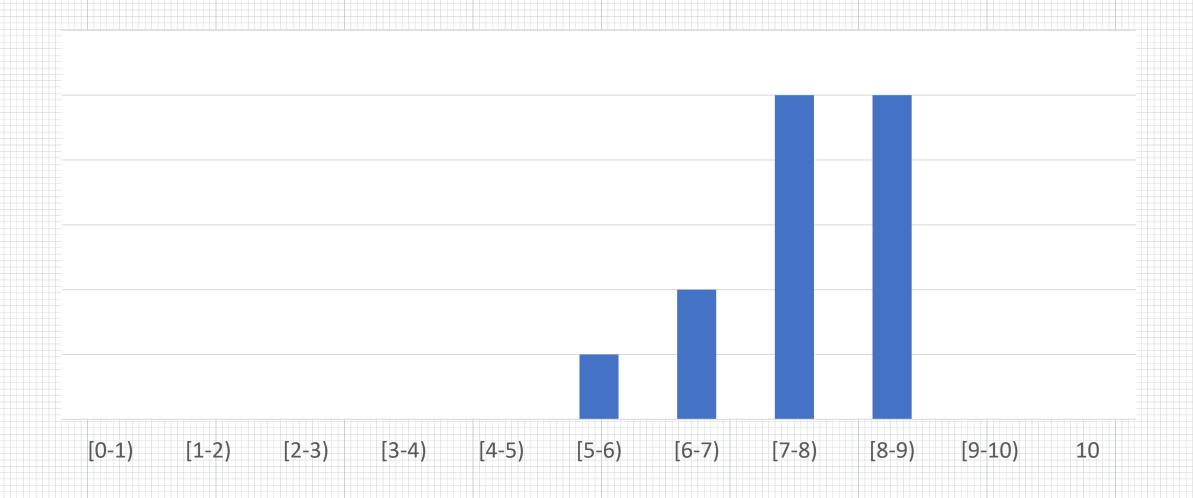
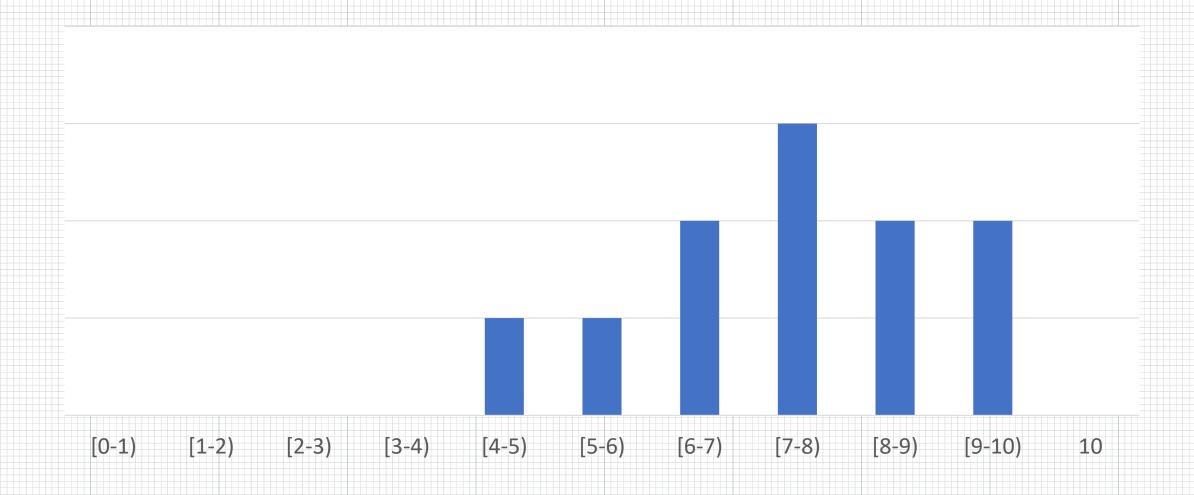
# TN3125 Information and Computation

