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## 1 The Vanilla System

First, we present the top-level system, which is easy to understand.

### 1.1 Grammar

$P, Q, R$	$::=$	positive types
	$\mid$	$\alpha^+$
	$\mid$	$\downarrow N$
	$\mid$	$\exists \alpha^-. P$
$N, M, K$	$::=$	negative types
	$\mid$	$\alpha^-$

$$\begin{array}{|l}
\uparrow P \\
\forall \alpha^+. N \\
P \rightarrow N
\end{array}$$

$v, w ::=$  value terms

$$\begin{array}{|l}
x \\
\{c\} \\
(v : P)
\end{array}$$

$c, d ::=$  computation terms

$$\begin{array}{|l}
(c : N) \\
\lambda x : P. c \\
\Lambda \alpha^+. c \\
\mathbf{return} \ v \\
\mathbf{let} \ x = v; c \\
\mathbf{let} \ x : P = v(\vec{v}); c \\
\mathbf{let} \ x = v(\vec{v}); c \\
\mathbf{let}^{\exists}(\vec{\alpha}^-, x) = v; c
\end{array}$$

## 1.2 Declarative Typing

$\boxed{\Gamma; \Phi \vdash v : P}$  Positive type inference

$$\begin{array}{c}
\frac{x : P \in \Phi}{\Gamma; \Phi \vdash x : P} \text{DTVAR} \\
\frac{\Gamma; \Phi \vdash c : N}{\Gamma; \Phi \vdash \{c\} : \downarrow N} \text{DTTHUNK} \\
\frac{\Gamma; \Phi \vdash v : P \quad \Gamma \vdash Q \geq_1 P}{\Gamma; \Phi \vdash (v : Q) : Q} \text{DTPANNOT} \\
\frac{\Gamma; \Phi \vdash v : P \quad \Gamma \vdash P \simeq_1^{\leq} P'}{\Gamma; \Phi \vdash v : P'} \text{DTPEQUIV}
\end{array}$$

$\boxed{\Gamma; \Phi \vdash c : N}$  Negative type inference

$$\begin{array}{c}
\frac{\Gamma; \Phi, x : P \vdash c : N}{\Gamma; \Phi \vdash \lambda x : P. c : P \rightarrow N} \text{DTTLAM} \\
\frac{\Gamma, \alpha^+; \Phi \vdash c : N}{\Gamma; \Phi \vdash \Lambda \alpha^+. c : \forall \alpha^+. N} \text{DTTLAM} \\
\frac{\Gamma; \Phi \vdash v : P}{\Gamma; \Phi \vdash \mathbf{return} \ v : \uparrow P} \text{DTReturn} \\
\frac{\Gamma; \Phi \vdash v : P \quad \Gamma; \Phi, x : P \vdash c : N}{\Gamma; \Phi \vdash \mathbf{let} \ x = v; c : N} \text{DTVARLET} \\
\frac{\Gamma; \Phi \vdash v : \downarrow M \quad \Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow Q \text{ unique} \quad \Gamma; \Phi, x : Q \vdash c : N}{\Gamma; \Phi \vdash \mathbf{let} \ x = v(\vec{v}); c : N} \text{DTAPPLET} \\
\frac{\Gamma \vdash P \quad \Gamma; \Phi \vdash v : \downarrow M \quad \Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow Q \quad \Gamma \vdash \uparrow Q \leq_1 \uparrow P \quad \Gamma; \Phi, x : P \vdash c : N}{\Gamma; \Phi \vdash \mathbf{let} \ x : P = v(\vec{v}); c : N} \text{DTAPPLETANN} \\
\frac{\Gamma; \Phi \vdash v : \vec{\alpha}^-. P \quad \mathbf{nf}(\vec{\alpha}^-. P) = \vec{\alpha}^-. P \quad \Gamma, \vec{\alpha}^-; \Phi, x : P \vdash c : N \quad \Gamma \vdash N}{\Gamma; \Phi \vdash \mathbf{let}^{\exists}(\vec{\alpha}^-, x) = v; c : N} \text{DTUNPACK} \\
\frac{\Gamma; \Phi \vdash c : N \quad \Gamma \vdash N \simeq_1^{\leq} M}{\Gamma; \Phi \vdash (c : M) : M} \text{DTNANNOT}
\end{array}$$

$$\frac{\Gamma; \Phi \vdash c : N \quad \Gamma \vdash N \simeq_1^{\leq} N'}{\Gamma; \Phi \vdash c : N'} \quad \text{DTNEQUIV}$$

$\boxed{\Gamma; \Phi \vdash N \bullet \vec{v} \Rightarrow M}$  Application type inference

$$\frac{\Gamma \vdash N \simeq_1^{\leq} N'}{\Gamma; \Phi \vdash N \bullet \cdot \Rightarrow N'} \quad \text{DTEMPYAPP}$$

$$\frac{\Gamma; \Phi \vdash v : P \quad \Gamma \vdash Q \geq_1 P \quad \Gamma; \Phi \vdash N \bullet \vec{v} \Rightarrow M}{\Gamma; \Phi \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M} \quad \text{DTARROWAPP}$$

$$\frac{\begin{array}{c} \Gamma \vdash \sigma : \vec{\alpha}^+ \quad \Gamma; \Phi \vdash [\sigma]N \bullet \vec{v} \Rightarrow M \\ \vec{v} \neq \cdot \quad \vec{\alpha}^+ \neq \cdot \end{array}}{\Gamma; \Phi \vdash \forall \alpha^+. N \bullet \vec{v} \Rightarrow M} \quad \text{DTFORALLAPP}$$

### 1.3 Declarative Subtyping

$\boxed{\Gamma \vdash N \simeq_0^{\leq} M}$  Negative equivalence

$$\frac{\Gamma \vdash N \leq_0 M \quad \Gamma \vdash M \leq_0 N}{\Gamma \vdash N \simeq_0^{\leq} M} \quad \text{D0NDEF}$$

$\boxed{\Gamma \vdash P \simeq_0^{\leq} Q}$  Positive equivalence

$$\frac{\Gamma \vdash P \geq_0 Q \quad \Gamma \vdash Q \geq_0 P}{\Gamma \vdash P \simeq_0^{\leq} Q} \quad \text{D0PDEF}$$

$\boxed{\Gamma \vdash N \leq_0 M}$  Negative subtyping

$$\begin{array}{c} \frac{}{\Gamma \vdash \alpha^- \leq_0 \alpha^-} \quad \text{D0NVAR} \\ \frac{\Gamma \vdash P \simeq_0^{\leq} Q}{\Gamma \vdash \uparrow P \leq_0 \uparrow Q} \quad \text{D0SHIFTU} \\ \frac{\Gamma \vdash P \quad \Gamma \vdash [P/\alpha^+]N \leq_0 M \quad M \neq \forall \beta^+. M'}{\Gamma \vdash \forall \alpha^+. N \leq_0 M} \quad \text{D0FORALLL} \\ \frac{\Gamma, \alpha^+ \vdash N \leq_0 M}{\Gamma \vdash N \leq_0 \forall \alpha^+. M} \quad \text{D0FORALLR} \\ \frac{\Gamma \vdash P \geq_0 Q \quad \Gamma \vdash N \leq_0 M}{\Gamma \vdash P \rightarrow N \leq_0 Q \rightarrow M} \quad \text{D0ARROW} \end{array}$$

$\boxed{\Gamma \vdash P \geq_0 Q}$  Positive supertyping

$$\begin{array}{c} \frac{}{\Gamma \vdash \alpha^+ \geq_0 \alpha^+} \quad \text{D0PVAR} \\ \frac{\Gamma \vdash N \simeq_0^{\leq} M}{\Gamma \vdash \downarrow N \geq_0 \downarrow M} \quad \text{D0SHIFTD} \\ \frac{\Gamma \vdash N \quad \Gamma \vdash [N/\alpha^-]P \geq_0 Q \quad Q \neq \exists \alpha^-. Q'}{\Gamma \vdash \exists \alpha^-. P \geq_0 Q} \quad \text{D0EXISTSL} \\ \frac{\Gamma, \alpha^- \vdash P \geq_0 Q}{\Gamma \vdash P \geq_0 \exists \alpha^-. Q} \quad \text{D0EXISTSR} \end{array}$$

## 2 Multi-Quantified System

### 2.1 Grammar

$P, Q, R ::=$  multi-quantified positive types  
 $\quad \quad \quad \mid \alpha^+$   
 $\quad \quad \quad \mid \downarrow N$

		$\exists \alpha^{\rightarrow}.P$		$P \neq \exists \dots$
		$(P)$	S	
$N, M, K$	::=		multi-quantified negative types	
		$\alpha^-$		
		$\uparrow P$		
		$P \rightarrow N$		
		$\forall \alpha^+.N$		$N \neq \forall \dots$
		$(N)$	S	

## 2.2 Declarative Multiquantified Subtyping

$\boxed{\Gamma \vdash N \simeq_1^{\leq} M}$  Negative equivalence on MQ types

$$\frac{\Gamma \vdash N \leq_1 M \quad \Gamma \vdash M \leq_1 N}{\Gamma \vdash N \simeq_1^{\leq} M} \quad (\simeq_1^{\leq} -)$$

$\boxed{\Gamma \vdash P \simeq_1^{\leq} Q}$  Positive equivalence on MQ types

$$\frac{\Gamma \vdash P \geq_1 Q \quad \Gamma \vdash Q \geq_1 P}{\Gamma \vdash P \simeq_1^{\leq} Q} \quad (\simeq_1^{\leq} +)$$

$\boxed{\Gamma \vdash N \leq_1 M}$  Negative subtyping

$$\begin{array}{c} \overline{\Gamma \vdash \alpha^- \leq_1 \alpha^-} \quad (\text{VAR}^{-\leq_1}) \\ \frac{\Gamma \vdash P \simeq_1^{\leq} Q}{\Gamma \vdash \uparrow P \leq_1 \uparrow Q} \quad (\uparrow^{\leq_1}) \\ \frac{\Gamma \vdash P \geq_1 Q \quad \Gamma \vdash N \leq_1 M}{\Gamma \vdash P \rightarrow N \leq_1 Q \rightarrow M} \quad (\rightarrow^{\leq_1}) \\ \frac{\text{fv } N \cap \vec{\beta}^+ = \emptyset \quad \Gamma, \vec{\beta}^+ \vdash P_i \quad \Gamma, \vec{\beta}^+ \vdash [\vec{P}/\vec{\alpha}^+]N \leq_1 M}{\Gamma \vdash \forall \alpha^+.N \leq_1 \forall \beta^+.M} \quad (\forall^{\leq_1}) \end{array}$$

$\boxed{\Gamma \vdash P \geq_1 Q}$  Positive supertyping

$$\begin{array}{c} \overline{\Gamma \vdash \alpha^+ \geq_1 \alpha^+} \quad (\text{VAR}^{+\geq_1}) \\ \frac{\Gamma \vdash N \simeq_1^{\leq} M}{\Gamma \vdash \downarrow N \geq_1 \downarrow M} \quad (\downarrow^{\geq_1}) \\ \frac{\text{fv } P \cap \vec{\beta}^+ = \emptyset \quad \Gamma, \vec{\beta}^+ \vdash N_i \quad \Gamma, \vec{\beta}^+ \vdash [\vec{N}/\vec{\alpha}^+]P \geq_1 Q}{\Gamma \vdash \exists \alpha^+.P \geq_1 \exists \beta^+.Q} \quad (\exists^{\geq_1}) \end{array}$$

$\boxed{\Gamma_2 \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : \Gamma_1}$  Equivalence of substitutions

$\boxed{\Gamma \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : vars}$  Equivalence of substitutions

$\boxed{\Theta \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : vars}$  Equivalence of unification substitutions

$\boxed{\Gamma \vdash \Phi_1 \simeq_1^{\leq} \Phi_2}$  Equivalence of contexts

## 2.3 Declarative Equivalence

$\boxed{N \simeq_1^D M}$  Negative multi-quantified type equivalence

$$\begin{array}{c} \overline{\alpha^- \simeq_1^D \alpha^-} \quad (\text{VAR}^{-\simeq_1^D}) \\ \frac{P \simeq_1^D Q}{\uparrow P \simeq_1^D \uparrow Q} \quad (\uparrow^{\simeq_1^D}) \end{array}$$

$$\frac{\frac{P \simeq_1^D Q \quad N \simeq_1^D M}{P \rightarrow N \simeq_1^D Q \rightarrow M} \quad (\rightarrow \simeq_1^D)}{\frac{\overrightarrow{\alpha^+} \cap \mathbf{fv} M = \emptyset \quad \mu : (\overrightarrow{\beta^+} \cap \mathbf{fv} M) \leftrightarrow (\overrightarrow{\alpha^+} \cap \mathbf{fv} N) \quad N \simeq_1^D [\mu]M}{\forall \overrightarrow{\alpha^+}.N \simeq_1^D \forall \overrightarrow{\beta^+}.M} \quad (\forall \simeq_1^D)}$$

$\boxed{P \simeq_1^D Q}$  Positive multi-quantified type equivalence

$$\frac{\frac{\overline{\alpha^+ \simeq_1^D \alpha^+} \quad (\text{VAR}^+ \simeq_1^D)}{\frac{N \simeq_1^D M}{\downarrow N \simeq_1^D \downarrow M} \quad (\downarrow \simeq_1^D)} \quad \frac{\overrightarrow{\alpha^-} \cap \mathbf{fv} Q = \emptyset \quad \mu : (\overrightarrow{\beta^-} \cap \mathbf{fv} Q) \leftrightarrow (\overrightarrow{\alpha^-} \cap \mathbf{fv} P) \quad P \simeq_1^D [\mu]Q}{\exists \overrightarrow{\alpha^-}.P \simeq_1^D \exists \overrightarrow{\beta^-}.Q} \quad (\exists \simeq_1^D)$$

$\boxed{P \simeq Q}$

### 3 Algorithm

#### 3.1 Normalization

##### 3.1.1 Ordering

$\boxed{\text{ord vars in } N = \vec{\alpha}}$

$$\frac{\alpha^- \in \text{vars}}{\text{ord vars in } \alpha^- = \alpha^-} \quad (\text{VAR}_{\in}^-)$$

$$\frac{\alpha^- \notin \text{vars}}{\text{ord vars in } \alpha^- = \cdot} \quad (\text{VAR}_{\notin}^-)$$

$$\frac{\text{ord vars in } P = \vec{\alpha}}{\text{ord vars in } \uparrow P = \vec{\alpha}} \quad (\uparrow)$$

$$\frac{\text{ord vars in } P = \vec{\alpha}_1 \quad \text{ord vars in } N = \vec{\alpha}_2}{\text{ord vars in } P \rightarrow N = \vec{\alpha}_1, (\vec{\alpha}_2 \setminus \vec{\alpha}_1)} \quad (\rightarrow)$$

$$\frac{\text{vars} \cap \overrightarrow{\alpha^+} = \emptyset \quad \text{ord vars in } N = \vec{\alpha}}{\text{ord vars in } \forall \overrightarrow{\alpha^+}.N = \vec{\alpha}} \quad (\forall)$$

$\boxed{\text{ord vars in } P = \vec{\alpha}}$

$$\frac{\alpha^+ \in \text{vars}}{\text{ord vars in } \alpha^+ = \alpha^+} \quad (\text{VAR}_{\in}^+)$$

$$\frac{\alpha^+ \notin \text{vars}}{\text{ord vars in } \alpha^+ = \cdot} \quad (\text{VAR}_{\notin}^+)$$

$$\frac{\text{ord vars in } N = \vec{\alpha}}{\text{ord vars in } \downarrow N = \vec{\alpha}} \quad (\downarrow)$$

$$\frac{\text{vars} \cap \overrightarrow{\alpha^-} = \emptyset \quad \text{ord vars in } P = \vec{\alpha}}{\text{ord vars in } \exists \overrightarrow{\alpha^-}.P = \vec{\alpha}} \quad (\exists)$$

$\boxed{\text{ord vars in } N = \vec{\alpha}}$

$$\frac{}{\text{ord vars in } \hat{\alpha}^- = \cdot} \quad (\text{UVar}^-)$$

$\boxed{\text{ord vars in } P = \vec{\alpha}}$

$$\frac{}{\text{ord vars in } \hat{\alpha}^+ = \cdot} \quad (\text{UVar}^+)$$

### 3.1.2 Quantifier Normalization

$$\boxed{\mathbf{nf}(N) = M}$$

$$\begin{array}{c} \overline{\mathbf{nf}(\alpha^-) = \alpha^-} \quad (\text{VAR}^-) \\ \frac{\mathbf{nf}(P) = Q}{\mathbf{nf}(\uparrow P) = \uparrow Q} \quad (\uparrow) \\ \frac{\mathbf{nf}(P) = Q \quad \mathbf{nf}(N) = M}{\mathbf{nf}(P \rightarrow N) = Q \rightarrow M} \quad (\rightarrow) \\ \frac{\mathbf{nf}(N) = N' \quad \text{ord } \overrightarrow{\alpha^+} \text{ in } N' = \overrightarrow{\alpha^{+'}}}{\mathbf{nf}(\overrightarrow{\forall \alpha^+}.N) = \overrightarrow{\forall \alpha^{+'}}.N'} \quad (\forall) \end{array}$$

$$\boxed{\mathbf{nf}(P) = Q}$$

$$\begin{array}{c} \overline{\mathbf{nf}(\alpha^+) = \alpha^+} \quad (\text{VAR}^+) \\ \frac{\mathbf{nf}(N) = M}{\mathbf{nf}(\downarrow N) = \downarrow M} \quad (\downarrow) \\ \frac{\mathbf{nf}(P) = P' \quad \text{ord } \overrightarrow{\alpha^-} \text{ in } P' = \overrightarrow{\alpha^{-'}}}{\mathbf{nf}(\overrightarrow{\exists \alpha^-}.P) = \overrightarrow{\exists \alpha^{-'}}.P'} \quad (\exists) \end{array}$$

$$\boxed{\mathbf{nf}(N) = M}$$

$$\boxed{\mathbf{nf}(P) = Q}$$

$$\overline{\mathbf{nf}(\hat{\alpha}^-) = \hat{\alpha}^-} \quad (\text{UVar}^-)$$

$$\overline{\mathbf{nf}(\hat{\alpha}^+) = \hat{\alpha}^+} \quad (\text{UVar}^+)$$

### 3.2 Singularity

$$\boxed{e_1 \text{ singular with } P} \quad \text{Positive Subtyping Constraint Entry Is Singular}$$

$$\begin{array}{c} \overline{\hat{\alpha}^+ : \approx P \text{ singular with } \mathbf{nf}(P)} \quad \text{SINGPEQ} \\ \overline{\hat{\alpha}^+ : \geq \overrightarrow{\exists \alpha^-}. \alpha^+ \text{ singular with } \alpha^+} \quad \text{SINGSUPVAR} \\ \overline{\hat{\alpha}^+ : \geq \overrightarrow{\exists \alpha^-}. \downarrow N \text{ singular with } \exists \alpha^-. \downarrow \alpha^-} \quad \text{SINGSUPSHIFT} \end{array}$$

$$\boxed{e_1 \text{ singular with } N} \quad \text{Negative Subtyping Constraint Entry Is Singular}$$

$$\overline{\hat{\alpha}^- : \approx N \text{ singular with } \mathbf{nf}(N)} \quad \text{SINGNEQ}$$

$$\boxed{SC \text{ singular with } \hat{\sigma}} \quad \text{Subtyping Constraint Is Singular}$$

### 3.3 Unification

$$\boxed{\Gamma; \Theta \models N \stackrel{u}{\simeq} M \models UC} \quad \text{Negative unification}$$

$$\begin{array}{c} \overline{\Gamma; \Theta \models \alpha^- \stackrel{u}{\simeq} \alpha^- \models .} \quad (\text{VAR}^{-\stackrel{u}{\simeq}}) \\ \frac{\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \models UC}{\Gamma; \Theta \models \uparrow P \stackrel{u}{\simeq} \uparrow Q \models UC} \quad (\uparrow^{\stackrel{u}{\simeq}}) \end{array}$$

$$\begin{array}{c}
\frac{\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC_1 \quad \Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC_2}{\Gamma; \Theta \models P \rightarrow N \stackrel{u}{\simeq} Q \rightarrow M \Rightarrow UC_1 \& UC_2} \quad (\rightarrow^{\simeq}) \\
\\
\frac{\Gamma, \vec{\alpha}^+; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC}{\Gamma; \Theta \models \forall \vec{\alpha}^+. N \stackrel{u}{\simeq} \forall \vec{\alpha}^+. M \Rightarrow UC} \quad (\forall^{\simeq}) \\
\\
\frac{\hat{\alpha}^-\{\Delta\} \in \Theta \quad \Delta \vdash N}{\Gamma; \Theta \models \hat{\alpha}^- \stackrel{u}{\simeq} N \Rightarrow (\hat{\alpha}^- : \approx N)} \quad (\text{UVar}^{-\simeq})
\end{array}$$

$\boxed{\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC}$  Positive unification

$$\begin{array}{c}
\frac{}{\Gamma; \Theta \models \alpha^+ \stackrel{u}{\simeq} \alpha^+ \Rightarrow} \quad (\text{Var}^{+\simeq}) \\
\\
\frac{\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC}{\Gamma; \Theta \models \downarrow N \stackrel{u}{\simeq} \downarrow M \Rightarrow UC} \quad (\downarrow^{\simeq}) \\
\\
\frac{\Gamma, \vec{\alpha}^-; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC}{\Gamma; \Theta \models \exists \vec{\alpha}^-. P \stackrel{u}{\simeq} \exists \vec{\alpha}^-. Q \Rightarrow UC} \quad (\exists^{\simeq}) \\
\\
\frac{\hat{\alpha}^+\{\Delta\} \in \Theta \quad \Delta \vdash P}{\Gamma; \Theta \models \hat{\alpha}^+ \stackrel{u}{\simeq} P \Rightarrow (\hat{\alpha}^+ : \approx P)} \quad (\text{UVar}^{+\simeq})
\end{array}$$

### 3.4 Algorithmic Subtyping

$\boxed{\Gamma; \Theta \models N \leq M \Rightarrow SC}$  Negative subtyping

$$\begin{array}{c}
\frac{}{\Gamma; \Theta \models \alpha^- \leq \alpha^- \Rightarrow} \quad (\text{Var}^{-\leq}) \\
\\
\frac{\Gamma; \Theta \models \mathbf{nf}(P) \stackrel{u}{\simeq} \mathbf{nf}(Q) \Rightarrow UC}{\Gamma; \Theta \models \uparrow P \leq \uparrow Q \Rightarrow UC} \quad (\uparrow^{\leq}) \\
\\
\frac{\Gamma; \Theta \models P \geq Q \Rightarrow SC_1 \quad \Gamma; \Theta \models N \leq M \Rightarrow SC_2 \quad \Theta \vdash SC_1 \& SC_2 = SC}{\Gamma; \Theta \models P \rightarrow N \leq Q \rightarrow M \Rightarrow SC} \quad (\rightarrow^{\leq}) \\
\\
\frac{\Gamma, \vec{\beta}^+; \Theta, \hat{\alpha}^+\{\Gamma, \vec{\beta}^+\} \models [\hat{\alpha}^+/\alpha^+] N \leq M \Rightarrow SC}{\Gamma; \Theta \models \forall \vec{\alpha}^+. N \leq \forall \vec{\beta}^+. M \Rightarrow SC \setminus \hat{\alpha}^+} \quad (\forall^{\leq})
\end{array}$$

$\boxed{\Gamma; \Theta \models P \geq Q \Rightarrow SC}$  Positive supertyping

$$\begin{array}{c}
\frac{}{\Gamma; \Theta \models \alpha^+ \geq \alpha^+ \Rightarrow} \quad (\text{Var}^{+\geq}) \\
\\
\frac{\Gamma; \Theta \models \mathbf{nf}(N) \stackrel{u}{\simeq} \mathbf{nf}(M) \Rightarrow UC}{\Gamma; \Theta \models \downarrow N \geq \downarrow M \Rightarrow UC} \quad (\downarrow^{\geq}) \\
\\
\frac{\Gamma, \vec{\beta}^-; \Theta, \hat{\alpha}^-\{\Gamma, \vec{\beta}^-\} \models [\hat{\alpha}^-/\alpha^-] P \geq Q \Rightarrow SC}{\Gamma; \Theta \models \exists \vec{\alpha}^-. P \geq \exists \vec{\beta}^-. Q \Rightarrow SC \setminus \hat{\alpha}^-} \quad (\exists^{\geq}) \\
\\
\frac{\hat{\alpha}^+\{\Delta\} \in \Theta \quad \mathbf{upgrade} \Gamma \vdash P \text{ to } \Delta = Q}{\Gamma; \Theta \models \hat{\alpha}^+ \geq P \Rightarrow (\hat{\alpha}^+ : \geq Q)} \quad (\text{UVar}^{+\geq})
\end{array}$$

### 3.5 Constraint Merge

Unification and subtyping constraints are by a list of constraint entries. Each entry restricts an unification variable in two possible ways: either stating that it must be equivalent to a certain type ( $\hat{\alpha}^+ : \approx P$  or  $\hat{\alpha}^- : \approx N$ ) or that it must be a (positive) supertype of a certain type ( $\hat{\alpha}^+ : \geq P$ ).

**Definition 1** (Matching Entries). *We call two entries matching if they are restricting the same unification variable.*

Two matching entries can be merged in the following way:

**Definition 2.**

$\boxed{\Gamma \vdash e_1 \& e_2 = e_3}$     *Subtyping Constraint Entry Merge*

$$\begin{array}{c}
\frac{\Gamma \models P_1 \vee P_2 = Q}{\Gamma \vdash (\hat{\alpha}^+ : \geq P_1) \& (\hat{\alpha}^+ : \geq P_2) = (\hat{\alpha}^+ : \geq Q)} \quad (\geq \&^+ \geq) \\
\\
\frac{\Gamma; \cdot \models P \geq Q \Rightarrow \cdot}{\Gamma \vdash (\hat{\alpha}^+ : \approx P) \& (\hat{\alpha}^+ : \geq Q) = (\hat{\alpha}^+ : \approx P)} \quad (\simeq \&^+ \geq) \\
\\
\frac{\Gamma; \cdot \models Q \geq P \Rightarrow \cdot}{\Gamma \vdash (\hat{\alpha}^+ : \geq P) \& (\hat{\alpha}^+ : \approx Q) = (\hat{\alpha}^+ : \approx Q)} \quad (\geq \&^+ \simeq) \\
\\
\frac{\mathbf{nf}(P) = \mathbf{nf}(P')}{\Gamma \vdash (\hat{\alpha}^+ : \approx P) \& (\hat{\alpha}^+ : \approx P') = (\hat{\alpha}^+ : \approx P)} \quad (\simeq \&^+ \simeq) \\
\\
\frac{\mathbf{nf}(N) = \mathbf{nf}(N')}{\Gamma \vdash (\hat{\alpha}^- : \approx N_1) \& (\hat{\alpha}^- : \approx N') = (\hat{\alpha}^- : \approx N)} \quad (\simeq \&^- \simeq)
\end{array}$$

To merge two constraints, we merge each pair of matching entries, and unite the results. **Ilya:** add contexts

**Definition 3.**  $SC_1 \& SC_2 = \{e_1 \& e_2 \mid e_1 \in SC_1, e_2 \in SC_2, \text{ s.t. } e_1 \text{ matches with } e_2\}$   
 $\cup \{e_1 \mid e_1 \in SC_1, \text{ s.t. } \forall e_2 \in SC_2, e_1 \text{ does not match with } e_2\}$   
 $\cup \{e_2 \mid e_2 \in SC_2, \text{ s.t. } \forall e_1 \in SC_1, e_2 \text{ does not match with } e_2\}$

### 3.6 Constraint Satisfaction

$\boxed{\Gamma \vdash P : e}$     Positive type satisfies with the subtyping constraint entry

$$\begin{array}{c}
\frac{\Gamma \vdash P \geq_1 Q}{\Gamma \vdash P : (\hat{\alpha}^+ : \geq Q)} \quad \text{SATSCESUP} \\
\\
\frac{\Gamma \vdash P \simeq_1 Q}{\Gamma \vdash P : (\hat{\alpha}^+ : \approx Q)} \quad \text{SATSCEPEQ}
\end{array}$$

$\boxed{\Gamma \vdash N : e}$     Negative type satisfies with the subtyping constraint entry

$$\frac{\Gamma \vdash N \simeq_1 M}{\Gamma \vdash N : (\hat{\alpha}^- : \approx M)} \quad \text{SATSCENEQ}$$

### 3.7 Least Upper Bound

$\boxed{\Gamma \models P_1 \vee P_2 = Q}$     Least Upper Bound (Least Common Supertype)

$$\begin{array}{c}
\frac{}{\Gamma \models \alpha^+ \vee \alpha^+ = \alpha^+} \quad (\text{VAR}^\vee) \\
\\
\frac{\Gamma, \cdot \models \mathbf{nf}(\downarrow N) \stackrel{a}{\simeq} \mathbf{nf}(\downarrow M) \Rightarrow (\Xi, P, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \downarrow N \vee \downarrow M = \exists \overrightarrow{\alpha^-}. [\overrightarrow{\alpha^-} / \Xi] P} \quad (\downarrow^\vee) \\
\\
\frac{\Gamma, \overrightarrow{\alpha^-}, \overrightarrow{\beta^-} \models P_1 \vee P_2 = Q}{\Gamma \models \exists \overrightarrow{\alpha^-}. P_1 \vee \exists \overrightarrow{\beta^-}. P_2 = Q} \quad (\exists^\vee)
\end{array}$$

$\boxed{\text{upgrade } \Gamma \vdash P \text{ to } \Delta = Q}$

$$\frac{\Gamma = \Delta, \overrightarrow{\alpha^\pm} \quad \overrightarrow{\beta^\pm} \text{ is fresh} \quad \overrightarrow{\gamma^\pm} \text{ is fresh} \quad \Delta, \overrightarrow{\beta^\pm}, \overrightarrow{\gamma^\pm} \models [\overrightarrow{\beta^\pm} / \overrightarrow{\alpha^\pm}] P \vee [\overrightarrow{\gamma^\pm} / \overrightarrow{\alpha^\pm}] P = Q}{\text{upgrade } \Gamma \vdash P \text{ to } \Delta = Q} \quad (\text{UPG})$$



