Binomial Heaps

Outline for this Week

• Binomial Heaps (Today)

• A simple, flexible, and versatile priority queue.

• Lazy Binomial Heaps (Today)

 A powerful building block for designing advanced data structures.

• Fibonacci Heaps (Thursday)

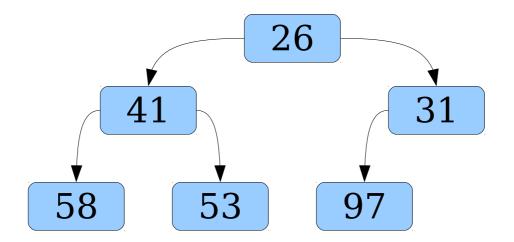
• A heavyweight and theoretically excellent priority queue.

Review: Priority Queues

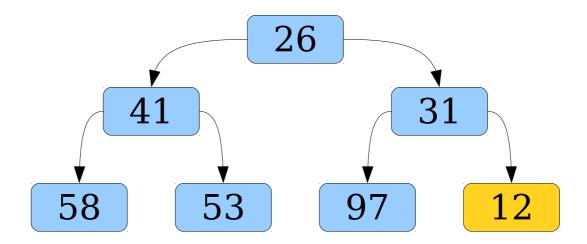
Priority Queues

- A *priority queue* is a data structure that stores a set of elements annotated with totally-ordered *keys* and allows efficient extraction of the element with the least key.
- More concretely, supports these operations:
 - pq.enqueue(v, k), which enqueues element v with key k;
 - *pq.find-min*(), which returns the element with the least key; and
 - *pq.extract-min()*, which removes and returns the element with the least key,

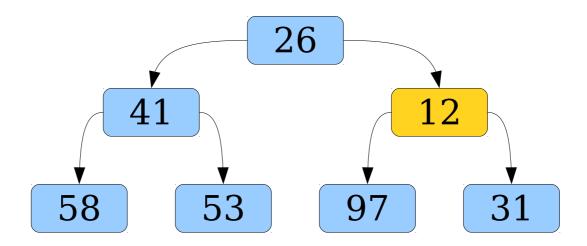
- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time $O(\log n)$; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
 I assume you've seen them before.



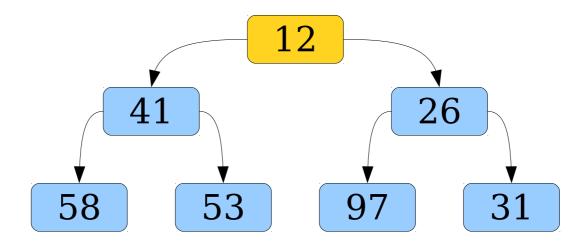
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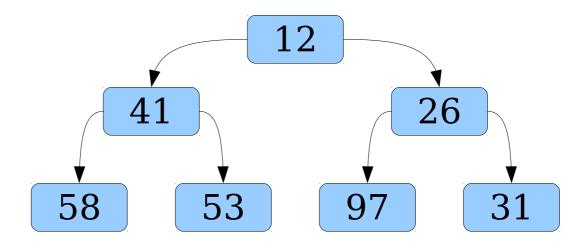
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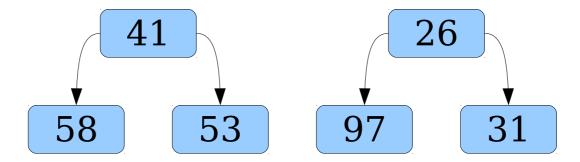
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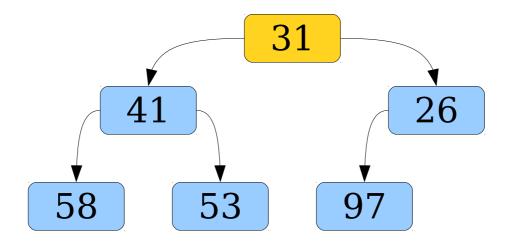
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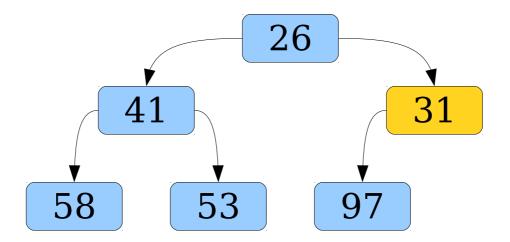
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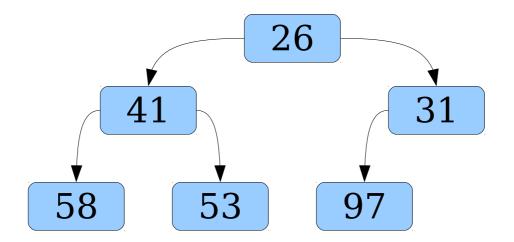
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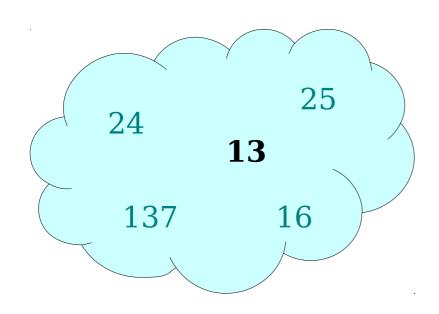
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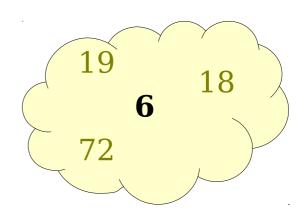


Priority Queues in Practice

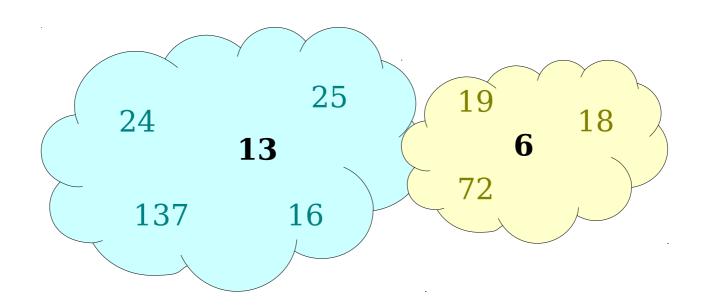
- Many graph algorithms directly rely priority queues supporting extra operations:
 - $meld(pq_1, pq_2)$: Destroy pq_1 and pq_2 and combine their elements into a single priority queue.
 - pq.decrease-key(v, k'): Given a pointer to element v already in the queue, lower its key to have new value k'.
 - $pq.add-to-all(\Delta k)$: Add Δk to the keys of each element in the priority queue (typically used with meld).
- In lecture, we'll cover binomial heaps to efficiently support *meld* and Fibonacci heaps to efficiently support *meld* and *decrease-key*.
- You'll design a priority queue supporting efficient meld and add-to-all on the problem set.

- A priority queue supporting the *meld* operation is called a *meldable priority queue*.
- $meld(pq_1, pq_2)$ destructively modifies pq_1 and pq_2 and produces a new priority queue containing all elements of pq_1 and pq_2 .

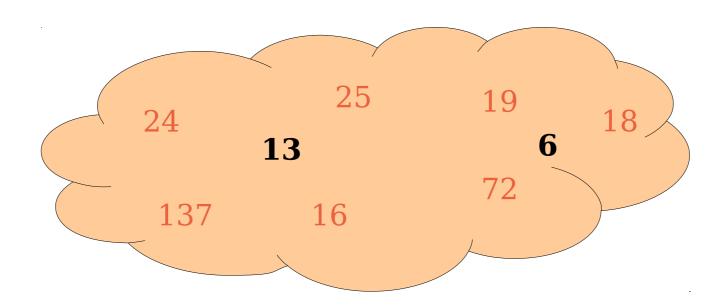




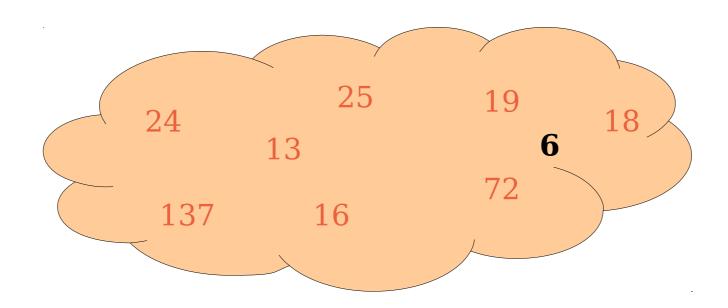
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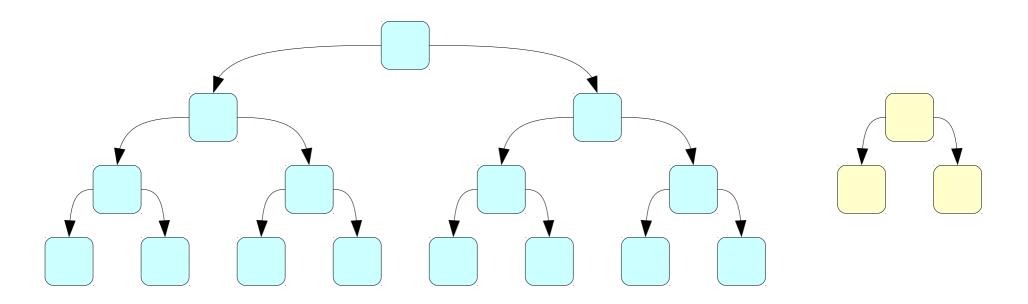


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Efficiently Meldable Queues

- Standard binary heaps do not efficiently support *meld*.
- *Intuition*: Binary heaps are complete binary trees, and two complete binary trees cannot easily be linked to one another.



Binomial Heaps

- The **binomial heap** is an priority queue data structure that supports efficient melding.
- We'll study binomial heaps for several reasons:
 - Implementation and intuition is totally different than binary heaps.
 - Used as a building block in other data structures (Fibonacci heaps, soft heaps, etc.)
 - Has a beautiful intuition; similar ideas can be used to produce other data structures.

Supporting Efficient Melding

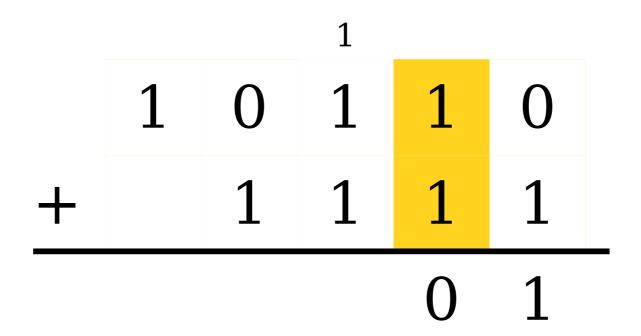
The Intuition: *Binary Arithmetic*

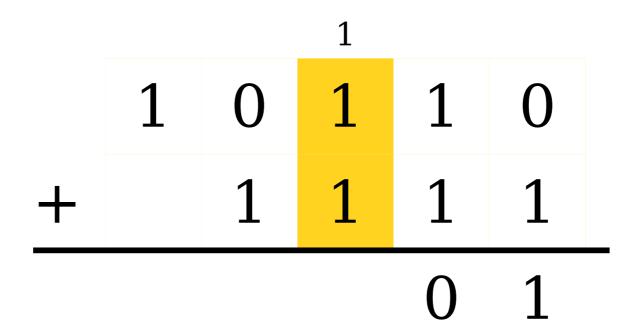
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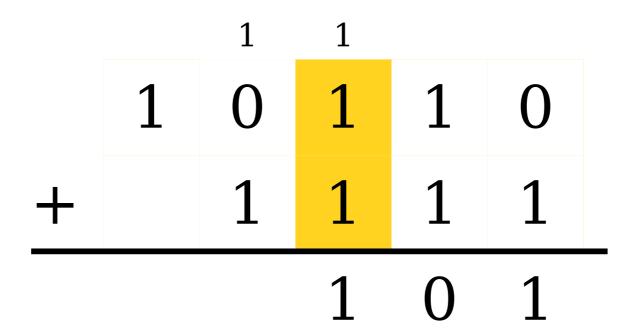
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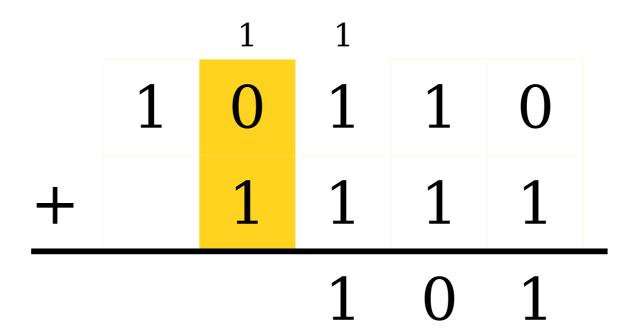
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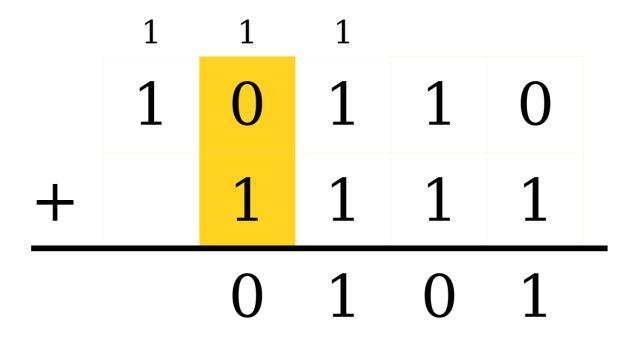
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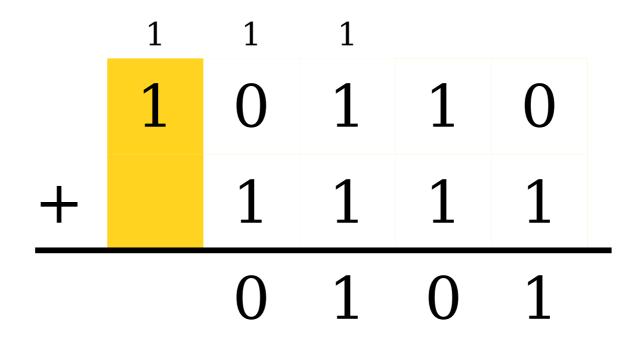


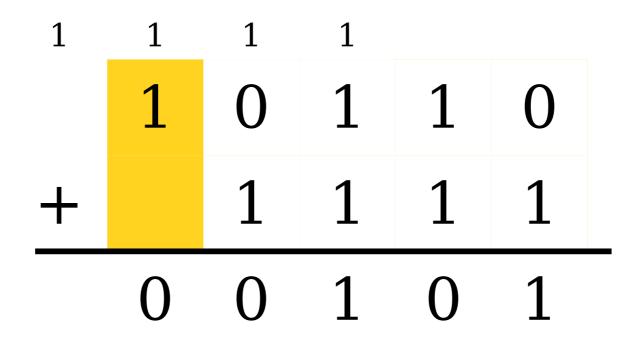












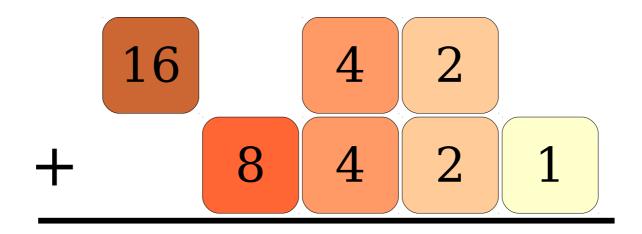
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A Different Intuition

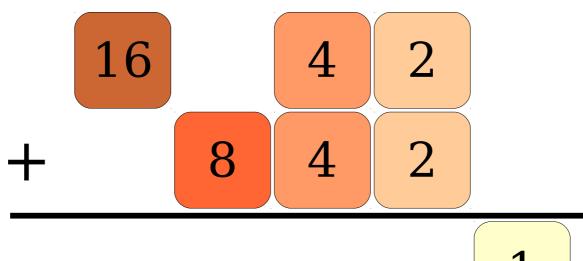
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- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates

