

Algorithm in coursera

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Week 3

merge sort and quick sort

Interview questions

1. Merging with smaller auxiliary array. Suppose that the subarray $a[0]a[0]$ to $a[n-1]a[n-1]$ is sorted and the subarray $a[n]a[n]$ to $a[2*n-1]a[2*n-1]$ is sorted. How can you merge the two subarrays so that $a[0]a[0]$ to $a[2*n-1]a[2*n-1]$ is sorted using an auxiliary array of length n (instead of $2n$)?

```
1 | copy only the left half into the auxiliary array
```

2. Shuffling a linked list

```
1 | design a linear-time subroutine that can take two uniformly shuffled linked  
   | lists of sizes  $n_1$  and  $n_2$  and combined them into a uniformly shuffled linked  
   | lists of size  $n_1 + n_2$ 
```

Assignment3: Patter Recognition

Computer vision: Computer vision involves analyzing patterns in visual images and reconstructing the real-world objects that produced them. The process is often broken up into two phases: feature detection and pattern recognition. Feature detection involves selecting important features of the image; pattern recognition involves discovering patterns in the features. We will investigate a particularly clean pattern recognition problem involving points and line segments. This kind of pattern recognition arises in many other applications such as statistical data analysis.

[The question](#)

- How to find the maximum line(number of points on a line ≥ 5) in Fast program?

```
| Only add the linesegment when the original point is less than other points
```

The code

- [Point](#)
- [Brute](#)
- [Fast](#)

Week 3

Quick sort

- Basic plan
 - recursion before the sort (merge is recursion after the sort)
 - partition (put an entry to a right place)
 - sort piece of front of the entry and tail of the entry recursively
- Step (choose the first one of the array as the entry)
 - i from left+1 to right, j from right to left + 1
 - until `arr[i]>arr[left] && arr[j]<arr[left]`
 - exchange `arr[i] & arr[j]`
 - repeat 1-3 until `j < i`

```
1  for(int i = left + 1, j = right; i <= j; ){
2
3      if(arr[i] <= arr[left])
4          i++ ;
5      if(arr[j] > arr[left])
6          j-- ;
7      if(arr[i] > arr[left] && arr[j] <= arr[left]){
8          swap(arr[i], arr[j]);
9      }
10 }
```

- Notice
 - stay in the bound (test needed):
 - `[]` (`j==left`)
 - ☑ (`i==right`)
 - Shuffle the array before sort: for performance (quadratic case)
 - Equal keys: when duplicates are present, it is better to stop on keys equals to the partitioning item's key
- Properties
 - in-place:
 - Partition: constant extra space
 - Depth of recursion: logarithmic extra space
 - not stable

- improvements
 - Insertion of small arrays(10 items)
 - median of sample: choose the median as a entry(swap median and left)

Selection

- Goal: Given an array of N items, find the k-th largest
- Use theory as a guide:
 - Upper bound: $N \log N$
 - Upper bound for $k = 1, 2, 3, \dots, N$ How?

go through the array

- Lower bound : N Why?
- Quick selection

Partition array until $j ==$ the k order you want (again shuffle before the partition)

- proposition: Linear time on average

Duplicate Keys

- large array but small # of key values
- Mergesort : Always between $1/2 * N \lg N$ and $N \lg N$ compares
- QuickSort: quadratic times unless partition stops at equal keys
 - recommend: stops scans on items equal to the partitioning item
- 3-way partitioning
 - Entries between lt and gt : equal

```

1  v=a[lo];lt=lo;gt=hi;i=lo+1;
2
3  (a[i]<v) swap(a[lt++],a[i++]);
4
5  (a[i]>v) swap(a[gt--],a[i]);
6
7  (a[i]==v) i++;
8
9  until i>= gt

```

System sort

- java system sorts: `Array.sort()`;
 - different methods for each primitive type
 - a method for data types that implements Comparable
 - a method uses Comparator

- Uses tuned quicksort for primitive types, tuned mergesort for objects (stable, space is not that important)
- `import java.util.Arrays`
- Tukey's ninther

small arrays: middle entry

medium arrays: median of 3 (3 ways partitioning)

large arrays: Tukey's ninther

- pick 9 items out of the array
- take the median of the medians as a ninther

```

1  9 samples: RAM GXK BJE
2
3  medians: MKE
4
5  ninther: K

```

- Interview questions:
- Decimal dominants. Given an array with n keys, design an algorithm to find all values that occur more than $n/10$ times. The expected running time of your algorithm should be linear.

```

1  Hint: determine the  $(n/10)$ th largest key using quickselect and check if it
    occurs more than  $n/10$  times.
2
3  Alternate solution hint: use 9 counters.

```

- Selection in sorted array: 2 arrays $a[]$ $b[]$, size n_1, n_2 find k th largest key. $\log(n_1+n_2)$ algorithm

```

1  Approach A: Compute the median in  $a[]$  and the median in  $b[]$ . Recur in a
    subproblem of roughly half the size.
2
3  Approach B: Design a constant-time algorithm to determine whether  $a[i]$  is the
     $k$ th largest key. Use this subroutine and binary search.

```

Week 4

1. Priority Queues

APIs and Elementary Implementations

- Collections: Insert and delete items

Stack: Remove the items most recently added

Queue: Remove the items least recently added

Randomized Queue: Remove a random item

Priority queue: Remove the largest/smallest item

- Find largest M items in a stream of N items(large M frauds in N transactions)

```
1  MinPQ<Transaction> pq = new MinPQ<Transaction>();
2  while(StdIn.hasNextLine()){
3      String line = StdIn.readLine();
4      Transaction item = new Transaction(line);
5      pq.insert(item);
6      //delete items not topM
7      if(pq.size()>M)
8          pq.delMin();
9  }
```

implementation	time	space
sort	$N \log N$	N
elementary PQ	$M N$	M
binary heap	$N \log M$	M
best in theory	N	M

- unordered array implementation

```
1  public class UnorderedMaxPQ<Key extends Comparable<Key>>{//key is comparable
2      private Key[] pq;
3      private int N;
4      public UnorderedMaxPQ (int capacity){
5          pq = (Key[]) new Comparable[capacity];
6      }
7      public boolean isEmpty(){
8          return N==0;
9      }
10     public void insert(Key x){
11         pq[N++] = x;
12     }
13     public Key delMax(){
```

```

14         int max = 0;
15         for(int i=1;i<n;i++)
16             if(less(max,i)) max = i;
17         //put to the end return and reduce the size
18         swap(max,N-1);
19         return pq[--N];
20     }
21     public boolean less(int x,int y){
22         return pq[x].compareTo(pq[y])<0;
23     }
24     public void swap(int x,int y){
25         Key temp = pq[x];
26         pq[x] = pq[y];
27         pq[y] = temp;
28     }
29 }

```

implementation	insert	del max	max
unoredered array	1	N	N
ordered array	N	1	1
goal	logN	logN	logN

- Use binary search to insert a key into ordered array, but when shifting we still need linearly array accesses.

Binary Heaps

- Complete binary tree
 - Binary tree: empty or node with links to left and right binary trees (only two branches for each nodes)
 - Complete tree: perfectly balanced, except for bottom level (except the bottom level, all of the level must be full)
 - Property: Height of complete tree with N nodes is $\lceil \lg N \rceil$
 - Pf: Height only increases when N is a power of 2
- Binary heap: array representation of a heap-ordered complete binary tree
 - keys in nodes
 - parent's key no smaller than children's keys
- Array representation
 - Indices start at 1(the largest key)

- Takes nodes in level order
- No explicit links needed
- Parent of node at k : $k/2$
- Children of node at k : $2k$ and $2k + 1$
- Scenario: Child's key becomes larger key than its parent's key
 - exchange key in child with key in parent
 - repeat until heap order restored

```

1 private void swim(int k){
2     while(k>1 && less(k/2,k)){
3         swap(k,k/2);
4         k=k/2;
5     }
6 }
7 // logN+1 compares
8 public void insert(Key x){
9     pq[++N]=x;
10    swim(N);
11 }

```

- Scenario: parent is smaller than its child
 - exchange key with larger child

```

1 private void sink(int k){
2     while(2*k<=N){
3         int j = 2*k;
4         // find max child
5         if(j<N && less(j,j+1)) j++; //here are N entries, start from 1 so N is
the last
6         if(!less(k,j)) break;
7         swap(k,j);
8         //check next
9         k = j;
10    }
11 }
12 public Key delMax(){
13     Key max = pq[1];
14     swap(1,N--);
15     pq[N+1] = null;
16     sink(1);
17     return max;
18 }

