

1 The Vanilla System

First, we present the top-level system, which is easy to understand.

1.1 Grammar

$P, Q ::=$ positive types

- $a+$
- $\downarrow N$
- $\exists \alpha^-.P$

$N, M ::=$ negative types

- $a-$
- $\uparrow P$
- $\forall \alpha^+.N$
- $P \rightarrow N$

1.2 Declarative Subtyping

$\boxed{\Gamma \vdash N \simeq_0^{\leq} M}$ Negative equivalence

$$\frac{\Gamma \vdash N \leq_0 M \quad \Gamma \vdash M \leq_0 N}{\Gamma \vdash N \simeq_0^{\leq} M} \text{ D0NDEF}$$

$\boxed{\Gamma \vdash P \simeq_0^{\leq} Q}$ Positive equivalence

$$\frac{\Gamma \vdash P \geq_0 Q \quad \Gamma \vdash Q \geq_0 P}{\Gamma \vdash P \simeq_0^{\leq} Q} \text{ D0PDEF}$$

$\boxed{\Gamma \vdash N \leq_0 M}$ Negative subtyping

$$\begin{array}{c} \overline{\Gamma \vdash a- \leq_0 a-} \quad \text{D0NVAR} \\ \frac{\Gamma \vdash P \simeq_0^{\leq} Q}{\Gamma \vdash \uparrow P \leq_0 \uparrow Q} \quad \text{D0SHIFTU} \\ \frac{\Gamma \vdash P \quad \Gamma \vdash [P/a+]N \leq_0 M \quad M \neq \forall \beta^+.M'}{\Gamma \vdash \forall \alpha^+.N \leq_0 M} \quad \text{D0FORALLL} \\ \frac{\Gamma, \alpha^+ \vdash N \leq_0 M}{\Gamma \vdash N \leq_0 \forall \alpha^+.M} \quad \text{D0FORALLR} \\ \frac{\Gamma \vdash P \geq_0 Q \quad \Gamma \vdash N \leq_0 M}{\Gamma \vdash P \rightarrow N \leq_0 Q \rightarrow M} \quad \text{D0ARROW} \end{array}$$

$\boxed{\Gamma \vdash P \geq_0 Q}$ Positive supertyping

$$\begin{array}{c} \overline{\Gamma \vdash a+ \geq_0 a+} \quad \text{D0PVAR} \\ \frac{\Gamma \vdash N \simeq_0^{\leq} M}{\Gamma \vdash \downarrow N \geq_0 \downarrow M} \quad \text{D0SHIFTD} \\ \frac{\Gamma \vdash N \quad \Gamma \vdash [N/a-]P \geq_0 Q \quad Q \neq \exists \alpha^-.Q'}{\Gamma \vdash \exists \alpha^-.P \geq_0 Q} \quad \text{D0EXISTSL} \\ \frac{\Gamma, \alpha^- \vdash P \geq_0 Q}{\Gamma \vdash P \geq_0 \exists \alpha^-.Q} \quad \text{D0EXISTSR} \end{array}$$

2 Multi-Quantified System

2.1 Grammar

P, Q	$::=$	multi-quantified positive types
	α^+	
	$\downarrow N$	
	$\exists \alpha^+ . P$	$P \neq \exists \dots$
N, M	$::=$	multi-quantified negative types
	α^-	
	$\uparrow P$	
	$P \rightarrow N$	
	$\forall \alpha^+ . N$	$N \neq \forall \dots$

2.2 Declarative Subtyping

$\boxed{\Gamma \vdash N \simeq_1^{\leq} M}$ Negative equivalence on MQ types

$$\frac{\Gamma \vdash N \leq_1 M \quad \Gamma \vdash M \leq_1 N}{\Gamma \vdash N \simeq_1^{\leq} M} \text{ D1NDEF}$$

$\boxed{\Gamma \vdash P \simeq_1^{\geq} Q}$ Positive equivalence on MQ types

$$\frac{\Gamma \vdash P \geq_1 Q \quad \Gamma \vdash Q \geq_1 P}{\Gamma \vdash P \simeq_1^{\geq} Q} \text{ D1PDEF}$$

