

MATH 239 Spring 2012: Assignment 3

Solutions

1. {8 marks} At an intergalactic yard sale, there are three distinct planets costing 5, 7 and 9 gold coins respectively, one comet costing 12 gold coins, 120 identical stars selling for 3 gold coins each, and an unlimited supply of star bits selling for 2 gold coins each. For a positive integer n , how many ways can one spend n gold coins in this sale?

Solution. We represent the cost of each way of buying as (a_1, \dots, a_6) where a_1, a_2, a_3 represent the cost of the planets with the price of 5, 7, 9 coins respectively, a_4 represent the cost of the comet, a_5 represent the cost of the stars, and a_6 represent the cost of the star bits. The set we are enumerating is

$$S = \{0, 5\} \times \{0, 7\} \times \{0, 9\} \times \{0, 12\} \times \{0, 3, 6, \dots, 360\} \times \{0, 2, 4, 6, \dots\}.$$

The weight is

$$w(a_1, \dots, a_6) = a_1 + \dots + a_6.$$

Using the product lemma, the generating series is

$$\Phi_S(x) = \frac{(1+x^5)(1+x^7)(1+x^9)(1+x^{12})(1-x^{363})}{(1-x^3)(1-x^2)}.$$

The answer is the coefficient of x^n in this series.

Alternate solution. We can enumerate the set as

$$S = \{0, 1\}^4 \times \{0, 1, 2, \dots, 120\} \times \mathbb{N}_0$$

and use the weight function

$$w(a_1, \dots, a_6) = 5a_1 + 7a_2 + 9a_3 + 12a_4 + 3a_5 + 2a_6.$$

This will lead to the same generating series.

2. {8 marks} Let k be a fixed integer. How many compositions of n with k parts are there where each part is congruent to 1 modulo 5? Determine an explicit formula.

Solution. Let $P = \{1, 6, 11, 16, \dots\}$ be the set of all integers congruent to 1 modulo 5. Then the set we are enumerating is P^k . The generating series is

$$\Phi_{P^k}(x) = (\Phi_P(x))^k = \left(\frac{x}{1-x^5} \right)^k.$$

The answer is

$$[x^n] \left(\frac{x}{1-x^5} \right)^k = [x^{n-k}] \frac{1}{(1-x^5)^k} = \begin{cases} 0 & \text{if } 5 \nmid n-k \\ \binom{\frac{n-k}{5}+k-1}{k-1} & \text{if } 5 \mid n-k \end{cases}$$

3. {8 marks} Let m be a fixed integer. How many compositions of n are there where the largest part is at most m ?

Solution. We are looking at compositions (of any number of parts) where each part is at most m . So the set we are enumerating is $S = \bigcup_{k \geq 0} [m]^k$. Using the sum lemma, we get

$$\begin{aligned}\Phi_S(x) &= \sum_{k \geq 0} \Phi_{[m]^k}(x) \\ &= \sum_{k \geq 0} \left(\frac{x(1-x^m)}{1-x} \right)^k \\ &= \frac{1}{1 - \frac{x-x^{m+1}}{1-x}} \\ &= \frac{1-x}{1-2x+x^{m+1}}\end{aligned}$$

So the answer is $[x^n] \frac{1-x}{1-2x+x^{m+1}}$.

4. {Extra credit: 5 marks} How many compositions of n into three parts are there where $n = a_1 + a_2 + a_3$ and $a_1 < a_2 < a_3$?

