Saurabh Srivastava

Sr Principal, Digital Product Risk / Program Management @ Citibank NA

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Summary

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| Previous | Principal, Product / Program Management PMO/BMO Head @ JP Morgan Chase & Co |
| Preferred | Product Manager, Project Manager, Program Manager, Risk Control Manager, Technology Control Manager |
| Location | New York, NY, US |
| Desired Work Settings | No Preference |
| Willing to Relocate | Yes |
| Work Authorization(s): | Authorized to work in the United States on a full-time basis. |
| Security Clearance Info: | Has Security Clearance |
| Employment Type | Full-time Contract - Corp-to-Corp Contract - Independent Contract - W2 Contract to Hire - Corp-to-Corp Contract to Hire - Independent Contract to Hire - W2 |
| Total Experience | 21 years |
| Education | Masters @ Brenan School of Business, Dominican University Bachelors @ MNREC, UP Technical University Pre-Doctorate @ Wharton, University of Pennsylvania Pre-Doctorate @ Columbia University |
| Profile Source | Dice |
| Profile Downloaded | Monday, December 9, 2024 |

**SAURABH K. SRIVASTAVA**

Email: Phone:

LinkedIn: <https://www.linkedin.com/in/saurabh-srivastav-57a0586b/> New York City, NY

**SENIOR PRINCIPAL CONSULTANT (PROGRAM / PRODUCT MANAGEMENT)**

Strategic Global Technology consultant with 18+ years of demonstrated expertise across diverse domains, encompassing CxO Program Management Strategy, Client Advisory, New Business/Product Development, AI/ML-driven Application Services Modernization & Integration, and Emerging Technologies. Proficient in catalyzing revenue expansion through adept Enterprise Change Management, elevating Technology Infrastructure, and integrating Data Privacy Practices into Governance, Regulatory Risk, and Compliance Oversight Frameworks. Dedicated to fostering customer-centric product design and enhancing overall customer experience.

**CORE FUNCTION COMPETENCIES:**

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| * Enterprise PMO – Program, Product, Organization, and Enterprise Change Management * M&A, Application Modernization, Service Migration, Enterprise Data Risk Product, Platform & Data Analytics |
| * Open Banking, Digital Wallet & Cards (B2B, B2C, and D2C) Payment Processing API |
| * Business Strategy & Planning, Team Leadership, Stakeholder Management & Executive Reporting |
| * Regulatory Engagement, Operation Risk & Compliance (GRC, AML/KYC, Sanctions, MCA/RCSA, Internal Audit) |
| * Data Privacy, IAM, Cyber Security Policies, Standards, SIEM, SOAR (Advanced / Predictive), and SOC |
| * AI/ ML Emerging Technologies, GenAI, ChatGPT, MS AzureAI, GogleAI & Cloud Computing |
| * Global Resource Location Strategy, BCP / DR and Succession Planning |

