

## MATH 239 – Tutorial 5

**1.**

Let  $\{a_n\}$  be the sequence that satisfies the recurrence

$$a_n - 3a_{n-2} + 2a_{n-3} = 0$$

for  $n \geq 3$ , with initial conditions  $a_0 = 4$ ,  $a_1 = -1$ ,  $a_2 = 3$ . Determine an explicit formula for  $a_n$ .

**Solution**

The characteristic polynomial is  $x^3 - 3x + 2 = (x - 1)^2(x + 2)$ , which has roots  $x = 1$  with multiplicity 2 and  $x = -2$  with multiplicity 1. So

$$a_n = An + B + C(-2)^n.$$

for some constants  $A$ ,  $B$  and  $C$ . From the initial conditions, we have  $A = -2$ ,  $B = 3$ , and  $C = 1$ . So

$$a_n = -2n + 3 + (-2)^n.$$

**2.**

Let  $\{b_n\}$  be the sequence that satisfies the recurrence

$$b_n - 3b_{n-2} + 2b_{n-3} = 12$$

for  $n \geq 3$ , with initial conditions  $b_0 = 0$ ,  $b_1 = 8$ , and  $b_2 = 2$ . Determine an explicit formula for  $b_n$ .

**Solution**

For a specific solution  $c_n$ , it can be seen that  $c_n = \alpha$  or  $c_n = \alpha n$  does not work (show). So we check  $c_n = \alpha n^2$ , which gives

$$c_n - 3c_{n-2} + 2c_{n-3} = 6\alpha.$$

So  $\alpha = 2$ , and  $2n^2$  is a specific solution. Then

$$b_n = 2n^2 + An + B + C(-2)^n$$

(from Q1) for some constants  $A$ ,  $B$  and  $C$ . Use the initial conditions to get  $A = 0$ ,  $B = 2$ , and  $C = -2$ . So

$$b_n = 2n^2 + 2 + (-2)^{n+1}.$$

**3.**

(a) Find  $a$  and  $b$  such that

$$\frac{11-2x}{(2-x)(3+2x)} = \frac{a}{2-x} + \frac{b}{3+2x}.$$

(b) Find a closed-form expression for

$$[x^n] \frac{11-2x}{(2-x)(3+2x)}.$$

**Solution**

(a) Expanding the right-hand side gives

$$\frac{a}{2-x} + \frac{b}{3+2x} = \frac{a(3+2x) + b(2-x)}{(2-x)(3+2x)} = \frac{(3a+2b) + (2a-b)x}{(2-x)(3+2x)},$$

so we have  $3a+2b=11$  and  $2a-b=-2$ , which has the solution  $a=1$ ,  $b=4$ .

(b) We have

$$\frac{1}{2-x} = \left(\frac{1}{2}\right) \left(\frac{1}{1-\frac{x}{2}}\right) = \frac{1}{2} \sum_{n \geq 0} \left(\frac{x}{2}\right)^n$$

and

$$\frac{4}{3+2x} = \left(\frac{4}{3}\right) \left(\frac{1}{1+\frac{2}{3}x}\right) = \frac{4}{3} \sum_{n \geq 0} \left(-\frac{2}{3}x\right)^n,$$

so

$$\begin{aligned} [x^n] \frac{11-2x}{(2-x)(3+2x)} &= [x^n] \left( \frac{1}{2-x} + \frac{4}{3+2x} \right) \\ &= \frac{1}{2} \left(\frac{1}{2}\right)^n + \frac{4}{3} \left(-\frac{2}{3}\right)^n \\ &= \frac{1}{2^{n+1}} + \frac{(-1)^n 2^{n+2}}{3^{n+1}}. \end{aligned}$$

#### 4. (Problem Set 2.8)

Find the generating series (with respect to length) for the set of binary strings that do not contain the substring 0110.

**Solution**

Let  $L$  denote the set of strings that do not contain the substring 0110, Let  $M$  denote the set of strings that contain the substring 0110 exactly once, as a suffix. Claim:

$$\begin{aligned} \{\epsilon\} \cup L\{0,1\} &= L \cup M \\ L\{0110\} &= M \cup M\{110\} \end{aligned}$$

(See Problem 2.8.2) For the first equation, if we append a bit to  $\epsilon$  or a string in  $L$ , the resulting string is either in  $L$  or in  $M$ , which shows that  $\{\epsilon\} \cup L\{0, 1\} \subseteq L \cup M$ . To show the other inclusion, let  $\alpha \in L \cup M$ . If  $\alpha \in L$ , then  $\alpha$  is either the empty string, or we can remove the last bit to obtain another string in  $L$ , so  $\alpha \in L\{0, 1\}$ . If  $\alpha \in M$ , then by removing the last bit from  $\alpha$ , it destroys the only copy of 0110 in  $\alpha$ . The remaining string is in  $L$ , so  $\alpha \in L\{0, 1\}$  as well. This shows that  $\{\epsilon\} \cup L\{0, 1\} \supseteq L \cup M$ , hence equality holds.

For the second equation, if  $a \in L$ , then  $b = a0110$  clearly contains 0110 as a substring, but it might contain multiple copies. If  $a$  ends in 011 then  $b$  contains two copies of 0110, and dropping the final 110 from  $b$  gives us a string in  $M$ . Otherwise,  $b$  contains only one copy of 0110, so  $b \in M$ . Therefore  $L\{0110\} \subseteq M \cup M\{110\}$ . On the other hand, it is clear that  $M \subseteq L\{0110\}$ , and  $M\{110\} \subseteq L\{0110\}$ , since strings in  $M$  and  $M\{110\}$  can all be formed by appending 0110 to a string with no occurrence of 0110. Therefore  $M \cup M\{0, 1\} \subseteq L\{0110\}$ , and the second equation holds.

By the sum and composition rules, we have

$$\begin{aligned} 1 + 2x\Phi_L(x) &= \Phi_L(x) + \Phi_M(x) \\ x^4\Phi_L(x) &= (1 + x^3)\Phi_M(x). \end{aligned}$$

From the second equation,  $\Phi_M(x) = \frac{x^4}{1+x^3}\Phi_L(x)$ . Using this in the first equation gives

$$1 = \left[ (1 - 2x) + \frac{x^4}{1 + x^3} \right] \Phi_L(x),$$

so

$$\Phi_L(x) = \left[ (1 - 2x) + \frac{x^4}{1 + x^3} \right]^{-1}.$$

This can be simplified to the rational form, giving

$$\frac{1 + x^3}{1 - 2x + x^3 - x^4}$$

for  $n \geq 0$ .