```

```

1 public class MaxPQ<Key extends Comparable<Key>>{
2     private Key[] pq;

```

```

3     private int N;
4     public MaxPQ(int capacity){
5         pq = (Key[]) new Comparable[capacity+1];
6     }
7     public boolean isEmpty(){return N==0;}
8     public void insert(Key key);
9     public Key delMax();
10    public void swim(int k);
11    public void sink(int k);
12    public boolean less(int x,int y){
13        return pq[x].compareTo(pq[y])<0;
14    }
15    public void swap(int x,int y){
16        Key temp = pq[x];
17        pq[x] = pq[y];
18        pq[y] = temp;
19    }
20 }

```

- Immutability of keys
 - Assumption: client doesn't change keys while they're on the PQ
 - Best practice: use immutable keys
 - Data type: set of values and operations on those values
 - Immutable data type: Cannot change the data type value once created (String Integer Double Color Vector...)

```

1     public final class Vector{
2         private final int N;
3         private final double[] data;
4         public Vector(double[] data){
5             this.N = data.length;
6             this.data = new double[N];
7             for(int i=0;i<N;i++)
8                 this.data[i]=data[i];
9         }
10    }

```

- Underflow and overflow(exception)
 - throw exception if deleting from empty
 - add no-arg constructor and use resizing array
- Minimum-oriented priority queue
 - Replace less() with greater()

- Implement greater()
 - Other operations
 - Remove an arbitrary item
 - change the priority of an item //sink() and swim()
-

Heapsort

- Basic plan for in-place sort
 - Create max-heap with all N-keys
 - Repeatedly remove the maximum key
- Heap construction
 - Build max heap using bottom-up method (check all the 3-nodes heap from bottom)
- remove maximum
 - swap(1, N--) then the largest one to the tail of the heap (array)

```

1  public static void sort(Comparable[] pq){
2      int N = pq.length;
3      //from the second last layer to sink make the heap in order
4      for(int k = N/2; k >= 1; k--){
5          sink(arr, k, N);
6      }
7      // remove the maximum, one at a time
8      while(N > 1){
9          swap(arr, 1, N--);
10         sink(arr, 1, N);
11     }
12     // N*logN(<=2N compares and exchanges in heap construction, <= 2NlogN compares and
    exchanges for heapsort) In-place sorting with NlogN worst case
  
```

- bottom line (heapsort is not often used)
 - Inner loop longer than quicksort's
 - Makes poor use of cache memory(高速缓存)
 - not stable
-

Event-Driven Simulation

- Goal: simulate the motion of N moving particles that behave according to the laws of elastic collision
- Hard disc model
 - moving particles via elastic collisions with each other and walls
 - each particle is a disc(圆盘) with known position, velocity, mass and radius
 - No other forces

```

1  public class BouncingBalls{
  
```

```

2     public static void main(String[] args){
3         int N = Integer.parseInt(arg[0]);
4         Ball[] balls = new Ball[N];
5         for(int i=0;i<N;i++){
6             balls[i]=new Ball();
7             while(true){
8                 StdDraw.clear();
9                 for(int i=0;i<N;i++){
10                     balls[i].move(0.5);
11                     balls[i].draw();
12                 }
13                 StdDraw.show(50);
14             }
15         }
16     }
17     public class Ball{
18         private double rx,ry;
19         private double vx,vy;
20         private final double radius;
21         public Ball(){/*initialize position and velocity*/}
22         public void move(double dt){
23             //check collision with walls
24             if((rx+vx*dt<radius) || (rx+vx*dt>1.0-radius)){vx=-vx;}
25             if((ry+vy*dt<radius) || (ry+vy*dt>1.0-radius)){vy=-vy;}
26             rx+=(vx*dt);
27             ry+=(vy*dt);
28         }
29         public void draw(){
30             StdDraw.filledCircle(rx,ry,radius);
31         }
32     }

```

- Missing : collision between each other
- Time-driven simulation
 - Discretize time in quanta of size **dt**
 - Update the position of each particle after every **dt** units of time and check overlap
 - If overlap, roll back the clock to the time of the collision, update the velocities of the colliding particles, and continue the simulation
 - Drawbacks: quadratic slow
- Even-driven (Change state only when something happened)
 - Between collisions, particles move in straight-line trajectories(弹道)
 - Focus only on times when collisions occur
 - Maintain PQ of collision events, prioritized by time
 - Remove the min = get next collision

Prediction: at time t use position velocity and radius

Resolution: at time $t + dt$ change the velocity

```
1 public class Particle{
2     private double rx,ry;
3     private double vx,vy;
4     private final double radius;
5     private final double mass;
6     private int count;
7     public Particle(...){}
8     public void move(double dt){}
9     public void draw(){}
```

//predict collision with particle or wall

```
11 public double timeToHit(Particle that){}
12 public double timeToHitVerticalWall(){}
```

//resolve collision with particle or wall

```
15 public void bounceOff(Particle that){}
16 public void bounceOffVerticalWall(){}
```

```
17 public void bounceOffHorizontalWall(){}
```

```
18 }
```

- Initialization

- Fill PQ with all potential particle-wall collisions
- Fill PQ with all potential particle-particle collisions

- Main loop

- delete the impending(即将到来的) event from PQ
- If the event has been invalidated, ignore it
- Advance all particles to time t, on a straight-line trajectory
- Update the velocities of the colliding particles
- Predict future particle-wall and particle-particle collisions involving the colliding particles and insert events onto PQ

```
1 private class Event implements Comparable<Event>{
2     private double time;
3     private Particle a,b;
4     private int countA,countB;
5     public Event(double t,Particle a,Particle b){}
6     public int compareTo(Event that){
7         return this.time-that.time;
8     }
9     public boolean isValid(){}
```

```
10 }
11 public class CollisionSystem{
12     private MinPQ<Event> pq;
13     private double t = 0.0;
14     private Particle[] particles;
15     public CollisionSystem(Particle[] particles){}
```

```

16     private void predict(Particle a){
17         if(a == null) return;
18         for(int i=0;i<N;i++){
19             double dt = a.timeToHit(particles[i]);
20             pq.insert(new Event(t+dt,a,particles[i]));
21         }
22         pq.insert(new Event(t+a.timeToHitVerticalWall()),a,null);
23         pq.insert(new Event(t+a.timeToHitHorizontalWall()),null,a);
24     }
25     private void redraw(){}
26     public void simulate(){
27         pq = new MinPQ<Event>();
28         for(int i=0;i<N;i++)predict(particles[i]);
29         pq.insert(new Event(0,null,null));
30         while(!pq.isEmpty()){
31             Event event = pq.delMin();
32             if(!event.isValid())continue;
33             Particle a = event.a;
34             Particle b = event.b;
35             for(int i=0;i<N;i++)
36                 particles[i].move(event.time-t)
37             t=event.time;
38             if(a!=null&&b!=null)a.bounceOff(b);
39             else if(a!=null&&b==null)a.bounceOffVerticalWall();
40             else if(a==null&&b!=null)a.bounceOffHorizontalWall();
41             else if(a==null&&b==null)redraw();
42             //predict new event
43             predict(a);
44             predict(b);
45         }
46     }
47 }

```

Interview questions

- Dynamic median(insert and remove in logarithmic, find the median in constant)
 - two heap one is max-oriented another is min-oriented
- Taxicab numbers: find all numbers can be represeted in two forms of cubic: $a^3+b^3=c^3+d^3=n$
 - form the sums a^3+b^3 and sort
 - use a min-oriented priority queue with n items

8 Puzzle

2. Elementary symbol tables

Symbol tables API

(1) Key - value pair abstraction

- Insert a value with specified key
- Given a key, search for the corresponding value.

```
1 public class ST<Key,Value>{
2     ST(); //create a symbol table
3     void put(Key key, Value val); //put key-value pair into the table like arr[key]
    = value
4     Value get(Key key); //like int val = arr[key]
5     void delete(Key key){
6         put(key,null);
7         size--;
8     }
9     boolean contains(Key key){
10         return get(key)!=null;
11     }
12     boolean isEmpty();
13     int size();
14     Iterable<Key> keys();
15 }
```