Lecture 4
1- Introduction

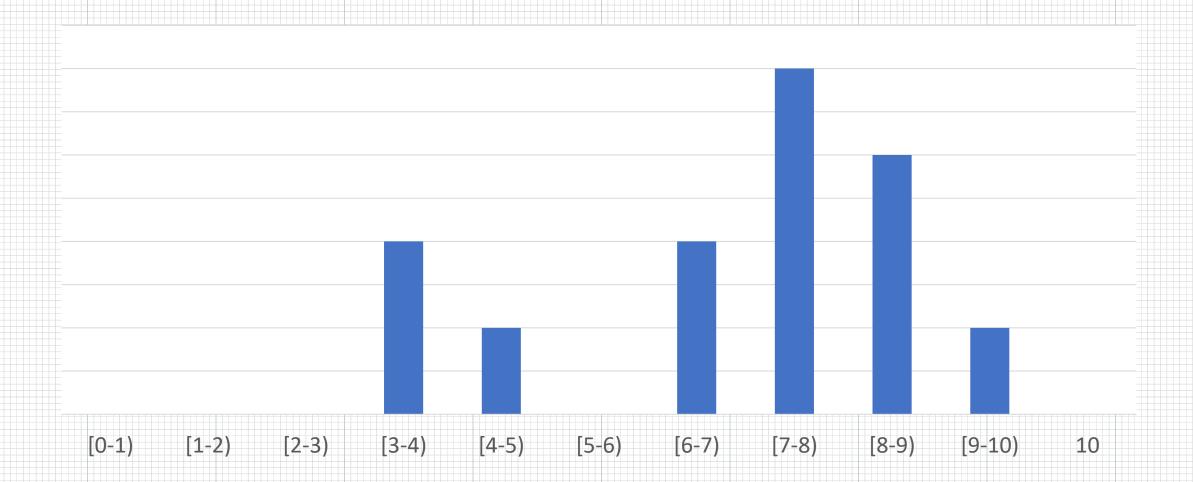
## Minitest 1 results



## Minitest 2 results

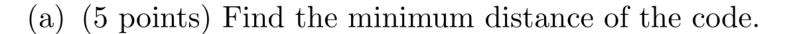


# Average



### Minitest 3 – 1a

Consider the code  $C = \{00000, 11111\}.$ 



#### Minitest 3 – 1a

Consider the code  $C = \{00000, 11111\}.$ 

(a) (5 points) Find the minimum distance of the code.

$$d_{min}(c) = min d(x,y) = min 151 = 5$$

$$x,y$$

## Minitest 3 – 1b

(b) (10 points) Find the maximum number of errors and erasures that a minimum distance decoder will correctly correct. If you were unable to solve the previous exercise, assume the minimum distance d = 7.

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$$t \leq \frac{d-1}{2}, mext=3$$

$$e, S \leq d-1, mexe, S = S$$

## Minitest 3 – 1c

(c) (5 points) Find the words in  $S_1(11111)$ , the sphere of radius 1 around 11111.

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(c) (5 points) Find the words in  $S_1(11111)$ , the sphere of radius 1 around 11111.

e	11111
00000	11111
00001	11110
00010	
01000	ι

## Minitest 3 – 1d

(d) (10 points) How many binary words of length 5 have two ones?

#### Minitest 3 – 1d

(d) (10 points) How many binary words of length 5 have two ones?

$$\left(\frac{5}{2}\right) = \frac{5!}{2! \ 3!} = \frac{5 \cdot 7 \cdot 3!}{2! \ 3!} = 10$$

$$\frac{10000}{10000} 01100$$

$$\frac{10000}{10001} 01001$$

00110 00011

#### Minitest 3 – 1e

(e) (10 points) What is the error probability of a minimum distance decoder if we send the word 00000 through a binary symmetric channel with crossover probability p? You can leave your answer as a function of binomial coefficients.

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(e) (10 points) What is the error probability of a minimum distance decoder if we send the word 00000 through a binary symmetric channel with crossover probability p? You can leave your answer as a function of binomial coefficients.

Wrong if #errors = 3
$$P_{c} = P_{r}(3 \text{ errors}) + P_{r}(4 \text{ errors}) + P_{r}(5 \text{ errors})$$

$$= {5 \choose 3} (1-p^{3} p^{3} + {5 \choose 4} (1-p)^{4} p^{4} + {5 \choose 5} p^{5}$$

#### Minitest 3 – 1f

(f) (5 points) Is the following matrix in standard form? Indicate why yes or no.

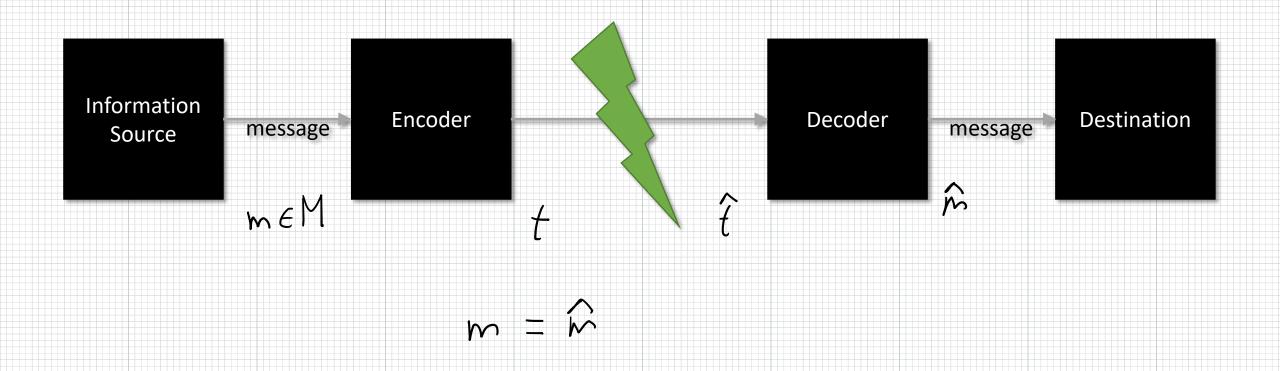
$$\begin{pmatrix} 1 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 1 & 0 \end{pmatrix}$$

#### Minitest 3 – 1f

(f) (5 points) Is the following matrix in standard form? Indicate why yes or no.

