Regression Verification: Final Report

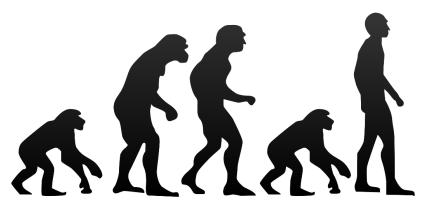
Presentation by Dennis Felsing within the Projektgruppe Formale Methoden der Softwareentwicklung

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Introduction

How to prevent regressions in software development?



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Formal Verification

Formally prove correctness of software

⇒ Requires formal specification

Regression Testing

Discover new bugs by testing for them

⇒ Requires test cases

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Discover **new bugs** by testing for them

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Regression Verification

Formally prove there are no new bugs

Project Objectives

- 1 Develop a tool for Regression Verification for recursive programs in a simple imperative programming language
- 2 Evaluate how well our approaches work for different examples
- Extend the tool to work with more programs and to be more general

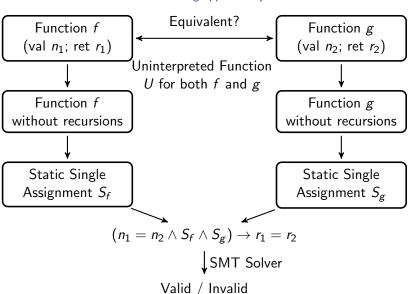
Regression Verification

Formally prove there are no new bugs

- Goal: Proving the equivalence of two closely related programs
- No formal specification or test cases required
- Instead use old program version as reference
- Approach by Strichman & Godlin for C using CBMC
- Here: Tool for function equivalence in a simple language using SMT solvers

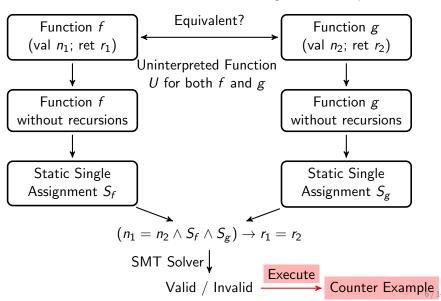
Function Equivalence

Existing approach by Strichman & Godlin

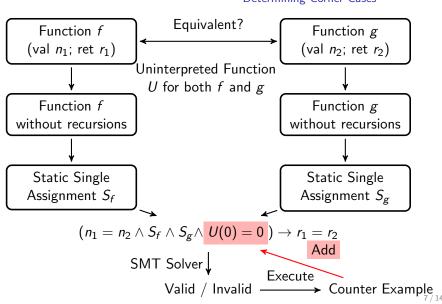


Extensions

Finding Counter Examples



Extensions Determining Corner Cases



Recursive approach

- So far recursions replaced by Uninterpreted Function
- Now approximate the recursive calls with a mutual Transition Relation (TR)
- Encode behaviour of both functions for every path into TR
- Assuming TR assert that functions behave equally
- Infer TR automatically using SMT solvers

Working examples

- Different paths for same input
- Inlined recursions
- Recursive versus tail recursive implementation

Transition Relation Approximation

$$TR_{real}(p_1, r_1, p_2, r_2) = true \Leftrightarrow r_1 = f(p_1) \land r_2 = g(p_2)$$

- p_1 parameter to recursive call of f
- r_1 result of recursive call of f
- r_2 parameter to recursive call of g
- p_2 result of recursive call of g

Transition Relation Approximation

$$TR_{real}(p_1, r_1, p_2, r_2) = true \Leftrightarrow r_1 = f(p_1) \land r_2 = g(p_2)$$

- p_1 parameter to recursive call of f
- r_1 result of recursive call of f
- r_2 parameter to recursive call of g
- p_2 result of recursive call of g

Approximation: $TR \supseteq TR_{real}$

Examples:

