

# Isogeny graphs in cryptography

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Cryptography meets Graph Theory  
Würzburg, Germany

# Plan

- 1 Elliptic curves, isogenies, complex multiplication,
- 2 Isogeny graphs,
- 3 Key exchange,
- 4 Signatures and whatnot.

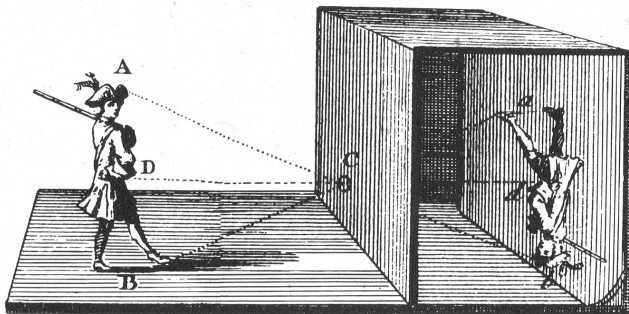
# Projective space

## Definition (Projective space)

Let  $\bar{k}$  an algebraically closed field, the **projective space**  $\mathbb{P}^n(\bar{k})$  is the set of non-null  $(n + 1)$ -tuples  $(x_0, \dots, x_n) \in \bar{k}^n$  modulo the equivalence relation

$$(x_0, \dots, x_n) \sim (\lambda x_0, \dots, \lambda x_n) \quad \text{with } \lambda \in \bar{k} \setminus \{0\}.$$

A class is denoted by  $(x_0 : \dots : x_n)$ .



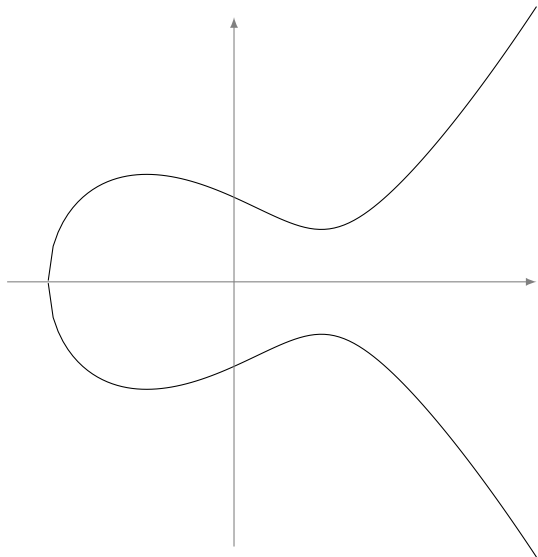
# Weierstrass equations

Let  $k$  be a field of characteristic  $\neq 2, 3$ .

An *elliptic curve defined over  $k$*  is the locus in  $\mathbb{P}^2(\bar{k})$  of an equation

$$Y^2Z = X^3 + aXZ^2 + bZ^3,$$

where  $a, b \in k$  and  $4a^3 + 27b^2 \neq 0$ .



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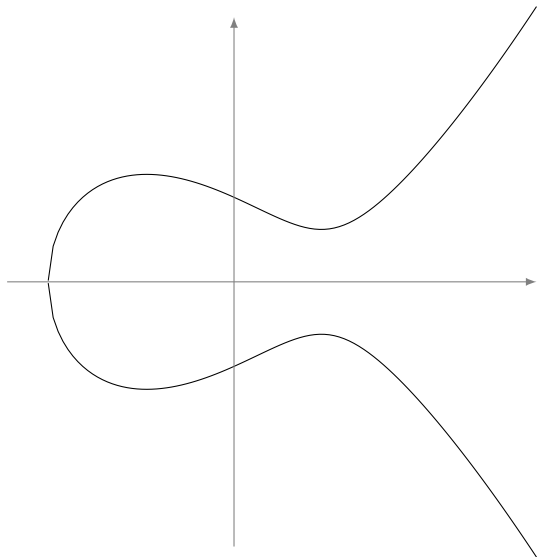
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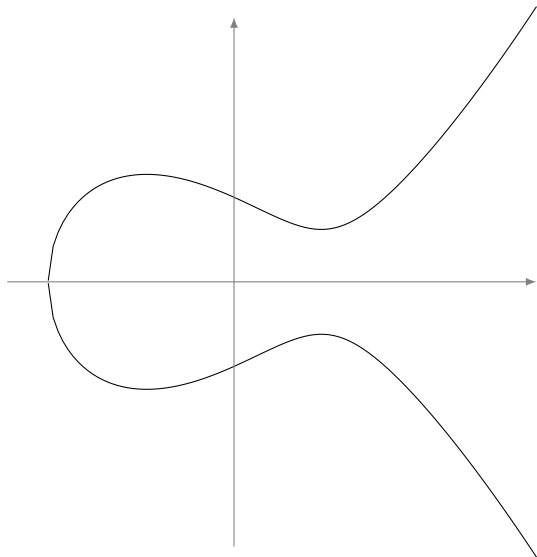
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- $\mathcal{O} = (0 : 1 : 0)$  is the *point at infinity*;
- $y^2 = x^3 + ax + b$  is the *affine equation*.

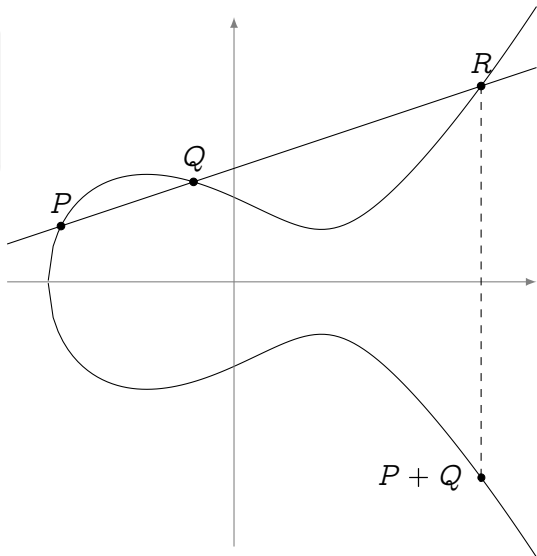


# The group law

## Bezout's theorem

Every line cuts  $E$  in exactly three points (counted with multiplicity).

Define a **group law** such that any three colinear points add up to zero.



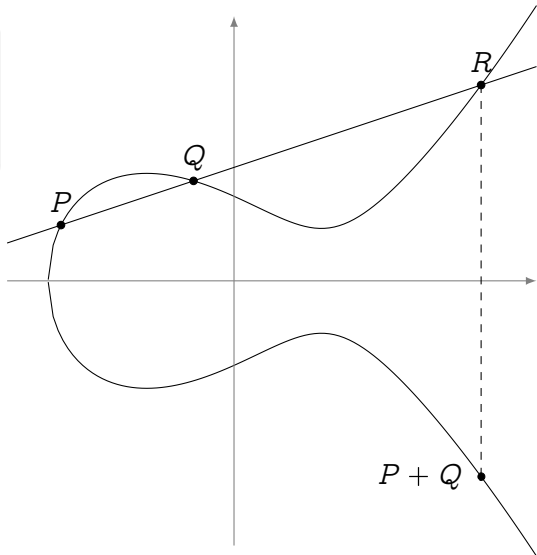
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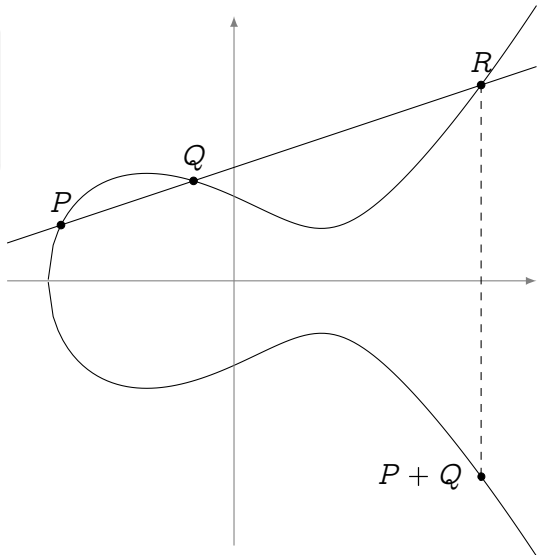
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Define a **group law** such that any three colinear points add up to zero.

- The law is **algebraic** (it has *formulas*);
- The law is **commutative**;
- $\mathcal{O}$  is the **group identity**;
- **Opposite points** have the same  $x$ -value.



# Group structure

## Torsion structure

Let  $E$  be defined over an algebraically closed field  $\bar{k}$  of characteristic  $p$ .

$$E[m] \simeq \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z} \quad \text{if } p \nmid m,$$

$$E[p^e] \simeq \begin{cases} \mathbb{Z}/p^e\mathbb{Z} & \text{ordinary case,} \\ \{\mathcal{O}\} & \text{supersingular case.} \end{cases}$$

## Free part

Let  $E$  be defined over a **number field**  $k$ , the group of  $k$ -rational points  $E(k)$  is **finitely generated**.

# Maps: isomorphisms

## Isomorphisms

The only **invertible algebraic maps** between elliptic curves are of the form

$$(x, y) \mapsto (u^2x, u^3y)$$

for some  $u \in \bar{k}$ .

They are **group isomorphisms**.

## $j$ -Invariant

Let  $E : y^2 = x^3 + ax + b$ , its  **$j$ -invariant** is

$$j(E) = 1728 \frac{4a^3}{4a^3 + 27b^2}.$$

Two elliptic curves  $E, E'$  are **isomorphic** if and only if  $j(E) = j(E')$ .

# Maps: isogenies

## Theorem

Let  $\phi : E \rightarrow E'$  be a map between elliptic curves. These conditions are equivalent:

- $\phi$  is a *surjective group morphism*,
- $\phi$  is a *group morphism with finite kernel*,
- $\phi$  is a non-constant *algebraic map* of projective varieties sending the point at infinity of  $E$  onto the point at infinity of  $E'$ .

If they hold  $\phi$  is called an *isogeny*.

Two curves are called *isogenous* if there exists an isogeny between them.

