

# Designing by Principle

A Case Study: Rack

# Principles

*Everything should be made as simple as possible, but not simpler.*

# Principles

*Everything should be made as simple as possible, but not simpler.*

## Definition

“a basic truth, law, or assumption”

# Principles

*Everything should be made as simple as possible, but not simpler.*

## Definition

“a basic truth, law, or assumption”

## Rule

“don’t use Comic Sans”

# Principles

*Everything should be made as simple as possible, but not simpler.*

## **Definition**

“a basic truth, law, or assumption”

## **Rule**

“don’t use Comic Sans”

## **Principle**

“use a font that works well for your design”

# Principles

*Everything should be made as simple as possible, but not simpler.*

## Definition

“a basic truth, law, or assumption”

## Rule

“don’t use Comic Sans”

## Principle

“use a font that works well for your design”

Where rules are specific principles are general, and therefore have tremendous utility.

**Learning to apply them is hard.**

# Principles

*Everything should be made as simple as possible, but not simpler.*

## Definition

“a basic truth, law, or assumption”

## Scientific Method

Keeping clear in mind the outcome we’re trying to achieve, and then considering what principles will help us get there.

# Tradeoffs

*(everything has a cost)*

System design is about balancing *simplicity* with  
*expressive power*



# Rack

**Dockerfile**  
**Gemfile**  
**Gemfile.lock**  
**README.md**  
**Rakefile**  
**app/**  
**bin/**  
**config/**  
**config.ru**  
**db/**  
**docker-stack.yml**  
**docs/**  
**extra/**  
**lib/**  
**log/**  
**node\_modules/**  
**package.json**  
**public/**  
**spec/**  
**surveyor.iml**  
**tmp/**  
**vendor/**  
**yarn.lock**



# Rack

```
# This file is used by Rack-based servers to start the application.

require_relative 'config/environment'

run Rails.application
```

# Rack...Why?

## Web Servers / Application Containers



**Thin Puma Mongrel  
WEBrick Unicorn**

## Server-side Web Programming Interfaces

**CGI FastCGI**

**SCGI**

## Web Frameworks



**Your web application...**

# Rack...Why?

## Rails 1.0.0...before Rack

```
class DispatchServlet < WEBrick::HTTPServlet::AbstractServlet
  REQUEST_MUTEX = Mutex.new

  # Start the WEBrick server with the given options, mounting the
  # DispatchServlet at </> and the root at </>
  def self.start(&block)
    start_with_options({:Port => 80}, &block)
  end

  Socket.do_not_reverse_lookup = true # patch for OS X

  params = { :Port => options[:port], :ServerType => options[:server_type],
             :BindAddress => options[:ip] }
  params[:MimeTypes] = options[:mime_types] if options[:mime_types]

  server = WEBrick::HTTPServer.new(params)
  server.mount('/', DispatchServlet, options)

  trap("INT") { server.shutdown }

  require File.join(Rails.root, "...", "config", "environment") unless defined?(RAILS_ROOT)
  require "dispatcher"
end
```

**Almost 400 lines of code just to use 2 different handlers!**

...after Rack

**# This file is used by Rack-based servers to start the application.**

```
require_relative 'config/environment'
```

## run Rails.application

# Rack...Why?



# Principles

- Well-defined interfaces  
*(good fences make good neighbors)*
- Extensibility *(don't try to predict the future)*
- Composition *(we're better together)*
- Immutability *(respecting boundaries, keeping promises)*