$\boxed{\Gamma \vdash N \leq_1 M}$ Negative subtyping

$$\begin{aligned} & \overline{\Gamma \vdash \alpha^- \leq_1 \alpha^-} \quad \text{D1NVAR} \\ & \frac{\Gamma \vdash P \simeq_1^{\leq} Q}{\Gamma \vdash \uparrow P \leq_1 \uparrow Q} \quad \text{D1SHIFTU} \\ & \frac{\Gamma \vdash P \geq_1 Q \quad \Gamma \vdash N \leq_1 M}{\Gamma \vdash P \rightarrow N \leq_1 Q \rightarrow M} \quad \text{D1ARROW} \\ & \frac{\Gamma, \vec{\beta}^+ \vdash P_i \quad \Gamma, \vec{\beta}^+ \vdash [\vec{P}/\vec{\alpha}^+] N \leq_1 M}{\Gamma \vdash \forall \alpha^+ . N \leq_1 \forall \beta^+ . M} \quad \text{D1FORALL} \end{aligned}$$

$\boxed{\Gamma \vdash P \geq_1 Q}$ Positive supertyping

$$\begin{aligned} & \overline{\Gamma \vdash \alpha^+ \geq_1 \alpha^+} \quad \text{D1PVAR} \\ & \frac{\Gamma \vdash N \simeq_1^{\leq} M}{\Gamma \vdash \downarrow N \geq_1 \downarrow M} \quad \text{D1SHIFTD} \\ & \frac{\Gamma, \vec{\beta}^- \vdash N_i \quad \Gamma, \vec{\beta}^- \vdash [\vec{N}/\vec{\alpha}^-] P \geq_1 Q'}{\Gamma \vdash \exists \alpha^+ . P \geq_1 \exists \beta^- . Q} \quad \text{D1EXISTS L} \end{aligned}$$

2.3 Declarative Equivalence

$\boxed{N \simeq_1^D M}$ Negative multi-quantified type equivalence

$$\begin{aligned} & \overline{\alpha^- \simeq_1^D \alpha^-} \quad (\text{VAR}^- \simeq_1^D) \\ & \frac{P \simeq_1^D Q}{\uparrow P \simeq_1^D \uparrow Q} \quad (\uparrow \simeq_1^D) \\ & \frac{P \simeq_1^D Q \quad N \simeq_1^D M}{P \rightarrow N \simeq_1^D Q \rightarrow M} \quad (\rightarrow \simeq_1^D) \\ & \frac{\vec{\alpha}^+ \cap \mathbf{fv} M = \emptyset \quad \mu : (\vec{\beta}^+ \cap \mathbf{fv} M) \leftrightarrow (\vec{\alpha}^+ \cap \mathbf{fv} N) \quad N \simeq_1^D [\mu] M}{\forall \alpha^+ . N \simeq_1^D \forall \beta^+ . M} \quad (\forall \simeq_1^D) \end{aligned}$$

$\boxed{P \simeq_1^D Q}$ Positive multi-quantified type equivalence

$$\frac{\overline{\alpha^+ \simeq_1^D \alpha^+}}{(\text{VAR}^+)} \quad \frac{\overline{N \simeq_1^D M}}{\downarrow N \simeq_1^D \downarrow M} \quad (\downarrow \simeq_1^D)$$

$$\frac{\overrightarrow{\alpha^-} \cap \mathbf{fv} Q = \emptyset \quad \mu : (\overrightarrow{\beta^-} \cap \mathbf{fv} Q) \leftrightarrow (\overrightarrow{\alpha^-} \cap \mathbf{fv} P) \quad P \simeq_1^D [\mu]Q}{\exists \overrightarrow{\alpha^-}. P \simeq_1^D \exists \overrightarrow{\beta^-}. Q} \quad (\exists \simeq_1^D)$$

3 Algorithm

3.1 Normalization

3.1.1 Ordering

$\boxed{\text{ord vars in } N = \text{vars}'}$

$$\frac{\alpha^- \in \text{vars}}{\text{ord vars in } \alpha^- = \alpha^-} \quad \text{ONVARIN}$$

$$\frac{\alpha^- \notin \text{vars}}{\text{ord vars in } \alpha^- = \cdot} \quad \text{ONVARNIN}$$

$$\frac{}{\text{ord vars in } \hat{\alpha}^- \{ \text{vars}' \} = \cdot} \quad \text{ONUVAR}$$

$$\frac{\text{ord vars in } P = \vec{\alpha}}{\text{ord vars in } \uparrow P = \vec{\alpha}} \quad \text{OSHIFTU}$$

$$\frac{\text{ord vars in } P = \vec{\alpha}_1 \quad \text{ord vars in } N = \vec{\alpha}_2}{\text{ord vars in } P \rightarrow N = \vec{\alpha}_1, (\vec{\alpha}_2 \setminus \vec{\alpha}_1)} \quad \text{OARROW}$$

$$\frac{\text{vars} \cap \overrightarrow{\alpha^+} = \emptyset \quad \text{ord vars in } N = \vec{\alpha}}{\text{ord vars in } \forall \alpha^+. N = \vec{\alpha}} \quad \text{OFORALL}$$