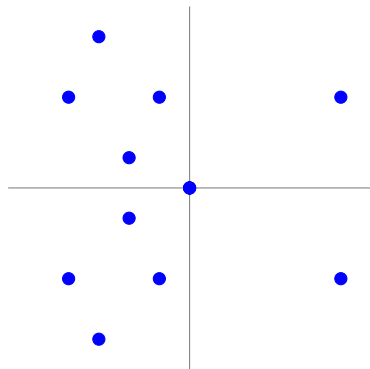
## Example: Multiplication-by- $m$

On any curve, an isogeny from  $E$  to itself (i.e., an *endomorphism*):

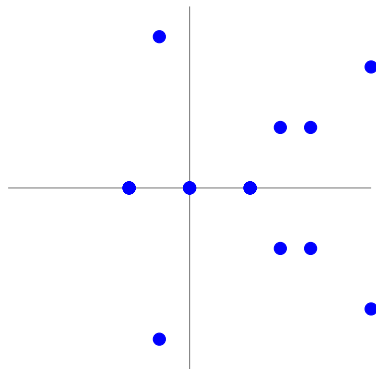
$$\begin{aligned}[m] &: E \rightarrow E, \\ P &\mapsto [m]P.\end{aligned}$$

# Isogenies: an example over $\mathbb{F}_{11}$

$$E : y^2 = x^3 + x$$



$$E' : y^2 = x^3 - 4x$$

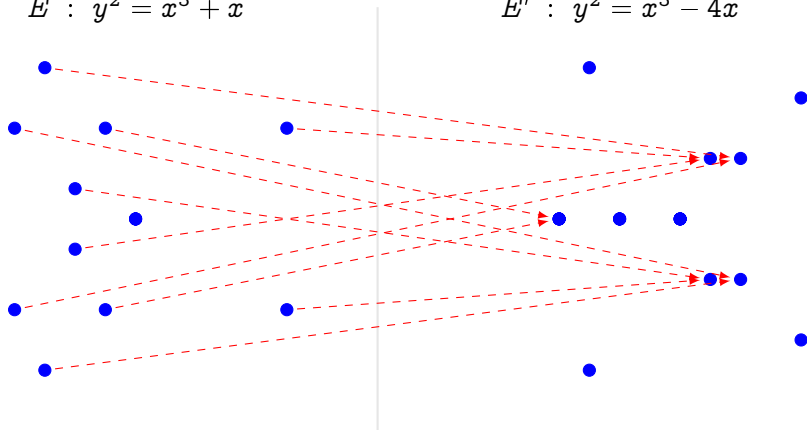


$$\phi(x, y) = \left( \frac{x^2 + 1}{x}, \quad y \frac{x^2 - 1}{x^2} \right)$$

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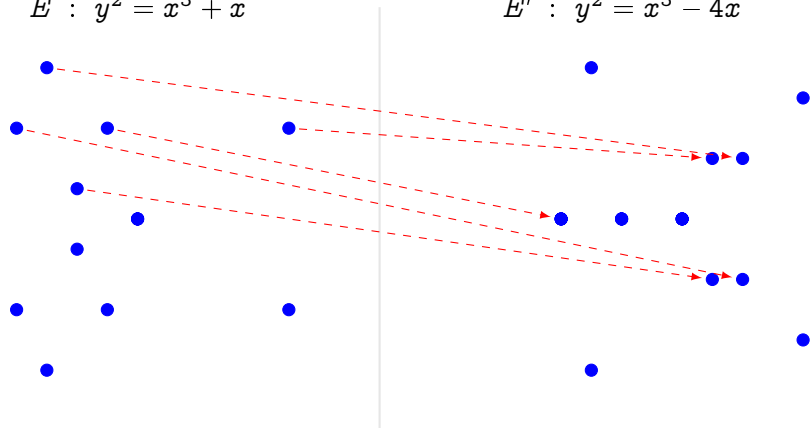


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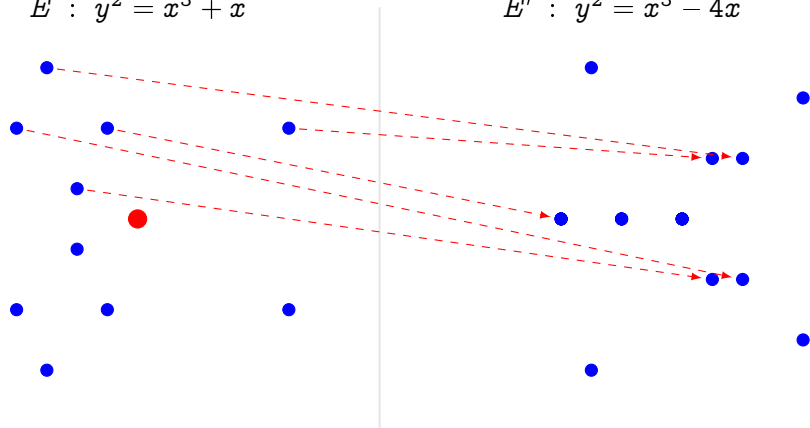


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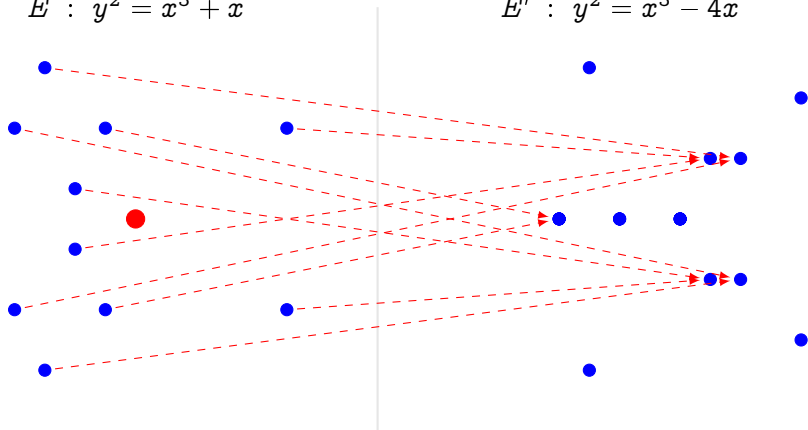
● Kernel generator in red.



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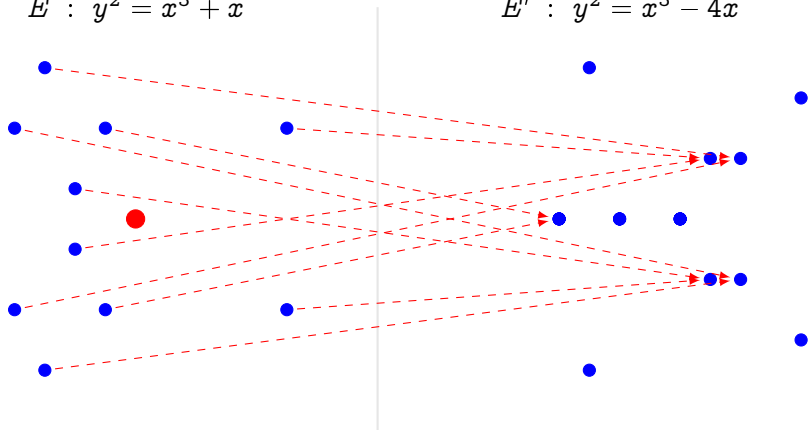
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- Kernel generator in red.
- This is a degree 2 map.

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- Kernel generator in red.
- This is a degree 2 map.
- Analogous to  $x \mapsto x^2$  in  $\mathbb{F}_q^*$ .

# Curves over finite fields

## Frobenius endomorphism

Let  $E$  be defined over  $\mathbb{F}_q$ . The **Frobenius endomorphism** of  $E$  is the map

$$\pi : (X : Y : Z) \mapsto (X^q : Y^q : Z^q).$$

## Hasse's theorem

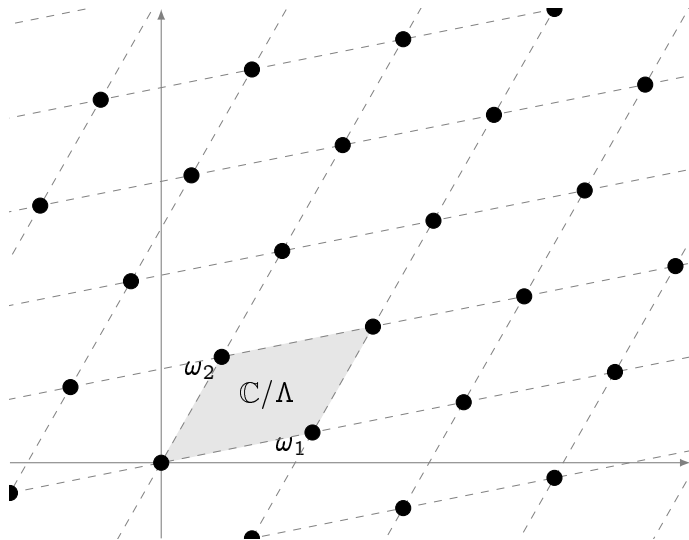
Let  $E$  be defined over  $\mathbb{F}_q$ , then

$$|\#E(k) - q - 1| \leq 2\sqrt{q}.$$

## Serre-Tate theorem

Two elliptic curves  $E, E'$  defined over a finite field  $k$  are **isogenous over  $k$**  if and only if  $\#E(k) = \#E'(k)$ .