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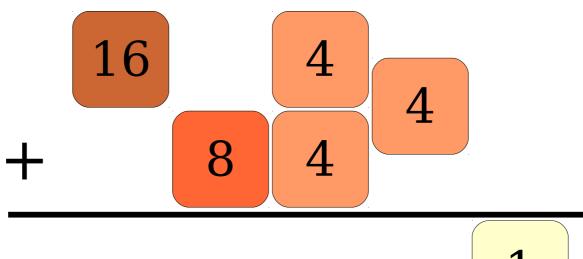
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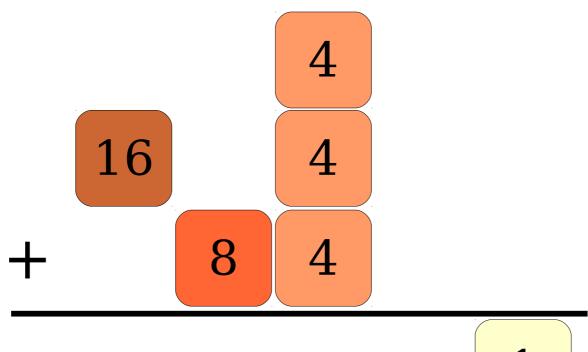
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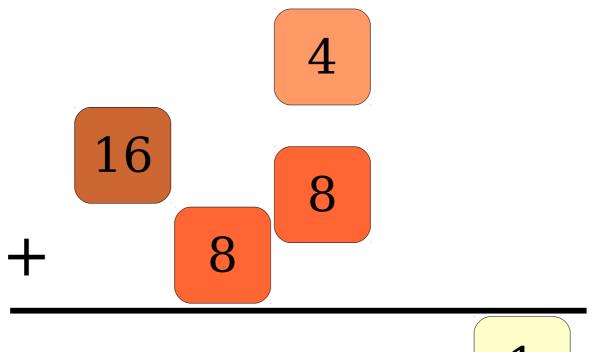
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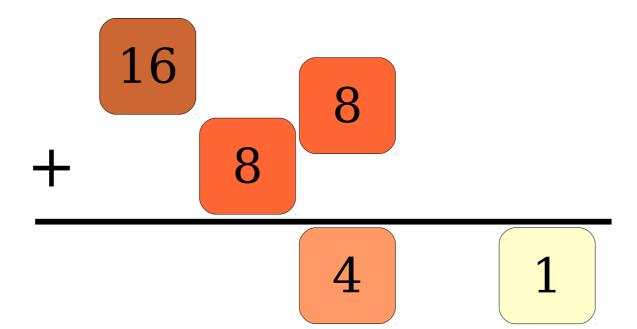
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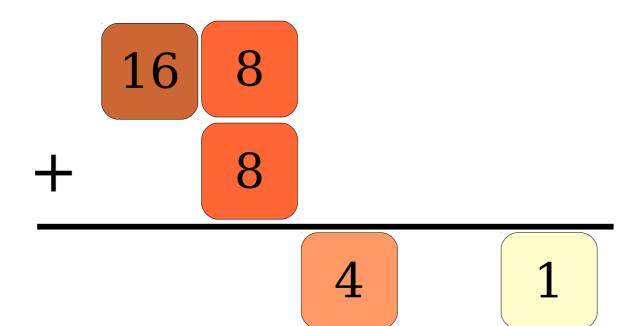
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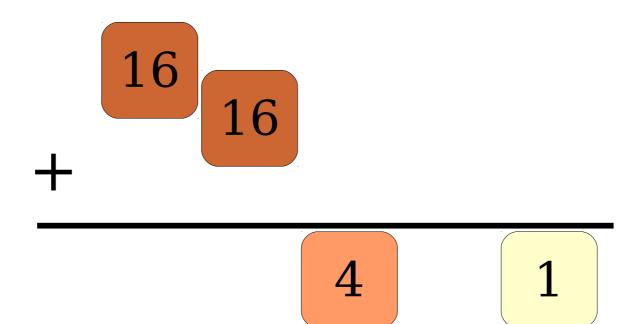
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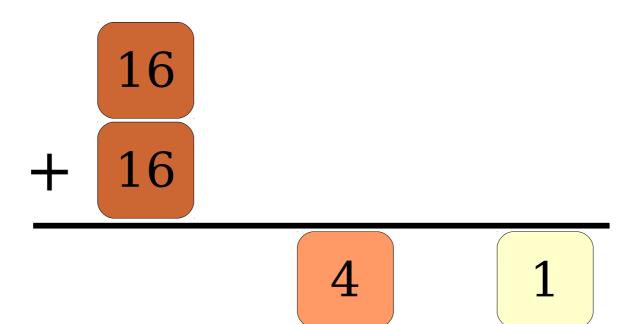
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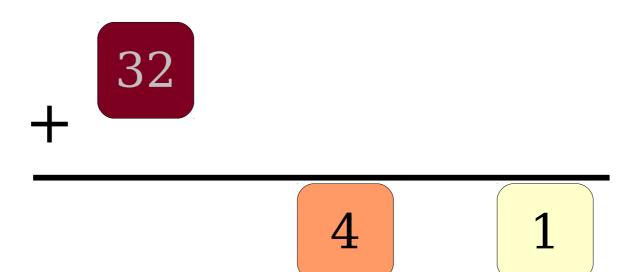
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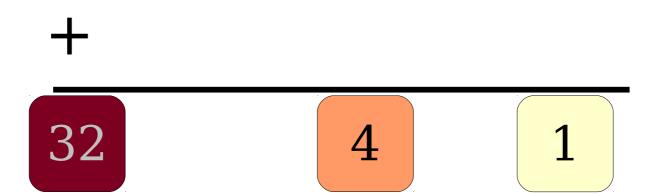
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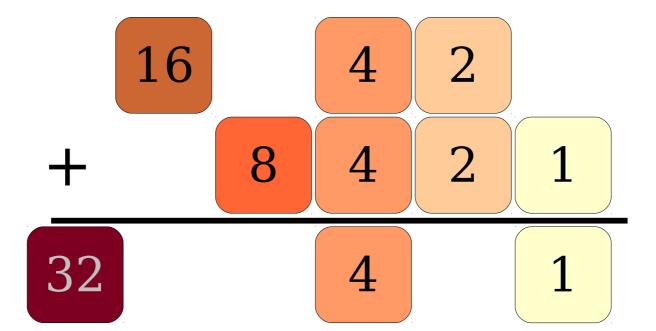
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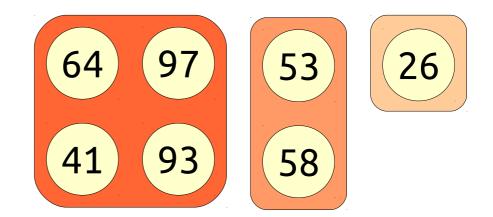
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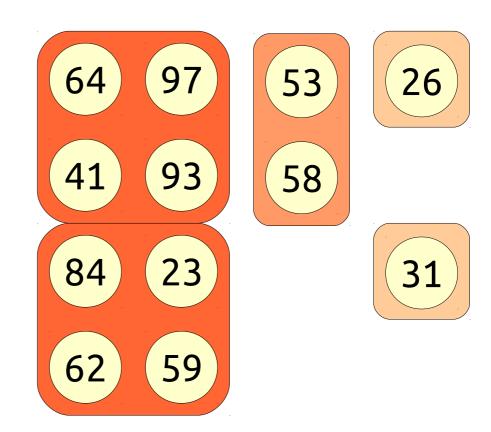


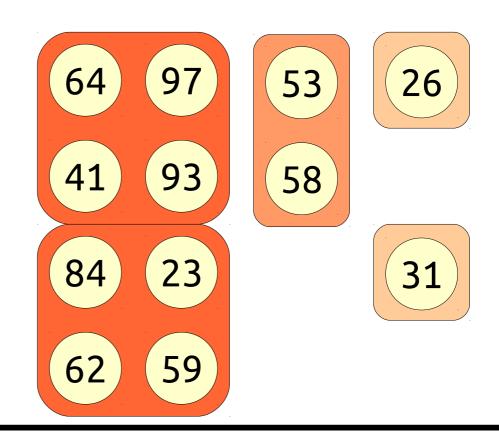
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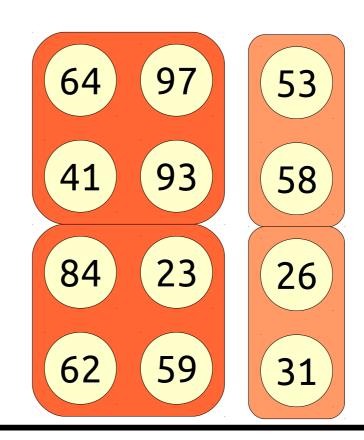
- *Idea*: Adapt this approach to build a priority queue.
- Store elements in the priority queue in "packets" whose sizes are powers of two.
- Store packets in ascending size order.
- We'll choose a representation of a packet so that two packets of the same size can easily be fused together.



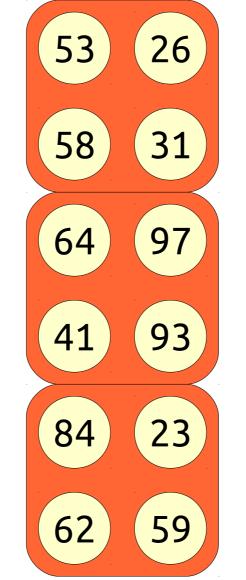




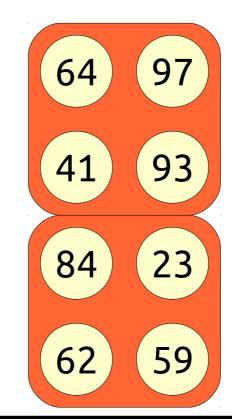
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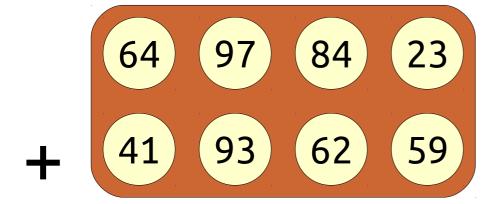


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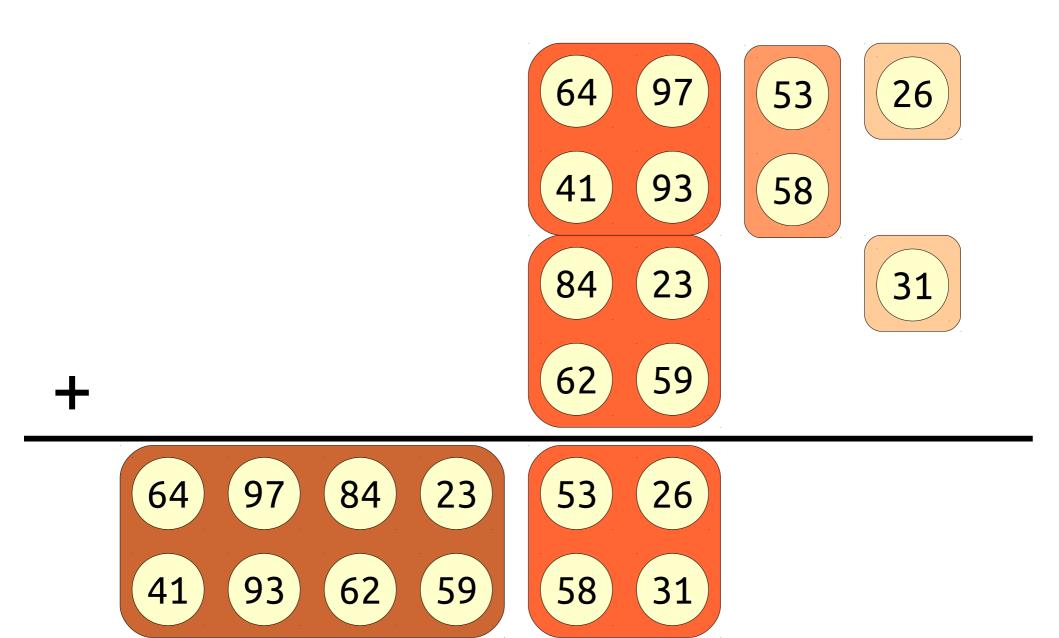
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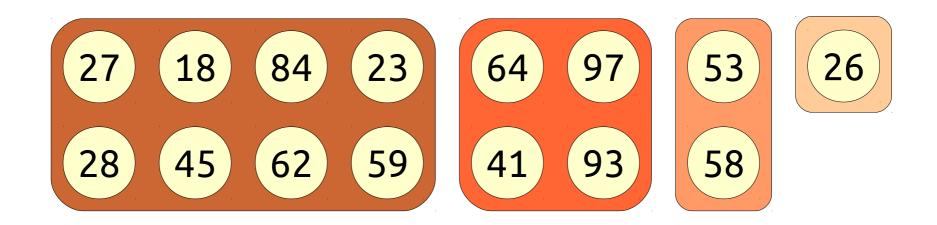
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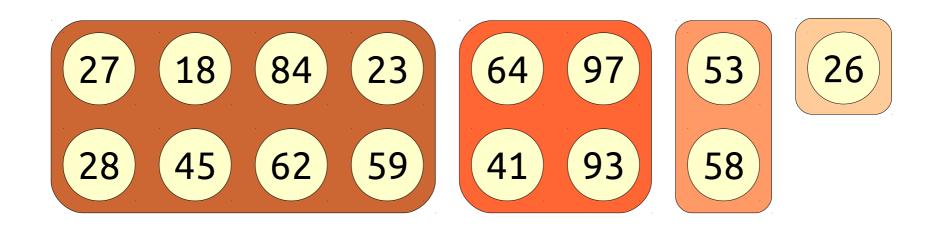


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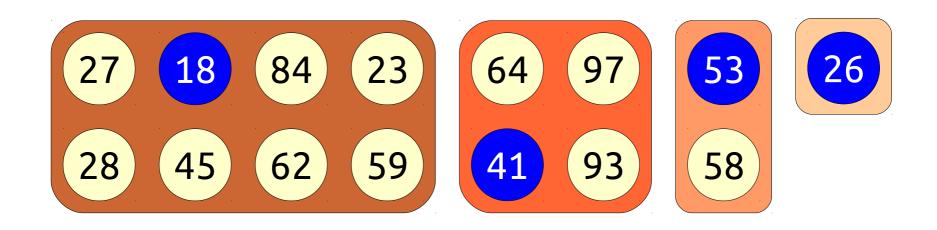
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 - Sizes must be powers of two.



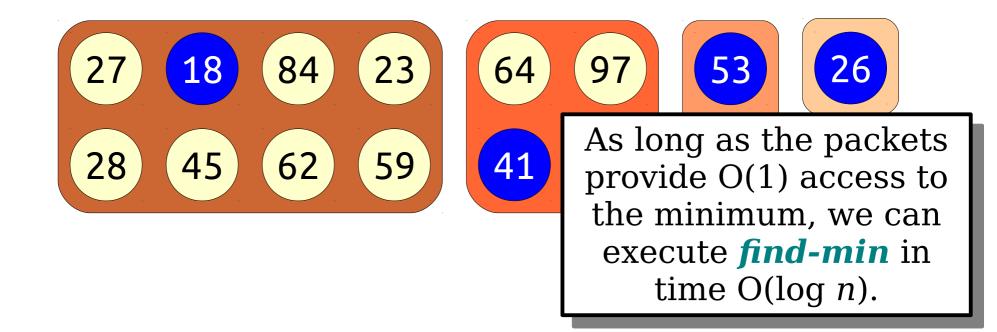
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 - Sizes must be powers of two.
 - Can efficiently fuse packets of the same size.