(2) Conventions

- Values are not `null`
- Method `get()` returns `null` if key not present
- Method `put()` overwrites old value with new value

(3) Keys and Values

- Values: Any generic type
- Keys:
 - Assume keys are `Comparable`, use `compareTo()`.
 - more efficient implements by using the ordering of the keys to find ways around the data structure
 - support broader symbol table operations
 - Assume keys are any generic type, use `equals()` to test equality

- Assume keys are any generic type, use `equals()` to test equality, use `hashCode()` to test scramble(争夺) key.
- Best practices : immutable types for symbol table keys(String Integer Double java.io.File)

(4) Equality test

- Reflexive: `x.equals(x)` is true
- Symmetric: `x.equals(y)` iff `y.equals(x)`
- Transitive: if `x.equals(y)` and `y.equals(z)`, then `x.equals(z)`
- Non-null: `x.equals(null)` is false

```

1 public class Date implements Comparable<Date>{
2     private final int month;
3     private final int day;
4     private final int year;
5     public boolean equals(Object y){
6         if(y==this) return true; //if the reference is the same
7         if(y==null) return false; //if y is null
8         if(y.getClass()!=this.getClass()) //same class?
9             return false;
10        Date that = (Date) y;
11        return (this.day==that.day)&&(this.month==that.month)&&
12            (this.year==that.year);
13    }
14 }
```

(5) "Standard" recipe for user-defined types

- Optimization for reference equality (use `getClass()`)
- Check against null
- Check two objects are of the same type and cast
- Compare each significant field

if field is a primitive type use `==`

If field is an object, use `equals()`

if field is an array, apply to each entry use `Arrays.equals(a,b)` or `Array.deepEquals(a,b)`

- Best practices
 - No need to use calculated fields(dependent fields)
 - Compare fields most likely to differ first
 - Make `compareTo()` consistent with `equals()` (use first one if it is a Comparable type)

```

1 // example of find most frequent word using Symbol table
2 public class FrequencyCounter{
3     public static void main(String[] args){
4         int minlen = Integer.parseInt(args[0]);
```

```

5      ST<String, Integer> st = new ST<String,Integer>();
6      while(!StdIn.isEmpty()){
7          String word = StdIn.readString();
8          if(word.length()<minlen)continue;
9          if(!st.contains(word))st.put(word,1);
10         else st.put(word,st.get(word)+1);
11     }
12     String max="";
13     st.put(max,0);
14     for(String word:st.keys())
15         if(st.get(word)>st.get(max))
16             max=word;
17     StdOut.println(max+" "+st.get(max));
18 }
19 }

```

Elementary Implementations

- Linked list
 - Data structure: linked list with key-value pairs
 - Search: Scan through all keys until find a match
 - Insert: Scan through all keys until find a match; if no add to front
 - for keys only have interface `equals()`
 - Linearly time
- Binary Search
 - ordered array
 - for keys have interface `compareTo()`;
 - Log search and linear insert

```

1  private Key[] keys;
2  private Value[] vals;
3  private int N;
4  public Value get(Key key){
5      if(isEmpty())return null;
6      int i = rank(key);
7      if(i<N && keys[i].compareTo(key)==0) return vals[i];
8      else return null;
9  }
10 private int rank(Key key){
11     int left = 0, right = N - 1;
12     while(left<=right){
13         int mid = (left+right)/2;
14         int cmp = key.compareTo(keys[mid]);
15         if(cmp<0) right=mid-1;
16         else if(cmp>0) left = mid + 1;
17         else return mid;

```

```

18     }
19     return left;
20 }
21 public void insert(Key key, Value value){
22     if(isEmpty) {
23         keys[N++] = key;
24         vals[N++] = value;
25     }
26     else if(get(key) == null){
27         int i = rank(key);
28         for(int j = N-1; j >= i; j--){
29             vals[j+1] = vals[j];
30             keys[j+1] = keys[j];
31         }
32         vals[i] = value;
33         keys[i] = key;
34     }
35     else{
36         int i = rank(key);
37         vals[i] = value;
38     }
39 }

```

Ordered symbol table

API

```

1 public class ST<Key extends Comparable<Key>, Value>{
2     ST
3     //...
4     Key min();
5     Key max();
6     Key floor(Key key);
7     Key ceiling(Key key);
8
9 }

```

Binary Search Trees

(1) Definition

- Binary Heap: implicit representation of trees with an array
- Binary search tree: explicit binary search tree in symmetric order
- **Symmetric** order: each node has a key, and every node's key is:
 - **Larger** than all keys in its left subtree
 - **Smaller** than all keys in its right subtree

- Java: A BST is a reference to a root Node
- A Node is comprised of four fields:
 - A Key and a Value
 - A reference to the left and right subtree

```

1  public class BST<Key extends Comparable<key>,Value>{
2      private Node root;  //root of BST
3      private class Node{
4          private Key key;
5          private Value val;
6          private Node left,right;
7          public Node(Key key,Value val) {
8              this.key = key;
9              this.val=val;
10         }
11     }
12
13     /*public void put(Key key,Value val){
14         Node x = root;
15         while(x!=null){
16             int cmp = key.compareTo(x.key);
17             if(cmp<0) x = x.left;
18             else if(cmp>0) x = x.right;
19             else x.val = val;
20         }
21         if(x==null) x = new Node(key,val);
22     }*/
23
24     //recursive implementation
25     public void put(Key key,Value val){
26         root = put(root,key,val);
27     }
28     private Node put(Node x,Key key,Value val){
29         if(x==null) return new Node(key,val); //for the new node
30         int cmp = key.compareTo(x.key);
31         if(cmp<0)
32             x.left = put(x.left,key,val);
33         else if(cmp>0)
34             x.right = put(x.right,key,val);
35         else
36             x.val = val;
37         return x; //every time return the processed same node
38     }
39
40     public Value get(Key key){
41         Node x = root;
42         while(x!=null){
43             int cmp = key.compareTo(x.key);

```

```

44         if(cmp<0) x = x.left;
45         else if(cmp>0) x = x.right;
46         else return x.val;
47     }
48     return null;
49 }
50
51 public void delete(Key key){
52
53 }
54
55 public Iterable<Key> iterator(){
56
57 }
58 }
59

```

(2) mathematical analysis

- Proposition: If N distinct keys are inserted into a BST in random order, the expected number of compares for a search/insert is $\sim 2 \ln N$
- Pf: 1-1 correspondence with quicksort partitioning
- But for ordered key the time would be linear

(3) other methods

- Min: `while(x!=null) x=x.left;`
- Max: `while(x!=null) x=x.right;`
- Floor: largest key \leq given key
 - Case1: [key equals the key at root] \rightarrow the floor of k is k
 - Case2: [key is less than the key at root] \rightarrow The floor of k is in the left subtree
 - Case3: [key is larger than the key at root] \rightarrow The floor of k is in the right otherwise the root

```

1  public Key floor(Key key){
2      Node x = floor(root, key);
3      if(x==null) return null;
4      return x.key;
5  }
6  private Node floor(Node x, Key key){
7      if(x==null) return null;
8      int cmp = key.compareTo(x.key);
9      if(cmp==0) return x;
10     if(cmp<0) return floor(x.left, key);
11     // right otherwise the root
12     Node t = floor(x.right, key);
13     if(t!=null) return t;
14     else return x;
15 }

```

- Ceiling: smallest key \geq given key
- `rank()`, `select()` what is the rank of a given key, what is the key of the given rank
- `size()` return the count at the root

```

1  private class Node{
2      private Key key;
3      private Value val;
4      private Node left,right;
5      private int count;
6      public Node(Key key,Value val,int cnt) {
7          this.key = key;
8          this.val=val;
9          count = cnt;
10     }
11     public int size(){
12         return size(root);
13     }
14     private int size(Node x){
15         if(x==null) return 0;
16         return x.count;
17     }
18 }
19 public void put(Key key,Value val){
20     root = put(root,key,val);
21 }
22 private Node put(Node x,Key key,Value val){
23     if(x==null) return new Node(key,val,1); //for the new node
24     int cmp = key.compareTo(x.key);
25     if(cmp<0)
26         x.left = put(x.left,key,val);
27     else if(cmp>0)
28         x.right = put(x.right,key,val);
29     else
30         x.val = val;
31     x.count = 1 + size(x.left) + size(x.right); //1 is the node itself
32     return x; //every time return the processed same node
33 }
34 public int rank(Key key){
35     return rank(key,root);
36 }
37 private int rank(Key key,Node x){
38     if(x==null) return 0;
39     int cmp = key.compareTo(x.key);
40     if(cmp<0) return rank(key,x.left);
41     //the current node and left nodes all less than the key
42     else if(cmp>0) return 1 + size(x.left) + rank(key,x.right);
43     else return size(x.left);