Solution. Let $S = \{(a_1, a_2, a_3) | 1 \leq a_1 < a_2 < a_3\}$. We define the weight on S as $w(a_1, a_2, a_3) = a_1 + a_2 + a_3$. We find a bijection between S and \mathbb{N}^3 as follows: Define $f : S \rightarrow \mathbb{N}^3$ by

$$f(a_1, a_2, a_3) = (a_1, a_2 - a_1, a_3 - a_2).$$

This has an inverse $g : \mathbb{N}^3 \rightarrow S$ where

$$g(b_1, b_2, b_3) = (b_1, b_1 + b_2, b_1 + b_2 + b_3).$$

It is clear that both functions are well-defined. Note that for each $(a_1, a_2, a_3) \in S$,

$$a_1 + a_2 + a_3 = 3a_1 + 2(a_2 - a_1) + (a_3 - a_2).$$

So if we define the weight on \mathbb{N}^3 to be $w'(b_1, b_2, b_3) = 3b_1 + 2b_2 + b_3$, then

$$w(a_1, a_2, a_3) = w'(f(a_1, a_2, a_3)).$$

In particular, the generating series for S with respect to w is the same as the generating series of \mathbb{N}^3 with respect to w' , which is

$$\left(\frac{x^3}{1-x^3} \right) \left(\frac{x^2}{1-x^2} \right) \left(\frac{x}{1-x} \right) = \frac{x^6}{(1-x^3)(1-x^2)(1-x)}.$$

The answer is then the coefficient of x^n in this series.

5. {8 marks} For any $n \in \mathbb{N}_0$, let E_n be the set of all compositions of n with even number of parts, and let O_n be the set of all compositions of n with odd number of parts. Prove that for $n \geq 2$, $|E_n| = |O_n|$.

Solution. We can enumerate the set of all compositions with odd number of parts by $O = \bigcup_{k \geq 0} \mathbb{N}^{2k+1}$. Also, we can enumerate the set of all compositions with even number of parts by

$E = \bigcup_{k \geq 0} \mathbb{N}^{2k}$. If we look at the difference between the generating series for the two sets, we get

$$\begin{aligned} \Phi_O(x) - \Phi_E(x) &= \sum_{k \geq 0} \left(\frac{x}{1-x} \right)^{2k+1} - \sum_{k \geq 0} \left(\frac{x}{1-x} \right)^{2k} \\ &= \left(\sum_{k \geq 0} \left(\frac{x}{1-x} \right)^{2k} \right) \left(\frac{x}{1-x} - 1 \right) \\ &= \frac{1}{1 - \frac{x^2}{(1-x)^2}} \frac{-1+2x}{1-x} \\ &= \frac{(1-x)^2 - 1 + 2x}{1-2x} \frac{1}{1-x} \\ &= x - 1 \end{aligned}$$

For $n \geq 2$, since the coefficient is 0, it means that $[x^n]\Phi_O(x) = [x^n]\Phi_E(x)$. Hence $|O_n| = |E_n|$.

Alternate solution. We can find a bijection between E_n and O_n . For a composition $(a_1, \dots, a_k) \in E_n$, define $f : E_n \rightarrow O_n$ by

$$f(a_1, \dots, a_k) = \begin{cases} (a_1 + a_2, a_3, \dots, a_k) & \text{if } a_1 = 1 \\ (1, a_1 - 1, a_2, \dots, a_k) & \text{if } a_1 > 1 \end{cases}$$

Note that this bijection only works if $n \geq 2$. Because we change the number of parts by 1 (added one part in the first case, subtracted one part in the second case), we have mapped a composition in E_n to a composition in O_n . The inverse is the same function, and it is easy to show that it is the inverse. Since f is a bijection, $|E_n| = |O_n|$.

6. {8 marks} Let $\{a_n\}$ be the sequence where

$$\sum_{n \geq 0} a_n x^n = \frac{1 + 2x^3}{1 - 2x + x^3 - 3x^4}.$$

Determine a recurrence relation that $\{a_n\}$ satisfies, with sufficient initial conditions to uniquely specify $\{a_n\}$.