# Well-Defined Interface

*(good fences make good neighbors)*

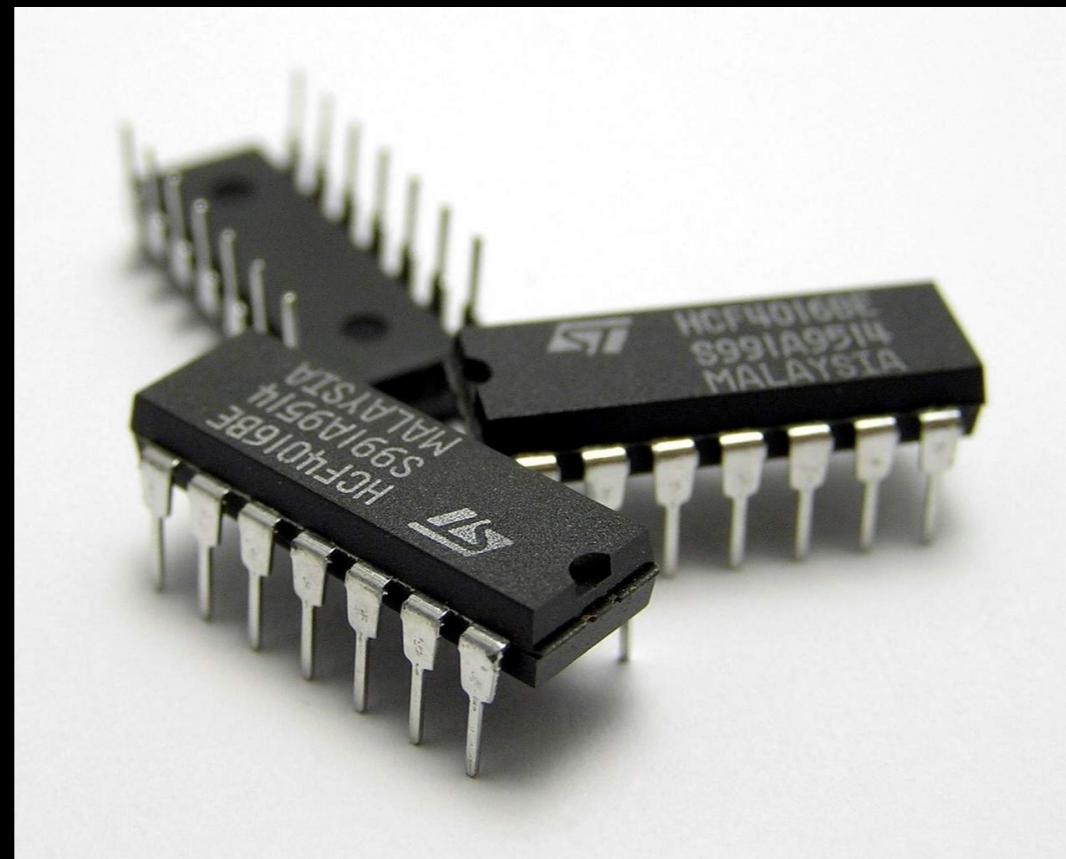
```
# rack/handler/tomcat.rb                                # rack/handler/apache.rb
class Rack::Handler::Tomcat                         class Rack::Handler::Apache
  def self.run(app, options = {})                   def self.run(app, options = {})
    # talk to Tomcat                                # talk to Apache
  end                                              end
end                                            end

# rack/handler/nginx.rb
class Rack::Handler::Nginix
  def self.run(app, options = {})
    # talk to NGINIX
  end
end

# config.ru
run Proc.new { |env| ['200', {'Content-Type' => 'text/html'}, ['Hello neighbor!']] }
```

# Modularity

*(good fences make good neighbors)*



# Extensibility

*(don't try to predict the future)*

```
# config.ru

use Rack::CommonLogger
use Rack::Session::Cookie
run App
```

# Extensibility

*(don't try to predict the future)*

```
# config.ru

use Rack::CommonLogger
use Rack::Session::Cookie
run App
```

**Generally, we want to make systems that can easily be extended without modifying its source code.**

- **Function Composition**
- **Higer-order functions**
- **Adapter Pattern**
- **Service Objects**
- **Lookup Tables**
- **Web API**

