

Szegő kernels and Toeplitz operators

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MSRI

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Plan

- 1 Toeplitz operators on \mathbb{C}^n
- 2 Toeplitz operators on compact manifolds
- 3 Melin estimate

Quantization of the harmonic oscillator

Quantization: associate **classical dynamics** (driven by real-valued functions) with **self-adjoint operators**.

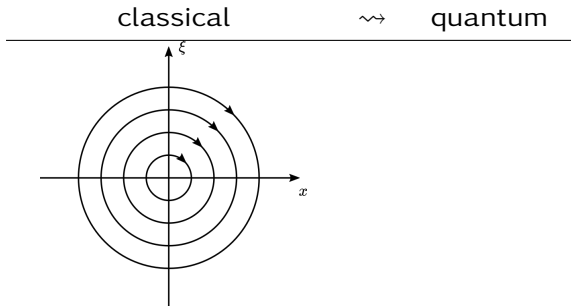
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classical \rightsquigarrow quantum

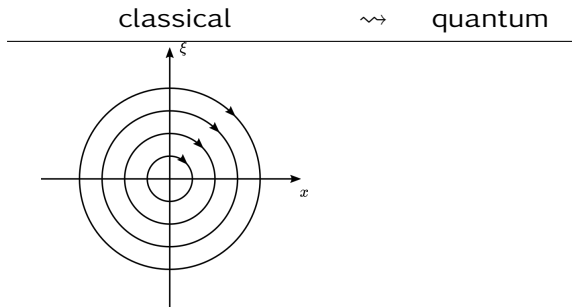
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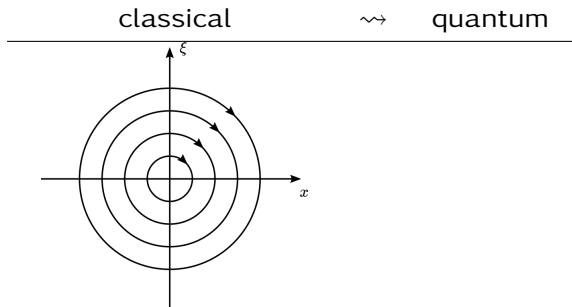
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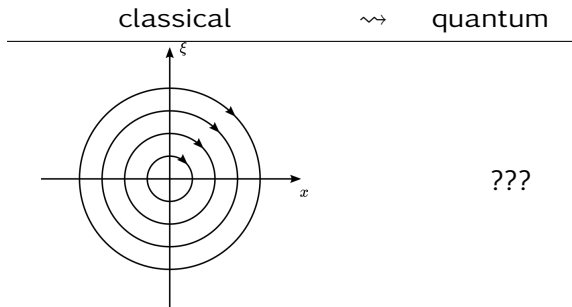


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Bargmann spaces

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- The standard $L^2(\mathbb{R}^n)$ is replaced with the *Bargmann space*, with parameter $N > 0$ (think of $N = \hbar^{-1}$):

$$B_N = L^2(\mathbb{C}^n) \cap \left\{ e^{-\frac{N}{2}|\cdot|^2} f, f \text{ is holomorphic on } \mathbb{C}^n \right\}.$$

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- This is a closed subspace of $L^2(\mathbb{C}^n)$, with reproducing kernel

$$\Pi_N(x, y) = \left(\frac{N}{\pi} \right)^n \exp \left(-\frac{N}{2}|x - y|^2 + iN\Im(x \cdot \bar{y}) \right).$$

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From there one recovers Π_N with

$$\Pi_N(x, y) = \sum_{\nu \in \mathbb{N}^n} e_{\nu}(x) \overline{e_{\nu}(y)}.$$

The Szegő kernel decays exponentially fast away from the diagonal.

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- $\langle u, \mathfrak{d}_N z v \rangle = \langle zu, z v \rangle = \langle u, |z|^2 v \rangle$.
- Spectrum: $N^{-1}\mathbb{N}$; eigenfunctions: monomials.

Toeplitz quantization

Let $f \in C^\infty(\mathbb{C}^n, \mathbb{C})$ bounded. The Toeplitz operator associated with f is the bounded operator

$$\begin{aligned} T_N(f) : B_N(\mathbb{C}^n) &\mapsto B_N(\mathbb{C}^n) \\ u &\mapsto fu . \end{aligned}$$

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- If f is real-valued then $T_N(f)$ is ess. self-adjoint.
- If moreover $f \geq 0$ then $T_N(f) \geq 0$.

Composition of Toeplitz operators

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- More generally, composition yields a formal series:

$$T_N(f)T_N(g) = T_N(fg + N^{-1}C_1(f, g) + N^{-2}C_2(f, g) + \cdots).$$

C_j is a bidifferential operator of total order $2j$.

