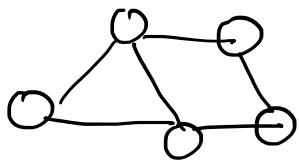
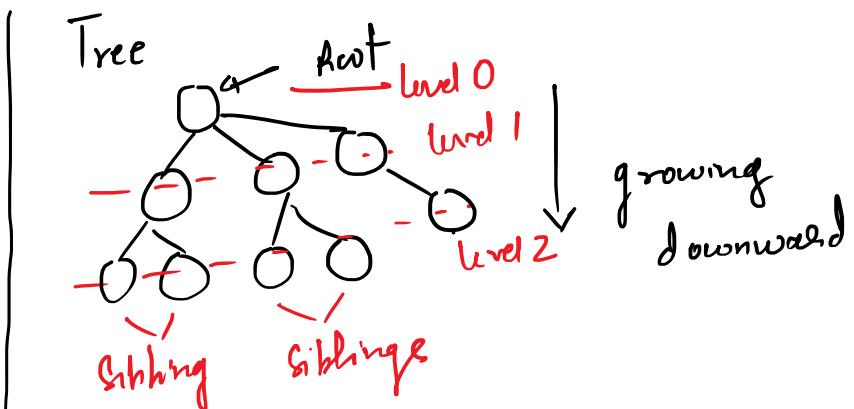


Trees →

Graph

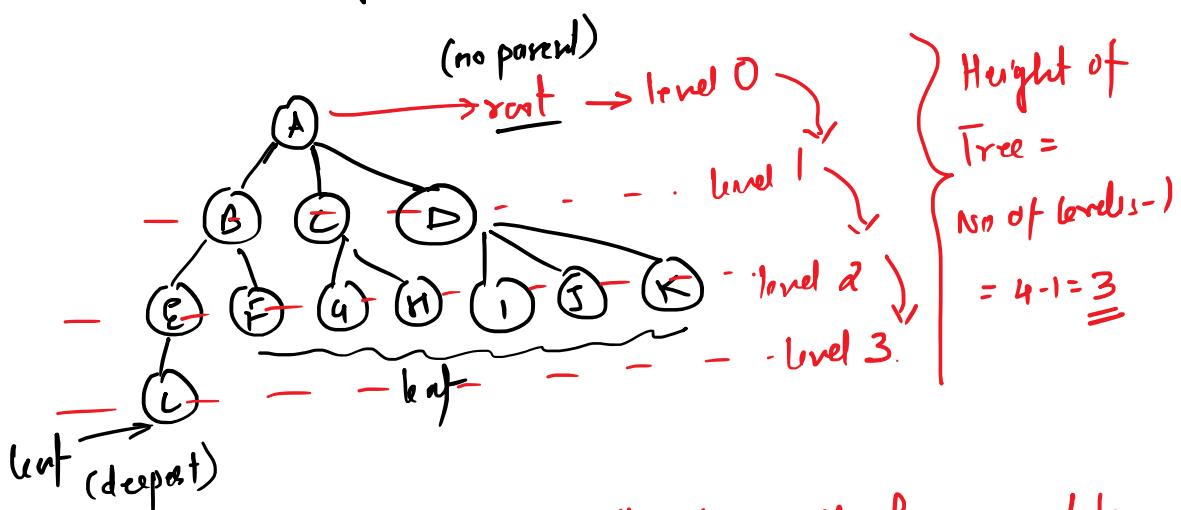


All nodes in  
Same plane.  
(level)



A Tree is arrangement of nodes in hierarchical manner with the first node is known as root node from which the tree grows downward.

Consider →



Height of Tree:  $\geq$  Height of Root Node = length of path from root to the deepest leaf

Leaf Node: Node with no child.

Root Node: Node with no parent.

Tree is n-ary Structure →  $n = \max$  no. of child that every node in a tree can have.

Tree is n-ary Structure  $\rightarrow$   $n = \text{MAX no. of children}$   
in a tree can have.

if  $n=d$  then it is known as Binary Tree,  $\rightarrow$  Every node in tree can have max  $d$  child.

Binary Tree:  $\rightarrow$  It is tree in which no node can have more than  $d$  child.

Terminologies.  $\rightarrow$

- ① Path  $\rightarrow$  A path from node  $A_1$  to  $A_k$  is collection of nodes  $A_1, A_2, \dots, A_k$  such that every  $A_i$  is parent of  $A_{i+1}$ .

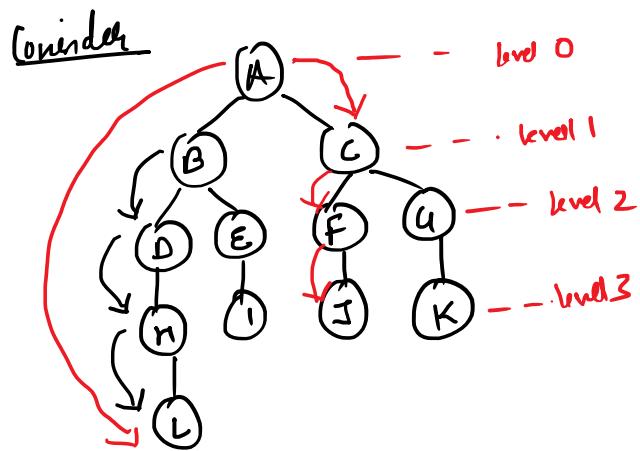
Path from  $A \Rightarrow J$   $\Rightarrow A \rightarrow C \rightarrow F \rightarrow J$

Path from  $B \Rightarrow L$   $\Rightarrow B \rightarrow D \rightarrow M \rightarrow L$

Node is parent of immediate next node.

- ② Degree of a Node  $\rightarrow$  It is no of child that the node has.  
 $\text{Degree}(B) = 2, \text{Degree}(F) = 1, \text{Degree}(L) = 0$ .

- ③ Degree of a Tree:  $\rightarrow$  It is degree of node having highest degree.  
 $\text{Degree(Tree)} = 2$ .



④ Depth of a Node  $\Rightarrow$  it is the length of the path from root node to that node.  
(distance from root)

Eg Depth of node (I) = Path from A root to I  $\Rightarrow$   $A \rightarrow B \rightarrow E \rightarrow I \Rightarrow 3$

⑤ Depth of Tree  $\Rightarrow$  it is depth of the deepest leaf node.

Depth of Tree alone  $\Rightarrow$  depth of deepest leaf node ie  $h = 4$

⑥ Ancestors of a node  $\Rightarrow$  All the nodes that are on path from root node to that node are ancestors of that node.  
(Predecessors).

Eg ancestors of (L) = A, B, D, H, L

⑦ Descendents of a node: All the nodes that have path from given node are descendants of that node.  
(Successors)

descendents of (B) = D, E, H, I, L

⑧ Height of node  $\Rightarrow$  it is no of edges on longest downward path from that node to the deepest leaf.  
(distance from node to its deepest leaf).

Height of (B) = length of path from B to deepest leaf ie  $L = 3$

⑨ Height of tree = it is height of root node.

In above tree

height of root node = Height of (A) = length of path from " " ~  
deepest node ie L. = 4.

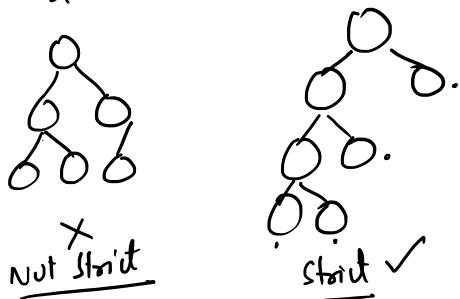
### Note

Depth of a Node  $\Rightarrow$  Distance from root to that node.

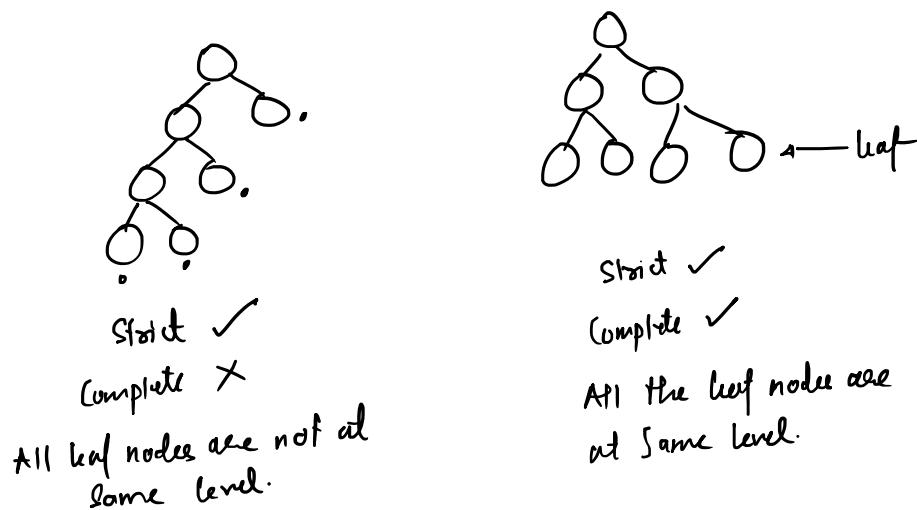
height of a Node  $\Rightarrow$  Distance from that node to its deepest leaf child

## Types of Binary Tree

① Strict Binary Tree  $\Rightarrow$  It is B.T where every node can have either 2 child or no child.



② Complete Binary Tree:  $\Rightarrow$  It is Strict B.T where all the leaf nodes are at same level.

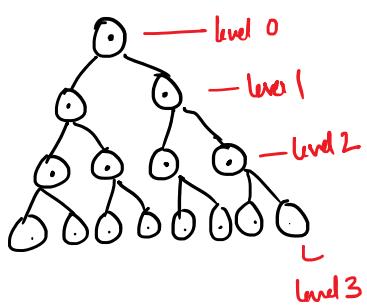


## Kuch Baatein Wrt Complete Binary Tree !! (C.B.T)

① No of nodes at any level  $l \Rightarrow 2^l$

② No of Leaf nodes =  $2^d$  ( $d = \text{depth}$  of tree)

$$= 2^3 = 8$$



③ No of Non leaf nodes =  $2^d - 1$  ( $d = \text{depth of tree}$ )  
 $= 2^3 - 1 = 7$

④ Total No of nodes =  $\frac{\text{No of leaf nodes} + \text{No of Non leaf nodes}}{\text{in C.B.T}}$   $= \frac{2^d + 2^{d-1}}{2^d + 2^{d-1}} \Rightarrow 2^{d+1} - 1$

$$\text{(b) Total No. of nodes in C.B.T} = \frac{No. of nodes}{2^d + 2^{d-1}} \Rightarrow \underline{\underline{2^{d+1}-1}}.$$

Analysis, let ' $n$ ' be total No. of nodes in C.B.T and let ' $d$ ' be depth.