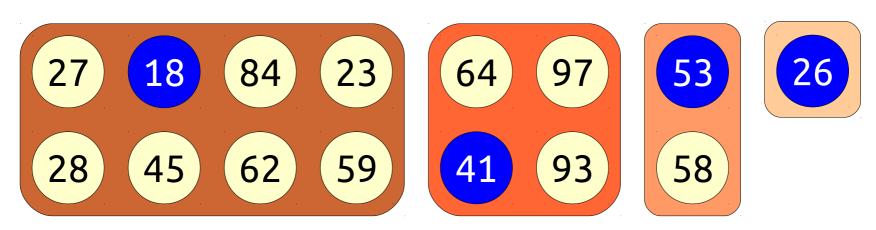
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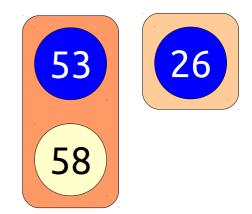
- What properties must our packets have?
 - Sizes must be powers of two.
 - Can efficiently fuse packets of the same size.
 - Can efficiently find the minimum element of each packet.



- If we can efficiently meld two priority queues, we can efficiently enqueue elements to the queue.
- *Idea*: Meld together the queue and a new queue with a single packet.

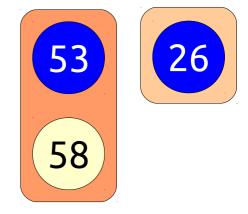
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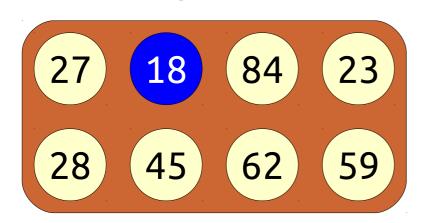


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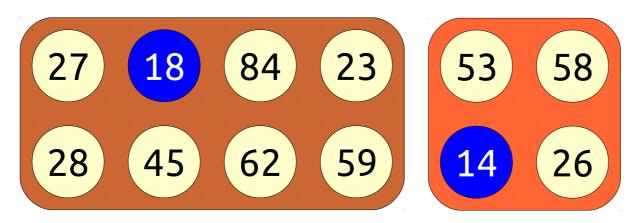


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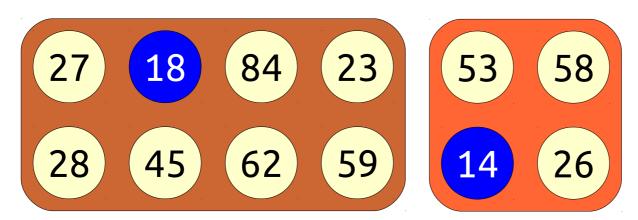




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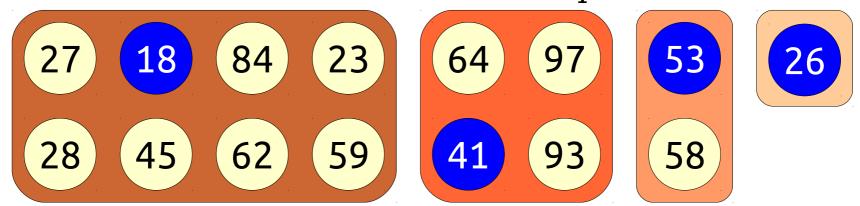
Time required: $O(\log n)$ fuses.

Deleting the Minimum

- Our analogy with arithmetic breaks down when we try to remove the minimum element.
- After losing an element, the packet will not necessarily hold a number of elements that is a power of two.

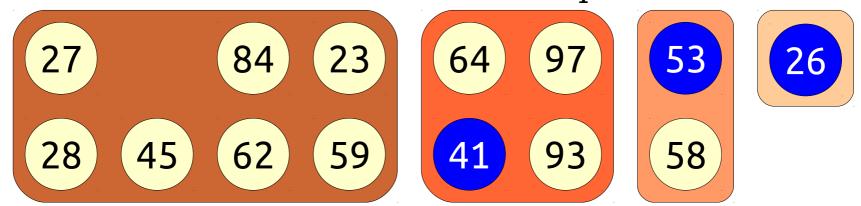
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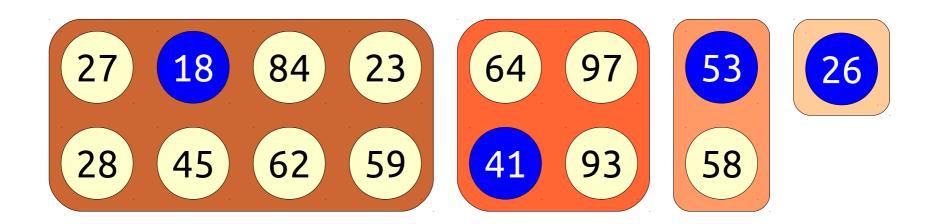


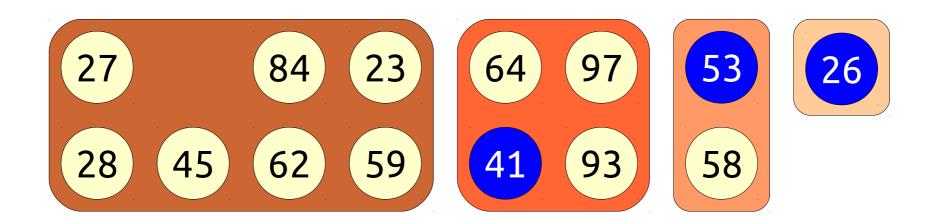
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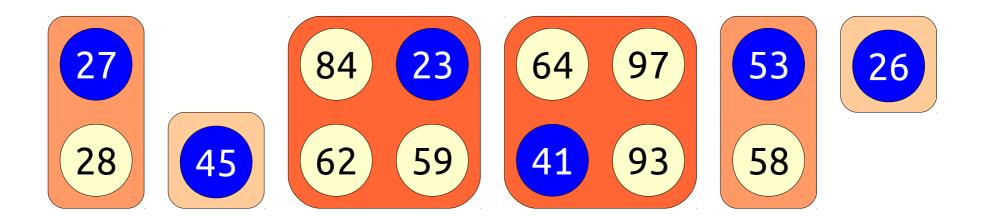
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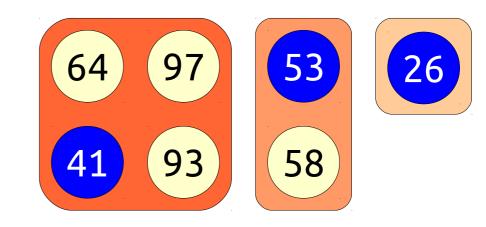


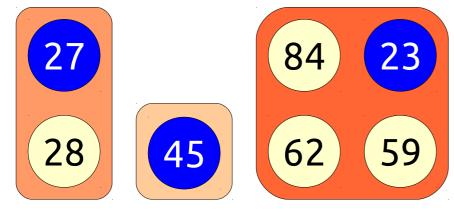
- If we have a packet with 2^k elements in it and remove a single element, we are left with $2^k 1$ remaining elements.
- Fun fact: $2^k 1 = 1 + 2 + 4 + ... + 2^{k-1}$.
- *Idea*: "Fracture" the packet into k-1 smaller packets, then add them back in.

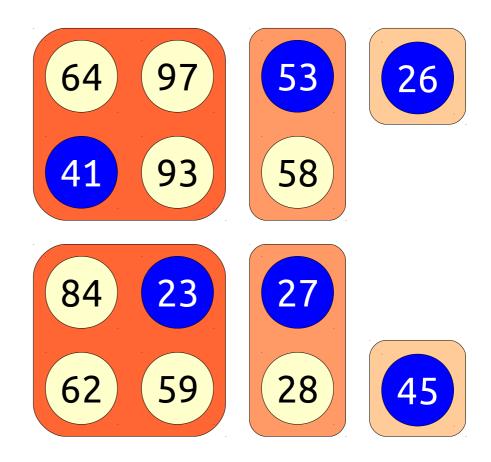


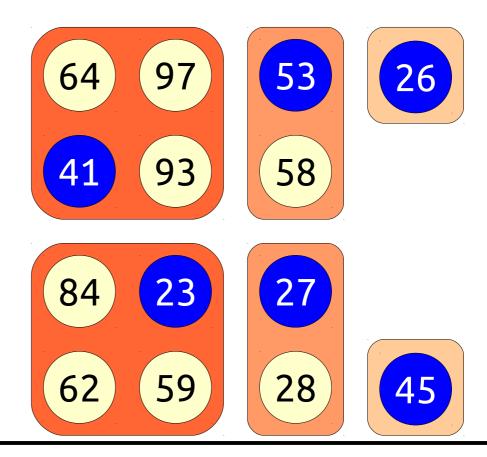




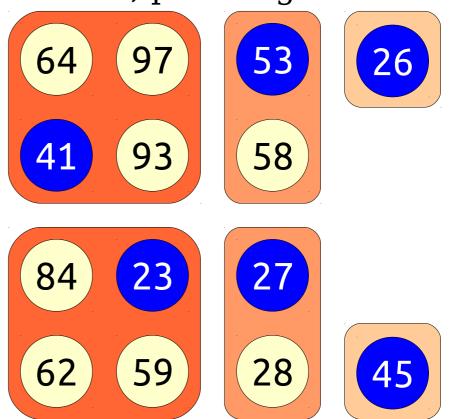








- We can *extract-min* by fracturing the packet containing the minimum and adding the fragments back in.
- Runtime is $O(\log n)$ fuses in **meld**, plus fragment cost.





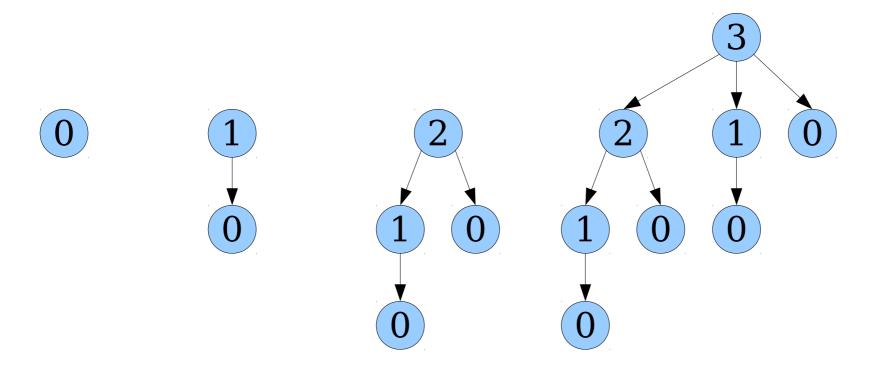
Building a Priority Queue

- What properties must our packets have?
 - Size must be a power of two.
 - Can efficiently fuse packets of the same size.
 - Can efficiently find the minimum element of each packet.
 - Can efficiently "fracture" a packet of 2^k nodes into packets of 1, 2, 4, 8, ..., 2^{k-1} nodes.
- What representation of packets will give us these properties?

 A binomial tree of order k is a type of tree recursively defined as follows:

A binomial tree of order k is a single node whose children are binomial trees of order 0, 1, 2, ..., k - 1.

Here are the first few binomial trees:

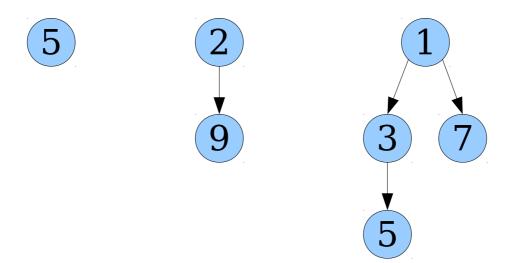


- Theorem: A binomial tree of order k has exactly 2^k nodes.
- **Proof:** Induction on k. Assuming that binomial trees of orders 0, 1, 2, ..., k 1 have $2^0, 2^1, 2^2, ..., 2^{k-1}$ nodes, then then number of nodes in an order-k binomial tree is

$$2^{0} + 2^{1} + \dots + 2^{k-1} + 1 = 2^{k} - 1 + 1 = 2^{k}$$

So the claim holds for k as well.

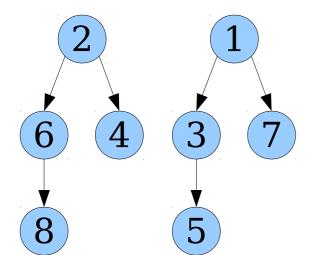
- A *heap-ordered binomial tree* is a binomial tree whose nodes obey the heap property: all nodes are less than or equal to their descendants.
- We will use heap-ordered binomial trees to implement our "packets."



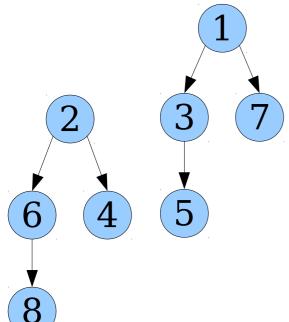
- What properties must our packets have?
 - Size must be a power of two.
 - Can efficiently fuse packets of the same size.
 - Can efficiently find the minimum element of each packet.
 - Can efficiently "fracture" a packet of 2^k nodes into packets of 1, 2, 4, 8, ..., 2^{k-1} nodes.

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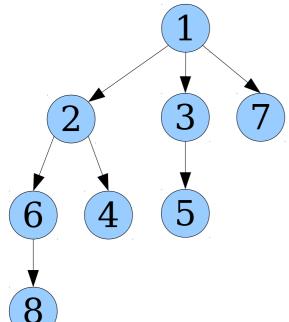
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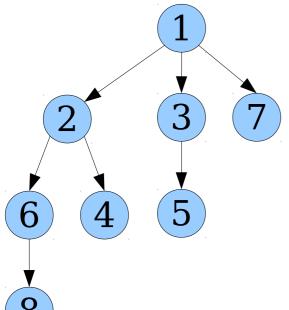
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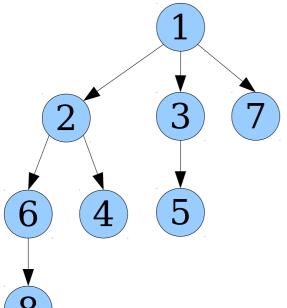


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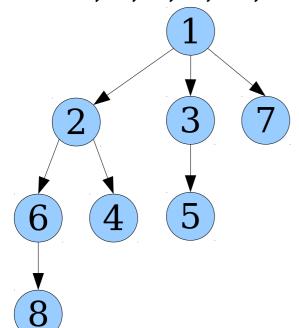
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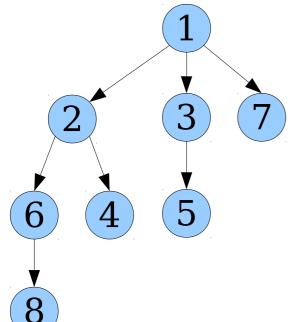


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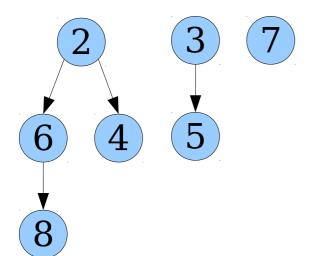
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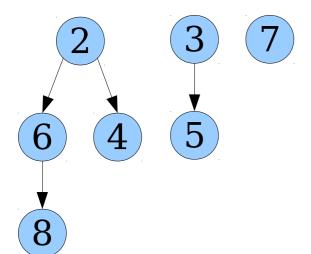
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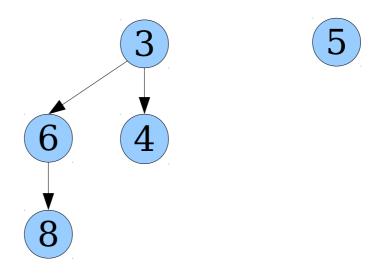


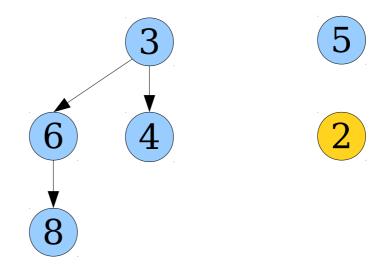
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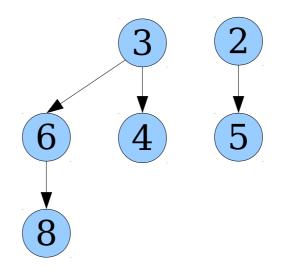


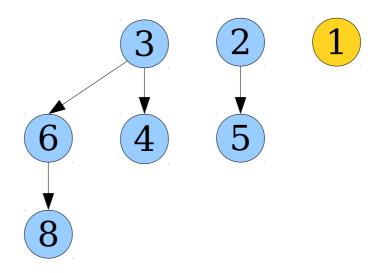
The Binomial Heap

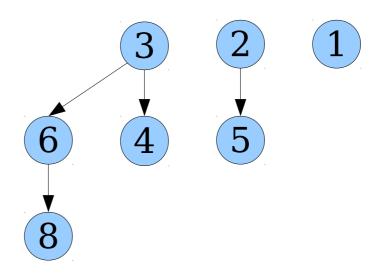
- A *binomial heap* is a collection of heap-ordered binomial trees stored in ascending order of size.
- Operations defined as follows:
 - $meld(pq_1, pq_2)$: Use addition to combine all the trees.
 - Fuses $O(\log n)$ trees. Total time: $O(\log n)$.
 - pq.enqueue(v, k): Meld pq and a singleton heap of (v, k).
 - Total time: $O(\log n)$.
 - pq.find-min(): Find the minimum of all tree roots.
 - Total time: $O(\log n)$.
 - pq.extract-min(): Find the min, delete the tree root, then meld together the queue and the exposed children.
 - Total time: $O(\log n)$.