```

- Inorder traversal

- Traverse left subtree
- Enqueue key
- Traverse right subtree

```

1  public Iterable<Key> keys(){
2      Queue<Key> q = new Queue<Key>();
3      inorder(root,q);
4      return q;
5  }
6  private void inorder(Node x, Queue<Key> q){
7      if(x==null) return;
8      inorder(x.left,q);
9      q.enqueue(x.key);
10     inorder(x.right,q);
11 }

```

- Delete key-value pairs from table

- Set its value to null
- Leave key in tree to guide searches (but don't consider it equal in search)
- ~lnN -> memory overload

- Deleting the minimum

- Go left until finding a node with a null left link
- Replace that node by its right link
- Update subtree counts

```

1  public void deleteMin(){
2      root = deleteMin(root); //root = root; x=x recursion pattern
3  }
4  private Node deleteMin(Node x){
5      // if it is null replace by its right link
6      if(x.left == null) return x.right;
7      x.left = deleteMin(x.left);
8      // recalculate the size of the BST
9      x.count = 1+size(x.left)+size(x.right);
10     return x;
11 }

```

- Hubbard deletion: To delete a node with key **k**: search for node **t** containing key **k**

- Case 0: [0 children] Delete **t** by setting parent link to null (`return null;`)

- Case 1: [1 child] Delete t by replacing parent link (`return children;`)
- Case 2: [2 children]
 - Find successor x of t
 - Delete the minimum in t 's right subtree
 - put x in t 's spot (replace x by t)
 - i.e. swap x and the min of right subtree, delete x

```

1  public void delete(Key key){
2      root = delete(root,key);
3  }
4  private Node delete(Node x,Key key){
5      if(x==null)return null;
6      int cmp = key.compareTo(x.key);
7      if(cmp<0) delete(x.left,key);
8      else if(cmp>0) delete(x.right,key);
9      else{
10         //if it has only one child or zero child, or no child can also handle
11         if(x.right==null) return x.left;
12         else if(x.left==null) return x.right;
13         Node t = x; //now t is the one should be deleted
14         //find the minimum of the right tree
15         x = min(t.right);
16         //assign right of x as the right tree deleted x
17         x.right = deleteMin(t.right);
18         x.left = t.left;
19     }
20     x.count = size(x.left)+size(x.right)+1;
21     return x;
22 }

```

- Problem: lack of symmetric -> always get right hand tree -> delete time $N^{\frac{1}{2}}$

week 5

1. Balanced Search Trees -- control $\log N$ symbol table operations

(1) 2-3 Search Trees

- Properties
 - Idea: Allow 1 or 2 keys per node
 - 2-node: one key, two children
 - 3-node: two keys, three children (1 child less, 1 child between, 1 child larger than the two keys)
 - Perfect balance: Every path from root to null link has same length

- Symmetric order: Inorder traversal yields keys in ascending order
 - Search
 - compare search key against keys in node
 - find interval containing search key
 - Follow associated link (recursively)
 - Insert
 - Insert into a 2-node at bottom
 - Search for key, as usual
 - Replace 2-node with 3-node
 - Insert into a 3-node at bottom
 - Add new key to 3-node to create temporary 4-node
 - Move middle key in 4-node into parent
 - Repeat until there are no 4-node
 - Performance
 - Worst: $\lg N$ (all 2-nodes)
 - Best: $\log_3 N$
 - Between 12 and 20 for a million nodes
 - Between 18 and 30 for a billion nodes.
 - Guaranteed logarithmic time
-

(2) Left-leaning Red-Black BSTs

- Property
 - Represent 2-3 tree as a BST
 - Use "internal" left-leaning links as "glue" for 3-nodes
 - No node has two red links connected to it
 - Every path from root to null link has the same number of black links
 - Red links lean left
- **Search** is the same as for elementary BST

Because it is more balanced it will be faster

```

1  public Val get(Key key){
2      Node x = root;
3      while(x!=null){
4          int cmp = key.compareTo(x.key);
5          if(cmp<0)    x = x.left;
6          else if(cmp>0) x = x.right;
7          else        return x.val;
8      }
9      return null;
10 }
```

- Implementation

```
1 private static final boolean RED = true;
2 private static final boolean BLACK = false;
3 private class Node{
4     Key key;
5     Value val;
6     Node left,right;
7     boolean color; //color represent the link from its parent to it
8 }
9 private boolean isRed(Node x){
10     if(x!=null) return false;
11     return x.color == RED;
12 }
```

- **Left(right) rotation:** Orient a (temporarily) right-leaning red link to lean left (when insertion we need to rotate it right and get it back)

maintains symmetric order and perfect black balance

```
1 private Node rotateLeft(Node h){
2     assert isRed(h.right);
3     //store x and it will be the parent , h will be the left child
4     Node x = h.right;
5     // send the one between h and x(x.left) to h.right
6     h.right = x.left;
7     x.left = h;
8     //keep the original color with parent
9     x.color = h.color;
10    // change color of h
11    h.color = RED;
12    return x;
13 }
14 private Node rotateRight(Node h){
15     assert isRed(h.left);
16     //store x and it will be the parent , h will be the left child
17     Node x = h.left;
18     // send the one between h and x(x.left) to h.right
19     h.left = x.right;
20     x.right = h;
21     //keep the original color with parent
22     x.color = h.color;
23     // change color of h
24     h.color = RED;
25     return x;
26 }
```

- **Color flip :** Recolor to split a (temporary) 4-node.

```

1 private void flipColors(Node h){
2     assert !isRed(h);
3     assert isRed(h.left);
4     assert isRed(h.right);
5     h.color = RED;
6     h.left.color = BLACK;
7     h.right.color = BLACK;
8 }

```

- **Insert**

- Warmup 1: Insert into a tree with exactly 1 node
 - Left -> set the child color to be RED
 - Right -> set the child color to be RED then rotate left
- Case 1: Insert into a 2-node at the bottom
 - Do standard BST insert; color new link red
 - if new red link is a right link, rotate left
- Warmup 2: Insert into a tree with exactly 2 nodes
 - Larger than the 2 nodes -> attached new node with red link -> flipped to BLACK
 - Smaller than the 2 nodes -> attach to left of the child of the 2 nodes -> rotated the child of the 2 nodes right -> flipped to BLACK
 - Between -> attach to right of the child of the 2 nodes -> rotated the new node left and then right -> flipped to BLACK
- Case 2: insert into a 3-node at the bottom
 - Do standard BST insert; color new link red
 - Rotate to balance the 4-node (if needed)
 - Flip colors to pass red link up one level
 - Rotate to make lean left (if needed)
 - Repeat case 1 or case 2 up the tree (if needed)
- **Same code handles all cases**
 - Right child red, left child black: rotate left
 - Left child , left-left child both red: rotate right
 - Both child red: flip colors


```

1  private Node put(Node h,Key key, Value val){
2      if(h == null) return new Node(key,val,RED);
3      int cmp = key.compareTo(h.key);
4      // standard BST insert
5      if(cmp<0) h.left = put(h.left,key,val);
6      else if(cmp>0) h.right = put(h.right,key,val);
7      else h.val = val;
8      // rotate and flip -> only for recursive implementation
9      if(!isRed(h.left)&&isRed(h.right)) h = rotateLeft(h);
10     if(isRed(h.left)&&isRed(h.left.left)) h = rotateRight(h);
11     if(isRed(h.right)&&isRed(h.left)) h = flipColors(h);
12     return h;
13 }

```

(3) B-Trees

- Background -> file system
 - Page: Contiguous block of data
 - Probe: First access to a page(e.g. from disk to memory)
 - Property : Time required for a probe is much larger than time to access data within a page
 - Cost model: number of probes (find the first page)
 - Goal: Access data using minimum number of probes
 - Generalize 2-3 trees by allowing up to $M-1$ key-link pairs(a lot of keys) per node (M is choosed by user,can be larger than 3 -> $M-1, M$ tree)
 - At least 2 key-link pairs at root
 - At least $M/2$ key-link pairs in other node
 - if full split it
 - Proposition
 - In a B-tree of order M with N keys requires between $\log_{M-1} N$ and $\log_{M/2} N$ probes
 - Because all internal nodes have between $M/2$ and $M-1$ links
 - In practice: Number of probes is at most 4
 - Optimization: Always keep root page in memory
 - Libray
 - Java: java.util.TreeMap, java.util.TreeSet
 - C++ STL: map, multimap, multiset
-