$\boxed{\text{ord vars in } P = \text{vars}'}$

$$\frac{\alpha^+ \in \text{vars}}{\text{ord vars in } \alpha^+ = \alpha^+} \quad \text{OPVARIN}$$

$$\frac{\alpha^+ \notin \text{vars}}{\text{ord vars in } \alpha^+ = \cdot} \quad \text{OPVARNIN}$$

$$\frac{}{\text{ord vars in } \hat{\alpha}^+ \{ \text{vars}' \} = \cdot} \quad \text{OPUVAR}$$

$$\frac{\text{ord vars in } N = \vec{\alpha}}{\text{ord vars in } \downarrow N = \vec{\alpha}} \quad \text{OSHIFTD}$$

$$\frac{\text{vars} \cap \overrightarrow{\alpha^-} = \emptyset \quad \text{ord vars in } P = \vec{\alpha}}{\text{ord vars in } \exists \alpha^-. P = \vec{\alpha}} \quad \text{OEXISTS}$$

3.1.2 Quantifier Normalization

$\boxed{\text{nf}(N) = M}$

$\boxed{\text{nf}(P) = Q}$

$\boxed{\text{nf}(N) = M}$

$$\overline{\text{nf}(\alpha^-) = \alpha^-} \quad (\text{VAR}^-)$$

$$\overline{\text{nf}(\hat{\alpha}^- \{ \text{vars} \}) = \hat{\alpha}^- \{ \text{vars} \}} \quad (\text{UVAR}^-)$$

$$\frac{\text{nf}(P) = Q}{\text{nf}(\uparrow P) = \uparrow Q} \quad (\uparrow)$$

$$\frac{\mathbf{nf}(P) = Q \quad \mathbf{nf}(N) = M}{\mathbf{nf}(P \rightarrow N) = Q \rightarrow M} \quad (\rightarrow)$$

$$\frac{\mathbf{nf}(N) = N' \quad \text{ord } \vec{\alpha}^+ \text{ in } N' = \vec{\alpha}^{+'}}{\mathbf{nf}(\vec{\forall} \alpha^+. N) = \vec{\forall} \alpha^{+'}. N'} \quad (\forall)$$

$$\boxed{\mathbf{nf}(P) = Q}$$

$$\overline{\mathbf{nf}(\alpha^+) = \alpha^+} \quad (\text{VAR}^+)$$

$$\overline{\mathbf{nf}(\hat{\alpha}^+ \{vars\}) = \hat{\alpha}^+ \{vars\}} \quad (\text{UVAR}^+)$$

$$\frac{\mathbf{nf}(N) = M}{\mathbf{nf}(\downarrow N) = \downarrow M} \quad (\downarrow)$$

$$\frac{\mathbf{nf}(P) = P' \quad \text{ord } \vec{\alpha}^- \text{ in } P' = \vec{\alpha}^{-'}}{\mathbf{nf}(\vec{\exists} \alpha^-. P) = \vec{\exists} \alpha^{-'}. P'} \quad (\exists)$$

3.2 Unification

$$\boxed{N \stackrel{u}{\simeq} M \models \hat{\sigma}} \quad \text{Negative unification}$$

$$\frac{}{\alpha^- \stackrel{u}{\simeq} \alpha^- \models \cdot} \quad \text{UNVAR}$$

$$\frac{P \stackrel{u}{\simeq} Q \models \hat{\sigma}}{\uparrow P \stackrel{u}{\simeq} \uparrow Q \models \hat{\sigma}} \quad \text{USHIFTU}$$

$$\frac{P \stackrel{u}{\simeq} Q \models \hat{\sigma}_1 \quad N \stackrel{u}{\simeq} M \models \hat{\sigma}_2}{P \rightarrow N \stackrel{u}{\simeq} Q \rightarrow M \models \hat{\sigma}_1 \& \hat{\sigma}_2} \quad \text{UARROW}$$

$$\frac{N \stackrel{u}{\simeq} M \models \hat{\sigma}}{\vec{\forall} \alpha^+. N \stackrel{u}{\simeq} \vec{\forall} \alpha^+. M \models \hat{\sigma}} \quad \text{UFORALL}$$

$$\frac{\mathbf{fv} N \subseteq vars}{\hat{\alpha}^- \{vars\} \stackrel{u}{\simeq} N \models \hat{\alpha}^- : \approx N} \quad \text{UNUVAR}$$