# Complex tori

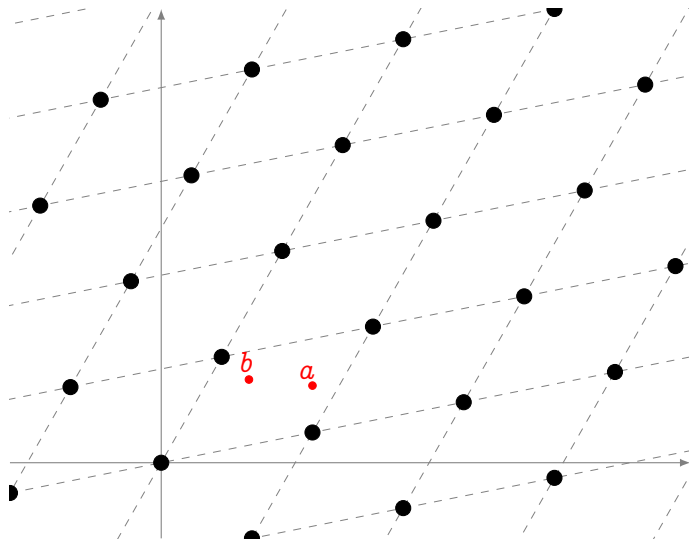


Let  $\omega_1, \omega_2 \in \mathbb{C}$   
be linearly  
independent  
complex  
numbers. Set

$$\Lambda = \omega_1 \mathbb{Z} \oplus \omega_2 \mathbb{Z}$$

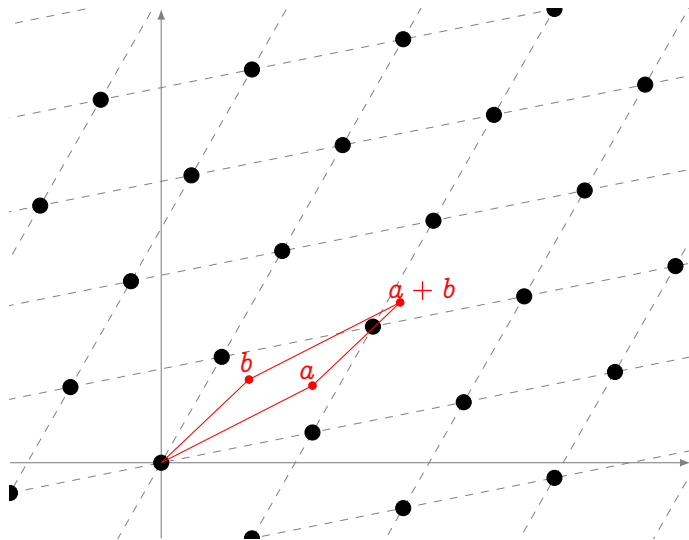
$\mathbb{C}/\Lambda$  is a  
complex torus.

# Complex tori



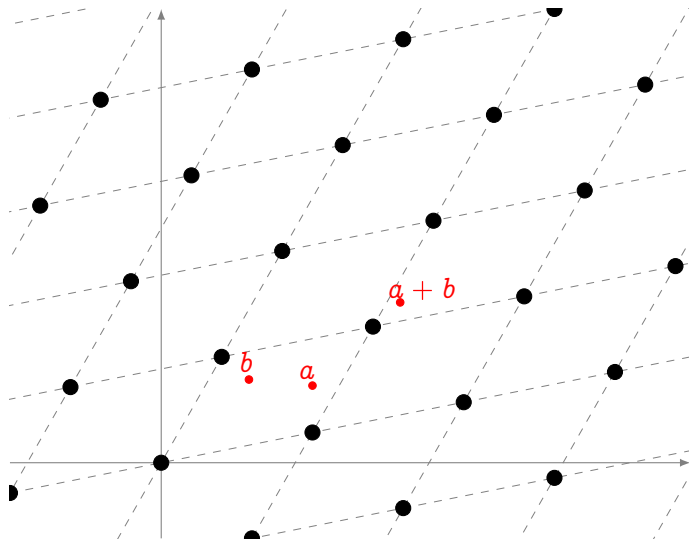
Addition law  
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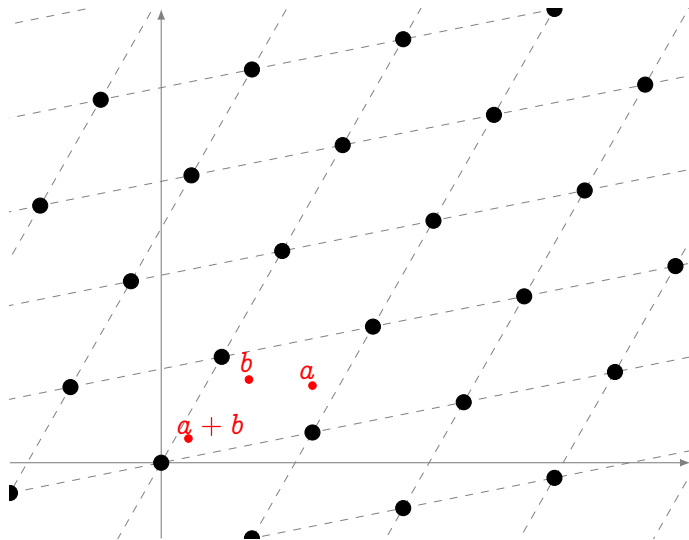
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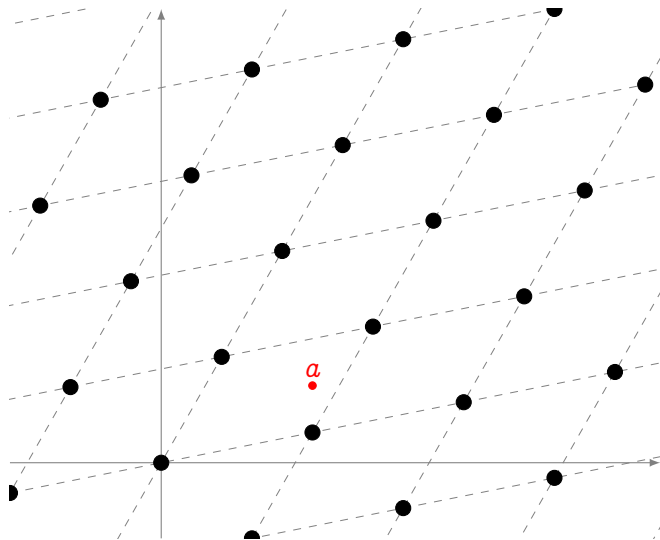
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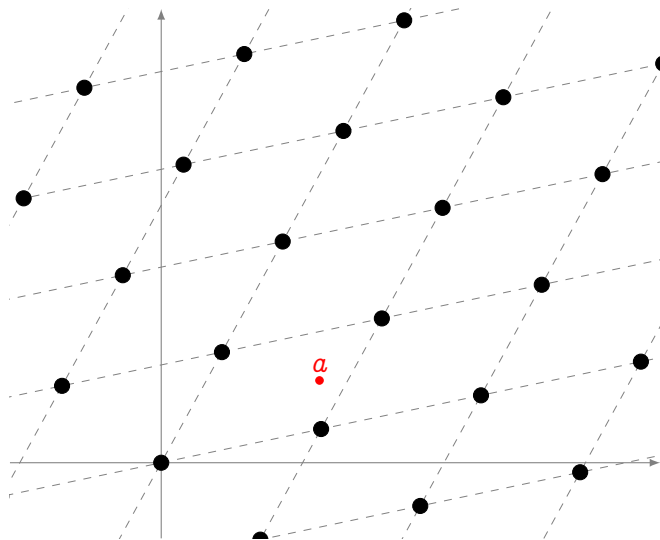
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Two lattices are  
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there exist  $\alpha \in \mathbb{C}$   
such that