# Extensibility

*(don't try to predict the future)*

```
# config.ru  
  
use Rack::CommonLogger  
use Rack::Session::Cookie  
run App
```

**...but, what's the cost?**

# Composition

*(we're better together)*

```
# config.ru

use Rack::CommonLogger
use Rack::Session::Cookie
run App
```

# Composition

*(we're better together)*

```
# config.ru
use Rack::CommonLogger
use Rack::Session::Cookie
run App

# config.ru
App = Rack::CommonLogger.new(Rack::Session::Cookie.new(App.new))
run App
```

# Composition

*(we're better together)*

```
# config.ru

use Rack::CommonLogger
use Rack::Session::Cookie
run App

# config.ru

App = Rack::CommonLogger.new(Rack::Session::Cookie.new(App.new))
run App

# config.ru

app1 = App.new
app2 = Rack::Session::Cookie.new(app1)
app3 = Rack::CommonLogger.new(app2)
run app3
```

# Composition

*(we're better together)*

```
# config.ru  
  
use Rack::CommonLogger  
use Rack::Session::Cookie  
run App
```

**Composable systems are extensible systems**

# Composition

*(we're better together)*

```
def square(x)
  x * x
end
```

```
square(4) # => 16
square(64) # => 4096
```

# Composition

*(we're better together)*

```
def square(x)
  x * x
end
```

```
def sum_of_squares(x, y)
  square(x) + square(y)
end
```

# Composition

*(we're better together)*

```
def square(x)
  x * x
end
```

```
def sum_of_squares(x, y)
  square(x) + square(y)
end
```

```
sum_of_squares(4, 64) # => 4112
```

# Composition

*(we're better together)*

```
def square(x)
  x * x
end
```

```
def distance(x1, x2, y1, y2)
  Math.sqrt(square(x2 - x1) + square(y2 - y1))
end
```

# Composition

*(we're better together)*

Composable systems...

- Are extensible
- Are very often easy to reason about
- Have a linguistic or lyrical quality (algebraic)

# Composition

*(we're better together)*

- Can cost performance-wise (memory)  
*(but most languages make it pretty cheap)*

# Composition

*(we're better together)*

- SQL and the relational model
- Object Systems (i.e. Ruby, JavaScript)
- Functions and Procedures
- Data Formats (i.e. JSON, HTML)

# Immutability

*(respecting boundaries, keeping promises)*

```
class MyApp
  def call(env)
    ['200', {'Content-type' => 'text/html'}, ["This is true"]]
  end
end
```

What's missing?

# Immutability

*(respecting boundaries, keeping promises)*

```
class MyApp
  def call(env)
    ['200', {'Content-type' => 'text/html'}, ["This is true"]]
  end
end

class Logger
  def initialize(app)
    @app = app
  end

  def call(env)
    log(env)
    app.call(env)
  end
end
```

Why does this work?

# Immutability

*(respecting boundaries, keeping promises)*

- Allows you to make assumptions
- Maintains the integrity of abstractions
- Simplicity
- Performance optimization & concurrency are simpler

# Immutability

*(respecting boundaries, keeping promises)*

- At times can be difficult to pull off
- Can cost performance-wise

# Immutability

*(respecting boundaries, keeping promises)*

- Most up-in-coming languages (i.e. Haskell, Clojure, Rust, Go, Swift, Scala, Kotlin...)
- Older languages adding (i.e. Java, C#, JavaScript)
- Frameworks (React.js, Vue.js)
- Ruby bang idiom (i.e. “!” at the end of method calls)  
(e.g. Hash#merge and Hash#merge!)
- Concurrent-ruby

# References

- Inventing on Principle - Bret Victor  
<https://vimeo.com/36579366>
- Simplicity Matters - Rich Hickey  
<https://www.youtube.com/watch?v=rI8tNMsozo0>
- The Mess We're In - Joe Armstrong  
<https://www.youtube.com/watch?v=IKXe3HUG2I4>