$$G \neq (J_3 | A)$$

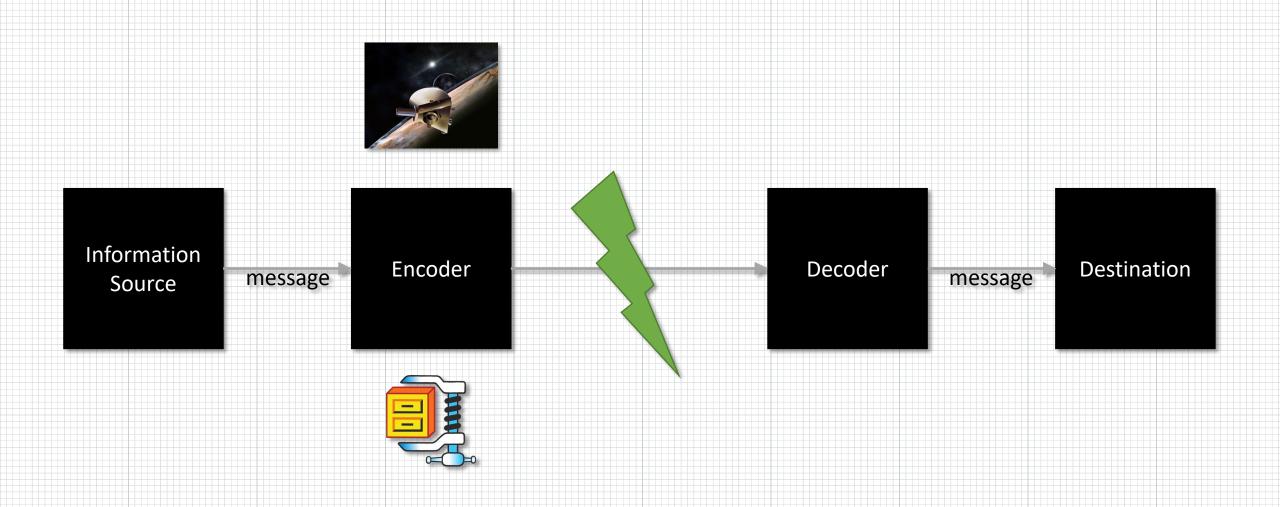
## The abstract communications model



# Summary of weeks 1 to 3

- We derived an information measure from basic axioms
- We proved basic properties of entropy
- We defined several families of codes for data compression
- We proved that average length is bounded by entropy
- We presented linear codes and defined their properties for correction, detection and erasure as well as bounds on code paremeters
- We introduced the minimum distance decoder
- We introduced the binary erasure channel and the binary symmetric channel

## The abstract communications model



# Learning goals for week 4

- Encode information with linear codes
- Decode using a standard array
- Give the general form of Hamming codes and prove basic properties
- Sketch the noisy coding theorem both achievability and converse
- Find the capacity of simple channels

# Binary linear codes

- A code C is a binary linear code if it is a subspace of  $V_n$
- The set of codewords is generated by linear combinations of a basis set of vectors  $w^1, ..., w^k$
- A generator matrix is a matrix with rows consisting of the vectors of a basis:

$$G = \begin{pmatrix} w^1 \\ \dots \\ w^k \end{pmatrix}$$

• A generator matrix is in standard form if it is written in the form  $G = (I_k | A_{k,n-k})$ 

# **Encoding information**

- Let  $x \in \{0,1\}^k$  how do we encode it into a codeword of C an [n,k,d] code
- Given a generator matrix *G* for the code:

$$x \mapsto xG = \sum_{i=1}^{n} x_i w^i$$

Example

$$G = \begin{pmatrix} 110 \\ 011 \end{pmatrix}$$

$$X = \begin{pmatrix} 01 \end{pmatrix}$$

$$X \mapsto X G = \begin{pmatrix} 011 \end{pmatrix}$$

# Systematic encoding

Suppose that G is in standard form

• We call the first k bits of xG the information bits

• We call the last n - k bits of xG the redundancy bits

# The parity check code

• Consider a code that takes  $x=(x_1,\ldots,x_k)\in\{0,1\}^k$  and encodes it with codeword  $c=(x_1,\ldots,x_k,\sum_{i=1}^k x_i)$ 

What is the generator matrix of this code?

What is the minimum distance of the code?

# The parity check code

• Consider a code that takes  $x \in \{0,1\}^k$  and encodes it with codeword  $c = (x_1, \dots, x_n, \sum_{i=1}^n x_i)$ 

What is the generator matrix of this code?

What is the minimum distance of the code?

## Decoding

- We transmit codeword  $x=(x_1,\dots,x_n)$  and receive  $y=(y_1,\dots,y_n)$  and let e=y+x
- Decoder goal: find e output y + e = x

• Definition. Let C be an [n,k,d] code and  $v \in \{0,1\}^n$  not necessarily a codeword, we call

$$v + C = \{x + v : x \in C\}$$

a coset of C

### Exercise

• Show that if  $y \in x + C$  then y + C = x + C

$$v + C = \{x + v : x \in C\}$$

## Solution

• From the statement  $y \in x + C$  , we have that there exist some codeword t such that x + t = y

• Now for all codewords  $c, c+y \in y+C$ . But c+y=c+x+t=x+(c+t), which means that  $c+y \in x+C$ , and that  $c+y \subseteq c+x$ 

• Similarly, for all codewords  $c, c + x \in x + C$ . And we can run the same argument to conclude  $c + x \subseteq c + y$ 

## Lagrange theorem for codes

- Theorem. Let C be an [n, k, d] code. Then
  - $v \in \{0,1\}^n$  is in some coset of C
  - Each coset has  $2^k$  words
  - Two cosets either have no overlap, either they completely coincide
  - There are exactly  $2^{n-k}$  cosets

#### Proof

- Since 0 is always a codeword  $v \in v + C$
- Since all codewords are different, there is one element in  $\upsilon + C$  per codeword
- Imagine there is some  $v \in x + C$  but also  $v \in y + C$  for  $x \neq y$ . Then we have v + C = x + C and we also have v + C = y + C hence x + C = y + C.
- There are  $2^n$  words, each coset is disjoint and has  $2^k$  words

## Exercise

• Find the cosets of the code with generator matrix  $\begin{pmatrix} 1001 \\ 0111 \end{pmatrix}$ 