$$\alpha \Lambda_1 = \Lambda_2$$

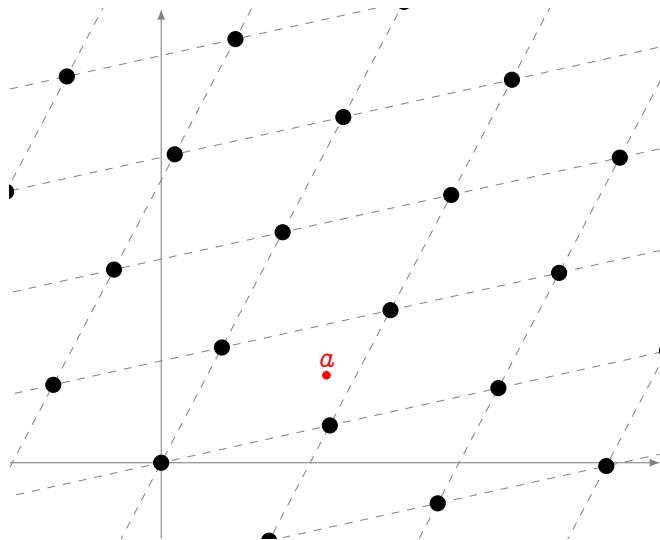
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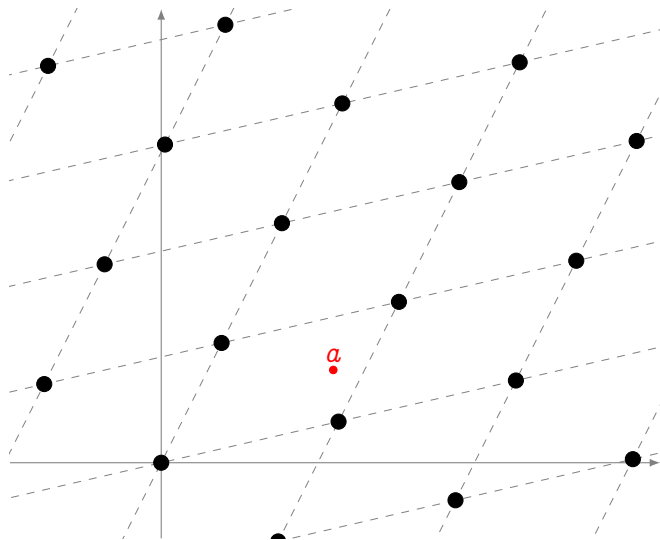
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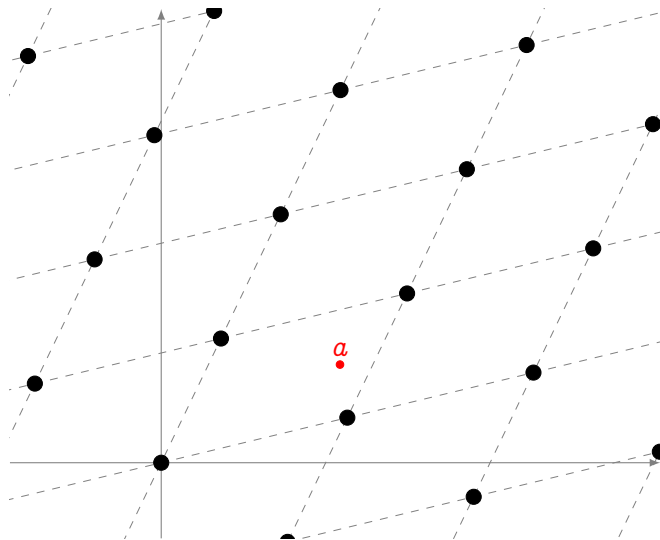
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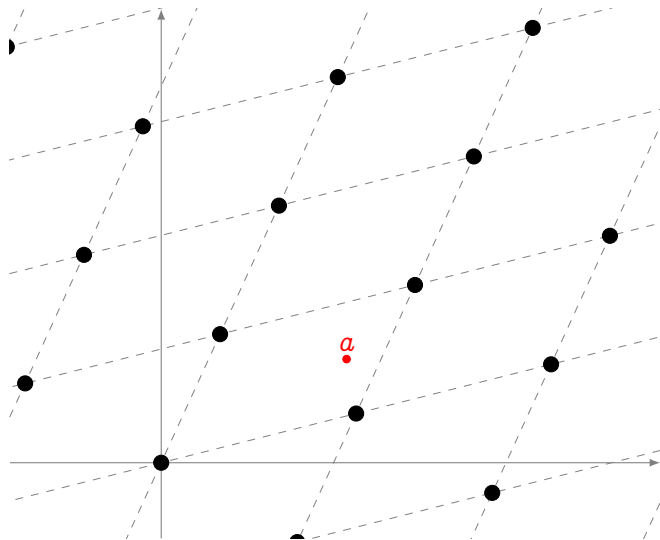
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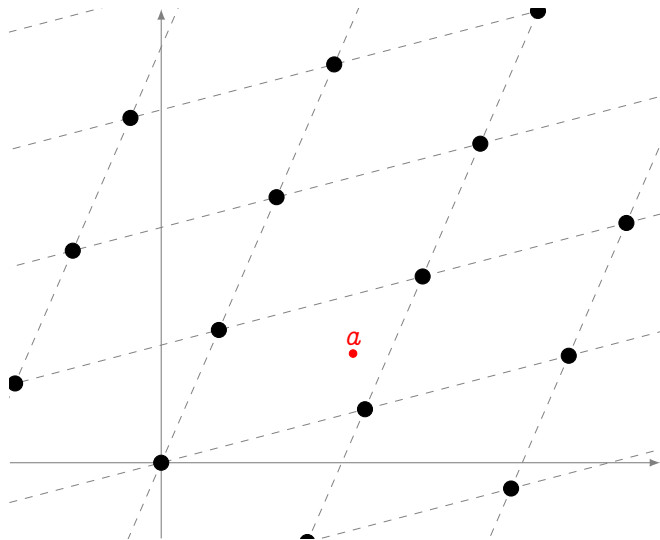
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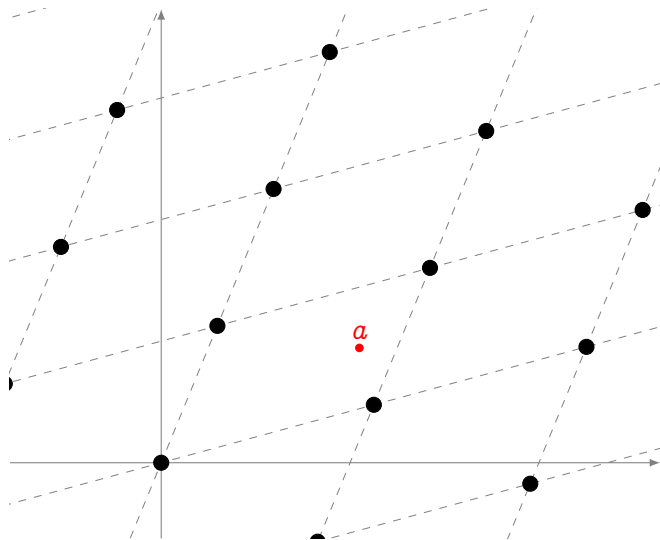
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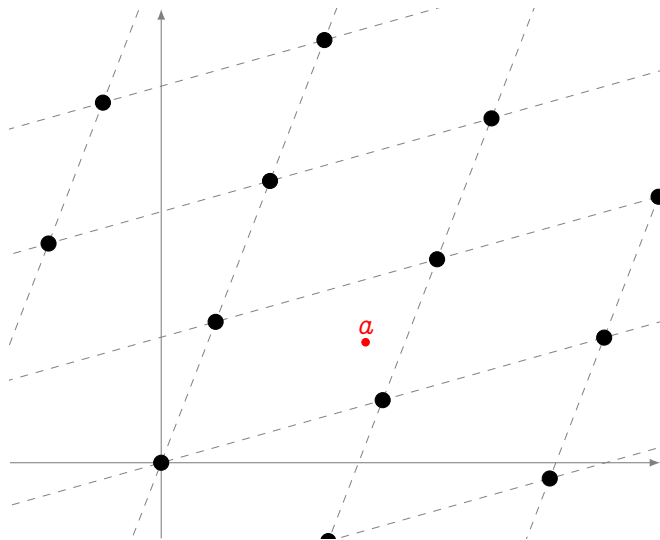


