

## MATH 239 - Tutorial 2

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1. Determine  $[x^n]x(1+2x)^{-2}$ .

**Solution 1.** We use the formula for the inverse:

$$\sum_{n \geq 0} y^n = \frac{1}{1-y}$$

Set  $y = -2x$  to get

$$\frac{1}{1+2x} = \sum_{n \geq 0} (-2)^n x^n$$

To compute

$$\left( \sum_{n \geq 0} (-2)^n x^n \right)^2$$

we use the product rule which states

$$\left( \sum_{n \geq 0} a_n x^n \right) \left( \sum_{n \geq 0} b_n x^n \right) = \sum_{n \geq 0} \left( \sum_{i=0}^n a_i b_{n-i} \right) x^n$$

By setting  $a_i = b_i = (-2)^i$  we get

$$\frac{1}{1+2x^2} = \left( \sum_{n \geq 0} (-2)^n x^n \right)^2 = \sum_{n \geq 0} \left( \sum_{i=0}^n (-2)^i \right) x^n = \sum_{n \geq 0} (n+1)(-2)^n x^n$$

So

$$\frac{x}{1+2x^2} = \sum_{n \geq 0} (n+1)(-2)^n x^{n+1}$$

and thus

$$[x^n] \frac{x}{1+2x^2} = n(-2)^{n-1}$$

**Solution 2.** Use Theorem 1.6.5 to determine  $(1+2x)^{-2}$ . The theorem states:

$$(1-y)^{-k} = \sum_{n \geq 0} \binom{n+k-1}{k-1} y^n$$

We set  $y = -2x$  and  $k = 2$  to get:

$$(1+2x)^{-2} = \sum_{n \geq 0} \binom{n+2-1}{2-1} (-2x)^n = \sum_{n \geq 0} (n+1)(-2)^n x^n$$

So  $x(1+2x)^{-2} = \sum_{n \geq 0} (n+1)(-2)^n x^{n+1}$  and thus  $[x^n]x(1+2x)^{-2} = n(-2)^{n-1}$ .

2. Find the inverse of  $Q(x) = 1 + 2x + 3x^2 + \cdots = \sum_{n \geq 0} (n+1)x^n$ .

**Solution.** We want to find a solution  $Q(x)$  to  $Q(x)A(x) = 1$ . Here  $q_i = i + 1$ .

Note that  $q_0 = 1$ , so we can apply Theorem 1.5.2 which states that the unique solution to  $Q(x)A(x) = P(x)$  for given  $P$  and  $Q$  is  $a_n = p_n - q_1 a_{n-1} - q_2 a_{n-2} - \cdots - q_n a_0$ . For us,  $p_0 = 1$  and  $p_n = 0$  for  $n \geq 1$ . We get  $a_0 = p_0 = 1$ . Next we get  $a_1 = p_1 - q_1 a_0 = 0 - 2 \cdot 1 = -2$ , and then  $a_2 = p_2 - q_1 a_1 - q_2 a_0 = 0 + 4 - 3 = 1$ .

We will now use induction to show that  $a_n = 0$  for  $n \geq 3$ . Using the induction hypothesis, we get that  $a_n = p_n - q_1 a_{n-1} - q_2 a_{n-2} - \cdots - q_n a_0 = 0 - 0 - \cdots - q_{n-2} 1 - q_{n-1}(-2) - q_n 1 = -(n-1) + 2n - (n+1) = 0$ . So  $A(x) = 1 - 2x + x^2 = (x-1)^2$  is the inverse of  $Q(x)$ .

As an exercise, we check that the inverse of  $(x-1)^{-2}$  is indeed  $Q(x)$ . By using the formula for the inverse again, we get that  $(x-1)^{-1} = \sum_{n \geq 0} x^n$ . Using the product formula, we get that

$$(x-1)^{-2} = \left( \sum_{n \geq 0} x^n \right)^2 = \sum_{n \geq 0} \left( \sum_{i=0}^n 1 \cdot 1 \right) x^n = \sum_{n \geq 0} (n+1)x^n$$

3. Let  $w$  be the weight function defined on  $\mathbb{N}_0$  as follows. For each  $a \in \mathbb{N}_0$ ,

$$w(a) = \begin{cases} a/2 & a \text{ is even} \\ 2a & a \text{ is odd} \end{cases}$$

Determine the generating series of  $\mathbb{N}_0$  with respect to  $w$ .

**Solution.** Let  $E = \{0, 2, 4, 6, \dots\}$  and  $O = \{1, 3, 5, 7, \dots\}$ . Then  $N_0 = E \cup O$  is a disjoint union. Using the sum lemma, we have

$$\Phi_E(x) = 1 + x + x^2 + x^3 + \dots = \frac{1}{1-x}$$

$$\Phi_O(x) = x^2 + x^6 + x^{10} + x^{14} + \dots = \frac{x^2}{1-x^4}$$

$$\Phi_{N_0}(x) = \frac{1}{1-x} + \frac{x^2}{1-x^4} = \frac{1+x^2-x^3-x^4}{1-x-x^4+x^5}$$

4. Let  $S$  be a set of configurations with weight function  $w$ . Show that for any non-negative integer  $n$ ,

$$[x^n] \frac{\Phi_S(x)}{1-x}$$

counts the number of configurations in  $S$  with weight at most  $n$ .