Toeplitz operators versus Ψ DOs

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- Formal equivalence between Toeplitz and Ψ DO calculus.
- Toeplitz quantization is formulated **directly in phase space, and positive.**

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Generalized Bargmann spaces

- Changing the positive quadratic weight in the Bargmann space:

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- Infinitesimal model for the case where ψ is an arbitrary strongly pseudoconvex function \Leftrightarrow arbitrary symplectic form on \mathbb{R}^{2n} .
- Note: one cannot simplify both symplectic and complex structure at the same time!

Hardy spaces and Szegő kernel

- Geometrical setting: compact Kähler manifold M .
 - ▶ Symplectic form
 - ▶ Complex structure

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- Complex line bundle $L \rightarrow M$ with curvature $-i\omega$: glue together pieces of Bargmann spaces in holomorphic charts.
- Hardy space $H_N(M, L)$ of holomorphic sections of $L^{\otimes N}$.
- Szegő projector $S_N : L^2(M, L^{\otimes N}) \rightarrow H_N(M, L)$.

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Algebra of Toeplitz operators

- The Szegő kernel S_N has a full expansion near the diagonal, and decays far from it.

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[4] Charles, L. Comm. Math. Phys. 239, no. 1–2 (2003): 1–28.

[5] Berman, R., Berndtsson, B., Sjöstrand, J., Arkiv För Matematik 46, no. 2 (2008).

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- The dominant term is always Π_N .
- Toeplitz operators form a C^* -algebra as previously.

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An example: the 2D sphere

Here $M = \mathbb{S}^2$. In the stereographic projection, L corresponds to the weight $z \mapsto \frac{1}{1+|z|^2}$, so that

$$\begin{aligned} H_N(M, L) &\simeq \left\{ f \text{ holomorphic in } \mathbb{C}, \int_{\mathbb{C}} \frac{|f|^2}{(1+|z|^2)^{N+2}} < \infty \right\} \\ &= \mathbb{C}_N[X]. \end{aligned}$$

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In the canonical basis $\binom{N}{k}^{-\frac{1}{2}} X^k$, the Toeplitz quantization of the three base coordinates on \mathbb{S}^2 are the Spin matrices with spin $S = \frac{N-1}{2}$.

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Characteristic value

Can one improve the lower bound $f \geq 0 \Rightarrow T_N(f) \geq 0$?

- If q is a quadratic form in \mathbb{C}^n , the minimal eigenvalue of $T_N(q)$ is $N^{-1}\mu(q)$ with

$$\mu(q) = N^{-1}(\text{Tr}^+(q) + \frac{1}{2} \text{tr}(q)).$$

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- Here, up to a symplectomorphism,

$$q = \sum_{i=1}^r \lambda_i (q_i^2 + p_i^2) + \sum_{i=r+1}^{r+r'} p_i^2,$$

so

$$\text{Tr}^+(q) = \sum_{i=1}^r \lambda_i.$$

Estimates on the first eigenfunction

- ① **Upper estimate:** try states localised near a point x where f is minimal: contribution $\min(f) + N^{-1}\mu((f)(x)) + O(N^{-2})$.

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- ② Corresponding lower bound for **states localised near a point**.
- ③ Proof in three steps:
 - ▶ Small energy eigenfunctions localize where f is minimal.
 - ▶ Cut into pieces of size $N^{-\frac{1}{2}+\epsilon}$ **corresponding to the eigenfunction** (see next slide)
 - ▶ Apply the lower bound on each piece.

A cutting lemma

A function cannot be too large everywhere!

Example: given $t < a$ and $u : \mathbb{S}^1 \rightarrow \mathbb{R}$, then \mathbb{S}^1 can be cut into pieces U_j of size between a and $2a$, with overlap t , such that

$$\sum_{i,j} \int_{U_i \cap U_j} |u| \leq C \frac{t}{a} \sum_i \int_{U_i} |u|.$$

Melin estimate

Theorem

If $f \in C^\infty(M, \mathbb{R})$ and if μ_{\inf} is the infimum of μ over all minimal points, then

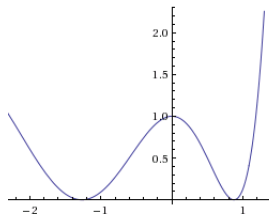
$$T_N(f) \geq \min(f) + N^{-1}\mu_{\inf} + N^{-1-\epsilon}.$$

[8] Melin, A. Arkiv För Matematik 9, no. 1 (1971): 117–140

[9] Deleporte, A. Comm. Math. Phys (accepted)

Consequence: localization of the ground state

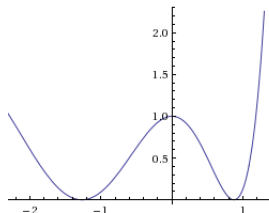
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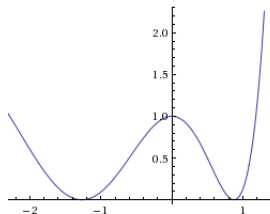
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The eigenvectors of minimal eigenvalue concentrate only on “minimal” points. Eigenvectors and eigenvalues have an asymptotical expansion in powers of $N^{-\frac{1}{2}}$.

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What is minimized ? The μ of the Hessian at this point.

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