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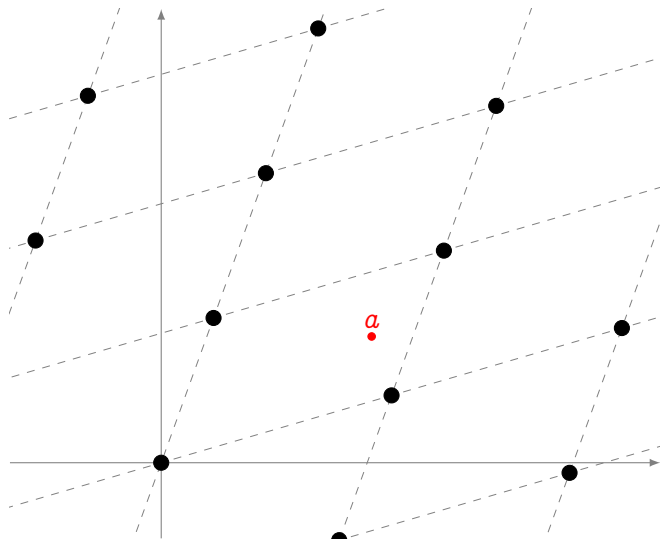
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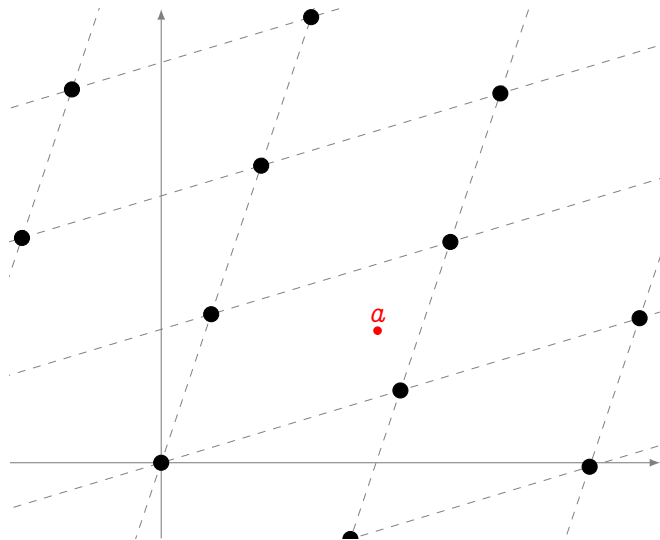
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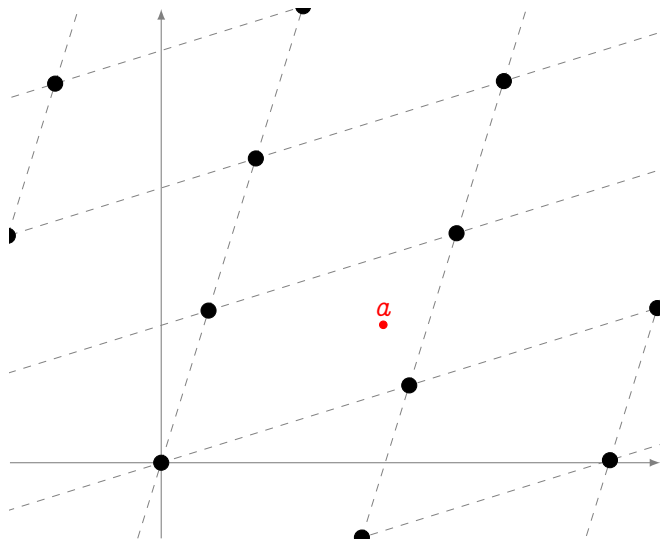
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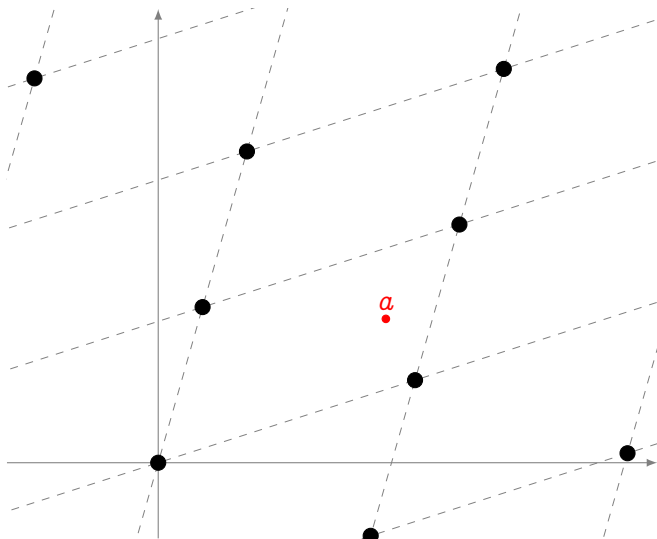
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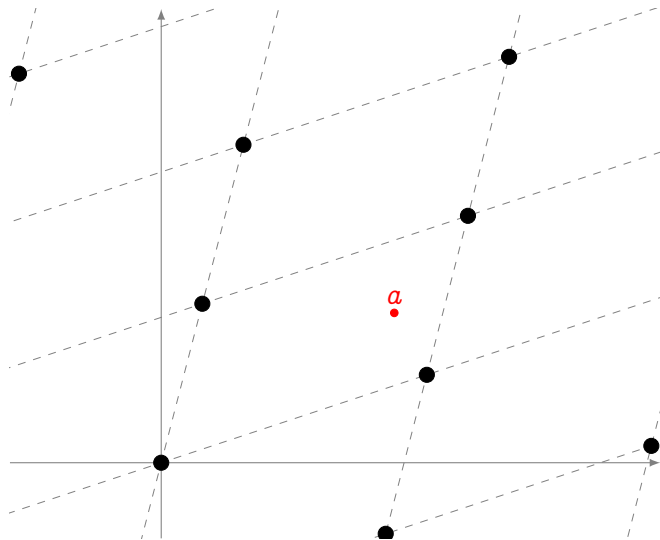
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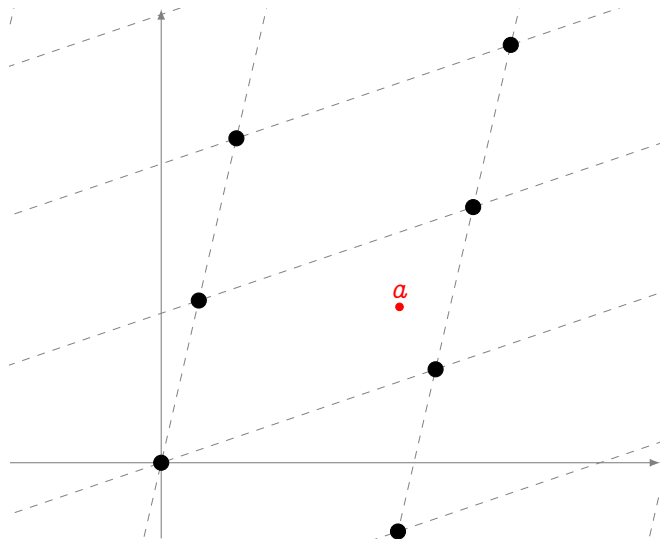
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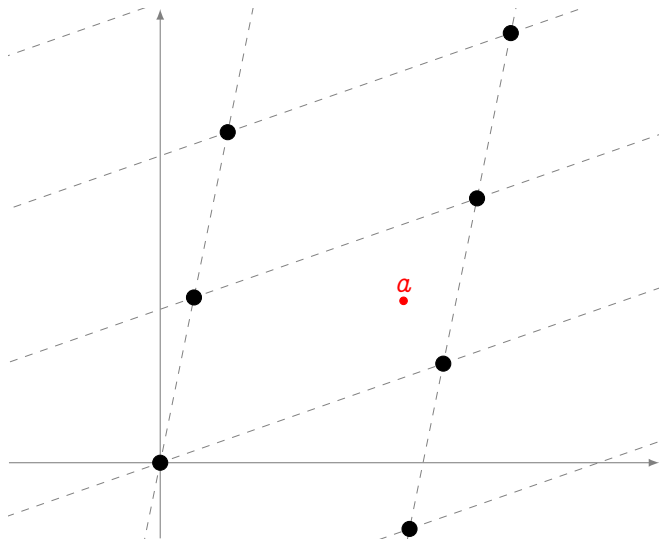
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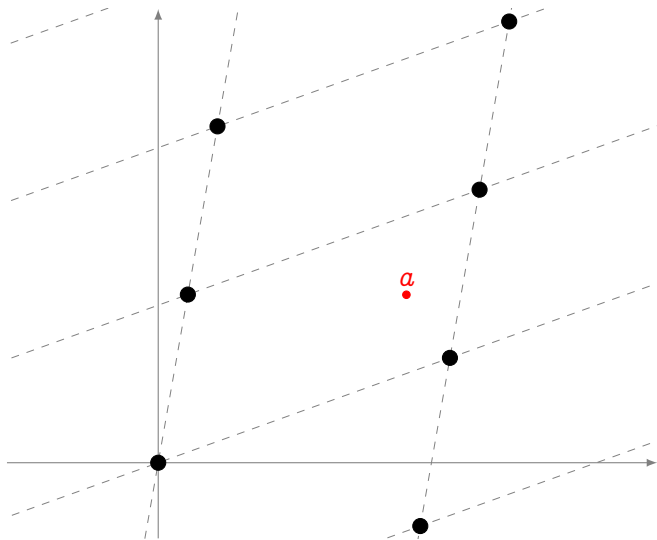


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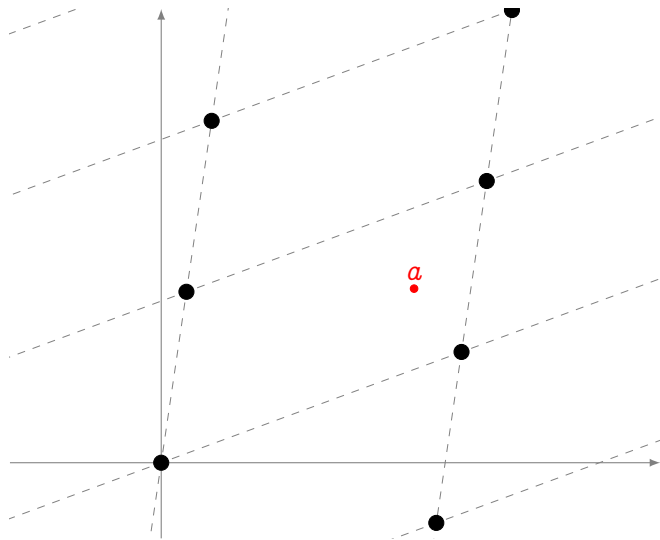
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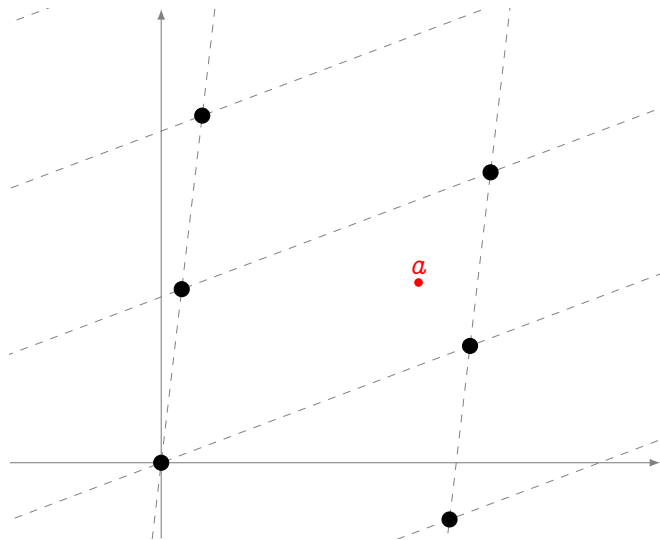
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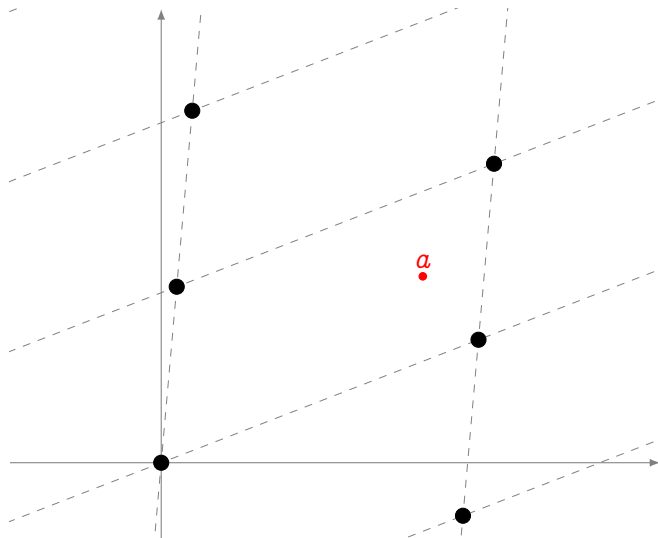
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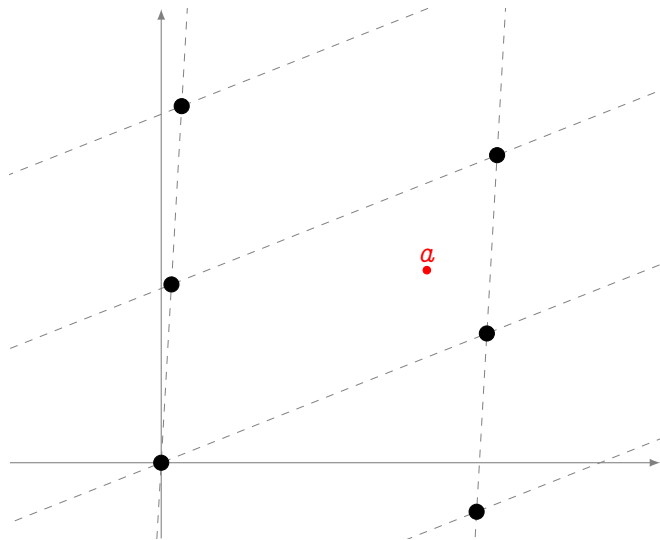
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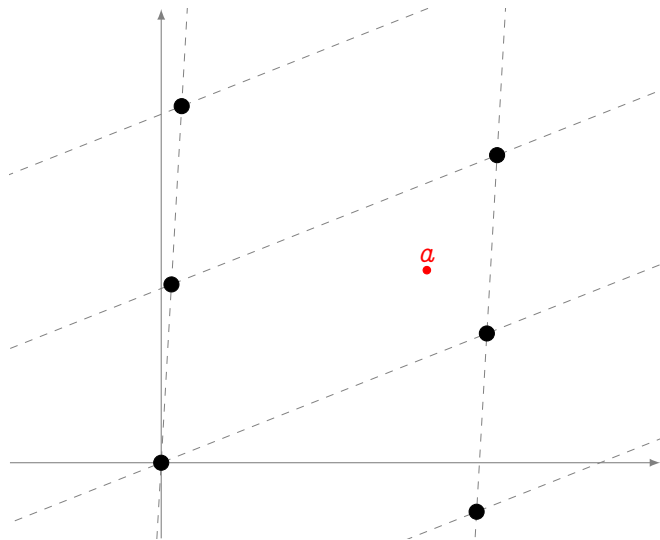
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# The $j$ -invariant

We want to classify complex lattices/tori **up to homothety**.