2. Geometirc Application of BSTs

(1) 1 d range search

- Extension of ordered symbol table
 - Range search: find all keys between k_1 and k_2
 - Range count: find number of keys between k_1 and k_2
- Geometrix interpretation
 - Keys are point on a line
 - Find/count points in a given 1 d interval
- Two elementary operation
 - Unordered array : fast insert , slow search
 - Ordered array : slow insert , binary search
- In BST

```
1 public int size(Key left,Key right){
2     if(contains(right)) return rank(right)-rank(left)+1;
3     else return rank(right)-rank(left);
4 }
```

(2) Line segment intersection

- Given N horizontal and vertical line segments, find all intersections
- Method
 - Quadratic algorithm : check all pairs of line segments
 - Nondegeneracy assumption: All x- and y-coordinates are distinct
- Sweep-line algorithm : Sweep vertical line from left to right
 - x-coordinates define events
 - h-segment (hit left endpoint) : insert y-coordinate into BST
 - h-segment (hit right endpoint) : remove y-coordinate from BST
 - v-segment(hit vertical line): range search for interval of y-endpoints (search for the point in the range) **using method above**
- Time: $N \log N + R_x$
 - Put x-coordinates on a PQ(or sort): $N \log N$
 - Insert y-coordinates into BST : $N \log N$
 - Remove y-coordinates from BST : $N \log N$
 - Range searches in BST : $N \log N + R(\text{number of intersections})$

(3) Kd-trees

a. backgroud and silly method

- Extension of ordered symbol table
 - Range search: find all keys in 2d range
 - Range count: find number of keys in to
- Geometrix interpretation

- Keys are point on a plane
- Find/count points in a given h-v rectangle
- First method
 - Grid implementation
 - Divide space into M-by-M grid of squares
 - Create list of points contained in each square
 - Use 2d array to directly index relevant square
 - Insert: add(x,y) to list for corresponding square
 - Range search: examine only squares that intersect 2d range query
 - Space-time trade off
 - Space: $M^2 + N$
 - Time: $1 + N/M^2$ per square examined, on average
 - Choose M
 - Too small: waste Time
 - Too big: waste space
 - Rule of thumb: $M = \sqrt{N}$
 - Running time(Randomly distributed points)
 - Initialize data structure: N
 - Insert point: 1
 - Range search : 1 per point in range
 - Problem: clustering
 - Lists are too long, even though average length is short
 - Need data structure that adapts gracefully to data

b. Kd-trees

- 2d tree : recursively divide space into two halfplanes
 - divide the plane into two subplanes by using the vertical line through the points (left plane become left child)
 - similar but use horizontal line in the second iteration (up plane become right child)
- Find point in rectangle
 - Goal: find all the points in a query axis-aligned rectangle
 - check if point in node lies in given rectangle
 - Recursively search left/bottom (if any could fall in rectangle)
 - Recursively search right/top(if any could fall in rectangle)
 - Cost
 - Typical case: $R + \log N$
 - worst case : $R + \sqrt{N}$
- Find closest point to query point
 - Check distance from current point with query point
 - Recursively search left/bottom (if any could be closer)
 - first **go down** the point that is on the **same side** of the splitting line as the **query point** as, and compare with previous point and replace if smaller (To maintain the performance, same

side is more likely to be the answer)

- when **go out** of the recursive loop compare with the whole vertical/horizontal line, to check is it possible to have a closer point in that area
 - Recursively search right/top(if any could be closer)
 - Organize method so that it begins by searching for query point
 - Cost
 - logN
 - Worst: N
 - Kd-tree: recursively partition k-dimensional space into 2 half spaces
 - N-body simulation
 - Simulate the motion of N particles, mutually affected by gravity
 - Brute force: For each pair of particles, compute force : $F = \frac{Gm_1m_2}{r^2}$
-

(4) Interval search

a. 1 d interval search

- Extension of symbol table
 - Insert an interval (left,right)
 - Search for an interval (left,right)
 - Delete an interval (left,right)
 - Interval intersection query: given an interval (left,right), find all intervals(or one interval) in data structure that intersects (left,right)
- API

```
1 public class IntervalST<Key extends Comparable<key>,Value>{
2     public IntervalST();
3     public void put(Key left,Key right,Value val);
4     public Value get(Key left,Key right);
5     public void delete(Key left,Key right);
6     Iterable<Value> intersects(Key left,Key right);
7 }
```

- Interval search tree
 - insert
 - Use **left point** as BST key
 - Store the **largest end point** in subtree rooted at node (largest end point of the whole subtree, **it is for the search of intersect -> if largest one is smaller than the targets' left, it won't be checked**)
 - Search : To search for **any one** interval that intersects query interval (left,right)
 - if interval in node intersects query interval, return it
 - else if left subtree is null, go right
 - else if max end point in left subtree is less than *left*, go right

```

1 Node x = root;
2 while(x!=null){
3     if (x.interval.intersects(left,right)) return x.interval;
4     else if (x.left==null || x.left.max<lo) x = x.right;
5     else x = x.left;
6 }
7 return null;

```

- Proof:
 - If search goes right, then no intersection in left ($\max < left_{target}$)
 - If search goes left, then there is either an intersection in left subtree or no intersections in either (当目标区间卡在左边子树的某两个区间之间时，无解) \rightarrow (此时 $right_{target} < c$)
 - Suppose no intersection in the left
 - Since went left we have $left_{target} \leq \max$
 - Then for any interval (a,b) in right subtree of x.
 $right_{target} < c \leq a$ (where c is left value of interval (c,max) who provide the max end point)
- Implementation: Use a red-black BST to maintain performance

(5) Rectangle Intersection

- Background
 - Goal: Find all intersections among a set of N orthogonal rectangles
 - Quadratic algorithm: Check all pairs of rectangles for intersection
 - Non-degeneracy assumption : all x- and y- coordinates are distinct
- Sweep-line algorithm
 - x-coordinates of left and right endpoints define events (when hit add or move the y-interval)
 - Maintain set of rectangles that intersect the sweep line in an interval search tree (using y-interval of rectangle)
 - Left endpoint: interval search for y-interval of rectangle; insert y-interval
 - right endpoint: remove y-interval

Week6

1. Hash Table

(1) Hash table

- To Begin with
 - Basic idea : Save items in a key-indexed table (use an index as the key of an array) (index is a function of the key).
 - Hash function : Method for computing array index from key.
- Issue:
 - Computing the hash function
 - Equality test: Method for checking whether two keys are equal
 - Collision resolution: Algorithm and data structure to handle two keys that hash to the same array index
- Classic space-time tradeoff
 - No space limitation : trivial hash function with key as index
 - No time limitation : trivial collision resolution with sequential search
 - Space and time limitation : hashing (the real world)
- Requirement of hashfunction
 - Efficiently computable
 - Each table index **equally likely** for each key
- Java's hash code conventions : all java classes inherit a method `hashCode()` , which returns a 32-bit `int`.
 - Requirement: If `x.equals(y)` ,then `(x.hashCode()==y.hashCode())` (反过来可能不行)
 - Highly desirable:(for collision) If `!x.equals(y)` ,then `(x.hashCode()!=y.hashCode())`
 - Default implementation : Memory address of x.
 - Legal (but poor) implementation : Always return 17

```
1 // method for String
2 public int hashCode(){
3     int hash = 0;
4     for(int i=0;i<length();i++)
5         hash = s[i] + (31*hash);
6     return hash;
7 }
8 //optimization : Cache the hash value in an instance variable return the
  cached value (String is immutable)
9 private int hash = 0;
10 public int hashCode(){
11     int h = hash;
12     if(h!=0)return h; // compute only one time
13     for(int i=0;i<length();i++)
14         h = s[i] + (31*h);
15     hash = h;
16     return h;
17 }
18
19 // method for a self defined type
```

```

20 public final class Transaction implements Comparable<Transaction>{
21     private final String who;
22     private final Date when;
23     private final double amount;
24     public int hashCode(){
25         int hash = 17 ;// some nonzero constant
26         hash = 31*hash + who.hashCode();
27         hash = 31*hash + when.hashCode();
28         hash = 31*hash + (Double) amount.hashCode();
29         return hash;
30     }
31 }