### 3.8 Antiunification

$$\boxed{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)}$$

$$\frac{}{\Gamma \models \alpha^+ \stackrel{a}{\simeq} \alpha^+ \Rightarrow (\cdot, \alpha^+, \cdot, \cdot)} \quad (\text{VAR}^{+\stackrel{a}{\simeq}})$$

$$\frac{\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \downarrow N_1 \stackrel{a}{\simeq} \downarrow N_2 \Rightarrow (\Xi, \downarrow \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)} \quad (\downarrow^{\stackrel{a}{\simeq}})$$

$$\frac{\overrightarrow{\alpha^-} \cap \Gamma = \emptyset \quad \Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \exists \overrightarrow{\alpha^-}. P_1 \stackrel{a}{\simeq} \exists \overrightarrow{\alpha^-}. P_2 \Rightarrow (\Xi, \exists \overrightarrow{\alpha^-}. \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)} \quad (\exists^{\stackrel{a}{\simeq}})$$

$$\boxed{\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)}$$

$$\frac{}{\Gamma \models \alpha^- \stackrel{a}{\simeq} \alpha^- \Rightarrow (\cdot, \alpha^-, \cdot, \cdot)} \quad (\text{VAR}^{-\stackrel{a}{\simeq}})$$

$$\frac{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \uparrow P_1 \stackrel{a}{\simeq} \uparrow P_2 \Rightarrow (\Xi, \uparrow \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)} \quad (\uparrow^{\stackrel{a}{\simeq}})$$

$$\frac{\overrightarrow{\alpha^+} \cap \Gamma = \emptyset \quad \Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \forall \overrightarrow{\alpha^+}. N_1 \stackrel{a}{\simeq} \forall \overrightarrow{\alpha^+}. N_2 \Rightarrow (\Xi, \forall \overrightarrow{\alpha^+}. \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)} \quad (\forall^{\stackrel{a}{\simeq}})$$

$$\frac{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi_1, \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2) \quad \Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi_2, \mathbf{M}, \hat{\tau}'_1, \hat{\tau}'_2)}{\Gamma \models P_1 \rightarrow N_1 \stackrel{a}{\simeq} P_2 \rightarrow N_2 \Rightarrow (\Xi_1 \cup \Xi_2, \mathbf{Q} \rightarrow \mathbf{M}, \hat{\tau}_1 \cup \hat{\tau}'_1, \hat{\tau}_2 \cup \hat{\tau}'_2)} \quad (\rightarrow^{\stackrel{a}{\simeq}})$$

$$\frac{\text{if any other rule is not applicable} \quad \Gamma \vdash N \quad \Gamma \vdash M}{\Gamma \models N \stackrel{a}{\simeq} M \Rightarrow (\hat{\alpha}_{\{N,M\}}^-, \hat{\alpha}_{\{N,M\}}^-, (\hat{\alpha}_{\{N,M\}}^- : \approx N), (\hat{\alpha}_{\{N,M\}}^- : \approx M))} \quad (\text{AU}^-)$$

### 3.9 Typing

$$\boxed{\Gamma; \Phi \models v : P} \quad \text{Positive type inference}$$

$$\frac{x : P \in \Phi}{\Gamma; \Phi \models x : \mathbf{nf}(P)} \quad \text{ATVAR}$$

$$\frac{\Gamma; \Phi \models c : N}{\Gamma; \Phi \models \{c\} : \downarrow N} \quad \text{ATTTHUNK}$$

$$\frac{\Gamma; \Phi \models v : P \quad \Gamma; \cdot \models \mathbf{Q} \succcurlyeq P \Rightarrow \cdot}{\Gamma; \Phi \models (v : Q) : \mathbf{nf}(Q)} \quad \text{ATPANNOT}$$

$$\boxed{\Gamma; \Phi \models c : N} \quad \text{Negative type inference}$$

$$\frac{\Gamma; \Phi \models c : N \quad \Gamma; \cdot \models \mathbf{N} \preccurlyeq M \Rightarrow \cdot}{\Gamma; \Phi \models (c : M) : \mathbf{nf}(M)} \quad \text{ATNANNOT}$$

$$\frac{\Gamma; \Phi, x : P \models c : N}{\Gamma; \Phi \models \lambda x : P. c : \mathbf{nf}(P \rightarrow N)} \quad \text{ATTLAM}$$

$$\frac{\Gamma, \alpha^+; \Phi \models c : N}{\Gamma; \Phi \models \Lambda \alpha^+. c : \mathbf{nf}(\forall \alpha^+. N)} \quad \text{ATTLAM}$$

$$\frac{\Gamma; \Phi \models v : P}{\Gamma; \Phi \models \mathbf{return} v : \uparrow P} \quad \text{ATRETURN}$$

$$\frac{\Gamma; \Phi \models v : P \quad \Gamma; \Phi, x : P \models c : N}{\Gamma; \Phi \models \mathbf{let} x = v; c : N} \quad \text{ATVARLET}$$

$$\frac{\Gamma \vdash P \quad \Gamma; \Phi \models v : \downarrow M \quad \Gamma; \Phi; \cdot \models \mathbf{M} \bullet \vec{v} \Rightarrow \uparrow \mathbf{Q} \Rightarrow \Theta; SC_1 \quad \Gamma; \Theta \models \uparrow \mathbf{Q} \preccurlyeq \uparrow P \Rightarrow SC_2 \quad \Theta \vdash SC_1 \& SC_2 = SC \quad \Gamma; \Phi, x : P \models c : N}{\Gamma; \Phi \models \mathbf{let} x : P = v(\vec{v}); c : N} \quad \text{ATAPPLETANN}$$

$$\begin{array}{c}
\frac{\Gamma; \Phi \models v : \downarrow M \quad \Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q \equiv \Theta; SC \quad \text{uv } Q \subseteq \text{dom}(SC) \quad \mathbf{SC}|_{\text{uv } Q} \text{ singular with } \hat{\sigma}}{\Gamma; \Phi, x : [\hat{\sigma}]Q \models c : N} \quad \text{ATAPPLET} \\
\\
\frac{\Gamma; \Phi \models v : \exists \alpha^-. P \quad \Gamma, \alpha^-; \Phi, x : P \models c : N \quad \Gamma \vdash N}{\Gamma; \Phi \models \mathbf{let}^3(\alpha^-, x) = v; c : N} \quad \text{ATUNPACK}
\end{array}$$

$$\boxed{\Gamma; \Phi; \Theta_1 \models N \bullet \vec{v} \Rightarrow M \equiv \Theta_2; SC} \quad \text{Application type inference}$$

$$\begin{array}{c}
\frac{}{\Gamma; \Phi; \Theta \models N \bullet \cdot \Rightarrow \mathbf{nf}(N) \equiv \Theta; \cdot} \quad \text{ATEMPTYAPP} \\
\\
\frac{\Gamma; \Phi \models v : P \quad \Gamma; \Theta \models Q \triangleright P \equiv SC_1 \quad \Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC_2 \quad \Theta \vdash SC_1 \& SC_2 = SC}{\Gamma; \Phi; \Theta \models Q \rightarrow N \bullet v, \vec{v} \Rightarrow M \equiv \Theta'; SC} \quad \text{ATARROWAPP} \\
\\
\frac{\Gamma; \Phi; \Theta, \vec{\alpha}^+ \{ \Gamma \} \models [\vec{\alpha}^+ / \alpha^+] N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC \quad \vec{v} \neq \cdot \quad \alpha^+ \neq \cdot}{\Gamma; \Phi; \Theta \models \forall \alpha^+. N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC} \quad \text{ATFORALLAPP}
\end{array}$$

## 4 Proofs

### 4.1 Substitution

**Lemma 1** (Substitution strengthening). *Restricting the substitution to the free variables of the substitution subject does not affect the result. Suppose that  $\Gamma_2 \vdash \sigma : \Gamma_1$ . Then*

- + if  $\Gamma_1 \vdash P$  then  $[\sigma]P = [\sigma|_{\mathbf{fv } P}]P$ ,
- if  $\Gamma_1 \vdash N$  then  $[\sigma]N = [\sigma|_{\mathbf{fv } N}]N$

*Proof.* **Ilya:** todo □

**Corollary 1** (Substitution preserves equivalence). *Suppose that  $\Gamma \vdash \sigma : \Gamma_1$ . Then*

- + if  $\Gamma_1 \vdash P$ ,  $\Gamma_1 \vdash Q$ , and  $\Gamma_1 \vdash P \simeq_1^{\leq} Q$  then  $\Gamma \vdash [\sigma]P \simeq_1^{\leq} [\sigma]Q$
- if  $\Gamma_1 \vdash N$ ,  $\Gamma_1 \vdash M$ , and  $\Gamma_1 \vdash N \simeq_1^{\leq} M$  then  $\Gamma \vdash [\sigma]N \simeq_1^{\leq} [\sigma]M$

**Lemma 2.** *Suppose that  $\Gamma' \subseteq \Gamma$ ,  $\sigma_1$  and  $\sigma_2$  are substitutions of signature  $\Gamma \vdash \sigma_i : \Gamma'$ . Then*

- + for a type  $\Gamma \vdash P$ , if  $\Gamma \vdash [\sigma_1]P \simeq_1^{\leq} [\sigma_2]P$  then  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : \mathbf{fv } P \cap \Gamma'$ ;
- for a type  $\Gamma \vdash N$ , if  $\Gamma \vdash [\sigma_1]N \simeq_1^{\leq} [\sigma_2]N$  then  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : \mathbf{fv } N \cap \Gamma'$ .

*Proof.* Let us make an additional assumption that  $\sigma_1$ ,  $\sigma_2$ , and the mentioned types are normalized. If they are not, we normalize them first.

Notice that the normalization preserves the set of free variables (lemma 16), well-formedness (corollary 12), and equivalence (lemma 30), and distributes over substitution (lemma 18). This way, the assumed and desired properties are equivalent to their normalized versions.

We prove it by induction on the structure of  $P$  and mutually,  $N$ . Let us consider the shape of this type.

**Case 1.**  $P = \alpha^+ \in \Gamma'$ . Then  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : \mathbf{fv } P \cap \Gamma'$  means  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : \alpha^+$ , i.e.  $\Gamma \vdash [\sigma_1]\alpha^+ \simeq_1^{\leq} [\sigma_2]\alpha^+$ , which holds by assumption.

**Case 2.**  $P = \alpha^+ \in \Gamma \setminus \Gamma'$ . Then  $\mathbf{fv } P \cap \Gamma' = \emptyset$ , so  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : \mathbf{fv } P \cap \Gamma'$  holds vacuously.

**Case 3.**  $P = \downarrow N$ . Then the induction hypothesis is applicable to type  $N$ :

1.  $N$  is normalized,
2.  $\Gamma \vdash N$  by inversion of  $\Gamma \vdash \downarrow N$ ,
3.  $\Gamma \vdash [\sigma_1]N \simeq_1^{\leq} [\sigma_2]N$  holds by inversion of  $\Gamma \vdash [\sigma_1]\downarrow N \simeq_1^{\leq} [\sigma_2]\downarrow N$ , i.e.  $\Gamma \vdash \downarrow[\sigma_1]N \simeq_1^{\leq} \downarrow[\sigma_2]N$ .

This way, we obtain  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : \mathbf{fv } N \cap \Gamma'$ , which implies the required equivalence since  $\mathbf{fv } P \cap \Gamma' = \mathbf{fv } \downarrow N \cap \Gamma' = \mathbf{fv } N \cap \Gamma'$ .

**Case 4.**  $P = \exists \vec{\alpha}^-. Q$  Then the induction hypothesis is applicable to type  $Q$  well-formed in context  $\Gamma, \vec{\alpha}^-$ :

1.  $\Gamma' \subseteq \Gamma, \vec{\alpha}^-$  since  $\Gamma' \subseteq \Gamma$ ,
2.  $\Gamma, \vec{\alpha}^- \vdash \sigma_i : \Gamma'$  by weakening,
3.  $Q$  is normalized,
4.  $\Gamma, \vec{\alpha}^- \vdash Q$  by inversion of  $\Gamma \vdash \exists \vec{\alpha}^-. Q$ ,
5. Notice that  $[\sigma_i] \exists \vec{\alpha}^-. Q$  is normalized, and thus,  $[\sigma_1] \exists \vec{\alpha}^-. Q \simeq_1^D [\sigma_2] \exists \vec{\alpha}^-. Q$  implies  $[\sigma_1] \exists \vec{\alpha}^-. Q = [\sigma_2] \exists \vec{\alpha}^-. Q$  (by lemma 30).  
This equality means  $[\sigma_1]Q = [\sigma_2]Q$ , which implies  $\Gamma \vdash [\sigma_1]Q \simeq_1^{\leq} [\sigma_2]Q$ .

**Case 5.**  $N = P \rightarrow M$

□

**Lemma 3** (Substitutions equivalent on the metavariables). *Suppose that  $\Gamma \vdash^{\exists} \Theta$ ,  $\hat{\sigma}_1$  and  $\hat{\sigma}_2$  are substitutions of signature  $\Theta \vdash \hat{\sigma}_i$ . Then*

- + for a type  $\Gamma; \Theta \vdash P$ , if  $\Gamma \vdash [\hat{\sigma}_1]P \simeq_1^{\leq} [\hat{\sigma}_2]P$  then  $\Theta \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : \mathbf{uv} P$ ;
- for a type  $\Gamma; \Theta \vdash N$ , if  $\Gamma \vdash [\hat{\sigma}_1]N \simeq_1^{\leq} [\hat{\sigma}_2]N$  then  $\Theta \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : \mathbf{uv} N$ .

*Proof.* The proof is a trivial structural induction on  $\Gamma; \Theta \vdash P$  and mutually, on  $\Gamma; \Theta \vdash N$ . □

## 4.2 Declarative Subtyping

**Lemma 4** (Free Variable Propagation). *In the judgments of negative subtyping or positive supertyping, free variables propagate left-to-right. For a context  $\Gamma$ ,*

- - if  $\Gamma \vdash N \leq_1 M$  then  $\mathbf{fv}(N) \subseteq \mathbf{fv}(M)$
- + if  $\Gamma \vdash P \geq_1 Q$  then  $\mathbf{fv}(P) \subseteq \mathbf{fv}(Q)$

*Proof.* Mutual induction on  $\Gamma \vdash N \leq_1 M$  and  $\Gamma \vdash P \geq_1 Q$ .

**Case 1.**  $\Gamma \vdash \alpha^- \leq_1 \alpha^-$

It is self-evident that  $\alpha^- \subseteq \alpha^-$ .

**Case 2.**  $\Gamma \vdash \uparrow P \leq_1 \uparrow Q$  From the inversion (and unfolding  $\Gamma \vdash P \simeq_1^{\leq} Q$ ), we have  $\Gamma \vdash P \geq_1 Q$ . Then by the induction hypothesis,  $\mathbf{fv}(P) \subseteq \mathbf{fv}(Q)$ . The desired inclusion holds, since  $\mathbf{fv}(\uparrow P) = \mathbf{fv}(P)$  and  $\mathbf{fv}(\uparrow Q) = \mathbf{fv}(Q)$ .

**Case 3.**  $\Gamma \vdash P \rightarrow N \leq_1 Q \rightarrow M$  The induction hypothesis applied to the premises gives:  $\mathbf{fv}(P) \subseteq \mathbf{fv}(Q)$  and  $\mathbf{fv}(N) \subseteq \mathbf{fv}(M)$ . Then  $\mathbf{fv}(P \rightarrow N) = \mathbf{fv}(P) \cup \mathbf{fv}(N) \subseteq \mathbf{fv}(Q) \cup \mathbf{fv}(M) = \mathbf{fv}(Q \rightarrow M)$ .

**Case 4.**  $\Gamma \vdash \forall \vec{\alpha}^+. N \leq_1 \forall \vec{\beta}^+. M$   
 $\mathbf{fv} \forall \vec{\alpha}^+. N \subseteq \mathbf{fv}([\vec{P}/\vec{\alpha}^+]N) \setminus \vec{\beta}^+$  here  $\vec{\beta}^+$  is excluded by the premise  $\mathbf{fv} N \cap \vec{\beta}^+ = \emptyset$   
 $\subseteq \mathbf{fv} M \setminus \vec{\beta}^+$  by the induction hypothesis,  $\mathbf{fv}([\vec{P}/\vec{\alpha}^+]N) \subseteq \mathbf{fv} M$   
 $\subseteq \mathbf{fv} \forall \vec{\beta}^+. M$

**Case 5.** The positive cases are symmetric.

□

**Corollary 2** (Free Variables of mutual subtypes).

- If  $\Gamma \vdash N \simeq_1^{\leq} M$  then  $\mathbf{fv} N = \mathbf{fv} M$ ,
- + If  $\Gamma \vdash P \simeq_1^{\leq} Q$  then  $\mathbf{fv} P = \mathbf{fv} Q$

**Lemma 5** (Subtypes and supertypes of a variable). *Assuming  $\Gamma \vdash \alpha^-$ ,  $\Gamma \vdash \alpha^+$ ,  $\Gamma \vdash N$ , and  $\Gamma \vdash P$ ,*

- + if  $\Gamma \vdash P \geq_1 \alpha^+$  or  $\Gamma \vdash \alpha^+ \geq_1 P$  then  $P = \exists \vec{\alpha}^+. \alpha^+$  (for some potentially empty  $\vec{\alpha}^+$ )
- if  $\Gamma \vdash N \leq_1 \alpha^-$  or  $\Gamma \vdash \alpha^- \leq_1 N$  then  $N = \forall \vec{\alpha}^+. \alpha^-$  (for some potentially empty  $\vec{\alpha}^+$ )

*Proof.* We prove by induction on the tree inferring  $\Gamma \vdash P \geq_1 \alpha^+$  or  $\Gamma \vdash \alpha^+ \geq_1 P$  or  $\Gamma \vdash N \leq_1 \alpha^-$  or  $\Gamma \vdash \alpha^- \leq_1 N$ .  
Let us consider which of these judgments the tree is inferring.

**Case 1.**  $\Gamma \vdash P \geq_1 \alpha^+$

If the size of the inference tree is 1 then the only rule that can infer it is Rule  $(\text{Var}^{+\geq_1})$ , which implies that  $P = \alpha^+$ .

If the size of the inference tree is  $> 1$  then the last rule inferring it must be Rule  $(\exists^{\geq_1})$ . By inverting this rule,  $P = \exists \vec{\alpha}^-. P'$  where  $P'$  does not start with  $\exists$  and  $\Gamma \vdash [\vec{N}/\vec{\alpha}^-] P' \geq_1 \alpha^+$  for some  $\Gamma, \vec{\beta}^- \vdash N_i$ .

By the induction hypothesis,  $[\vec{N}/\vec{\alpha}^-] P' = \exists \vec{\beta}^-. \alpha^+$ . Notice that  $P'$  must be a variable, because  $P'$  does not start with  $\exists$ , nor does it start with  $\uparrow$  (otherwise,  $[\vec{N}/\vec{\alpha}^-] P'$  would also started with  $\uparrow$  and would not be equal to  $\exists \vec{\beta}^-. \alpha^+$ ). Since  $P'$  is a *positive* variable,  $[\vec{N}/\vec{\alpha}^-] P' = P'$ , and then  $P' = \exists \vec{\beta}^-. \alpha^+$  means that  $P' = \alpha^+$ . This way,  $P = \exists \vec{\alpha}^-. P' = \exists \vec{\alpha}^-. \alpha^+$ , as required.

**Case 2.**  $\Gamma \vdash \alpha^+ \geq_1 P$

If the size of the inference tree is 1 then the only rule that can infer it is Rule  $(\text{Var}^{+\geq_1})$ , which implies that  $P = \alpha^+$ .

If the size of the inference tree is  $> 1$  then the last rule inferring it must be Rule  $(\exists^{\geq_1})$ . By inverting this rule,  $P = \exists \vec{\beta}^-. Q$  where and  $\Gamma, \vec{\beta}^- \vdash \alpha^+ \geq_1 Q$ .

By the induction hypothesis,  $Q = \exists \vec{\beta}'^-. \alpha^+$ . This way,  $P = \exists \vec{\beta}^-. Q = \exists \vec{\beta}^-. \exists \vec{\beta}'^-. \alpha^+$ , as required.

**Case 3.** The negative cases ( $\Gamma \vdash N \leq_1 \alpha^-$  and  $\Gamma \vdash \alpha^- \leq_1 N$ ) are proved analogously. □

**Corollary 3** (Variables have no proper subtypes and supertypes). *Assuming that all mentioned types are well-formed in  $\Gamma$ ,*

$$\begin{aligned} \Gamma \vdash P \geq_1 \alpha^+ &\iff P = \exists \vec{\beta}^-. \alpha^+ \iff \Gamma \vdash P \simeq_1^{\leq} \alpha^+ \iff P \simeq_1^D \alpha^+ \\ \Gamma \vdash \alpha^+ \geq_1 P &\iff P = \exists \vec{\beta}^-. \alpha^+ \iff \Gamma \vdash P \simeq_1^{\leq} \alpha^+ \iff P \simeq_1^D \alpha^+ \\ \Gamma \vdash N \leq_1 \alpha^- &\iff N = \forall \vec{\beta}^+. \alpha^- \iff \Gamma \vdash N \simeq_1^{\leq} \alpha^- \iff N \simeq_1^D \alpha^- \\ \Gamma \vdash \alpha^- \leq_1 N &\iff N = \forall \vec{\beta}^+. \alpha^- \iff \Gamma \vdash N \simeq_1^{\leq} \alpha^- \iff N \simeq_1^D \alpha^- \end{aligned}$$

*Proof.* Notice that  $\Gamma \vdash \exists \vec{\alpha}^-. \alpha^+ \simeq_1^{\leq} \alpha^+$  and  $\exists \vec{\alpha}^-. \alpha^+ \simeq \alpha^+$  and apply lemma 5. Ilya: fix □

**Lemma 6** (Reflexivity of subtyping). *Assuming all the types are well-formed in  $\Gamma$ ,*

–  $\Gamma \vdash N \leq_1 N$

+  $\Gamma \vdash P \geq_1 P$

*Proof.* Let us prove it by the size of  $N$  and mutually,  $P$ .

**Case 1.**  $N = \alpha^-$

Then  $\Gamma \vdash \alpha^- \leq_1 \alpha^-$  is inferred immediately by Rule  $(\text{Var}^{-\leq_1})$ .

**Case 2.**  $N = \forall \vec{\alpha}^+. N'$  where  $\vec{\alpha}^+$  is not empty

First, we rename  $\vec{\alpha}^+$  to fresh  $\vec{\beta}^+$  in  $\forall \vec{\alpha}^+. N'$  to avoid name clashes:  $\forall \vec{\alpha}^+. N' = \forall \vec{\beta}^+. [\vec{\alpha}^+/\vec{\beta}^+] N'$ . Then to infer  $\Gamma \vdash \forall \vec{\alpha}^+. N' \leq_1 \forall \vec{\beta}^+. [\vec{\alpha}^+/\vec{\beta}^+] N'$  we can apply Rule  $(\forall^{\leq_1})$ , instantiating  $\vec{\alpha}^+$  with  $\vec{\beta}^+$ :

- $\text{fv } N \cap \vec{\beta}^+ = \emptyset$  by choice of  $\vec{\beta}^+$ ,
- $\Gamma, \vec{\beta}^+ \vdash \beta_i^+$ ,
- $\Gamma, \vec{\beta}^+ \vdash [\vec{\beta}^+/\vec{\alpha}^+] N' \leq_1 [\vec{\beta}^+/\vec{\alpha}^+] N'$  by the induction hypothesis, since the size of  $[\vec{\beta}^+/\vec{\alpha}^+] N'$  is equal to the size of  $N'$ , which is smaller than the size of  $N = \forall \vec{\alpha}^+. N'$ .

**Case 3.**  $N = P \rightarrow M$

Then  $\Gamma \vdash P \rightarrow M \leq_1 P \rightarrow M$  is inferred by Rule  $(\rightarrow^{\leq_1})$ , since  $\Gamma \vdash P \geq_1 P$  and  $\Gamma \vdash M \leq_1 M$  hold the induction hypothesis.

**Case 4.**  $N = \uparrow P$

Then  $\Gamma \vdash \uparrow P \leq_1 \uparrow P$  is inferred by Rule  $(\uparrow^{\leq_1})$ , since  $\Gamma \vdash P \geq_1 P$  holds by the induction hypothesis.

**Case 5.** The positive cases are symmetric to the negative ones. □

**Lemma 7** (Substitution preserves subtyping). *Assuming that all mentioned types are well-formed in  $\Gamma$ , and  $\Gamma' \vdash \sigma : \Gamma$ , where  $\Gamma'$  is disjoint from  $\Gamma$ ,*

- $-$  If  $\Gamma \vdash N \leq_1 M$  then  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]M$
- $+$  If  $\Gamma \vdash P \geq_1 Q$  then  $\Gamma' \vdash [\sigma]P \geq_1 [\sigma]Q$

*Proof.* We prove it by induction on the size of the derivation of  $\Gamma \vdash N \leq_1 M$  and mutually,  $\Gamma \vdash P \geq_1 Q$ . Let us consider the last rule used in the derivation:

**Case 1.** Rule ( $\text{Var}^{-\leq_1}$ ). Then by inversion,  $N = \alpha^-$  and  $M = \alpha^-$ . By reflexivity of subtyping (lemma 6), we have  $\Gamma' \vdash [\sigma]\alpha^- \leq_1 [\sigma]\alpha^-$ , i.e.  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]M$ , as required.

**Case 2.** Rule ( $\forall^{\leq_1}$ ). Then by inversion,  $N = \forall \vec{\alpha}^+. N'$ ,  $M = \forall \vec{\beta}^+. M'$ , where  $\vec{\alpha}^+$  or  $\vec{\beta}^+$  is not empty. Moreover,  $\Gamma, \vec{\beta}^+ \vdash [\vec{P}/\vec{\alpha}^+]N' \leq_1 M'$  for some  $\Gamma, \vec{\beta}^+ \vdash \vec{P}$ , and  $\text{fv } N \cap \vec{\beta}^+ = \emptyset$ .

Notice that since the derivation of  $\Gamma, \vec{\beta}^+ \vdash [\vec{P}/\vec{\alpha}^+]N' \leq_1 M'$  is a subderivation of the derivation of  $\Gamma \vdash N \leq_1 M$ , its size is smaller, and hence, the induction hypothesis applies:  $\Gamma', \vec{\beta}^+ \vdash [\sigma][\vec{P}/\vec{\alpha}^+]N' \leq_1 [\sigma]M'$ .

First, let us assume that  $\vec{\alpha}^+ \cap \Gamma' = \emptyset$  and  $\vec{\beta}^+ \cap \Gamma' = \emptyset$  (otherwise, we rename  $\vec{\alpha}^+$  and  $\vec{\beta}^+$  to fresh  $\vec{\alpha}^{+'}$  and  $\vec{\beta}^{+'}$ ). Then  $[\sigma]\forall \vec{\alpha}^+. N' = \forall \vec{\alpha}^+. [\sigma]N'$  and  $[\sigma]\forall \vec{\beta}^+. M' = \forall \vec{\beta}^+. [\sigma]M'$ , which means that the required  $\Gamma' \vdash [\sigma]\forall \vec{\alpha}^+. N' \leq_1 [\sigma]\forall \vec{\beta}^+. M'$  is rewritten as  $\Gamma' \vdash \forall \vec{\alpha}^+. [\sigma]N' \leq_1 \forall \vec{\beta}^+. [\sigma]M'$ .

To infer it, we apply Rule ( $\forall^{\leq_1}$ ), instantiating  $\alpha_i^+$  with  $[\sigma]P_i$ :

- $\text{fv } N \cap \vec{\beta}^+ = \emptyset$  as noted before, from the inversion;
- $\Gamma', \vec{\beta}^+ \vdash [\sigma]P_i$ , by corollary 6 since from the inversion,  $\Gamma, \vec{\beta}^+ \vdash P_i$ ;
- $\Gamma, \vec{\beta}^+ \vdash [[\sigma]\vec{P}/\vec{\alpha}^+][\sigma]N' \leq_1 [\sigma]M'$  holds because  $[[\sigma]\vec{P}/\vec{\alpha}^+][\sigma]N' = [\sigma][\vec{P}/\vec{\alpha}^+]N$  (since  $\vec{\alpha}^+ \cap \Gamma = \emptyset$ ), and  $\Gamma', \vec{\beta}^+ \vdash [\sigma][\vec{P}/\vec{\alpha}^+]N' \leq_1 [\sigma]M'$  holds by the induction hypothesis.

**Case 3.** Rule ( $\rightarrow^{\leq_1}$ ). Then by inversion,  $N = P \rightarrow N_1$ ,  $M = Q \rightarrow M_1$ ,  $\Gamma \vdash P \geq_1 Q$ , and  $\Gamma \vdash N_1 \leq_1 M_1$ . And by the induction hypothesis,  $\Gamma' \vdash [\sigma]P \geq_1 [\sigma]Q$  and  $\Gamma' \vdash [\sigma]N_1 \leq_1 [\sigma]M_1$ . Then  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]M$ , i.e.  $\Gamma' \vdash [\sigma]P \rightarrow [\sigma]N_1 \leq_1 [\sigma]Q \rightarrow [\sigma]M_1$ , is inferred by Rule ( $\rightarrow^{\leq_1}$ ).

**Case 4.** Rule ( $\uparrow^{\leq_1}$ ). Then by inversion,  $N = \uparrow P$ ,  $M = \uparrow Q$ , and  $\Gamma \vdash P \simeq_1^{\leq} Q$ , which by inversion means that  $\Gamma \vdash P \geq_1 Q$  and  $\Gamma \vdash Q \geq_1 P$ . Then the induction hypothesis applies, and we have  $\Gamma' \vdash [\sigma]P \geq_1 [\sigma]Q$  and  $\Gamma' \vdash [\sigma]Q \geq_1 [\sigma]P$ . Then by sequential application of Rule ( $\simeq_1^{\leq}$ ) and Rule ( $\uparrow^{\leq_1}$ ) to these judgments, we have  $\Gamma' \vdash \uparrow[\sigma]P \leq_1 \uparrow[\sigma]Q$ , i.e.  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]M$ , as required.

**Case 5.** The positive cases are proved symmetrically. □

**Lemma 8** (Strong transitivity of subtyping). *Assuming all the types are well-formed in  $\Gamma$ ,*

- $-$  if  $\Gamma \vdash N \leq_1 M_1$ ,  $\Gamma \vdash M_2 \leq_1 K$ , and for  $\Gamma' \vdash \sigma : \Gamma$ ,  $[\sigma]M_1 = [\sigma]M_2$  then  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]K$
- $+$  if  $\Gamma \vdash P \geq_1 Q_1$ ,  $\Gamma \vdash Q_2 \geq_1 R$ , and for  $\Gamma' \vdash \sigma : \Gamma$ ,  $[\sigma]Q_1 = [\sigma]Q_2$  then  $\Gamma' \vdash [\sigma]P \geq_1 [\sigma]R$

*Proof.* We prove it by induction on  $\text{depth}(\Gamma \vdash N \leq_1 M_1) + \text{depth}(\Gamma \vdash M_2 \leq_1 K)$  and mutually, on  $\text{depth}(\Gamma \vdash P \geq_1 Q_1) + \text{depth}(\Gamma \vdash Q_2 \geq_1 R)$ .