## Eisenstein series

Let  $\Lambda$  be a complex lattice. For any integer  $k > 0$  define

$$G_{2k}(\Lambda) = \sum_{\omega \in \Lambda \setminus \{0\}} \omega^{-2k}.$$

Also set

$$g_2(\Lambda) = 60 G_4(\Lambda), \quad g_3(\Lambda) = 140 G_6(\Lambda).$$

## Modular $j$ -invariant

Let  $\Lambda$  be a complex lattice, the **modular  $j$ -invariant** is

$$j(\Lambda) = 1728 \frac{g_2(\Lambda)^3}{g_2(\Lambda)^3 - 27g_3(\Lambda)^2}.$$

Two lattices  $\Lambda, \Lambda'$  are homothetic if and only if  $j(\Lambda) = j(\Lambda')$ .

# Elliptic curves over $\mathbb{C}$

## Weierstrass $\wp$ function

Let  $\Lambda$  be a complex lattice, the **Weierstrass  $\wp$  function** associated to  $\Lambda$  is the series

$$\wp(z; \Lambda) = \frac{1}{z^2} + \sum_{\omega \in \Lambda \setminus \{0\}} \left( \frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right).$$

Fix a lattice  $\Lambda$ , then  $\wp$  and its derivative  $\wp'$  are **elliptic functions**:

$$\wp(z + \omega) = \wp(z), \quad \wp'(z + \omega) = \wp'(z)$$

for all  $\omega \in \Lambda$ .



# Uniformization theorem

Let  $\Lambda$  be a complex lattice. The curve

$$E : y^2 = 4x^3 - g_2(\Lambda)x - g_3(\Lambda)$$

is an elliptic curve over  $\mathbb{C}$ . The map

$$\begin{aligned}\mathbb{C}/\Lambda &\rightarrow E(\mathbb{C}), \\ 0 &\mapsto (0 : 1 : 0), \\ z &\mapsto (\wp(z) : \wp'(z) : 1)\end{aligned}$$

is an **isomorphism of Riemann surfaces** and a **group morphism**.

Conversely, for any elliptic curve

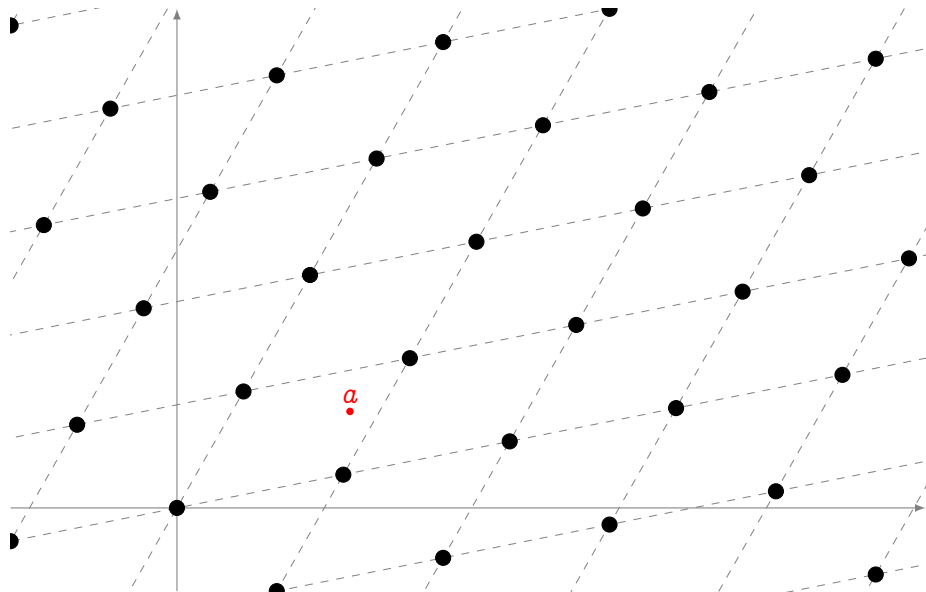
$$E : y^2 = x^3 + ax + b$$

there is a unique complex lattice  $\Lambda$  such that

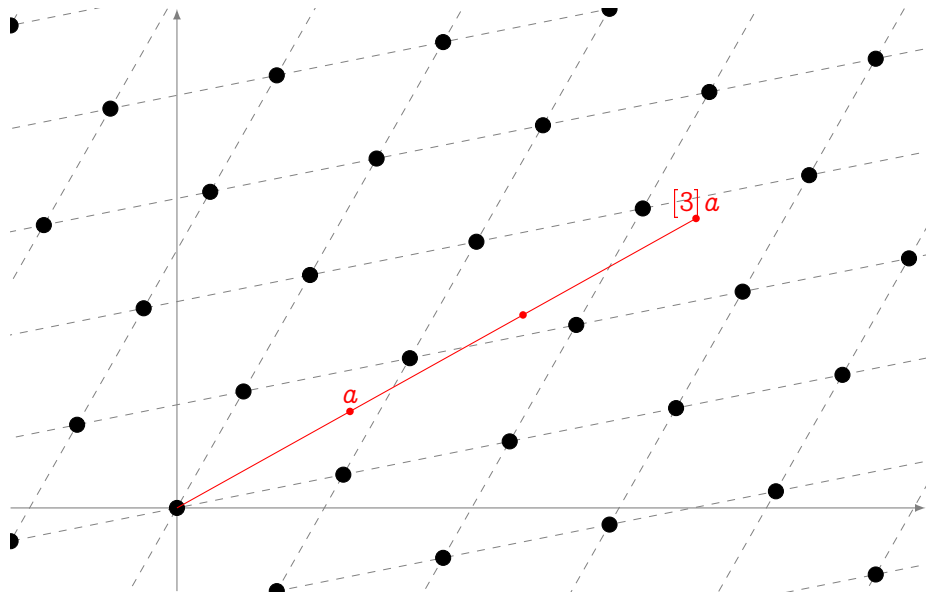
$$g_2(\Lambda) = -4a, \quad g_3(\Lambda) = -4b.$$

Moreover  $j(\Lambda) = j(E)$ .

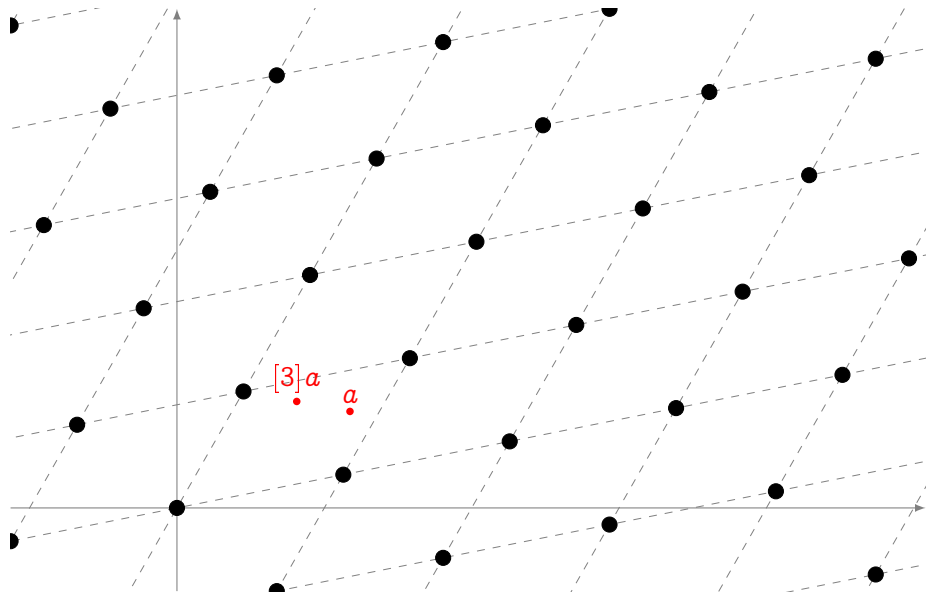
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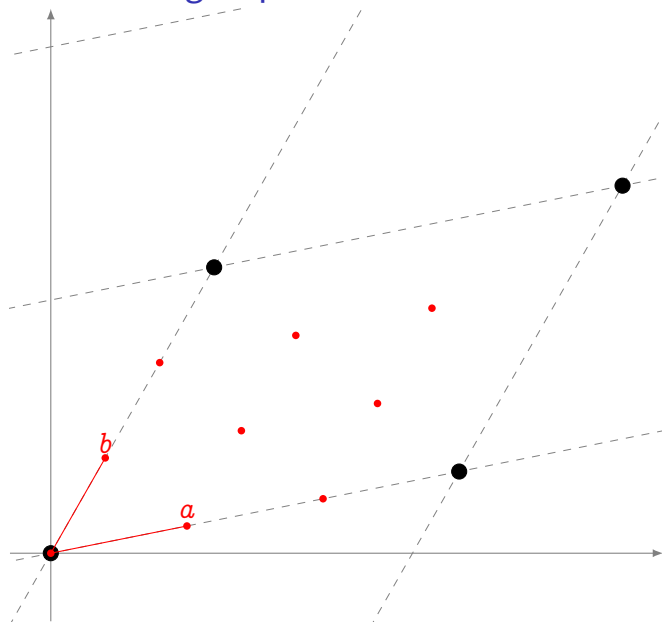
# Multiplication