Solution. Multiplying the denominator gives us

$$\begin{aligned} 1 + 2x^3 &= (1 - 2x + x^3 - 3x^4) \sum_{n \geq 0} a_n x^n \\ &= \sum_{n \geq 0} a_n x^n - 2 \sum_{n \geq 0} a_n x^{n+1} + \sum_{n \geq 0} a_n x^{n+3} - 3 \sum_{n \geq 0} a_n x^{n+4} \\ &= a_0 + (a_1 - 2a_0)x + (a_2 - 2a_1)x^2 + (a_3 - 2a_2 + a_0)x^3 + \sum_{n \geq 4} (a_n - 2a_{n-1} + a_{n-3} - 3a_{n-4})x^n. \end{aligned}$$

The constant term is 1, so $a_0 = 1$. The x term is 0, so $a_1 - 2a_0 = 0$, hence $a_1 = 2$. The x^2 term is also 0, so $a_2 - 2a_1 = 0$, hence $a_2 = 4$. The x^3 term has coefficient 2, so $a_3 - 2a_2 + a_0 = 2$, hence $a_3 = 9$. For any higher powers of x , the coefficient is 0. Hence for $n \geq 4$,

$$a_n - 2a_{n-1} + a_{n-3} - 3a_{n-4} = 0,$$

with initial conditions $a_0 = 1, a_1 = 2, a_2 = 4, a_3 = 9$.

7. {10 marks}

- (a) Let a_n denote the number of compositions of n . In class, we found out that for $n \geq 1$, $a_n = 2^{n-1}$. This tells us that for $n \geq 2$, a_n satisfies the recurrence $a_n = 2a_{n-1}$. Give a combinatorial interpretation of this recurrence.

Solution. Let C_n be the set of all compositions of n . Partition C_n into two sets A and B where A contains all compositions of n where the last part is 1, and B contains all compositions of n where the last part is greater than 1. We will establish two bijections: one between A and C_{n-1} , and another between B and C_{n-1} . This will prove that $|C_n| = |A| + |B| = 2|C_{n-1}|$, i.e. $a_n = 2a_{n-1}$.

For each composition $(a_1, \dots, a_{k-1}, 1) \in A$, we define a bijection $f : A \rightarrow C_{n-1}$ by

$$f(a_1, \dots, a_{k-1}, 1) = (a_1, \dots, a_{k-1}).$$

Clearly (a_1, \dots, a_{k-1}) is a composition of $n-1$, hence it is in C_{n-1} . The inverse is simple: for any $(c_1, \dots, c_l) \in C_{n-1}$, define $g : C_{n-1} \rightarrow A$ by

$$g(c_1, \dots, c_l) = (c_1, \dots, c_l, 1).$$

It is easy to see that this is the inverse, hence f is a bijection.

For each composition $(b_1, \dots, b_k) \in B$ (where we know $b_k \geq 2$), we define another bijection $f' : B \rightarrow C_{n-1}$ by

$$f'(b_1, \dots, b_k) = (b_1, \dots, b_{k-1}, b_k - 1).$$

The inverse is $g' : C_{n-1} \rightarrow B$ where

$$g'(c_1, \dots, c_l) = (c_1, \dots, c_{l-1}, c_l + 1).$$

So f' is a bijection.

- (b) Let b_n denote the total number of parts of all possible composition of n . For example, compositions of 3 are $(3), (1, 2), (2, 1), (1, 1, 1)$, so $b_3 = 1 + 2 + 2 + 3 = 8$. Determine a recurrence relation that $\{b_n\}$ satisfies, with sufficient initial conditions to uniquely specify $\{b_n\}$. Use your recurrence to generate b_5 .

Solution. In the two bijections in part (a), each composition in A has one more part than its corresponding composition in C_{n-1} , and each composition in B has the same number of parts as its corresponding composition in C_{n-1} . So the total number of parts in C_n is then 2 times the total number of parts in C_{n-1} , plus the number of compositions in C_{n-1} . So the recurrence is

$$b_n = 2b_{n-1} + a_{n-1} = 2b_{n-1} + 2^{n-2}.$$

This works for $n \geq 2$, so we need the initial conditions $b_0 = 0, b_1 = 1$. This gives us that

$$b_2 = 2 + 1 = 3, b_3 = 6 + 2 = 8, b_4 = 16 + 4 = 20, b_5 = 40 + 8 = 48.$$