

MATH 239 - Tutorial 3

Jan 23, 2013

1. Let $S = \{(a, b, c) : a, b \in \mathbb{N}_0, c \in \{0, 1\}\}$. Let the weight w of $(a, b, c) \in S$ be given by $w(a, b, c) = a+b+c$. Find a formula for $[x^n]\Phi_S(x)$.

Solution

We will begin by finding the generating series of S . Define a weight function w_1 on \mathbb{N}_0 , with $w_1(a) = a$ for all $a \in \mathbb{N}_0$, and a weight function w_2 on $\{0, 1\}$ with $w_2(c) = c$. Then the conditions of the product lemma apply, and

$$\begin{aligned}
 \Phi_S(x) &= \Phi_{\mathbb{N}_0^2 \times \{0,1\}}(x) \\
 &= [\Phi_{\mathbb{N}_0}(x)]^2 \cdot \Phi_{\{0,1\}}(x) \\
 &= \left[\sum_{i \geq 0} x^i \right]^2 (1+x) \\
 &= (1-x)^{-2} (1+x) \\
 &= (1+x) \sum_{n \geq 0} \binom{n+1}{1} x^n, \text{ by Thm 1.6.5,} \\
 &= \sum_{n \geq 0} (n+1)x^n + \sum_{n \geq 0} (n+1)x^{n+1} \\
 &= \sum_{n \geq 0} (n+1)x^n + \sum_{n \geq 1} nx^n, \text{ by reindexing,} \\
 &= 1 + \sum_{n \geq 1} (2n+1)x^n.
 \end{aligned}$$

So

$$[x^n]\Phi_S(x) = \begin{cases} 1, & \text{if } n = 0, \\ 2n+1, & \text{if } n \geq 1. \end{cases}$$

2. Let k be a fixed positive integer. Let a_n denote the number of compositions of n with k parts, such that no part is divisible by 3.

- (a) Find a set S and a weight function ω defined on S such that a_n is equal to the number of elements σ of S with $\omega(\sigma) = n$.
- (b) Find a generating series $\Phi_S(x)$ with respect to the weight function ω .
- (c) For $k = 1$, Determine a recurrence relation that $\{a_n\}$ satisfies, together with sufficient initial conditions.
- (d) Find a_n explicitly in terms of n and k .

Solution.

- (a) Let $P := \{1, 2, 4, 5, 7, 8, 10, 11, \dots\}$ be the set of all integers not divisible by 3. Let $S = P^k$, for each $\sigma = (s_1, s_2, \dots, s_k) \in S$ define the weight function $\omega(\sigma) = \sum_{i=1}^k s_i$. Then a_n is equal to the number of elements in S with weight n .
- (b) For P , define the weight function $\alpha(i) = i$. Let $P_1 = \{r \in P : r \equiv 1 \pmod{3}\}$ and $P_2 = \{r \in P : r \equiv 2 \pmod{3}\}$. Now we have that

$$\begin{aligned}\Phi_{P_1}(x) &= x^1 + x^4 + x^7 + x^{10} + \dots = \sum_{i \geq 0} x^{3i+1} = x \sum_{i \geq 0} x^{3i} = x \frac{1}{1-x^3} \\ \Phi_{P_2}(x) &= x^2 + x^5 + x^8 + x^{11} + \dots = \sum_{i \geq 0} x^{3i+2} = x^2 \sum_{i \geq 0} x^{3i} = x^2 \frac{1}{1-x^3}\end{aligned}$$

As $P_1 \cup P_2$ is a partition of P , by the sum lemma, we have that

$$\Phi_P(x) = x \frac{1}{1-x^3} + x^2 \frac{1}{1-x^3} = \frac{x+x^2}{1-x^3}$$

Now using the product lemma (recall that $S = P^k$ and that $\omega(\sigma) = \sum_{i=1}^k \alpha(s_i)$), we obtain that

$$\Phi_S(x) = \Phi_{P^k}(x) = (\Phi_P(x))^k = \left(\frac{x+x^2}{1-x^3} \right)^k$$

- (c) We have that

$$\begin{aligned}\sum_{i \geq 0} a_i x^i &= \frac{x+x^2}{1-x^3} \\ (1-x^3) \sum_{i \geq 0} a_i x^i &= x+x^2 \\ \sum_{i \geq 0} a_i x^i - \sum_{i \geq 0} a_i x^{i+3} &= x+x^2 \\ \sum_{i \geq 0} a_i x^i - \sum_{i \geq 3} a_{i-3} x^i &= x+x^2 \\ a_0 + a_1 x + a_2 x^2 + \sum_{i \geq 3} (a_i - a_{i-3}) x^i &= x+x^2\end{aligned}$$

We know that two formal power series are the same if the coefficients for all x^i are the same. So we get that $a_0 = 0$, $a_1 = 1$, $a_2 = 1$, and for $i \geq 3$ that $a_i - a_{i-3} = 0$. Thus we get that $a_i = a_{i-3}$ for $i \geq 3$.