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# Torsion subgroups



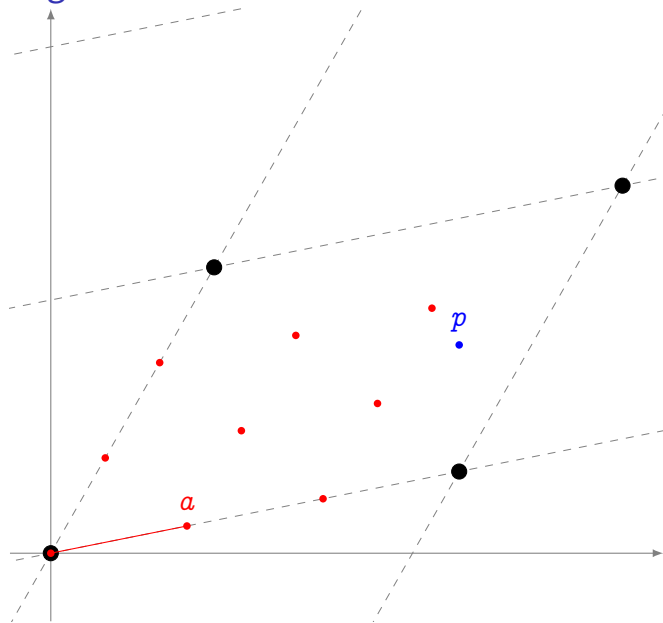
The  $\ell$ -torsion subgroup is made up by the points

$$\left( \frac{i\omega_1}{\ell}, \frac{j\omega_2}{\ell} \right)$$

It is a group of rank two

$$E[\ell] = \langle a, b \rangle \\ \simeq (\mathbb{Z}/\ell\mathbb{Z})^2$$

# Isogenies



Let  $a \in \mathbb{C}/\Lambda_1$  be an  $\ell$ -torsion point, and let

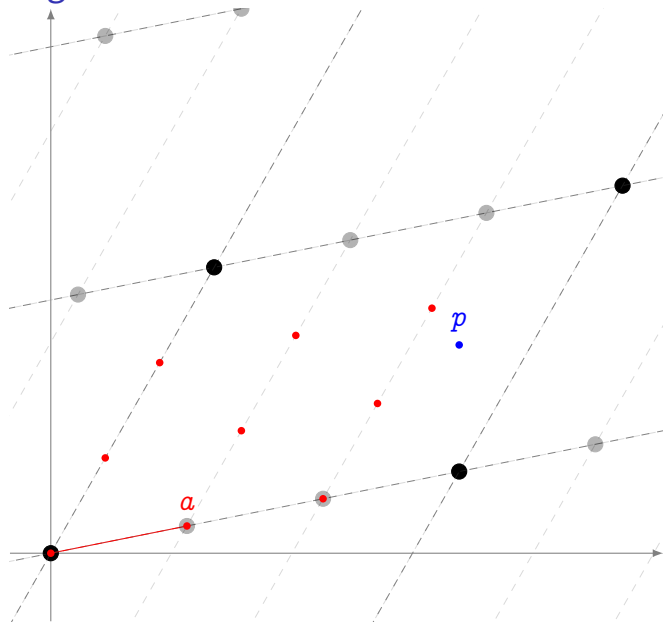
$$\Lambda_2 = a\mathbb{Z} \oplus \Lambda_1$$

Then  $\Lambda_1 \subset \Lambda_2$  and we define a degree  $\ell$  cover

$$\phi : \mathbb{C}/\Lambda_1 \rightarrow \mathbb{C}/\Lambda_2$$

$\phi$  is a morphism of complex Lie groups and is called an **isogeny**.

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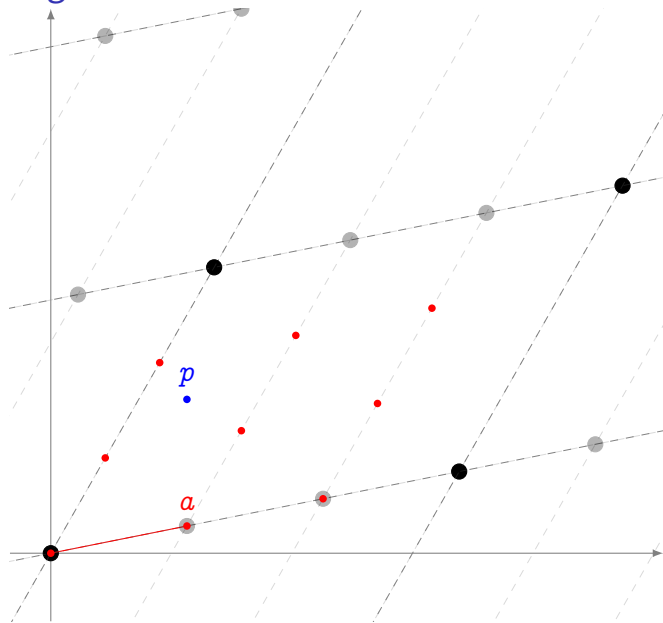
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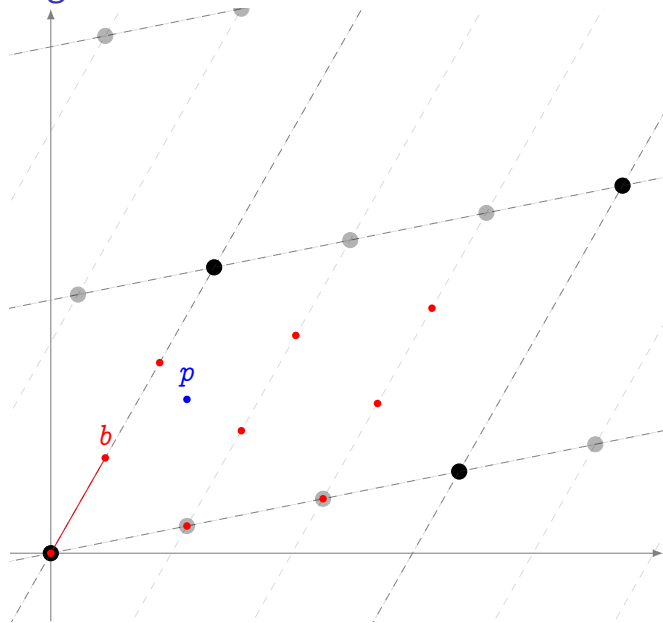
Then  $\Lambda_1 \subset \Lambda_2$  and we define a degree  $\ell$  cover

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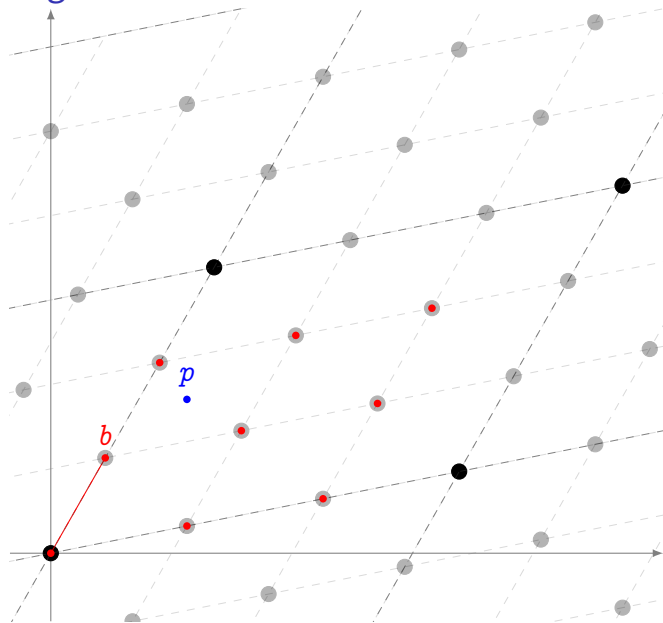
Taking a point  $b$  not in the kernel of  $\phi$ , we obtain a new degree  $\ell$  cover

$$\hat{\phi} : \mathbb{C}/\Lambda_2 \rightarrow \mathbb{C}/\Lambda_3$$

The composition  $\hat{\phi} \circ \phi$  has degree  $\ell^2$  and is **homothetic to the multiplication by  $\ell$**  map.

$\hat{\phi}$  is called the **dual isogeny** of  $\phi$ .

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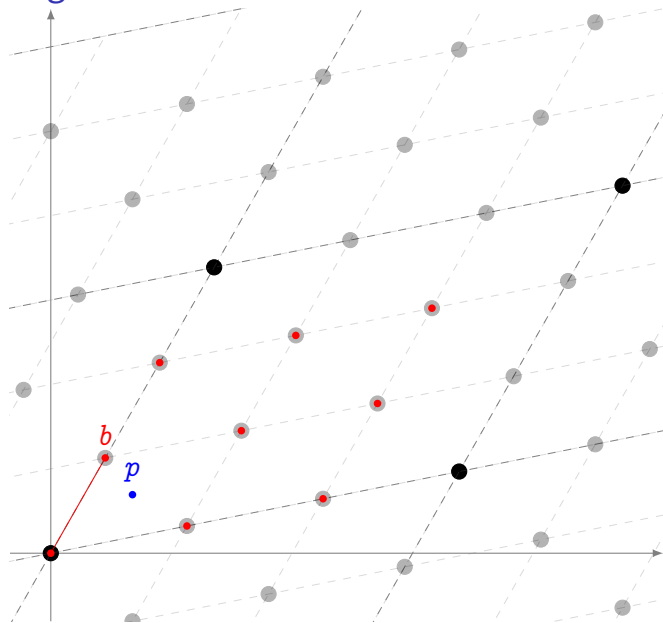


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# Isogenies: back to algebra

Let  $\phi : E \rightarrow E'$  be an isogeny defined over a field  $k$  of characteristic  $p$ .