$$\boxed{P \stackrel{u}{\simeq} Q \models \hat{\sigma}} \quad \text{Positive unification}$$

$$\frac{}{\alpha^+ \stackrel{u}{\simeq} \alpha^+ \models \cdot} \quad \text{UPVAR}$$

$$\frac{N \stackrel{u}{\simeq} M \models \hat{\sigma}}{\downarrow N \stackrel{u}{\simeq} \downarrow M \models \hat{\sigma}} \quad \text{USHIFTD}$$

$$\frac{P \stackrel{u}{\simeq} Q \models \hat{\sigma}}{\vec{\exists} \alpha^-. P \stackrel{u}{\simeq} \vec{\exists} \alpha^-. Q \models \hat{\sigma}} \quad \text{UEXISTS}$$

$$\frac{\mathbf{fv} P \subseteq vars}{\hat{\alpha}^+ \{vars\} \stackrel{u}{\simeq} P \models \hat{\alpha}^+ : \approx P} \quad \text{UPUVAR}$$

3.3 Algorithmic Subtyping

$$\boxed{\Gamma \models N \leqslant M \models \hat{\sigma}} \quad \text{Negative subtyping}$$

$$\frac{}{\Gamma \models \alpha^- \leqslant \alpha^- \models \cdot} \quad \text{ANVAR}$$

$$\frac{\mathbf{nf}(P) \stackrel{u}{\simeq} \mathbf{nf}(Q) \models \hat{\sigma}}{\Gamma \models \uparrow P \leqslant \uparrow Q \models \hat{\sigma}} \quad \text{ASHIFTU}$$

$$\frac{\Gamma \models P \geqslant Q \models \hat{\sigma}_1 \quad \Gamma \models N \leqslant M \models \hat{\sigma}_2}{\Gamma \models P \rightarrow N \leqslant Q \rightarrow M \models \hat{\sigma}_1 \& \hat{\sigma}_2} \quad \text{AARROW}$$

$$\frac{\Gamma, \vec{\beta}^+ \models [\hat{\alpha}^+ \{ \Gamma, \vec{\beta}^+ \} / \alpha^+] N \leq M \Rightarrow \hat{\sigma}}{\Gamma \models \forall \alpha^+. N \leq \forall \beta^+. M \Rightarrow \hat{\sigma} \setminus \hat{\alpha}^+} \text{ AForALL}$$

$\boxed{\Gamma \models P \geq Q \Rightarrow \hat{\sigma}}$ Positive supertyping

$$\begin{array}{c} \overline{\Gamma \models \alpha^+ \geq \alpha^+ \Rightarrow \cdot} \quad \text{APVAR} \\ \frac{\mathbf{nf}(N) \stackrel{u}{\sim} \mathbf{nf}(M) \Rightarrow \hat{\sigma}}{\Gamma \models \downarrow N \geq \downarrow M \Rightarrow \hat{\sigma}} \quad \text{AShiftD} \\ \frac{\Gamma, \vec{\beta}^- \models [\hat{\alpha}^- \{ \Gamma, \vec{\beta}^- \} / \alpha^-] P \geq Q \Rightarrow \hat{\sigma}}{\Gamma \models \exists \alpha^-. P \geq \exists \beta^-. Q \Rightarrow \hat{\sigma}} \quad \text{AExists} \\ \frac{\mathbf{nf}(P) = P' \quad \text{vars}_1 = \mathbf{fv} P' \setminus \text{vars} \quad \text{vars}_2 \text{ is fresh}}{\Gamma \models \hat{\alpha}^+ \{ \text{vars} \} \geq P \Rightarrow (\hat{\alpha}^+ : \geq P' \vee [\text{vars}_2 / \text{vars}_1] P')} \quad \text{APUVar} \end{array}$$