This means that $a_{3j} = 0$ while $a_{3j+1} = a_{3j+2} = 1$ and so our power series looks like

$$x + x^2 + x^4 + x^5 + x^7 + x^8 + \dots = x \sum_{i \geq 0} x^{3i} + x^2 \sum_{i \geq 0} x^{3i}$$

as expected.

- (d)

$$\begin{aligned}\left(\frac{x+x^2}{1-x^3} \right)^k &= x^k (1+x)^k (1-x^3)^{-k} = x^k \sum_{j \geq 0} \binom{k}{j} x^j \sum_{i \geq 0} \binom{i+k-1}{k-1} x^{3i} = \\ &= \sum_{r \geq 0} \left(\sum_{i=0}^{\lfloor r/3 \rfloor} \binom{k}{r-3i} \binom{i+k-1}{k-1} \right) x^r\end{aligned}$$

$$\text{So } [x^n] \Phi_S(x) = [x^{n-k}] \sum_{r \geq 0} \left(\sum_{i=0}^{\lfloor r/3 \rfloor} \binom{k}{r-3i} \binom{i+k-1}{k-1} \right) x^r = \sum_{i=0}^{\lfloor (n-k)/3 \rfloor} \binom{k}{n-k-3i} \binom{i+k-1}{k-1}$$

3. Let $\{a_n\}$ be the sequence with the corresponding power series

$$\sum_{n \geq 0} a_n x^n = \frac{1 - x + 2x^2}{1 - x - 2x^3}.$$

Determine a recurrence relation that $\{a_n\}$ satisfies, together with sufficient initial conditions. Use this recurrence to find a_5 .

Solution.

$$\begin{aligned} 1 - x + 2x^2 &= (1 - x - 2x^3) \sum_{n \geq 0} a_n x^n \\ 1 - x + 2x^2 &= \sum_{n \geq 0} a_n x^n - \sum_{n \geq 0} a_n x^{n+1} - \sum_{n \geq 0} 2a_n x^{n+3} \\ 1 - x + 2x^2 &= \sum_{n \geq 0} a_n x^n - \sum_{n \geq 1} a_{n-1} x^n - \sum_{n \geq 3} 2a_{n-3} x^n \\ 1 - x + 2x^2 &= a_0 + (a_1 - a_0)x + (a_2 - a_1)x^2 + \sum_{n \geq 3} (a_n - a_{n-1} - 2a_{n-3})x^n \end{aligned}$$

So $a_0 = 1$, $a_1 - a_0 = -1$ thus $a_1 = 0$. $a_2 - a_1 = 2$, then $a_2 = 2$. For $n \geq 3$, $a_n - a_{n-1} - 2a_{n-3} = 0$. Therefore the recurrence relation is the following

$$a_n = a_{n-1} + 2a_{n-3}, \text{ for } a_0 = 1, a_1 = -1$$

To find a_5 ,

$$a_3 = a_2 + 2a_0 = 4, a_4 = a_3 + 2a_1 = 2, a_5 = a_4 + 2a_2 = 8$$

4. Let a_N denote the number of compositions of N with an odd number of parts, in which each part is a positive odd integer. Find a_N .

Solution

We want to find a generating series with a_N as coefficients. First consider the generating series for the odd numbers:

$$x + x^3 + x^5 + x^7 + \cdots = \sum_{n \geq 0} x^{2n+1} = x \sum_{n \geq 0} (x^2)^n = \frac{x}{1 - x^2}$$

Consider the number of ways we can write N as the sum of k different odd numbers. Using the product lemma, we get that this is:

$$\left(\frac{x}{1 - x^2} \right)^k$$

We are looking for all partitions with an odd number of parts, so we have to consider the union of the partitions with k parts for odd k . By the sum lemma, we sum the above over all odd k , or equivalently over all j writing $k = 2j + 1$:

$$\begin{aligned}
\sum_{k \geq 0 \text{ odd}} \left(\frac{x}{1-x^2} \right)^k &= \sum_{j \geq 0} \left(\frac{x}{1-x^2} \right)^{2j+1} \\
&= \sum_{j \geq 0} \left(x^{2j+1} \left(\frac{1}{1-x^2} \right)^{2j+1} \right) \\
&= \sum_{j \geq 0} \left(x^{2j+1} \sum_{n \geq 0} \binom{n + (2j+1) - 1}{(2j+1) - 1} x^{2n} \right) \quad \text{by Thm 1.6.5} \\
&= \sum_{j \geq 0} \sum_{n \geq 0} \binom{n + 2j}{2j} x^{2n+2j+1}
\end{aligned}$$

Looking at this sum, we see that all terms x^k have an odd exponent k . This means that the coefficient for x^N is 0 if N is even, so we cannot write an even number as a sum of an odd amount of odd numbers.

If N is odd, then we want those terms where $2n + 2j + 1 = N$, so we write $j = (N - 1)/2 - n$, and the N -th coefficient of this is

$$\sum_{n \geq 0} \binom{n + 2j}{2j} = \sum_{n \geq 0} \binom{N - n - 1}{N - 2n - 1}$$

Note that this is a finite sum, as for $n \geq N/2$ the binomial coefficient is 0.