Identical recursion step:

$$TR(p_1, r_1, p_2, r_2) = (p_1 = p_2 \rightarrow r_1 = r_2)$$

Functions off by one:

$$TR(p_1, r_1, p_2, r_2) = (p_1 = p_2 \rightarrow r_1 = r_2 + 1)$$

Results are not specified, but relationship of results!

```
int sum1(int n) { int sum2(int n, int a) { if (n \le 0) return 0; return a; int r = n + sum1(n-1); return r; return r; } 

• Paths (two out of four possible): (n \le 0) \rightarrow TR(n, 0, n, a_2, a_2)
```

 $(n > 0 \land TR(\underbrace{n-1}, \underbrace{r_1}, \underbrace{n-1}, \underbrace{n+a_2}, \underbrace{r_2})) \rightarrow TR(n, n+r_1, n, a_2, r_2)$

• Paths (two out of four possible):

$$(n \le 0) \to TR(n, 0, n, a_2, a_2)$$

$$(n > 0 \land TR(n-1, r_1, n-1, n+a_2, r_2)) \to TR(n, n+r_1, n, a_2, r_2)$$

Show the functions behave equally:

$$\exists TR.(n_1 = n_2 \land a_2 = 0 \land TR(n_1 - 1, r_1, n_2 - 1, n_2 + a_2, r_2))$$
$$\rightarrow ite(n_1 \le 0, 0, n_1 + r_1) = ite(n_2 \le 0, a_2, r_2)$$

```
int sum1(int n) {
    if (n <= 0)
        return 0;
    int r = n + sum1(n-1);
    return r;
}

int sum2(int n, int a) {
    if (n <= 0)
        return a;
    int r = sum2(n-1, n+a);
    return r;
}</pre>
```

Paths (two out of four possible):

$$(n \le 0) \to TR(n, 0, n, a_2, a_2)$$
$$(n > 0 \land TR(n-1, r_1, n-1, n+a_2, r_2)) \to TR(n, n+r_1, n, a_2, r_2)$$

• Show the functions behave equally:

$$\exists TR.(n_1 = n_2 \land a_2 = 0 \land TR(n_1 - 1, r_1, n_2 - 1, n_2 + a_2, r_2))$$
$$\rightarrow ite(n_1 \le 0, 0, n_1 + r_1) = ite(n_2 \le 0, a_2, r_2)$$

• Resulting TR predicate found by SMT solver Eldarica: $TR(n_1, r_1, n_2, a_2, r_2) = (n_1 = n_2 \wedge r_1 = r_2 - a_2)$

Iterative approach

- Loops instead of recursions
- Coupling invariant for both programs
- Completely automatic translation to SMT2

Working examples

- Synchronised loops
- Loosely synchronised loops
- Conditional and relational equivalence

```
int f1(int n) {
                           int f2(int n) {
  int r = 0;
                             int r = 1:
  if (n = 0) return 1;
  while (n > 0) {
                             while (true) {
    n = 10; r++;
                                if(n < 10) return r;
                                if (n < 100) return r+1;
                               if (n < 1000) return r+2;
                               if (n < 10000) return r+3;
                               n /= 10000;
  return r:
                               r += 4:
```

```
int f1(int n) {
                           int f2(int n) {
  int r = 0:
                             int r = 1:
  if (n = 0) return 1;
  while (n > 0) {
                             while (true) {
    n /= 10; r++;
                               if(n < 10) return r;
    if (n>0) \{n/=10; r++:
                               if (n < 100) return r+1;
    if (n>0) \{n/=10; r++:
                         if (n < 1000) return r+2;
                          if (n < 10000) return r+3;
    if (n>0) \{n/=10: r++:
  }}}
                               n /= 10000;
  return r:
                               r += 4:
```

Inlining preserves semantics

```
int f2(int n)
int f1(int n) \{ \bigcirc \leftarrow
  int r = 0:
                             int r = 1:
  if (n == 0) return 1; Coupling Invariant
                           while (true)
  while (n > 0) {
    n /= 10; r++;
                               if(n < 10) return r;
                          if (n < 100) return r+1;
    if (n>0) \{n/=10; r++:
    if (n>0) \{n/=10; r++;
                          if (n < 1000) return r+2;
                           if (n < 10000) return r+3;
    if (n>0) \{n/=10: r++:
  }}}
                               n /= 10000;
  return r:
                               r += 4:
```