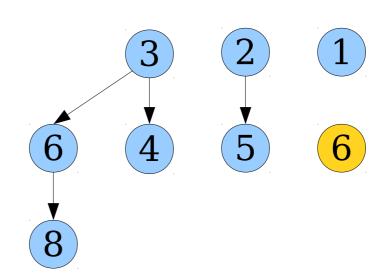


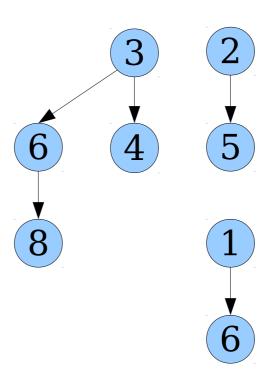


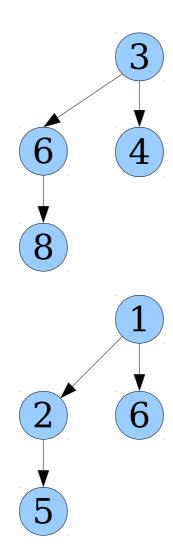


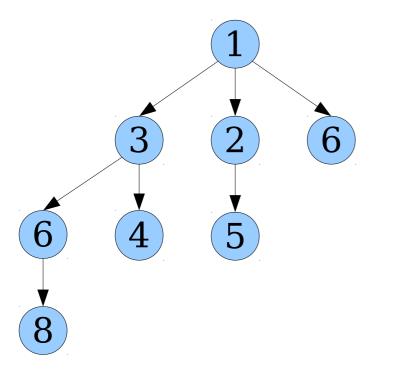


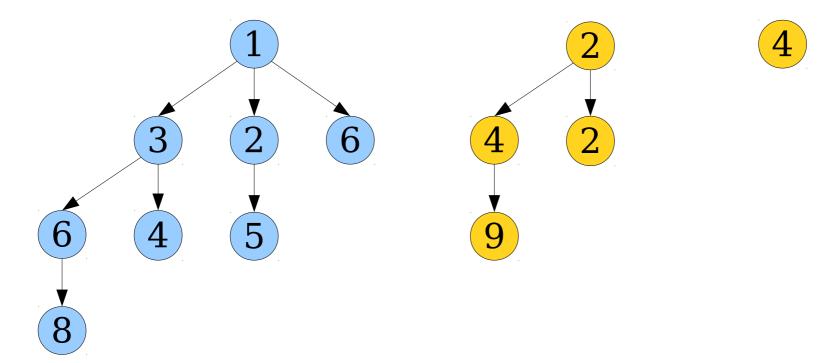


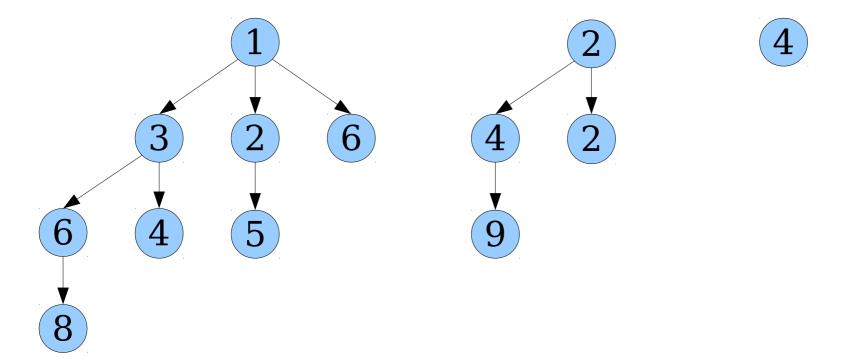


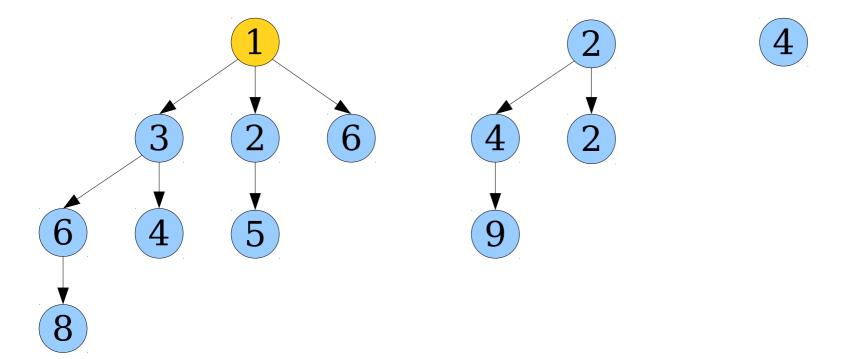


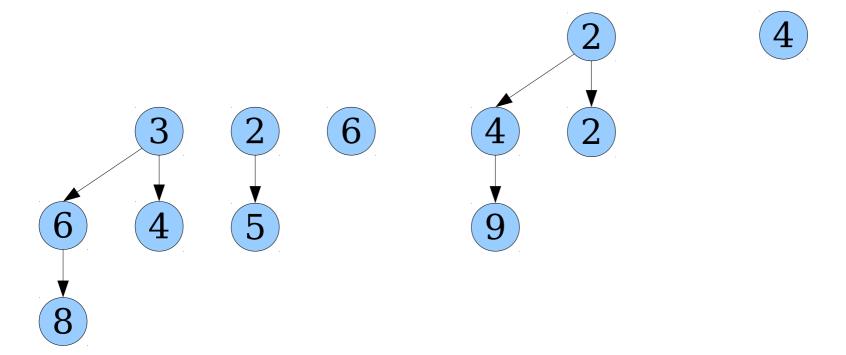


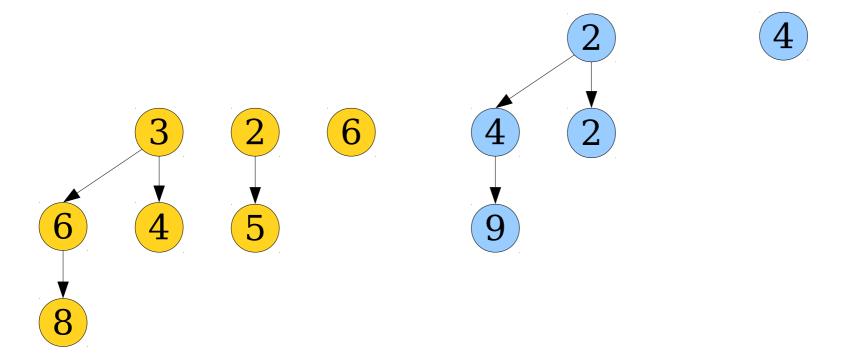


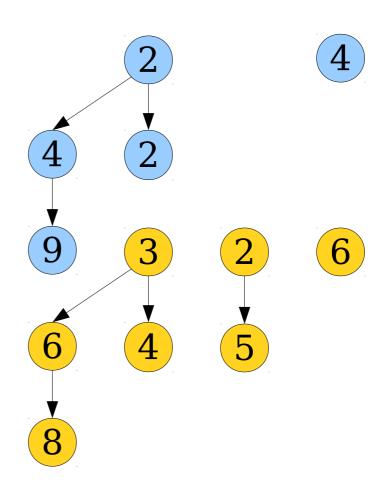


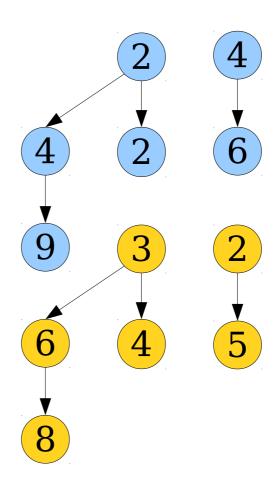


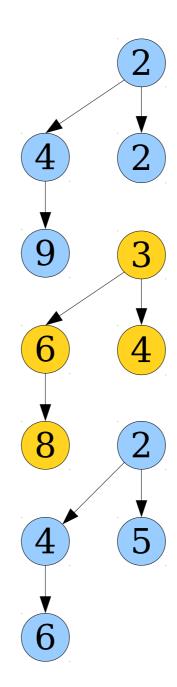


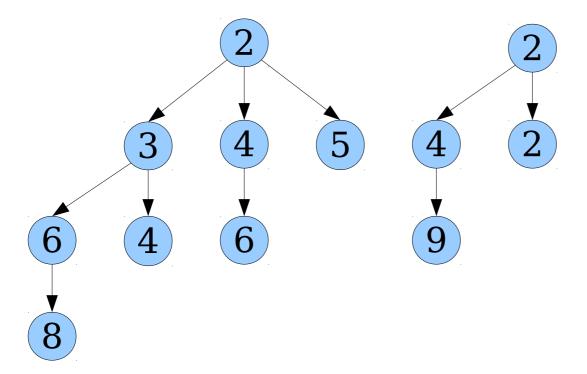




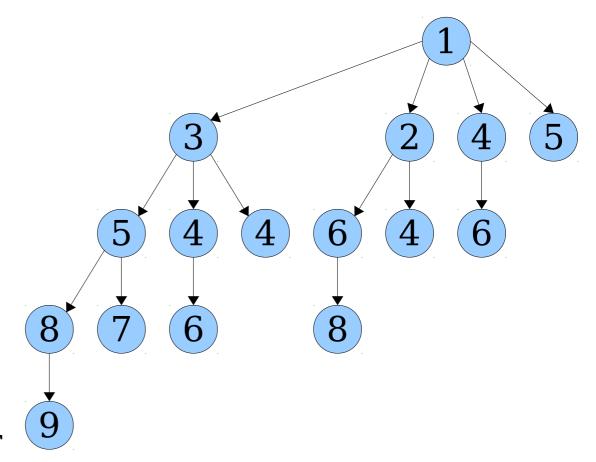




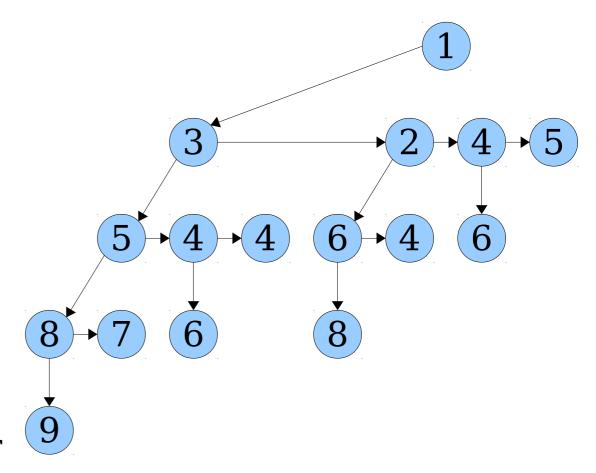




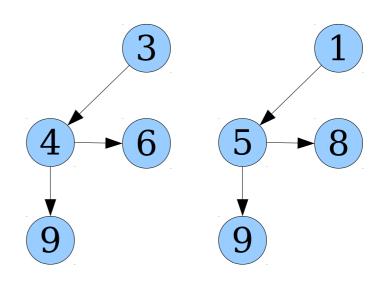
- Binomial trees are logically multiway trees, but are typically implemented as binary trees.
- We use the *left-child/right-sibling* representation.
- Each node's left pointer points to its first child.
- Each node's right pointer points to its next sibling.



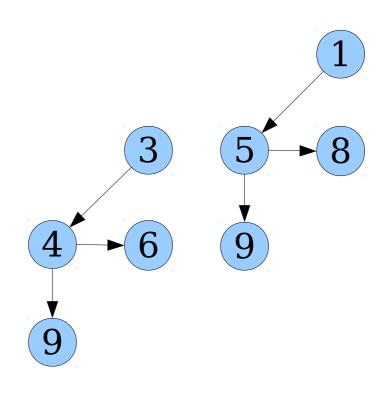
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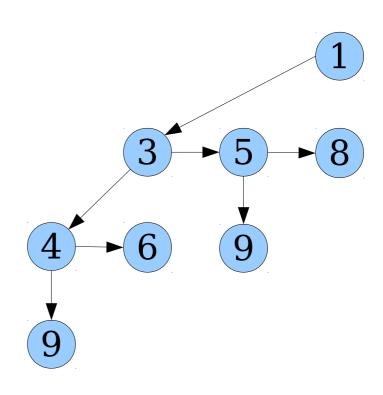
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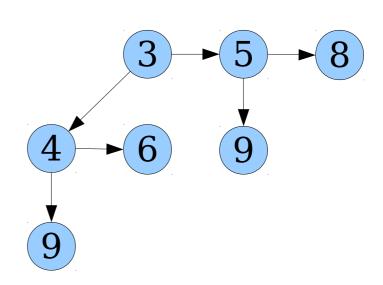
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Time-Out for Announcements!

Stanford Women in Computer Science

Casual Dinner

{w}

Tuesday, May 1st from 6-7 PM at Gates 403

Come mingle with CS professors and friends with delicious Lotus Thai!

The Final Project

- We've just posted information online (and in hardcopy here) about the CS166 final project.
- The quick summary:
 - Work in teams of two or three.
 - Pick a data structure, algorithm, or technique of your choice.
 - Become experts on it. Put together a writeup and presentation on the topic.
 - Do something "interesting" with it. You have broad latitude how to interpret what "interesting" means pick something you're excited about!
- Projects and presentations are due in the last week of class. They're usually a highlight of the quarter for everyone involved!

Project Proposals

- Before working on the project, you'll need to submit a proposal about what you'd like to work on.
- Your proposal should consist of a ranked list of *five* data structures you'd be interested in exploring, along with some preliminary information about each one.
- The proposal is due next *Thursday, May 10th* at 2:30PM.
- We'll do a global matchmaking to assign topics over that weekend.

Problem Sets

- Problem Set Three is due Thursday at 2:30PM.
 - There's plenty of space to ask us questions let us know what we can do to help out!
- Problem Set Four will go out next Tuesday.
 You'll have a little gap between those problem sets.
 - We recommend using this gap to work on or think about your final project proposals.

Back to CS166!

Analyzing Insertions

- Each *enqueue* into a binomial heap takes time O(log *n*), since we have to meld the new node into the rest of the trees.
- However, it turns out that the amortized cost of an insertion is lower in the case where we do a series of *n* insertions.

- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
 - Find the longest span of 1's at the right side of *n*.
 - Flip those 1's to 0's.
 - Set the preceding bit to 1.