```

- "Standard" recipe for user-defined types
 - Combine each significant field using the $31x + y$ rule
 - If field is a primitive type, use wrapper type `hashCode()`
 - if field is null, return 0
 - if field is a reference type, use `hashCode()`. (apply rule recursively)
 - if field is an array, apply to each entry
 - In theory : Keys are bitstring; "universal" hash functions exist
- Modular hashing
 - Hash code. An `int` between -2^{31} and $2^{31} - 1$
 - Hash function. An `int` between 0 and M-1(for array)

```

1 private int hash(Key key){
2     return (key.hashCode() & 0x7fffffff) % M;
3 }

```

- Uniform hashing assumption: Each key is equally likely to hash to an integer between 0 and M-1 (throw N balls into M bins)

(2) Separate chaining

- Collisions : Two distinct keys hashing to same index
 - Birthday problem -> can't avoid collisions unless you have a ridiculous amount of memory
 - Coupon collector + load balancing -> collisions will be evenly distributed (均匀分布)
 - Challenge: Deal with collisions efficiently
- Separate chaining symbol table
 - Hash: map key to integer i between 0 and M-1
 - Insert: put at front of i^{th} chain (if not already there)
 - Search: need to search only i^{th} chain

```

1 public class SeparateChainingHashST<Key, Value>{
2     private int M = 97; //number of chains
3     private Node[] st = new Node[M]; //array of chains
4     private static class Node{

```

```

5     private Object key;
6     private Object val;
7     private Node next;
8
9     }
10    private int hash(Key key){
11        return (key.hashCode() & 0x7fffffff) % M;
12    }
13    public Value get(Key key){
14        int i = hash(key);
15        for(Node x = st[i]; x != null; x = x.next)
16            if(key.equals(x.key)) return x.val;
17    }
18    public void put(Key key, Value val){
19        int i = hash(key);
20        for(Node x = st[i]; x != null; x = x.next)
21            if(key.equals(x.key)){x.val = val; return;}
22        st[i] = new Node(key, val, st[i]);
23    }

```

- Analysis
 - The number of keys in a list is within a constant factor of N/M is extremely close to 1
 - Consequence: Number of probes for search/insert is proportional to N/M
 - M too large -> too many empty chains
 - M too small -> too long to search
 - Typical choice: array resizing

(3) Linear probing (探测)

- Collision resolution : open addressing
 - When a new key collides, find next empty slot, and put it there
- Linear probing hash table demo
 - **Hash:** Map key to integer i between 0 and $M-1$
 - **Insert:** Put at table index i if free; if not try $i+1$, $i+2$ (if reaches the end go back to head of the array) etc
 - **Search:** similar as insert
 - Note: Array size M *must be* greater than number of key-value pairs N

```

1 public class LinearProbingHashST<Key, value>{
2     private int M = 30001;
3     private Value[] vals = (Value[]) new Object[M];
4     private Key[] keys = (Key[]) new Object[M];
5     private int hash(Key key){return (key.hashCode() & 0x7fffffff) % M;}
6     public void put(Key key, Value val){
7         int i;

```



```

8         for(i=hash(key);keys[i]!=null;i=(i+1)%M)
9             if(keys[i].equals(key))
10                 break;
11         keys[i] = key;
12         vals[i] = val;
13     }
14     public Value get(Key key){
15         for(int i=hash(key);keys[i]!=null;i=(i+1)%M)
16             if(keys[i].equal(key))
17                 return vals[i];
18         return null;
19     }
20 }

```

- Clustering
 - Cluster : A contiguous block of items
 - Observation: New keys likely to hash into middle of big clusters
- Lnut's parking problem
 - Model: Cars arrive at one-way street with M parking spaces (Each desire a random space i: if i is taken, try i+1,i+2,etc)
 - Question : what is mean displacement of a car
 - Half-full: with M/2 cars, mean displacement is $\sim 3/2$
 - Full: with M cars, mean displacement is $\sim \sqrt{\frac{\pi M}{8}}$
 - Method: use resizing array

(4) Context

- War story: String hashing in Java
 - String `hashCode()` in Java 1.1
 - Benefit: saves time in performing arithmetic

```

1 public int hashCode(){
2     int hash = 0;
3     int skip = Math.max(1,length()/8);
4     for(int i=0;i<length;i+=skip)
5         hash = s[i]+(37*hash);
6     return hash;
7 }

```

- Separate chaining vs. Linear probing
 - Separate chaining
 - Easier to implement delete
 - Performance degrades gracefully
 - Clustering less sensitive to poorly-designed hash function
 - Linear probing

- less wasted space
- Better cache performance
- Improved version
 - Two-probe hashing (separate-chaining variant)
 - Hash to two positions (two hash function) , insert key in shorter of the two chains
 - Reduce expected length of the longest chain to $\log\log N$
 - Double hasing (linear-probing variant)
 - Use linear probing, but skip a variable amount, not just 1 each time
 - Effectively eliminates clustering
 - Can allow table to become nearly full
 - More difficult to implement delete
 - Cukoo hashing (linear-probing variant)
 - Hash key to two positions (two hash function); insert key into either position; if occupied, reinsert displaced key into its alternative position (and recur)
- Hash tables vs balanced search tree
 - Simpler to code
 - No effective alternative for unordered key
 - Faster for simple keys
 - Better system support in java for strings
- Balanced search tees
 - Stronger performance guarantee
 - SUpport for ordered ST operations
 - Easier to implement `compareTo()` correctly than `equals()` and `hashCode()`.

2. Symbol table Applications

(1) Sets

- Mathematical set: A collection of distinct keys
- Remove "Value" of any implementations

```

1 public class SET<Key extends Comparable<Key>>{
2     SET();
3     void add(Key key);
4     boolean contains(Key key);
5     void remove(Key key);
6     int size();
7     Iterator<Key> iterator();
8 }
```

- Exception filter
 - Read in a list of words from one file
 - Print out all word from standard input that are {in , not in } the list

```
1 public class WhiteList{
2     public static void main(String[] args){
3         SET<String> set = new SET<String>();
4         In in = new In(args[0]);
5         while(!in.isEmpty())
6             set.add(in.readString());
7         while(!StdIn.isEmpty()){
8             String word = StdIn.readString();
9             if(set.contains(word))
10                 StdOut.println(word);
11         }
12     }
13 }
```

(2) Dictionary Clients

- Dictionary lookup
 - A comma-separated value (CSV) file (URL and IP address pair)
 - Key field
 - Value field

```
1 public class LookupCSV{
2     public static void main(String[] args){
3         In in = new In(args[0]);
4         int keyField = Integer.parseInt(args[1]);
5         int valField = Integer.parseInt(args[2]);
6         ST<String,String> st = new ST<String,String>();
7         while(!in.isEmpty()){
8             String line = in.readLine();
9             String[] tokens = line.split(",");
10            String key = tokens[keyField];
11            String val = tokens[valField];
12            st.put(key,val);
13        }
14        while(!StdIn.isEmpty()){
15            String s = StdIn.readString();
16            if(!st.contains(s)) StdOut.println("Not found");
17            else StdOut.println(st.get(s));
18        }
19    }
20 }
```

(3) Indexing Clients

- File indexing : Use search key to get associating information (搜索引擎)
- Goal: Given a list of files specified, create an index so that you can efficiently find all files containing a given query string
- Solution : Key = query string; value = set of files containing that string

```
1  import java.io.File;
2  public class FileIndex{
3      public static void main(String[] args){
4          ST<String,SET<File>> st = new ST<String, SET<File>>();
5          for(String filename:args){
6              File file = new File(filename);
7              In in = new In(file);
8              while(!in.isEmpty()){
9                  String key = in.readString();
10                 if(!st.contains(key))
11                     st.put(key,new SET<File>());
12                 st.get(key).add(file);
13             }
14         }
15         while(!StdIn.isEmpty()){
16             String query = StdIn.readString();
17             StdOut.println(st.get(query));
18         }
19     }
20 }
```

- Book index(Index for an e-book)
 - Preprocess a text corpus to support concordance queries: given a word, find all occurrences with their immediate contexts