- $k(E)$  is the **field of all rational functions** from  $E$  to  $k$ ;
- $\phi^* k(E')$  is the subfield of  $k(E)$  defined as

$$\phi^* k(E') = \{f \circ \phi \mid f \in k(E')\}.$$

## Degree, separability

- 1 The **degree** of  $\phi$  is  $\deg \phi = [k(E) : \phi^* k(E')]$ . It is always finite.
- 2  $\phi$  is said to be **separable**, **inseparable**, or **purely inseparable** if the extension of function fields is.
- 3 If  $\phi$  is separable, then  $\deg \phi = \# \ker \phi$ .
- 4 If  $\phi$  is purely inseparable, then  $\ker \phi = \{\mathcal{O}\}$  and  $\deg \phi$  is a power of  $p$ .
- 5 Any isogeny can be decomposed as a product of a separable and a purely inseparable isogeny.

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# Isogenies: separable vs inseparable

## Purely inseparable isogenies

Examples:

- The **Frobenius endomorphism** is purely inseparable of degree  $q$ .
- All purely inseparable maps in characteristic  $p$  are of the form  $(X : Y : Z) \mapsto (X^{p^e} : Y^{p^e} : Z^{p^e})$ .

## Separable isogenies

Let  $E$  be an elliptic curve, and let  $G$  be a finite subgroup of  $E$ . There are a unique elliptic curve  $E'$  and a **unique separable isogeny**  $\phi$ , such that  $\ker \phi = G$  and  $\phi : E \rightarrow E'$ .

The curve  $E'$  is called the **quotient of  $E$  by  $G$**  and is denoted by  $E/G$ .

# The dual isogeny

Let  $\phi : E \rightarrow E'$  be an isogeny of degree  $m$ . There is a unique isogeny  $\hat{\phi} : E' \rightarrow E$  such that

$$\hat{\phi} \circ \phi = [m]_E, \quad \phi \circ \hat{\phi} = [m]_{E'}.$$

$\hat{\phi}$  is called the **dual isogeny of  $\phi$** ; it has the following properties:

- 1  $\hat{\phi}$  is defined over  $k$  if and only if  $\phi$  is;
- 2  $\widehat{\psi \circ \phi} = \hat{\phi} \circ \hat{\psi}$  for any isogeny  $\psi : E' \rightarrow E''$ ;
- 3  $\widehat{\psi + \phi} = \hat{\psi} + \hat{\phi}$  for any isogeny  $\psi : E \rightarrow E'$ ;
- 4  $\deg \phi = \deg \hat{\phi}$ ;
- 5  $\hat{\hat{\phi}} = \phi$ .

## Algebras, orders

- A **quadratic imaginary number field** is an extension of  $\mathbb{Q}$  of the form  $\mathbb{Q}[\sqrt{-D}]$  for some non-square  $D > 0$ .
- A **quaternion algebra** is an algebra of the form  $\mathbb{Q} + \alpha\mathbb{Q} + \beta\mathbb{Q} + \alpha\beta\mathbb{Q}$ , where the generators satisfy the relations

$$\alpha^2, \beta^2 \in \mathbb{Q}, \quad \alpha^2 < 0, \quad \beta^2 < 0, \quad \beta\alpha = -\alpha\beta.$$

### Orders

Let  $K$  be a finitely generated  $\mathbb{Q}$ -algebra. An **order**  $\mathcal{O} \subset K$  is a **subring** of  $K$  that is a finitely generated  $\mathbb{Z}$ -module of **maximal dimension**. An order that is not contained in any other order of  $K$  is called a **maximal order**.

Examples:

- $\mathbb{Z}$  is the only order contained in  $\mathbb{Q}$ ,
- $\mathbb{Z}[i]$  is the only maximal order of  $\mathbb{Q}(i)$ ,
- $\mathbb{Z}[\sqrt{5}]$  is a non-maximal order of  $\mathbb{Q}(\sqrt{5})$ ,
- The **ring of integers** of a number field is its only maximal order,
- In general, maximal orders in quaternion algebras are **not unique**.



# The endomorphism ring

The **endomorphism ring**  $\text{End}(E)$  of an elliptic curve  $E$  is the ring of all isogenies  $E \rightarrow E$  (plus the null map) with **addition** and **composition**.

## Theorem (Deuring)

Let  $E$  be an elliptic curve defined over a field  $k$  of characteristic  $p$ .  $\text{End}(E)$  is isomorphic to one of the following:

- $\mathbb{Z}$ , only if  $p = 0$

$E$  is **ordinary**.

- An order  $\mathcal{O}$  in a quadratic imaginary field:

$E$  is **ordinary** with **complex multiplication** by  $\mathcal{O}$ .

- Only if  $p > 0$ , a maximal order in a quaternion algebra<sup>a</sup>:

$E$  is **supersingular**.

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<sup>a</sup>(ramified at  $p$  and  $\infty$ )

# The finite field case

## Theorem (Hasse)

Let  $E$  be defined over a finite field. Its Frobenius endomorphism  $\pi$  satisfies a quadratic equation

$$\pi^2 - t\pi + q = 0$$

in  $\text{End}(E)$  for some  $|t| \leq 2\sqrt{q}$ , called the **trace** of  $\pi$ . The trace  $t$  is coprime to  $q$  if and only if  $E$  is ordinary.

Suppose  $E$  is **ordinary**, then  $D_\pi = t^2 - 4q < 0$  is the **discriminant** of  $\mathbb{Z}[\pi]$ .

- $K = \mathbb{Q}(\pi) = \mathbb{Q}(\sqrt{D_\pi})$  is the **endomorphism algebra** of  $E$ .
- Denote by  $\mathcal{O}_K$  its ring of integers, then

$$\mathbb{Z} \neq \mathbb{Z}[\pi] \subset \text{End}(E) \subset \mathcal{O}_K.$$

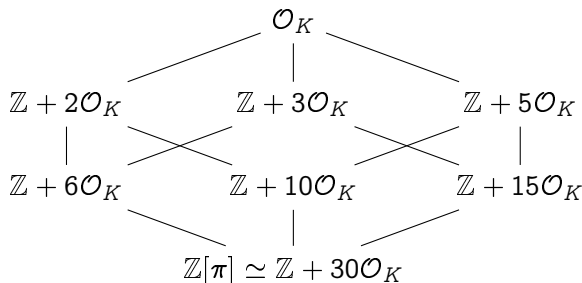
In the **supersingular** case,  $\pi$  may or may not be in  $\mathbb{Z}$ , depending on  $q$ .

# Endomorphism rings of ordinary curves

## Classifying quadratic orders

Let  $K$  be a quadratic number field, and let  $\mathcal{O}_K$  be its ring of integers.

- Any order  $\mathcal{O} \subset K$  can be written as  $\mathcal{O} = \mathbb{Z} + f\mathcal{O}_K$  for an integer  $f$ , called the **conductor** of  $\mathcal{O}$ , denoted by  $[\mathcal{O}_K : \mathcal{O}]$ .
- If  $d_K$  is the **discriminant** of  $K$ , the discriminant of  $\mathcal{O}$  is  $f^2 d_K$ .
- If  $\mathcal{O}, \mathcal{O}'$  are two orders with discriminants  $d, d'$ , then  $\mathcal{O} \subset \mathcal{O}'$  iff  $d' \mid d$ .



# Ideal lattices

## Fractional ideals

Let  $\mathcal{O}$  be an order of a number field  $K$ . A (fractional)  $\mathcal{O}$ -ideal  $\mathfrak{a}$  is a finitely generated non-zero  $\mathcal{O}$ -submodule of  $K$ .

When  $K$  is imaginary quadratic:

- Fractional ideals are complex lattices,
- Any lattice  $\Lambda \subset K$  is a fractional ideal,
- The order of a lattice  $\Lambda$  is

$$\mathcal{O}_\Lambda = \{\alpha \in K \mid \alpha\Lambda \subset \Lambda\}$$

## Complex multiplication

Let  $\Lambda \subset K$ , the elliptic curve associated to  $\mathbb{C}/\Lambda$  has complex multiplication by  $\mathcal{O}_\Lambda$ .

# The class group

Let  $\text{End}(E) = \mathcal{O} \subset \mathbb{Q}(\sqrt{-D})$ . Define

- $\mathcal{I}(\mathcal{O})$ , the group of **invertible fractional ideals**,
- $\mathcal{P}(\mathcal{O})$ , the group of **principal ideals**,

## The class group

The **class group** of  $\mathcal{O}$  is

$$\text{Cl}(\mathcal{O}) = \mathcal{I}(\mathcal{O}) / \mathcal{P}(\mathcal{O}).$$

- It is a **finite abelian** group.
- Its order  $h(\mathcal{O})$  is called the **class number** of  $\mathcal{O}$ .
- It arises as the Galois group of an abelian extension of  $\mathbb{Q}(\sqrt{-D})$ .

# Complex multiplication

## Fundamental theorem of CM

Let  $\mathcal{O}$  be an order of a number field  $K$ , and let  $\mathfrak{a}_1, \dots, \mathfrak{a}_{h(\mathcal{O})}$  be representatives of  $\text{Cl}(\mathcal{O})$ . Then:

- $K(j(\mathfrak{a}_i))$  is an Abelian extension of  $K$ ;
- The  $j(\mathfrak{a}_i)$  are all conjugate over  $K$ ;
- The Galois group of  $K(j(\mathfrak{a}_i))$  is isomorphic to  $\text{Cl}(\mathcal{O})$ ;
- $[\mathbb{Q}(j(\mathfrak{a}_i)) : \mathbb{Q}] = [K(j(\mathfrak{a}_i)) : K] = h(\mathcal{O})$ ;
- The  $j(\mathfrak{a}_i)$  are integral, their minimal polynomial is called the **Hilbert class polynomial** of  $\mathcal{O}$ .

## Deuring's lifting theorem

Let  $E_0$  be an elliptic curve in characteristic  $p$ , with an endomorphism  $\omega_0$  which is not trivial. Then there exists an elliptic curve  $E$  defined over a number field  $L$ , an endomorphism  $\omega$  of  $E$ , and a non-singular reduction of  $E$  at a place  $\mathfrak{p}$  of  $L$  lying above  $p$ , such that  $E_0$  is isomorphic to  $E(\mathfrak{p})$ , and  $\omega_0$  corresponds to  $\omega(\mathfrak{p})$  under the isomorphism.