**Case 1.** First, let us consider the case when the last rule applied to infer  $\Gamma \vdash N \leq_1 M_1$  is Rule ( $\text{Var}^{-\leq_1}$ ). Notice that this case covers the base of the induction: the sum of the depths is minimal when both derivations are inferred by the non-recursive rules (i.e. Rule ( $\text{Var}^{-\leq_1}$ )).

By inverting the rule,  $N = \alpha^-$  and  $M_1 = \alpha^-$ . Then  $[\sigma]N = [\sigma]\alpha^- = [\sigma]M_1 = [\sigma]M_2$ . And  $\Gamma' \vdash [\sigma]M_2 \leq_1 [\sigma]K$  by hello □

**Corollary 4** (Transitivity of subtyping). *Assuming the types are well-formed in  $\Gamma$ ,*

- $-$  if  $\Gamma \vdash N_1 \leq_1 N_2$  and  $\Gamma \vdash N_2 \leq_1 N_3$  then  $\Gamma \vdash N_1 \leq_1 N_3$ ,
- $+$  if  $\Gamma \vdash P_1 \geq_1 P_2$  and  $\Gamma \vdash P_2 \geq_1 P_3$  then  $\Gamma \vdash P_1 \geq_1 P_3$ .

**Corollary 5** (Transitivity of equivalence). *Assuming the types are well-formed in  $\Gamma$ ,*

- $-$  if  $\Gamma \vdash N_1 \simeq_1^{\leq} N_2$  and  $\Gamma \vdash N_2 \simeq_1^{\leq} N_3$  then  $\Gamma \vdash N_1 \simeq_1^{\leq} N_3$ ,
- $+$  if  $\Gamma \vdash P_1 \simeq_1^{\leq} P_2$  and  $\Gamma \vdash P_2 \simeq_1^{\leq} P_3$  then  $\Gamma \vdash P_1 \simeq_1^{\leq} P_3$ .

### 4.3 Type well-formedness

**Lemma 9** (Well-formedness agrees with substitution). *Suppose that  $\Gamma_2 \vdash \sigma : \Gamma_1$ . Then*

$$\begin{aligned} + \quad & \Gamma, \Gamma_1 \vdash P \Leftrightarrow \Gamma, \Gamma_2 \vdash [\sigma]P \\ - \quad & \Gamma, \Gamma_1 \vdash N \Leftrightarrow \Gamma, \Gamma_2 \vdash [\sigma]N \end{aligned}$$

*Proof.* **Ilya:** **todo** □

**Corollary 6.** *Suppose that  $\Gamma_2 \vdash \sigma : \Gamma_1$ . Then*

$$\begin{aligned} + \quad & \Gamma_1, \Gamma_2 \vdash P \Leftrightarrow \Gamma_2 \vdash [\sigma]P \\ - \quad & \Gamma_1, \Gamma_2 \vdash N \Leftrightarrow \Gamma_2 \vdash [\sigma]N \end{aligned}$$

**Lemma 10** (Equivalent Contexts). *In the well-formedness judgment, only used variables matter:*

$$\begin{aligned} + \quad & \text{if } \Gamma_1 \cap \mathbf{fv} P = \Gamma_2 \cap \mathbf{fv} P \text{ then } \Gamma_1 \vdash P \iff \Gamma_2 \vdash P, \\ - \quad & \text{if } \Gamma_1 \cap \mathbf{fv} N = \Gamma_2 \cap \mathbf{fv} N \text{ then } \Gamma_1 \vdash N \iff \Gamma_2 \vdash N. \end{aligned}$$

*Proof.* By simple mutual induction on  $P$  and  $Q$ . □

**Corollary 7.** *Suppose that all the types below are well-formed in  $\Gamma$  and  $\Gamma' \subseteq \Gamma$ . Then*

$$\begin{aligned} + \quad & \Gamma \vdash P \simeq_1^{\leq} Q \text{ implies } \Gamma' \vdash P \iff \Gamma' \vdash Q \\ - \quad & \Gamma \vdash N \simeq_1^{\leq} M \text{ implies } \Gamma' \vdash N \iff \Gamma' \vdash M \end{aligned}$$

*Proof.* From lemma 10 and corollary 2. □

### 4.4 Overview

Algorithm	Soundness	Completeness	Initiality
Ordering	$\overline{\mathbf{ord} \text{ vars in } N \equiv \text{vars} \cap \mathbf{fv} N}$	$\frac{N \simeq_1^D M}{\mathbf{ord} \text{ vars in } N = \mathbf{ord} \text{ vars in } M}$	—
Normalization	$\frac{N \simeq_1^D \mathbf{nf}(N)}{N \simeq_1^D \mathbf{nf}(N)}$	$\frac{N \simeq_1^D M}{\mathbf{nf}(N) = \mathbf{nf}(M)}$	—
Equivalence	$\frac{\Gamma \vdash P \quad \Gamma \vdash Q \quad P \simeq_1^D Q}{\Gamma \vdash P \simeq_1^{\leq} Q}$	$\frac{\Gamma \vdash P \simeq_1^{\leq} Q}{P \simeq_1^D Q}$	—
Uppgrade	$\frac{\mathbf{upgrade} \Gamma \vdash P \text{ to } \Delta = Q}{Q \text{ is sound } \left\{ \begin{array}{l} \Delta \vdash Q \\ \Gamma \vdash Q \geq_1 P \end{array} \right.}$	$\frac{\exists \text{ sound } Q'}{\exists Q \text{ s.t. } \mathbf{upgrade} \Gamma \vdash P \text{ to } \Delta = Q}$	$\frac{Q' \text{ is sound } \quad \mathbf{upgrade} \Gamma \vdash P \text{ to } \Delta = Q}{\Delta \vdash Q' \geq_1 Q}$
LUB	$\frac{\Gamma \models P_1 \vee P_2 = Q}{Q \text{ is sound } \left\{ \begin{array}{l} \Gamma \vdash Q \\ \Gamma \vdash Q \geq_1 P_1 \\ \Gamma \vdash Q \geq_1 P_2 \end{array} \right.}$	$\frac{\exists \text{ sound } Q'}{\exists Q \text{ s.t. } \Gamma \models P_1 \vee P_2 = Q}$	$\frac{Q' \text{ is sound } \quad \Gamma \models P_1 \vee P_2 = Q}{\Delta \vdash Q' \geq_1 Q}$
Anti-unification	$\frac{\Gamma \models P_1 \simeq P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)}{(\Xi, Q, \hat{\tau}_1, \hat{\tau}_2) \left\{ \begin{array}{l} \Xi \text{ is negative} \\ \Gamma; \Xi \vdash Q \\ \Gamma; \cdot \vdash \hat{\tau}_i : \Xi \\ [\hat{\tau}_i] Q = P_i \end{array} \right.}$	$\frac{\exists \text{ sound } (\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)}{\exists (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2) \text{ s.t. } \Gamma \models P_1 \simeq P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)}$	$\frac{(\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2) \text{ is sound } \quad \Gamma \models P_1 \simeq P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)}{\exists \Gamma; \Xi \vdash \hat{\tau} : \Xi' \text{ s.t. } [\hat{\tau}] Q' = Q}$
Unification (matching)	—	—	—
Subtyping	—	—	—

## 4.5 Variable Ordering

**Definition 4** (Collision free bijection). *We say that a bijection  $\mu : A \leftrightarrow B$  between sets of variables is **collision free on sets  $P$  and  $Q$**  if and only if*

1.  $\mu(P \cap A) \cap Q = \emptyset$
2.  $\mu(Q \cap A) \cap P = \emptyset$

**Lemma 11** (Soundness of variable ordering). *Variable ordering extracts precisely used free variables.*

- **ord vars in**  $N \equiv \text{vars} \cap \mathbf{fv} N$  (as sets)
- + **ord vars in**  $P \equiv \text{vars} \cap \mathbf{fv} P$  (as sets)

*Proof.* Straightforward mutual induction on **ord vars in**  $N = \vec{\alpha}$  and **ord vars in**  $P = \vec{\alpha}$  □

**Corollary 8** (Additivity of ordering). *Variable ordering is additive (in terms of set union) with respect to its first argument.*

- **ord** ( $\text{vars}_1 \cup \text{vars}_2$ ) **in**  $N \equiv \mathbf{ord vars}_1 \mathbf{in} N \cup \mathbf{ord vars}_2 \mathbf{in} N$  (as sets)
- + **ord** ( $\text{vars}_1 \cup \text{vars}_2$ ) **in**  $P \equiv \mathbf{ord vars}_1 \mathbf{in} P \cup \mathbf{ord vars}_2 \mathbf{in} P$  (as sets)

**Corollary 9** (Weakening of ordering). *Extending the first argument of the ordering with unused variables does not change the result.*

- **ord** ( $\text{vars} \cap \mathbf{fv} N$ ) **in**  $N = \mathbf{ord vars in} N$
- + **ord** ( $\text{vars} \cap \mathbf{fv} P$ ) **in**  $P = \mathbf{ord vars in} P$

**Lemma 12** (Distributivity of renaming over variable ordering). *Suppose that  $\mu$  is a bijection between two sets of variables  $\mu : A \leftrightarrow B$ .*

- If  $\mu$  is collision free on vars and  $\mathbf{fv} N$  then  $[\mu](\mathbf{ord vars in} N) = \mathbf{ord}([\mu]\text{vars}) \mathbf{in} [\mu]N$
- + If  $\mu$  is collision free on vars and  $\mathbf{fv} P$  then  $[\mu](\mathbf{ord vars in} P) = \mathbf{ord}([\mu]\text{vars}) \mathbf{in} [\mu]P$

*Proof.* Mutual induction on  $N$  and  $P$ .

**Case 1.**  $N = \alpha^-$

let us consider four cases:

a.  $\alpha^- \in A$  and  $\alpha^- \in \text{vars}$

$$\begin{aligned}
 \text{Then } [\mu](\mathbf{ord vars in} N) &= [\mu](\mathbf{ord vars in} \alpha^-) \\
 &= [\mu]\alpha^- && \text{by Rule (Var}_{\epsilon}^+) \\
 &= \beta^- && \text{for some } \beta^- \in B \text{ (notice that } \beta^- \in [\mu]\text{vars)} \\
 &= \mathbf{ord} [\mu]\text{vars in } \beta^- && \text{by Rule (Var}_{\epsilon}^+), \text{ because } \beta^- \in [\mu]\text{vars} \\
 &= \mathbf{ord} [\mu]\text{vars in } [\mu]\alpha^-
 \end{aligned}$$

b.  $\alpha^- \notin A$  and  $\alpha^- \notin \text{vars}$

Notice that  $[\mu](\mathbf{ord vars in} N) = [\mu](\mathbf{ord vars in} \alpha^-) = \cdot$  by Rule (Var $_{\epsilon}^+$ ). On the other hand,  $\mathbf{ord} [\mu]\text{vars in } [\mu]\alpha^- = \mathbf{ord} [\mu]\text{vars in } \alpha^- = \cdot$ . The latter equality is from Rule (Var $_{\epsilon}^+$ ), because  $\mu$  is collision free on vars and  $\mathbf{fv} N$ , so  $\mathbf{fv} N \ni \alpha^- \notin \mu(A \cap \text{vars}) \cup \text{vars} \supseteq [\mu]\text{vars}$ .

c.  $\alpha^- \in A$  but  $\alpha^- \notin \text{vars}$

Then  $[\mu](\mathbf{ord vars in} N) = [\mu](\mathbf{ord vars in} \alpha^-) = \cdot$  by Rule (Var $_{\epsilon}^+$ ). To prove that  $\mathbf{ord} [\mu]\text{vars in } [\mu]\alpha^- = \cdot$ , we apply Rule (Var $_{\epsilon}^+$ ). Let us show that  $[\mu]\alpha^- \notin [\mu]\text{vars}$ . Since  $[\mu]\alpha^- = \mu(\alpha^-)$  and  $[\mu]\text{vars} \subseteq \mu(A \cap \text{vars}) \cup \text{vars}$ , it suffices to prove  $\mu(\alpha^-) \notin \mu(A \cap \text{vars}) \cup \text{vars}$ .

- (i) If there is an element  $x \in A \cap \text{vars}$  such that  $\mu x = \mu \alpha^-$ , then  $x = \alpha^-$  by bijectivity of  $\mu$ , which contradicts with  $\alpha^- \notin \text{vars}$ . This way,  $\mu(\alpha^-) \notin \mu(A \cap \text{vars})$ .
- (ii) Since  $\mu$  is collision free on vars and  $\mathbf{fv} N$ ,  $\mu(A \cap \mathbf{fv} N) \ni \mu(\alpha^-) \notin \text{vars}$ .

d.  $\alpha^- \notin A$  but  $\alpha^- \in \text{vars}$

$\mathbf{ord} [\mu]\text{vars in } [\mu]\alpha^- = \mathbf{ord} [\mu]\text{vars in } \alpha^- = \alpha^-$ . The latter is by Rule (Var $_{\epsilon}^+$ ), because  $\alpha^- = [\mu]\alpha^- \in [\mu]\text{vars}$  since  $\alpha^- \in \text{vars}$ . On the other hand,  $[\mu](\mathbf{ord vars in} N) = [\mu](\mathbf{ord vars in} \alpha^-) = [\mu]\alpha^- = \alpha^-$ .

**Case 2.**  $N = \uparrow P$

$$\begin{aligned}
[\mu](\mathbf{ord\ vars\ in}\ N) &= [\mu](\mathbf{ord\ vars\ in}\ \uparrow P) \\
&= [\mu](\mathbf{ord\ vars\ in}\ P) && \text{by Rule } (\uparrow) \\
&= \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]P && \text{by the induction hypothesis} \\
&= \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ \uparrow[\mu]P && \text{by Rule } (\uparrow) \\
&= \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]\uparrow P && \text{by the definition of substitution} \\
&= \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]N
\end{aligned}$$

**Case 3.**  $N = P \rightarrow M$

$$\begin{aligned}
[\mu](\mathbf{ord\ vars\ in}\ N) &= [\mu](\mathbf{ord\ vars\ in}\ P \rightarrow M) \\
&= [\mu](\vec{\alpha}_1, (\vec{\alpha}_2 \setminus \vec{\alpha}_1)) && \text{where } \mathbf{ord\ vars\ in}\ P = \vec{\alpha}_1 \text{ and } \mathbf{ord\ vars\ in}\ M = \vec{\alpha}_2 \\
&= [\mu]\vec{\alpha}_1, [\mu](\vec{\alpha}_2 \setminus \vec{\alpha}_1) \\
&= [\mu]\vec{\alpha}_1, ([\mu]\vec{\alpha}_2 \setminus [\mu]\vec{\alpha}_1) && \text{by induction on } \vec{\alpha}_2; \text{ the inductive step is similar to case 1. Notice that } \mu \text{ is} \\
&&& \text{collision free on } \vec{\alpha}_1 \text{ and } \vec{\alpha}_2 \text{ since } \vec{\alpha}_1 \subseteq \mathbf{vars} \text{ and } \vec{\alpha}_2 \subseteq \mathbf{fv}\ N \\
&= [\mu]\vec{\alpha}_1, ([\mu]\vec{\alpha}_2 \setminus [\mu]\vec{\alpha}_1) \\
(\mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]N) &= (\mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]P \rightarrow [\mu]M) \\
&= (\vec{\beta}_1, (\vec{\beta}_2 \setminus \vec{\beta}_1)) && \text{where } \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]P = \vec{\beta}_1 \text{ and } \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]M = \vec{\beta}_2 \\
&&& \text{then by the induction hypothesis, } \vec{\beta}_1 = [\mu]\vec{\alpha}_1, \vec{\beta}_2 = [\mu]\vec{\alpha}_2, \\
&= [\mu]\vec{\alpha}_1, ([\mu]\vec{\alpha}_2 \setminus [\mu]\vec{\alpha}_1)
\end{aligned}$$

**Case 4.**  $N = \forall \vec{\alpha}^+. M$

$$\begin{aligned}
[\mu](\mathbf{ord\ vars\ in}\ N) &= [\mu]\mathbf{ord\ vars\ in}\ \forall \vec{\alpha}^+. M \\
&= [\mu]\mathbf{ord\ vars\ in}\ M \\
&= \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]M && \text{by the induction hypothesis} \\
(\mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]N) &= \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]\forall \vec{\alpha}^+. M \\
&= \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ \forall \vec{\alpha}^+. [\mu]M \\
&= \mathbf{ord}\ [\mu] \mathbf{vars\ in}\ [\mu]M
\end{aligned}$$

□

**Lemma 13** (Ordering is not affected by independent substitutions). *Suppose that  $\Gamma_2 \vdash \sigma : \Gamma_1$ , i.e.  $\sigma$  maps variables from  $\Gamma_1$  into types taking free variables from  $\Gamma_2$ , and  $\mathbf{vars}$  is a set of variables disjoint with both  $\Gamma_1$  and  $\Gamma_2$ . Then*

- $\mathbf{ord\ vars\ in}\ [\sigma]N = \mathbf{ord\ vars\ in}\ N$
- +  $\mathbf{ord\ vars\ in}\ [\sigma]P = \mathbf{ord\ vars\ in}\ P$

*Proof.* Ilya: Should be easy

□

**Lemma 14** (Completeness of variable ordering). *Variable ordering is invariant under equivalence. For arbitrary  $\mathbf{vars}$ ,*

- If  $N \simeq_1^D M$  then  $\mathbf{ord\ vars\ in}\ N = \mathbf{ord\ vars\ in}\ M$  (as lists)
- + If  $P \simeq_1^D Q$  then  $\mathbf{ord\ vars\ in}\ P = \mathbf{ord\ vars\ in}\ Q$  (as lists)

*Proof.* Mutual induction on  $N \simeq_1^D M$  and  $P \simeq_1^D Q$ .

□

## 4.6 Normalizaion

**Lemma 15.** *Set of free variables is invariant under equivalence.*

- If  $N \simeq_1^D M$  then  $\mathbf{fv}\ N \equiv \mathbf{fv}\ M$  (as sets)
- + If  $P \simeq_1^D Q$  then  $\mathbf{fv}\ P \equiv \mathbf{fv}\ Q$  (as sets)

*Proof.* Straightforward mutual induction on  $N \simeq_1^D M$  and  $P \simeq_1^D Q$

□

**Lemma 16.** *Free variables are not changed by the normalization*

- $\mathbf{fv}\ N \equiv \mathbf{fv}\ \mathbf{nf}\ (N)$



$$+ \mathbf{fv} P \equiv \mathbf{fv} \mathbf{nf} (P)$$

*Proof.* By straightforward induction on  $\mathbf{nf} (N) = M$ . □

**Lemma 17** (Soundness of quantifier normalization).

$$\begin{aligned} - N &\simeq_1^D \mathbf{nf} (N) \\ + P &\simeq_1^D \mathbf{nf} (P) \end{aligned}$$

*Proof.* Mutual induction on  $\mathbf{nf} (N) = M$  and  $\mathbf{nf} (P) = Q$ . Let us consider how this judgment is formed:

**Case 1.** ( $\text{Var}^-$ ) and ( $\text{Var}^+$ )

By the corresponding equivalence rules.

**Case 2.** ( $\uparrow$ ), ( $\downarrow$ ), and ( $\rightarrow$ )

By the induction hypothesis and the corresponding congruent equivalence rules.

**Case 3.** ( $\forall$ ), i.e.  $\mathbf{nf} (\forall \alpha^+. N) = \forall \alpha^{+'}. N'$

From the induction hypothesis, we know that  $N \simeq_1^D N'$ . In particular, by lemma 15,  $\mathbf{fv} N \equiv \mathbf{fv} N'$ . Then by lemma 11,  $\alpha^{+'} \equiv \alpha^+ \cap \mathbf{fv} N' \equiv \alpha^+ \cap \mathbf{fv} N$ , and thus,  $\alpha^{+'} \cap \mathbf{fv} N' \equiv \alpha^+ \cap \mathbf{fv} N$ .

To prove  $\forall \alpha^+. N \simeq_1^D \forall \alpha^{+'}. N'$ , it suffices to provide a bijection  $\mu : \alpha^{+'} \cap \mathbf{fv} N' \leftrightarrow \alpha^+ \cap \mathbf{fv} N$  such that  $N \simeq_1^D [\mu] N'$ . Since these sets are equal, we take  $\mu = id$ .

**Case 4.** ( $\exists$ ) Same as for case 3. □

**Corollary 10** (Normalization preserves ordering). *For any vars,*

$$\begin{aligned} - \mathbf{ord} \text{ vars in } \mathbf{nf} (N) &= \mathbf{ord} \text{ vars in } M \\ + \mathbf{ord} \text{ vars in } \mathbf{nf} (P) &= \mathbf{ord} \text{ vars in } Q \end{aligned}$$

*Proof.* Immediately from lemmas 14 and 17. □

**Lemma 18** (Distributivity of normalization over substitution). *Normalization of a term distributes over substitution. Suppose that  $\Gamma_2 \vdash \sigma : \Gamma_1$ , i.e.  $\sigma$  maps variables from  $\Gamma_1$  into types taking free variables from  $\Gamma_2$ .*

$$\begin{aligned} - \mathbf{nf} ([\sigma]N) &= [\mathbf{nf} (\sigma)]\mathbf{nf} (N) \\ + \mathbf{nf} ([\sigma]P) &= [\mathbf{nf} (\sigma)]\mathbf{nf} (P) \end{aligned}$$

where  $\mathbf{nf} (\sigma)$  means pointwise normalization:  $[\mathbf{nf} (\sigma)]\alpha^- = \mathbf{nf} ([\sigma]\alpha^-)$ .

*Proof.* Mutual induction on  $N$  and  $P$ .

**Case 1.**  $N = \alpha^-$

$$\begin{aligned} \mathbf{nf} ([\sigma]N) &= \mathbf{nf} ([\sigma]\alpha^-) = [\mathbf{nf} (\sigma)]\alpha^- \\ [\mathbf{nf} (\sigma)]\mathbf{nf} (N) &= [\mathbf{nf} (\sigma)]\mathbf{nf} (\alpha^-) = [\mathbf{nf} (\sigma)]\alpha^- \end{aligned}$$

**Case 2.**  $P = \alpha^+$

Similar to case 1.

**Case 3.** If the type is formed by  $\rightarrow$ ,  $\uparrow$ , or  $\downarrow$ , the required equality follows from the congruence of the normalization and substitution, and the induction hypothesis. For example, if  $N = P \rightarrow M$  then

$$\begin{aligned} \mathbf{nf} ([\sigma]N) &= \mathbf{nf} ([\sigma](P \rightarrow M)) \\ &= \mathbf{nf} ([\sigma]P \rightarrow [\sigma]M) && \text{By the congruence of substitution} \\ &= \mathbf{nf} ([\sigma]P) \rightarrow \mathbf{nf} ([\sigma]M) && \text{By the congruence of normalization, i.e. Rule } (\rightarrow) \\ &= [\mathbf{nf} (\sigma)]\mathbf{nf} (P) \rightarrow [\mathbf{nf} (\sigma)]\mathbf{nf} (M) && \text{By the induction hypothesis} \\ &= [\mathbf{nf} (\sigma)](\mathbf{nf} (P) \rightarrow \mathbf{nf} (M)) && \text{By the congruence of substitution} \\ &= [\mathbf{nf} (\sigma)]\mathbf{nf} (P \rightarrow M) && \text{By the congruence of normalization} \\ &= [\mathbf{nf} (\sigma)]\mathbf{nf} (N) \end{aligned}$$

**Case 4.**  $N = \forall \alpha^{\rightarrow}. M$

$$[\mathbf{nf}(\sigma)]\mathbf{nf}(N) = [\mathbf{nf}(\sigma)]\mathbf{nf}(\forall \alpha^{\rightarrow}. M)$$

$$= [\mathbf{nf}(\sigma)]\forall \alpha^{\rightarrow'}. \mathbf{nf}(M) \quad \text{Where } \alpha^{\rightarrow'} = \mathbf{ord} \alpha^{\rightarrow} \mathbf{in} \mathbf{nf}(M) = \mathbf{ord} \alpha^{\rightarrow} \mathbf{in} M \text{ (the latter is by corollary 10)}$$

$$\mathbf{nf}([\sigma]N) = \mathbf{nf}([\sigma]\forall \alpha^{\rightarrow}. M)$$

$$= \mathbf{nf}(\forall \alpha^{\rightarrow}. [\sigma]M)$$

Assuming  $\alpha^{\rightarrow} \cap \Gamma_1 = \emptyset$  and  $\alpha^{\rightarrow} \cap \Gamma_2 = \emptyset$

$$= \forall \beta^{\rightarrow}. \mathbf{nf}([\sigma]M)$$

Where  $\beta^{\rightarrow} = \mathbf{ord} \alpha^{\rightarrow} \mathbf{in} \mathbf{nf}([\sigma]M) = \mathbf{ord} \alpha^{\rightarrow} \mathbf{in} [\sigma]M$  (the latter is by corollary 10)

$$= \forall \alpha^{\rightarrow'}. \mathbf{nf}([\sigma]M)$$

By lemma 13,  $\beta^{\rightarrow} = \alpha^{\rightarrow'}$  since  $\alpha^{\rightarrow}$  is disjoint with  $\Gamma_1$  and  $\Gamma_2$

$$= \forall \alpha^{\rightarrow'}. [\mathbf{nf}(\sigma)]\mathbf{nf}(M) \quad \text{By the induction hypothesis}$$

To show alpha-equivalence of  $[\mathbf{nf}(\sigma)]\forall \alpha^{\rightarrow'}. \mathbf{nf}(M)$  and  $\forall \alpha^{\rightarrow'}. [\mathbf{nf}(\sigma)]\mathbf{nf}(M)$ , we can assume that  $\alpha^{\rightarrow'} \cap \Gamma_1 = \emptyset$ , and  $\alpha^{\rightarrow'} \cap \Gamma_2 = \emptyset$ .

**Case 5.**  $P = \exists \alpha^{\rightarrow}. Q$

Same as for case 4.

□

**Corollary 11** (Commutativity of normalization and renaming). *Normalization of a term commutes with renaming. Suppose that  $\mu$  is a bijection between two sets of variables  $\mu : A \leftrightarrow B$ . Then*

$$- \mathbf{nf}([\mu]N) = [\mu]\mathbf{nf}(N)$$

$$+ \mathbf{nf}([\mu]P) = [\mu]\mathbf{nf}(P)$$

*Proof.* Immediately from lemma 18, after noticing that  $\mathbf{nf}(\mu) = \mu$ .

□

**Lemma 19** (Completeness of quantified normalization). *Normalization returns the same representative for equivalent types.*

$$- \text{If } N \simeq_1^D M \text{ then } \mathbf{nf}(N) = \mathbf{nf}(M)$$

$$+ \text{If } P \simeq_1^D Q \text{ then } \mathbf{nf}(P) = \mathbf{nf}(Q)$$

(Here equality means alpha-equivalence)

*Proof.* Mutual induction on  $N \simeq_1^D M$  and  $P \simeq_1^D Q$ .

**Case 1.**  $(\forall \alpha^{\rightarrow})$

From the definition of the normalization,

- $\mathbf{nf}(\forall \alpha^{\rightarrow}. N) = \forall \alpha^{\rightarrow'}. \mathbf{nf}(N)$  where  $\alpha^{\rightarrow'}$  is  $\mathbf{ord} \alpha^{\rightarrow} \mathbf{in} \mathbf{nf}(N)$
- $\mathbf{nf}(\forall \beta^{\rightarrow}. M) = \forall \beta^{\rightarrow'}. \mathbf{nf}(M)$  where  $\beta^{\rightarrow'}$  is  $\mathbf{ord} \beta^{\rightarrow} \mathbf{in} \mathbf{nf}(M)$

Let us take  $\mu : (\beta^{\rightarrow} \cap \mathbf{fv} M) \leftrightarrow (\alpha^{\rightarrow} \cap \mathbf{fv} N)$  from the inversion of the equivalence judgment. Notice that from lemmas 11 and 16, the domain and the codomain of  $\mu$  can be written as  $\mu : \beta^{\rightarrow'} \leftrightarrow \alpha^{\rightarrow'}$ .

To show the alpha-equivalence of  $\forall \alpha^{\rightarrow'}. \mathbf{nf}(N)$  and  $\forall \beta^{\rightarrow'}. \mathbf{nf}(M)$ , it suffices to prove that (i)  $[\mu]\mathbf{nf}(M) = \mathbf{nf}(N)$  and (ii)  $[\mu]\beta^{\rightarrow'} = \alpha^{\rightarrow'}$ .

(i)  $[\mu]\mathbf{nf}(M) = \mathbf{nf}([\mu]M) = \mathbf{nf}(N)$ . The first equality holds by corollary 11, the second—by the induction hypothesis.

$$\begin{aligned} \text{(ii) } [\mu]\beta^{\rightarrow'} &= [\mu]\mathbf{ord} \beta^{\rightarrow} \mathbf{in} \mathbf{nf}(M) && \text{by the definition of } \beta^{\rightarrow'} \\ &= [\mu]\mathbf{ord}(\beta^{\rightarrow} \cap \mathbf{fv} M) \mathbf{in} \mathbf{nf}(M) && \text{from lemma 16 and corollary 9} \\ &= \mathbf{ord}[\mu](\beta^{\rightarrow} \cap \mathbf{fv} M) \mathbf{in} [\mu]\mathbf{nf}(M) && \text{by lemma 12, because } \alpha^{\rightarrow} \cap \mathbf{fv} N \cap \mathbf{fv} \mathbf{nf}(M) \subseteq \alpha^{\rightarrow} \cap \mathbf{fv} M = \emptyset \\ &&& \text{and } \alpha^{\rightarrow} \cap \mathbf{fv} N \cap (\beta^{\rightarrow} \cap \mathbf{fv} M) \subseteq \alpha^{\rightarrow} \cap \mathbf{fv} M = \emptyset \\ &= \mathbf{ord}[\mu](\beta^{\rightarrow} \cap \mathbf{fv} M) \mathbf{in} \mathbf{nf}(N) && \text{since } [\mu]\mathbf{nf}(M) = \mathbf{nf}(N) \text{ is proved} \\ &= \mathbf{ord}(\alpha^{\rightarrow} \cap \mathbf{fv} N) \mathbf{in} \mathbf{nf}(N) && \text{because } \mu \text{ is a bijection between } \alpha^{\rightarrow} \cap \mathbf{fv} N \text{ and } \beta^{\rightarrow} \cap \mathbf{fv} M \\ &= \mathbf{ord} \alpha^{\rightarrow} \mathbf{in} \mathbf{nf}(N) && \text{from lemma 16 and corollary 9} \\ &= \alpha^{\rightarrow'} && \text{by the definition of } \alpha^{\rightarrow'} \end{aligned}$$

**Case 2.** ( $\exists^{\approx_P}_1$ ) Same as for case 1.

**Case 3.** Other rules are congruent, and thus, proved by the corresponding congruent alpha-equivalence rule, which is applicable by the induction hypothesis. □

**Lemma 20** (Idempotence of normalization). *Normalization is idempotent*

- $\mathbf{nf}(\mathbf{nf}(N)) = \mathbf{nf}(N)$
- +  $\mathbf{nf}(\mathbf{nf}(P)) = \mathbf{nf}(P)$

*Proof.* By applying lemma 19 to lemma 17. □

**Lemma 21.** *The result of a substitution is normalized if and only if the initial type and the substitution are normalized.*

*Suppose that  $\sigma$  is a substitution  $\Gamma_2 \vdash \sigma : \Gamma_1$ ,  $P$  is a positive type ( $\Gamma_1 \vdash P$ ),  $N$  is a negative type ( $\Gamma_1 \vdash N$ ). Then*

$$\begin{aligned}
 + \quad [\sigma]P \text{ is normal} &\iff \begin{cases} \sigma|_{\mathbf{fv}(P)} & \text{is normal} \\ P & \text{is normal} \end{cases} \\
 - \quad [\sigma]N \text{ is normal} &\iff \begin{cases} \sigma|_{\mathbf{fv}(N)} & \text{is normal} \\ N & \text{is normal} \end{cases}
 \end{aligned}$$

*Proof.* Mutual induction on  $\Gamma_1 \vdash P$  and  $\Gamma_1 \vdash N$ .

