



# Discriminative Analysis Dictionary Learning

Jun Guo<sup>1\*</sup>, Yanqing Guo<sup>1</sup>, Xiangwei Kong<sup>1</sup>, Man Zhang<sup>2</sup>, and Ran He<sup>2</sup>

1: Dalian University of Technology, 2: National Laboratory of Pattern Recognition

Email: guojun@mail.dlut.edu.cn

## Introduction

- Two major branches of Dictionary Learning (DL):
  - Synthesis DL: 
$$\min_{\mathbf{D}, \mathbf{X}} \sum_{i=1}^n \text{dist}(\mathbf{y}_i, \mathbf{D}\mathbf{x}_i)$$
 s.t.  $\mathbf{D} \in \mathcal{D}, \|\mathbf{x}_i\|_0 \leq T_0, i = 1, 2, \dots, n$
  - Analysis DL: 
$$\min_{\mathbf{\Omega}, \mathbf{X}} \sum_{i=1}^n \text{dist}(\mathbf{x}_i, \mathbf{\Omega}\mathbf{y}_i)$$
 s.t.  $\mathbf{\Omega} \in \mathcal{W}, \|\mathbf{x}_i\|_0 \leq T_0, i = 1, 2, \dots, n$
- Dictionary Learning (DL) in pattern classification:
  - Synthesis DL: 
$$\min_{\mathbf{D}, \mathbf{X}} \sum_{i=1}^n \text{dist}(\mathbf{y}_i, \mathbf{D}\mathbf{x}_i) + \lambda \mathcal{F}(\mathbf{D}, \mathbf{X}, \text{label}(\mathbf{Y}), \text{structure}(\mathbf{Y}))$$
 s.t.  $\mathbf{D} \in \mathcal{D}, \|\mathbf{x}_i\|_0 \leq T_0, i = 1, 2, \dots, n$
  - Analysis DL: There are few works. Our paper focuses on this.

## Motivation

- Goal: Improve the classification performance of Analysis DL.
  - Analysis DL + a simple classifier (i.e.  $k$ NN)
  - In the coding space:
    - same-label neighbors are orderly preserved
    - neighbors with different labels are repelled
- Approach: **Discriminative Analysis Dictionary Learning (DADL)**
  - Integrate two significant characters into Analysis DL
    - ① strengthen discriminability
    - ② preserve local topology structure
  - Better control outliers and noise for classification
    - ③ employ Correntropy Induced Metric (CIM) instead of Mean Square Error (MSE)

## The Proposed Method

- ① Strengthen discriminability
  - Integrate a code consistent term: 
$$\min_{\mathbf{\Omega}, \mathbf{X}} \sum_{i=1}^n \text{dist}(\mathbf{x}_i, \mathbf{\Omega}\mathbf{y}_i) + \lambda_1 \sum_{i=1}^n \text{dist}(\mathbf{x}_i, \mathbf{h}_i)$$
 s.t.  $\mathbf{\Omega} \in \mathcal{W}, \|\mathbf{x}_i\|_0 \leq T_0, i = 1, 2, \dots, n$
  - Generate target codes (e.g., Hadamard code).
- ② Preserve local topology structure
  - Definition 1: A coding process is called **local topology preserving** when the following condition holds: if  $\text{dist}(\mathbf{y}_i, \mathbf{y}_u) \leq \text{dist}(\mathbf{y}_i, \mathbf{y}_v)$ , then  $\text{dist}(\mathbf{x}_i, \mathbf{x}_u) \leq \text{dist}(\mathbf{x}_i, \mathbf{x}_v)$ .
  - Therefore, determining appropriate  $\{\mathbf{x}_u, \mathbf{x}_v\}$  for  $\mathbf{x}_i$ :
 
$$\max_{\mathbf{x}_u, \mathbf{x}_v} \mathbf{A}_i(u, v) [\text{dist}(\mathbf{x}_i, \mathbf{x}_u) - \text{dist}(\mathbf{x}_i, \mathbf{x}_v)]$$
    - $\mathbf{A}_i$  is antisymmetric with  $\mathbf{A}_i(u, v) = \text{dist}(\mathbf{y}_i, \mathbf{y}_u) - \text{dist}(\mathbf{y}_i, \mathbf{y}_v)$ .
    - However, this loss is an unsupervised type, neglecting labels.
  - Considering each sample's label:
 