3.4 Unification Solution Merge

$\boxed{e_1 \& e_2 = e_3}$ Unification Solution Entry Merge

$$\begin{array}{c} \overline{\hat{\alpha}^+ : \geq P \& \hat{\alpha}^+ : \geq Q = \hat{\alpha}^+ : \geq P \vee Q} \quad \text{SMEPSUPSUP} \\ \frac{\mathbf{fv} P \cup \mathbf{fv} Q \models P \geq Q \Rightarrow \hat{\sigma}'}{\hat{\alpha}^+ : \approx P \& \hat{\alpha}^+ : \geq Q = \hat{\alpha}^+ : \approx P} \quad \text{SMEPEQSUP} \\ \frac{\mathbf{fv} P \cup \mathbf{fv} Q \models Q \geq P \Rightarrow \hat{\sigma}'}{\hat{\alpha}^+ : \geq P \& \hat{\alpha}^+ : \approx Q = \hat{\alpha}^+ : \approx Q} \quad \text{SMEPSUPEQ} \\ \frac{}{\hat{\alpha}^+ : \approx P \& \hat{\alpha}^+ : \approx P = \hat{\alpha}^+ : \approx P} \quad \text{SMEPEQEQ} \\ \frac{}{\hat{\alpha}^- : \approx N \& \hat{\alpha}^- : \approx N = \hat{\alpha}^- : \approx N} \quad \text{SMENEQEQ} \end{array}$$

$\boxed{\hat{\sigma}_1 \& \hat{\sigma}_2 = \hat{\sigma}_3}$ Merge unification solutions

$$\begin{array}{c} \overline{\cdot \& \hat{\sigma} = \hat{\sigma}} \quad \text{SMEEmpty} \\ \frac{(\hat{\alpha}^+ : \approx P) \in \hat{\sigma}_2 \quad \hat{\sigma}_1 \& (\hat{\sigma}_2 \setminus \hat{\alpha}^+) = \hat{\sigma}_3}{(\hat{\alpha}^+ : \approx P, \hat{\sigma}_1) \& \hat{\sigma}_2 = (\hat{\alpha}^+ : \approx P, \hat{\sigma}_3)} \quad \text{SMPEQEQ} \\ \frac{(\hat{\alpha}^+ : \geq Q) \in \hat{\sigma}_2 \quad \hat{\sigma}_1 \& (\hat{\sigma}_2 \setminus \hat{\alpha}^+) = \hat{\sigma}_3}{(\hat{\alpha}^+ : \geq P, \hat{\sigma}_1) \& \hat{\sigma}_2 = (\hat{\alpha}^+ : \geq P \vee Q, \hat{\sigma}_3)} \quad \text{SMPSUPSUP} \\ \frac{(\hat{\alpha}^+ : \approx Q) \in \hat{\sigma}_2 \quad \mathbf{fv} Q \cup \mathbf{fv} P \models Q \geq P \Rightarrow \hat{\sigma}' \quad \hat{\sigma}_1 \& (\hat{\sigma}_2 \setminus \hat{\alpha}^+) = \hat{\sigma}_3}{(\hat{\alpha}^+ : \geq P, \hat{\sigma}_1) \& \hat{\sigma}_2 = (\hat{\alpha}^+ : \approx Q, \hat{\sigma}_3)} \quad \text{SMPSUPEQ} \\ \frac{(\hat{\alpha}^+ : \geq Q) \in \hat{\sigma}_2 \quad \mathbf{fv} Q \cup \mathbf{fv} P \models P \geq Q \Rightarrow \hat{\sigma}' \quad \hat{\sigma}_1 \& (\hat{\sigma}_2 \setminus \hat{\alpha}^+) = \hat{\sigma}_3}{(\hat{\alpha}^+ : \approx P, \hat{\sigma}_1) \& \hat{\sigma}_2 = (\hat{\alpha}^+ : \approx P, \hat{\sigma}_3)} \quad \text{SMPEQSUP} \\ \frac{(\hat{\alpha}^- : \approx N) \in \hat{\sigma}_2 \quad \hat{\sigma}_1 \& (\hat{\sigma}_2 \setminus \hat{\alpha}^-) = \hat{\sigma}_3}{(\hat{\alpha}^- : \approx N, \hat{\sigma}_1) \& \hat{\sigma}_2 = (\hat{\alpha}^- : \approx N, \hat{\sigma}_3)} \quad \text{SMNEQEQ} \end{array}$$

3.5 Least Upper Bound

$\boxed{P_1 \vee P_2 = Q}$ Least Upper Bound (Least Common Supertype)

$$\begin{array}{c} \overline{\alpha^+ \vee \alpha^+ = \alpha^+} \quad \text{LUBVAR} \\ \frac{(\mathbf{fv} N \cup \mathbf{fv} M) \models \downarrow N \stackrel{a}{\cong} \downarrow M \Rightarrow (P, \hat{\sigma}_1, \hat{\sigma}_2)}{\downarrow N \vee \downarrow M = \exists \alpha^-. [\alpha^- / \mathbf{uv} P] P} \quad \text{LUBSHIFT} \\ \frac{\overline{\alpha^- \cap \beta^- = \emptyset}}{\exists \alpha^-. P_1 \vee \exists \beta^-. P_2 = P_1 \vee P_2} \quad \text{LUBEXISTS} \end{array}$$