(4) Sparse Vectors

- Matrix-vector multiplication (standard implementation)

```
1  double[][] a = new double[N][N];
2  double[] x = new double[N];
3  double[] b = new double[N];
4  for(int i=0;i<N;i++){
5      sum = 0.0;
6      for(int j = 0; j < N; j++)
7          sum += a[i][j]*x[j];
8      b[i] = sum;
9  }
```

- When there is a lot of 0 entries
 - x -> 1D array

- a -> Symbol table
 - Key = index, value = entry
 - Efficient iterator
 - Space proportional to number of nonzeros

```

1  public class SparseVector{
2      private HashST<Integer, Double> v;
3      public SparseVector(){
4          v = new HashST<Integer, Double>();
5      }
6      public void put(int i, double x){
7          v.put(i,x);
8      }
9      public double get(int i){
10         if(!v.contains(i)) return 0.0;
11         else return v.get(i);
12     }
13     public Iterable<Integer> indices(){
14         return v.keys();
15     }
16     public double dot(double[] that){
17         double sum = 0.0;
18         for(int i: indices())
19             sum+=that[i]*this.get(i);
20         return sum;
21     }
22 }

```

Week7

1. Undirected graph

(1) Introduction

- Graph : Set of vertices connected pairwise by edges
- Graph-processing problems
 - Path : Is there a path between s and t
 - Shortest path : What is the shortest path between s and t
 - Cycle : Is there a cycle
 - Euler tour : Is there a cycle that uses each edge exactly once
 - Hamilton tour : Is there a cycle that uses each vertex exactly once
 - Connectivity : Is there a way to connect all of the vertices
 - MST : What is the best way to connect all of the vertices
 - Biconnectivity : Is there a vertex whose removal disconnects the graph

- Planarity : Can you draw the graph in the plane with no crossing edges
- Graph isomorphism : Do two adjacency lists represent the same graph

(2) Graph API

- Graph representation
 - Graph drawing : Provides intuition about the structure of the graph
- Vertex representation
 - This lecture : use integers between 0 and V-1 (Allow use vertex indexed arrays)
 - Application : convert between names and integers with symbol table

```

1  public class Graph{
2      Graph(int V);
3      Graph(In in){
4          In in = new In(args[0]); //create an empty graph with V vertices
5          Graph G = new Graph(in); //create a graph from input stream
6          for(int v=0;v<G.V();v++)
7              for(int w:G.adj(v))
8                  StdOut.println(v+"-"+w);
9      }
10     void addEdge(int v,int w); //add an edge v-w
11     Iterable<Integer> adj(int v); //vertices adjacent to v
12     int V(); //number of vertices
13     int E(); //number of edges
14     String toString(); //string representation
15     public static int degree(Graph G,int v){
16         int degree = 0;
17         for(int w:G.adj(v)) degree++;
18         return degree;
19     }
20     public static int maxDegree(Graph G){
21         int max = 0;
22         for(int v=0;v<G.V();v++){
23             int d = degree(G,v);
24             if(d>max)
25                 max = d;
26             return max;
27         }
28     }
29     public static double averageDegree(Graph G){
30         return 2.0*G.E()/G.V();
31     }
32     public static int numberOfSelfLoops(Graph G){
33         int count = 0;
34         for(int v=0; v < G.V(); v++)
35             for(int w : G.adj(v))
36                 if(v==w) count++;
37         return count/2;

```

```

38     }
39 }

```

- Set-of-edges graph representation : maintain a list of the edges (linked list or array) => inefficient
- Adjacency-matrix graph representation
 - Maintain a two-dimensional V-by-V boolean array
 - for each edge $v - w$ in graph `adj[v][w]=adj[w][v]=true` (1 represent there is a connection)
- Adjacency-list graph representation : maintain vertex-indexed array of lists

```

1  public class Graph{
2      private final int V;
3      private Bag<Integer>[] adj; //adjacency lists(Using bag data type)
4      public Graph(int V){
5          this.V = V;
6          adj = (Bag<Integer>[]) new Bag[V];
7          for(int v = 0; v < V; v++)
8              adj[v] = new Bag<Integer>();
9      }
10     public void addEdge(int v, int w){
11         adj[v].add(w);
12         adj[w].add(v);
13     }
14     public Iterable<Integer> adj(int v){
15         return adj[v];
16     }
17 }

```

- In practice : Use adjacency-lists representation
 - Algorithm based on iterating over vertices adjacent to v
 - Real-world graphs tend to be sparse (huge number of vertices, small average vertex degree)

(3) Depth-First Search

- Maze exploration
 - Vertex = intersection
 - Edge = passage
 - Goal : explore every intersection in the maze
- Tremaux maze exploration (avoid going to the same place twice)
 - Unroll a ball of string behind you
 - Mark each visited intersection and each visited passage
 - Retrace steps when no unvisited options
- Depth-first search
 - Goal : Systematically search through a graph
 - Idea : Mimic maze exploration
 - Typical applications

- Find all vertices connected to a given source vertex
 - Find a path between two vertices
- Design pattern for graph processing => decouple(分离) graph data type from graph processing (easily to change implementation)
 - Create a Graph object
 - Pass the Graph to a graph-processing routine
 - Query the graph-processing routine for information

```

1 public class Paths{
2     Paths(Graph G, int s);
3     boolean hasPathTo(int v);    //is there a path from s to v
4     Iterable<Integer> pathTo(int v); //all paths from s to v (if no return
    null)
5 }

```

- To visit a vertex v
 - Mark vertex v as visited
 - provide an array `marked[]` to represent vertex v is marked or not
 - provide a vertex indexed array of ints `edgeTo[v]` to store *where did you from*

```

1 public class DepthFirstPaths{
2     private boolean[] marked;
3     private int[] edgeTo;
4     private int s;
5     public DepthFirstPaths(Graph G, int s){
6         int num = G.V();
7         marked = new boolean[num];
8         edgeTo = new int[num];
9         for(int i=0;i<num;i++)
10             marked[i] = false;
11         this.s = s;
12         dfs(G,s);
13     }
14     private void dfs(Graph G, int v){
15         marked[v] = true;
16         for(int w : G.adj(v))
17             if(!marked[w]){
18                 dfs(w);
19                 edgeTo[w] = v;
20             }
21     }
22 }

```

- Depth-first search properties
 - Proposition(主张, 命题): DFS marks all vertices connected to s in time proportional to the sum of their degrees

- Pf
 - If w marked, then w connected to s
 - If w connected to s , then w marked
- Find the paths

```

1 public boolean hasPathTo(int v){
2     return marked[v];
3 }
4 public Iterable<Integer> pathTo(int v){
5     if(!hasPathTo(v)) return null;
6     Stack<Integer> path = new Stack<Integer> ();
7     for(int x = v; x != s; x = edgeTo[x])
8         path.push(x);
9     path.push(s);
10    return path;
11 }

```

(4) Breadth-first search

- Repeat until queue is empty:
 - Remove vertex v from queue
 - Add to queue all unmarked vertices adjacent to v and mark them
- Compare
 - Depth-first search : Put unvisited vertices on a stack (using recursion)
 - Breadth-first search : Put unvisited vertices on a queue
 - Shortest path : Find path from s to t that uses fewest number of edges
- Implementation

```

1 public class BreadthFirstPaths{
2     private boolean[] marked;
3     private int[] edgeTo;
4     private int[] dist;
5     private void bfs(Graph G, int s){
6         Queue<Integer> q = new Queue<Integer>();
7         q.enqueue(s);
8         marked[s] = true;
9         dist[s]=0;
10        while(!q.isEmpty()){
11            int v = q.dequeue();
12            for(int w:G.adj(v)){
13                if(!marked[w]){
14                    q.enqueue(w);
15                    marked[w]=true;
16                    edgeTo[w]=v;
17                    dist[w] = dist[v]+1;

```

```

18
19         }
20     }
21 }
22 }

```

(5) Connected Components

- Pre
 - Def :
 - Connect : Vertices v and w are connected if there is a path between them
 - Maximal set of connected vertices
 - Goal : Preprocess graph to answer queries of the form is v connected to w in constant time (union find can't fulfill)
 - Difference with union find : union find 一边画图，一边计算； 后者被提供不变的图

```

1 public class CC{
2     CC(Graph G);           //find connected components in G
3     boolean connected(int v, int w); //are v and w connected
4     int count();           //number of connected components
5     int id(int v);         //component identifier for v
6 }