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- Do the following:
 - Find the longest span of 1's at the right side of *n*.
 - Flip those 1's to 0's.
 - Set the preceding bit to 1.
- Runtime: $\Theta(b)$, where b is the number of bits flipped.

- *Claim:* Starting at zero, the amortized cost of adding one to the total is O(1).
- *Idea*: Use as a potential function the number of 1's in the number.

$$\Phi = 0 \quad 0 \quad 0 \quad 0 \quad 0$$

- *Claim:* Starting at zero, the amortized cost of adding one to the total is O(1).
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 $\Phi = 1 \quad 0 \quad 0 \quad 0 \quad 1$

Actual cost: 1

 $\Delta\Phi$: +1

Amortized cost: 2

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 $\Phi = 1 \quad 0 \quad 0 \quad 1 \quad 0$

Actual cost: 2

 $\Phi : \Phi \Delta$

Amortized cost: 2

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$$\Phi = 2 \quad 0 \quad 0 \quad 1 \quad 1$$

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ΔФ: 1

Amortized cost: 2

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 $\Phi = 1 \quad 0 \quad 0 \quad 1 \quad 0 \quad 0$

Actual cost: 3

∆Ф: -1

Amortized cost: 2

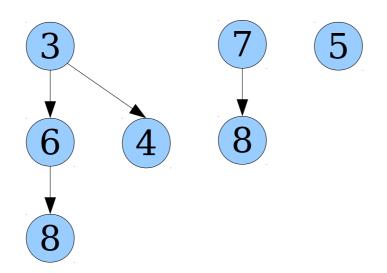
Properties of Binomial Heaps

- Starting with an empty binomial heap, the amortized cost of each insertion into the heap is O(1), assuming there are no deletions.
- *Rationale:* Binomial heap operations are isomorphic to integer arithmetic.
- Since the amortized cost of incrementing a binary counter starting at zero is O(1), the amortized cost of enqueuing into an initially empty binomial heap is O(1).

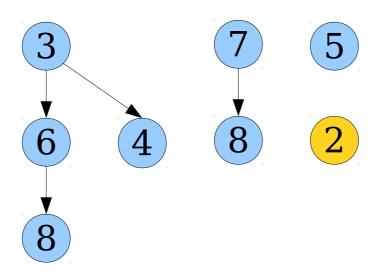
Binomial vs Binary Heaps

- Interesting comparison:
 - The cost of inserting n elements into a binary heap, one after the other, is $\Theta(n \log n)$ in the worst-case.
 - If n is known in advance, a binary heap can be constructed out of n elements in time $\Theta(n)$.
 - The cost of inserting n elements into a binomial heap, one after the other, is $\Theta(n)$, even if n is not known in advance!

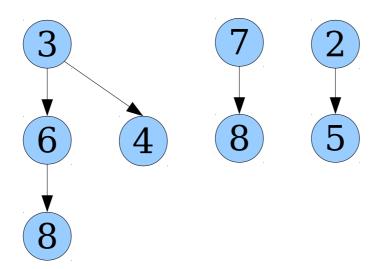
- This amortized time bound does not hold if *enqueue* and *extract-min* are intermixed.
- *Intuition:* Can force expensive insertions to happen repeatedly.



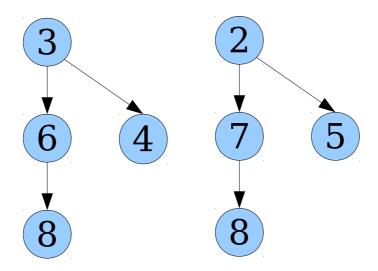
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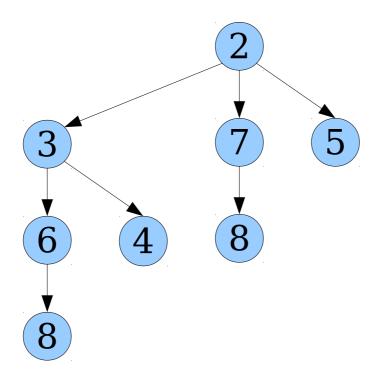
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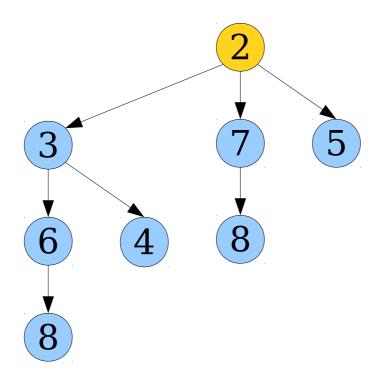
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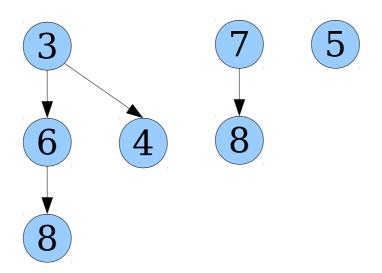
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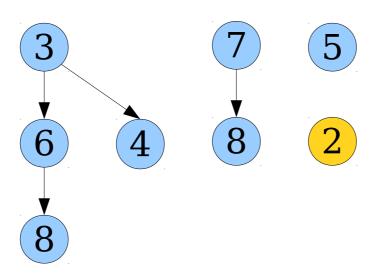
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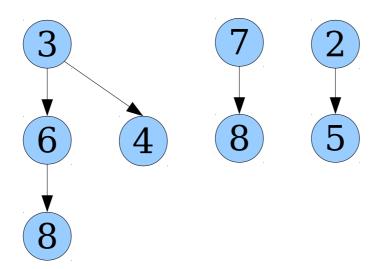
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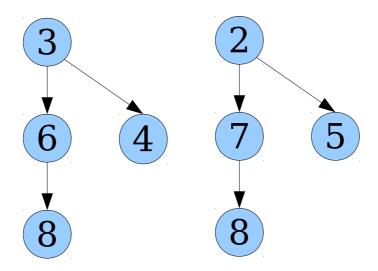
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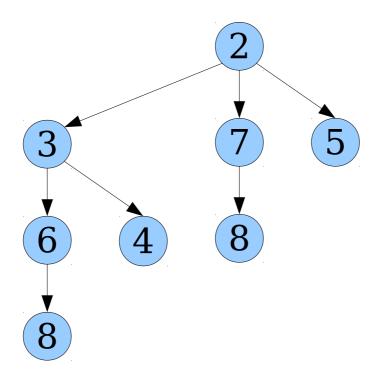
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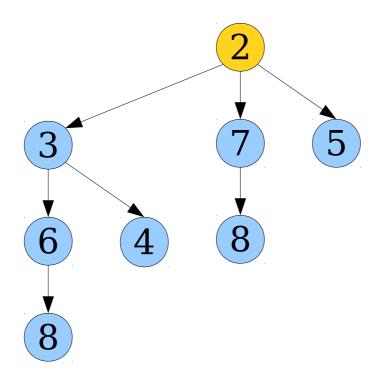
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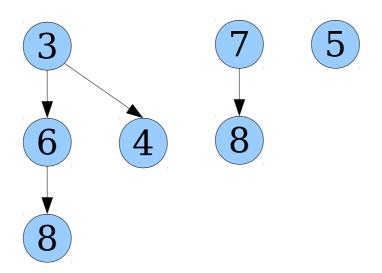
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Question: Can we make insertions amortized O(1), regardless of whether we do deletions?

Where's the Cost?

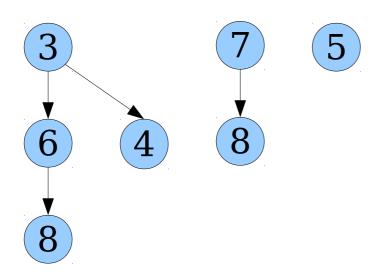
- Why does *enqueue* take time $O(\log n)$?
- *Answer*: May have to combine together O(log *n*) different binomial trees together into a single tree.
- *New Question*: What happens if we don't combine trees together?
- That is, what if we just add a new singleton tree to the list?

Lazy Melding

 More generally, consider the following lazy melding approach:

To meld together two binomial heaps, just combine the two sets of trees together.

• If we assume the trees are stored in doubly-linked lists, this can be done in time O(1).

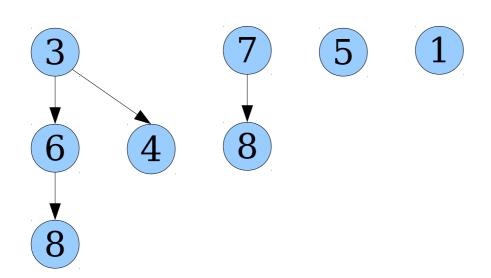


Lazy Melding

 More generally, consider the following lazy melding approach:

To meld together two binomial heaps, just combine the two sets of trees together.

• If we assume the trees are stored in doubly-linked lists, this can be done in time O(1).

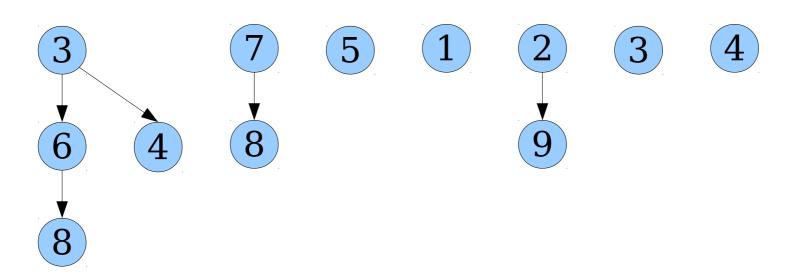


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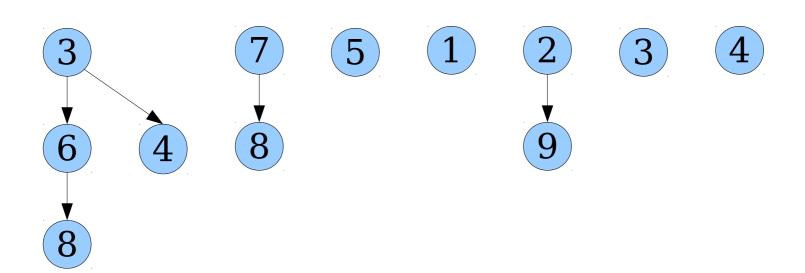
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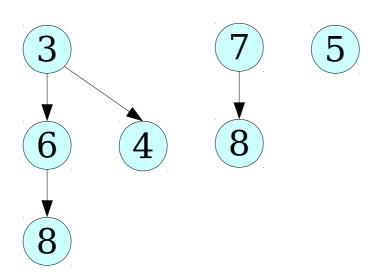
The Catch: Part One

- When we use eager melding, the number of trees is $O(\log n)$.
- Therefore, find-min runs in time $O(\log n)$.
- **Problem:** find-min no longer runs in time $O(\log n)$ because there can be $\Theta(n)$ trees.

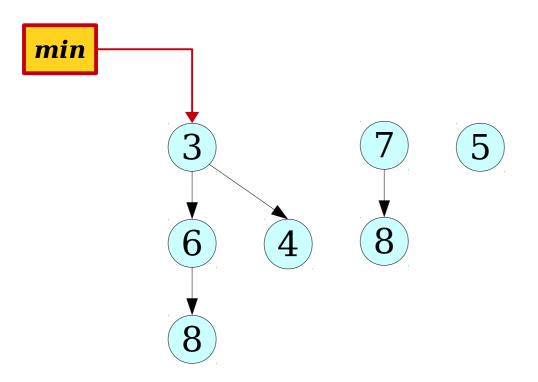


- Have the binomial heap store a pointer to the minimum element.
- Can be updated in time O(1) after doing a meld by comparing the minima of the two heaps.

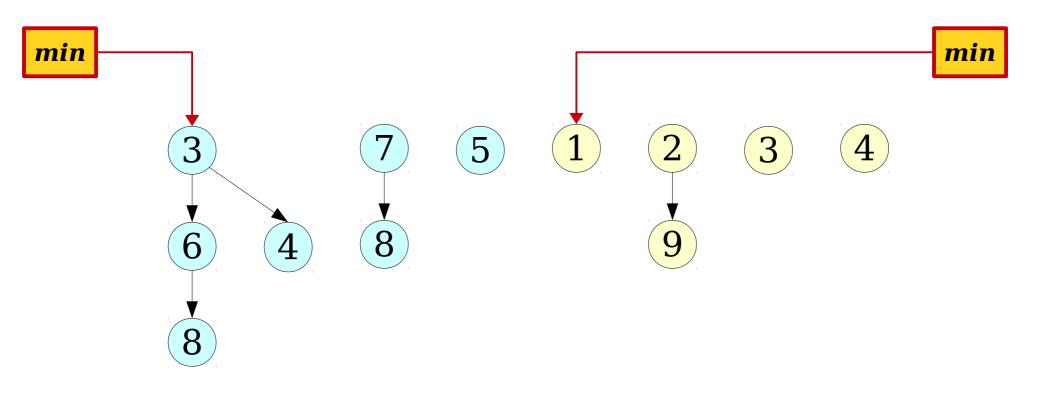
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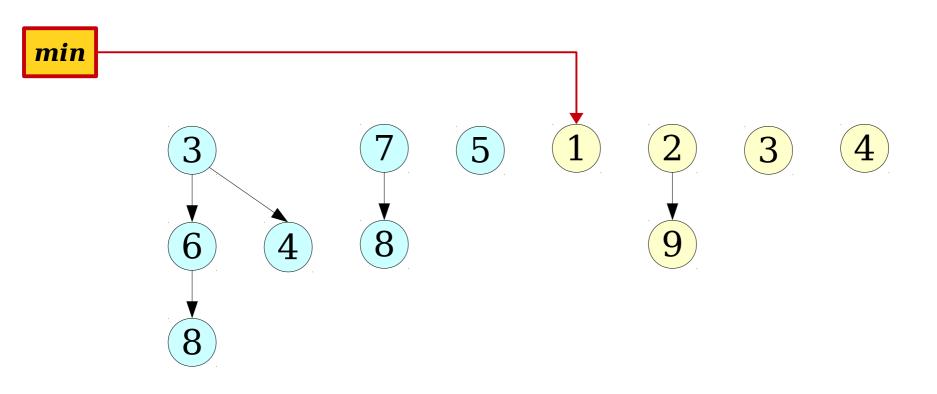


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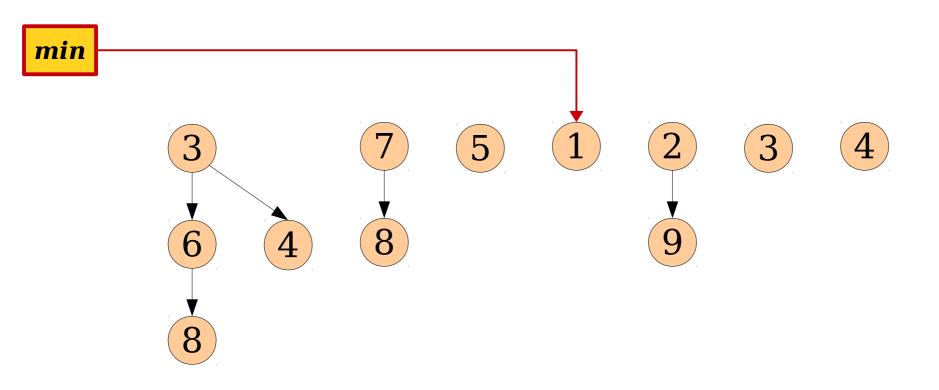
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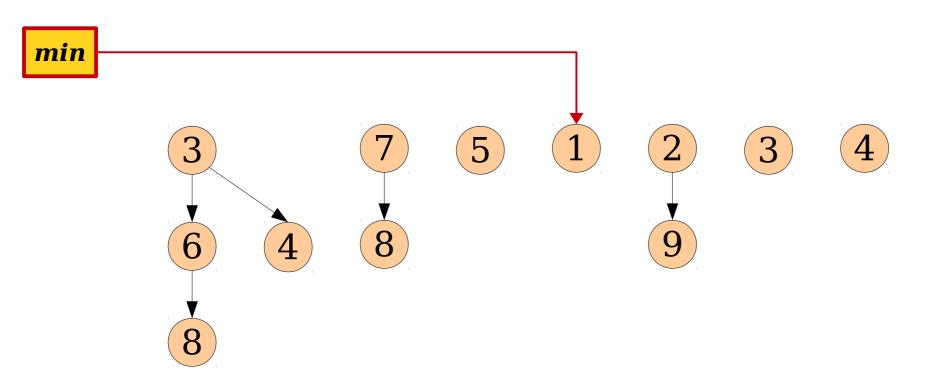