We know

$$n = \underline{\underline{2^{d+1}-1}} \Rightarrow (n+1) = \underline{\underline{2^{d+1}}}$$

$$\text{Take log on B.S.} \quad \log(n+1) = (d+1) \log 2$$

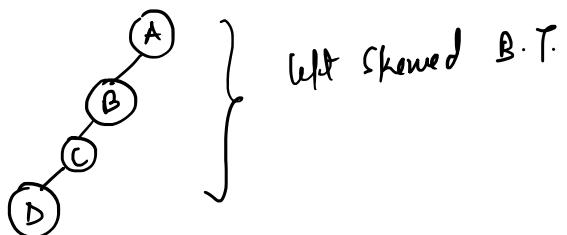
$$d+1 = \frac{\log(n+1)}{\log 2} = \log_2 n+1$$

$$\therefore d+1 = \log_2 n+1$$

$$\therefore \boxed{d = \log_2 n+1 - 1}$$

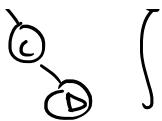
⑥ Skewed Binary Tree  $\rightarrow$  It is Binary Tree where every node can have Single and Same type of SubTree

i) Left Skewed B.T  $\rightarrow$  Here every node will have only left subtree (child)



ii) Right Skewed B.T  $\rightarrow$  Here every node will have only right subtree (child).

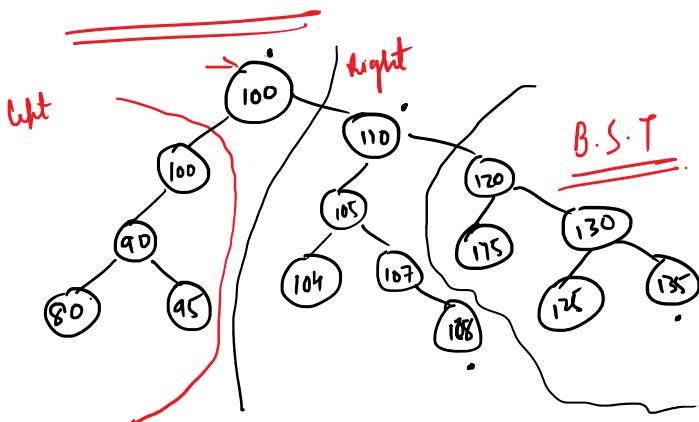




### ⑦ ~~\* Binary Search Tree (BST) →~~

It is a Binary Tree in which all left descendants of the node are less than equal to that node and all right descendants of the node are greater than to that node.

[ At Every node → left child  $\leq$  node  
right child  $>$  node ]



\* This B.S.T arrangement simplifies the Search operation.

Tree Traversal →

(Vimp)

Traversal ⇒ Visiting every node exactly once.

Tree Traversal ⇒ Visiting every node of Tree Exactly Once.

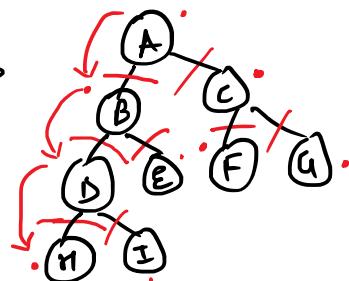
Binary Tree Traversal →

A Binary Tree can be traversed in any of the following fashion:-

① In Order Traversal →

At Every Node  
 ( L - ✓ - R )  
 ↓              ↓              ↓  
 Left child    value    Right child  
 of node     of node    of Node

(Consider) →

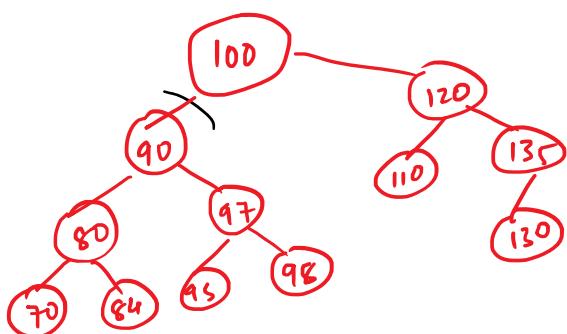


Here at Every node →

- ① Visit left child ✓
- ② Print Node Value.
- ③ Visit right child.

Inorder Traversal →

H D I B E A F C G



⇒ Inorder traversal →

70	80	84	90	95	97	98	100
<u>Left child</u>				<u>Right child</u>			↑

110	120	130	135	
<u>Left child</u>			<u>Right child</u>	

(70) (84) (85) (9)

BST

Left child ↑ Right child

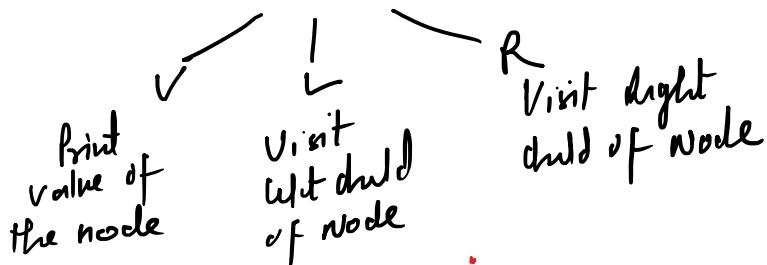
Sorted Order

\* In Inorder Traversal

All the left child of nodes will appear to the left of node &  
" " right " " " " " " right " "

- \* Inorder Traversal of BST will print the nodes in sorted  
(Ascending order).

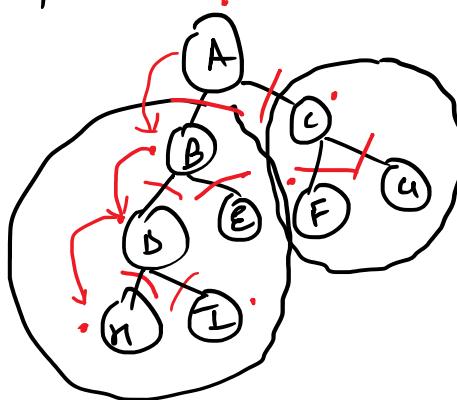
Pre Order Traversal → At Every Node



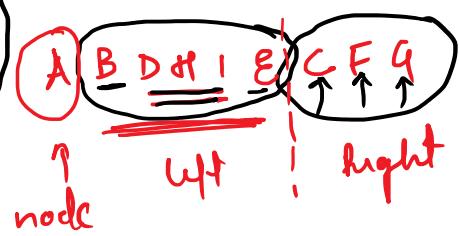
In Pre Order Traversal

At Every Node

- ① Print value of node ✓
- ② Visit its left child .
- ③ Visit its right child .



Preorder Traversal



\* Here the node will be displayed first followed by its left & right child.

Post Order Traversal → At Every Node



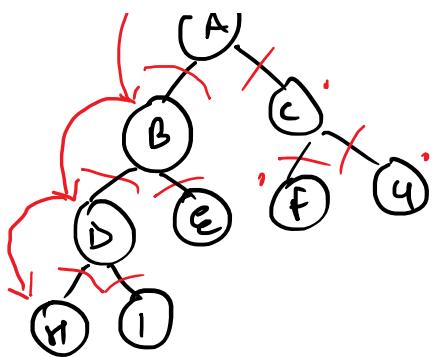
In Post Order Traversal At Every node Perform →

- ① Visit its left child
- ② Visit its right child ✓
- ③ Print value of node.



Post Order Traversal →





Here All the left subtree nodes will be displayed then right subtree node and then the node is displayed.

Note: For Tree →  
Inorder

left      Root      right

Preorder:

Root      left      right

PostOrder:

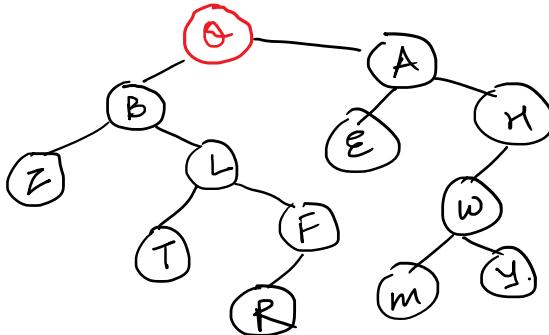
left      right      Root

Given

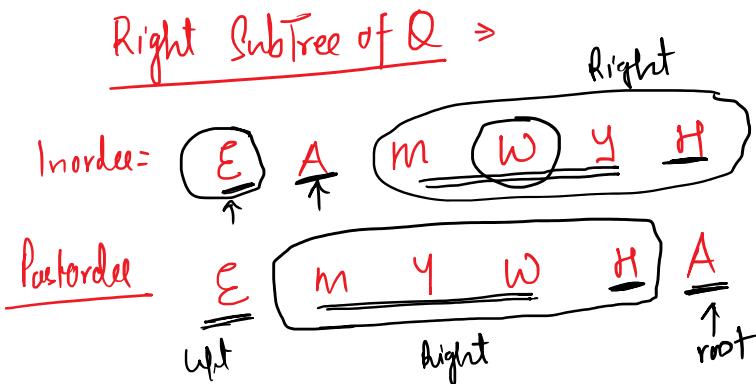
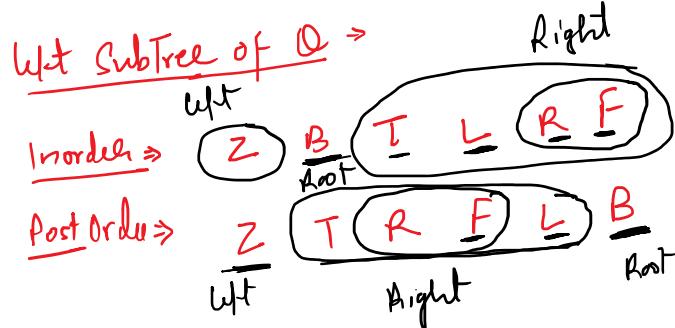
Inorder Traversal: Z B T L R F Q ✓

