

# Introduction

- Quantum spins: triplet of self-adjoint matrices  
 $S_x, S_y, S_z \in M_{2S+1}(\mathbb{C})$ , with

$$[S_a, S_b] = \frac{i}{S} \epsilon_{abc} S_c.$$

- For  $S = \frac{1}{2}$ , one finds the Pauli matrices

$$S_x = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad S_y = \frac{1}{2} \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix} \quad S_z = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

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- For any finite graph  $G$ , we wish to study the following operator acting on  $(\mathbb{C}^{2S+1})^{\otimes |G|}$ :

$$H = \sum_{e \sim f} S_{x,e} S_{x,f} + S_{y,e} S_{y,f} + S_{z,e} S_{z,f}$$

as  $S \rightarrow +\infty$ .

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Classical mechanics	Quantum mechanics
Symplectic manifold $M$	Hilbert Space $H$
Function $a \in C^\infty(M, \mathbb{R})$	Self-adjoint operator $A \in L(H)$
Hamiltonien flow of $a$	Flow of $e^{itA/\hbar}$
Poisson Bracket	Lie Bracket

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- Quantization : for a given classical model, how to construct an associated quantum model ?
- Semiclassics : the quantum model is  $\hbar$ -dependent. What can be said in the  $\hbar \rightarrow 0$  limit ?



# Concentration of eigenfunctions for semiclassical Toeplitz operators

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Université de Strasbourg

August 26, 2018

# Plan

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- Toeplitz operators on  $\mathbb{C}^n$
- Toeplitz operators on compact manifolds

## 2 Spin systems in the semiclassical limit

## 3 Melin estimate and localization

- General result
- Localization of the ground state
- Future work

# Bargmann spaces

- Original idea: express Quantum Mechanics directly in phase space.

[1] Bargmann, V. Comm. Pure Appl. Math. 14, no. 3 (1961): 187–214.

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- The standard  $L^2(\mathbb{R}^n)$  is replaced with the *Bargmann space*, with parameter  $N > 0$ :

$$B_N = L^2(\mathbb{C}^n) \cap \left\{ e^{-\frac{N}{2}|\cdot|^2} f, f \text{ is holomorphic on } \mathbb{C}^n \right\}.$$

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- This is a closed subspace of  $L^2(\mathbb{C}^n)$ , with reproducing kernel

$$\Pi_N(x, y) = \left( \frac{N}{\pi} \right)^n \exp \left( -\frac{N}{2} |x - y|^2 + iN \Im(x \cdot \bar{y}) \right).$$

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# Szegő kernel

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From there one recovers  $\Pi_N$  with

$$\Pi_N(x, y) = \sum_{v \in \mathbb{N}^n} e_v(x) \overline{e_v(y)}.$$

The Szegő kernel decays exponentially fast away from the diagonal.



# Toeplitz quantization

Let  $f \in C^\infty(\mathbb{C}^n, \mathbb{C})$  bounded. The Toeplitz operator associated with  $f$  is the bounded operator

$$\begin{aligned} T_N(f) : B_N(\mathbb{C}^n) &\mapsto B_N(\mathbb{C}^n) \\ u &\mapsto fu . \end{aligned}$$

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If  $f$  has polynomial growth then  $T_N(f)$  is an unbounded operator with dense domain.

- If  $f$  is real-valued then  $T_N(f)$  is ess. self-adjoint.
- If moreover  $f \geq 0$  then  $T_N(f) \geq 0$ .

# Composition of Toeplitz operators

■ Recipe:

$$T_N(z \mapsto \bar{z}^\alpha z^\beta) = N^{-|\alpha|} \partial^\alpha \bar{\partial}^\beta.$$

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$$T_N(fgh) = T_N(f)T_N(g)T_N(h).$$

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- More generally, composition yields a formal series:

$$T_N(f)T_N(g) = T_N(fg + N^{-1}C_1(f, g) + N^{-2}C_2(f, g) + \cdots).$$

$C_j$  is a bidifferential operator of total order  $2j$ .

# Toeplitz operators versus $\Psi$ DOs

- The Bargmann transform  $\mathcal{B}_N$  conjugates  $\mathcal{B}_N$  and  $L^2(\mathbb{R}^n)$ .