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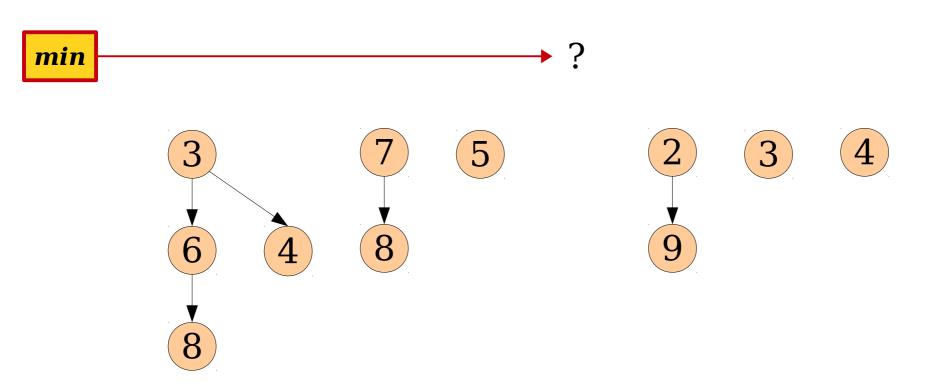
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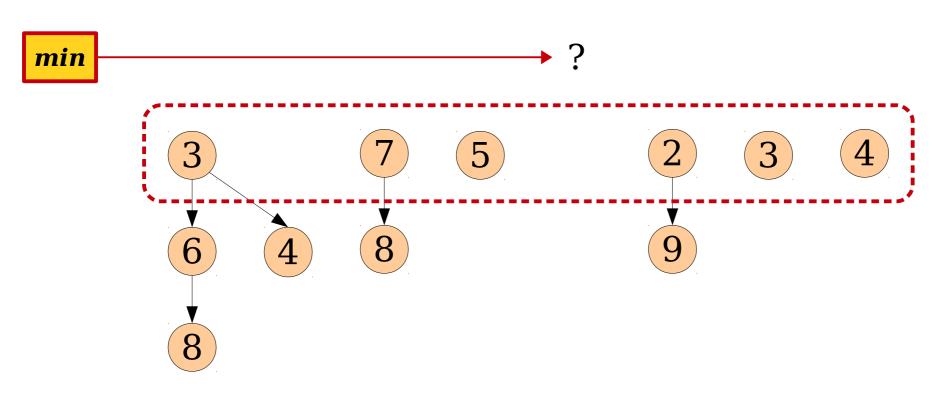
- Even with a pointer to the minimum, deletions might now run in time $\Theta(n)$.
- *Rationale:* Need to update the pointer to the minimum.



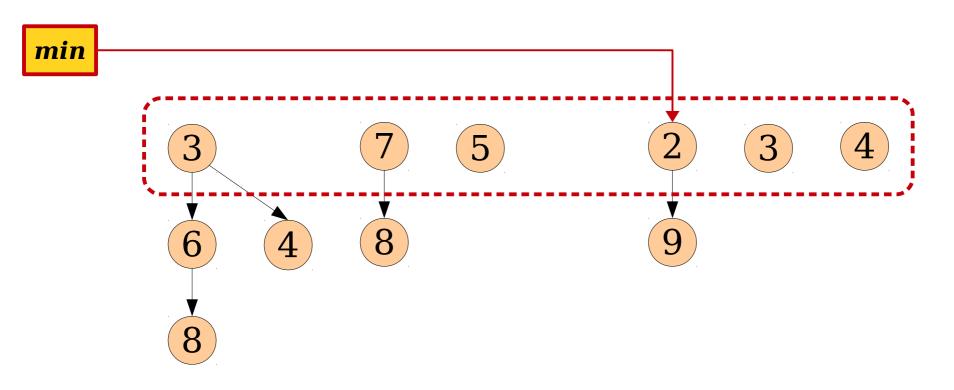
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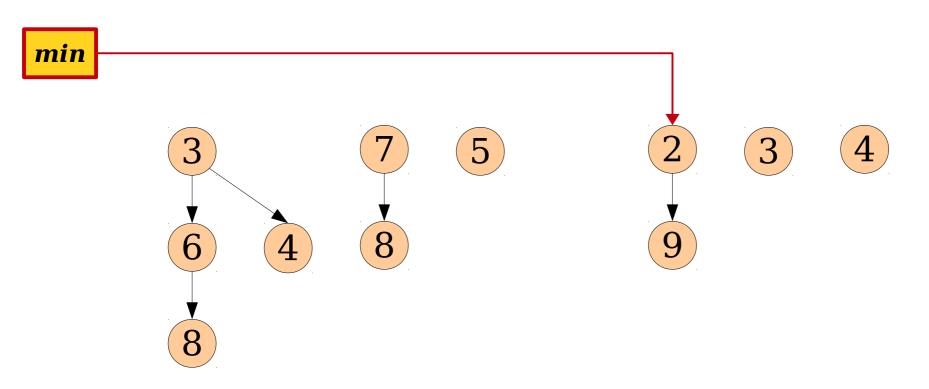
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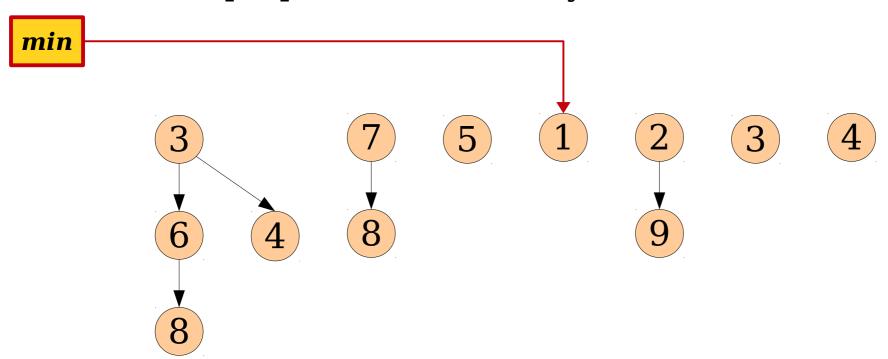
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Resolving the Issue

- *Idea*: When doing an *extract-min*, coalesce all of the trees so that there's at most one tree of each order.
- Intuitively:
 - The number of trees in a heap grows slowly (only during an insert or meld).
 - The number of trees in a heap drops rapidly after coalescing (down to $O(\log n)$).
 - Can backcharge the work done during an *extract-min* to *enqueue* or *meld*.

- Our eager melding algorithm assumes that
 - there is either zero or one tree of each order, and that
 - the trees are stored in ascending order.
- *Challenge:* When coalescing trees in this case, neither of these properties necessarily hold.



• Let's turn back to arithmetic to get an intuition for how to solve this problem.

4 2 8 2 1 1 1

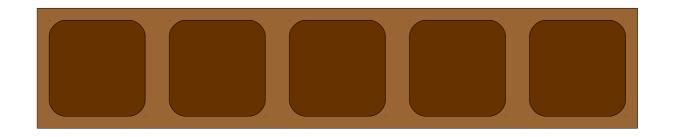
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Sum: **19**

Bits Needed: 5

4 2 8 2 1 1 1 1

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 $egin{bmatrix} \mathbf{4} & \mathbf{2} & \mathbf{8} & \mathbf{2} & \mathbf{1} & \mathbf{1} \end{pmatrix} \begin{bmatrix} \mathbf{1} & \mathbf{1} & \mathbf{1} \end{bmatrix}$



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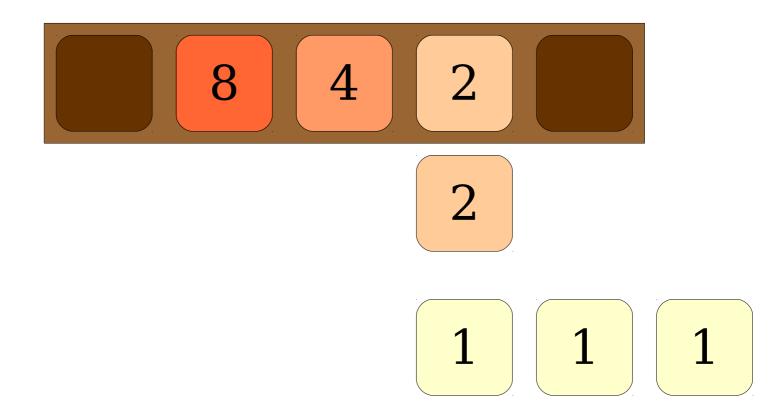


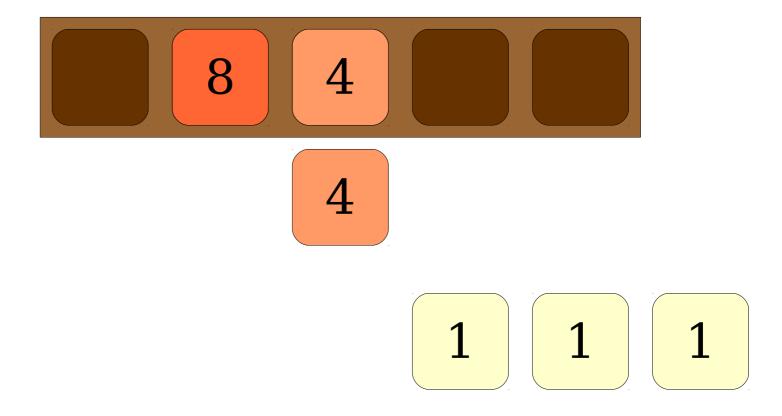
8 2 1 1 1 1

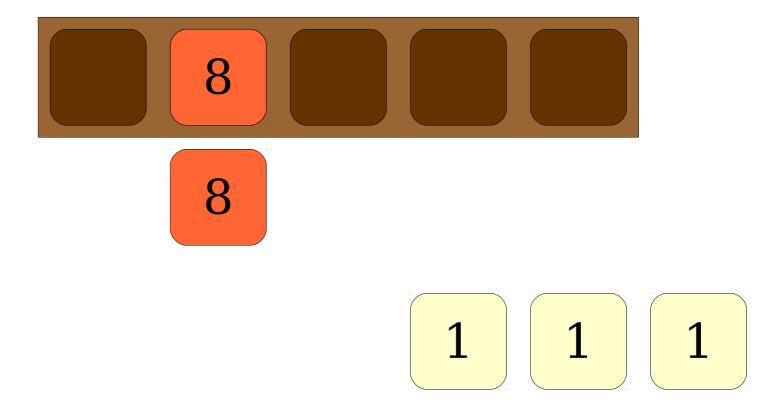
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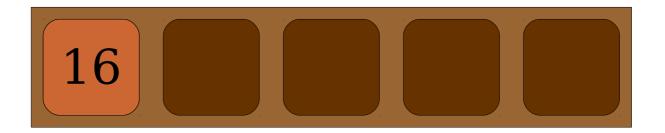


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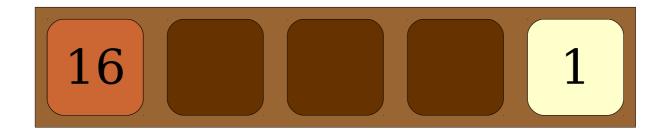






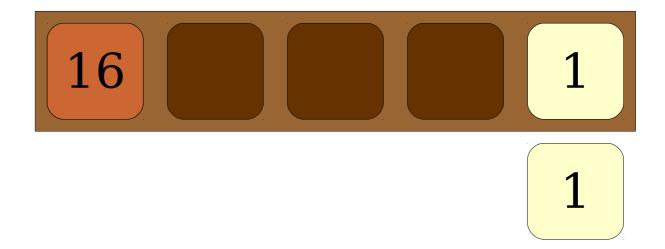


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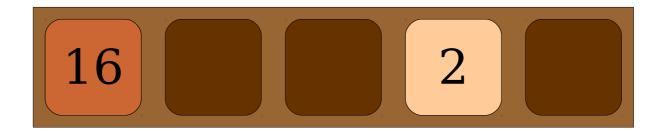


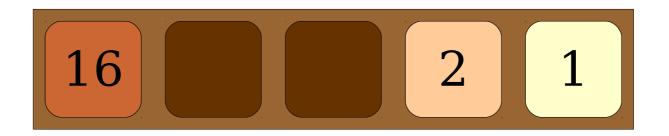
1 1

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1





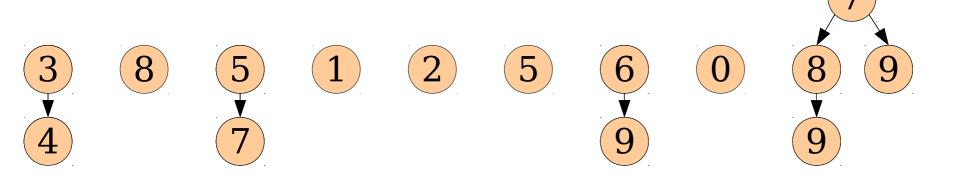
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16

- Compute the number of bits necessary to hold the sum.
 - Only $O(\log n)$ bits are needed.
- Create an array of that size, initially empty.
- For each packet:
 - If there is no packet of that size, place the packet in the array at that spot.
 - If there is a packet of that size:
 - Fuse the two packets together.
 - Recursively add the new packet back into the array.

Now With Trees!

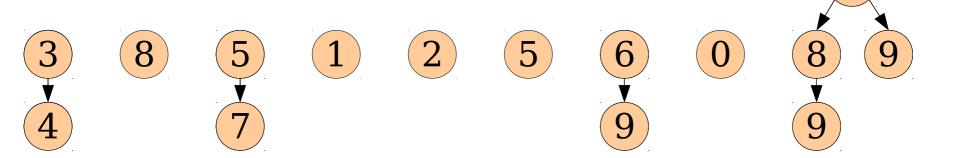
- Compute the number of *trees* necessary to hold the *nodes*.
 - Only $O(\log n)$ *trees* are needed.
- Create an array of that size, initially empty.
- For each *tree*:
 - If there is no *tree* of that size, place the *tree* in the array at that spot.
 - If there is a *tree* of that size:
 - Fuse the two *trees* together.
 - Recursively add the new *tree* back into the array.