**PROFESSIONAL EXPERIENCE:**

**Citibank** NA, New York, NY **September 2017 - Current**

**Sr Principal, Risk Products, Platforms and Analytics**

* Oversaw a US$ 96M+ MYP Budget spanning 28+ projects and 460+ global headcount, as part of a comprehensive Bank Modernization & Digital Transformation initiative under CIO leadership. Spearheaded enterprise-wide efforts to integrate Gen AI/ML, Cloud Computing, M&A, Corporate Resource Location Strategy, HR/Talent/LMS transformation, Agile/DevOps adoption, Single Sourcing of Data, Architecture Convergence, and Platform Rationalization. Achieved significant milestones in Market Reach Expansion and Revenue Growth, with a focus on bolstering Digital Payments Risk Data Governance & Controls.
* Delivered regulatory commitments (24), MRA (12), and Consent Order (8), ensuring properly packaged responses to the OCC and regulatory exams. I defined and published a multiyear roadmap for a risk data-focused centralized analytics/reporting IT asset rationalization, which got buy-in for the cloud business case and defined target potential savings of up to US$8M+ over three years.
* Engage in the full spectrum of services across Cyber Security Operation Risk & Compliance Controls landscape (Cyber Fusion Center, Security Operations Center, Network Security, Endpoint Security, Incident Response, Forensics, Threat Intelligence, Vulnerability Management, Penetration Testing & Red / Blue / Purple Teaming, Fraud Investigation Analytics, and Insider Threat) to Security Architecture and Engineering (Network, Platform, Cloud, and Applications Security).
* Led Business Use Casing, Defining BoW prioritization, Budgeting, Resourcing through T-Shirt Sizing Demand vs Capacity aligning with firm Global location Strategy, Product / Program Road Mapping, Quality Toll Gating, P/L Tracking, Staging Environment Readiness, and Release Planning.
* Found a Change Advisory Board to execute Change Control, define Key Milestone & Resource Task Allocation, follow up on progress & roadblocks, and timely review of mitigation strategy to successful closure.
* I developed a Business Case to adopt emerging technologies and build complex systems at scale, enabling human-in-the-loop workflows (prompt generation, response rewrites, side-by-side evals) for Gen AI/ML, MS Azure AI, GoogleAI, GenAI & Blockchain integration initiatives. This strategy delivered high-quality data for training/model tuning in almost real-time delivery.
* Oversaw PI Planning, Defining EPIC, Feature Identification, Requirement/Backlog Grooming, Release/Sprint Planning, Architect/Design Review, Code Versioning, Kanban Board Tracking, and QA/UAT Planning & ALM Defect Triage, Sprint Demo & Retrospective/Lesson Learned Meetings.
* I organized enterprise data into 22 domains, which enabled standardizing and centralizing the business analytics, reporting, and data wrangling capabilities supporting ongoing research in security tools, techniques, and procedures. I pursued advanced threat detection initiatives based on aggressive security principles, control automation, machine learning algorithms, and threat mitigation techniques.
* My efforts played a vital role in integrating GenAI with Internal Audit, Tableau Risk Data Assessment, and SPLUNK Reporting frameworks, delivering advanced capabilities to triage data of anomalous events collected by User Behavior Analytics (UBA), User Activity Monitoring (UAM), DLP, SCADA, SIEM/DFIR technologies, and other tools. I used my skills to decipher underlying trends, uncover anomalies, and discern obscure patterns and attributes of potential Insider threat activities and Predictive Analytics.

**JP Morgan Chase & Co**, New York, NY **November 2014 - August 2017**

**Sr Principal, Oversight & Control System Technology**

* Orchestrated portfolio management for Oversight & Control (O&C) initiatives within a $95+ million / 600+ Control Systems Technology (CST) global organization, aligning technology solutions with regulatory mandates and the firm’s risk and controls agenda – RADAR, Controls Room, NBIA & FORCE. Oversaw CST Data Management Services, PMO, and F&BMO, while leading a team of 8 direct reports and managing a matrix team of 90+.
* Engineered robust portfolio reporting standards, elevating the quality and precision of senior management scorecards and status reports. Achieved compliance with BCBS 239 Basel Committee on Banking Supervision standards by enhancing data quality, entitlements, and data models.
* Founded the establishment of a Data Management Services CoE (DMO), enhancing data asset management. Led a cross-functional data management working group across JPMC to disseminate data best practices, processes, and tools, aligning CST with firm-wide data management strategy and standards.
* Strengthened O&C portfolio governance and fostered consistent tools and best practices by instituting a formal PMO, F&BMO, delivered optimized organizational performance by bolstering F&BM capabilities across financial, resource, vendor, and talent Management, resulting in $6 million in savings through infrastructure optimization. Enhanced financial Management by implementing standing operating procedures, resource models, and training plans, ensuring adherence to firm-wide financial target metrics.
* Spearheaded the redesign and implementation of an automated generic risk data upload model, reducing data onboarding timelines from 3 months to 2 weeks. Drove automation in global risk management through innovative adoption of Machine Learning, AI, and Robotics technologies.
* Successfully piloted the build-out of a new, larger global data center and seamlessly migrated 200 employees in US operations over a single weekend with zero disruption to global services, demonstrating proficiency in BCP/DR planning.
* Executed Corporate Global Location Strategy by spearheading the rollout of global operations, establishing two new data centers, ensuring LOB compliance with SAS70 and SSAE16 standards, and developing Disaster Recovery/Business Continuity plans for key operations.