3.6 Antiunification

$$\boxed{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (Q, \hat{\sigma}_1, \hat{\sigma}_2)}$$

$$\begin{array}{c} \frac{}{\Gamma \models \alpha^+ \stackrel{a}{\simeq} \alpha^+ \Rightarrow (\alpha^+, \cdot, \cdot)} \text{AUPVAR} \\ \frac{\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (M, \hat{\sigma}_1, \hat{\sigma}_2)}{\Gamma \models \downarrow N_1 \stackrel{a}{\simeq} \downarrow N_2 \Rightarrow (\downarrow M, \hat{\sigma}_1, \hat{\sigma}_2)} \text{AUPSHIFT} \\ \frac{\overrightarrow{\alpha^-} \cap \Gamma = \emptyset \quad \Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (Q, \hat{\sigma}_1, \hat{\sigma}_2)}{\Gamma \models \exists \alpha^-. P_1 \stackrel{a}{\simeq} \exists \alpha^-. P_2 \Rightarrow (\exists \alpha^-. Q, \hat{\sigma}_1, \hat{\sigma}_2)} \text{AUPEXISTS} \end{array}$$

$$\boxed{\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (M, \hat{\sigma}_1, \hat{\sigma}_2)}$$

$$\begin{array}{c} \frac{}{\Gamma \models \alpha^- \stackrel{a}{\simeq} \alpha^- \Rightarrow (\alpha^-, \cdot, \cdot)} \text{AUNVAR} \\ \frac{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (Q, \hat{\sigma}_1, \hat{\sigma}_2)}{\Gamma \models \uparrow P_1 \stackrel{a}{\simeq} \uparrow P_2 \Rightarrow (\uparrow Q, \hat{\sigma}_1, \hat{\sigma}_2)} \text{AUNSHIFT} \\ \frac{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (Q, \hat{\sigma}_1, \hat{\sigma}_2) \quad \Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (M, \hat{\sigma}'_1, \hat{\sigma}'_2)}{\Gamma \models P_1 \rightarrow N_1 \stackrel{a}{\simeq} P_2 \rightarrow N_2 \Rightarrow (Q \rightarrow M, \hat{\sigma}_1 \cup \hat{\sigma}'_1, \hat{\sigma}_2 \cup \hat{\sigma}'_2)} \text{AUNARROW} \\ \frac{\text{if any other rule is not applicable} \quad \Gamma \vdash N \quad \Gamma \vdash M}{\Gamma \models N \stackrel{a}{\simeq} M \Rightarrow (\hat{\alpha}_{\{N, M\}}^-, (\hat{\alpha}_{\{N, M\}}^- : \approx N), (\hat{\alpha}_{\{N, M\}}^- : \approx M))} \text{AUNAU} \end{array}$$

4 Proofs

4.1 Normaliztaion

4.1.1 Auxiliary properties

Lemma 1. *Set of free variables is invariant under equivalence.*

- If $N \simeq_1^D M$ then $\mathbf{fv} N \equiv \mathbf{fv} M$ (as sets)
- + If $P \simeq_1^D Q$ then $\mathbf{fv} P \equiv \mathbf{fv} Q$ (as sets)

Proof. Straightforward mutual induction on $N \simeq_1^D M$ and $P \simeq_1^D Q$ □

Lemma 2 (Distributivity of renaming over variable ordering). *Suppose that μ is a bijection between two sets of variables $\mu : A \leftrightarrow B$, and B is disjoint with vars.*

- If B is disjoint with $\mathbf{fv} N$ then $[\mu](\mathbf{ord} \text{ vars in } N) = \mathbf{ord}([\mu] \text{ vars}) \text{ in } [\mu]N$
- + If B is disjoint with $\mathbf{fv} P$ then $[\mu](\mathbf{ord} \text{ vars in } P) = \mathbf{ord}([\mu] \text{ vars}) \text{ in } [\mu]P$

Proof. Mutual induction on N and P .

Proof (should be easy)

□

Lemma 3 (Commutativity of normalization and renaming). *Normalization of a term commutes with renaming.*

Suppose that μ is a bijection between two sets of variables $\mu : A \leftrightarrow B$. Then

- $\mathbf{nf}([\mu]N) = [\mu]\mathbf{nf}(N)$
- + $\mathbf{nf}([\mu]P) = [\mu]\mathbf{nf}(P)$

Here equality means alpha-equivalence.

Proof. Mutual induction on iN and iP .