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- With  $g_N = (N/\pi)^n e^{-N|z|^2}$  one has

$$\mathcal{B}_N^{-1} T_N(f) \mathcal{B}_N = \text{Op}_W^{N^{-1}}(f * g_N).$$

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- Formal equivalence between Toeplitz and  $\Psi$ DO calculus.
- Toeplitz quantization is formulated directly in phase space, and it is positive.

# Hardy spaces and Szegő kernel

- Geometrical setting: compact Kähler manifold  $M$ .
  - Symplectic form
  - Complex structure

[2] Woodhouse, N. Geometric Quantization. Oxford University Press, 1997.

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- Szegő projector  $S_N : L^2(M, L^{\otimes N}) \rightarrow H_N(M, L)$ .

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The spaces  $H_N(M, L)$  are finite-dimensional in that case. The line bundles  $L^{\otimes N}$  correspond to the weights  $e^{-\frac{N}{2}|\cdot|^2}$  in the flat case.

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# Algebra of Toeplitz operators

- The Szegő kernel  $S_N$  has a full expansion near the diagonal, and decays far from it.

[3] Boutet de Monvel, L, Sjöstrand, J. Journées EDP 34–35 (1975): 123–64.

[4] Charles, L. Comm. Math. Phys. 239, no. 1–2 (2003): 1–28.

[5] Berman, R., Berndtsson, B., Sjöstrand, J., Arkiv För Matematik 46, no. 2 (2008).

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- The dominant term is always  $\Pi_N$ .
- Toeplitz operators form a  $C^*$ -algebra as previously.

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# An example: the 2D sphere

Here  $M = \mathbb{S}^2$ . In the stereographic projection,  $L$  corresponds to the weight  $z \mapsto \frac{1}{1+|z|^2}$ , so that

$$\begin{aligned} H_N(M, L) &\simeq \left\{ f \text{ holomorphic in } \mathbb{C}, \int_{\mathbb{C}} \frac{|f|^2}{(1+|z|^2)^{N+2}} < \infty \right\} \\ &= \mathbb{C}_N[X]. \end{aligned}$$

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In the canonical basis  $\binom{N}{k}^{-\frac{1}{2}} X^k$ , the Toeplitz quantization of the three base coordinates on  $\mathbb{S}^2$  are the Spin matrices with spin  $S = \frac{N-1}{2}$ .



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- General result
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- Future work

# General spin systems

- Systems with  $n$  spins correspond to the Kähler manifold  $(\mathbb{S}^2)^n$ .
- We are interested in *antiferromagnetic* systems. Let  $G = (V, E)$  a finite graph, the antiferromagnetic symbol on  $(\mathbb{S}^2)^{|V|}$  is set to

$$h_{AF} = \sum_{(i,j) \in E} x_i x_j + y_i y_j + z_i z_j.$$

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- If the graph is bipartite, then the minimum is reached when two neighbours always have opposite values.

# Frustrated systems

- In frustrated systems, the previous solution is not possible.

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# Frustrated systems

- In frustrated systems, the previous solution is not possible.
- If the graph is “made with triangles”, then on each triangle the sum of the vectors should be zero.
- This yields a *degenerate* minimal set, which is not a manifold.
- What behaviour should one expect for the eigenvectors with minimal eigenvalue of  $T_N(h_{AF})$ ?

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# Characteristic value

Can one improve the lower bound  $f \geq 0 \Rightarrow T_N(f) \geq 0$ ?

- If  $q$  is a quadratic form in  $\mathbb{C}^n$ , the minimal eigenvalue of  $T_N(q)$  is  $N^{-1}\mu(q)$  with

$$\mu(q) = N^{-1}(\text{Tr}^+(q) + \frac{1}{2} \text{tr}(q)).$$



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- Here, up to a symplectomorphism,

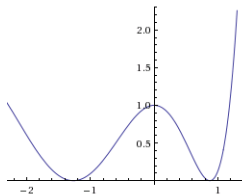
$$q = \sum_{i=1}^r \lambda_i (q_i^2 + p_i^2) + \sum_{i=r+1}^{r+r'} p_i^2,$$

so

$$\text{Tr}^+(q) = \sum_{i=1}^r \lambda_i.$$

## Case of several wells

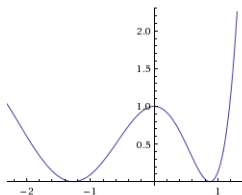
What can be said if  $h$  is minimal on non-degenerate critical points?