**Citibank NA,** New York, NY **August 2010 – November 2014**

**Principal, Program Manager Head, Card Payment Risk, Receivables & Wholesale Card**

* Retooled and modernized all aspects of Retail/Commercial Bank Card, Treasury Payment Process / Settlement, Receivables & Risk. Spearheaded reengineering initiatives for the GPRT data platform generating 5000+ daily settlements between Citi and its clients. Improved processing speed, accelerated client onboarding process, addressed operation pain points (120+ key controls), reduced production incidents (by 26%), and the cost of application support (by 34%).
* Consolidated redundant server rooms in post-M&A integration bringing US $1.8m CAPEX & US $580K+ in annual operating expenses savings. Scaled the payment engine to handle 10+ times of transaction volume, significantly enhanced FX capabilities, launched new capabilities doubling the distribution network to handle 150+ countries; supported 4 consecutive years of 22% annual growth tracked through delivering Strategic & Operation Risk Score Card- TQi, reporting controls maturity through 100+function data points for 280+ applications.
* Collaborated with Executive Board level stakeholders and external payment partners for network expansion which enabled the bank to connect with local ACH, RTGS, mobile disbursements, and digital wallets and assist in driving solution architecture, developing a deep understanding of local clearing networks, mechanics behind new disbursement methods like mobile and digital wallets, redesigning processes for Core Payments including Retail and Corporate payments, particularly with ACH, Wires, EFT, SWIFT, TSYS, Faster Payment, Payment Messaging, ISO20022, Direct Pay B2C& B2B payments.
* Influenced key players during the development of enterprise data strategies and built functional constructs within the IT organization to drive and implement identified technology solutions by working closely with multiple business groups to understand and capture modeling capability needs.
* Led development and rolled out real-time transactional processing framework for middle/back office processing and settlement services across various LOBs in a multi-year roadmap that streamlined trade processing, prime brokerage, sub-ledger, and settlement processing.
* Modernized technology for 4,500 financial analyst desk users, resulting in a ~ 45% drop in overall operating costs. Enabled platform to run an additional 5000 virtual machines while building next-gen infrastructure design enabling accelerated delivery timeline by 28%.

**ADDITIONAL EXPERIENCE:**

**PMO, Program Manager** at **Moody’s Analytics**, New York, NY from November 2008 to August 2010

**Principal Technical Program Manager** at **Microsoft**, Redmond, WA from April 2008 to November 2008

**Managing Consultant** at **Motorola**, Schaumberg, IL from August 2005 to March 2008.

**EDUCATION:**

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| * MBA (MIS & Finance), Graduated in 2006, from Brennan School of Business, Dominican University, IL, US |
| * B. Tech. (Computer Engineering), Graduated in 2004 from MNREC, UPTU, India. |

**PROFESSIONAL TRAINING:**

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| * Executive Diploma in Strategic Leadership & Management Specialization from the University of Illinois, UC, IL, US |
| * Executive Diploma in Business Data Analytics & Financial Model from Wharton, University of Pennsylvania, PA, US |
| * Executive Diploma in Financial Engineering and Risk Management from Columbia University, NY, US |
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**CERTIFICATION:**

* ISACA – CISA, CRISC*;* PMI – PMP AWS Certified – Cloud, AI (ML / DL), IoT Practitioner, NACHA – APRP,
* Six Sigma Black Belt Certified, Exin ITIL v3.0 Trained; SAFe - Advanced SCRUM Master (SASM);
* MCSE; MCDBA, IBM-WebSphere.

**CORE TECHNOLOGY COMPETENCIES:**

* Program / Product Management (Clarity, PPM, JIRA, Confluence, Quality Center, MS Project Plan, SharePoint)
* AI/ML (OpenAI, MS Azure AI, GenAI, ChatGPT), and Cloud Computing (Google GCP, AWS, Azure)
* Emerging Tech Framework (PyTorch, TensorFlow) & Platform (Azure ML, AWS SageMaker, Google CloudAI)
* SIEM Security (MS Azure IAM, CyberFusion Center, Sailpoint, CyberArk, Imperva)
* RCSA & Audit Data Analytics (Tableau, QlikView, PowerBI, Cognos, SPLUNK)
* Configurations Management/Automation (Ansible, Puppet, Chef)
* Scripting (Python, Ruby), Network Services (R&S, Firewalls), Virtualization (VMware, KVM, hyper-V)