Inlining preserves semantics

$$(n_1 = n_2 \land init_1(n_1, r_1, n'_1, r'_1) \land init_2(n_2, r_2, n'_2, r'_2)) \rightarrow inv(n'_1, r'_1, n'_1, r'_1, n'_2, r'_2, n'_2, r'_2)$$

```
\begin{array}{ll} (n_1 = n_2 & \wedge init_1 \wedge init_2 \ ) \rightarrow inv \\ (inv \wedge \ guard_1 \wedge \ guard_2 \wedge step_1 \wedge step_2 \ ) \rightarrow inv \\ (inv \wedge \ guard_1 \wedge \neg guard_2 \wedge step_1 \ ) \rightarrow inv \\ (inv \wedge \neg guard_1 \wedge \ guard_2 \wedge \ step_2 \ ) \rightarrow inv \\ (inv \wedge \neg guard_1 \wedge \neg guard_2 \wedge post_1 \wedge post_2 \ ) \rightarrow result_1 = result_2 \end{array}
```

$$\begin{array}{ll} (n_1 = n_2 & \wedge init_1 \ \wedge init_2 \) \rightarrow inv \\ (inv \wedge \ guard_1 \wedge \ guard_2 \wedge step_1 \wedge step_2) \rightarrow inv \\ (inv \wedge \ guard_1 \wedge \neg guard_2 \wedge step_1 \) \rightarrow inv \\ (inv \wedge \neg guard_1 \wedge \ guard_2 \wedge \ step_2) \rightarrow inv \\ (inv \wedge \neg guard_1 \wedge \neg guard_2 \wedge post_1 \wedge post_2) \rightarrow result_1 = result_2 \end{array}$$

 Automatically inferred coupling loop invariant: (Using Eldarica again)

$$(n_1 > 0 \rightarrow (n_1 = n_2 \land r_1 + 1 = r_2))$$

 $\land (n_2 \le 0 \rightarrow return_2 = r_1)$
 $\land n_1 \ge n_2$

$$\begin{array}{ll} (\textit{n}_1 = \textit{n}_2 & \wedge \textit{init}_1 \ \wedge \textit{init}_2 \) \rightarrow \textit{inv} \\ (\textit{inv} \wedge \ \textit{guard}_1 \wedge \ \textit{guard}_2 \wedge \textit{step}_1 \wedge \textit{step}_2) \rightarrow \textit{inv} \\ (\textit{inv} \wedge \ \textit{guard}_1 \wedge \neg \textit{guard}_2 \wedge \textit{step}_1 \) \rightarrow \textit{inv} \\ (\textit{inv} \wedge \neg \textit{guard}_1 \wedge \ \textit{guard}_2 \wedge \ \textit{step}_2) \rightarrow \textit{inv} \\ (\textit{inv} \wedge \neg \textit{guard}_1 \wedge \neg \textit{guard}_2 \wedge \textit{post}_1 \wedge \textit{post}_2) \rightarrow \textit{result}_1 = \textit{result}_2 \end{array}$$

 Automatically inferred coupling loop invariant: (Using Eldarica again)

$$(n_1 > 0 \rightarrow (n_1 = n_2 \land r_1 + 1 = r_2))$$

 $\land (n_2 \le 0 \rightarrow return_2 = r_1)$
 $\land n_1 \ge n_2$

- Compare to loop invariant: $n = \frac{n_0}{10^r}$
- Coupling invariant is linear and inferable!

Conclusion

Regression Verification

- Initial approach limited to strongly coupled recursions or user feedback
- Automatic Transition Relation inference: More powerful, using recent techniques in SMT solvers like Z3 and Eldarica
- Automatic Invariant inference: Automated approach for loops

Future Work

- More challenging examples
- Real Programming Language (Java)