Write a little bit about the exists/forall case

□

4.1.2 Soundness

Lemma 4 (Soundness of variable ordering). *Variable ordering extracts precisely used free variables.*

- $\mathbf{ord\,vars\,in}\,N \equiv \mathbf{vars} \cap \mathbf{fv}\,N$ (as sets)
- + $\mathbf{ord\,vars\,in}\,P \equiv \mathbf{vars} \cap \mathbf{fv}\,P$ (as sets)

Proof. Straightforward mutual induction on $\mathbf{ord\,vars\,in}\,N = \vec{\alpha}$ and $\mathbf{ord\,vars\,in}\,P = \vec{\alpha}$ □

Corollary 1 (Additivity of ordering). – $\mathbf{ord}\,(vars_1 \cup vars_2)\,\mathbf{in}\,N \equiv \mathbf{ord\,vars}_1\,\mathbf{in}\,N \cup \mathbf{ord\,vars}_2\,\mathbf{in}\,N$ (as sets)

+ $\mathbf{ord}\,(vars_1 \cup vars_2)\,\mathbf{in}\,P \equiv \mathbf{ord\,vars}_1\,\mathbf{in}\,P \cup \mathbf{ord\,vars}_2\,\mathbf{in}\,P$ (as sets)

Corollary 2 (Weakening of ordering). – $\mathbf{ord}\,(vars \cap \mathbf{fv}\,N)\,\mathbf{in}\,N \equiv \mathbf{ord\,vars\,in}\,N$ (as sets)

+ $\mathbf{ord}\,(vars \cap \mathbf{fv}\,P)\,\mathbf{in}\,P \equiv \mathbf{ord\,vars\,in}\,P$ (as sets)

Lemma 5 (Soundness of quantifier normalization). *Normalization respects equivalence.*

- $N \simeq_1^D \mathbf{nf}\,(N)$
- + $P \simeq_1^D \mathbf{nf}\,(P)$

Proof. Mutual induction on $\mathbf{nf}\,(N) = M$ and $\mathbf{nf}\,(P) = Q$. Let us consider how this judgment is formed:

- (Var^-) and (Var^+) by the corresponding equivalence rules.
- (\uparrow) , (\downarrow) , and (\rightarrow) by the induction hypothesis and the corresponding congruent equivalence rules.
- (\forall) From the induction hypothesis, we know that $N \simeq_1^D N'$. In particular, by lemma 1, $\mathbf{fv}\,N \equiv \mathbf{fv}\,N'$. Then by lemma 4, $\vec{\alpha}^{++} \equiv \vec{\alpha}^+ \cap \mathbf{fv}\,N' \equiv \vec{\alpha}^+ \cap \mathbf{fv}\,N$, and thus, $\vec{\alpha}^{++'} \cap \mathbf{fv}\,N' \equiv \vec{\alpha}^+ \cap \mathbf{fv}\,N$.
To prove $\forall \vec{\alpha}^{++}. N \simeq_1^D \forall \vec{\alpha}^{++'}. N'$, it suffices to provide a bijection $\mu : \vec{\alpha}^{++'} \cap \mathbf{fv}\,N' \leftrightarrow \vec{\alpha}^+ \cap \mathbf{fv}\,N$ such that $N \simeq_1^D [\mu]N'$. Since these sets are equal, we take $\mu = id$.
- (\exists) Same as for (\forall) .

□

Corollary 3. *Free variables are not changed by the normalization*

- $\mathbf{fv}\,N \equiv \mathbf{fv}\,\mathbf{nf}\,(N)$
- + $\mathbf{fv}\,P \equiv \mathbf{fv}\,\mathbf{nf}\,(P)$

Proof. Immediately from lemmas 1 and 5. □

4.1.3 Completeness

Lemma 6 (Completeness of variable ordering). *Variable ordering is invariant under equivalence.*

- For $N \simeq_1^D M$ and any vars, if $\mathbf{ord\,vars\,in}\,N = \vec{\alpha}_1$ and $\mathbf{ord\,vars\,in}\,M = \vec{\alpha}_2$, then $\vec{\alpha}_1 = \vec{\alpha}_2$ (as lists)
- + For $P \simeq_1^D Q$ and any vars, if $\mathbf{ord\,vars\,in}\,P = \vec{\alpha}_1$ and $\mathbf{ord\,vars\,in}\,Q = \vec{\alpha}_2$, then $\vec{\alpha}_1 = \vec{\alpha}_2$ (as lists)

Proof. Mutual induction on $N \simeq_1^D M$ and $P \simeq_1^D Q$. □

Lemma 7 (Completeness of quantified normalization). *Normalization returns the same representative for equivalent types.*

- If $N \simeq_1^D M$ then $\mathbf{nf}\,(N) = \mathbf{nf}\,(M)$
- + If $P \simeq_1^D Q$ then $\mathbf{nf}\,(P) = \mathbf{nf}\,(Q)$

(Here equality means alpha-equivalence)

Proof. Mutual induction on $N \simeq_1^D M$ and $P \simeq_1^D Q$.

