

## MLAI Week 7 Exercise: Neural Networks

**Note:** An indicative mark is in front of each question. The total mark is 12. You may mark your own work when we release the solutions.

- 2 1. Using the definitions for  $\mathbf{o}$  and  $\mathbf{h}$  on slide 10 of Lecture 7 to show that if the activation function is linear such that  $g(a) = a$ , then the one-hidden-layer on that slide encodes a linear relationship between the input  $\mathbf{x}$  and output  $\mathbf{o}$ . Include all steps.

**Solution:**

$$\mathbf{h} = g((W^{(1)})^T \mathbf{x} + b^{(1)})$$

$$\mathbf{o} = g((W^{(2)})^T \mathbf{h} + b^{(2)})$$

$$\mathbf{o} = g((W^{(2)})^T g((W^{(1)})^T \mathbf{x} + b^{(1)}) + b^{(2)})$$

$g$  is defined as  $g(a) = a$

$$\mathbf{o} = (W^{(2)})^T ((W^{(1)})^T \mathbf{x} + b^{(1)}) + b^{(2)}$$

$$\mathbf{o} = (W^{(2)})^T (W^{(1)})^T \mathbf{x} + (W^{(2)})^T b^{(1)} + b^{(2)}$$

Substitute  $W = (W^{(2)})^T (W^{(1)})^T$ ;  $b = (W^{(2)})^T b^{(1)} + b^{(2)}$

$$\mathbf{o} = W \mathbf{x} + b$$

- 1 2. In Slide 38: we change the  $3 \times 3$  kernel to  $\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$ . What will be the  $3 \times 3$  convolved features? What features can this kernel detect?

**Solution:**

$$\text{Image} = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{bmatrix}$$

$$\text{Kernel} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Apply kernel to image in the first position (the red indicated where the kernel is placed and the kernel values at their respective positions):

$$\text{Image} = \begin{bmatrix} 1_{\times 1} & 1_{\times 0} & 1_{\times 0} & 0 & 0 \\ 0_{\times 0} & 1_{\times 1} & 1_{\times 0} & 1 & 0 \\ 0_{\times 0} & 0_{\times 0} & 1_{\times 1} & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{bmatrix}$$

The Convolved Feature after the first convolution, summing all the products between the image and the kernel values:

$$\text{First Convolved Feature} = 1 + 0 + 0 + 0 + 1 + 0 + 0 + 0 + 1 = 3$$

$$\text{Convolved Features} = \begin{bmatrix} 3 \\ \\ \end{bmatrix}$$

The next step is to shift the kernel and perform the same operation:

$$\text{Image} = \begin{bmatrix} 1 & 1_{\times 1} & 1_{\times 0} & 0_{\times 0} & 0 \\ 0 & 1_{\times 0} & 1_{\times 1} & 1_{\times 0} & 0 \\ 0 & 0_{\times 0} & 1_{\times 0} & 1_{\times 1} & 1 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{bmatrix}$$

Calculate the new convolved feature:

$$\text{Convolved Features} = \begin{bmatrix} 3 & 3 \end{bmatrix}$$

Perform the same operation for the entire image, resulting in the final Convolved Features:

$$\text{Convolved Features} = \begin{bmatrix} 3 & 3 & 3 \\ 1 & 3 & 2 \\ 1 & 1 & 2 \end{bmatrix}.$$

The kernel can detect diagonal edges in the image.

3. We have a  $512 \times 512 \times 3$  colour image. We apply 100  $5 \times 5$  filters with stride 7, and pad 2 to obtain a convolution output. What is the output volume size? How many parameters are needed for such a layer?

**Solution:**

**Size of output:**

Size of output:  $(\text{Image Length} - \text{Filter Size} + 2 \times \text{Padding}) / \text{Stride} + 1$

Image Length = 512

Filter Size = 5

Stride = 7

Padding = 2

After applying the first  $5 \times 5$  filter:

Output Size After First Filter =  $(512 - 5 + 2 \times 2) / 7 + 1 = 74$

Final Output Shape = Number of Filters  $\times$  Output Size  $\times$  Output Size

Final Output Shape =  $100 \times 74 \times 74$

**Number of parameters:**

Number of parameters =  $(\text{Filter Width} \times \text{Filter Height} \times \text{Filters in Previous Layer} + 1) \times \text{Number of Filters}$

Filter Width = 5

Filter Height = 5

Filters in Previous Layer = 3

Number of Filters = 100

Number of parameters =  $(5 \times 5 \times 3 + 1) \times 100 = 7600$

4. For the AlexNet depicted in Slide 35 of Lecture 6, there are about 60 million learnable pa-

rameters. With the help of the illustration <https://static.packt-cdn.com/products/9781789956177/graphics/assets/ec08175c-5282-4be2-b6e7-6f2d99272166.png>, compute the exact number of learnable parameters in AlexNet, showing the steps.

**Solution:**

The AlexNet consists of convolutional layers, pooling layers and fully connected layers.

The pooling layer does not have any learnable parameters.

The number of parameters in the convolutional layer is:

$$W_c = K^2 \times C \times N, \quad (1)$$

where the  $K$  is the size of the kernel,  $C$  is the number of channels in the input and  $N$  is the number of kernels. In addition to the weights, there are also  $N$  bias values. The final number of parameters is  $P_c = N + W_c$ .

There are also two types of fully connected (FC) layer: the first is where the last pooling layer is connected to a FC layer, and the other is where a FC layer is connected to another FC layer.

The number of parameters in the first case is:

$$W_{fc} = O^2 \times N \times F, \quad (2)$$

where the  $O$  is the size of the convolved output,  $N$  is the number of kernels in the previous convolutional layer and  $F$  is the number of neurons in the layer. The convolved output is flattened to a vector of length  $O \times O \times N$ . In addition to the weights, there are also  $F$  bias values. The total number of parameters in this layer is  $P_c = F + W_{fc}$ .

In the case where a fc layer is connected to another fc layer:

$$W_{fc} = F_{-1} \times F, \quad (3)$$

where,  $F_{-1}$  is the number of neurons in the previous layer and  $F$  is the number of neurons in the current layer. The total number of parameters in this layer is  $P_c = F + W_{fc}$ .

For example in the first layer:

$$P_1 = 11^2 \times 3 \times 96 + 96 = 34944. \quad (4)$$

The second layer:

$$P_2 = 5^2 \times 96 \times 256 + 256 = 614656. \quad (5)$$

After performing the appropriate operations at each layer the total number of parameters in AlexNet is: 62,378,344.

Parameters in each layer:

- Conv Layer 1: 34944
- Conv Layer 2: 614656

- Conv Layer 3: 885120
- Conv Layer 4: 1327488
- Conv Layer 5: 884992
- FC layer 1: 37752832
- FC layer 2: 16781312
- FC layer 3: 4097000