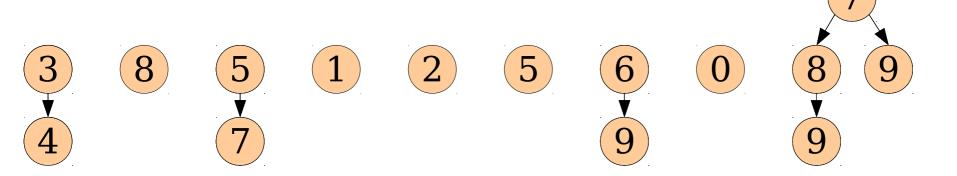
Total number of nodes: 15

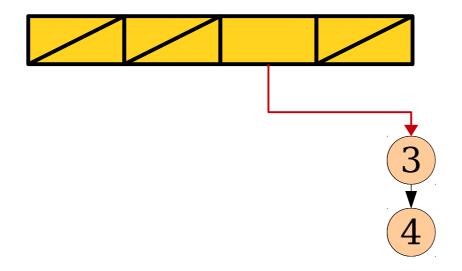
(Can compute in time $\Theta(T)$, where T is the number of trees, if each tree is tagged with its order)

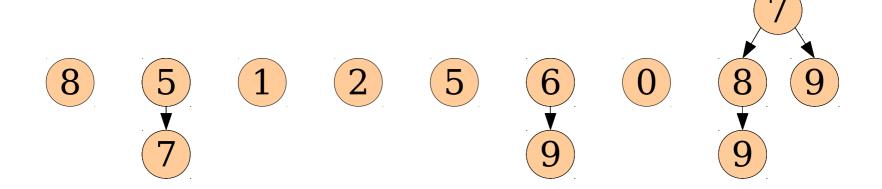
Bits needed: 4

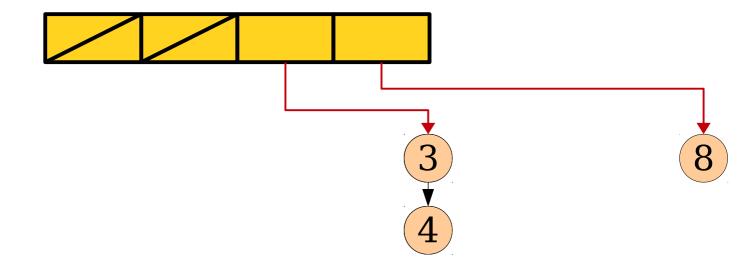


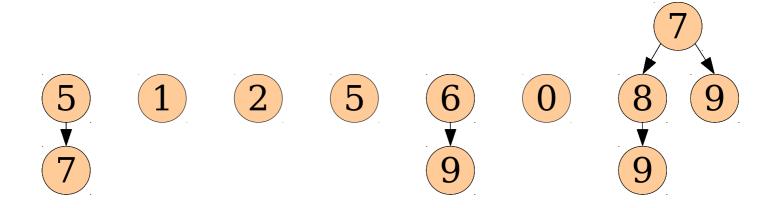


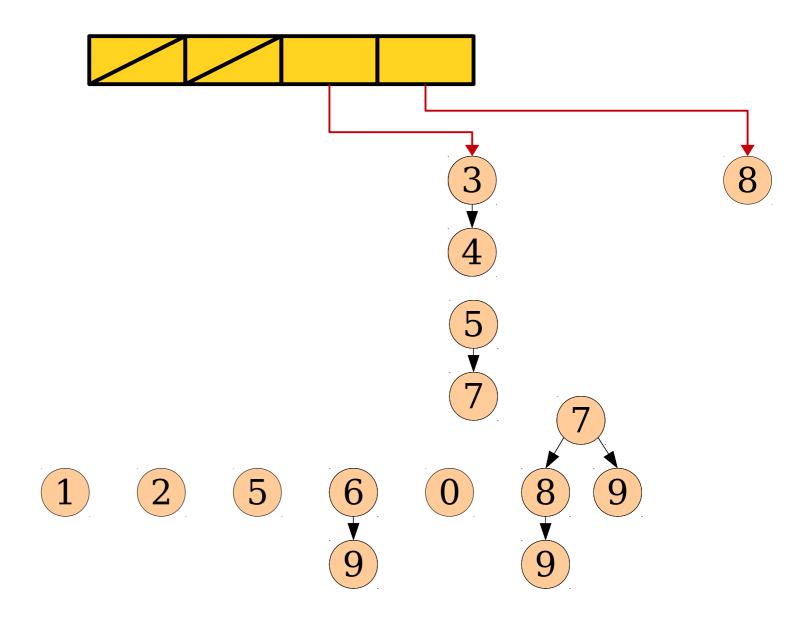


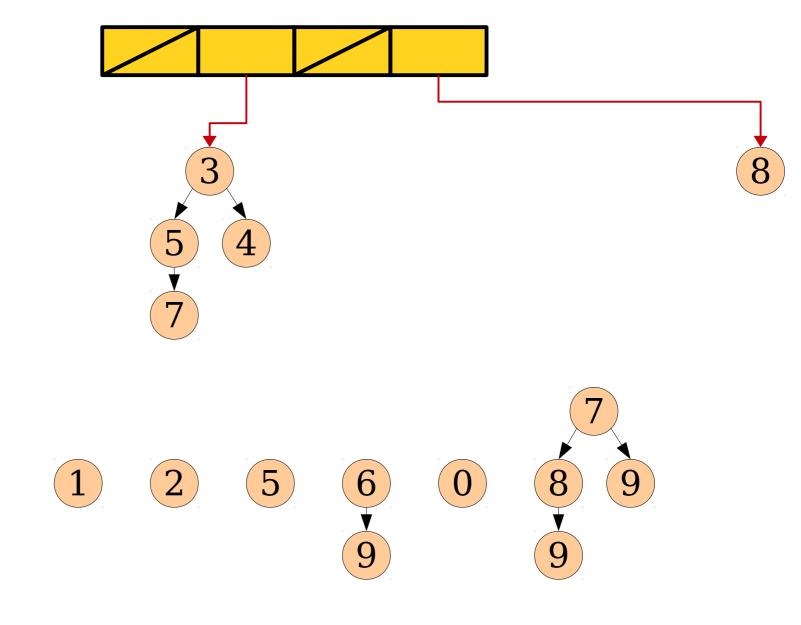


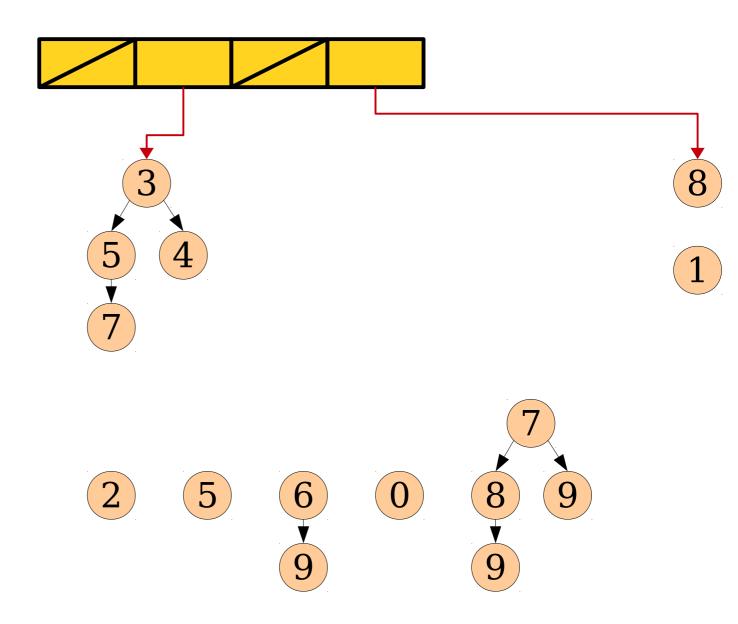


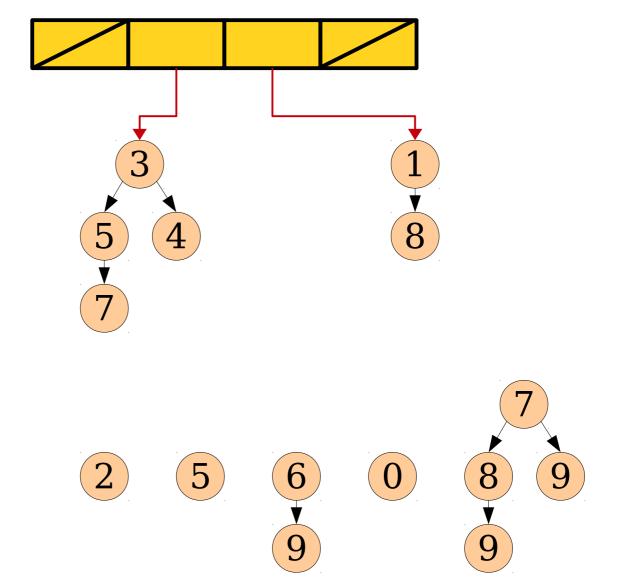


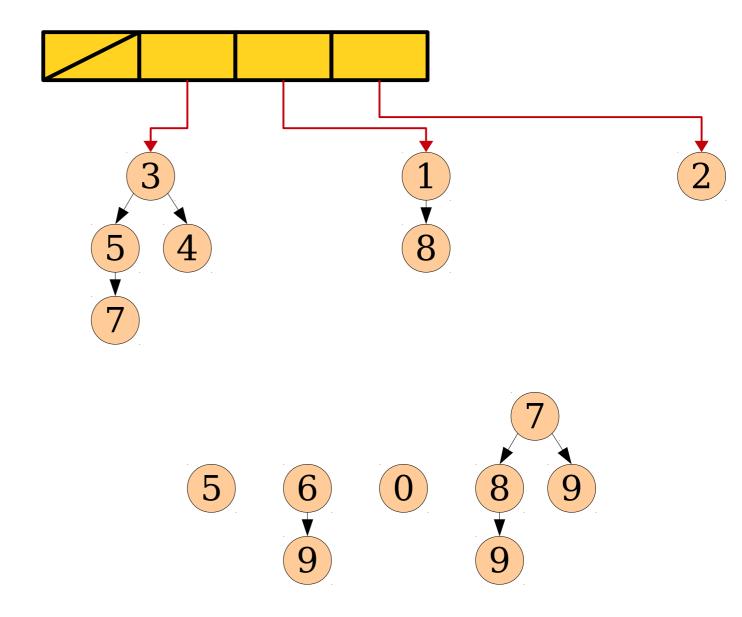


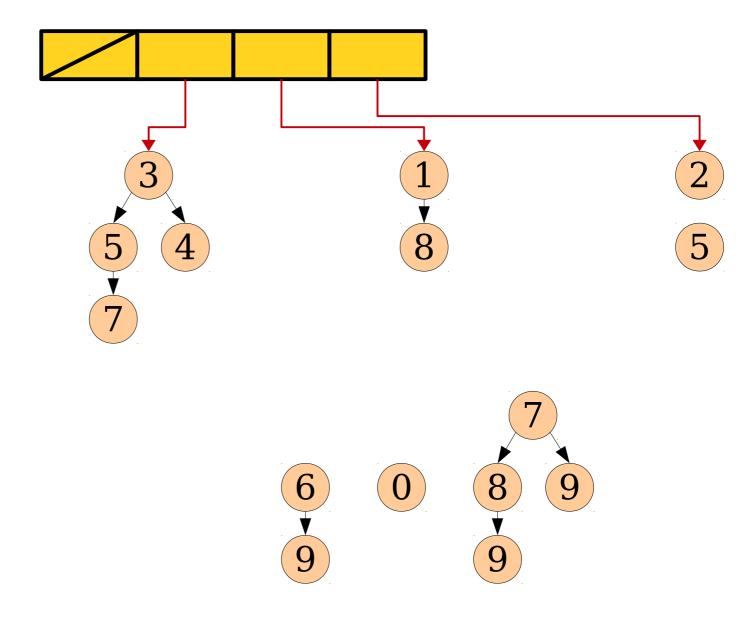


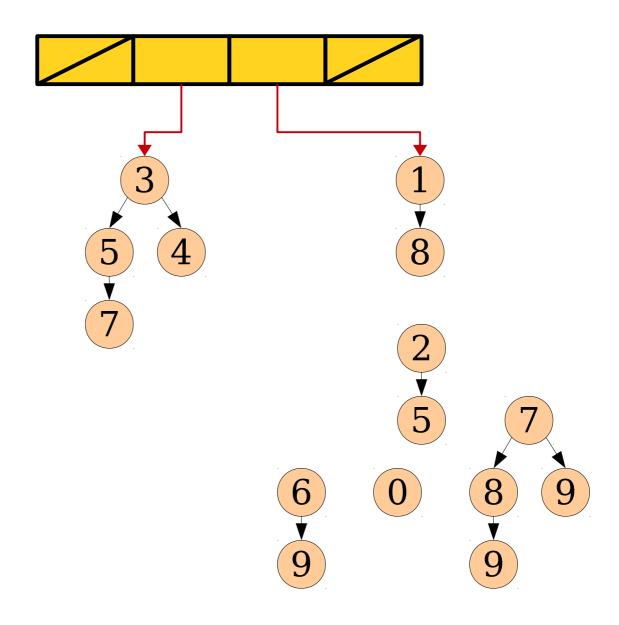


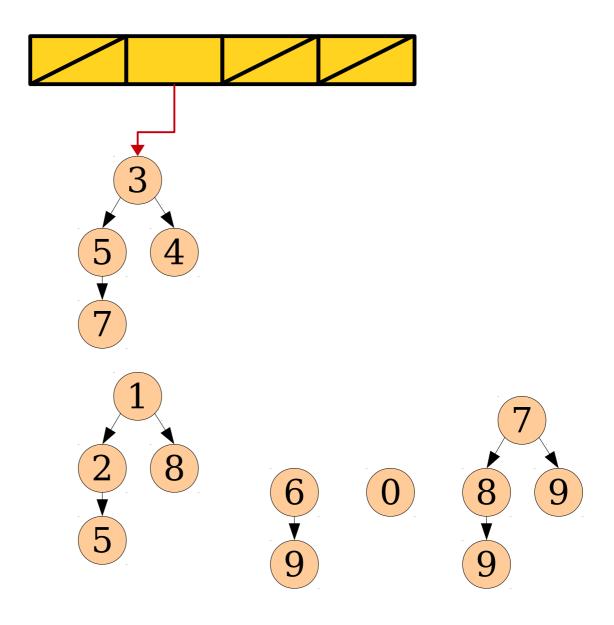


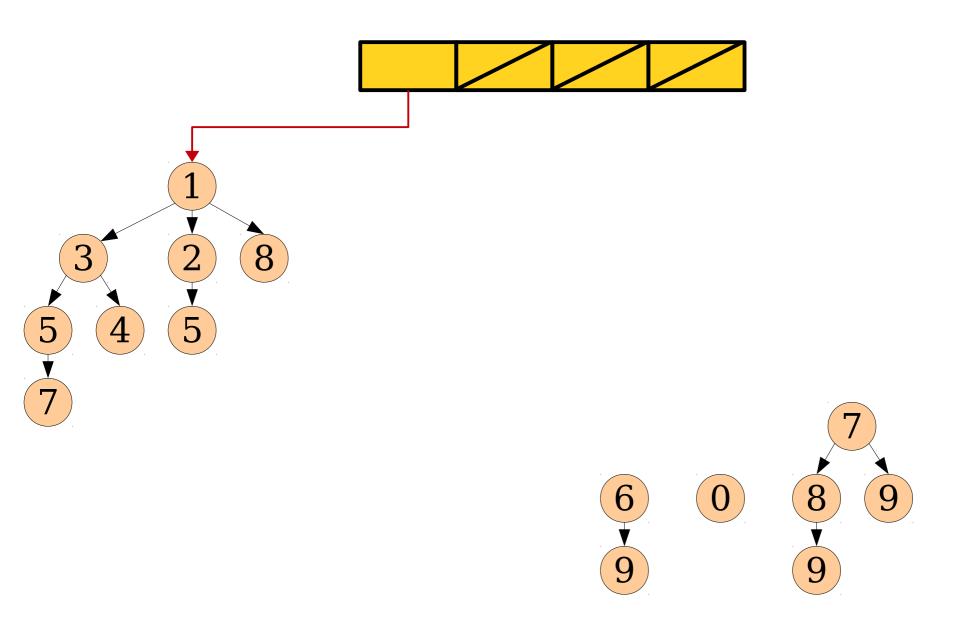


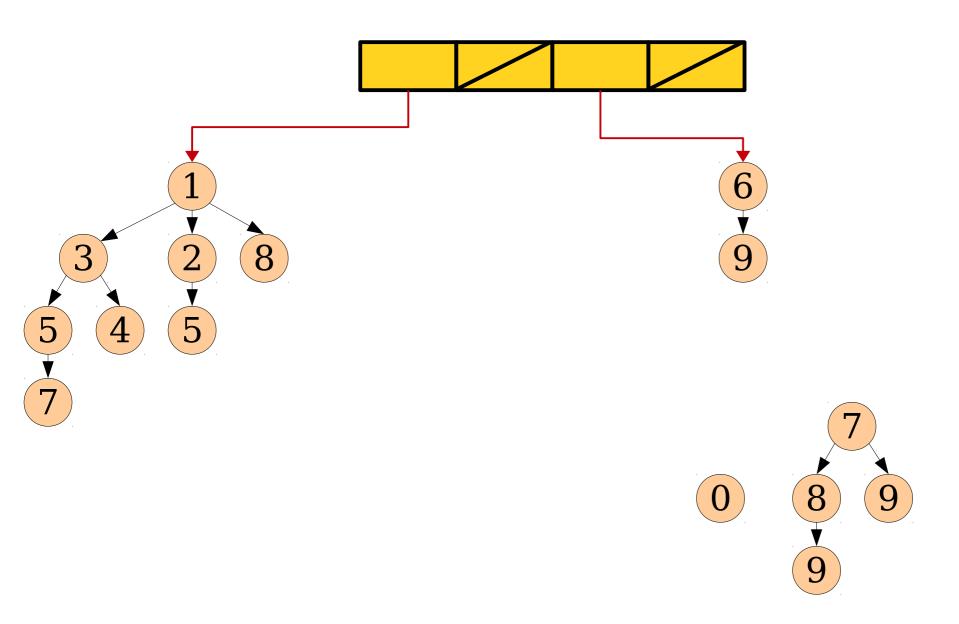


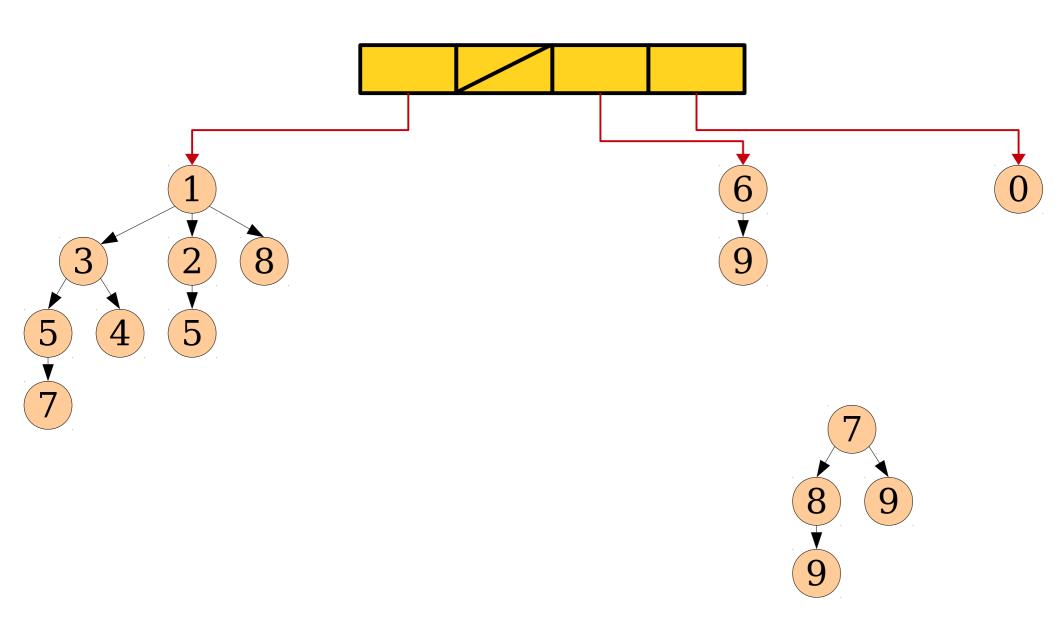


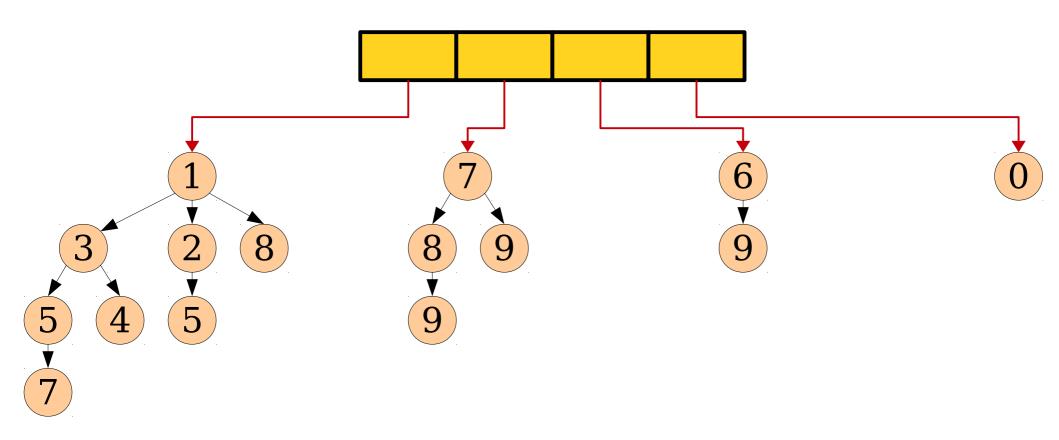












Analyzing Coalesce

- Suppose there are *T* trees.
- We spend $\Theta(T)$ work iterating across the main list of trees twice:
 - Pass one: Count up number of nodes (if each tree stores its order, this takes time $\Theta(T)$).
 - Pass two: Place each node into the array.
- Each merge takes time O(1).
- The number of merges is O(T).
- Total work done: $\Theta(T)$.
- In the worst case, this is O(n).

The Story So Far

- A binomial heap with lazy melding has these worst-case time bounds:
 - **enqueue**: O(1)
 - **meld**: O(1)
 - **find-min**: O(1)
 - extract-min: O(n).
- These are *worst-case* time bounds. What about an *amortized* time bounds?

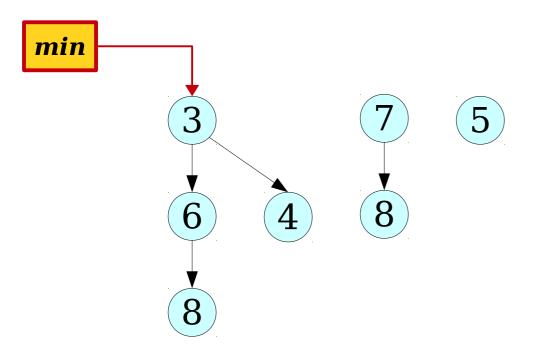
An Observation

- The expensive step here is *extract-min*, which runs in time proportional to the number of trees.
- Each tree can be traced back to one of three sources:
 - An enqueue.
 - A *meld* with another heap.
 - A tree exposed by an *extract-min*.
- Let's use an amortized analysis to shift the blame for the *extract-min* performance to other operations.

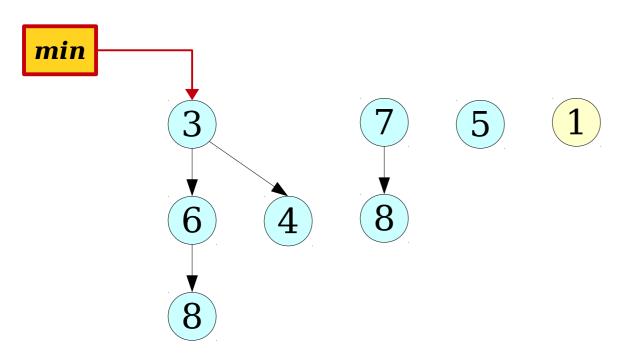
The Potential Method

- We will use the potential method in this analysis.
- When analyzing insertions with eager merges, we set $\Phi(D)$ to be the number of trees in D.
- Let's see what happens if we use this Φ here.

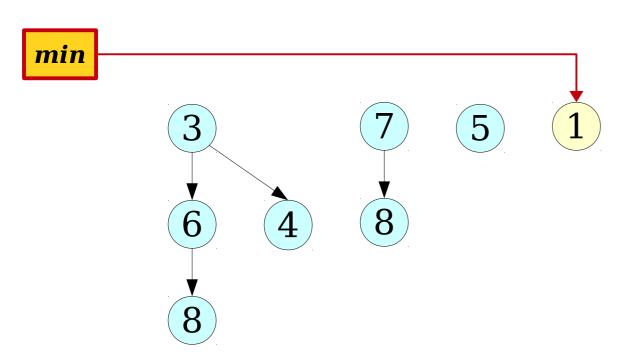