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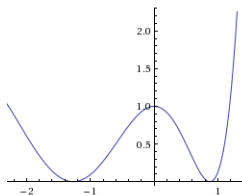
## Theorem

*The eigenvectors of minimal eigenvalue concentrate only on “minimal” points. Eigenvectors and eigenvalues have an asymptotical expansion in powers of  $N^{-\frac{1}{2}}$ .*

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What is minimized? The  $\mu$  of the Hessian at this point.

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# Melin estimate

- Local result: for sections  $u$  sufficiently concentrated around a minimal point of  $f$  where the Hessian matrix is  $q$ , one has  $\langle u, T_N(f)u \rangle \geq N^{-1}\mu(q) + CN^{-1-\epsilon}$ .

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- Global result: if  $\mu_{\inf}$  is the infimum of  $\mu$  over all minimal points, then

$$T_N(f) \geq N^{-1}\mu_{\inf} + N^{-1-\epsilon}.$$

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# Ideas for the proof

- Local result: use the fact that the Szegő kernel is equivalent to the  $\mathbb{C}^n$  case near the diagonal, as  $N \rightarrow +\infty$ .

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- Global result: pick a covering of the manifold with small open sets corresponding to the section, and ask that the section is relatively smaller at the intersection of the open sets than elsewhere.



# A general localization result

## Proposition

*Let  $f \in C^\infty(M, \mathbb{R})$  with  $\min(f) = 0$ . Then any sequence  $(u_N)$  of normalized eigenstates of  $T_N(f)$  with eigenvalues  $O(N^{-\epsilon})$  is such that*

$$\int_{\{f(x) \geq N^{-1+\delta}\}} |u_N(x)|^2 d\text{Vol} = O(N^{-\infty}).$$

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Indeed, the first eigenvalue is  $O(N^{-1})$ , so that  $\langle u_N, fu_N \rangle = O(N^{-1})$ .

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Indeed, the first eigenvalue is  $O(N^{-1})$ , so that

$$\langle u_N, f u_N \rangle = O(N^{-1}).$$

By induction,  $\langle u_N, f^k u_N \rangle = O(N^{-k})$ , hence the claim.

[9] Deleporte, A., Journal of Spectral Theory (accepted)

# Subprincipal effects on localization

## Theorem

Let  $f \in C^\infty(M, \mathbb{R})$  with  $\min(f) = 0$ . Let  $V$  at positive distance from  $\{\mu = \mu_{\min}\}$ . Then, with  $(u_N)$  as previously one has

$$\int_V |u_N|^2 d\text{Vol} = O(N^{-\infty}).$$

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The proof uses the Melin estimates.

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[10] Deleporte, A., Arxiv preprint.

# Expansions in the regular case

The regular case is a generalization of Helffer-Sjöstrand's "miniwells". If  $\mu$  is only minimal at one point  $x_0$  near which  $\{f = 0\}$  is an isotropic submanifold, and the minimum is non-degenerate, then

- The first eigenvalue is simple and admits an expansion in powers of  $N^{-\frac{1}{4}}$ .
- The first eigenvector concentrates at speed  $N^{-\frac{1}{4}+}$  on  $x_0$  along  $\{f = 0\}$ .
- Spectral gap  $CN^{-\frac{3}{2}}$ .

[11] Helffer, B., Sjöstrand, J. Current Topics in PDEs, 1986, 133–186

[10] Deleporte, A., Arxiv preprint.

# Crossing points

We treat the case where  $\{f = 0\}$  is a union of two submanifolds with a “crossing point”, where  $\mu$  is minimal.

Toy model:  $h(q_1, q_2, p_1, p_2) = p_1^2 + p_2^2 + q_1^2 q_2^2$ .

- The first eigenvalue is simple and admits an expansion in powers of  $N^{-\frac{1}{6}}$ .
- The first eigenvector concentrates at speed  $N^{-\frac{1}{3}+}$  on  $x_0$  along  $\{f = 0\}$ .
- Spectral gap  $CN^{-\frac{4}{3}}$ .

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# Tools for the two cases

We constructed symplectic normal forms, which are new even in the context of pseudodifferential calculus.



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At the minimal points, the subprincipal symbol is prescribed by the Melin estimates on both sides.

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