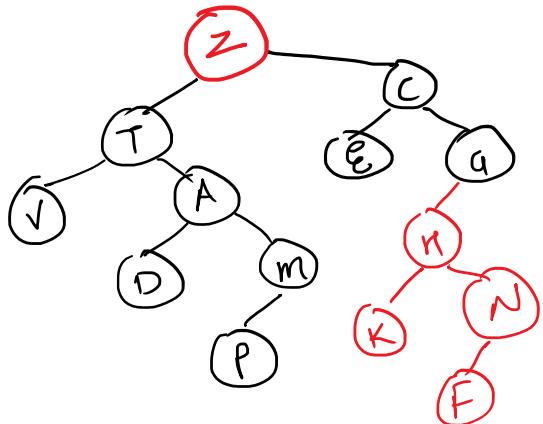
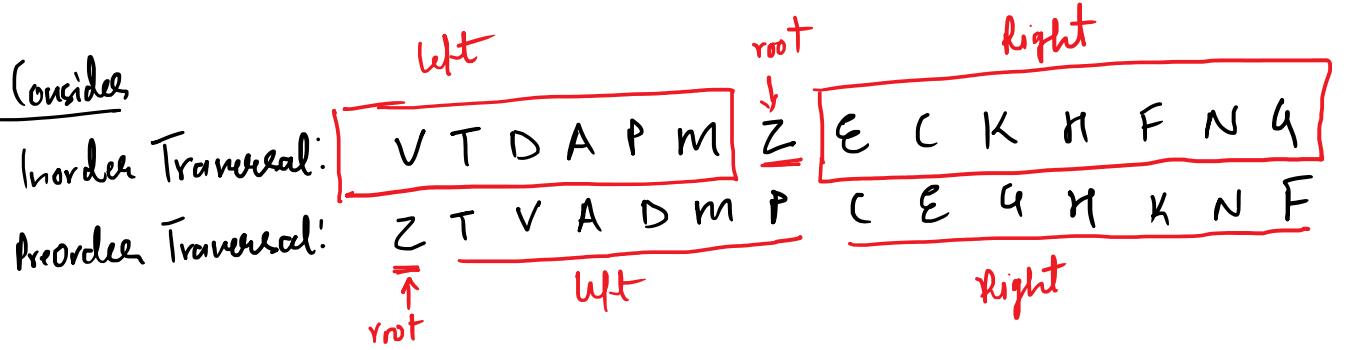
PostOrder Traversal: Z T A F L B E m y w h A Q  
Left Right ✓ Root

Construct B.T →

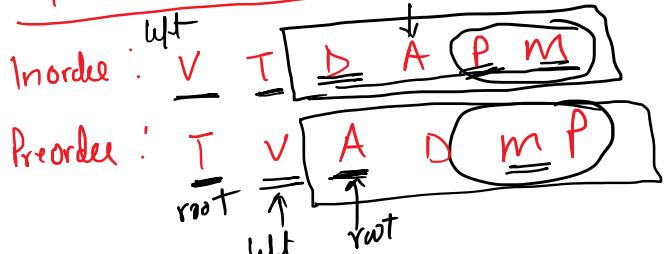


Desired Binary Tree

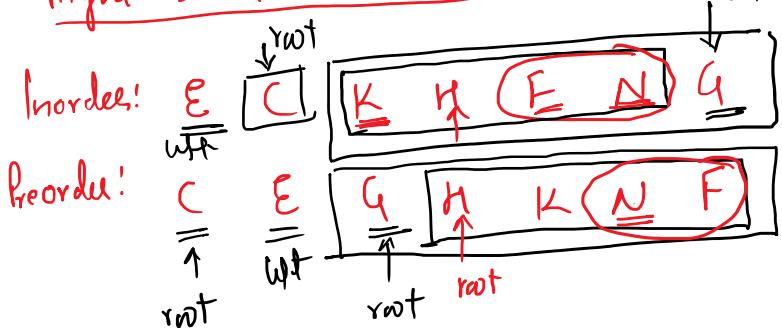




Left SubTree Construction.



Right SubTree Construction.



## Application of Tree

### Huffman Encoding:

Consider : A B A A C B B A G B B A A D C C B A A A A B C B A

length = 25 characters

In C every character = 1 byte

$$\therefore \text{Total size of above list} = 25 \times 1 = \underline{\underline{25 \text{ byte}}}$$

$$= \underline{\underline{25 \times 8 \text{ bits}}}$$

$$= \underline{\underline{200 \text{ bits}}}$$

We want to reduce length of the Message:  $\rightarrow$

character	frequency.
A	11
B	8
C	5
D	1

### Basic Working $\Rightarrow$

- \* Represent high frequency character with less number of bits
- \* Represent low frequency character with high number of bits -

Let Every character = 4 bits  
 $\text{Total size} = 25 \times 4 = 100 \text{ bits}$

Let  $A \Rightarrow 2 \text{ bits}$   
 $B \Rightarrow 3 \text{ bits}$   
 $C \Rightarrow 4 \text{ bits}$   
 $D \Rightarrow 5 \text{ bits}$

$$\therefore \text{Total size} = 2 \times 11 + 3 \times 8 + 5 \times 4 + 1 \times 5$$

$$= 22 + 24 + 20 + 5$$

$$= \underline{\underline{71 \text{ bits}}}$$

## Huffman Encoding

- \* Encoding is a process of converting symbols to code.
- The converted code must satisfy the following conditions →
  - ① The code must be unambiguous i.e unique.
  - ② The length of the code is inversely proportional to the frequency of the symbol

This can be done using Huffman's coding.

Consider → Given Message: → A C B A D A C A .

Generate Huffman Code! →

Step 1 Frequency Table →

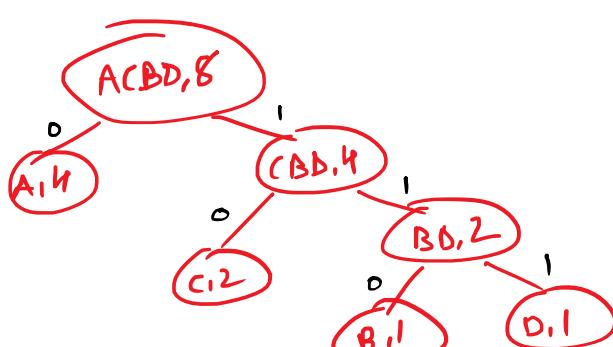
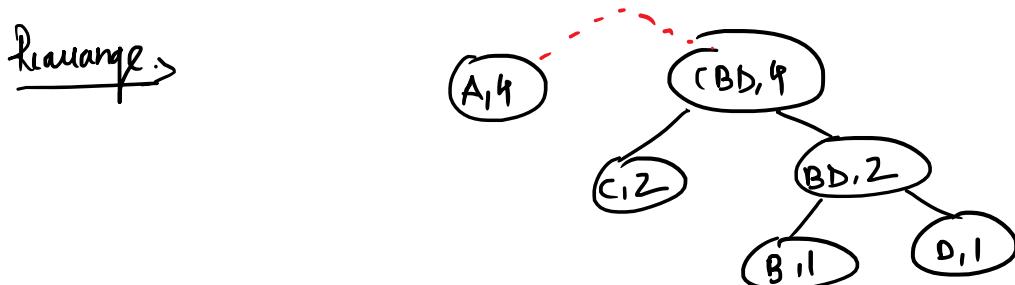
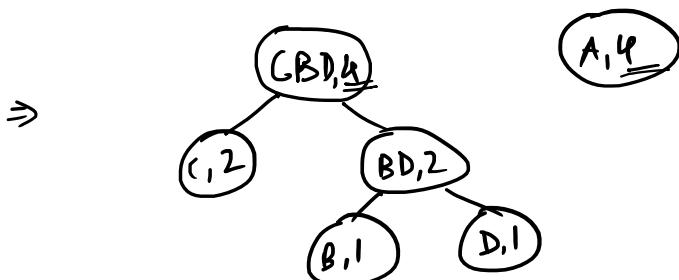
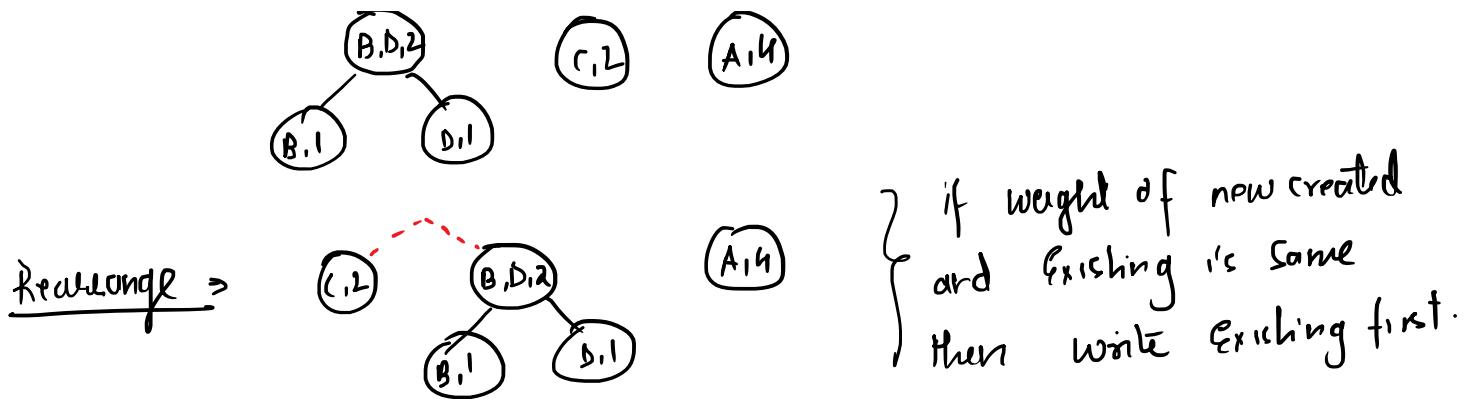
Symbol	frequency
A	4
B	1
C	2
D	1

Step 2 Arrange symbols in Ascending order of frequency.

(B,1) (D,1) (C,2) (A,4)

Step 3 Generate Huffman Code.





At Every Node  
Assign left = 0  
right = 1

$$\begin{aligned} A &= 0 \\ B &= 110 \\ C &= 10 \\ D &= 111 \end{aligned}$$

Ans Huffman code for each symbol character.