### Solution

Let us first find all the codewords:

• 
$$(00) \binom{1001}{0111} = (0000), (01) \binom{1001}{0111} = (0111), (10) \binom{1001}{0111} = (1001), (11) \binom{1001}{0111} = (1110)$$

- $C = \{0000,0111,1001,1110\}$
- $0001 + C = \{0001,0110,1000,1111\}$
- $0010 + C = \{0010,0101,1001,1100\}$
- $0100 + C = \{0100,0011,1101,1010\}$

# TN3125 Information and Computation

Lecture 3

2- Decoding and Hamming codes

#### Coset leader

- We call the vector with minimum hamming weight its leader, if there
  is more than one vector with minimum weight, any of them can be
  the coset leader.
- Example:

```
C = \{0000,0111,1001,1110\}

0001 + C = \{0001,0110,1000,1111\}

0010 + C = \{0010,0101,1011,1100\}

0100 + C = \{0100,0011,1101,1010\}
```

# Standard array for code C

- Table with  $2^k$  columns and  $2^{n-k}$  rows
- In the top row, we place the elements of C, beginning with the zero codeword
- In each other row we place the elements of a coset of  $\mathcal{C}$ , beginning with the coset leader

## Example

• The previous exercise gave us almost the standard array

```
      0000
      0111
      1001
      1110

      0001
      0110
      1000
      1111

      0010
      0101
      1011
      1100

      0100
      0011
      1101
      1010
```

## Decoding with the standard array

- When we receive y, we look for it in the array
- We find the leader of the coset and add it to y, we output y +coset leader(y)

## Explanation

• If we receive,  $y \in x + C$ , where x is the coset leader, we know it equals to x + c, for some codeword C

• Now, we know if we output y + x, this is the same as having as output c which is a codeword

• Finally, the distance between c and y is x, which is the minimum possible as it has the minimum Hamming weight in the coset

#### Example

• If we receive y = 1011

0000,0111,1001,1110 0001,0110,1000,1111 0010,0101,1011,1100 0100,0011,1101,1010

 $\bullet$  We look for the coset leader, which is 0010 and output 1001 which is at distance one of y

## Parity check matrix

• A matrix H is a parity check matrix for a code C if  $Hx^T=0$ , if and only if  $x \in C$ .

• Lemma. H is an  $(n-k) \times n$  matrix, and it verifies that  $GH^T = 0$ 

Exercise. Find the parity check matrix of the length 3 repetition code.

#### Hamming codes

• Hamming codes are a family of codes defined for lengths  $n=2^r-1$ ,  $r \in \mathbb{N}$ . The parity check matrix of  $H_n$  has as columns all the non-zero elements of  $V_r$ .

• Exercise. How many bit do they encode? (what is the value of k?)

• Exercise. The parity check matrix of  $H_3$  is given by

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• Exercise. The parity check matrix of  $H_3$  is given by

$$3 = 2^{2} - 4$$
,  $C = 2$ 
 $H_{3} = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \end{pmatrix}$ 

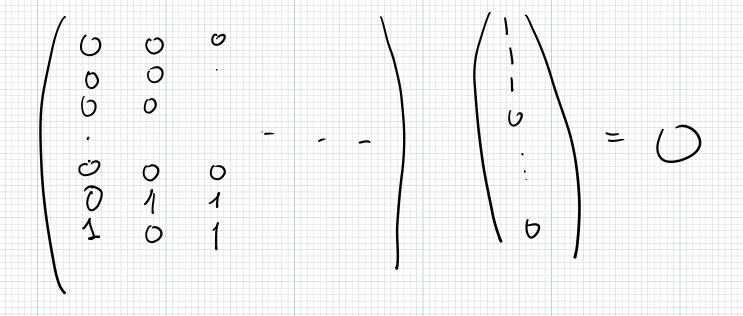
• Hamming codes have distance 3

Hamming codes have distance 3

- We need to show that there are no codewords of weight 1 and 2, and that there exist words of weight 3
  - For weight one, this follows because for x of weight one to be a codeword, the associated column of H would need to be zero
  - For weight two,

$$\left( \left( \begin{array}{c} 1 \\ 1 \\ 0 \\ 1 \end{array} \right) = 0 \quad \leftarrow > C_1 + C_2 = 0$$

Hamming codes have distance 3



 Hamming codes are perfect codes, (i.e they meet the Hamming bound)

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na)
$$2^{k} \cdot \underbrace{\sum_{i=0}^{4} \binom{n}{i}} = 2^{k} \cdot (1+n) = 2^{k} \cdot (2+2^{k}-2^{k})$$

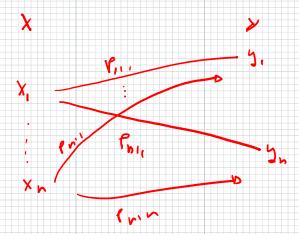
$$= 2^{k+1} = 2^{n-1+1} = 2^{n-1+1}$$

# TN3125 Information and Computation

Lecture 3
3- *Channel capacity* 

#### Discrete memoryless channels

 Definition. A discrete memoryless channel takes symbols from a discrete alphabet X to symbols of a discrete alphabet Y. It is characterized by a set of probability distributions over alphabet Y one for each element of X.