```

- Properties : The relation "is connected to" is an equivalence relation
 - Reflexive : v connected to v
 - Symmetric
 - Transitive
- Implementation
 - Goal : Partition vertices into connected components => int array id to make cluster

```

1 public class CC{
2     private boolean marked[];
3     private int[] id;
4     private int count;
5
6     public CC(Graph G){
7         marked = new boolean[G.V()];
8         id = new int[G.V()];
9         for(int v=0; v<G.V(); v++){
10             if(!marked[v]){
11                 dfs(G,v);
12                 count++;
13             }
14         }
15     }
16 }

```

```

15     }
16     public int count(){return count;}
17     public int id(int v){return id[v];}
18     private void dfs(Graph G,int v){
19         marked[v] = true;
20         id[v] = count;
21         for(int w:G.adj(v))
22             if(!marked[w])
23                 dfs(G,w);
24     }
25 }

```

(6) Challenges

- Challenge 1 : Is a graph bipartite ?
 - Bipartite : divide vertices into two subsets that every connect one subset's point to another's
 - Use DFS
- Challenge 2 : Find a cycle
 - DFS
- Challenge 3 : Find a cycle use each edge once
 - Bridges of Konigsberg : Is there a cycle that uses each edge exactly once?
 - Answer : A connected graph is Eulerian iff all vertices have even degree
- Challenge 4 : Find a cycle that visits every vertex exactly once (Intractable)
- Challenge 5 : Are two graphs identical except for vertex names (we don't know)
- Challenge 6 : Lay out a graph in the plane without crossing edges (expert do this)

(7) Interview questions

- implement DFS without recursion => use stack
 - Diameter and center of a tree
 - Diameter : pick a vertex s ; run BFS from s ; then run BFS again from the vertex that is furthest from s
 - Center : consider vertices on the longest path
 - Euler circle (edge once)
 - A connected graph has an Euler cycle if and only if every vertex has even degree
 - Design a linear-time algorithm to determine whether a graph has an Euler cycle, and if so, find one
 - Hint : use DFS and piece together the cycles you discover
-

2. Directed Network

(1) Introduction to Digraphs

- Digraph : vertices connected by directed edges
- Problems
 - Path : Is there a directed path from s to t
 - Shortest Path : What is the shortest directed path from s to t
 - Topological sort : Can you draw a digraph so that all edges point upwards?
 - Strong connectivity : Is there a directed path between all pairs of vertices
 - Transitive closure : For which vertices v and w is there a path from v to w
 - PageRank : What is the importance of a web page
- Parallel edges : two or more edges connect two vertices

(2) Digraph API

```
1 public class Digraph{
2     private final int V;
3     private final Bag<Integer>[] adj;
4     Digraph(int V){
5         this.V = V;
6         adj = (Bag<Integer>[]) new Bag[V];
7         for(int i=0;i<V;i++){
8             adj[i] = new Bag<Integer>();
9         }
10    Digraph(In in);
11    void addEdge(int v,int w){
12        adj[v].add(w);
13    }
14    Iterable<Integer> adj(int v){
15        return adj[v];
16    }
17    int V();
18    int E();
19    Digraph reverse();           //reverse of this graph
20    String toString();
21 }
```

- Maintain vertex-indexed array of lists

(3) Digraph search

- Reachability : Find all vertices reachable from s along a directed path
 - Same as undirected graph
 - DFS is a digraph algorithm
- Application
 - program control-flow analysis

- Mark-sweep garbage collector (Object(vertices) reference(edges))
- BFS in digraphs
 - Every undirected graph is a kind of digraph
 - BFS is a digraph algorithm
- Application
 - Crawl web, starting from some root web page (use implicit digraph)
 - Choose root web as source s
 - Maintain a Queue of websites to explore
 - Maintain a SET of discovered websites
 - Dequeue the next website and enqueue websites to which it links
 - why not DFS : new websites create new links, make it too deep

```

1 Queue<String> queue = new Queue<String>();
2 SET<String> discovered = new SET<String>();
3 String root = "http://www.princeton.edu";
4 queue.enqueue(root);
5 discovered.add(root);
6 while(!queue.isEmpty()){
7     String v = queue.dequeue();
8     StdOut.println(v);
9
10    In in = new In(v);
11    String input = in.readAll();
12    String regexp = "http://(\\w+\\.)*(\\w+)";
13    Pattern pattern = Pattern.compile(regexp);
14    Matcher matcher = pattern.matcher(input);
15
16    while(matcher.find()){
17        String w = matcher.group();
18        if(!discovered.contains(w)){
19            discovered.add(w);
20            queue.enqueue(w);
21        }
22    }
23 }

```

(4) Topological Sorting

- Precedence scheduling
 - Goal : Given a set of tasks to be completed with precedence constraints, in which order should we schedule the tasks
 - Digraph model : vertex = task edge = precedence constraint (There is no cycle in the graph i.e. acyclic graph)
 - Topological sort : Redraw the graph s.t. all edges point upwards

- Solve : DFS
 - Run DFS
 - Return vertices in reverse postorder (The order of solved)
- Implementation

```

1  public class DepthFirstOrder{
2      private boolean[] marked;
3      private Stack<Integer> reversePost;
4      public DepthFirstOrder(Digraph G){
5          reversePost = new Stack<Integer>();
6          marked = new boolean[G.V()];
7          for(int i=0 ; i<G.V(); i++)
8              if(!marked[i]) dfs(G,i);
9      }
10     private void dfs(Digraph G, int i){
11         marked[i] = true;
12         for(int w:G.adj(i))
13             if(!marked(w)) dfs(G,w);
14         reversePost.push(i);
15     }
16     public Iterable<Integer> reversePost(){
17         return reversePost;
18     }
19 }

```

- Consider an execution of depth-first search on a directed acyclic graph G which contains the edge $v \rightarrow w$. Which one of the following is impossible at the time $dfs(v)$ is called?
 - $dfs(w)$ has already been called but not yet returned.
 - If $dfs(v)$ is called before $dfs(w)$ returns, then the function-call stack contains a directed path from w to v (as in the previous in-video quiz). Combining this path with the edge $v \rightarrow w$ yields a directed cycle, which is impossible since G is acyclic.
- Proposition : Reverse DFS postorder of a DAG(no cycle graph) is a topological order
 - Pf : Consider any edge $v \rightarrow w$. When $dfs(v)$ is called
 - Case1: $dfs(w)$ has already been called and returned, w has done before v
 - Case2: $dfs(w)$ has not yet been called \Rightarrow it will be called directly or indirectly by $dfs(v)$, and will finish before it
 - Case3: impossible
- Directed cycle detection
 - Proposition : A digraph has a topological order iff no directed cycle
 - DFS

(5) Strong components

- Def : Vertices v and w are strongly connected if there is a directed path from v to w and a directed path from w to v
 - Equivalence relation
- Method used in Undirected(DFS) => wrong because the source node may not be the first one in topological order
- Kosaraju-Sharir algorithm
 - Reverse graph : Strong components in G are same as in G^R
 - Kernel DAG : Contract each strong component into a single vertex
 - Idea :
 - Compute topological order in kernel DAG
 - Run DFS, consider vertices in reverse topological order
 - Phase 1 : Compute reverse postorder in G^R
 - Phase2 : Run DFS in G , in the order of reverse postorder of G^R
 - Implementation

```
1 public class KosarajuSharirSCC{
2     private boolean marked[];
3     private int[] id;
4     private int count;
5     public KosarajuSharirSCC(Digraph G){
6         marked = new boolean[G.V()];
7         id = new int[G.V()];
8         count=0;
9         DepthFirstOrder dfs = new DepthFirstOrder(G.reverse());
10        for(int v:dfs.reversePost()){
11            if(!marked[v]){
12                dfs(G,v);
13                count++;
14            }
15        }
16    }
17    private void dfs(G,v){
18        marked[v] = true;
19        id[v] = count;
20        for(int w:G.adj(v))
21            if(!marked[w])
22                dfs(G,w);
23    }
24    public boolean stronglyConnected(int v,int w){
25        return id[v]==id[w];
26    }
27 }
```

- Actually, you only need to use DFS in phase 1, in phase 2 any search algorithm is available

- Convex Hull
 - Quick Hull
 - divide the plane into two every time until there is still outside points n^2
 - Merge Hull
 - generate 1 convex Hull from 2 convex Hull $n \log n$
 - Graham Scan