If 4 bit each then total size =  $8 \times 4 = 32$  bit

With Huffman Code =  $4 \times 1 + 3 \times 1 + 2 \times 2 + 1 \times 3$

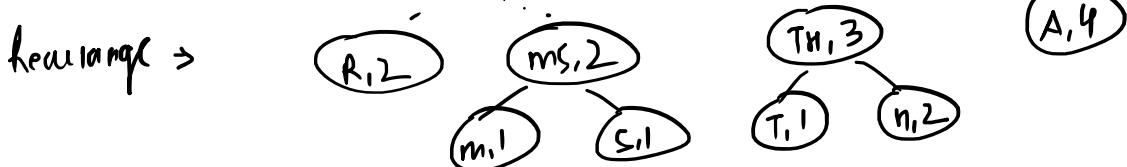
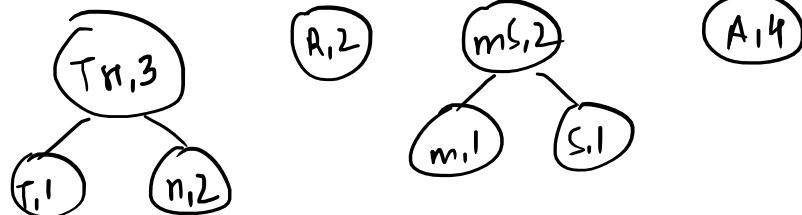
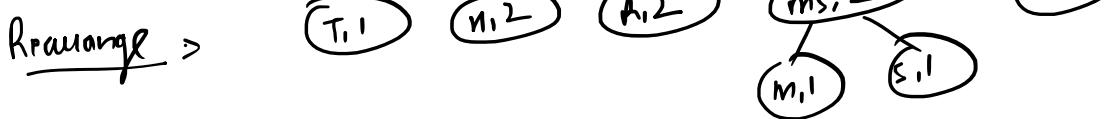
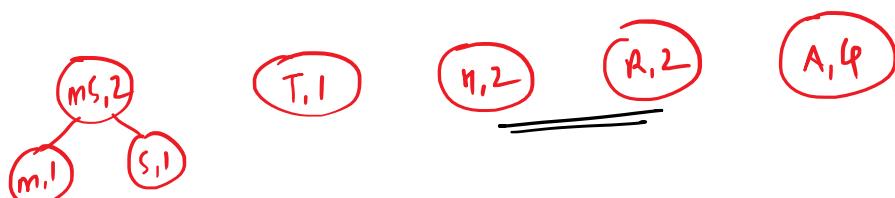
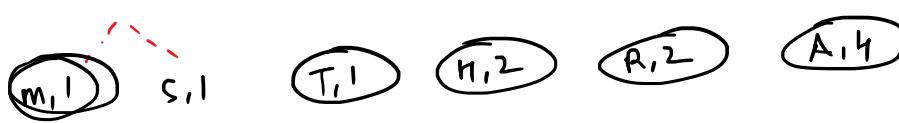
$$= h + 3 + h + 3 = \underline{14 \text{ bit}}$$

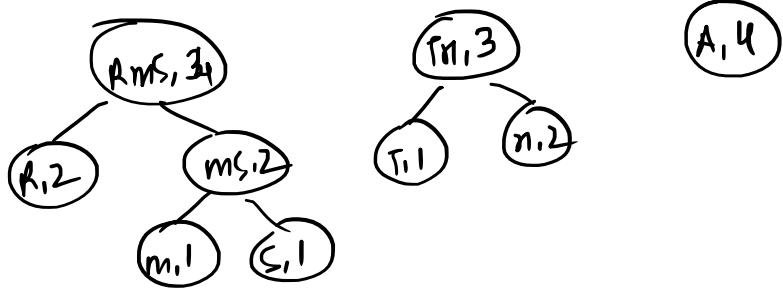
Q  
Generate Huffman code for M A M A R A S H T R A

Solution  $\rightarrow$  Step 1) Frequency table

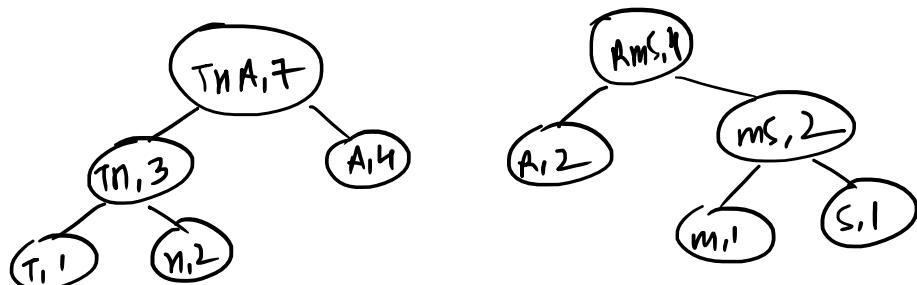
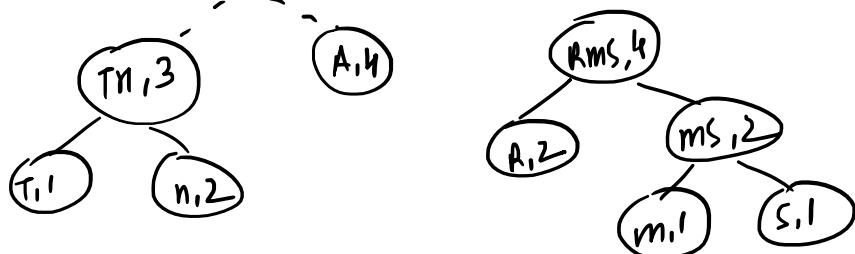
Symbol	Frequency
m	1
A	4
M	2
R	2
S	1
T	1

Step 2) Arrange Symbols in Ascending order of frequency -

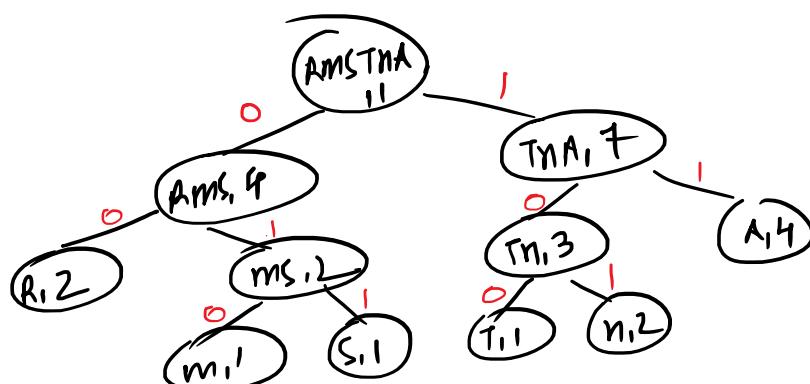




Rearrange



Merge



Huffman Code

$$\begin{array}{l}
 m = 010 \\
 R = 00
 \end{array} \quad \left| \begin{array}{l}
 T = 100 \\
 n = 101 \\
 A = 11
 \end{array} \right.$$

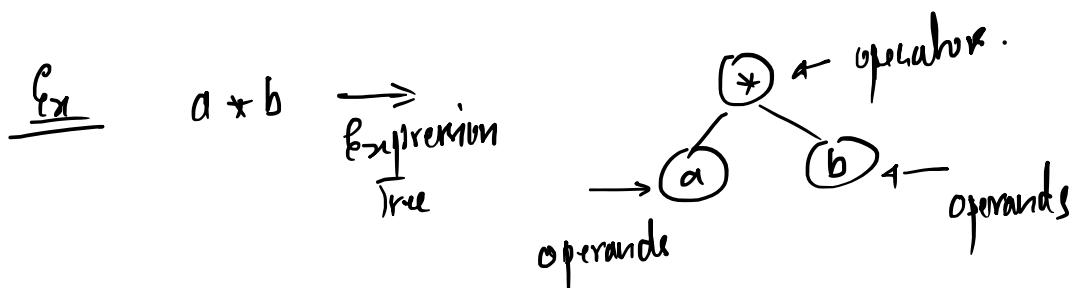
## Huffman Code

$m = 010$		$n = 101$
$R = 00$		$A = 11$
$S = 011$		

## Expression Tree

\* It is a tree constructed for representing an expression.

\* Here the operands are child node of operators.



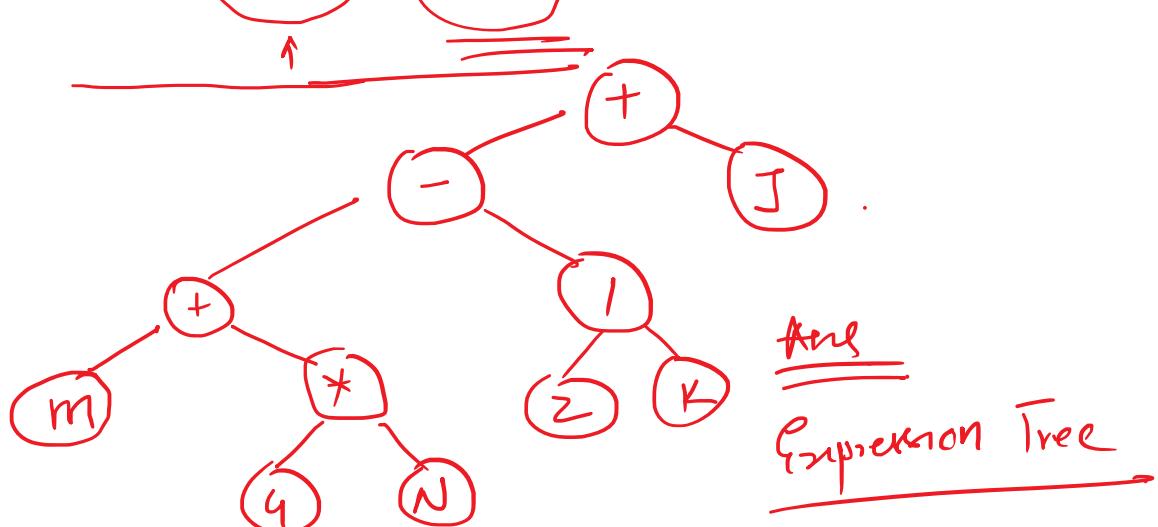
Traverse given Exp tree Inorder  $\rightarrow a * b$ . (Infix Exp)

PreOrder  $\rightarrow * ab$  (Prefix Exp)

PostOrder =  $ab *$  (Postfix Exp)