**Case 1.**  $N = \alpha^-$

Then  $N$  is always normal, and the normality of  $\sigma|_{\alpha^-}$  by the definition means  $[\sigma]\alpha^-$  is normal.

**Case 2.**  $N = P \rightarrow M$

$$\begin{aligned}
 [\sigma](P \rightarrow M) \text{ is normal} &\iff [\sigma]P \rightarrow [\sigma]M \text{ is normal} && \text{by the substitution congruence} \\
 &\iff \begin{cases} [\sigma]P & \text{is normal} \\ [\sigma]M & \text{is normal} \end{cases} && \text{by congruence of normality Ilya: lemma?} \\
 &\iff \begin{cases} P & \text{is normal} \\ \sigma|_{\mathbf{fv}(P)} & \text{is normal} \\ M & \text{is normal} \\ \sigma|_{\mathbf{fv}(M)} & \text{is normal} \end{cases} && \text{by the induction hypothesis} \\
 &\iff \begin{cases} P \rightarrow M & \text{is normal} \\ \sigma|_{\mathbf{fv}(P) \cup \mathbf{fv}(M)} & \text{is normal} \end{cases} \iff \begin{cases} P \rightarrow M & \text{is normal} \\ \sigma|_{\mathbf{fv}(P \rightarrow M)} & \text{is normal} \end{cases}
 \end{aligned}$$

**Case 3.**  $N = \uparrow P$

By congruence and the inductive hypothesis, similar to case 2

**Case 4.**  $N = \forall \alpha^+. M$

$$\begin{aligned}
 [\sigma](\forall \alpha^+. M) \text{ is normal} &\iff (\forall \alpha^+. [\sigma]M) \text{ is normal} && \text{assuming } \overrightarrow{\alpha^+} \cap \Gamma_1 = \emptyset \text{ and } \overrightarrow{\alpha^+} \cap \Gamma_2 = \emptyset \\
 &\iff \begin{cases} [\sigma]M \text{ is normal} \\ \mathbf{ord} \, \overrightarrow{\alpha^+} \text{ in } [\sigma]M = \overrightarrow{\alpha^+} \end{cases} && \text{by the definition of normalization} \\
 &\iff \begin{cases} [\sigma]M \text{ is normal} \\ \mathbf{ord} \, \overrightarrow{\alpha^+} \text{ in } M = \overrightarrow{\alpha^+} \end{cases} && \text{by lemma 13} \\
 &\iff \begin{cases} \sigma|_{\mathbf{fv}(M)} \text{ is normal} \\ M \text{ is normal} \\ \mathbf{ord} \, \overrightarrow{\alpha^+} \text{ in } M = \overrightarrow{\alpha^+} \end{cases} && \text{by the induction hypothesis} \\
 &\iff \begin{cases} \sigma|_{\mathbf{fv}(\forall \alpha^+. M)} \text{ is normal} \\ \forall \alpha^+. M \text{ is normal} \end{cases} && \begin{array}{l} \text{since } \mathbf{fv}(\forall \alpha^+. M) = \mathbf{fv}(M); \\ \text{by the definition of normalization} \end{array}
 \end{aligned}$$

**Case 5.**  $P = \dots$

The positive cases are done in the same way as the negative ones. □

## 4.7 Equivalence

**Lemma 22** (Declarative equivalence is transitive).

- + if  $P_1 \simeq_1^D P_2$  and  $P_2 \simeq_1^D P_3$  then  $P_1 \simeq_1^D P_3$ ,
- if  $N_1 \simeq_1^D N_2$  and  $N_2 \simeq_1^D N_3$  then  $N_1 \simeq_1^D N_3$ .

*Proof.* Ilya: should be easy to do by induction since the types are getting smaller □

**Lemma 23** (Algorithmization of declarative equivalence). *Declarative equivalence is equality of normal forms.*

- +  $P \simeq_1^D Q \iff \mathbf{nf}(P) = \mathbf{nf}(Q)$ ,
- $N \simeq_1^D M \iff \mathbf{nf}(N) = \mathbf{nf}(M)$ .

*Proof.*

- + Let us prove both directions separately.
  - $\Rightarrow$  exactly by lemma 19,
  - $\Leftarrow$  from lemma 17, we know  $P \simeq_1^D \mathbf{nf}(P) = \mathbf{nf}(Q) \simeq_1^D Q$ , then by transitivity (lemma 22),  $P \simeq_1^D Q$ .
- The proof is exactly the same. □

**Lemma 24** (Type well-formedness is invariant under equivalence). *Mutual subtyping implies declarative equivalence.*

- + if  $P \simeq_1^D Q$  then  $\Gamma \vdash P \iff \Gamma \vdash Q$ ,
- if  $N \simeq_1^D M$  then  $\Gamma \vdash N \iff \Gamma \vdash M$

*Proof.* Ilya: todo □

**Corollary 12** (Normalization preserves well-formedness).

- +  $\Gamma \vdash P \iff \Gamma \vdash \mathbf{nf}(P)$ ,
- $\Gamma \vdash N \iff \Gamma \vdash \mathbf{nf}(N)$

*Proof.* Immediately from lemmas 17 and 24. □

**Corollary 13** (Normalization preserves well-formedness of substitution).

$$\Gamma_2 \vdash \sigma : \Gamma_1 \iff \Gamma_2 \vdash \mathbf{nf}(\sigma) : \Gamma_1$$

**Lemma 25** (Soundness of equivalence). *Declarative equivalence implies mutual subtyping.*

- + if  $\Gamma \vdash P, \Gamma \vdash Q$ , and  $P \simeq_1^D Q$  then  $\Gamma \vdash P \preceq_1^\leq Q$ ,
- if  $\Gamma \vdash N, \Gamma \vdash M$ , and  $N \simeq_1^D M$  then  $\Gamma \vdash N \preceq_1^\leq M$ .

*Proof.* We prove it by mutual induction on  $P \simeq_1^D Q$  and  $N \simeq_1^D M$ .

**Case 1.**  $\alpha^- \simeq_1^D \alpha^-$

Then  $\Gamma \vdash \alpha^- \preceq_1^\leq \alpha^-$  by Rule (Var<sup>−≤<sub>1</sub></sup>), which immediately implies  $\Gamma \vdash \alpha^- \preceq_1^\leq \alpha^-$  by Rule ( $\preceq_1^\leq$  −).

**Case 2.**  $\uparrow P \simeq_1^D \uparrow Q$

Then by inversion of Rule ( $\uparrow^{\leq_1}$ ),  $P \simeq_1^D Q$ , and from the induction hypothesis,  $\Gamma \vdash P \preceq_1^\leq Q$ , and (by symmetry)  $\Gamma \vdash Q \preceq_1^\leq P$ .

When Rule ( $\uparrow^{\leq_1}$ ) is applied to  $\Gamma \vdash P \preceq_1^\leq Q$ , it gives us  $\Gamma \vdash \uparrow P \preceq_1^\leq \uparrow Q$ ; when it is applied to  $\Gamma \vdash Q \preceq_1^\leq P$ , we obtain  $\Gamma \vdash \uparrow Q \preceq_1^\leq \uparrow P$ . Together, it implies  $\Gamma \vdash \uparrow P \preceq_1^\leq \uparrow Q$ .

**Case 3.**  $P \rightarrow N \simeq_1^D Q \rightarrow M$

Then by inversion of Rule ( $\rightarrow^{\leq_1}$ ),  $P \simeq_1^D Q$  and  $N \simeq_1^D M$ . By the induction hypothesis,  $\Gamma \vdash P \preceq_1^\leq Q$  and  $\Gamma \vdash N \preceq_1^\leq M$ , which means by inversion: (i)  $\Gamma \vdash P \succeq_1^\geq Q$ , (ii)  $\Gamma \vdash Q \succeq_1^\geq P$ , (iii)  $\Gamma \vdash N \preceq_1^\leq M$ , (iv)  $\Gamma \vdash M \preceq_1^\leq N$ . Applying Rule ( $\rightarrow^{\leq_1}$ ) to (i) and (iii), we obtain  $\Gamma \vdash P \rightarrow N \preceq_1^\leq Q \rightarrow M$ ; applying it to (ii) and (iv), we have  $\Gamma \vdash Q \rightarrow M \preceq_1^\leq P \rightarrow N$ . Together, it implies  $\Gamma \vdash P \rightarrow N \preceq_1^\leq Q \rightarrow M$ .

**Case 4.**  $\forall \vec{\alpha}^+. N \simeq_1^D \forall \vec{\beta}^+. M$

Then by inversion, there exists bijection  $\mu : (\vec{\beta}^+ \cap \mathbf{fv} M) \leftrightarrow (\vec{\alpha}^+ \cap \mathbf{fv} N)$ , such that  $N \simeq_1^D [\mu]M$ . By the induction hypothesis,  $\Gamma, \vec{\alpha}^+ \vdash N \simeq_1^S [\mu]M$ . From corollary 1 and the fact that  $\mu$  is bijective, we also have  $\Gamma, \vec{\beta}^+ \vdash [\mu^{-1}]N \simeq_1^S M$ .

Let us construct a substitution  $\vec{\alpha}^+ \vdash \vec{P}/\vec{\beta}^+ : \vec{\beta}^+$  by extending  $\mu$  with arbitrary positive types on  $\vec{\beta}^+ \setminus \mathbf{fv} M$ .

Notice that  $[\mu]M = [\vec{P}/\vec{\beta}^+]M$ , and therefore,  $\Gamma, \vec{\alpha}^+ \vdash N \simeq_1^S [\mu]M$  implies  $\Gamma, \vec{\alpha}^+ \vdash [\vec{P}/\vec{\beta}^+]M \leq_1 N$ . Then by Rule  $(\forall^{\leq_1})$ ,  $\Gamma \vdash \forall \vec{\beta}^+. M \leq_1 \forall \vec{\alpha}^+. N$ .

Analogously, we construct the substitution from  $\mu^{-1}$ , and use it to instantiate  $\vec{\alpha}^+$  in the application of Rule  $(\forall^{\leq_1})$  to infer  $\Gamma \vdash \forall \vec{\alpha}^+. N \leq_1 \forall \vec{\beta}^+. M$ .

This way,  $\Gamma \vdash \forall \vec{\beta}^+. M \leq_1 \forall \vec{\alpha}^+. N$  and  $\Gamma \vdash \forall \vec{\alpha}^+. N \leq_1 \forall \vec{\beta}^+. M$  gives us  $\Gamma \vdash \forall \vec{\beta}^+. M \simeq_1^S \forall \vec{\alpha}^+. N$ .

**Case 5.** For the cases of the positive types, the proofs are symmetric. □

**Corollary 14** (Normalization is sound w.r.t. subtyping-induced equivalence).

- + if  $\Gamma \vdash P$  then  $\Gamma \vdash P \simeq_1^S \mathbf{nf}(P)$ ,
- if  $\Gamma \vdash N$  then  $\Gamma \vdash N \simeq_1^S \mathbf{nf}(N)$ .

*Proof.* Immediately from lemmas 17 and 25 and corollary 12. □

**Corollary 15** (Normalization preserves subtyping). *Assuming all the types are well-formed in context  $\Gamma$ ,*

- +  $\Gamma \vdash P \geq_1 Q \iff \Gamma \vdash \mathbf{nf}(P) \geq_1 \mathbf{nf}(Q)$ ,
- $\Gamma \vdash N \leq_1 M \iff \Gamma \vdash \mathbf{nf}(N) \leq_1 \mathbf{nf}(M)$ .

*Proof.*

- +  $\Rightarrow$  Let us assume  $\Gamma \vdash P \geq_1 Q$ . By corollary 14,  $\Gamma \vdash P \simeq_1^S \mathbf{nf}(P)$  and  $\Gamma \vdash Q \simeq_1^S \mathbf{nf}(Q)$ , in particular, by inversion,  $\Gamma \vdash \mathbf{nf}(P) \geq_1 P$  and  $\Gamma \vdash Q \geq_1 \mathbf{nf}(Q)$ . Then by the transitivity of subtyping (corollary 4),  $\Gamma \vdash \mathbf{nf}(P) \geq_1 \mathbf{nf}(Q)$ .  
 $\Leftarrow$  Let us assume  $\Gamma \vdash \mathbf{nf}(P) \geq_1 \mathbf{nf}(Q)$ . Also by corollary 14 and inversion,  $\Gamma \vdash P \geq_1 \mathbf{nf}(P)$  and  $\Gamma \vdash \mathbf{nf}(Q) \geq_1 Q$ . Then by the transitivity,  $\Gamma \vdash P \geq_1 Q$ .
- The negative case is proved symmetrically. □

**Lemma 26** (Subtyping induced by disjoint substitutions). *If two disjoint substitutions induce subtyping, they are degenerate (so is the subtyping). Suppose that  $\Gamma \vdash \sigma_1 : \Gamma_1$  and  $\Gamma \vdash \sigma_2 : \Gamma_2$ , where  $\Gamma_i \subseteq \Gamma$  and  $\Gamma_1 \cap \Gamma_2 = \emptyset$ . Then*

- assuming  $\Gamma \vdash N$ ,  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  implies  $\Gamma \vdash \sigma_i \simeq_1^S \text{id} : \mathbf{fv} N$
- + assuming  $\Gamma \vdash P$ ,  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$  implies  $\Gamma \vdash \sigma_i \simeq_1^S \text{id} : \mathbf{fv} P$

*Proof.* Proof by induction on  $\Gamma \vdash N$  (and mutually on  $\Gamma \vdash P$ ).

**Case 1.**  $N = \alpha^-$

Then  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  is rewritten as  $\Gamma \vdash [\sigma_1]\alpha^- \leq_1 [\sigma_2]\alpha^-$ . Let us consider the following cases:

- a.  $\alpha^- \notin \Gamma_1$  and  $\alpha^- \notin \Gamma_2$   
Then  $\Gamma \vdash \sigma_i \simeq_1^S \text{id} : \alpha^-$  holds immediately, since  $[\sigma_i]\alpha^- = [\text{id}]\alpha^- = \alpha^-$  and  $\Gamma \vdash \alpha^- \simeq_1^S \alpha^-$ .
- b.  $\alpha^- \in \Gamma_1$  and  $\alpha^- \in \Gamma_2$   
This case is not possible by assumption:  $\Gamma_1 \cap \Gamma_2 = \emptyset$ .
- c.  $\alpha^- \in \Gamma_1$  and  $\alpha^- \notin \Gamma_2$   
Then we have  $\Gamma \vdash [\sigma_1]\alpha^- \leq_1 \alpha^-$ , which by corollary 3 means  $\Gamma \vdash [\sigma_1]\alpha^- \simeq_1^S \alpha^-$ , and hence,  $\Gamma \vdash \sigma_1 \simeq_1^S \text{id} : \alpha^-$ .  
 $\Gamma \vdash \sigma_2 \simeq_1^S \text{id} : \alpha^-$  holds since  $[\sigma_2]\alpha^- = \alpha^-$ , similarly to case 1.a.
- d.  $\alpha^- \notin \Gamma_1$  and  $\alpha^- \in \Gamma_2$   
Then we have  $\Gamma \vdash \alpha^- \leq_1 [\sigma_2]\alpha^-$ , which by corollary 3 means  $\Gamma \vdash \alpha^- \simeq_1^S [\sigma_2]\alpha^-$ , and hence,  $\Gamma \vdash \sigma_2 \simeq_1^S \text{id} : \alpha^-$ .  
 $\Gamma \vdash \sigma_1 \simeq_1^S \text{id} : \alpha^-$  holds since  $[\sigma_1]\alpha^- = \alpha^-$ , similarly to case 1.a.

**Case 2.**  $N = \forall \alpha^+ . M$

Then by inversion,  $\Gamma, \alpha^+ \vdash M$ .  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  is rewritten as  $\Gamma \vdash [\sigma_1]\forall \alpha^+ . M \leq_1 [\sigma_2]\forall \alpha^+ . M$ . By the congruence of substitution and by the inversion of Rule ( $\forall^{\leq_1}$ ),  $\Gamma, \alpha^+ \vdash [\vec{Q}/\alpha^+][\sigma_1]M \leq_1 [\sigma_2]M$ , where  $\Gamma, \alpha^+ \vdash Q_i$ . Let us denote the (Kleisli) composition of  $\sigma_1$  and  $\vec{Q}/\alpha^+$  as  $\sigma'_1$ , noting that  $\Gamma, \alpha^+ \vdash \sigma'_1 : \Gamma_1, \alpha^+$ , and  $\Gamma_1, \alpha^+ \cap \Gamma_2 = \emptyset$ .

Let us apply the induction hypothesis to  $M$  and the substitutions  $\sigma'_1$  and  $\sigma_2$  with  $\Gamma, \alpha^+ \vdash [\sigma'_1]M \leq_1 [\sigma_2]M$  to obtain:

$$\Gamma, \alpha^+ \vdash \sigma'_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} M \quad (1)$$

$$\Gamma, \alpha^+ \vdash \sigma_2 \simeq_1^{\leq} \text{id} : \mathbf{fv} M \quad (2)$$

Then  $\Gamma \vdash \sigma_2 \simeq_1^{\leq} \text{id} : \mathbf{fv} \forall \alpha^+ . M$  holds by strengthening of 2: for any  $\beta^\pm \in \mathbf{fv} \forall \alpha^+ . M = \mathbf{fv} M \setminus \alpha^+$ ,  $\Gamma, \alpha^+ \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \beta^\pm$  is strengthened to  $\Gamma \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \beta^\pm$ , because  $\mathbf{fv} [\sigma_2]\beta^\pm = \mathbf{fv} \beta^\pm = \{\beta^\pm\} \subseteq \Gamma$ .

To show that  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} \forall \alpha^+ . M$ , let us take an arbitrary  $\beta^\pm \in \mathbf{fv} \forall \alpha^+ . M = \mathbf{fv} M \setminus \alpha^+$ .

$$\begin{aligned} \beta^\pm &= [\text{id}]\beta^\pm && \text{by definition of id} \\ &\simeq_1^{\leq} [\sigma'_1]\beta^\pm && \text{by 1} \\ &= [\vec{Q}/\alpha^+][\sigma_1]\beta^\pm && \text{by definition of } \sigma'_1 \\ &= [\sigma_1]\beta^\pm && \text{because } \alpha^+ \cap \mathbf{fv} [\sigma_1]\beta^\pm \subseteq \alpha^+ \cap \Gamma = \emptyset \end{aligned}$$

This way,  $\Gamma \vdash [\sigma_1]\beta^\pm \simeq_1^{\leq} \beta^\pm$  for any  $\beta^\pm \in \mathbf{fv} \forall \alpha^+ . M$  and thus,  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} \forall \alpha^+ . M$ .

**Case 3.**  $N = P \rightarrow M$

Then by inversion,  $\Gamma \vdash P$  and  $\Gamma \vdash M$ .  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  is rewritten as  $\Gamma \vdash [\sigma_1](P \rightarrow M) \leq_1 [\sigma_2](P \rightarrow M)$ , then by congruence of substitution,  $\Gamma \vdash [\sigma_1]P \rightarrow [\sigma_1]M \leq_1 [\sigma_2]P \rightarrow [\sigma_2]M$ , then by inversion  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$  and  $\Gamma \vdash [\sigma_1]M \leq_1 [\sigma_2]M$ .

Applying the induction hypothesis to  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$  and to  $\Gamma \vdash [\sigma_1]M \leq_1 [\sigma_2]M$ , we obtain (respectively):

$$\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} P \quad (3)$$

$$\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} M \quad (4)$$

Noting that  $\mathbf{fv} (P \rightarrow M) = \mathbf{fv} P \cup \mathbf{fv} M$ , we combine eqs. (3) and (4) to conclude:  $\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} (P \rightarrow M)$ .

**Case 4.**  $N = \uparrow P$

Then by inversion,  $\Gamma \vdash P$ .  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  is rewritten as  $\Gamma \vdash [\sigma_1]\uparrow P \leq_1 [\sigma_2]\uparrow P$ , then by congruence of substitution and by inversion,  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$

Applying the induction hypothesis to  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$ , we obtain  $\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} P$ . Since  $\mathbf{fv} \uparrow P = \mathbf{fv} P$ , we can conclude:  $\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} \uparrow P$ .

**Case 5.** The positive cases are proved symmetrically.

□

**Corollary 16** (Substitution cannot induce proper subtypes or supertypes). *Assuming all mentioned types are well-formed in  $\Gamma$  and  $\sigma$  is a substitution  $\Gamma \vdash \sigma : \Gamma$ ,*

$$\begin{aligned} \Gamma \vdash [\sigma]N \leq_1 N &\Rightarrow \Gamma \vdash [\sigma]N \simeq_1^{\leq} N \text{ and } \Gamma \vdash \sigma \simeq_1^{\leq} \text{id} : \mathbf{fv} N \\ \Gamma \vdash N \leq_1 [\sigma]N &\Rightarrow \Gamma \vdash N \simeq_1^{\leq} [\sigma]N \text{ and } \Gamma \vdash \sigma \simeq_1^{\leq} \text{id} : \mathbf{fv} N \\ \Gamma \vdash [\sigma]P \geq_1 P &\Rightarrow \Gamma \vdash [\sigma]P \simeq_1^{\leq} P \text{ and } \Gamma \vdash \sigma \simeq_1^{\leq} \text{id} : \mathbf{fv} P \\ \Gamma \vdash P \geq_1 [\sigma]P &\Rightarrow \Gamma \vdash P \simeq_1^{\leq} [\sigma]P \text{ and } \Gamma \vdash \sigma \simeq_1^{\leq} \text{id} : \mathbf{fv} P \end{aligned}$$

**Lemma 27.** *Assuming that the mentioned types ( $P$ ,  $Q$ ,  $N$ , and  $M$ ) are well-formed in  $\Gamma$  and that the substitutions ( $\sigma_1$  and  $\sigma_2$ ) have signature  $\Gamma \vdash \sigma_i : \Gamma$ ,*

- + if  $\Gamma \vdash [\sigma_1]P \geq_1 Q$  and  $\Gamma \vdash [\sigma_2]Q \geq_1 P$   
then there exists a bijection  $\mu : \mathbf{fv} P \leftrightarrow \mathbf{fv} Q$  such that  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \mu : \mathbf{fv} P$  and  $\Gamma \vdash \sigma_2 \simeq_1^{\leq} \mu^{-1} : \mathbf{fv} Q$ ;
- if  $\Gamma \vdash [\sigma_1]N \leq_1 M$  and  $\Gamma \vdash [\sigma_2]N \leq_1 M$   
then there exists a bijection  $\mu : \mathbf{fv} N \leftrightarrow \mathbf{fv} M$  such that  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \mu : \mathbf{fv} N$  and  $\Gamma \vdash \sigma_2 \simeq_1^{\leq} \mu^{-1} : \mathbf{fv} M$ .

*Proof.*

+ Applying  $\sigma_2$  to both sides of  $\Gamma \vdash [\sigma_1]P \geq_1 Q$  (by ??), we have:  $\Gamma \vdash [\sigma_2 \circ \sigma_1]P \geq_1 [\sigma_2]Q$ . Composing it with  $\Gamma \vdash [\sigma_2]Q \geq_1 P$  (by transitivity ??), we have  $\Gamma \vdash [\sigma_2 \circ \sigma_1]P \geq_1 P$ . Then by corollary 16,  $\Gamma \vdash \sigma_2 \circ \sigma_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} P$ .

By a symmetric argument, we also have:  $\Gamma \vdash \sigma_1 \circ \sigma_2 \simeq_1^{\leq} \text{id} : \mathbf{fv} Q$ .

Now, we prove that  $\Gamma \vdash \sigma_2 \circ \sigma_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} P$  and  $\Gamma \vdash \sigma_1 \circ \sigma_2 \simeq_1^{\leq} \text{id} : \mathbf{fv} Q$  implies that  $\sigma_1$  and  $\sigma_1$  are (equivalent to) mutually inverse bijections.

To do so, it suffices to prove that

- (i) for any  $\alpha^\pm \in \mathbf{fv} P$  there exists  $\beta^\pm \in \mathbf{fv} Q$  such that  $\Gamma \vdash [\sigma_1]\alpha^\pm \simeq_1^{\leq} \beta^\pm$  and  $\Gamma \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \alpha^\pm$ ; and
- (ii) for any  $\beta^\pm \in \mathbf{fv} Q$  there exists  $\alpha^\pm \in \mathbf{fv} P$  such that  $\Gamma \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \alpha^\pm$  and  $\Gamma \vdash [\sigma_1]\alpha^\pm \simeq_1^{\leq} \beta^\pm$ .

Then these correspondences between  $\mathbf{fv} P$  and  $\mathbf{fv} Q$  are mutually inverse functions, since for any  $\beta^\pm$  there can be at most one  $\alpha^\pm$  such that  $\Gamma \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \alpha^\pm$  (and vice versa).

(i) Let us take  $\alpha^\pm \in \mathbf{fv} P$ .

(a) if  $\alpha^\pm$  is positive ( $\alpha^\pm = \alpha^+$ ), from  $\Gamma \vdash [\sigma_2][\sigma_1]\alpha^+ \simeq_1^{\leq} \alpha^+$ , by corollary 3, we have  $[\sigma_2][\sigma_1]\alpha^+ = \exists \beta^-. \alpha^+$ .

What shape can  $[\sigma_1]\alpha^+$  have? It cannot be  $\exists \alpha^-. \downarrow N$  (for potentially empty  $\alpha^-$ ), because the outer constructor  $\downarrow$  would remain after the substitution  $\sigma_2$ , whereas  $\exists \beta^-. \alpha^+$  does not have  $\downarrow$ . The only case left is  $[\sigma_1]\alpha^+ = \exists \alpha^-. \gamma^+$ .

Notice that  $\Gamma \vdash \exists \alpha^-. \gamma^+ \simeq_1^{\leq} \gamma^+$ , meaning that  $\Gamma \vdash [\sigma_1]\alpha^+ \simeq_1^{\leq} \gamma^+$ . Also notice that  $[\sigma_2]\exists \alpha^-. \gamma^+ = \exists \beta^-. \alpha^+$  implies  $\Gamma \vdash [\sigma_2]\gamma^+ \simeq_1^{\leq} \alpha^+$ .

(b) if  $\alpha^\pm$  is negative ( $\alpha^\pm = \alpha^-$ ) from  $\Gamma \vdash [\sigma_2][\sigma_1]\alpha^- \simeq_1^{\leq} \alpha^-$ , by corollary 3, we have  $[\sigma_2][\sigma_1]\alpha^- = \forall \beta^+. \alpha^-$ .

What shape can  $[\sigma_1]\alpha^-$  have? It cannot be  $\forall \alpha^+. \uparrow P$  nor  $\forall \alpha^+. P \rightarrow M$  (for potentially empty  $\alpha^+$ ), because the outer constructor ( $\rightarrow$  or  $\uparrow$ ), remaining after the substitution  $\sigma_2$ , is however absent in the resulting  $\forall \beta^+. \alpha^-$ . Hence, the only case left is  $[\sigma_1]\alpha^- = \forall \alpha^+. \gamma^-$ . Notice that  $\Gamma \vdash \gamma^- \simeq_1^{\leq} \forall \alpha^+. \gamma^-$ , meaning that  $\Gamma \vdash [\sigma_1]\alpha^- \simeq_1^{\leq} \gamma^-$ . Also notice that  $[\sigma_2]\forall \alpha^+. \gamma^- = \forall \beta^+. \alpha^-$  implies  $\Gamma \vdash [\sigma_2]\gamma^- \simeq_1^{\leq} \alpha^-$ .

(ii) The proof is symmetric: We swap  $P$  and  $Q$ ,  $\sigma_1$  and  $\sigma_2$ , and exploit  $\Gamma \vdash [\sigma_1][\sigma_2]\alpha^\pm \simeq_1^{\leq} \alpha^\pm$  instead of  $\Gamma \vdash [\sigma_2][\sigma_1]\alpha^\pm \simeq_1^{\leq} \alpha^\pm$ .

– The proof is symmetric to the positive case.

□

**Lemma 28** (Equivalence of polymorphic types).

- For  $\Gamma \vdash \forall \alpha^+. N$  and  $\Gamma \vdash \forall \beta^+. M$ ,  
if  $\Gamma \vdash \forall \alpha^+. N \simeq_1^{\leq} \forall \beta^+. M$  then there exists a bijection  $\mu : \vec{\beta}^+ \cap \mathbf{fv} M \leftrightarrow \vec{\alpha}^+ \cap \mathbf{fv} N$  such that  $\Gamma, \vec{\alpha}^+ \vdash N \simeq_1^{\leq} [\mu]N$ ,
- + For  $\Gamma \vdash \exists \alpha^-. P$  and  $\Gamma \vdash \exists \beta^-. Q$ ,  
if  $\Gamma \vdash \exists \alpha^-. P \simeq_1^{\leq} \exists \beta^-. Q$  then there exists a bijection  $\mu : \vec{\beta}^- \cap \mathbf{fv} Q \leftrightarrow \vec{\alpha}^- \cap \mathbf{fv} P$  such that  $\Gamma, \vec{\beta}^- \vdash P \simeq_1^{\leq} [\mu]Q$ .