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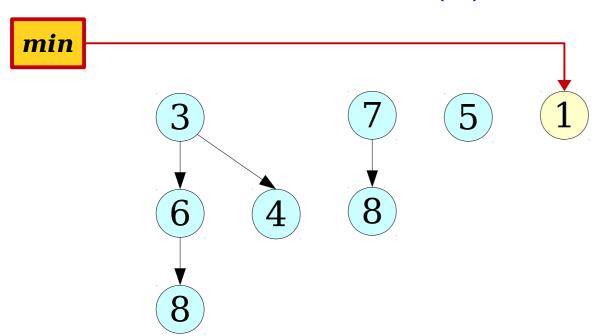
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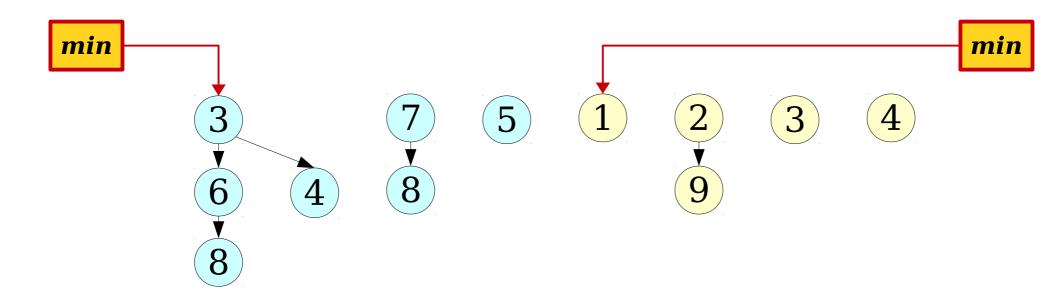
• To *enqueue* a key, we add a new binomial tree to the forest and possibly update the *min* pointer.

Actual time: O(1). $\Delta\Phi$: +1

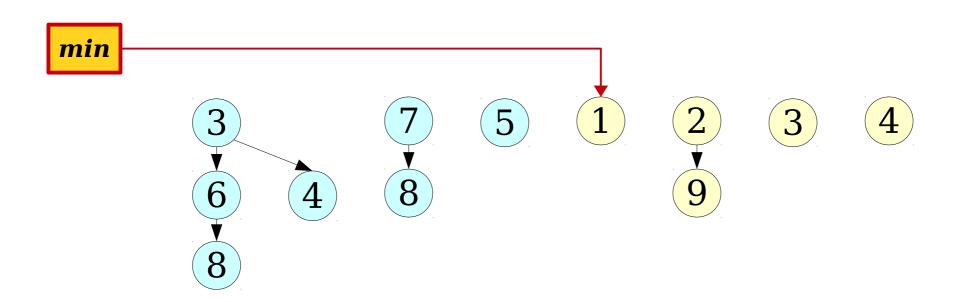
Amortized cost: O(1).



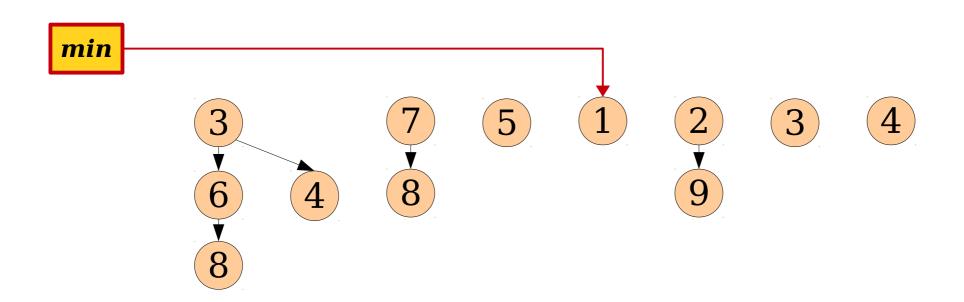
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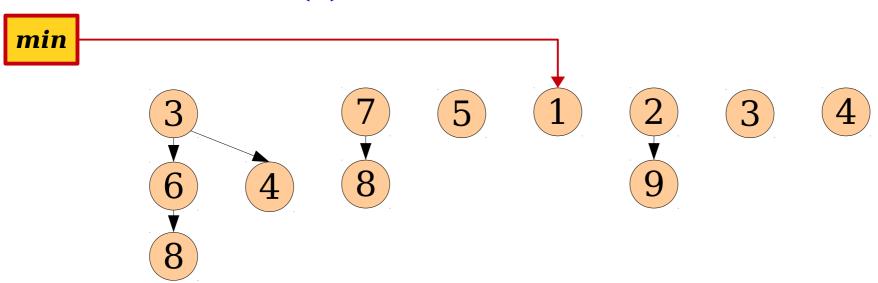
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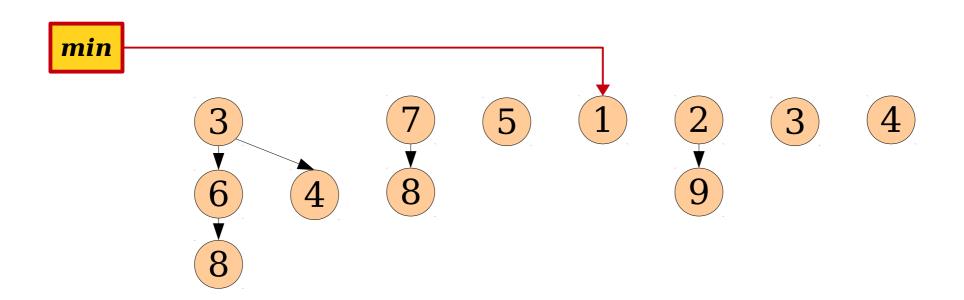


- Suppose that we *meld* two lazy binomial heaps B_1 and B_2 . Actual cost: O(1).
- Let Φ_{B_1} and Φ_{B_2} be the initial potentials of B_1 and B_2 .
- The new heap B has potential $\Phi_{B_1} + \Phi_{B_2}$ and B_1 and B_2 have potential 0.
- $\Delta\Phi$ is zero.
- Amortized cost: **O(1)**.



Analyzing a Find-Min

- Each *find-min* does O(1) work and does not add or remove trees.
- Amortized cost: O(1).



Analyzing Extract-Min

- Suppose we perform an extract-min on a binomial heap with T trees in it.
- Initially, we expose the children of the minimum element. This increases the number of trees to $T + O(\log n)$.
- The runtime for coalescing these trees is $O(T + \log n)$.
- When we're done merging, there will be $O(\log n)$ trees remaining, so $\Delta \Phi = -T + O(\log n)$.
- Amortized cost is

$$\Theta(T + \log n) + O(1) \cdot (-T + O(\log n))$$

$$= \Theta(T) - O(1) \cdot T + O(1) \cdot O(\log n)$$

$$= O(\log n).$$

The Overall Analysis

- The *amortized* costs of the operations on a lazy binomial heap are as follows:
 - **enqueue**: O(1)
 - **meld**: O(1)
 - **find-min**: O(1)
 - extract-min: O(log n)
- Any series of e enqueues mixed with d extract-mins will take time $O(e + d \log e)$.

Why This Matters

- Lazy binomial heaps are a powerful building block used in many other data structures.
- We'll see one of them, the *Fibonacci* heap, when we come back on Thursday.
- You'll see another (supporting add-toall) on the problem set.

Next Time

- The Need for decrease-key
 - A powerful and versatile operation on priority queues.
- Fibonacci Heaps
 - A variation on lazy binomial heaps with efficient decrease-key.
- Implementing Fibonacci Heaps
 - ... is harder than it looks!