#### Transition matrix

A discrete memoryless channel can be represented by the transition matrix

$$\begin{pmatrix} p(y_1|x_1) & \cdots & p(y_{|Y|}|x_1) \\ \vdots & \ddots & \vdots \\ p(y_1|x_{|X|}) & \cdots & p(y_{|Y|}|x_{|X|}) \end{pmatrix}$$

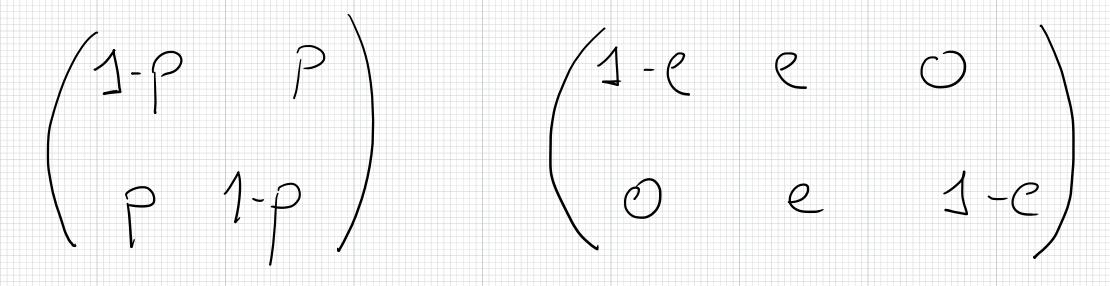
- Question. Do each of the rows add up to one?
- Question. Do each of the columns add up to one?

#### Exercises

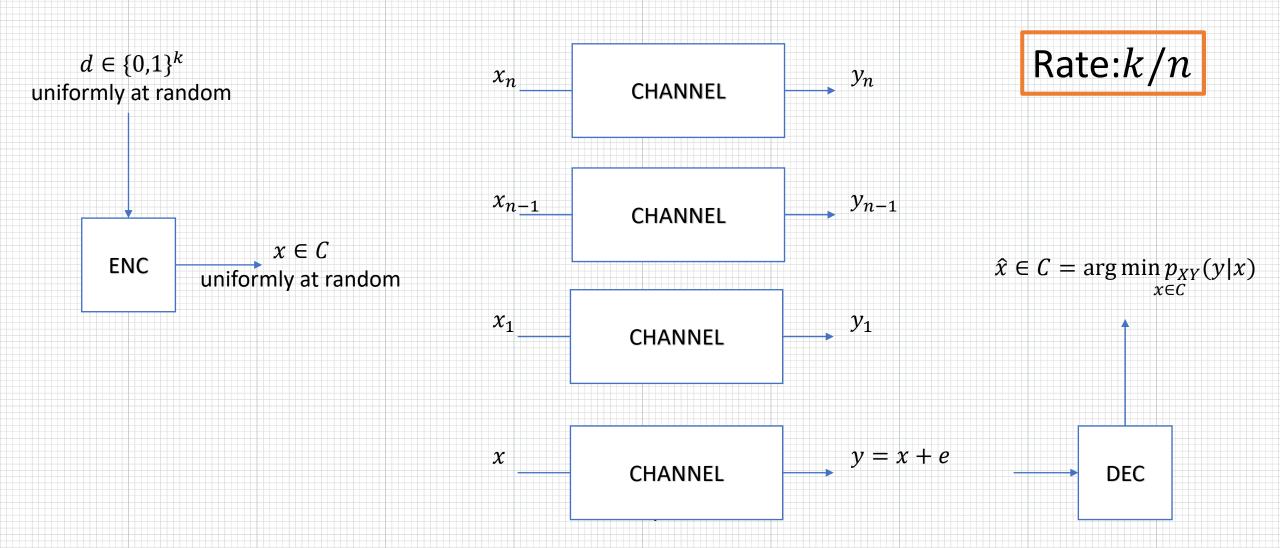
• Write the transition matrix of the binary symmetric and binary erasure channels.

#### Exercises

• Write the transition matrix of the binary symmetric and binary erasure channels.



## Communications setup



## Channel capacity

 Given some discrete and memoryless channel N what is the maximum rate at which it is possible to make the error probability as small as desired by coding over blocks of large enough length?

Answer:

$$C(N) = \max_{p_X} I(X; Y)$$
 in bits

## Fano's inequality

- We want to guess the value of random variable X from the outcomes of correlated random variable Y
- $\hat{X} = g(Y)$  represents our guess
- E takes value 0 if  $\hat{X} = X$  and 1 when  $\hat{X} \neq X$ .
- Exercise. Show that

$$H(X|Y) \le H(E) + p_E(E=1)\log |X|$$

# $H(X|Y) \le H(E) + p_E(E = 1)\log |X|$

We will expand H(E, X|Y) in two different ways. First:

$$\begin{split} H(E,X|Y) &= H(E,X,Y) - H(Y) \\ &= H(E,X,Y) - H(Y) + H(E,Y) - H(E,Y) \\ &= H(E|Y) + H(X|E,Y) \\ &\leq H(E) + H(X|E,Y) \\ &= H(E) + p_E(E=0)H(X|E=0,Y) + p_E(E=1)H(X|E=1,Y) \\ &= H(E) + p_E(E=1)H(X|E=1,Y) \\ &\leq H(E) + p_E(E=1)\log|X| \end{split}$$

