$

$$\text{So, } \mathbb{E} [d_{a,b,m}(u,v) - d(u,v)] \leq \frac{4 \log L}{m} \cdot d(u,v) + \dots$$

Summing over all edges  $(u, v)$  of opt tour gives result. □

Remark: You might worry that the detour crosses horizontal lines at non-portal locations. But note that intersection of  $l$  with any horizontal line is always a portal!

Set  $m = (4 \log L) / \varepsilon = O((\log(n/\varepsilon)) / \varepsilon)$

$$OPT_{a,b,m} - OPT \leq \varepsilon \cdot OPT.$$

How to find  $OPT_{a,b,m}$ ?

Use dynamic programming!

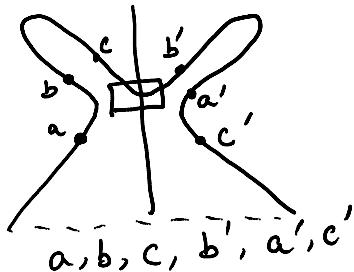
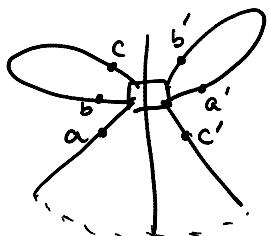
Use one state for any square and any set of possible ways of entering and exiting this square. To bound # of states, need to restrict how many times each portal is visited.

Def: A portal tour is 2-light if it goes through the portal at most twice.

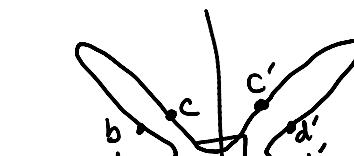
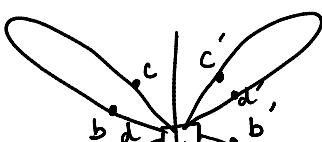
Lemma: Without loss of generality, a portal tour is 2-light.

Proof by picture:

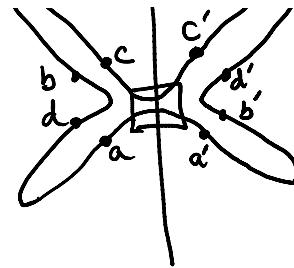
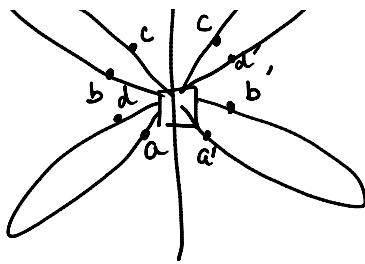
Odd



Even



Even

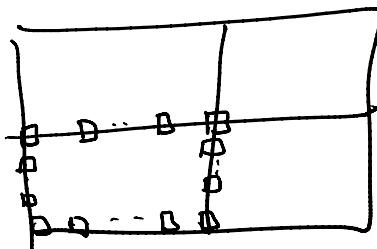


Visiting order :  $a, a', b', b, c, c', d', d$

$a, a', b', d', c', c, b, d$

□

Keep info about the portals used to enter each square and the order in which the tour uses these portals



For a square  $s$  and a set of pairs of portals  $(s_1, t_1), (s_2, t_2), \dots, (s_k, t_k)$ , let  $A[s, \{(s_1, t_1), \dots, (s_k, t_k)\}]$  be cost of cheapest set of paths  $p_1, \dots, p_k$  that

- (1) each  $p_i$  goes from  $s_i$  to  $t_i$ .
- (2) together  $p_1, \dots, p_k$  contain all points in  $s$ .

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Remark: Our final answer will correspond to  $A[s, \emptyset]$ .

Remark: At a leaf node, where  $s$  is a unit square, there will be only  $\leq 1$  point  $p$ . The pt  $p$  will be contained in only one path  $p_i$  (a straight line segment from  $s_i$  to  $p$  and a straight line segment from  $p$  to  $t_i$ ) and the other paths  $p_j$  will be straight line segments from  $s_j$  to  $t_j$ . We can search all  $i \in [k]$  to solve this case.

Remark: The DP itself is straightforward.  $A[s, \dots]$  can be computed from  $A[s_1, \dots], A[s_2, \dots], A[s_3, \dots], \dots$  by trying all consistent combinations of  $s_i$  are

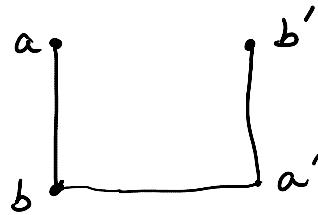
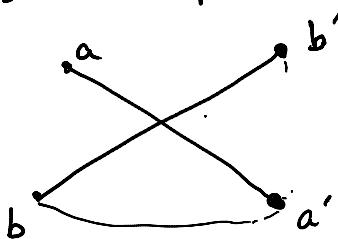
(S., S2, -3)

of entry and exit portals  
the children of  $s$  in the quadtree)

Total # of states  $\leq (\# \text{ of squares}) \cdot \underbrace{3^{4m+4}}_{\substack{\uparrow \\ \text{Each portal} \\ \text{used 0, 1, or} \\ \text{2 times by} \\ \text{2-lightness}}} \cdot \underbrace{(8m+8)!}_{\substack{\uparrow \\ \#\text{ of possible} \\ \text{pairings of} \\ \text{the } \leq 8m+8 \\ \text{portal visits}}}$

As noticed in class, for  $m = \log(\frac{1}{\epsilon} \log \frac{n}{\epsilon})$ , this is only quasi-poly ( $2^{\log n}$ ), not poly( $n$ ).

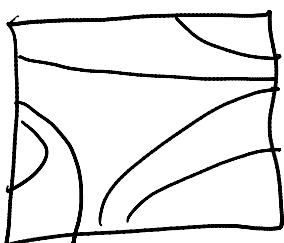
To get poly( $n$ ) bound, observe that we may assume  $p_1, \dots, p_k$  are vertex-disjoint inside  $s$  (meaning they don't intersect except at portals). Why? Again shortcircuiting:



Visiting order:  $a, a', b, b'$

$a, b, a', b'$   
Cost not more by  
Triangle inequality

So, now, need to bound number of ways there can be  $k$  non-crossing paths among  $\leq 8m+8$  portal occurrences.



$\Rightarrow$  Flatten into



The number of such ways of non-crossing paths among  $\leq 8m+8$  portal occurrences bounded by number of well-matched parentheses pairs when there are  $\leq 4m+4$  of each opening & closing kind. Latter  $\sim \binom{8m}{4m}$ . Catalan number  $O(2^{8m})$ .

So, total # of states  $\leq (\# \text{ of squares}) \cdot O(3^m \cdot 2^{0m})$   
 $\stackrel{=}{\underset{O(1/\epsilon)}{}} n$   
if  $m = O\left(\frac{1}{\epsilon} \log \frac{n}{\epsilon}\right)$ .