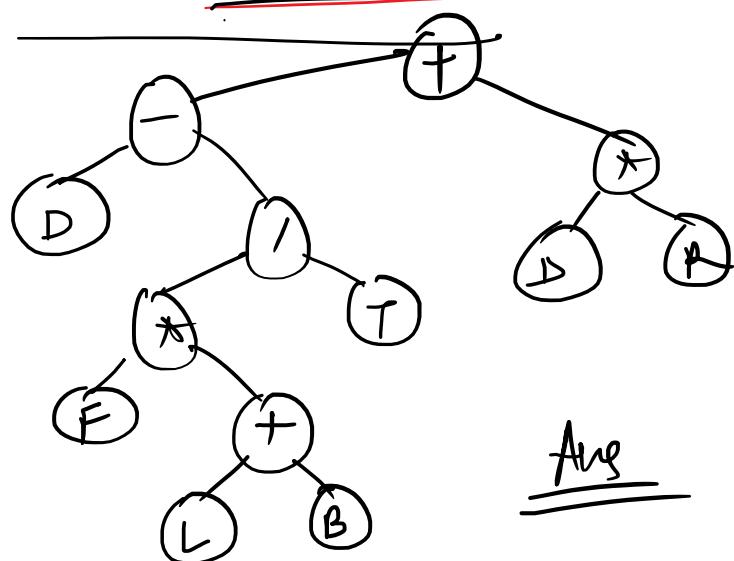
Q) Draw Expression Tree for following Exp  $\rightarrow$ .

$$(1) m + \underline{q * n} - \underline{z / k} + j .$$



Expression tree

Q)  $D - F * \underline{(L + B)} / T = \underline{D * R}$

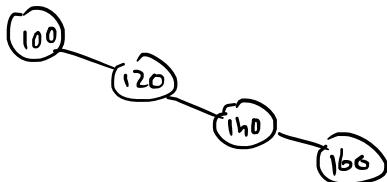


## AVL Trees (Imp) (Addison, Velicki, Landis)

- \* qt is BST
- \* qt is Height Balanced Tree.

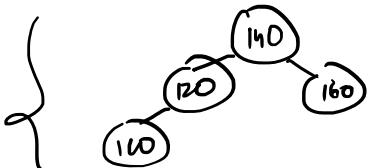
Why Needed?

Consider BST



To Search  
100  $\Rightarrow$  1 Comparison.  
120  $\Rightarrow$  2 Comparison  
140  $\Rightarrow$  3 Comparison  
160  $\Rightarrow$  4 Comparison

But



$\Rightarrow$  To Search  
110 = 3 comp  
120 = 2 comp  
160 = 2 comp  
140  $\Rightarrow$  1 comp

lets the Height of Tree ,  $h_m$  is the No of Comparison performed to search an element.

AVL Algo Ensures that the tree is Height Balanced.

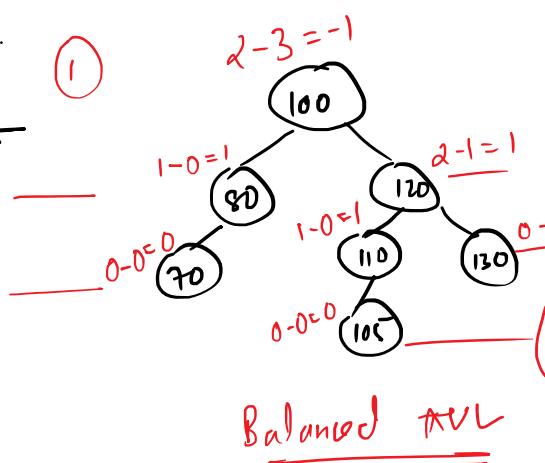
Balance of a Node  $\rightarrow$  (How to decide, Node is balanced or not)

\* It is difference bet<sup>n</sup> the height of its left subtree & right subtree.

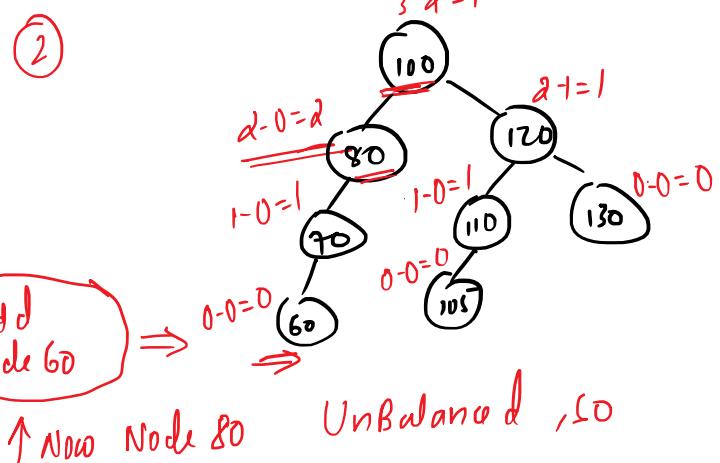
\* In AVL, a node is said to be balanced if the difference is -1, 0, 1

A Tree is said to be AVL Balanced, if every node in tree is balanced as per above conditions

Conclusion



Balanced AVL



↑ Now Node 80 Unbalanced, so

the tree is not balanced AVL

In a Balanced AVL, An insertion may cause one/more nodes (ancestor)

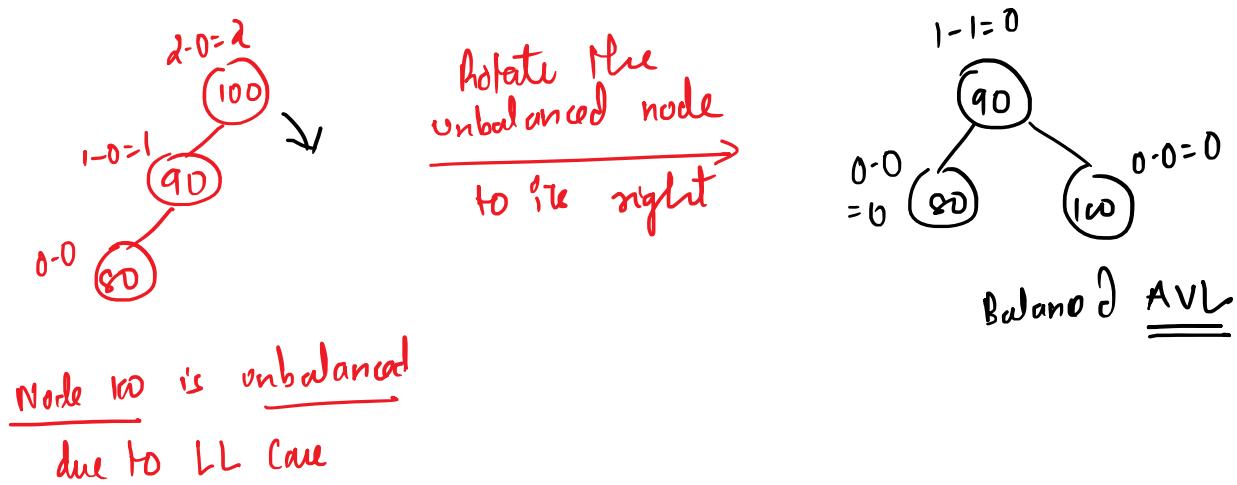
to become unbalanced, In such case appropriate rotations must be applied to the closest unbalanced ancestor

node to the newly added node.

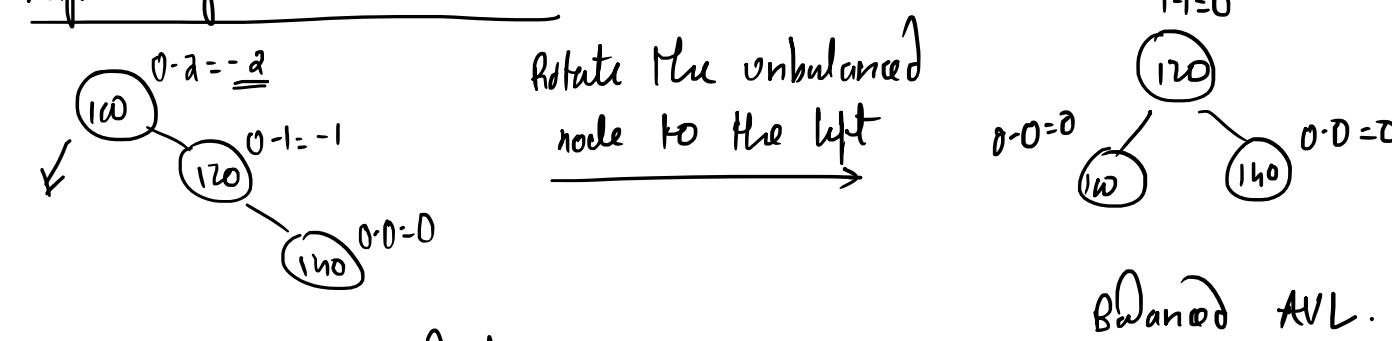


## Types of Rotations to create balanced AVL Tree →

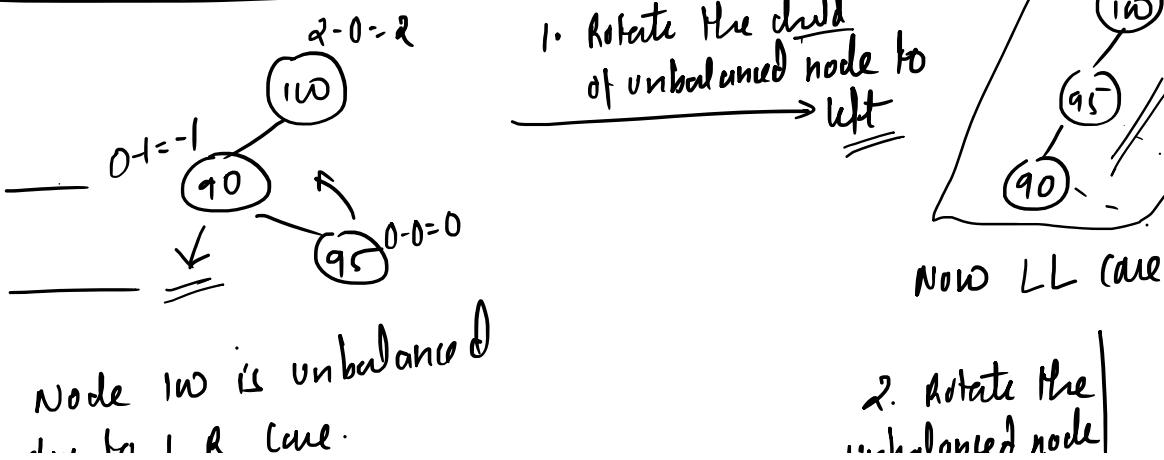
### ① L L Case (Left Left Case)



### ② Right Right (RR) Case →

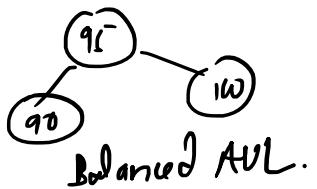


### ③ left - right (L-R) Case



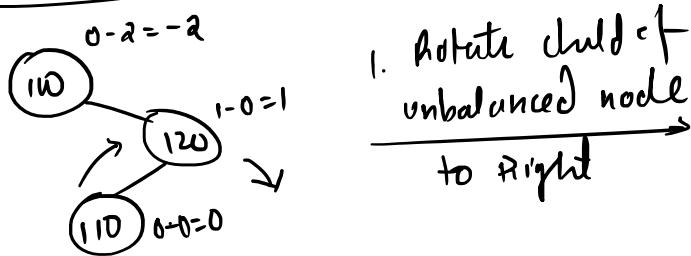
Node 100 is unbalanced  
due to LR case.