$$\mathbf{A}'_i(u, v) \triangleq \begin{cases} -\mathbf{A}_i(u, v) \text{ sign}[\mathbf{A}_i(u, v)] & , \text{label}(\mathbf{y}_i) = \text{label}(\mathbf{y}_u) \neq \text{label}(\mathbf{y}_v) \\ \mathbf{A}_i(u, v) \text{ sign}[\mathbf{A}_i(u, v)] & , \text{label}(\mathbf{y}_i) = \text{label}(\mathbf{y}_v) \neq \text{label}(\mathbf{y}_u) \\ \mathbf{A}_i(u, v) & , \text{otherwise} \end{cases}$$
  - Replace  $\mathbf{A}_i$  with  $\mathbf{A}'_i$  that is also antisymmetric:
 
$$\max_{\mathbf{x}_u, \mathbf{x}_v} \mathbf{A}'_i(u, v) [\text{dist}(\mathbf{x}_i, \mathbf{x}_u) - \text{dist}(\mathbf{x}_i, \mathbf{x}_v)]$$
  - So that in the coding space:
    - same-label neighbors are orderly preserved
    - neighbors with different labels are repelled
  - Then, we obtain a supervised loss function:
 
$$\max_{\mathbf{X}} \sum_{i=1}^n \sum_{u=1}^n \sum_{v=1}^n \mathbf{A}'_i(u, v) [\text{dist}(\mathbf{x}_i, \mathbf{x}_u) - \text{dist}(\mathbf{x}_i, \mathbf{x}_v)].$$
  - Reformulate: (please refer to Proposition 1 in our paper)
 
$$\min_{\mathbf{X}} \sum_{i=1}^n \sum_{j=1}^n \mathbf{W}_{ij} \text{dist}(\mathbf{x}_i, \mathbf{x}_j), \text{ where } \mathbf{W}_{ij} = \sum_{u=1}^n \mathbf{A}'_i(u, j).$$

➤to simultaneously preserve neighborhood ranking information as well as neighborhood relationship:

$$\mathbf{W}_{ij} = \begin{cases} \sum_{\mathbf{y}_u \in \mathcal{N}_i} \mathbf{A}'_i(u, j) & , \mathbf{y}_j \in \mathcal{N}_i \\ 0 & , \text{otherwise} \end{cases} \quad (\mathcal{N}_i \text{ is a set containing the } k \text{ nearest neighbors of } \mathbf{y}_i)$$

➤to directly learn the analysis dictionary :  $\min_{\mathbf{\Omega}} \sum_{i=1}^n \sum_{j=1}^n \mathbf{W}_{ij} \text{dist}(\mathbf{\Omega}\mathbf{y}_i, \mathbf{\Omega}\mathbf{y}_j)$

- ③ Employ CIM instead of MSE
  - Correntropy Induced Metric (CIM):  $\text{dist}(\mathbf{u}, \mathbf{v}) = [1 - \exp(-\|\mathbf{u} - \mathbf{v}\|_2^2 / \sigma^2)]^{1/2}$ 
    - more robust to outliers and noise
  - Final objective function:
 
$$\min_{\mathbf{\Omega}, \mathbf{X}} J = J_0 + \lambda_1 J_1 + \lambda_2 J_2 \quad \text{s.t.} \quad \mathbf{\Omega} \in \mathcal{W}, \|\mathbf{x}_i\|_0 \leq T_0, \forall i$$

$$\begin{cases} J_0 = \sum_{i=1}^n \left\{ 1 - \exp\left(-\frac{\|\mathbf{x}_i - \mathbf{\Omega}\mathbf{y}_i\|_2^2}{\sigma^2}\right) \right\} \\ J_1 = \sum_{i=1}^n \left\{ 1 - \exp\left(-\frac{\|\mathbf{x}_i - \mathbf{h}_i\|_2^2}{\sigma^2}\right) \right\} \\ J_2 = \frac{1}{2} \sum_{i=1}^n \sum_{j=1}^n \left\{ \mathbf{W}_{ij} \left[ 1 - \exp\left(-\frac{\|\mathbf{\Omega}\mathbf{y}_i - \mathbf{\Omega}\mathbf{y}_j\|_2^2}{\sigma^2}\right) \right] \right\} \end{cases}$$