# $H(X|Y) \le H(E) + p_E(E=1)\log|X|$

We will expand H(E, X|Y) in two different ways. Second:

$$H(E, X|Y) = H(E, X, Y) - H(Y)$$
  
=  $H(E, X, Y) - H(Y) + H(X, Y) - H(X, Y)$   
=  $H(X|Y) + H(E|X, Y)$   
=  $H(X|Y)$ 

## Rephrasing Fano's inequality

• Lemma. Given a channel, a code  ${\it C}$  and the message uniformly chosen over the  $2^{nR}$  words

$$H(X^n|\text{dec}(Y^n)) \le 1 + p_e nR$$

Proof. Follows from direct application of Fano's lemma:

$$H(E) \le 1$$

$$p_e = P(X^n \ne \operatorname{dec}(Y^n))$$

The alphabet of  $X^n$  has size  $2^{nR}$ 

#### Converse to channel capacity

 Theorem. Given a code for which we choose the codewords uniformly at random, the probability of error over a discrete memoryless channel N is bounded from below by

$$p_e \ge 1 - \frac{1}{nR} - \frac{C(N)}{R}$$

#### Proof sketch

- Since codewords are choosing uniformly at random  $H(X^n) = nR$
- We can also expand  $H(X^n) = H(X^n \hat{X}^n) H(\hat{X}^n) + H(\hat{X}^n) + H(X^n) H(X^n \hat{X})$  That is  $H(X^n) = H(X^n | \hat{X}^n) + I(X^n; \hat{X}^n)$
- By the data processing inequality  $I(X^n; \hat{X}^n) \leq I(X^n; Y^n)$  and  $I(X^n; Y^n) \leq nC(N)$
- From Fano's inequality  $H(X^n | \hat{X}^n) \le 1 + p_e nR$
- Putting all together  $nR \leq 1 + p_e nR + nC(N)$

## Markov inequality

ullet Theorem. Given a non-negative random variable X

$$\Pr[X \ge x] \le \frac{\mathbb{E}[X]}{x}$$

## Markov inequality

Theorem. Given a non-negative random variable X

$$\Pr[X \ge x] \le \frac{\mathbb{E}[X]}{x}$$

Proof.

$$\mathbb{E}[X] = \sum_{t} t p_X(t) = \sum_{t \ge x} t p_X(t) + \sum_{t < x} t p_X(t)$$

hence:  $\mathbb{E}[X] \geq \sum_{t \geq x} t p_X(t)$ , moreover

$$\sum_{t \ge x} t p_X(t) \ge x \sum_{t \ge x} p_X(t) = x \Pr[X \ge x]$$

#### Union bound

• Given a set of events  $A_1, A_2, ..., A_n$ 

$$\Pr[A_1 \text{ or } A_2 \text{ or } ... \text{ or } A_n] \le \Pr[A_1] + \Pr[A_1] + \cdots + \Pr[A_n]$$

## A random code with rate R = k/n

• Choose randomly  $2^{nR}$  codewords according to some probability distribution on the input alphabet  $p_X$ 

$$p_{X_1...X_n}(x_1,...,x_n) = \prod_{i=1}^n p_X(x_i)$$

$$C = \begin{pmatrix} x^1 \\ \dots \\ x^{2^{nR}} \end{pmatrix} = \begin{pmatrix} x_1^1 & \cdots & x_n^1 \\ \vdots & \ddots & \vdots \\ x_1^{2^{nR}} & \cdots & x_n^{2^{nR}} \end{pmatrix}$$

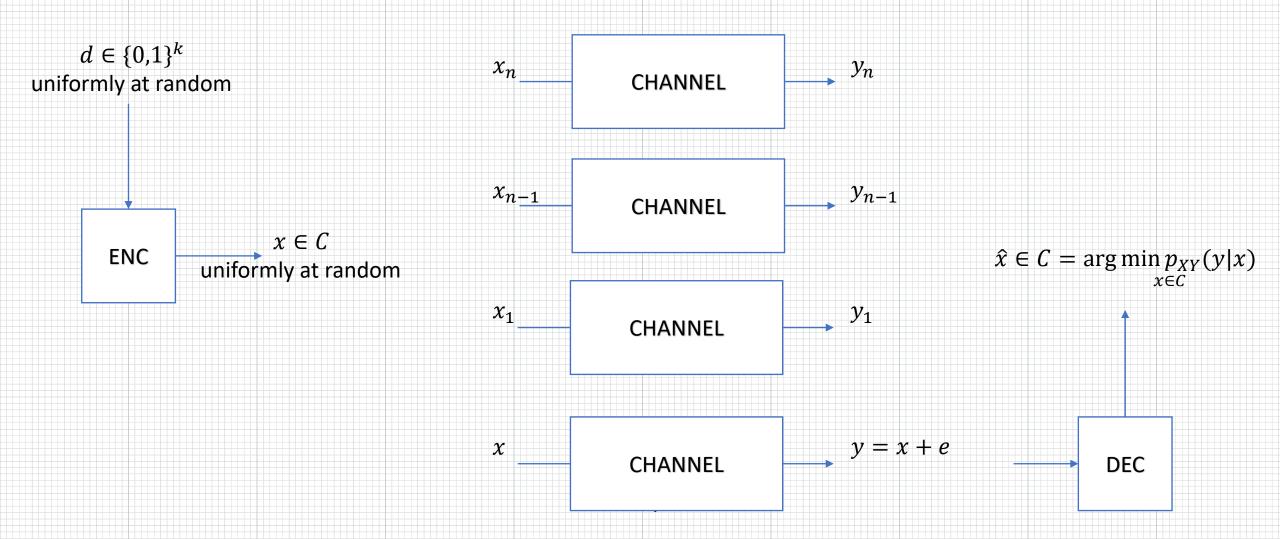
#### Remarks

ullet The code C is an instance of an ensemble of codes induced by  $p_X$ 

• A code C from the ensemble of code C has probability

$$p_{\mathcal{C}}(C) = \prod_{i=1}^{2^{nR}} \prod_{j=1}^{n} p_X(x_j^i)$$

#### Communication setup



#### Proof (1 of 4)

- Fix a codeword x and the output of the channel y
- What is the probability over the ensemble of codes that the i-th codeword is more likely than x?