2. Rotate the  
unbalanced node  
to right

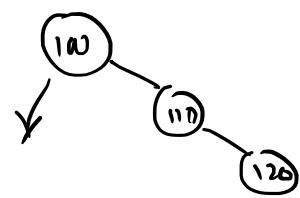


Balanced AVL.

#### (4) Right Left (R-L) Case $\rightarrow$

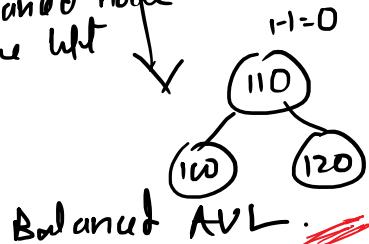


Node 100 is unbalanced  
due to R-L case



RR case

2. Rotate the  
unbalanced node  
to the left

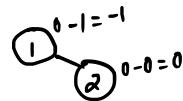


## Construct AVL Tree Using 1, 2, 3, 4, 5, 6, 7

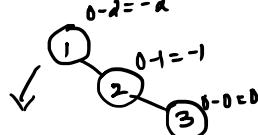
① Insert 1

1

② Insert 2



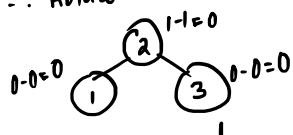
③ Insert 3



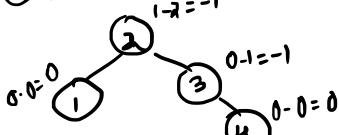
Node 1 is unbalanced

do RA Case

∴ Rotate 1 to the left

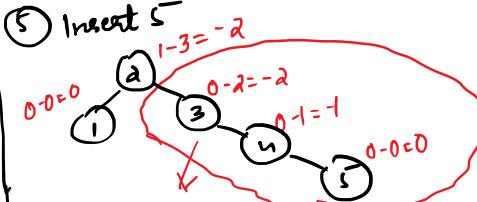


④ Insert 4



Balanced.

⑤ Insert 5



when node 5 is inserted we have two unbalanced ancestor of 5

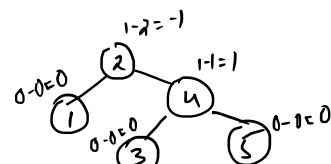
node 2 and node 3

the nearest unbalanced ancestor of node 5 is node 3

so first make node 3 balance.

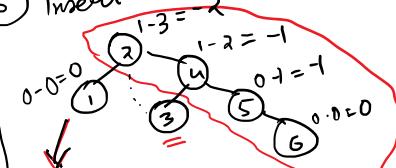
node 3 is unbalanced due to RA Case.

so rotate node 3 to the left

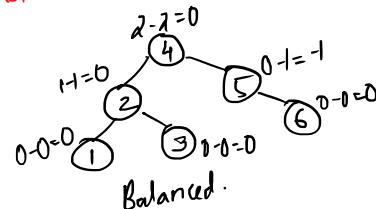


Balanced.

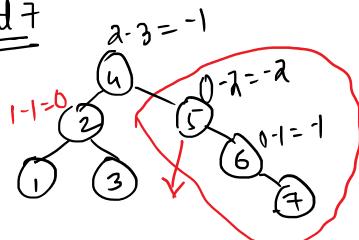
⑥ Insert 6



Node 2 is unbalanced due to  
RA Case.  
so rotate node 2 to its left



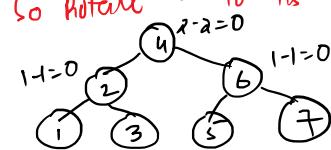
⑦ Insert 7



Node 5 is unbalanced due to

RA Case.

so rotate 5 to its left



Balanced AVL Tree.

## Q2) Construct Balanced AVL Tree Using

37 55 18 25 68 10 43 50 40 46 52 60

① Insert 37

(37)

④ Insert 25

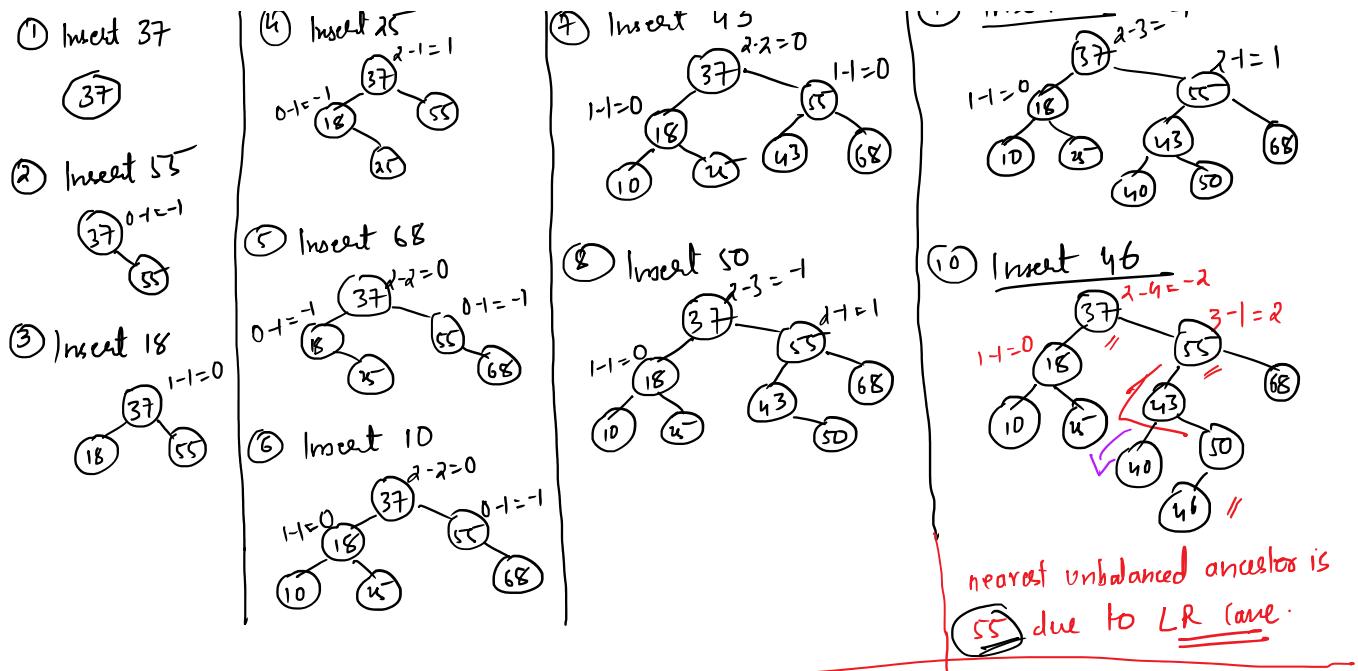
(25)

⑦ Insert 43

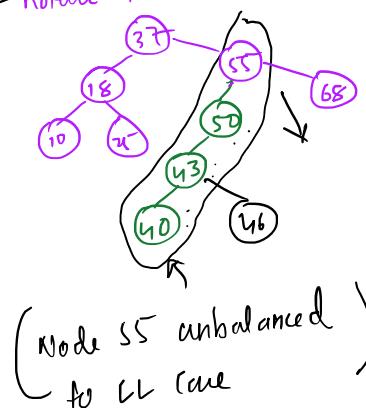
(43)

⑨ Insert 40

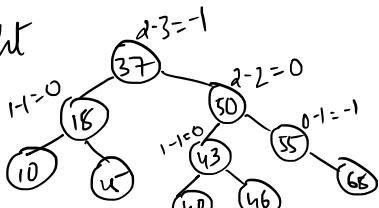
(40)



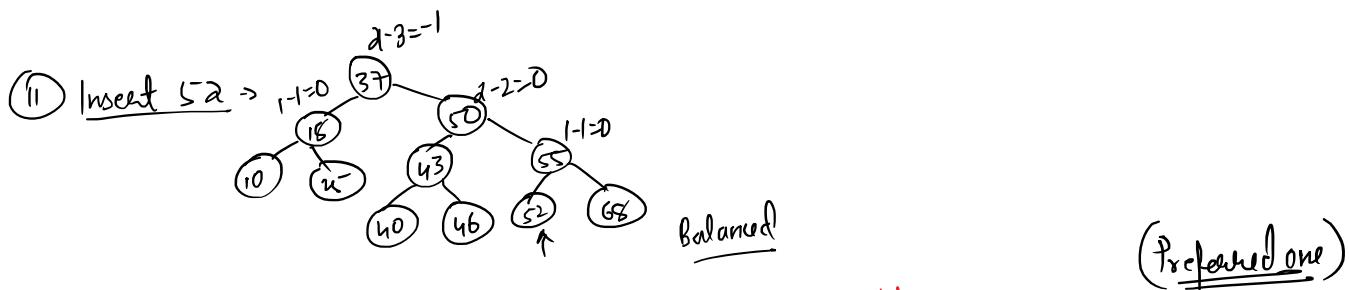
Step 1 Rotate 43 to the left (Since 43 is child of unbalanced node 55)