**Solution.** Let  $\Phi_S(x) = \sum_{k \geq 0} a_k x^k$ . Then

$$\frac{\Phi_S(x)}{1-x} = \left( \sum_{k \geq 0} a_k x^k \right) \left( \sum_{k \geq 0} x^k \right) = \sum_{n \geq 0} \left( \sum_{i=0}^n a_i \right) x^n.$$

Hence  $[x^n] \frac{\Phi_S(x)}{1-x} = \sum_{i=0}^n a_i = \sum_{i \leq n} a_i$ .

5. For a binary string  $x$ , define its weight  $w(s)$  to be the number of 1's in the string plus the length of the string itself. For example,  $w(110100001) = 13$ .

- (a) Let  $S_n$  be the set of all binary strings of length  $n$ . Use the product lemma to determine  $\Phi_{S_n}(X)$ .  
(b) Let  $T$  be the set of all binary strings (regardless of length). Determine  $\Phi_T(x)$ .

**Solution 1 to (a)**

Let  $S = \{0, 1\}$  with weight function  $w(0) = 1$  and  $w(1) = 2$ . The generating series is

$$\Phi_S(x) = x + x^2$$

The intuition here is that a 0 in a binary string contributes 1 to the weight (increases the length of 1) and a 1 contributes 2 to the weight.

Note that we can consider  $n$ -tuples of 0s and 1s as strings of length  $n$ . So we can use the product lemma to get the generating series for strings of length  $n$ :

$$\Phi_{S^n}(x) = (x + x^2)^n$$

**Solution 2 to (a)**

To find the generating series, we must find the number  $a_k$  of strings in  $S_n$  with weight  $k$ , for every  $k \geq 0$ . Let  $A \in S_n$ . Then  $w(A)$  is the number of 1's in  $A$ , plus  $n$ . It follows that to determine  $a_k$ , we need only find the number of length  $n$  strings containing  $k - n$  1's.

Then it is easy to see that

$$a_k = \begin{cases} 0, & \text{if } k < n, \\ \binom{n}{k-n}, & \text{otherwise.} \end{cases}$$

So

$$\begin{aligned} \Phi_{S_n}(x) &= \sum_{k \geq n} \binom{n}{k-n} x^k \\ &= x^n \sum_{k \geq 0} \binom{n}{k} x^k \\ &= x^n (1 + x)^n \text{ (binomial theorem)} \\ &= (x + x^2)^n. \end{aligned}$$

**Solution to (b)**

The sets  $S_n$  of part (a) form a partition of  $T$ . So we can apply the Sum Lemma to get

$$\begin{aligned} \Phi_T(x) &= \sum_{n \geq 0} \Phi_{S_n}(x) \\ &= \sum_{n \geq 0} (x + x^2)^n. \end{aligned}$$

6. Determine a generating series for the number of  $k$ -combinations (i.e. collections of  $k$  elements, where 2 or more may be alike) of the letters M, A, T, H, in which M and A can appear any number of times but T and H can appear at most once. Which coefficient in this generating series gives the number of 5-collections?

**Solution 1**

Define the following sets:

- $S_1$ : strings only consisting of Ms, length 0 or 1

- $S_2$ : strings only consisting of As, length 0 or 1
- $S_3$ : strings only consisting of Ts, arbitrarily length
- $S_4$ : strings only consisting of Hs, arbitrarily length

For each, the weight function is the length of the string.

The generating series are  $\Phi_{S_1}(x) = \Phi_{S_2}(x) = 1 + x$  and  $\Phi_{S_3}(x) = \Phi_{S_4}(x) = 1 + x + x^2 + \dots$

Using the product lemma, we get the generating series for  $S_1 \times S_2 \times S_3 \times S_4$  where the weight is the sum of the weights. We can interpret such 4-tuples as strings, and their weight is again their length. Note that these strings are sorted (i.e. M before A before T before H), so for each combination of letters M, A, T, H using M and A only once, there is exactly one string in  $S_1 \times S_2 \times S_3 \times S_4$ .

So the generating series we're interested in is the product of the 4 individual generating series:

$$\Phi_{S_1 \times S_2 \times S_3 \times S_4} = (1 + x)^2 \left[ \sum_{k \geq 0} x^k \right]^2$$

The coefficient we're interested in is the one corresponding to  $x^5$ , as this is the number of strings of length 5 in  $S_1 \times S_2 \times S_3 \times S_4$ .

## Solution 2

Let  $S$  be the set of the  $k$ -combinations of the letters M,A,T,H, in which T and H appear at most once. First we define a weight function  $w(A) = |A|$  for every  $A \in S$ .

There is exactly one 0-combination, namely  $\emptyset$ . By inspection, there are exactly 4 1-combinations, M, A, T, and H. For  $k \geq 2$ , the number of  $k$ -combinations can be determined as follows:

Number of  $k$ -combinations containing neither T nor H:  $k + 1$

Number of  $k$ -combinations containing exactly one of T and H:  $k$

Number of  $k$ -combinations containing both T and H:  $k - 1$ .

Summing these expressions, we see that the number of  $k$ -combinations, where  $k \geq 2$ , is given by

$$(k + 1) + 2k + (k - 1) = 4k.$$

Then the generating series  $\Phi_S(x)$  is given by

$$\begin{aligned} \Phi_S(x) &= 1 + 4x + \sum_{k \geq 2} 4kx^k \\ &= \sum_{k \geq 0} (k + 1)x^k + 2 \sum_{k \geq 1} kx^k + \sum_{k \geq 2} (k - 1)x^k \\ &= (1 + 2x + x^2) \sum_{k \geq 0} (k + 1)x^k \\ &= (1 + x)^2 \left[ \sum_{k \geq 0} x^k \right]^2. \end{aligned}$$