*Proof.*

– First, by  $\alpha$ -conversion, we ensure  $\vec{\alpha}^+ \cap \mathbf{fv} M = \emptyset$  and  $\vec{\beta}^+ \cap \mathbf{fv} N = \emptyset$ . By inversion,  $\Gamma \vdash \forall \alpha^+. N \simeq_1^{\leq} \forall \beta^+. M$  implies

1.  $\Gamma, \vec{\beta}^+ \vdash [\sigma_1]N \leq_1 M$  for  $\Gamma, \vec{\beta}^+ \vdash \sigma_1 : \vec{\alpha}^+$  and
2.  $\Gamma, \vec{\alpha}^+ \vdash [\sigma_2]M \leq_1 N$  for  $\Gamma, \vec{\alpha}^+ \vdash \sigma_2 : \vec{\beta}^+$ .

To apply lemma 27, we weaken and rearrange the contexts, and extend the substitutions to act as identity outside of their initial domain:

1.  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\sigma_1]N \leq_1 M$  for  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_1 : \Gamma, \vec{\alpha}^+, \vec{\beta}^+$  and
2.  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\sigma_2]M \leq_1 N$  for  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_2 : \Gamma, \vec{\alpha}^+, \vec{\beta}^+$ .

Then from lemma 27, there exists a bijection  $\mu : \mathbf{fv} M \leftrightarrow \mathbf{fv} N$  such that  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_2 \simeq_1^{\leq} \mu : \mathbf{fv} M$  and  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_1 \simeq_1^{\leq} \mu^{-1} : \mathbf{fv} N$ .

Let us show that if we restrict the domain of  $\mu$  to  $\vec{\beta}^+$ , its range will be contained in  $\vec{\alpha}^+$ . Let us take  $\gamma^+ \in \vec{\beta}^+ \cap \mathbf{fv} M$  and assume  $[\mu]\gamma^+ \notin \vec{\alpha}^+$ . Then since  $\Gamma, \vec{\beta}^+ \vdash \sigma_1 : \vec{\alpha}^+$ ,  $\sigma_1$  acts as identity outside of  $\vec{\alpha}^+$ , i.e.  $[\sigma_1][\mu]\gamma^+ = [\mu]\gamma^+$ . Since  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_1 \simeq_1^{\leq} \mu^{-1} : \mathbf{fv} N$ , application of  $\sigma_1$  is equivalent to application of  $\mu^{-1}$ , then  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\mu^{-1}][\mu]\gamma^+ \simeq_1^{\leq} [\mu]\gamma^+$ , i.e.

$\Gamma, \overrightarrow{\alpha^+}, \overrightarrow{\beta^+} \vdash \gamma^+ \simeq_1^\leq [\mu]\gamma^+$ , which means  $\gamma^+ \in \mathbf{fv}[\mu]\gamma^+ \subseteq \mathbf{fv} N$ . By assumption,  $\gamma^+ \in \overrightarrow{\beta^+} \cap \mathbf{fv} M$ , i.e.  $\overrightarrow{\beta^+} \cap \mathbf{fv} N \neq \emptyset$ , hence contradiction.

By ??,  $\Gamma, \overrightarrow{\alpha^+}, \overrightarrow{\beta^+} \vdash \sigma_2 \simeq_1^\leq \mu|_{\overrightarrow{\beta^+}} : \mathbf{fv} M$  implies  $\Gamma, \overrightarrow{\alpha^+}, \overrightarrow{\beta^+} \vdash [\sigma_2]M \simeq_1^\leq [\mu|_{\overrightarrow{\beta^+}}]M$ . By similar reasoning,  $\Gamma, \overrightarrow{\alpha^+}, \overrightarrow{\beta^+} \vdash [\sigma_1]N \simeq_1^\leq [\mu^{-1}|_{\overrightarrow{\alpha^+}}]N$ .

This way,

$$\Gamma, \overrightarrow{\alpha^+}, \overrightarrow{\beta^+} \vdash [\mu^{-1}|_{\overrightarrow{\alpha^+}}]N \leq_1 M \quad (5)$$

$$\Gamma, \overrightarrow{\alpha^+}, \overrightarrow{\beta^+} \vdash [\mu|_{\overrightarrow{\beta^+}}]M \leq_1 N \quad (6)$$

By applying  $\mu|_{\overrightarrow{\beta^+}}$  to both sides of 5 (??) and contracting  $\mu^{-1}|_{\overrightarrow{\alpha^+}} \circ \mu|_{\overrightarrow{\beta^+}} = \mu|_{\overrightarrow{\beta^+}}^{-1} \circ \mu|_{\overrightarrow{\beta^+}} = \text{id}$ , we have:  $\Gamma, \overrightarrow{\alpha^+}, \overrightarrow{\beta^+} \vdash N \leq_1 [\mu|_{\overrightarrow{\beta^+}}]M$ , which together with 6 means  $\Gamma, \overrightarrow{\alpha^+}, \overrightarrow{\beta^+} \vdash N \simeq_1^\leq [\mu|_{\overrightarrow{\beta^+}}]M$ , and by strengthening,  $\Gamma, \overrightarrow{\alpha^+} \vdash N \simeq_1^\leq [\mu|_{\overrightarrow{\beta^+}}]M$ . Symmetrically,  $\Gamma, \overrightarrow{\beta^+} \vdash M \simeq_1^\leq [\mu|_{\overrightarrow{\beta^+}}^{-1}]N$ .

- + The proof is symmetric to the proof of the negative case.

□

**Lemma 29** (Completeness of equivalence). *Mutual subtyping implies declarative equivalence. Assuming all the types below are well-formed in  $\Gamma$ :*

- + if  $\Gamma \vdash P \simeq_1^\leq Q$  then  $P \simeq_1^D Q$ ,
- if  $\Gamma \vdash N \simeq_1^\leq M$  then  $N \simeq_1^D M$ .

*Proof.* – Induction on the sum of sizes of  $N$  and  $M$ . By inversion,  $\Gamma \vdash N \simeq_1^\leq M$  means  $\Gamma \vdash N \leq_1 M$  and  $\Gamma \vdash M \leq_1 N$ . Let us consider the last rule that forms  $\Gamma \vdash N \leq_1 M$ :

**Case 1.** Rule ( $\text{Var}^{-\leq_1}$ ) i.e.  $\Gamma \vdash N \leq_1 M$  is of the form  $\Gamma \vdash \alpha^- \leq_1 \alpha^-$   
Then  $N \simeq_1^D M$  (i.e.  $\alpha^- \simeq_1^D \alpha^-$ ) holds immediately by Rule ( $\text{Var}^{-\simeq_1^D}$ ).

**Case 2.** Rule ( $\uparrow^{\leq_1}$ ) i.e.  $\Gamma \vdash N \leq_1 M$  is of the form  $\Gamma \vdash \uparrow P \leq_1 \uparrow Q$   
Then by inversion,  $\Gamma \vdash P \simeq_1^\leq Q$ , and by induction hypothesis,  $P \simeq_1^D Q$ . Then  $N \simeq_1^D M$  (i.e.  $\uparrow P \simeq_1^D \uparrow Q$ ) holds by Rule ( $\uparrow^{\simeq_1^D}$ ).

**Case 3.** Rule ( $\rightarrow^{\leq_1}$ ) i.e.  $\Gamma \vdash N \leq_1 M$  is of the form  $\Gamma \vdash P \rightarrow N' \leq_1 Q \rightarrow M'$   
Then by inversion,  $\Gamma \vdash P \geq_1 Q$  and  $\Gamma \vdash N' \leq_1 M'$ . Notice that  $\Gamma \vdash M \leq_1 N$  is of the form  $\Gamma \vdash Q \rightarrow M' \leq_1 P \rightarrow N'$ , which by inversion means  $\Gamma \vdash Q \geq_1 P$  and  $\Gamma \vdash M' \leq_1 N'$ .  
This way,  $\Gamma \vdash Q \simeq_1^\leq P$  and  $\Gamma \vdash M' \simeq_1^\leq N'$ . Then by induction hypothesis,  $Q \simeq_1^D P$  and  $M' \simeq_1^D N'$ . Then  $N \simeq_1^D M$  (i.e.  $P \rightarrow N' \simeq_1^D Q \rightarrow M'$ ) holds by Rule ( $\rightarrow^{\simeq_1^D}$ ).

**Case 4.** Rule ( $\forall^{\leq_1}$ ) i.e.  $\Gamma \vdash N \leq_1 M$  is of the form  $\Gamma \vdash \forall \overrightarrow{\alpha^+}. N' \leq_1 \forall \overrightarrow{\beta^+}. M'$   
Then by ??,  $\Gamma \vdash \forall \overrightarrow{\alpha^+}. N' \simeq_1^\leq \forall \overrightarrow{\beta^+}. M'$  means that there exists a bijection  $\mu : \overrightarrow{\beta^+} \cap \mathbf{fv} M' \leftrightarrow \overrightarrow{\alpha^+} \cap \mathbf{fv} N'$  such that  $\Gamma, \overrightarrow{\alpha^+} \vdash [\mu]M' \simeq_1^\leq N'$ .  
Notice that the application of bijection  $\mu$  to  $M'$  does not change its size (which is less than the size of  $M$ ), hence the induction hypothesis applies. This way,  $[\mu]M' \simeq_1^D N'$  (and by symmetry,  $N' \simeq_1^D [\mu]M'$ ) holds by induction. Then we apply Rule ( $\forall^{\simeq_1^D}$ ) to get  $\forall \overrightarrow{\alpha^+}. N' \simeq_1^D \forall \overrightarrow{\beta^+}. M'$ , i.e.  $N \simeq_1^D M$ .

- + The proof is symmetric to the proof of the negative case.

□

**Corollary 17** (Normalization is complete w.r.t. subtyping-induced equivalence). *Assuming all the types below are well-formed in  $\Gamma$ :*

- + if  $\Gamma \vdash P \simeq_1^\leq Q$  then  $\mathbf{nf}(P) = \mathbf{nf}(Q)$ ,
- if  $\Gamma \vdash N \simeq_1^\leq M$  then  $\mathbf{nf}(N) = \mathbf{nf}(M)$ .

*Proof.* Immediately from lemmas 19 and 29.

**Lemma 30** (Algorithmization of subtyping-induced equivalence). *Mutual subtyping is equality of normal forms. Assuming all the types below are well-formed in  $\Gamma$ :*

- +  $\Gamma \vdash P \simeq_1^\leq Q \iff \mathbf{nf}(P) = \mathbf{nf}(Q)$ ,



- $\Gamma \vdash N \simeq_1^< M \iff \mathbf{nf}(N) = \mathbf{nf}(M)$ .

*Proof.* Let us prove the positive case, the negative case is symmetric. We prove both directions of  $\iff$  separately:

$\Rightarrow$  exactly corollary 17;

$\Leftarrow$  by lemmas 23 and 25.

□

## 4.8 Unification Constraint Merge

**Lemma 31** (Soundness of Unification Constraint Merge). *Suppose that  $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$  are normalized unification constraints. If  $\Theta \vdash UC_1 \& UC_2 = UC$  is defined then  $UC = UC_1 \cup UC_2$ .*

*Proof.*

- $UC_1 \& UC_2 \subseteq UC_1 \cup UC_2$

By definition,  $UC_1 \& UC_2$  consists of three parts: entries of  $UC_1$  that do not have matching entries of  $UC_2$ , entries of  $UC_2$  that do not have matching entries of  $UC_1$ , and the merge of matching entries.

If  $e$  is from the first or the second part, then  $e \in UC_1 \cup UC_2$  holds immediately. If  $e$  is from the third part, then  $e$  is the merge of two matching entries  $e_1 \in UC_1$  and  $e_2 \in UC_2$ . Since  $UC_1$  and  $UC_2$  are normalized unification,  $e_1$  and  $e_2$  have one of the following forms:

- $\hat{\alpha}^+ : \approx P_1$  and  $\hat{\alpha}^+ : \approx P_2$ , where  $P_1$  and  $P_2$  are normalized, and then since  $\Theta(\hat{\alpha}^+) \vdash e_1 \& e_2 = e$  exists, Rule ?? was applied to infer it. It means that  $e = e_1 = e_2$ ;
- $\hat{\alpha}^- : \approx N_1$  and  $\hat{\alpha}^- : \approx N_2$ , then symmetrically,  $\Theta(\hat{\alpha}^-) \vdash e_1 \& e_2 = e = e_1 = e_2$

In both cases,  $e \in UC_1 \cup UC_2$ .

- $UC_1 \cup UC_2 \subseteq UC_1 \& UC_2$

Let us take an arbitrary  $e_1 \in UC_1$ . Then since  $UC_1$  is a unification constraint,  $e_1$  has one of the following forms:

- $\hat{\alpha}^+ : \approx P$  where  $P$  is normalized. If  $\hat{\alpha}^+ \notin \mathbf{dom}(UC_2)$ , then  $e_1 \in UC_1 \& UC_2$ . Otherwise, there is a normalized matching  $e_2 = (\hat{\alpha}^+ : \approx P') \in UC_2$  and then since  $UC_1 \& UC_2$  exists, Rule ?? was applied to construct  $e_1 \& e_2 \in UC_1 \& UC_2$ . By inversion of Rule ??,  $e_1 \& e_2 = e_1$ , and  $\mathbf{nf}(P) = \mathbf{nf}(P')$ , which since  $P$  and  $P'$  are normalized, implies that  $P = P'$ , that is  $e_1 = e_2 \in UC_1 \& UC_2$ .
- $\hat{\alpha}^- : \approx N$  where  $N$  is normalized. Then symmetrically,  $e_1 = e_2 \in UC_1 \& UC_2$ .

Similarly, if we take an arbitrary  $e_2 \in UC_2$ , then  $e_1 = e_2 \in UC_1 \& UC_2$ .

□

**Corollary 18.** *Suppose that  $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$  are normalized unification constraints. If  $\Theta \vdash UC_1 \& UC_2 = UC$  is defined then*

1.  $\Theta \vdash UC$  is normalized unification constraint,
2. for any substitution  $\Theta \vdash \hat{\sigma}$ ,  $\Theta \vdash \hat{\sigma} : UC$  implies  $\Theta \vdash \hat{\sigma} : UC_1$  and  $\Theta \vdash \hat{\sigma} : UC_2$ .

*Proof.* It is clear that since  $UC = UC_1 \cup UC_2$  (by lemma 31), and being normalized means that all entries are normalized,  $UC$  is a normalized unification constraint. Analogously,  $\Theta \vdash UC = UC_1 \cup UC_2$  holds immediately, since  $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$ .

Let us take an arbitrary substitution  $\Theta \vdash \hat{\sigma}$  and assume that  $\Theta \vdash \hat{\sigma} : UC$ . Then  $\Theta \vdash \hat{\sigma} : UC_i$  holds by definition: If  $e \in UC_i \subseteq UC_1 \cup UC_2 = UC$ . So  $\Theta(\hat{\sigma}^\pm) \vdash [\hat{\sigma}] \hat{\alpha}^\pm : e$  holds. □

**Lemma 32** (Completeness of Unification Constraint Entry Merge). *For a fixed context  $\Gamma$ , suppose that  $\Gamma \vdash e_1$  and  $\Gamma \vdash e_2$  are matching constraint entries.*

- for a type  $P$  such that  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$ ,  $\Gamma \vdash e_1 \& e_2 = e$  is defined and  $\Gamma \vdash P : e$ .
- for a type  $N$  such that  $\Gamma \vdash N : e_1$  and  $\Gamma \vdash N : e_2$ ,  $\Gamma \vdash e_1 \& e_2 = e$  is defined and  $\Gamma \vdash N : e$ .

*Proof.* The proof repeats the one of lemma 50 and is done by the case analysis on the shape of  $e_1$  and  $e_2$ . However, it only needs to consider two cases.

**Case 1.**  $e_1$  is  $\hat{\alpha}^+ : \approx Q_1$  and  $e_2$  is  $\hat{\alpha}^+ : \approx Q_2$ .

**Case 2.**  $e_1$  is  $\hat{\alpha}^- : \approx N_1$  and  $e_2$  is  $\hat{\alpha}^- : \approx M_2$ .

The proof of these cases is based only on lemma 30 and corollary 5, and does not require the properties of the least upper bound or subtyping.  $\square$

**Lemma 33** (Completeness of Unification Constraint Merge). *Suppose that  $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$ . Then for any substitution  $\Theta \vdash \hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : UC_1$  and  $\Theta \vdash \hat{\sigma} : UC_2$ ,*

1.  $\Theta \vdash UC_1 \& UC_2 = UC$  is defined and
2.  $\Theta \vdash \hat{\sigma} : UC$ .

*Proof.* The proof repeats the proof of lemma 51 but uses lemma 32 instead of lemma 50.  $\square$

## 4.9 Unification

**Lemma 34** (Soundness of Unification).

- + For normalized  $P$  and  $Q$  such that  $\Gamma; \Theta \vdash P$  and  $\Gamma \vdash Q$ ,  
if  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC$  then  $\Theta \vdash UC$  and for any normalized  $\hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : UC$ ,  $[\hat{\sigma}]P = Q$ .
- For normalized  $N$  and  $M$  such that  $\Gamma; \Theta \vdash N$  and  $\Gamma \vdash M$ ,  
if  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$  then  $\Theta \vdash UC$  and for any normalized  $\hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : UC$ ,  $[\hat{\sigma}]N = M$ .

*Proof.* We prove by induction on the derivation of  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$  and mutually  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC$ . Let us consider the last rule forming this derivation.

**Case 1.** Rule  $(\text{Var}^{-\frac{u}{\simeq}})$ , then  $N = \alpha^- = M$ . The resulting unification constraint is empty:  $UC = \cdot$ . It satisfies  $\Theta \vdash UC$  vacuously, and  $[\hat{\sigma}]\alpha^- = \alpha^-$ , that is  $[\hat{\sigma}]N = M$ .

**Case 2.** Rule  $(\uparrow^{\frac{u}{\simeq}})$ , then  $N = \uparrow P$  and  $M = \uparrow Q$ . The algorithm makes a recursive call to  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC$  returning  $UC$ . By induction hypothesis,  $\Theta \vdash UC$  and for any  $\Theta \vdash \hat{\sigma} : UC$ ,  $[\hat{\sigma}]N = [\hat{\sigma}]\uparrow P = \uparrow[\hat{\sigma}]P = \uparrow Q = M$ , as required.

**Case 3.** Rule  $(\rightarrow^{\frac{u}{\simeq}})$ , then  $N = P \rightarrow N'$  and  $M = Q \rightarrow M'$ . The algorithm makes two recursive calls to  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC_1$  and  $\Gamma; \Theta \models N' \stackrel{u}{\simeq} M' \Rightarrow UC_2$  returning  $\Theta \vdash UC_1 \& UC_2 = UC$  as the result.

It is clear that  $P$ ,  $N'$ ,  $Q$ , and  $M'$  are normalized, and that  $\Gamma; \Theta \vdash P$ ,  $\Gamma; \Theta \vdash N'$ ,  $\Gamma \vdash Q$ , and  $\Gamma \vdash M'$ . This way, the induction hypothesis is applicable to both recursive calls.

By applying the induction hypothesis to  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC_1$ , we have:

- $\Theta \vdash UC_1$ ,
- for any  $\Theta \vdash \hat{\sigma}' : UC_1$ ,  $[\hat{\sigma}']P = Q$ .

By applying it to  $\Gamma; \Theta \models N' \stackrel{u}{\simeq} M' \Rightarrow UC_2$ , we have:

- $\Theta \vdash UC_2$ ,
- for any  $\Theta \vdash \hat{\sigma}' : UC_2$ ,  $[\hat{\sigma}']N' = M'$ .

Let us take an arbitrary  $\Theta \vdash \hat{\sigma} : UC$ . By the soundness of the constraint merge (lemma 49),  $\Theta \vdash UC_1 \& UC_2 = UC$  implies  $\Theta \vdash \hat{\sigma} : UC_1$  and  $\Theta \vdash \hat{\sigma} : UC_2$ .

Applying the induction hypothesis to  $\Theta \vdash \hat{\sigma} : UC_1$ , we have  $[\hat{\sigma}]P = Q$ ; applying it to  $\Theta \vdash \hat{\sigma} : UC_2$ , we have  $[\hat{\sigma}]N' = M'$ . This way,  $[\hat{\sigma}]N = [\hat{\sigma}]P \rightarrow [\hat{\sigma}]N' = Q \rightarrow M' = M$ .

**Case 4.** Rule  $(\forall^{\frac{u}{\simeq}})$ , then  $N = \forall \alpha^+. N'$  and  $M = \forall \alpha^+. M'$ . The algorithm makes a recursive call to  $\Gamma, \alpha^+; \Theta \models N' \stackrel{u}{\simeq} M' \Rightarrow UC$  returning  $UC$  as the result.

The induction hypothesis is applicable:  $\Gamma, \alpha^+; \Theta \vdash N'$  and  $\Gamma, \alpha^+ \vdash M'$  hold by inversion, and  $N'$  and  $M'$  are normalized, since  $N$  and  $M$  are. Let us take an arbitrary  $\Theta \vdash \hat{\sigma} : UC$ . By the induction hypothesis,  $[\hat{\sigma}]N' = M'$ . Then  $[\hat{\sigma}]N = [\hat{\sigma}]\forall \alpha^+. N' = \forall \alpha^+. [\hat{\sigma}]N' = \forall \alpha^+. M' = M$ .

**Case 5.** Rule  $(\text{UVar}^{-\frac{u}{\simeq}})$ , then  $N = \hat{\alpha}^-$ ,  $\hat{\alpha}^- \{ \Delta \} \in \Theta$ , and  $\Delta \vdash M$ . As the result, the algorithm returns  $UC = (\hat{\alpha}^- : \approx M)$ .

It is clear that  $\hat{\alpha}^- \{ \Delta \} \vdash (\hat{\alpha}^- : \approx M)$ , since  $\Delta \vdash M$ , meaning that  $\Theta \vdash UC$ .

Let us take an arbitrary  $\hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : UC$ . Since  $UC = (\hat{\alpha}^- : \approx M)$ ,  $\Theta \vdash \hat{\sigma} : UC$  implies  $\Theta(\hat{\alpha}^-) \vdash [\hat{\sigma}]\hat{\alpha}^- : (\hat{\alpha}^- : \approx M)$ . By inversion of Rule SATSCENEq, it means  $\Theta(\hat{\alpha}^-) \vdash [\hat{\sigma}]\hat{\alpha}^- \simeq_1^{\leq} M$ . This way,  $\Theta(\hat{\alpha}^-) \vdash [\hat{\sigma}]N \simeq_1^{\leq} M$ . Notice that  $\hat{\sigma}$  and  $N$  are normalized, and by ??, so is  $[\hat{\sigma}]N$ . Since both sides of  $\Theta(\hat{\alpha}^-) \vdash [\hat{\sigma}]N \simeq_1^{\leq} M$  are normalized, by lemma 30, we have  $[\hat{\sigma}]N = M$ .

**Case 6.** The positive cases are proved symmetrically. □

**Lemma 35** (Completeness of Unification).

- + For normalized  $P$  and  $Q$  such that  $\Gamma; \Theta \vdash P$  and  $\Gamma \vdash Q$ , for any  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]P = Q$ , there exists  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC$ , and  $\Theta \vdash \hat{\sigma} : UC$ .
- For normalized  $N$  and  $M$  such that  $\Gamma; \Theta \vdash N$  and  $\Gamma \vdash M$ , for any  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]N = M$ , there exists  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$ , and  $\Theta \vdash \hat{\sigma} : UC$ .

*Proof.* We prove it by induction on the structure of  $P$  and mutually,  $N$ .

**Case 1.**  $N = \hat{\alpha}^-$

$\Gamma; \Theta \vdash \hat{\alpha}^-$  means that  $\hat{\alpha}^- \{\Delta\} \in \Theta$  for some  $\Delta$ .

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]\hat{\alpha}^- = M$ .  $\Theta \vdash \hat{\sigma}$  means that  $\Delta \vdash M$ . This way, Rule (UVar $^{-\hat{u}}$ ) is applicable to infer  $\Gamma; \Theta \models \hat{\alpha}^- \stackrel{u}{\simeq} M \Rightarrow (\hat{\alpha}^- : \approx M)$ .  $\Theta \vdash \hat{\sigma} : (\hat{\alpha}^- : \approx M)$  holds by Rule SATSCENE<sub>q</sub>.

**Case 2.**  $N = \alpha^-$

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]\alpha^- = M$ . The latter means  $M = \alpha^-$ .

Then  $[\hat{\sigma}]\alpha^- = M$  means  $M = \alpha^-$ . This way, Rule (Var $^{-\hat{u}}$ ) infers  $\Gamma; \Theta \models \alpha^- \stackrel{u}{\simeq} \alpha^- \Rightarrow \cdot$ , which is rewritten as  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow \cdot$ , and  $\Theta \vdash \hat{\sigma} : \cdot$  holds trivially.

**Case 3.**  $N = \uparrow P$

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]\uparrow P = M$ . The latter means  $\uparrow[\hat{\sigma}]P = M$ , i.e.  $M = \uparrow Q$  for some  $Q$  and  $[\hat{\sigma}]P = Q$ .

Let us show that the induction hypothesis is applicable to  $[\hat{\sigma}]P = Q$ . Notice that  $P$  is normalized, since  $N = \uparrow P$  is normalized,  $\Gamma; \Theta \vdash P$  holds by inversion of  $\Gamma; \Theta \vdash \uparrow P$ , and  $\Gamma \vdash Q$  holds by inversion of  $\Gamma \vdash \uparrow Q$ .

This way, by the induction hypothesis there exists  $UC$  such that  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC$ , and moreover,  $\Theta \vdash \hat{\sigma} : UC$ .

**Case 4.**  $N = P \rightarrow N'$

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}](P \rightarrow N') = M$ . The latter means  $[\hat{\sigma}]P \rightarrow [\hat{\sigma}]N' = M$ , i.e.  $M = Q \rightarrow M'$  for some  $Q$  and  $M'$ , such that  $[\hat{\sigma}]P = Q$  and  $[\hat{\sigma}]N' = M'$ .

Let us show that the induction hypothesis is applicable to  $[\hat{\sigma}]P = Q$  and to  $[\hat{\sigma}]N' = M'$ :

- $P$  and  $N'$  are normalized, since  $N = P \rightarrow N'$  is normalized
- $\Gamma; \Theta \vdash P$  and  $\Gamma; \Theta \vdash N'$  follow from the inversion of  $\Gamma; \Theta \vdash P \rightarrow N'$ ,
- $\Gamma \vdash Q$  and  $\Gamma \vdash M'$  follow from inversion of  $\Gamma \vdash Q \rightarrow M'$ .

Then by the induction hypothesis,  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC_1$  and  $\Theta \vdash \hat{\sigma} : UC_1$ ,  $\Gamma; \Theta \models N' \stackrel{u}{\simeq} M' \Rightarrow UC_2$  and  $\Theta \vdash \hat{\sigma} : UC_2$ . To apply Rule ( $\rightarrow^{\hat{u}}$ ) and infer the required  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$ , we need to show that  $\Theta \vdash UC_1 \& UC_2 = UC$  is defined and  $\Theta \vdash \hat{\sigma} : UC$ . It holds by the completeness of the unification constraint merge (lemma 51):

- $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$  holds by the soundness of unification (lemma 34)
- $\Theta \vdash \hat{\sigma} : UC_1$  and  $\Theta \vdash \hat{\sigma} : UC_2$  holds as noted above

**Case 5.**  $N = \forall \alpha^+ . N'$

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]\forall \alpha^+ . N' = M$ . The latter means  $\forall \alpha^+ . [\hat{\sigma}]N' = M$ , i.e.  $M = \forall \alpha^+ . M'$  for some  $M'$  such that  $[\hat{\sigma}]N' = M'$ .

Let us show that the induction hypothesis is applicable to  $[\hat{\sigma}]N' = M'$ . Notice that  $N'$  is normalized, since  $N = \forall \alpha^+ . N'$  is normalized,  $\Gamma, \alpha^+; \Theta \vdash N'$  follows from inversion of  $\Gamma; \Theta \vdash \forall \alpha^+ . N'$ ,  $\Gamma, \alpha^+ \vdash M'$  follows from inversion of  $\Gamma \vdash \forall \alpha^+ . M'$ , and  $\Theta \vdash \hat{\sigma}$  by assumption.

This way, by the induction hypothesis,  $\Gamma, \alpha^+; \Theta \models N' \stackrel{u}{\simeq} M' \Rightarrow UC$  exists and moreover,  $\Theta \vdash \hat{\sigma} : UC$ . Hence, Rule ( $\forall^{\hat{u}}$ ) is applicable to infer  $\Gamma; \Theta \models \forall \alpha^+ . N' \stackrel{u}{\simeq} \forall \alpha^+ . M' \Rightarrow UC$ , that is  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$ .

**Case 6.** The positive cases are proved symmetrically. □

## 4.10 Anti-unification

**Observation 1** (Anti-unification algorithm is deterministic).

- + If  $\Gamma \models P_1 \overset{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and  $\Gamma \models P_1 \overset{a}{\simeq} P_2 \Rightarrow (\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)$ , then  $\Xi = \Xi'$ ,  $Q = Q'$ ,  $\hat{\tau}_1 = \hat{\tau}'_1$ , and  $\hat{\tau}_2 = \hat{\tau}'_2$ .
- If  $\Gamma \models N_1 \overset{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  and  $\Gamma \models N_1 \overset{a}{\simeq} N_2 \Rightarrow (\Xi', M', \hat{\tau}'_1, \hat{\tau}'_2)$ , then  $\Xi = \Xi'$ ,  $M = M'$ ,  $\hat{\tau}_1 = \hat{\tau}'_1$ , and  $\hat{\tau}_2 = \hat{\tau}'_2$ .

*Proof.* By trivial induction on  $\Gamma \models P_1 \overset{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and mutually on  $\Gamma \models N_1 \overset{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ .  $\square$

**Observation 2.** Names of the anti-unification variables are uniquely defined by the types they are mapped to by the resulting substitutions.

- + Assuming  $P_1$  and  $P_2$  are normalized, if  $\Gamma \models P_1 \overset{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  then for any  $\hat{\beta}^- \in \Xi$ ,  $\hat{\beta}^- = \hat{\alpha}^-_{\{[\hat{\tau}_1]\hat{\beta}^-, [\hat{\tau}_2]\hat{\beta}^-\}}$
- Assuming  $N_1$  and  $N_2$  are normalized, if  $\Gamma \models N_1 \overset{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  then for any  $\hat{\beta}^- \in \Xi$ ,  $\hat{\beta}^- = \hat{\alpha}^-_{\{[\hat{\tau}_1]\hat{\beta}^-, [\hat{\tau}_2]\hat{\beta}^-\}}$

*Proof.* By simple induction on  $\Gamma \models P_1 \overset{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and mutually on  $\Gamma \models N_1 \overset{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ . Let us consider the last rule applied to infer this judgment.