## Optimization

- Half-quadratic (HQ) technique
  - For a fixed  $z$ , there exists a dual potential function  $\varphi(\cdot)$ , such that:
 
$$1 - \exp\left(-\frac{z^2}{\sigma^2}\right) = \inf_{p \in \mathbb{R}} \{pz^2 + \varphi(p)\}.$$
    - The infimum can be reached at  $p = \exp\left(-\frac{z^2}{\sigma^2}\right)$ .
  - Therefore, the **augmented function** of our objective function based on the half-quadratic (HQ) technique:
 
$$\min_{\mathbf{\Omega}, \mathbf{X}, \mathbf{P}, \mathbf{Q}, \mathbf{R}} \hat{J} = \hat{J}_0 + \lambda_1 \hat{J}_1 + \lambda_2 \hat{J}_2 \quad \text{s.t.} \quad \mathbf{\Omega} \in \mathcal{W}, \|\mathbf{x}_i\|_0 \leq T_0, \forall i$$

$$\begin{cases} \hat{J}_0 = \sum_{i=1}^n \left\{ \mathbf{P}_{ii} \frac{\|\mathbf{x}_i - \mathbf{\Omega}\mathbf{y}_i\|_2^2}{\sigma^2} + \phi_i(\mathbf{P}_{ii}) \right\} \\ \hat{J}_1 = \sum_{i=1}^n \left\{ \mathbf{Q}_{ii} \frac{\|\mathbf{x}_i - \mathbf{h}_i\|_2^2}{\sigma^2} + \varphi_i(\mathbf{Q}_{ii}) \right\} \\ \hat{J}_2 = \frac{1}{2} \sum_{i=1}^n \sum_{j=1}^n \left\{ \mathbf{W}_{ij} \mathbf{R}_{ij} \frac{\|\mathbf{\Omega}\mathbf{y}_i - \mathbf{\Omega}\mathbf{y}_j\|_2^2}{\sigma^2} + \mathbf{W}_{ij} \psi_{ij}(\mathbf{R}_{ij}) \right\} \end{cases}$$
- Optimize the augmented function: (please refer to our paper)
  - Update the analysis dictionary and sparse codes.
  - Update auxiliary variables introduced by HQ.
  - Alternatively minimized until convergence.

## Experiments

- Comparing Algorithms
    - the baseline Analysis DL + SVM [ICIP 2014]
    - the classical SRC [PAMI 2009] and CRC [ICCV 2011]
    - other famous DL methods: DLSI [CVPR 2010], FDDL [ICCV 2011], LC-KSVD [PAMI 2013], DPL [NIPS 2014]
  - Results
    - Analysis
      - Our proposed DADL method achieves **higher accuracies** than other dictionary learning methods.
- |             | YaleB       | AR          | Caltech 101 | Scene 15    | UCF 50      |
|-------------|-------------|-------------|-------------|-------------|-------------|
| ADL+SVM     | 95.4        | 96.1        | 64.5        | 90.1        | 72.3        |
| SRC         | 96.5        | 97.5        | 70.7        | 91.8        | 75.0        |
| CRC         | 97.0        | 98.0        | 68.2        | 92.0        | 75.6        |
| DLSI        | 97.0        | 97.5        | 73.1        | 91.7        | 75.4        |
| FDDL        | 96.7        | 97.5        | 73.2        | 92.3        | 76.5        |
| LC-KSVD     | 96.7        | 97.8        | 73.6        | 92.9        | 70.1        |
| DPL         | 97.5        | 98.3        | 73.9        | 97.7        | 77.4        |
| <b>DADL</b> | <b>97.7</b> | <b>98.7</b> | <b>74.6</b> | <b>98.3</b> | <b>78.0</b> |
- 
- |      | YaleB | AR    | Caltech 101 | Scene 15 | UCF 50 |
|------|-------|-------|-------------|----------|--------|
| DPL  | 5.92  | 15.21 | 180.54      | 56.84    | 652.03 |
| DADL | 4.23  | 11.16 | 121.47      | 36.52    | 330.23 |
- 
- |      | YaleB | AR   | Caltech 101 | Scene 15 | UCF 50 |
|------|-------|------|-------------|----------|--------|
| DPL  | 0.19  | 0.42 | 1.45        | 1.36     | 1.62   |
| DADL | 0.16  | 0.39 | 1.39        | 1.31     | 1.48   |
- 
- DPL outperforms state-of-the-art DL methods in terms of running time. Our proposed DADL method runs **faster** than DPL.