$$\Pr\left(p_{X^{i}Y}(y|X^{i}) \leq p_{XY}(y|x)\right) \leq \frac{\mathbb{E}\left(p_{X^{i}Y}(y|X^{i})\right)}{p_{XY}(y|x)}$$

$$= \frac{\sum_{x^{i} \in \{0,1\}^{n}} p_{X^{i}}(x^{i}) p_{X^{i}Y}(y|x^{i})}{p_{XY}(y|x)}$$

$$= \frac{p_{Y}(y)}{p_{XY}(y|x)}$$

#### Recap

• Let  $e^i$  be the event the *i*-th codeword is more likely than x

$$\Pr(e^{i}) = \Pr\left(p_{X^{i}Y}(y|X^{i}) \le p_{XY}(y|X)\right)$$

$$\leq \frac{p_{Y}(y)}{p_{XY}(y|X)}$$

$$= \frac{p_{Y}(y_{n})}{p_{XY}(y_{n}|x_{n})} \dots \frac{p_{Y}(y_{1})}{p_{XY}(y_{1}|x_{1})}$$

$$= \prod_{i=1}^{n} \frac{p_{Y}(y_{i})}{p_{XY}(y_{i}|x_{i})}$$

#### Proof (2 of 4)

Let's take the log of the previous expression

$$\frac{1}{n}\log\frac{p_{Y}(y)}{p_{XY}(y|x)} = \frac{1}{n}\sum_{i=1}^{n}\log\frac{p_{Y}(y_{i})}{p_{XY}(y_{i}|x_{i})}$$

And consider the associated distribution

$$\frac{1}{n} \sum_{i=1}^{n} \log \frac{p_Y(Y_i)}{p_{XY}(Y_i|X_i)}$$

By the law of large numbers this conveges to

$$\mathbb{E}\left|\log\frac{p_Y(Y_i)}{p_{XY}(Y_i|X_i)}\right| = -I(X;Y)$$

#### Proof (3 of 4)

• What is the probability that one of the  $2^{nR}-1$  remaining codewords is more likely than x?

$$\Pr\left(e^{1} \text{ or ... or } e^{2^{nR}-1}\right) \leq \sum_{i=1}^{2^{nR}-1} \Pr(e^{i})$$

$$\leq \sum_{i=1}^{2^{nR}-1} \frac{p_{Y}(y)}{p_{XY}(y|x)}$$

$$= 2^{nR} \frac{p_{Y}(y)}{p_{XY}(y|x)}$$

## Proof (4 of 4)

• From law of large numbers, fix  $\epsilon, \delta > 0$ , there exists n such that with probability greater than  $1 - \epsilon$ 

$$\frac{1}{n}\log\frac{p_{Y}(y)}{p_{XY}(y|x)} \le -I(X;Y) + \delta$$

Finally, putting all together

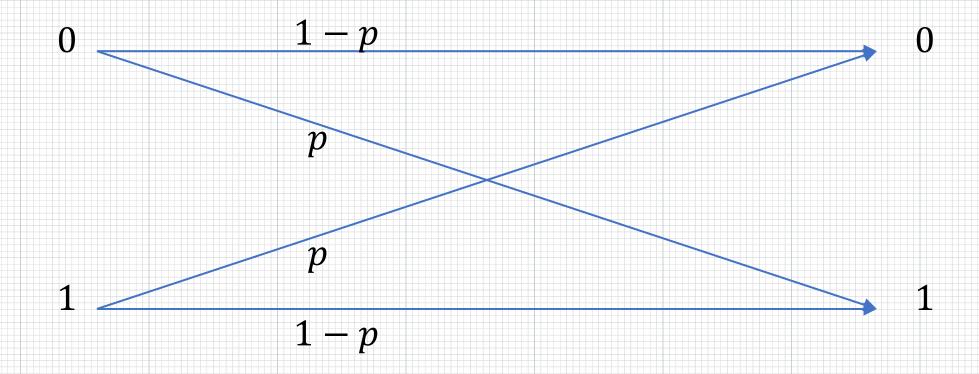
$$\Pr(e) \le 2^{nR} \frac{p_Y(y)}{p_{XY}(y|x)} \le \epsilon + 2^{nR} 2^{-n(I(X;Y) - \delta)} = \epsilon + 2^{-n(I(X;Y) - R - \delta)}$$

# TN3125 Information and Computation

Lecture 3
4 – Computing capacity

## The binary symmetric channel

• Exercise. Find the capacity of the binary symmetric channel.