**Case 1.** Rule  $(\text{Var}^{+ \overset{a}{\simeq}})$  or Rule  $(\text{Var}^{- \overset{a}{\simeq}})$ , then  $\Xi = \cdot$ , and the property holds vacuously.

**Case 2.** Rule  $(\text{AU}^-)$  Then  $\Xi = \hat{\alpha}^-_{\{N_1, N_2\}}$ ,  $\hat{\tau}_1 = \hat{\alpha}^-_{\{N_1, N_2\}} : \approx N_1$ , and  $\hat{\tau}_2 = \hat{\alpha}^-_{\{N_1, N_2\}} : \approx N_2$ . So the property holds trivially.

**Case 3.** Rule  $??$  In this case,  $\Xi = \Xi' \cup \Xi''$ ,  $\hat{\tau}_1 = \hat{\tau}'_1 \cup \hat{\tau}''_1$ , and  $\hat{\tau}_2 = \hat{\tau}'_2 \cup \hat{\tau}''_2$ , where the property holds for  $(\Xi', \hat{\tau}'_1, \hat{\tau}'_2)$  and  $(\Xi'', \hat{\tau}''_1, \hat{\tau}''_2)$  by the induction hypothesis. Then since the union of solutions does not change the types the variables are mapped to, the required property holds for  $\Xi$ ,  $\hat{\tau}_1$ , and  $\hat{\tau}_2$ .

**Case 4.** For the other rules, the resulting  $\Xi$  is taken from the recursive call and the required property holds immediately by the induction hypothesis.  $\square$

**Lemma 36** (Soundness of Anti-Unification).

- + Assuming  $P_1$  and  $P_2$  are normalized, if  $\Gamma \models P_1 \overset{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  then
  1.  $\Gamma; \Xi \vdash Q$ ,
  2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
  3.  $[\hat{\tau}_i]Q = P_i$  for  $i \in \{1, 2\}$ .
- Assuming  $N_1$  and  $N_2$  are normalized, if  $\Gamma \models N_1 \overset{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  then
  1.  $\Gamma; \Xi \vdash M$ ,
  2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
  3.  $[\hat{\tau}_i]M = N_i$  for  $i \in \{1, 2\}$ .

*Proof.* We prove it by induction on  $\Gamma \models N_1 \overset{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  and mutually,  $\Gamma \models P_1 \overset{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ . Let us consider the last rule applied to infer this judgement.

**Case 1.** Rule  $(\text{Var}^{- \overset{a}{\simeq}})$ , then  $N_1 = \alpha^- = N_2$ ,  $\Xi = \cdot$ ,  $M = \alpha^-$ , and  $\hat{\tau}_1 = \hat{\tau}_2 = \cdot$ .

1.  $\Gamma; \cdot \vdash \alpha^-$  follows from the assumption  $\Gamma \vdash \alpha^-$ ,
2.  $\Gamma; \cdot \vdash \cdot : \cdot$  holds trivially, and
3.  $[\cdot]\alpha^- = \alpha^-$  holds trivially.

**Case 2.** Rule  $(\uparrow \overset{a}{\simeq})$ , then  $N_1 = \uparrow P_1$ ,  $N_2 = \uparrow P_2$ , and the algorithm makes the recursive call:  $\Gamma \models P_1 \overset{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ , returning  $(\Xi, \uparrow Q, \hat{\tau}_1, \hat{\tau}_2)$  as the result.

Since  $N_1 = \uparrow P_1$  and  $N_2 = \uparrow P_2$  are normalized, so are  $P_1$  and  $P_2$ , and thus, the induction hypothesis is applicable to  $\Gamma \models P_1 \overset{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ :

1.  $\Gamma; \Xi \vdash Q$ , and hence,  $\Gamma; \Xi \vdash \uparrow Q$ ,

2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  for  $i \in \{1, 2\}$ , and
3.  $[\hat{\tau}_i]Q = P_i$  for  $i \in \{1, 2\}$ , and then by the definition of the substitution,  $[\hat{\tau}_i]\uparrow Q = \uparrow P_i$  for  $i \in \{1, 2\}$ .

**Case 3.** Rule  $(\rightarrow^a)$ , then  $N_1 = P_1 \rightarrow N'_1$ ,  $N_2 = P_2 \rightarrow N'_2$ , and the algorithm makes two recursive calls:  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 = (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and  $\Gamma \models N'_1 \stackrel{a}{\simeq} N'_2 = (\Xi', M, \hat{\tau}'_1, \hat{\tau}'_2)$  and returns  $(\Xi \cup \Xi', Q \rightarrow M, \hat{\tau}_1 \cup \hat{\tau}'_1, \hat{\tau}_2 \cup \hat{\tau}'_2)$  as the result.

Notice that the induction hypothesis is applicable to  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 = (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ :  $P_1$  and  $P_2$  are normalized, since  $N_1 = P_1 \rightarrow N'_1$  and  $N_2 = P_2 \rightarrow N'_2$  are normalized. Similarly, the induction hypothesis is applicable to  $\Gamma \models N'_1 \stackrel{a}{\simeq} N'_2 = (\Xi', M, \hat{\tau}'_1, \hat{\tau}'_2)$ .

This way, by the induction hypothesis:

1.  $\Gamma; \Xi \vdash Q$  and  $\Gamma; \Xi' \vdash M$ . Then by weakening (??),  $\Gamma; \Xi \cup \Xi' \vdash Q$  and  $\Gamma; \Xi \cup \Xi' \vdash M$ , which implies  $\Gamma; \Xi \cup \Xi' \vdash Q \rightarrow M$ ;
2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  and  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$ . Then  $\Gamma; \cdot \vdash \hat{\tau}_i \cup \hat{\tau}'_i : \Xi \cup \Xi'$  are well-defined anti-unification solution. Let us take an arbitrary  $\hat{\beta}^- \in \Xi \cup \Xi'$ . If  $\hat{\beta}^- \in \Xi$ , then  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  implies that  $\hat{\tau}_i$ , and hence,  $\hat{\tau}_i \cup \hat{\tau}'_i$  contains an entry well-formed in  $\Gamma$ . If  $\hat{\beta}^- \in \Xi'$ , the reasoning is symmetric.  
 $\hat{\tau}_i \cup \hat{\tau}'_i$  is a well-defined anti-unification solution: any anti-unification variable occurs uniquely  $\hat{\tau}_i \cup \hat{\tau}'_i$ , since by observation 2, the name of the variable is in one-to-one correspondence with the pair of types it is mapped to by  $\hat{\tau}_1$  and  $\hat{\tau}_2$ , and is in one-to-one correspondence with the pair of types it is mapped to by  $\hat{\tau}'_1$  and  $\hat{\tau}'_2$  i.e. if  $\hat{\beta}^- \in \Xi \cap \Xi'$  then  $[\hat{\tau}_1]\hat{\beta}^- = [\hat{\tau}'_1]\hat{\beta}^-$ , and  $[\hat{\tau}_2]\hat{\beta}^- = [\hat{\tau}'_2]\hat{\beta}^-$ .
3.  $[\hat{\tau}_i]Q = P_i$  and  $[\hat{\tau}'_i]M = N'_i$ . Since  $\hat{\tau}_i \cup \hat{\tau}'_i$  restricted to  $\Xi$  is  $\hat{\tau}_i$ , and  $\hat{\tau}_i \cup \hat{\tau}'_i$  restricted to  $\Xi'$  is  $\hat{\tau}'_i$ , we have  $[\hat{\tau}_i \cup \hat{\tau}'_i]Q = P_i$  and  $[\hat{\tau}_i \cup \hat{\tau}'_i]M = N'_i$ , and thus,  $[\hat{\tau}_i \cup \hat{\tau}'_i]Q \rightarrow M = P_1 \rightarrow N'_1$

**Case 4.** Rule  $(\forall^a)$ , then  $N_1 = \forall \alpha^+. N'_1$ ,  $N_2 = \forall \alpha^+. N'_2$ , and the algorithm makes a recursive call:  $\Gamma \models N'_1 \stackrel{a}{\simeq} N'_2 = (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  and returns  $(\Xi, \forall \alpha^+. M, \hat{\tau}_1, \hat{\tau}_2)$  as the result.

Similarly to case 2, we apply the induction hypothesis to  $\Gamma \models N'_1 \stackrel{a}{\simeq} N'_2 = (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  to obtain:

1.  $\Gamma; \Xi \vdash M$ , and hence,  $\Gamma; \Xi \vdash \forall \alpha^+. M$ ;
2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  for  $i \in \{1, 2\}$ , and
3.  $[\hat{\tau}_i]M = N'_i$  for  $i \in \{1, 2\}$ , and then by the definition of the substitution,  $[\hat{\tau}_i]\forall \alpha^+. M = \forall \alpha^+. N'_i$  for  $i \in \{1, 2\}$ .

**Case 5.** Rule  $(AU^-)$ , which applies when other rules do not, and  $\Gamma \vdash N_i$ , returning as the result  $(\Xi, M, \hat{\tau}_1, \hat{\tau}_2) = (\hat{\alpha}_{\{N_1, N_2\}}^-, \hat{\alpha}_{\{N_1, N_2\}}^-, N_1), (\hat{\alpha}_{\{N_1, N_2\}}^-, \hat{\alpha}_{\{N_1, N_2\}}^-, N_2))$ .

1.  $\Gamma; \Xi \vdash M$  is rewritten as  $\Gamma; \hat{\alpha}_{\{N_1, N_2\}}^- \vdash \hat{\alpha}_{\{N_1, N_2\}}^-$ , which holds trivially;
2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  is rewritten as  $\Gamma; \cdot \vdash (\hat{\alpha}_{\{N_1, N_2\}}^- \approx N_i) : \hat{\alpha}_{\{N_1, N_2\}}^-$ , which holds since  $\Gamma \vdash N_i$  by the premise of the rule;
3.  $[\hat{\tau}_i]M = N_i$  is rewritten as  $[\hat{\alpha}_{\{N_1, N_2\}}^- \approx N_i]\hat{\alpha}_{\{N_1, N_2\}}^- = N_i$ , which holds trivially by the definition of substitution.

**Case 6.** Positive cases are proved symmetrically. □

**Lemma 37** (Completeness of Anti-Unification).

+ Assume that  $P_1$  and  $P_2$  are normalized, and there exists  $(\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)$  such that

1.  $\Gamma; \Xi' \vdash Q'$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
3.  $[\hat{\tau}'_i]Q' = P_i$  for  $i \in \{1, 2\}$ .

Then the anti-unification algorithm terminates, that is there exists  $(\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  such that  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 = (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$

– Assume that  $N_1$  and  $N_2$  are normalized, and there exists  $(\Xi', M', \hat{\tau}'_1, \hat{\tau}'_2)$  such that

1.  $\Gamma; \Xi' \vdash M'$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  for  $i \in \{1, 2\}$ , are anti-unification solutions, and
3.  $[\hat{\tau}'_i]M' = N_i$  for  $i \in \{1, 2\}$ .

Then the anti-unification algorithm succeeds, that is there exists  $(\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  such that  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 = (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ .

*Proof.* We prove it by the induction on  $M'$  and mutually on  $Q'$ .

**Case 1.**  $M' = \hat{\alpha}^-$  Then since  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$ ,  $\Gamma \vdash [\hat{\tau}'_i]M' = N_i$ . This way, Rule (AU<sup>-</sup>) is always applicable if other rules are not.

**Case 2.**  $M' = \alpha^-$  Then  $\alpha^- = [\hat{\tau}'_i]\alpha^- = N_i$ , which means that Rule (Var<sup>-</sup>) is applicable.

**Case 3.**  $M' = \uparrow Q'$  Then  $\uparrow[\hat{\tau}'_i]Q' = [\hat{\tau}'_i]\uparrow Q' = N_i$ , that is  $N_1$  and  $N_2$  have form  $\uparrow P_1$  and  $\uparrow P_2$  respectively.

Moreover,  $[\hat{\tau}'_i]Q' = P_i$ , which means that  $(\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $P_1$  and  $P_2$ . Then by the induction hypothesis, there exists  $(\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  such that  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ , and hence,  $\Gamma \models \uparrow P_1 \stackrel{a}{\simeq} \uparrow P_2 \Rightarrow (\Xi, \uparrow Q, \hat{\tau}_1, \hat{\tau}_2)$  by Rule ( $\uparrow^a$ ).

**Case 4.**  $M' = \overrightarrow{\forall \alpha^+}. M''$  This case is similar to the previous one: we consider  $\overrightarrow{\forall \alpha^+}$  as a constructor. Notice that  $\overrightarrow{\forall \alpha^+}. [\hat{\tau}'_i]M'' = [\hat{\tau}'_i]\overrightarrow{\forall \alpha^+}. M'' = N_i$ , that is  $N_1$  and  $N_2$  have form  $\overrightarrow{\forall \alpha^+}. N''_1$  and  $\overrightarrow{\forall \alpha^+}. N''_2$  respectively.

Moreover,  $[\hat{\tau}'_i]M'' = N''_i$ , which means that  $(\Xi', M'', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $N''_1$  and  $N''_2$ . Then by the induction hypothesis, there exists  $(\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  such that  $\Gamma \models N''_1 \stackrel{a}{\simeq} N''_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ , and hence,  $\Gamma \models \overrightarrow{\forall \alpha^+}. N''_1 \stackrel{a}{\simeq} \overrightarrow{\forall \alpha^+}. N''_2 \Rightarrow (\Xi, \overrightarrow{\forall \alpha^+}. M, \hat{\tau}_1, \hat{\tau}_2)$  by Rule ( $\forall^a$ ).

**Case 5.**  $M' = Q' \rightarrow M''$  Then  $[\hat{\tau}'_i]Q' \rightarrow [\hat{\tau}'_i]M'' = [\hat{\tau}'_i](Q' \rightarrow M'') = N_i$ , that is  $N_1$  and  $N_2$  have form  $P_1 \rightarrow N'_1$  and  $P_2 \rightarrow N'_2$  respectively.

Moreover,  $[\hat{\tau}'_i]Q' = P_i$  and  $[\hat{\tau}'_i]M'' = N''_i$ , which means that  $(\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $P_1$  and  $P_2$ , and  $(\Xi', M'', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $N''_1$  and  $N''_2$ . Then by the induction hypothesis,  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi_1, Q, \hat{\tau}_1, \hat{\tau}_2)$  and  $\Gamma \models N''_1 \stackrel{a}{\simeq} N''_2 \Rightarrow (\Xi_2, M, \hat{\tau}_3, \hat{\tau}_4)$  succeed. The result of the algorithm is  $(\Xi_1 \cup \Xi_2, Q \rightarrow M, \hat{\tau}_1 \cup \hat{\tau}_3, \hat{\tau}_2 \cup \hat{\tau}_4)$ .

**Case 6.**  $Q' = \hat{\alpha}^+$  This case if not possible, since  $\Gamma; \Xi' \vdash Q'$  means  $\hat{\alpha}^+ \in \Xi'$ , but  $\Xi'$  can only contain negative variables.

**Case 7.** Other positive cases are proved symmetrically to the corresponding negative ones.

□

**Lemma 38** (Initiality of Anti-Unification).

+ Assume that  $P_1$  and  $P_2$  are normalized, and  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ , then  $(\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  is more specific than any other sound anti-unifier  $(\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)$ , i.e. if

1.  $\Gamma; \Xi' \vdash Q'$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
3.  $[\hat{\tau}'_i]Q' = P_i$  for  $i \in \{1, 2\}$

then there exists  $\hat{\rho}$  such that  $\Gamma; \Xi \vdash \hat{\rho} : (\Xi'|_{\mathbf{uv} Q'})$  and  $[\hat{\rho}]Q' = Q$ . Moreover,  $[\hat{\rho}]\hat{\beta}^-$  can be uniquely determined by  $[\hat{\tau}'_1]\hat{\beta}^-$ ,  $[\hat{\tau}'_2]\hat{\beta}^-$ , and  $\Gamma$ .

– Assume that  $N_1$  and  $N_2$  are normalized, and  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ , then  $(\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  is more specific than any other sound anti-unifier  $(\Xi', M', \hat{\tau}'_1, \hat{\tau}'_2)$ , i.e. if

1.  $\Gamma; \Xi' \vdash M'$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
3.  $[\hat{\tau}'_i]M' = N_i$  for  $i \in \{1, 2\}$

then there exists  $\hat{\rho}$  such that  $\Gamma; \Xi \vdash \hat{\rho} : (\Xi'|_{\mathbf{uv} M'})$  and  $[\hat{\rho}]M' = M$ . Moreover,  $[\hat{\rho}]\hat{\beta}^-$  can be uniquely determined by  $[\hat{\tau}'_1]\hat{\beta}^-$ ,  $[\hat{\tau}'_2]\hat{\beta}^-$ , and  $\Gamma$ .

*Proof.* First, let us assume that  $M'$  is a metavariable  $\hat{\alpha}^-$ . Then we can take  $\hat{\rho} = \hat{\alpha}^- \mapsto M$ , which satisfies the required properties:

- $\Gamma; \Xi \vdash \hat{\rho} : (\Xi'|_{\mathbf{uv} M'})$  holds since  $\Xi'|_{\mathbf{uv} M'} = \hat{\alpha}^-$  and  $\Gamma; \Xi \vdash M$  by the soundness of anti-unification (lemma 36);
- $[\hat{\rho}]M' = M$  holds by construction
- $[\hat{\rho}]\hat{\alpha}^- = M$  is the anti-unifier of  $N_1 = [\hat{\tau}'_1]\hat{\alpha}^-$  and  $N_2 = [\hat{\tau}'_2]\hat{\alpha}^-$  in context  $\Gamma$ , and hence, it is uniquely determined by them (observation 1).

Now, we can assume that  $M'$  is not a metavariable. We prove by induction on the derivation of  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and mutually on the derivation of  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ .

Since  $M'$  is not a metavariable, the substitution acting on  $M'$  preserves its outer constructor. In other words,  $[\hat{\tau}'_i]M' = N_i$  means that  $M'$ ,  $N_1$  and  $N_2$  have the same outer constructor. Let us consider the algorithmic anti-unification rule corresponding to this constructor, and show that it was successfully applied to anti-unify  $N_1$  and  $N_2$  (or  $P_1$  and  $P_2$ ).

**Case 1.** Rule  $(\text{Var}^{\hat{a}})$ , i.e.  $N_1 = \alpha^- = N_2$ . This rule is applicable since it has no premises.

Then  $\Xi = \cdot$ ,  $\mathbf{M} = \alpha^-$ , and  $\hat{\tau}_1 = \hat{\tau}_2 = \cdot$ . Since  $[\hat{\tau}_i']\mathbf{M}' = N_i = \alpha^-$  and  $\mathbf{M}'$  is not a metavariable,  $\mathbf{M}' = \alpha^-$ . Then we can take  $\hat{\rho} = \cdot$ , which satisfies the required properties:

- $\Gamma; \Xi \vdash \hat{\rho} : (\Xi'|_{\mathbf{uv} \mathbf{M}'})$  holds vacuously since  $\Xi'|_{\mathbf{uv} \mathbf{M}'} = \emptyset$ ;
- $[\hat{\rho}]\mathbf{M}' = \mathbf{M}$ , that is  $[\cdot]\alpha^- = \alpha^-$  holds by substitution properties;
- the unique determination of  $[\hat{\rho}]\hat{\alpha}^-$  for  $\hat{\alpha}^- \in \Xi'|_{\mathbf{uv} \mathbf{M}'} = \emptyset$  holds vacuously.

**Case 2.** Rule  $(\uparrow^{\hat{a}})$ , i.e.  $N_1 = \uparrow P_1$  and  $N_2 = \uparrow P_2$ .

Then since  $[\hat{\tau}_i']\mathbf{M}' = N_i = \uparrow P_i$  and  $\mathbf{M}'$  is not a metavariable,  $\mathbf{M}' = \uparrow Q'$ , where  $[\hat{\tau}_i']Q' = P_i$ . Let us show that  $(\Xi', Q', \hat{\tau}_1', \hat{\tau}_2')$  is an anti-unifier of  $P_1$  and  $P_2$ .

1.  $\Gamma; \Xi' \vdash Q'$  holds by inversion of  $\Gamma; \Xi' \vdash \uparrow Q'$ ;
2.  $\Gamma; \cdot \vdash \hat{\tau}_i' : \Xi'$  holds by assumption;
3.  $[\hat{\tau}_i']Q' = P_i$  holds by assumption.

This way, by the completeness of anti-unification (lemma 37), the anti-unification algorithm succeeds on  $P_1$  and  $P_2$ :  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ , which means that Rule  $(\uparrow^{\hat{a}})$  is applicable to infer  $\Gamma \models \uparrow P_1 \stackrel{a}{\simeq} \uparrow P_2 \Rightarrow (\Xi, \uparrow Q, \hat{\tau}_1, \hat{\tau}_2)$ .

Moreover, by the induction hypothesis,  $(\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  is more specific than  $(\Xi', Q', \hat{\tau}_1', \hat{\tau}_2')$ , which immediately implies that  $(\Xi, \uparrow Q, \hat{\tau}_1, \hat{\tau}_2)$  is more specific than  $(\Xi', \uparrow Q', \hat{\tau}_1', \hat{\tau}_2')$  (we keep the same  $\hat{\rho}$ ).

**Case 3.** Rule  $(\forall^{\hat{a}})$ , i.e.  $N_1 = \forall \alpha^+. N'_1$  and  $N_2 = \forall \alpha^+. N'_2$ . The proof is symmetric to the previous case. Notice that the context  $\Gamma$  is not changed in Rule  $(\forall^{\hat{a}})$ , as it represents the context in which the anti-unification variables must be instantiated, rather than the context forming the types that are being anti-unified.

**Case 4.** Rule  $(\rightarrow^{\hat{a}})$ , i.e.  $N_1 = P_1 \rightarrow N'_1$  and  $N_2 = P_2 \rightarrow N'_2$ .

Then since  $[\hat{\tau}_i']\mathbf{M}' = N_i = P_i \rightarrow N'_i$  and  $\mathbf{M}'$  is not a metavariable,  $\mathbf{M}' = Q' \rightarrow M''$ , where  $[\hat{\tau}_i']Q' = P_i$  and  $[\hat{\tau}_i']M'' = N'_i$ .

Let us show that  $(\Xi', Q', \hat{\tau}_1', \hat{\tau}_2')$  is an anti-unifier of  $P_1$  and  $P_2$ .

1.  $\Gamma; \Xi' \vdash Q'$  holds by inversion of  $\Gamma; \Xi' \vdash Q' \rightarrow M''$ ;
2.  $\Gamma; \cdot \vdash \hat{\tau}_i' : \Xi'$  holds by assumption;
3.  $[\hat{\tau}_i']Q' = P_i$  holds by assumption.

Similarly,  $(\Xi', M'', \hat{\tau}_1', \hat{\tau}_2')$  is an anti-unifier of  $N'_1$  and  $N'_2$ .

Then by the completeness of anti-unification (lemma 37), the anti-unification algorithm succeeds on  $P_1$  and  $P_2$ :  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi_1, Q, \hat{\tau}_1, \hat{\tau}_2)$ ; and on  $N'_1$  and  $N'_2$ :  $\Gamma \models N'_1 \stackrel{a}{\simeq} N'_2 \Rightarrow (\Xi_2, M'', \hat{\tau}_3, \hat{\tau}_4)$ . Notice that  $\hat{\tau}_1$  &  $\hat{\tau}_3$  and  $\hat{\tau}_2$  &  $\hat{\tau}_4$  are defined, in other words, for any  $\hat{\beta}^- \in \Xi_1 \cap \Xi_2$ ,  $[\hat{\tau}_1]\hat{\beta}^- = [\hat{\tau}_2]\hat{\beta}^-$  and  $[\hat{\tau}_3]\hat{\beta}^- = [\hat{\tau}_4]\hat{\beta}^-$ , which follows immediately from observation 2. This way, the algorithm proceeds by applying Rule  $(\rightarrow^{\hat{a}})$  and returns  $(\Xi_1 \cup \Xi_2, Q \rightarrow M'', \hat{\tau}_1 \cup \hat{\tau}_3, \hat{\tau}_2 \cup \hat{\tau}_4)$ .

It is left to construct  $\hat{\rho}$  such that  $\Gamma; \Xi \vdash \hat{\rho} : (\Xi'|_{\mathbf{uv} \mathbf{M}'})$  and  $[\hat{\rho}]\mathbf{M}' = \mathbf{M}$ . By the induction hypothesis, there exist  $\hat{\rho}_1$  and  $\hat{\rho}_2$  such that  $\Gamma; \Xi_1 \vdash \hat{\rho}_1 : (\Xi'_1|_{\mathbf{uv} Q'})$ ,  $\Gamma; \Xi_2 \vdash \hat{\rho}_2 : (\Xi'_2|_{\mathbf{uv} M''})$ ,  $[\hat{\rho}_1]Q' = Q$ , and  $[\hat{\rho}_2]M'' = M''$ .

Let us show that  $\hat{\rho} = \hat{\rho}_1 \cup \hat{\rho}_2$  satisfies the required properties:

- $\Gamma; \Xi_1 \cup \Xi_2 \vdash \hat{\rho}_1 \cup \hat{\rho}_2 : (\Xi'|_{\mathbf{uv} \mathbf{M}'})$  holds since  $\Xi'|_{\mathbf{uv} \mathbf{M}'} = \Xi'|_{\mathbf{uv} Q' \rightarrow M''} = (\Xi'_1|_{\mathbf{uv} Q'}) \cup (\Xi'_2|_{\mathbf{uv} M''})$ ,  $\Gamma; \Xi_1 \vdash \hat{\rho}_1 : (\Xi'_1|_{\mathbf{uv} Q'})$  and  $\Gamma; \Xi_2 \vdash \hat{\rho}_2 : (\Xi'_2|_{\mathbf{uv} M''})$ ;
- $[\hat{\rho}]\mathbf{M}' = [\hat{\rho}](Q' \rightarrow M'') = [\hat{\rho}|_{\mathbf{uv} Q'}]Q' \rightarrow [\hat{\rho}|_{\mathbf{uv} M''}]M'' = [\hat{\rho}_1]Q' \rightarrow [\hat{\rho}_2]M'' = Q \rightarrow M'' = \mathbf{M}$ ;
- Since  $[\hat{\rho}]\hat{\beta}^-$  is either equal to  $[\hat{\rho}_1]\hat{\beta}^-$  or  $[\hat{\rho}_2]\hat{\beta}^-$ , it inherits their property that it is uniquely determined by  $[\hat{\tau}_1']\hat{\beta}^-$ ,  $[\hat{\tau}_2']\hat{\beta}^-$ , and  $\Gamma$ .

**Case 5.**  $P_1 = P_2 = \alpha^+$ . This case is symmetric to case 1.

**Case 6.**  $P_1 = \downarrow N_1$  and  $P_2 = \downarrow N_2$ . This case is symmetric to case 2

**Case 7.**  $P_1 = \exists \alpha^-. P'_1$  and  $P_2 = \exists \alpha^-. P'_2$ . This case is symmetric to case 3

□

## 4.11 Upper Bounds

**Lemma 39** (Decomposition of the quantifier rule). *Ilya: move somewhere* Whenever the quantifier rule (Rule  $(\exists^{\geq 1})$  or Rule  $(\forall^{\leq 1})$ ) is applied, one can assume that the rule adding quantifiers on the right-hand side was applied the last.

- If  $\Gamma \vdash N \leq_1 \forall \vec{\beta}^+.M$  then  $\Gamma, \vec{\beta}^+ \vdash N \leq_1 M$ .
- + If  $\Gamma \vdash P \geq_1 \exists \vec{\beta}^-.Q$  then  $\Gamma, \vec{\beta}^- \vdash P \geq_1 Q$ .

**Lemma 40** (Characterization of the Supertypes). Let us define the set of upper bounds of a positive type  $\text{UB}(P)$  in the following way:

$\Gamma \vdash P$	$\text{UB}(\Gamma \vdash P)$
$\Gamma \vdash \beta^+$	$\{\exists \vec{\alpha}^-. \beta^+ \mid \text{for } \vec{\alpha}^-\}$
$\Gamma \vdash \exists \vec{\beta}^-.Q$	$\text{UB}(\Gamma, \vec{\beta}^- \vdash Q)$ not using $\vec{\beta}^-$
$\Gamma \vdash \downarrow M$	$\left\{ \begin{array}{l} \exists \vec{\alpha}^-. \downarrow M' \mid \text{for } \vec{\alpha}^-, M', \text{ and } \vec{N} \text{ s.t.} \\ \Gamma \vdash N_i, \Gamma, \vec{\alpha}^- \vdash M', \text{ and } [\vec{N}/\vec{\alpha}^-] \downarrow M' \simeq_1^D \downarrow M \end{array} \right\}$

Then  $\text{UB}(\Gamma \vdash P) \equiv \{Q \mid \Gamma \vdash Q \geq_1 P\}$ .

*Proof.* By induction on  $\Gamma \vdash P$ .

**Case 1.**  $P = \beta^+$

Immediately from lemma 5

**Case 2.**  $P = \exists \vec{\beta}^-.P'$

Then if  $\Gamma \vdash Q \geq_1 \exists \vec{\beta}^-.P'$ , then by lemma 39,  $\Gamma, \vec{\beta}^- \vdash Q \geq_1 P'$ , and  $\mathbf{fv} Q \cap \vec{\beta}^- = \emptyset$  by the the Barendregt's convention. The other direction holds by Rule  $(\exists^{\geq 1})$ . This way,  $\{Q \mid \Gamma \vdash Q \geq_1 \exists \vec{\beta}^-.P'\} = \{Q \mid \Gamma, \vec{\beta}^- \vdash Q \geq_1 P' \text{ s.t. } \mathbf{fv}(Q) \cap \vec{\beta}^- = \emptyset\}$ . From the induction hypothesis, the latter is equal to  $\text{UB}(\Gamma, \vec{\beta}^- \vdash P')$  not using  $\vec{\beta}^-$ , i.e.  $\text{UB}(\Gamma \vdash \exists \vec{\beta}^-.P')$ .

**Case 3.**  $P = \downarrow M$

Then let us consider two subcases upper bounds without outer quantifiers (we denote the corresponding set restriction as  $|\#$ ) and upper bounds with outer quantifiers ( $|\exists$ ). We prove that for both of these groups, the restricted sets are equal.

a.  $Q \neq \exists \vec{\beta}^-.Q'$

Then the last applied rule to infer  $\Gamma \vdash Q \geq_1 \downarrow M$  must be Rule  $(\downarrow^{\geq 1})$ , which means  $Q = \downarrow M'$ , and by inversion,  $\Gamma \vdash M' \simeq_1^< M$ , then by lemma 29 and Rule  $(\downarrow^{\simeq 1})$ ,  $\downarrow M' \simeq_1^D \downarrow M$ . This way,  $Q = \downarrow M' \in \{\downarrow M' \mid \downarrow M' \simeq_1^D \downarrow M\} = \text{UB}(\Gamma \vdash \downarrow M)|\#$ .