Step 2 Rotate unbalanced node 55 to right

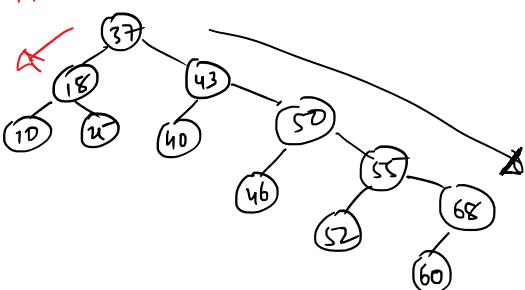
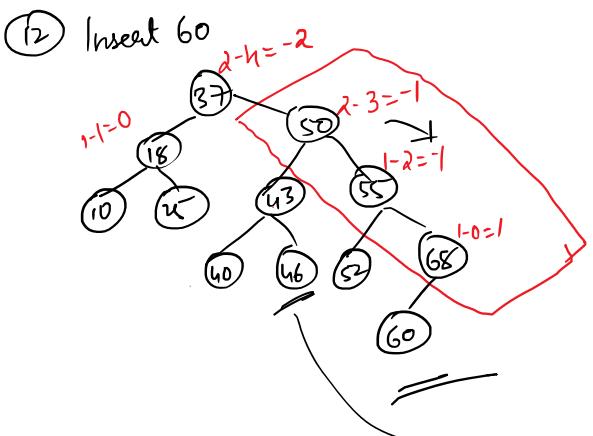


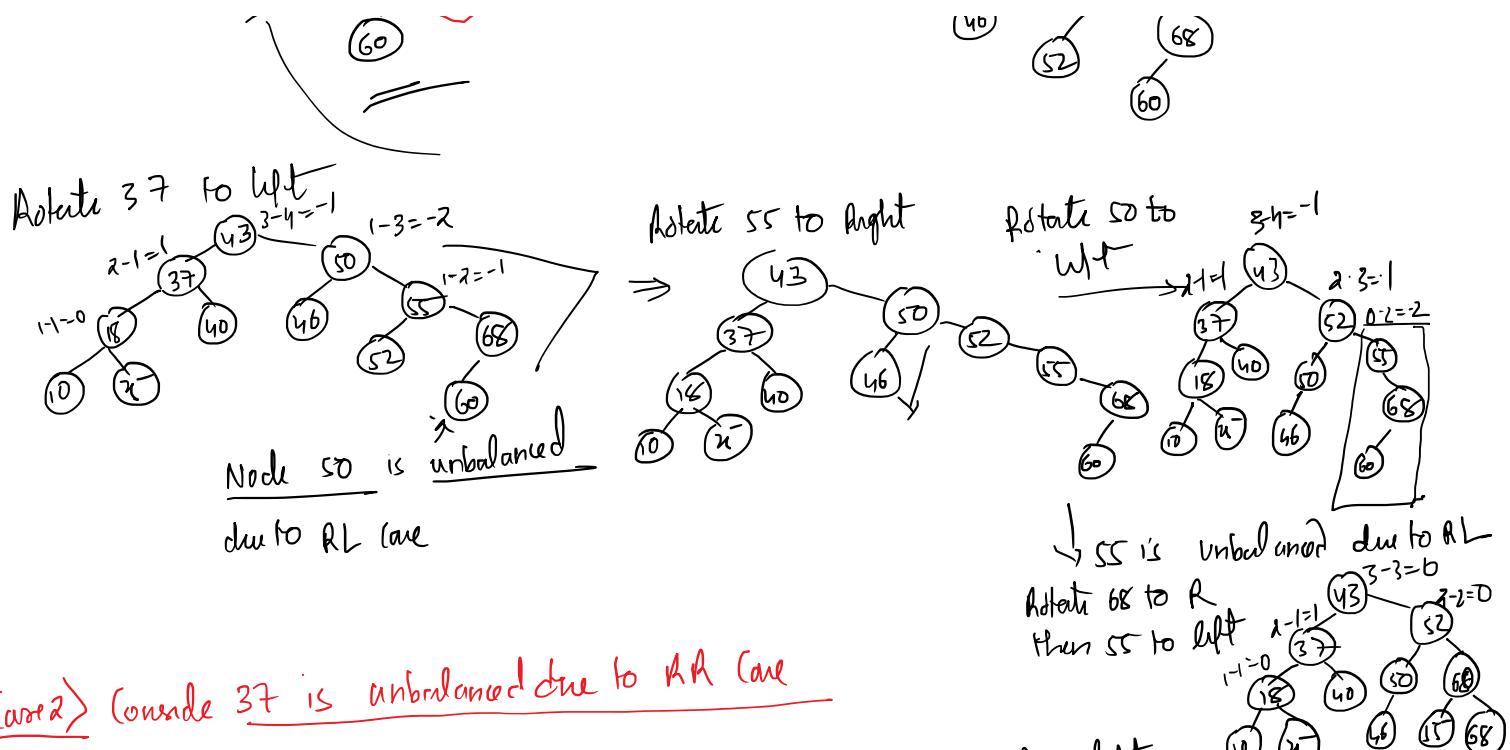
balanced



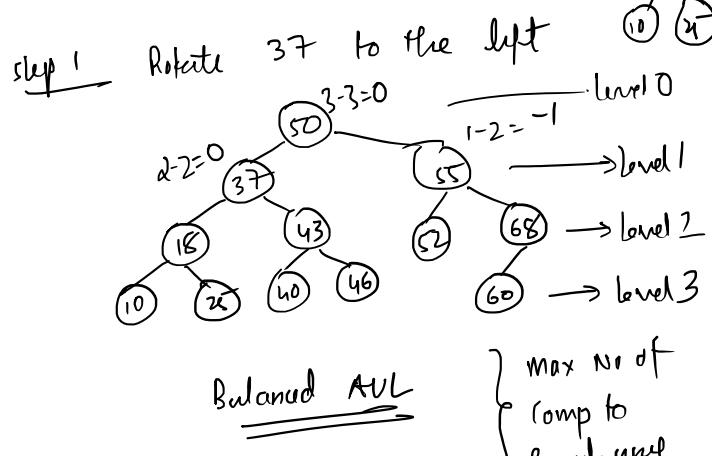
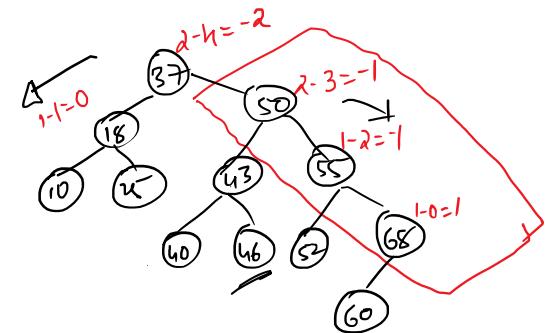
Case 1 *consider Node 37 unbalanced due to AL Case.*

Step 1 *Rotate 50 to right*





Case 2 Conside 37 is unbalanced due to RR Case

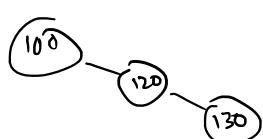


} Max No of  
Comp to  
reach any  
node = 4

Note  $\Rightarrow$  (1) LL Case  $\rightarrow$   
[Left of left child]

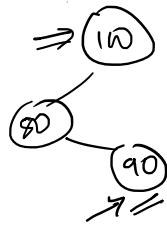
$\Rightarrow$  LL Case  
[New node added to left of left child  
of 100 so 100 unbalanced due to LL  
case]

(2) RR Case



RR Case  
new Node 130 added to  
right of right child of 100  
so 100 is unbalanced due to RR case.

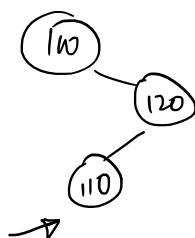
(3) L R Case



New node 90 is added to right  
of left child of 100

∴ 100 is unbalanced due to L R case

(4) R-L Case



New node 110 is added to left of  
right child of 100

∴ 100 is unbalanced due to R-L Case

Multidway Search Tree

$$\begin{cases} \text{left} \leq \text{parent} \\ \text{right} > \text{parent} \end{cases}$$

① B-Tree  $\Rightarrow$  gt stands for balanced Tree

$\Rightarrow$  B tree grows upward.

$\Rightarrow$  All the leaf nodes are at same level

$\Rightarrow$  No rotations are required.

$\Rightarrow$  In B Tree of order n (odd), Every node can have maximum  $n-1$  values and can have max n children  
(key)

$\Rightarrow$  At each node in B Tree, except root, minimum  $n/2$  (data/key) is desired.

$\Rightarrow$  If node becomes full of keys/data then to balance the node, we have to perform split and propagate operation

[At every node in B Tree = of order n (odd)]  $\begin{cases} \min = n/2 \text{ keys/values.} \\ \max = n-1 \text{ keys/values} \end{cases}$

Q)

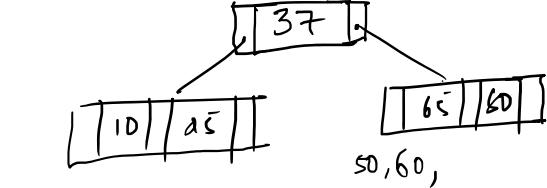
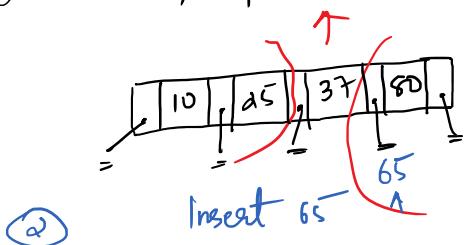
construct B-Tree of order 5 using following Keys.