$$J(X:Y) = H(Y) - H(Y|X)$$

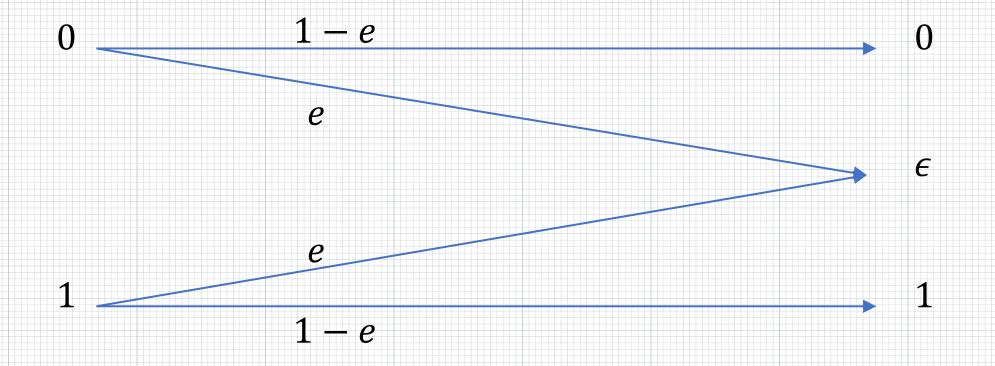
$$= 1 - H(P, 1-P)$$

$$= 1 - H(P, 1-P)$$

$$= \frac{1}{2} \cdot (X:Y) = \frac$$

## The binary erasure channel

• Exercise. Find the capacity of the binary erasure channel.

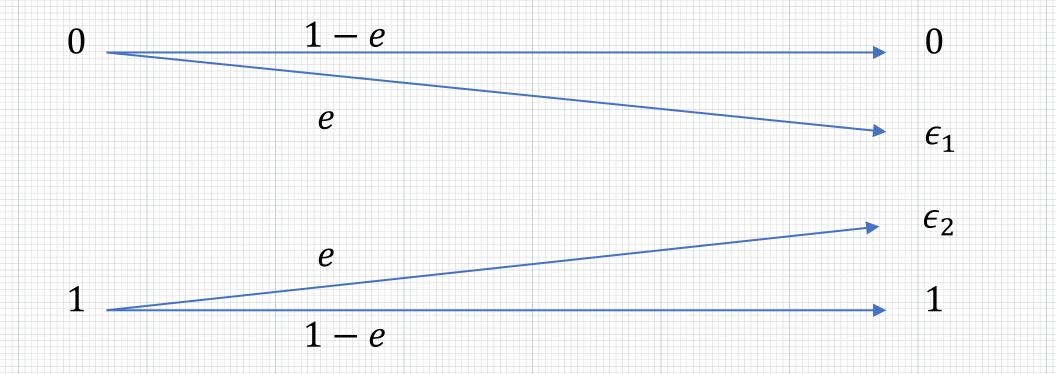


## The binary erasure channel

$$J(x:y) = H(x) - H(x/y)$$
  
=  $H(y, \Delta - P) - P_{y}(\Delta - P)$   
=  $(\Delta - e) \cdot H(P, \Delta - P)$   
=  $(\Delta - e) \cdot H(P, \Delta - P)$ 

# The noisy channel with no overlapping output

• Exercise. Find the capacity of the following channel.



## Weakly symmetric channels

• **Definition.** A chanel is weakly symmetric if all rows are permutations of each other and all columns have equal sum.

Example.

$$\begin{pmatrix} 0.2 & 0.4 & 0.4 \\ 0.4 & 0.2 & 0.4 \\ 0.4 & 0.4 & 0.2 \end{pmatrix}$$

## Weakly symmetric channels

• Exercise. Show that the capacity of a weakly symmetric channel is

$$C = \log|Y| - H(\text{row})$$

where r is one of the rows of the transition matrix of the channel.

#### Solution

• 
$$I(X;Y) = H(Y) - H(Y|X) = H(Y) - H(row) \le \log Y - H(row)$$

 Can we achieve the upper bound? Let's compute the probability of some value y induced by an uniform distribution on the input

$$p_Y(y) = \sum_{x} p_{XY}(y|x)p_X(x) = \frac{1}{|X|} \sum_{x} p_{XY}(y|x)$$

We are done, why?

#### Exercise

• Find the capacity of a channel with transition matrix

$$\begin{pmatrix} 0.2 & 0.4 & 0.4 \\ 0.4 & 0.2 & 0.4 \\ 0.4 & 0.4 & 0.2 \end{pmatrix}$$

## You will do great in the exam if you can

- Encode information using the generator of a linear code, decode using the standard array method
- Deduce properties of a code from the parity check or generator matrix
- Find the capacity of simple channels
- Show basic entropic relations similar to Fano's inequality
- The proof of the noisy coding theorem (slides 59-72) will not be asked in exam

#### Recap course

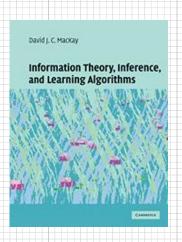
- We derived an information measure from basic axioms
- We proved basic properties of entropy
- We defined several families of codes for data compression
- We proved that average length is bounded by entropy
- We presented linear codes and defined their properties for correction, detection and erasure as well as bounds on code paremeters. One family of codes we studied in detail were Hamming codes.
- We introduced the minimum distance decoder
- We introduced discrete memoryless channels
- We proved the noisy coding theorem and showed that reliable communication is only possible for rates below capacity

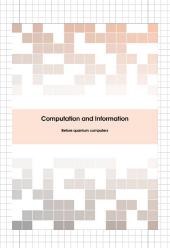
#### Outlook

- Similar to the entropy of a random variable we can define entropies for quantum systems, they are at the basis of
  - Quantum information theory
  - Quantum cryptography
- If you are interested in quantum computation, you will learn about quantum error correcting codes, where the same ideas that you saw here will appear!

#### Resources

- Lecture notes
- Slides
- MacKay chapter 9,10





#### TN3125 Information and Computation

Lecture 3 1- Introduction

# Ideas for next year

- Content
- Resources
- Pace
- Structure
- Evaluation
- Implementation