In the other direction,  $\downarrow M' \simeq_1^D \downarrow M \Rightarrow \Gamma \vdash \downarrow M' \simeq_1^< \downarrow M$  by lemma 25, since  $\Gamma \vdash \downarrow M'$  by lemma 24

$\Rightarrow \Gamma \vdash \downarrow M' \geq_1 \downarrow M$  by inversion

b.  $Q = \exists \vec{\beta}^-.Q'$  (for non-empty  $\vec{\beta}^-$ )

Then the last rule applied to infer  $\Gamma \vdash \exists \vec{\beta}^-.Q' \geq_1 \downarrow M$  must be Rule  $(\exists^{\geq 1})$ . Inversion of this rule gives us  $\Gamma \vdash [\vec{N}/\vec{\beta}^-]Q' \geq_1 \downarrow M$  for some  $\Gamma \vdash N_i$ . Notice that  $[\vec{N}/\vec{\beta}^-]Q'$  has no outer quantifiers. Thus from case 3.a,  $[\vec{N}/\vec{\beta}^-]Q' \simeq_1^D \downarrow M$ , which is only possible if  $Q' = \downarrow M'$ . This way,  $Q = \exists \vec{\beta}^-. \downarrow M' \in \text{UB}(\Gamma \vdash \downarrow M)|\exists$  (notice that  $\vec{\beta}^-$  is not empty).

In the other direction,  $[\vec{N}/\vec{\beta}^-] \downarrow M' \simeq_1^D \downarrow M \Rightarrow \Gamma \vdash [\vec{N}/\vec{\beta}^-] \downarrow M' \simeq_1^< \downarrow M$  by lemma 25, since  $\Gamma \vdash [\vec{N}/\vec{\beta}^-] \downarrow M'$  by lemma 24

$\Rightarrow \Gamma \vdash [\vec{N}/\vec{\beta}^-] \downarrow M' \geq_1 \downarrow M$  by inversion

$\Rightarrow \Gamma \vdash \exists \vec{\beta}^-. \downarrow M' \geq_1 \downarrow M$  by Rule  $(\exists^{\geq 1})$

□

**Lemma 41** (Characterization of the Normalized Supertypes). For a normalized positive type  $P = \mathbf{nf}(P)$ , let us define the set of normalized upper bounds in the following way:

$\Gamma \vdash P$	$\text{NFUB}(\Gamma \vdash P)$
$\Gamma \vdash \beta^+$	$\{\beta^+\}$
$\Gamma \vdash \exists \vec{\beta}^-.P$	$\text{NFUB}(\Gamma, \vec{\beta}^- \vdash P)$ not using $\vec{\beta}^-$
$\Gamma \vdash \downarrow M$	$\left\{ \begin{array}{l} \exists \vec{\alpha}^-. \downarrow M' \mid \text{for } \vec{\alpha}^-, M', \text{ and } \vec{N} \text{ s.t. } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \Gamma \vdash N_i, \Gamma, \vec{\alpha}^- \vdash M', \text{ and } [\vec{N}/\vec{\alpha}^-] \downarrow M' = \downarrow M \end{array} \right\}$



Then  $\text{NFUB}(\Gamma \vdash P) \equiv \{\mathbf{nf}(Q) \mid \Gamma \vdash Q \geqslant_1 P\}$ .

*Proof.* By induction on  $\Gamma \vdash P$ .

**Case 1.**  $P = \beta^+$

Then from lemma 40,  $\{\mathbf{nf}(Q) \mid \Gamma \vdash Q \geqslant_1 \beta^+\} = \{\mathbf{nf}(\overrightarrow{\exists \alpha^-}.\beta^+) \mid \text{for some } \overrightarrow{\alpha^-} = \{\beta^+\}\}$

**Case 2.**  $P = \overrightarrow{\exists \beta^-}.P'$

$\text{NFUB}(\Gamma \vdash \overrightarrow{\exists \beta^-}.P') = \text{NFUB}(\Gamma, \overrightarrow{\beta^-} \vdash P')$  not using  $\overrightarrow{\beta^-}$

$$\begin{aligned}
&= \{\mathbf{nf}(Q) \mid \Gamma, \overrightarrow{\beta^-} \vdash Q \geqslant_1 P'\} \text{ not using } \overrightarrow{\beta^-} && \text{by the induction hypothesis} \\
&= \{\mathbf{nf}(Q) \mid \Gamma, \overrightarrow{\beta^-} \vdash Q \geqslant_1 P' \text{ s.t. } \mathbf{fv} Q \cap \overrightarrow{\beta^-} = \emptyset\} && \text{because } \mathbf{fv} \mathbf{nf}(Q) = \mathbf{fv} Q \text{ by lemma 16} \\
&= \{\mathbf{nf}(Q) \mid Q \in \text{UB}(\Gamma, \overrightarrow{\beta^-} \vdash P') \text{ s.t. } \mathbf{fv} Q \cap \overrightarrow{\beta^-} = \emptyset\} && \text{by lemma 40} \\
&= \{\mathbf{nf}(Q) \mid Q \in \text{UB}(\Gamma \vdash \overrightarrow{\exists \beta^-}.P')\} && \text{by the definition of UB} \\
&= \{\mathbf{nf}(Q) \mid \Gamma \vdash Q \geqslant_1 \overrightarrow{\exists \beta^-}.P'\} && \text{by lemma 40}
\end{aligned}$$

**Case 3.**  $P = \downarrow M$

In the following reasoning, we will use the following principle of variable replacement.

**Observation 3.** Suppose that  $\nu : A \rightarrow A$  is an idempotent function,  $P$  is a predicate on  $A$ ,  $F : A \rightarrow B$  is a function. Then

$$\begin{aligned}
&\{F(\nu x) \mid x \in A \text{ s.t. } P(\nu x)\} = \\
&= \{F(x) \mid x \in A \text{ s.t. } \nu x = x \text{ and } P(x)\}.
\end{aligned}$$

In our case, the idempotent  $\nu$  will be normalization, variable ordering, or domain restriction.

Another observation we will use is the following.

**Observation 4.** For functions  $F$  and  $\nu$ , and predicates  $P$  and  $Q$ ,

$$\begin{aligned}
&\{F(\nu x) \mid x \in A \text{ s.t. } Q(\nu x) \text{ and } P(x)\} = \\
&= \{F(\nu x) \mid x \in A \text{ s.t. } Q(\nu x) \text{ and } (\exists x' \in A \text{ s.t. } P(x') \text{ and } \nu x' = \nu x)\}.
\end{aligned}$$

**Observation 5.** There exist positive and negative types well-formed in empty context, hence, a type substitution can be extended to an arbitrary domain (if its values on the domain extension are irrelevant). Specifically, Suppose that  $\text{vars}_1 \subseteq \text{vars}_2$ . Then  $\Gamma \vdash \sigma|_{\text{vars}_1} : \text{vars}_1$  implies  $\exists \sigma' \text{ s.t. } \Gamma \vdash \sigma' : \text{vars}_2$  and  $\sigma|_{\text{vars}_1} = \sigma'|_{\text{vars}_1}$ .

$$\begin{aligned}
& \{\mathbf{nf}(Q) \mid \Gamma \vdash Q \gg_1 \downarrow M\} = \\
& = \{\mathbf{nf}(Q) \mid Q \in \mathbf{UB}(\Gamma \vdash \downarrow M)\} \\
& = \left\{ \mathbf{nf}(\exists \vec{\alpha}^-. \downarrow M') \mid \begin{array}{l} \text{for } \vec{\alpha}^-, M', \text{ and } \vec{N} \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash N_i, \text{ and } [\vec{N}/\vec{\alpha}^-] \downarrow M' \simeq_1^D \downarrow M \end{array} \right\} \\
& = \left\{ \mathbf{nf}(\exists \vec{\alpha}^-. \downarrow M') \mid \begin{array}{l} \text{for } \vec{\alpha}^-, M', \text{ and } \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma : \vec{\alpha}^-, \text{ and } [\sigma] \downarrow M' \simeq_1^D \downarrow M \end{array} \right\} \\
& = \left\{ \mathbf{nf}(\exists \vec{\alpha}^-. \downarrow M') \mid \begin{array}{l} \text{for } \vec{\alpha}^-, M', \text{ and } \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma : \vec{\alpha}^-, \text{ and } [\sigma|_{\mathbf{fv} M'}] \downarrow M' \simeq_1^D \downarrow M \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \mathbf{nf}(\downarrow M') \mid \begin{array}{l} \text{for } \vec{\alpha}^-, \vec{\alpha}^-, M', \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma : \vec{\alpha}^-, \text{ and } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \text{and } [\sigma|_{\mathbf{fv} M'}] \downarrow M' \simeq_1^D \downarrow M \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \mathbf{nf}(\downarrow M') \mid \begin{array}{l} \text{for } \vec{\alpha}^-, \vec{\alpha}^-, M', \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma : \vec{\alpha}^-, \text{ and } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \text{and } \mathbf{nf}([\sigma|_{\mathbf{fv} M'}] \downarrow M') = \mathbf{nf}(\downarrow M) \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \mathbf{nf}(\downarrow M') \mid \begin{array}{l} \text{for } \vec{\alpha}^-, \vec{\alpha}^-, M', \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma : \vec{\alpha}^-, \text{ and } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \text{and } [\mathbf{nf}(\sigma|_{\mathbf{fv} M'})] \downarrow \mathbf{nf}(M') = \downarrow \mathbf{nf}(M) \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, \vec{\alpha}^-, M', \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma : \vec{\alpha}^-, \text{ and } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \text{and } [\sigma|_{\mathbf{fv} M'}] \downarrow M' = \downarrow M \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, \vec{\alpha}^-, M', \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ (\exists \sigma' \text{ s.t. } \Gamma \vdash \sigma' : \vec{\alpha}^- \text{ and } \sigma|_{\mathbf{fv}(\downarrow M')} = \sigma'|_{\mathbf{fv}(\downarrow M')}) \\ \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^- \text{ and } [\sigma|_{\mathbf{fv} M'}] \downarrow M' = \downarrow M \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, \vec{\alpha}^-, M', \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma|_{\mathbf{fv} M'} : \vec{\alpha}^-, \text{ and } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \text{and } [\sigma|_{\mathbf{fv} M'}] \downarrow M' = \downarrow M \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, \vec{\alpha}^-, M', \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma : \vec{\alpha}^-, \text{ and } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \text{and } [\sigma] \downarrow M' = \downarrow M \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, \vec{\alpha}^-, M', \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma : \vec{\alpha}^-, \text{ and } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \text{and } [\sigma] \downarrow M' = \downarrow M \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, M', \sigma \text{ s.t. } \Gamma, \vec{\alpha}^- \vdash M', \\ \Gamma \vdash \sigma : \vec{\alpha}^-, \text{ and } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \text{and } [\sigma] \downarrow M' = \downarrow M \end{array} \right\} \\
& = \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, M', \text{ and } \vec{N} \text{ s.t. } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \Gamma \vdash N_i, \Gamma, \vec{\alpha}^- \vdash M', \text{ and } [\vec{N}/\vec{\alpha}^-] \downarrow M' = \downarrow M \end{array} \right\} \\
& = \mathbf{NFUB}(\downarrow M) \\
& \text{hello}
\end{aligned}$$

by lemma 40

by the definition of UB

we reassigned the substitution  $\vec{N}/\vec{\alpha}^-$  as  $\sigma$

by lemma 1

by the definition of normalization

from lemmas 17 and 19, equivalence of types can be replaced with the equality of their normal forms

by congruence of normalization and lemma 18

by lemma 21,  $\downarrow M'$  and  $\sigma|_{\mathbf{fv} M'}$  are already normal, since the result of the substitution is normal;  $M$  is normal by assumption

We apply observation 4 (with  $\nu\sigma = \sigma|_{\mathbf{fv} M'}$ , and  $P(\sigma) = \Gamma \vdash \sigma : \vec{\alpha}^-$ )

Notice that

$\exists \sigma' \text{ s.t. } (\Gamma \vdash \sigma' : \vec{\alpha}^- \text{ and } \sigma|_{\mathbf{fv}(\downarrow M')} = \sigma'|_{\mathbf{fv}(\downarrow M')}) \downarrow \downarrow$  is equivalent to  $\Gamma \vdash \sigma|_{\mathbf{fv}(\downarrow M')} : \vec{\alpha}^-$  (observation 5)

We apply observation 3 to the restriction of  $\sigma$ , and remove  $\sigma|_{\mathbf{fv} M'} = \sigma$  as it follows from  $\Gamma \vdash \sigma : \vec{\alpha}^-$

by lemma 10, since  $\Gamma, \vec{\alpha}^- \cap \mathbf{fv} M' = \Gamma, \vec{\alpha}^- \cap \mathbf{fv} M'$

We apply observation 3 to the ordering of  $\vec{\alpha}^-$

By reassigning  $\sigma$  explicitly as  $\vec{N}/\vec{\alpha}^-$

by definition

□

**Observation 6.** Upper bounds of a type do not depend on the context as soon as the type are well-formed in it.

If  $\Gamma_1 \vdash M$  and  $\Gamma_2 \vdash M$  then  $\mathbf{UB}(\Gamma_1 \vdash M) = \mathbf{UB}(\Gamma_2 \vdash M)$  and  $\mathbf{NFUB}(\Gamma_1 \vdash M) = \mathbf{NFUB}(\Gamma_2 \vdash M)$

*Proof.* We prove both inclusions by induction on  $\Gamma_1 \vdash M$ . Notice that if  $[\sigma]M' \simeq_1^D M$  and  $\Gamma_2 \vdash M$  then the types from the range of  $\sigma|_{\mathbf{fv} M'}$  are well-formed in 2 **Ilya: lemma**. □

**Lemma 42** (Soundness of the Least Upper Bound). For types  $\Gamma \vdash P_1$ , and  $\Gamma \vdash P_2$ , if  $\Gamma \models P_1 \vee P_2 = Q$  then

(i)  $\Gamma \vdash Q$

(ii)  $\Gamma \vdash Q \geq_1 P_1$  and  $\Gamma \vdash Q \geq_1 P_2$

*Proof.* Induction on  $\Gamma \models P_1 \vee P_2 = Q$ .

**Case 1.**  $\Gamma \models \alpha^+ \vee \alpha^+ = \alpha^+$

Then  $\Gamma \vdash \alpha^+$  by assumption, and  $\Gamma \vdash \alpha^+ \geq_1 \alpha^+$  by Rule (Var<sup>+</sup><sub>1</sub>).

**Case 2.**  $\Gamma \models \exists \vec{\alpha}^-. P_1 \vee \exists \vec{\beta}^-. P_2 = Q$

Then by inversion of  $\Gamma \vdash \exists \vec{\alpha}^-. P_i$  and weakening,  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash P_i$ , hence, the induction hypothesis applies to  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \models P_1 \vee P_2 = Q$ . Then

- (i)  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash Q$ ,
- (ii)  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash Q \geq_1 P_1$ ,
- (iii)  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash Q \geq_1 P_2$ .

To prove  $\Gamma \vdash Q$ , it suffices to show that  $\mathbf{fv}(Q) \cap \Gamma, \vec{\alpha}^-, \vec{\beta}^- = \mathbf{fv}(Q) \cap \Gamma$  (and then apply lemma 10). The inclusion right-to-left is self-evident. To show  $\mathbf{fv}(Q) \cap \Gamma, \vec{\alpha}^-, \vec{\beta}^- \subseteq \mathbf{fv}(Q) \cap \Gamma$ , we prove that  $\mathbf{fv}(Q) \subseteq \Gamma$

$$\begin{aligned} \mathbf{fv}(Q) &\subseteq \mathbf{fv} P_1 \cap \mathbf{fv} P_2 && \text{by lemma 4} \\ &\subseteq (\Gamma, \vec{\alpha}^- \setminus \vec{\beta}^-) \cap (\Gamma, \vec{\beta}^- \setminus \vec{\alpha}^-) && \begin{array}{l} \text{since } \Gamma \vdash \exists \vec{\alpha}^-. P_1, \mathbf{fv}(P_1) \subseteq \Gamma, \vec{\alpha}^- = \Gamma, \vec{\alpha}^- \setminus \vec{\beta}^- \\ \text{(the latter is because by the Barendregt's convention,} \\ \Gamma, \vec{\alpha}^- \cap \vec{\beta}^- = \emptyset); \text{ similarly, } \mathbf{fv}(P_2) \subseteq \Gamma, \vec{\beta}^- \setminus \vec{\alpha}^- \end{array} \\ &\subseteq \Gamma \end{aligned}$$

To show  $\Gamma \vdash Q \geq_1 \exists \vec{\alpha}^-. P_1$ , we apply Rule ( $\exists$ <sub>1</sub>). Then  $\Gamma, \vec{\alpha}^- \vdash Q \geq_1 P_1$  holds since  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash Q \geq_1 P_1$  (by the induction hypothesis),  $\Gamma, \vec{\alpha}^- \vdash Q$  (by weakening), and  $\Gamma, \vec{\alpha}^- \vdash P_1$ .

Judgment  $\Gamma \vdash Q \geq_1 \exists \vec{\beta}^-. P_2$  is proved symmetrically.

**Case 3.**  $\Gamma \models \downarrow N \vee \downarrow M = \exists \vec{\alpha}^-. [\vec{\alpha}^- / \Xi] P$ . By the inversion,  $\Gamma, \cdot \models \mathbf{nf}(\downarrow N) \stackrel{a}{\simeq} \mathbf{nf}(\downarrow M) = (\Xi, P, \hat{\tau}_1, \hat{\tau}_2)$ . Then by the soundness of anti-unification (??),

(i)  $\Gamma; \Xi \vdash P$ , then by ??,

$$\Gamma, \vec{\alpha}^- \vdash [\vec{\alpha}^- / \Xi] P \quad (7)$$

(ii)  $\Gamma; \cdot \vdash \hat{\tau}_1 : \Xi$  and  $\Gamma; \cdot \vdash \hat{\tau}_2 : \Xi$ . Assuming that  $\Xi = \hat{\beta}_1^-, \dots, \hat{\beta}_n^-$ , the antiunification solutions  $\hat{\tau}_1$  and  $\hat{\tau}_2$  can be put explicitly as  $\hat{\tau}_1 = (\hat{\beta}_1^- : \approx N_1, \dots, \hat{\beta}_n^- : \approx N_n)$ , and  $\hat{\tau}_2 = (\hat{\beta}_1^- : \approx M_1, \dots, \hat{\beta}_n^- : \approx M_n)$ . Then

$$\hat{\tau}_1 = (\vec{N} / \vec{\alpha}^-) \circ (\vec{\alpha}^- / \Xi) \quad (8)$$

$$\hat{\tau}_2 = (\vec{M} / \vec{\alpha}^-) \circ (\vec{\alpha}^- / \Xi) \quad (9)$$

(iii)  $[\hat{\tau}_1] Q = P_1$  and  $[\hat{\tau}_2] Q = P_1$ , which, by 8 and 9, means

$$[\vec{N} / \vec{\alpha}^-][\vec{\alpha}^- / \Xi] P = \mathbf{nf}(\downarrow N) \quad (10)$$

$$[\vec{M} / \vec{\alpha}^-][\vec{\alpha}^- / \Xi] P = \mathbf{nf}(\downarrow M) \quad (11)$$

Then  $\Gamma \vdash \exists \vec{\alpha}^-. [\vec{\alpha}^- / \Xi] P$  follows directly from 7.

To show  $\Gamma \vdash \exists \vec{\alpha}^-. [\vec{\alpha}^- / \Xi] P \geq_1 \downarrow N$ , we apply Rule ( $\exists$ <sub>1</sub>), instantiating  $\vec{\alpha}^-$  with  $\vec{N}$ . Then  $\Gamma \vdash [\vec{N} / \vec{\alpha}^-][\vec{\alpha}^- / \Xi] P \geq_1 \downarrow N$  follows from 10 and since  $\Gamma \vdash \mathbf{nf}(\downarrow N) \geq_1 \downarrow N$  (by corollary 14).

Analogously, instantiating  $\vec{\alpha}^-$  with  $\vec{M}$ , gives us  $\Gamma \vdash [\vec{M} / \vec{\alpha}^-][\vec{\alpha}^- / \Xi] P \geq_1 \downarrow M$  (from 11), and hence,  $\Gamma \vdash \exists \vec{\alpha}^-. [\vec{\alpha}^- / \Xi] P \geq_1 \downarrow M$ .  $\square$

**Lemma 43** (Completeness and Initiality of the Least Upper Bound). *For types  $\Gamma \vdash P_1$ ,  $\Gamma \vdash P_2$ , and  $\Gamma \vdash Q$  such that  $\Gamma \vdash Q \geq_1 P_1$  and  $\Gamma \vdash Q \geq_1 P_2$ , there exists  $Q'$  s.t.  $\Gamma \models P_1 \vee P_2 = Q'$  and  $\Gamma \vdash Q \geq_1 Q'$ .*

*Proof.* Induction on the pair  $(P_1, P_2)$ . From lemma 41,  $Q \in \mathbf{UB}(\Gamma \vdash P_1) \cap \mathbf{UB}(\Gamma \vdash P_2)$ . Let us consider the cases of what  $P_1$  and  $P_2$  are (i.e. the last rules to infer  $\Gamma \vdash P_i$ ).

**Case 1.**  $P_1 = \exists \vec{\beta}^-_1. Q_1$ ,  $P_2 = \exists \vec{\beta}^-_2. Q_2$ , where either  $\vec{\beta}^-_1$  or  $\vec{\beta}^-_2$  is not empty

Then  $Q \in \text{UB}(\Gamma \vdash \exists \vec{\beta}^-_1. Q_1) \cap \text{UB}(\Gamma \vdash \exists \vec{\beta}^-_2. Q_2)$   
 $\subseteq \text{UB}(\Gamma, \vec{\beta}^-_1 \vdash Q_1) \cap \text{UB}(\Gamma, \vec{\beta}^-_2 \vdash Q_2)$  from the definition of UB  
 $= \text{UB}(\Gamma, \vec{\beta}^-_1, \vec{\beta}^-_2 \vdash Q_1) \cap \text{UB}(\Gamma, \vec{\beta}^-_1, \vec{\beta}^-_2 \vdash Q_2)$  by observation 6, weakening and exchange  
 $= \{Q' \mid \Gamma, \vec{\beta}^-_1, \vec{\beta}^-_2 \vdash Q' \geq_1 Q_1\} \cap \{Q' \mid \Gamma, \vec{\beta}^-_1, \vec{\beta}^-_2 \vdash Q' \geq_1 Q_2\}$  by lemma 40,  
 meaning that  $\Gamma, \vec{\beta}^-_1, \vec{\beta}^-_2 \vdash Q \geq_1 Q_1$  and  $\Gamma, \vec{\beta}^-_1, \vec{\beta}^-_2 \vdash Q \geq_1 Q_2$ . Then the next step of the algorithm—the recursive call  $\Gamma, \vec{\beta}^-_1, \vec{\beta}^-_2 \vdash Q_1 \vee Q_2 = Q'$  terminates by the induction hypothesis, and moreover,  $\Gamma, \vec{\beta}^-_1, \vec{\beta}^-_2 \vdash Q \geq_1 Q'$ . This way, the result of the algorithm is  $Q'$ , i.e.  $\Gamma \models P_1 \vee P_2 = Q'$ .

Since both  $Q$  and  $Q'$  are sound,  $\Gamma \vdash Q$  and  $\Gamma \vdash Q'$ , and therefore,  $\Gamma, \vec{\beta}^-_1, \vec{\beta}^-_2 \vdash Q \geq_1 Q'$  can be strengthened to  $\Gamma \vdash Q \geq_1 Q'$  by ??.

**Case 2.**  $P_1 = \alpha^+$  and  $P_2 = \downarrow N$

Then the set of common upper bounds of  $\downarrow N$  and  $\alpha^+$  is empty, and thus,  $Q \in \text{UB}(\Gamma \vdash P_1) \cap \text{UB}(\Gamma \vdash P_2)$  gives a contradiction:  
 $Q \in \text{UB}(\Gamma \vdash \alpha^+) \cap \text{UB}(\Gamma \vdash \downarrow N)$   
 $= \{\exists \vec{\alpha}^-. \alpha^+ \mid \dots\} \cap \{\exists \vec{\beta}^-. \downarrow M' \mid \dots\}$  by the definition of UB  
 $= \emptyset$  since  $\alpha^+ \neq \downarrow M'$  for any  $M'$

**Case 3.**  $P_1 = \downarrow N$  and  $P_2 = \alpha^+$

Symmetric to case 2

**Case 4.**  $P_1 = \alpha^+$  and  $P_2 = \beta^+$  (where  $\beta^+ \neq \alpha^+$ )

Similarly to case 2, the set of common upper bounds is empty, which leads to the contradiction:

$Q \in \text{UB}(\Gamma \vdash \alpha^+) \cap \text{UB}(\Gamma \vdash \beta^+)$   
 $= \{\exists \vec{\alpha}^-. \alpha^+ \mid \dots\} \cap \{\exists \vec{\beta}^-. \beta^+ \mid \dots\}$  by the definition of UB  
 $= \emptyset$  since  $\alpha^+ \neq \beta^+$

**Case 5.**  $P_1 = \alpha^+$  and  $P_2 = \alpha^+$

Then the algorithm terminates in one step (Rule (Var<sup>v</sup>)) and the result is  $\alpha^+$ , i.e.  $\Gamma \models \alpha^+ \vee \alpha^+ = \alpha^+$ .

Since  $Q \in \text{UB}(\Gamma \vdash \alpha^+)$ ,  $Q = \exists \vec{\alpha}^-. \alpha^+$ . Then  $\Gamma \vdash \exists \vec{\alpha}^-. \alpha^+ \geq_1 \alpha^+$  by Rule ( $\exists \geq_1$ ):  $\vec{\alpha}^-$  can be instantiated with arbitrary negative types (for example  $\forall \beta^+. \uparrow \beta^+$ ), since the substitution for unused variables does not change the term  $[\vec{N}/\vec{\alpha}^-] \alpha^+ = \alpha^+$ , and then  $\Gamma \vdash \alpha^+ \geq_1 \alpha^+$  by Rule (Var<sup>+</sup> $\geq_1$ ).

**Case 6.**  $P_1 = \downarrow M_1$  and  $P_2 = \downarrow M_2$

Then on the next step, the algorithm tries to anti-unify  $\mathbf{nf}(\downarrow M_1)$  and  $\mathbf{nf}(\downarrow M_2)$ . By ??, to show that the anti-unification algorithm terminates, it suffices to demonstrate that a sound anti-unification solution exists.

Notice that

$$\begin{aligned} \mathbf{nf}(Q) &\in \text{NFUB}(\Gamma \vdash \mathbf{nf}(\downarrow M_1)) \cap \text{NFUB}(\Gamma \vdash \mathbf{nf}(\downarrow M_2)) \\ &= \text{NFUB}(\Gamma \vdash \downarrow \mathbf{nf}(M_1)) \cap \text{NFUB}(\Gamma \vdash \downarrow \mathbf{nf}(M_2)) \\ &= \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, M', \text{ and } \vec{N} \text{ s.t. } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \Gamma \vdash N_i, \Gamma, \vec{\alpha}^- \vdash M', \text{ and } [\vec{N}/\vec{\alpha}^-] \downarrow M' = \downarrow \mathbf{nf}(M_1) \end{array} \right\} \\ &= \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, M', \text{ and } \vec{N} \text{ s.t. } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \Gamma \vdash \vec{N}_1, \Gamma \vdash \vec{N}_2, \Gamma, \vec{\alpha}^- \vdash M', \text{ and } [\vec{N}/\vec{\alpha}^-] \downarrow M' = \downarrow \mathbf{nf}(M_2) \end{array} \right\} \\ &= \left\{ \exists \vec{\alpha}^-. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^-, M', \vec{N}_1 \text{ and } \vec{N}_2 \text{ s.t. } \mathbf{ord} \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-, \\ \Gamma \vdash \vec{N}_1, \Gamma \vdash \vec{N}_2, \Gamma, \vec{\alpha}^- \vdash M', [\vec{N}_1/\vec{\alpha}^-] \downarrow M' = \downarrow \mathbf{nf}(M_1), \text{ and } [\vec{N}_2/\vec{\alpha}^-] \downarrow M' = \downarrow \mathbf{nf}(M_2) \end{array} \right\} \end{aligned}$$

The fact that the latter set is non-empty means that there exist  $\vec{\alpha}^-, M', \vec{N}_1$  and  $\vec{N}_2$  such that

- (i)  $\Gamma, \vec{\alpha}^- \vdash M'$  (notice that  $M'$  is normal)
- (ii)  $\Gamma \vdash \vec{N}_1$  and  $\Gamma \vdash \vec{N}_2$ ,
- (iii)  $[\vec{N}_1/\vec{\alpha}^-] \downarrow M' = \downarrow \mathbf{nf}(M_1)$  and  $[\vec{N}_2/\vec{\alpha}^-] \downarrow M' = \downarrow \mathbf{nf}(M_2)$

For each negative variable  $\alpha^-$  from  $\overrightarrow{\alpha^-}$ , let us choose a fresh negative anti-unification variable  $\hat{\alpha}^-$ , and denote the list of these variables as  $\overrightarrow{\hat{\alpha}^-}$ . Let us show that  $(\overrightarrow{\hat{\alpha}^-}, [\overrightarrow{\hat{\alpha}^-}/\overrightarrow{\alpha^-}]\downarrow M', \overrightarrow{N_1}/\overrightarrow{\hat{\alpha}^-}, \overrightarrow{N_2}/\overrightarrow{\hat{\alpha}^-})$  is a sound anti-unifier of  $\mathbf{nf}(\downarrow M_1)$  and  $\mathbf{nf}(\downarrow M_2)$  in context  $\Gamma$ :

- $\overrightarrow{\hat{\alpha}^-}$  is negative by construction,
- $\Gamma; \overrightarrow{\hat{\alpha}^-} \vdash [\overrightarrow{\hat{\alpha}^-}/\overrightarrow{\alpha^-}]\downarrow M'$  because  $\Gamma, \overrightarrow{\alpha^-} \vdash \downarrow M'$  **Ilya: lemma!**,
- $\Gamma; \cdot \vdash (\overrightarrow{N_1}/\overrightarrow{\hat{\alpha}^-}) : \overrightarrow{\hat{\alpha}^-}$  because  $\Gamma \vdash \overrightarrow{N_1}$  and  $\Gamma; \cdot \vdash (\overrightarrow{N_2}/\overrightarrow{\hat{\alpha}^-}) : \overrightarrow{\hat{\alpha}^-}$  because  $\Gamma \vdash \overrightarrow{N_2}$ ,
- $[\overrightarrow{N_1}/\overrightarrow{\hat{\alpha}^-}][\overrightarrow{\hat{\alpha}^-}/\overrightarrow{\alpha^-}]\downarrow M' = [\overrightarrow{N_1}/\overrightarrow{\alpha^-}]\downarrow M' = \downarrow \mathbf{nf}(M_1) = \mathbf{nf}(\downarrow M_1)$ .
- $[\overrightarrow{N_2}/\overrightarrow{\hat{\alpha}^-}][\overrightarrow{\hat{\alpha}^-}/\overrightarrow{\alpha^-}]\downarrow M' = [\overrightarrow{N_2}/\overrightarrow{\alpha^-}]\downarrow M' = \downarrow \mathbf{nf}(M_2) = \mathbf{nf}(\downarrow M_2)$ .