- $(\forall \simeq_1^D)$

From the definition of the normalization,

- $\mathbf{nf}(\forall \vec{\alpha}^+ . N) = \forall \vec{\alpha}^{+'} . \mathbf{nf}(N)$ where $\vec{\alpha}^{+'}$ is $\mathbf{ord} \vec{\alpha}^+ \mathbf{in} \mathbf{nf}(N)$
- $\mathbf{nf}(\forall \vec{\beta}^+ . M) = \forall \vec{\beta}^{+'} . \mathbf{nf}(M)$ where $\vec{\beta}^{+'}$ is $\mathbf{ord} \vec{\beta}^+ \mathbf{in} \mathbf{nf}(M)$

Let us take $\mu : (\vec{\beta}^+ \cap \mathbf{fv} M) \leftrightarrow (\vec{\alpha}^+ \cap \mathbf{fv} N)$ from the inversion of the equivalence judgment. Notice that by lemma 4 and corollary 3, the domain and codomain of μ can be written as $\mu : \vec{\beta}^{+'} \leftrightarrow \vec{\alpha}^{+'}$.

To show the alpha-equivalence of $\forall \vec{\alpha}^{+'} . \mathbf{nf}(N)$ and $\forall \vec{\beta}^{+'} . \mathbf{nf}(M)$, it suffices to prove that (i) $[\mu] \mathbf{nf}(M) = \mathbf{nf}(N)$ and (ii) $[\mu] \vec{\beta}^{+'} = \vec{\alpha}^{+'}$.

(i) $[\mu] \mathbf{nf}(M) = \mathbf{nf}([\mu]M) = \mathbf{nf}(N)$. The first equality holds by lemma 3, the second—by the induction hypothesis.

$$\begin{aligned}
\text{(ii) } [\mu] \vec{\beta}^{+'} &= [\mu] \mathbf{ord} \vec{\beta}^+ \mathbf{in} \mathbf{nf}(M) && \text{by the definition of } \vec{\beta}^{+'} \\
&= [\mu] \mathbf{ord} (\vec{\beta}^+ \cap \mathbf{fv} M) \mathbf{in} \mathbf{nf}(M) && \text{by corollaries 2 and 3} \\
&= \mathbf{ord} [\mu] (\vec{\beta}^+ \cap \mathbf{fv} M) \mathbf{in} [\mu] \mathbf{nf}(M) && \text{by lemma 2, because } \vec{\alpha}^{+'} \cap \mathbf{fv} N \cap \mathbf{fv} \mathbf{nf}(M) \subseteq \vec{\alpha}^+ \cap \mathbf{fv} M = \emptyset \\
&&& \text{and } \vec{\alpha}^+ \cap \mathbf{fv} N \cap (\vec{\beta}^+ \cap \mathbf{fv} M) \subseteq \vec{\alpha}^+ \cap \mathbf{fv} M = \emptyset \\
&= \mathbf{ord} [\mu] (\vec{\beta}^+ \cap \mathbf{fv} M) \mathbf{in} \mathbf{nf}(N) && \text{since } [\mu] \mathbf{nf}(M) = \mathbf{nf}(N) \text{ is proved} \\
&= \mathbf{ord} (\vec{\alpha}^+ \cap \mathbf{fv} N) \mathbf{in} \mathbf{nf}(N) && \text{because } \mu \text{ is a bijection between } \vec{\alpha}^+ \cap \mathbf{fv} N \text{ and } \vec{\beta}^+ \cap \mathbf{fv} M \\
&= \mathbf{ord} \vec{\alpha}^+ \mathbf{in} \mathbf{nf}(N) && \text{by corollaries 2 and 3} \\
&= \vec{\alpha}^{+'} && \text{by the definition of } \vec{\alpha}^{+'}
\end{aligned}$$

- $(\exists \overset{P}{\simeq}_1^P)$ Same as for $(\forall \overset{P}{\simeq}_1^P)$.
- Other rules are congruent, and thus, proved by the corresponding congruent alpha-equivalence rule, which is applicable by the induction hypothesis.

□