25, 37, 80, 10, 65, 50, 60, 90, 70, 85, 95, 15, 20, 30, 40, 45, 55

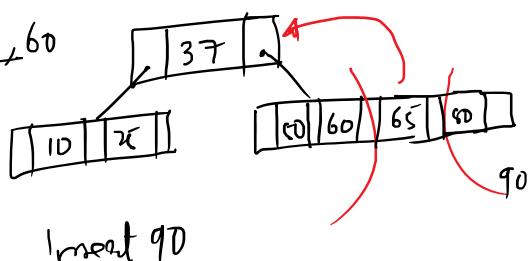
Sol  $\rightarrow$  order  $n=5$   $\begin{cases} \min = n/2 = 2 \text{ value.} \\ \max = n-1 = 4 \text{ values} \end{cases}$ } After 4 values  $\rightarrow$  split & propagate (up)  
At parent node

At every node  $\max = n-1 = \underline{4}$  values } Alter ~~the~~ ~~values~~  $\Rightarrow$  split  $\rightarrow$  ~~left~~ ~~right~~  $\rightarrow$  ~~left~~ ~~right~~

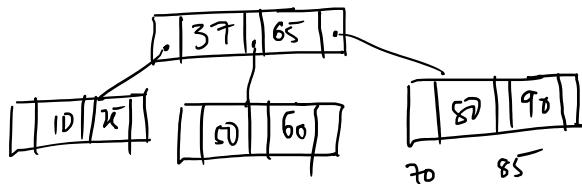
① Insert 25, 37, 80, 10 (ensure sorted)



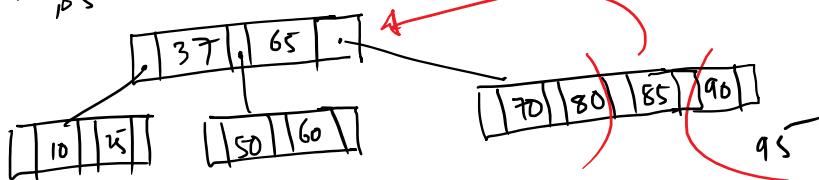
② Insert 50, 60



③

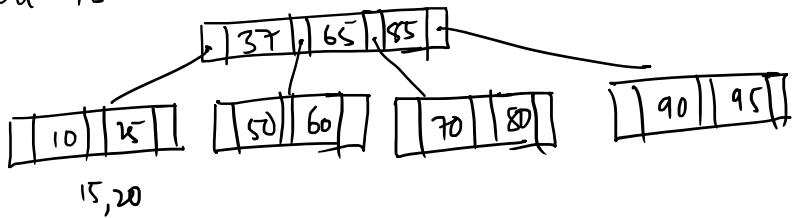


④ Insert 70, 85



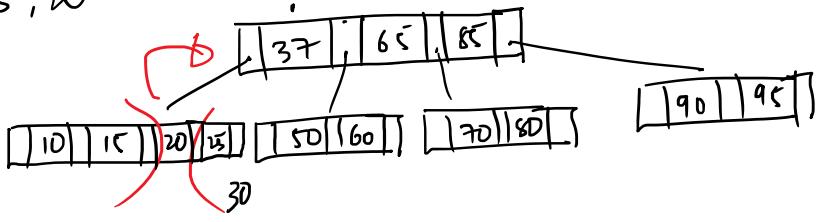
⑤

Insert 95



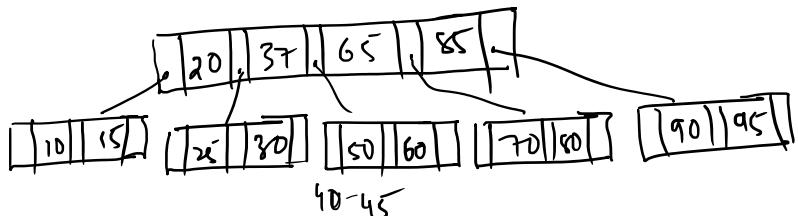
⑥

⑦ Insert 15, 20

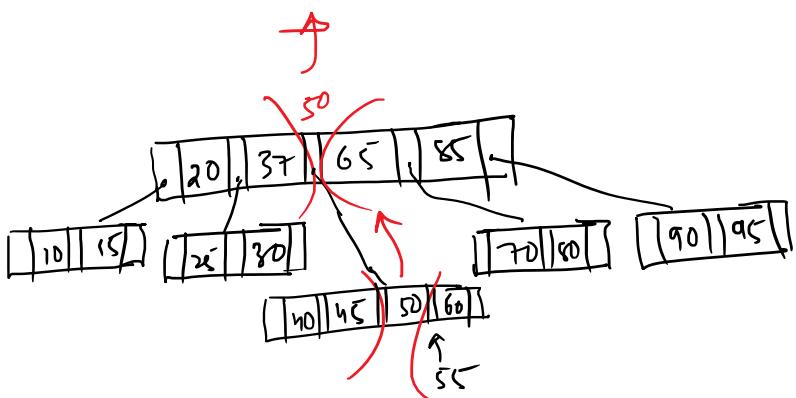


Insert 30

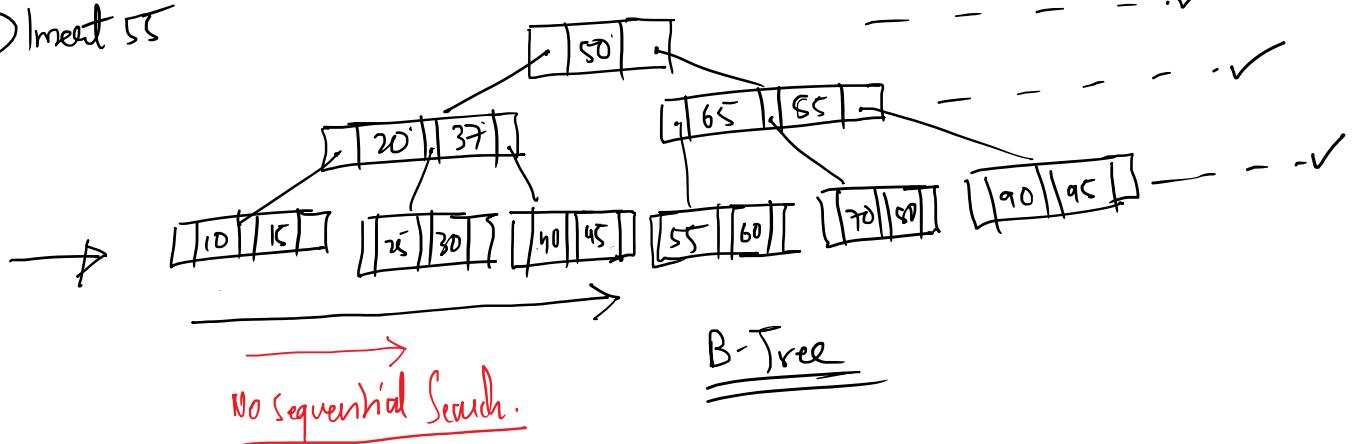
⑧



⑨ Insert 40, 45



⑩ Insert 55



Here the keys are records.

Hence the records are at all the levels -

B<sup>+</sup> Tree  $\Rightarrow$

① In B-Tree, the elements (keys) are stored at all the levels.

② Here the records are kept at all levels.

Hence linear search for the leaf nodes is not possible.

③ To achieve this, we must have all the elements at leaf node itself.

④ This can be achieved using following modification in B-Tree

{ (i) When leaf node is split and median node goes up, its (median) copy is stored in its left child.

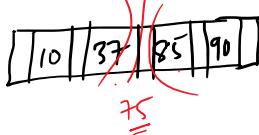
(ii) All the leaf nodes must be linearly connected.

The B-Tree with above modification is known as B+ Tree.]

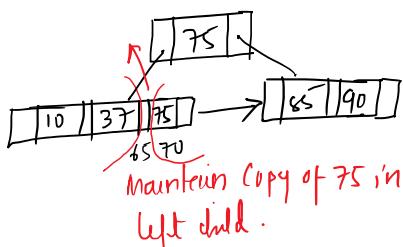
Construct B+ Tree Using following (Order=5)  $\leftarrow \min = \frac{c}{2} = 3 \text{ values}$   
 $\max = n-1 = 4 \text{ values}$

37, 85, 90, 10, 75, 65, 70, 55, 60, 45, 48, 35, 30

① Insert 37, 85, 90, 10

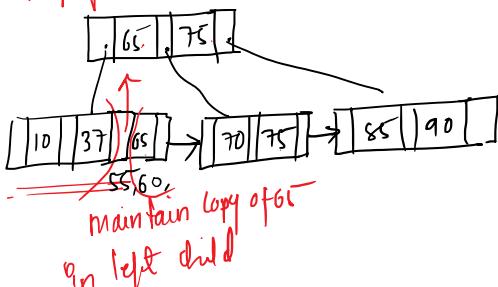


② Insert 75

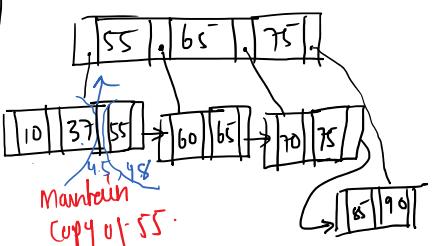


③ Insert 65, 70

propagate 65 to up

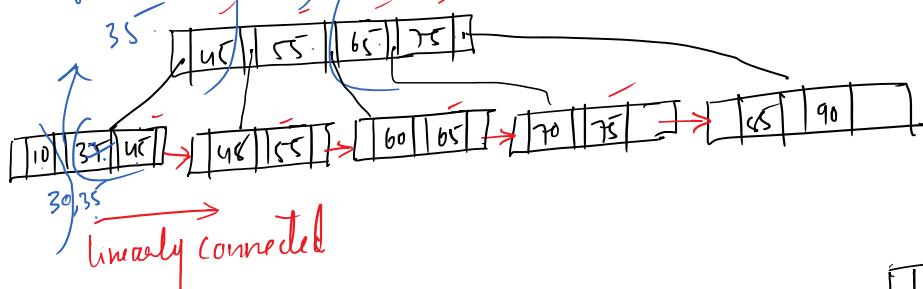


④ Insert 55, 60  
propagate 55 to up



⑤ Insert 45, 48

propagate 45 to up



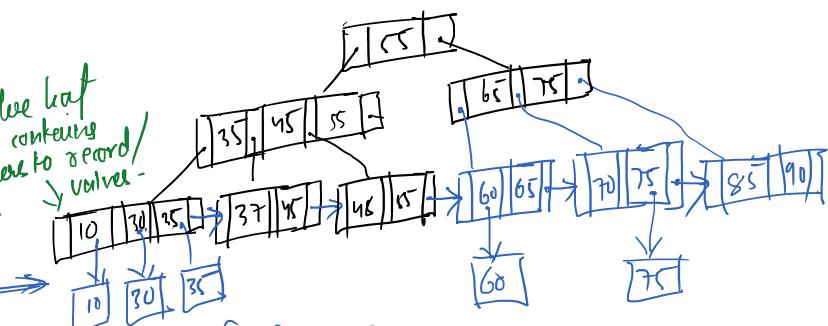
⑥ Insert 35, 30

35 is propagated upward

and now 55 is propagated up

More leaf nodes containing pointers to record / value

final BT Tree



\* All the elements are present in leaf.

† All leaf nodes are linearly connected

\* Here the records are not stored in B+ Tree (So less memory is needed).

\* Here at leaf we have pointers to the records stored in

Somewhere memory.

- \* Since the leaf nodes are linearly connected, we can perform linear search in B+Tree.
- \* In B-Tree, At every node we have records, and if we intend to store pointers then extra field is needed.
- \* In B+Tree, the leaf node has empty fields where we can store pointers.
- \* B+Tree has its application in Database Implement'.

BST Construction →

Construct BST      100, 80, 85, 110, 105, 70, 86, 78, 116, 120, 123, 121