Then by the completeness of the anti-unification (??), the anti-unification algorithm terminates, so is the Least Upper Bound algorithm invoking it, i.e.  $Q' = \exists \overrightarrow{\beta^-}. [\overrightarrow{\beta^-}/\Xi] P$ , where  $(\Xi, P, \hat{\tau}_1, \hat{\tau}_2)$  is the result of the anti-unification of  $\mathbf{nf}(\downarrow M_1)$  and  $\mathbf{nf}(\downarrow M_2)$  in context  $\Gamma$ .

Moreover, ?? also says that the found anti-unification solution is initial, i.e. there exists  $\hat{\tau}$  such that  $\Gamma; \Xi \vdash \hat{\tau} : \overrightarrow{\hat{\alpha}^-}$  and  $[\hat{\tau}][\overrightarrow{\hat{\alpha}^-}/\overrightarrow{\alpha^-}]\downarrow M' = P$ .

Let  $\sigma$  be a sequential Kleisli composition of the following substitutions: (i)  $\overrightarrow{\hat{\alpha}^-}/\overrightarrow{\alpha^-}$ , (ii)  $\hat{\tau}$ , and (iii)  $\overrightarrow{\beta^-}/\Xi$ . Notice that  $\Gamma, \overrightarrow{\beta^-} \vdash \sigma : \overrightarrow{\alpha^-}$  and  $[\sigma]\downarrow M' = [\overrightarrow{\beta^-}/\Xi][\hat{\tau}][\overrightarrow{\hat{\alpha}^-}/\overrightarrow{\alpha^-}]\downarrow M' = [\overrightarrow{\beta^-}/\Xi]P$ . In particular, from the reflexivity of subtyping:  $\Gamma, \overrightarrow{\beta^-} \vdash [\sigma]\downarrow M' \geq_1 [\overrightarrow{\beta^-}/\Xi]P$ .

It allows us to show  $\Gamma \vdash \mathbf{nf}(Q) \geq_1 Q'$ , i.e.  $\Gamma \vdash \exists \overrightarrow{\alpha^-}. \downarrow M' \geq_1 \exists \overrightarrow{\beta^-}. [\overrightarrow{\beta^-}/\Xi]P$ , by applying Rule  $(\exists \geq_1)$ , instantiating  $\overrightarrow{\alpha^-}$  with respect to  $\sigma$ . Finally,  $\Gamma \vdash Q \geq_1 Q'$  since  $\Gamma \vdash \mathbf{nf}(Q) \simeq_1 Q$ , and equivalence implies subtyping by **Ilya: lemma**.

□

## 4.12 Upgrade

Let us consider a type  $P$  well-formed in  $\Gamma$ . Some of its  $\Gamma$ -supertypes are also well-formed in a smaller context  $\Delta \subseteq \Gamma$ . The upgrade is the operation that returns the least of such supertypes.

**Lemma 44** (Soundness of Upgrade). *Assuming  $P$  is well-formed in  $\Gamma = \Delta, \alpha^\pm$ , if  $\mathbf{upgrade} \Gamma \vdash P$  to  $\Delta = Q$  then*

1.  $\Delta \vdash Q$
2.  $\Gamma \vdash Q \geq_1 P$

*Proof.* By inversion,  $\mathbf{upgrade} \Gamma \vdash P$  to  $\Delta = Q$  means that for fresh  $\overrightarrow{\beta^\pm}$  and  $\overrightarrow{\gamma^\pm}$ ,  $\Delta, \overrightarrow{\beta^\pm}, \overrightarrow{\gamma^\pm} \models [\overrightarrow{\beta^\pm}/\overrightarrow{\alpha^\pm}]P \vee [\overrightarrow{\gamma^\pm}/\overrightarrow{\alpha^\pm}]P = Q$ . Then by the soundness of the least upper bound (lemma 42),

1.  $\Delta, \overrightarrow{\beta^\pm}, \overrightarrow{\gamma^\pm} \vdash Q$ ,
2.  $\Delta, \overrightarrow{\beta^\pm}, \overrightarrow{\gamma^\pm} \vdash Q \geq_1 [\overrightarrow{\beta^\pm}/\overrightarrow{\alpha^\pm}]P$ , and
3.  $\Delta, \overrightarrow{\beta^\pm}, \overrightarrow{\gamma^\pm} \vdash Q \geq_1 [\overrightarrow{\gamma^\pm}/\overrightarrow{\alpha^\pm}]P$ .

$$\begin{aligned} \mathbf{fv} Q &\subseteq \mathbf{fv} [\overrightarrow{\beta^\pm}/\overrightarrow{\alpha^\pm}]P \cap \mathbf{fv} [\overrightarrow{\gamma^\pm}/\overrightarrow{\alpha^\pm}]P \\ &\subseteq ((\mathbf{fv} P \setminus \overrightarrow{\alpha^\pm}) \cup \overrightarrow{\beta^\pm}) \cap ((\mathbf{fv} P \setminus \overrightarrow{\alpha^\pm}) \cup \overrightarrow{\gamma^\pm}) \\ &= (\mathbf{fv} P \setminus \overrightarrow{\alpha^\pm}) \cap (\mathbf{fv} P \setminus \overrightarrow{\alpha^\pm}) \\ &= \mathbf{fv} P \setminus \overrightarrow{\alpha^\pm} \\ &\subseteq \Gamma \setminus \overrightarrow{\alpha^\pm} \\ &\subseteq \Delta \end{aligned}$$

Since by lemma 4,  $\mathbf{fv} Q \subseteq \mathbf{fv} [\overrightarrow{\beta^\pm}/\overrightarrow{\alpha^\pm}]P$  and  $\mathbf{fv} Q \subseteq \mathbf{fv} [\overrightarrow{\gamma^\pm}/\overrightarrow{\alpha^\pm}]P$

since  $\overrightarrow{\beta^\pm}$  and  $\overrightarrow{\gamma^\pm}$  are fresh

since  $P$  is well-formed in  $\Gamma$

This way, by lemma 10,  $\Delta \vdash Q$ .

Let us apply  $\overrightarrow{\alpha^\pm}/\overrightarrow{\beta^\pm}$ —the inverse of the substitution  $\overrightarrow{\beta^\pm}/\overrightarrow{\alpha^\pm}$  to both sides of  $\Delta, \overrightarrow{\beta^\pm}, \overrightarrow{\gamma^\pm} \vdash Q \geq_1 [\overrightarrow{\beta^\pm}/\overrightarrow{\alpha^\pm}]P$  and by ??, get  $\Delta, \overrightarrow{\alpha^\pm}, \overrightarrow{\gamma^\pm} \vdash [\overrightarrow{\alpha^\pm}/\overrightarrow{\beta^\pm}]Q \geq_1 P$ . Notice that  $\Delta \vdash Q$  implies that  $\mathbf{fv} Q \cap \overrightarrow{\beta^\pm} = \emptyset$ , then by ??,  $[\overrightarrow{\alpha^\pm}/\overrightarrow{\beta^\pm}]Q = Q$ , and thus  $\Delta, \overrightarrow{\alpha^\pm}, \overrightarrow{\gamma^\pm} \vdash Q \geq_1 P$ . By context strengthening,  $\Delta, \overrightarrow{\alpha^\pm} \vdash Q \geq_1 P$ . □

**Lemma 45** (Completeness and Initiality of Upgrade). *The upgrade returns the least  $\Gamma$ -supertype of  $P$  well-formed in  $\Delta$ . Assuming  $P$  is well-formed in  $\Gamma = \Delta, \vec{\alpha}^\pm$ , For any  $Q'$  such that*

1.  $\Delta \vdash Q'$  and
2.  $\Gamma \vdash Q' \geq_1 P$ ,

*The result of the upgrade algorithm  $Q$  exists ( $\mathbf{upgrade} \Gamma \vdash P \text{ to } \Delta = Q$ ) and satisfies  $\Delta \vdash Q' \geq_1 Q$ .*

*Proof.* Let us consider fresh (not intersecting with  $\Gamma$ )  $\vec{\beta}^\pm$  and  $\vec{\gamma}^\pm$ .

If we apply substitution  $\vec{\beta}^\pm/\vec{\alpha}^\pm$  to both sides of  $\Delta, \vec{\alpha}^\pm \vdash Q' \geq_1 P$ , we have  $\Delta, \vec{\beta}^\pm \vdash [\vec{\beta}^\pm/\vec{\alpha}^\pm]Q' \geq_1 [\vec{\beta}^\pm/\vec{\alpha}^\pm]P$ , which by ??, since  $Q'$  is well-formed in  $\Delta$ , simplifies to  $\Delta, \vec{\beta}^\pm \vdash Q' \geq_1 [\vec{\beta}^\pm/\vec{\alpha}^\pm]P$ .

Analogously, if we apply substitution  $\vec{\gamma}^\pm/\vec{\alpha}^\pm$  to both sides of  $\Delta, \vec{\alpha}^\pm \vdash Q' \geq_1 P$ , we have  $\Delta, \vec{\gamma}^\pm \vdash Q' \geq_1 [\vec{\gamma}^\pm/\vec{\alpha}^\pm]P$ .

This way,  $Q'$  is a common supertype of  $[\vec{\beta}^\pm/\vec{\alpha}^\pm]P$  and  $[\vec{\gamma}^\pm/\vec{\alpha}^\pm]P$  in context  $\Delta, \vec{\beta}^\pm, \vec{\gamma}^\pm$ . It means that we can apply the completeness of the least upper bound (lemma 43):

1. there exists  $Q$  s.t.  $\Gamma \models [\vec{\beta}^\pm/\vec{\alpha}^\pm]P \vee [\vec{\gamma}^\pm/\vec{\alpha}^\pm]P = Q$
2.  $\Gamma \vdash Q' \geq_1 Q$ .

The former means that the upgrade algorithm terminates and returns  $Q$ . The latter means that since both  $Q'$  and  $Q$  are well-formed in  $\Delta$ , by ??,  $\Delta \vdash Q' \geq_1 Q$ .  $\square$

### 4.13 Positive Subtyping

**Lemma 46** (Soundness of the Positive Subtyping). *If  $\Gamma \vdash^\pm \Theta$ ,  $\Gamma \vdash Q$ ,  $\Gamma; \Theta \vdash P$ , and  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$ , then  $\Theta \vdash SC$  and for any normalized  $\hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : SC$ ,  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ .*

*Proof.* We prove it by induction on  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$ . Let us consider the last rule to infer this judgment.

**Case 1.** Rule (UVar $^\geq$ ) then  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  has shape  $\Gamma; \Theta \models \hat{\alpha}^+ \geq P' \Rightarrow (\hat{\alpha}^+ : \geq Q')$  where  $\hat{\alpha}^+\{\Delta\} \in \Theta$  and  $\mathbf{upgrade} \Gamma \vdash P' \text{ to } \Delta = Q'$ .

Notice that  $\hat{\alpha}^+\{\Delta\} \in \Theta$  and  $\Gamma \vdash^\pm \Theta$  implies  $\Gamma = \Delta, \vec{\alpha}^\pm$  for some  $\vec{\alpha}^\pm$ , hence, the soundness of upgrade (lemma 44) is applicable:

1.  $\Delta \vdash Q'$  and
2.  $\Gamma \vdash Q' \geq_1 P$ .

Since  $\hat{\alpha}^+\{\Delta\} \in \Theta$  and  $\Delta \vdash Q'$ , it is clear that  $\Theta \vdash (\hat{\alpha}^+ : \geq Q')$ .

It is left to show that  $\Gamma \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 P'$  for any normalized  $\hat{\sigma}$  s.t.  $\Theta \vdash \hat{\sigma} : (\hat{\alpha}^+ : \geq Q')$ . The latter means that  $\Theta(\hat{\alpha}^+) \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q'$ , i.e.  $\Delta \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q'$ . By weakening the context to  $\Gamma$  and combining this judgment transitively with  $\Gamma \vdash Q' \geq_1 P$ , we have  $\Gamma \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 P$ , as required.

**Case 2.** Rule (Var $^{+ \geq}$ ) then  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  has shape  $\Gamma; \Theta \models \alpha^+ \geq \alpha^+ \Rightarrow \cdot$ . Then  $\mathbf{uv} \alpha^+ = \emptyset$ , and  $SC = \cdot$  satisfies  $\Theta \vdash \cdot$ . Since  $\mathbf{uv} \alpha^+ = \emptyset$ , application of any substitution  $\hat{\sigma}$  does not change  $\alpha^+$ , i.e.  $[\hat{\sigma}]\alpha^+ = \alpha^+$ . Therefore,  $\Gamma \vdash [\hat{\sigma}]\alpha^+ \geq_1 \alpha^+$  holds by Rule (Var $^{+ \leq_1}$ ).

**Case 3.** Rule ( $\downarrow^\geq$ ) then  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  has shape  $\Gamma; \Theta \models \downarrow N \geq \downarrow M \Rightarrow SC$ .

Then the next step of the algorithm is the unification of  $\mathbf{nf}(N)$  and  $\mathbf{nf}(M)$ , and it returns the resulting unification constraint  $UC = SC$  as the result. By the soundness of unification (lemma 34),  $\Theta \vdash SC$  and for any normalized  $\hat{\sigma}$ ,  $\Theta \vdash \hat{\sigma} : SC$  implies  $[\hat{\sigma}]\mathbf{nf}(N) = \mathbf{nf}(M)$ , then we rewrite the left-hand side by lemma 18:  $\mathbf{nf}([\hat{\sigma}]N) = \mathbf{nf}(M)$  and apply lemma 30:  $\Gamma \vdash [\hat{\sigma}]N \leq_1^{\leq} M$ , then by Rule ( $\uparrow^{\leq_1}$ ),  $\Gamma \vdash \downarrow[\hat{\sigma}]N \geq_1 \downarrow M$ .

**Case 4.** Rule ( $\exists^\geq$ ) then  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  has shape  $\Gamma; \Theta \models \exists \vec{\alpha}^-. P' \geq \exists \vec{\beta}^-. Q' \Rightarrow SC$  s.t. either  $\vec{\alpha}^-$  or  $\vec{\beta}^-$  is not empty.

Then the algorithm creates fresh unification variables  $\vec{\alpha}^-\{\Gamma, \vec{\beta}^-\}$ , substitutes the old  $\vec{\alpha}^-$  with them in  $P'$ , and makes the recursive call:  $\Gamma, \vec{\beta}^-; \Theta, \vec{\alpha}^-\{\Gamma, \vec{\beta}^-\} \models [\vec{\alpha}^-/\vec{\alpha}^-]P' \geq Q' \Rightarrow SC'$ , returning as the result  $SC = SC' \setminus \vec{\alpha}^-$ .

Let us take an arbitrary normalized  $\hat{\sigma}$  s.t.  $\Theta \vdash \hat{\sigma} : SC' \setminus \vec{\alpha}^-$ . We wish to show  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ , i.e.  $\Gamma \vdash \exists \vec{\alpha}^-. [\hat{\sigma}]P' \geq_1 \exists \vec{\beta}^-. Q'$ . To do that, we apply Rule ( $\exists^{\geq_1}$ ), and what is left to show is  $\Gamma, \vec{\beta}^- \vdash [\vec{N}/\vec{\alpha}^-][\hat{\sigma}]P' \geq_1 Q'$  for some  $\vec{N}$ . If we construct a normalized  $\hat{\sigma}'$  such that  $\Theta, \vec{\alpha}^-\{\Gamma, \vec{\beta}^-\} \vdash \hat{\sigma}' : SC'$  and for some  $\vec{N}$ ,  $[\vec{N}/\vec{\alpha}^-][\hat{\sigma}]P' = [\hat{\sigma}'][\vec{\alpha}^-/\vec{\alpha}^-]P'$ , we can apply the induction hypothesis to  $\Gamma, \vec{\beta}^-; \Theta, \vec{\alpha}^-\{\Gamma, \vec{\beta}^-\} \models [\vec{\alpha}^-/\vec{\alpha}^-]P' \geq Q' \Rightarrow SC'$  and infer the required subtyping.

Let us construct such  $\hat{\sigma}'$  by extending  $\hat{\sigma}$  with  $\hat{\alpha}^-$  mapped to the corresponding types in  $SC'$ :

$$[\hat{\sigma}']\hat{\beta}^\pm = \begin{cases} [\hat{\sigma}]\hat{\beta}^\pm & \text{if } \hat{\beta}^\pm \in \mathbf{dom}(SC') \setminus \hat{\alpha}^- \\ \mathbf{nf}(N) & \text{if } \hat{\beta}^\pm \in \hat{\alpha}^- \text{ and } (\hat{\beta}^\pm : \approx N) \in SC' \end{cases}$$

It is easy to see that  $\hat{\sigma}'$  is normalized. Let us show that  $\Theta, \hat{\alpha}^- \{ \Gamma, \hat{\beta}^- \} \vdash \hat{\sigma}' : SC'$ . Let us take an arbitrary entry  $e$  from  $SC'$  restricting a variable  $\hat{\beta}^\pm$ . Suppose  $\hat{\beta}^\pm \in \mathbf{dom}(SC') \setminus \hat{\alpha}^-$ . Then  $(\Theta, \hat{\alpha}^- \{ \Gamma, \hat{\beta}^- \}) (\hat{\beta}^\pm) \vdash [\hat{\sigma}']\hat{\beta}^\pm : e$  is rewritten as  $\Theta(\hat{\beta}^\pm) \vdash [\hat{\sigma}]\hat{\beta}^\pm : e$ , which holds since  $\Theta \vdash \hat{\sigma} : SC'$ . Suppose  $\hat{\beta}^\pm = \hat{\alpha}_i^- \in \hat{\alpha}^-$ . Then  $e = (\hat{\alpha}_i^- : \approx N)$  for some  $N$ ,  $[\hat{\sigma}']\hat{\alpha}_i^- = \mathbf{nf}(N)$  by the definition, and  $\Gamma, \hat{\beta}^- \vdash \mathbf{nf}(N) : (\hat{\alpha}_i^- : \approx N)$  by Rule SATSCENEq, since  $\Gamma \vdash \mathbf{nf}(N) \simeq_1^< N$  by lemma 30.

Finally, let us show that  $[\vec{N}/\vec{\alpha}^-][\hat{\sigma}]P' = [\hat{\sigma}'][\vec{\alpha}^-/\vec{\alpha}^-]P'$ . For  $N_i$ , we take the *normalized* type restricting  $\hat{\alpha}_i^-$  in  $SC'$ . Let us take an arbitrary variable from  $P$ .

1. If this variable is a unification variable  $\hat{\beta}^\pm$ , then  $[\vec{N}/\vec{\alpha}^-][\hat{\sigma}]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm$ , since  $\Theta \vdash \hat{\sigma} : SC' \setminus \hat{\alpha}^-$  and  $\mathbf{dom}(\Theta) \cap \hat{\alpha}^- = \emptyset$ . Notice that  $\hat{\beta}^\pm \in \mathbf{dom}(\Theta)$ , which is disjoint from  $\hat{\alpha}^-$ , that is  $\hat{\beta}^\pm \in \mathbf{dom}(SC') \setminus \hat{\alpha}^-$ . This way,  $[\hat{\sigma}'][\vec{\alpha}^-/\vec{\alpha}^-]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm$  by the definition of  $\hat{\sigma}'$ ,
2. If this variable is a regular variable  $\beta^\pm \notin \hat{\alpha}^-$ , then  $[\vec{N}/\vec{\alpha}^-][\hat{\sigma}]\beta^\pm = \beta^\pm$  and  $[\hat{\sigma}'][\vec{\alpha}^-/\vec{\alpha}^-]\beta^\pm = \beta^\pm$ .
3. If this variable is a regular variable  $\alpha_i^- \in \hat{\alpha}^-$ , then  $[\vec{N}/\vec{\alpha}^-][\hat{\sigma}]\alpha_i^- = N_i = \mathbf{nf}(N_i)$  (the latter equality holds since  $N_i$  is normalized) and  $[\hat{\sigma}'][\vec{\alpha}^-/\vec{\alpha}^-]\alpha_i^- = [\hat{\sigma}']\hat{\alpha}_i^- = \mathbf{nf}(N_i)$ .

□

**Lemma 47** (Completeness of the Positive Subtyping). *Suppose that  $\Gamma \vdash^\exists \Theta$ ,  $\Gamma \vdash Q$  and  $\Gamma; \Theta \vdash P$ . Then for any  $\Theta \vdash \hat{\sigma}$  such that  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ , there exists  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  such that  $\Theta \vdash SC$  and moreover,  $\Theta \vdash \hat{\sigma} : SC$ .*

*Proof.* Let us prove this lemma by induction on  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ . Let us consider the last rule used in the derivation, but first, consider the base case for the substitution  $[\hat{\sigma}]P$ :

**Case 1.**  $P = \exists \vec{\beta}^- . \hat{\alpha}^+$  (for potentially empty  $\vec{\beta}^-$ )

Then by assumption,  $\Gamma \vdash \exists \vec{\beta}^- . [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q$  (where  $\vec{\beta}^- \cap \mathbf{fv}[\hat{\sigma}]\hat{\alpha}^+ = \emptyset$ ). By ??,  $\Gamma \vdash \exists \vec{\beta}^- . [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q$  means  $\Gamma \vdash [\vec{N}/\vec{\beta}^-][\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q$ , and hence  $\Gamma \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q$ . By inversion,  $\Gamma; \Theta \vdash \exists \vec{\beta}^- . \hat{\alpha}^+$  implies  $\hat{\alpha}^+ \{ \Delta \} \in \Theta$  for some  $\Delta$ .

In the algorithm trying to infer the subtyping  $\Gamma; \Theta \models \exists \vec{\beta}^- . \hat{\alpha}^+ \geq Q \Rightarrow SC$ , after multiple applications of Rule  $(\exists^\geq)$  the type  $\exists \vec{\beta}^- . \hat{\alpha}^+$  is reduced to  $\hat{\alpha}^+$ . Next, the algorithm tries to apply Rule  $(UVar^\geq)$  and the resulting restriction is  $SC' = (\hat{\alpha}^+ : \geq Q')$  where **upgrade**  $\Gamma \vdash Q$  to  $\Delta = Q'$ .

Why does the upgrade procedure terminates? Because  $[\hat{\sigma}]\hat{\alpha}^+$  satisfies the pre-conditions of the completeness of the upgrade (lemma 45):

- $\Delta \vdash P'$  because  $P' = [\hat{\sigma}]\hat{\alpha}^+$  and  $\Theta \vdash \hat{\sigma}$  and  $\hat{\alpha}^+ \{ \Delta \} \in \Theta$ ,
- $\Gamma \vdash P' \geq_1 Q$  as noted above

Moreover, the completeness of the upgrade also gives us  $\Gamma \vdash P' \geq_1 Q'$  and further, we strengthen it to  $\Delta \vdash P' \geq_1 Q'$  (since by the soundness of the upgrade (lemma 44),  $\Delta \vdash Q'$ ). It means that  $\Delta \vdash P' : (\hat{\alpha}^+ : \geq Q')$ , that is  $\Theta \vdash \hat{\sigma} : (\hat{\alpha}^+ : \geq Q')$ , as required.

**Case 2.**  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  is derived by Rule  $(Var^{>_1})$ , i.e.  $P = [\hat{\sigma}]P = \alpha^+ = Q$ . Here the first equality holds because  $P$  is not a unification variable: it has been covered by case 1. The second equality hold because Rule  $(Var^{>_1})$  was applied.

The algorithm applies Rule  $(Var^{>})$  and infers  $SC = \cdot$ , i.e.  $\Gamma; \Theta \models \alpha^+ \geq \alpha^+ \Rightarrow \cdot$ . Then  $\Theta \vdash \hat{\sigma} : \cdot$  holds trivially.

**Case 3.**  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  is derived by Rule  $(\downarrow^{>_1})$ ,

Then  $P = \downarrow N$ , since the substitution  $[\hat{\sigma}]P$  must preserve the top-level constructor of  $P \neq \hat{\alpha}^+$  (the case  $P = \hat{\alpha}^+$  has been covered by case 1), and  $Q = \downarrow M$ , and by inversion,  $\Gamma \vdash [\hat{\sigma}]N \simeq_1^< M$ .

Since both types start with  $\downarrow$ , the algorithm tries to apply Rule  $(\downarrow^\geq)$ :  $\Gamma; \Theta \models \downarrow N \geq \downarrow M \Rightarrow SC$ . The premise of this rule is the unification of  $\mathbf{nf}(N)$  and  $\mathbf{nf}(M)$ :  $\Gamma; \Theta \models \mathbf{nf}(N) \stackrel{u}{\simeq} \mathbf{nf}(M) \Rightarrow UC$ . And the algorithm returns it as a subtyping constraint  $SC = UC$ .

To demonstrate that the unification terminates and  $\hat{\sigma}$  satisfies the resulting constraints, we apply the completeness of the unification algorithm (lemma 35). In order to do that, we need to provide a substitution unifying  $\mathbf{nf}(N)$  and  $\mathbf{nf}(M)$ . Let us show that  $\mathbf{nf}(\hat{\sigma})$  is such a substitution.

- $\mathbf{nf}(N)$  and  $\mathbf{nf}(M)$  are normalized



- $\Gamma; \Theta \vdash \mathbf{nf}(N)$  because  $\Gamma; \Theta \vdash N$  (??)
- $\Gamma \vdash \mathbf{nf}(M)$  because  $\Gamma \vdash M$  (corollary 12)
- $\Theta \vdash \mathbf{nf}(\hat{\sigma})$  because  $\Theta \vdash \hat{\sigma}$  (??)
- $\Gamma \vdash [\hat{\sigma}]N \simeq_1^< M \Rightarrow [\hat{\sigma}]N \simeq_1^D M$  by lemma 29  
 $\Rightarrow \mathbf{nf}([\hat{\sigma}]N) = \mathbf{nf}(M)$  by lemma 19  
 $\Rightarrow [\mathbf{nf}(\hat{\sigma})]\mathbf{nf}(N) = \mathbf{nf}(M)$  by lemma 18

Then by the completeness of the unification,  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$  exists, and  $\Theta \vdash \mathbf{nf}(\hat{\sigma}) : UC$ . Then by ??,  $\Theta \vdash \hat{\sigma} : UC$ .

**Case 4.**  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  is derived by Rule  $(\exists \geq_1)$ .

We should only consider the case when the substitution  $[\hat{\sigma}]P$  results in the existential type  $\exists \vec{\alpha}^-.P''$  (for  $P'' \neq \exists \dots$ ) by congruence, i.e.  $P = \exists \vec{\alpha}^-.P'$  (for  $P' \neq \exists \dots$ ) and  $[\hat{\sigma}]P' = P''$ . This is because the case when  $P = \exists \vec{\beta}^-. \hat{\alpha}^+$  has been covered (case 1), and thus, the substitution  $\hat{\sigma}$  must preserve all the outer quantifiers of  $P$  and does not generate any new ones.

This way,  $P = \exists \vec{\alpha}^-.P'$ ,  $[\hat{\sigma}]P = \exists \vec{\alpha}^-.[\hat{\sigma}]P'$  (assuming  $\vec{\alpha}^-$  does not intersect with the range of  $\hat{\sigma}$ ) and  $Q = \exists \vec{\beta}^-.Q'$ , where either  $\vec{\alpha}^-$  or  $\vec{\beta}^-$  is not empty.

By inversion,  $\Gamma \vdash [\sigma][\hat{\sigma}]P' \geq_1 Q'$  for some  $\Gamma, \vec{\beta}^- \vdash \sigma : \vec{\alpha}^-$ . Since  $\sigma$  and  $\hat{\sigma}$  have disjoint domains, and the range of one does not intersect with the domain of the other, they commute, i.e.  $\Gamma, \vec{\beta}^- \vdash [\hat{\sigma}][\sigma]P' \geq_1 Q'$  (notice that the tree inferring this judgement is a proper subtree of the tree inferring  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ ).

At the next step, the algorithm creates fresh (disjoint with  $\mathbf{uv}(P')$ ) unification variables  $\vec{\hat{\alpha}}^-$ , replaces  $\vec{\alpha}^-$  with them in  $P'$ , and makes the recursive call:  $\Gamma, \vec{\beta}^-; \Theta, \vec{\hat{\alpha}}^- \{ \Gamma, \vec{\beta}^- \} \vdash P_0 \geq Q' \Rightarrow SC_1$ , (where  $P_0 = [\vec{\hat{\alpha}}^- / \vec{\alpha}^-]P'$ ), returning  $SC_1 \setminus \vec{\hat{\alpha}}^-$  as the result.

To show that the recursive call terminates and that  $\Theta \vdash \hat{\sigma} : SC_1 \setminus \vec{\hat{\alpha}}^-$ , it suffices to build  $\Theta, \vec{\hat{\alpha}}^- \{ \Gamma, \vec{\beta}^- \} \vdash \hat{\sigma}_0$ —an extension of  $\hat{\sigma}$  with  $\vec{\hat{\alpha}}^-$  such that  $\Gamma, \vec{\beta}^- \vdash [\hat{\sigma}_0]P_0 \geq_1 Q$ . Then by the induction hypothesis,  $\Theta, \vec{\hat{\alpha}}^- \{ \Gamma, \vec{\beta}^- \} \vdash \hat{\sigma}_0 : SC_1$ , and hence,  $\Theta \vdash \hat{\sigma} : SC_1 \setminus \vec{\hat{\alpha}}^-$ , as required.

Let us construct such a substitution  $\hat{\sigma}_0$ :

$$[\hat{\sigma}_0]\hat{\beta}^\pm = \begin{cases} [\sigma]\alpha_i^- & \text{if } \hat{\beta}^\pm = \hat{\alpha}_i^- \in \vec{\hat{\alpha}}^- \\ [\hat{\sigma}]\hat{\beta}^\pm & \text{if } \hat{\beta}^\pm \in \mathbf{uv}(P') \end{cases}$$

It is easy to see  $\Theta, \vec{\hat{\alpha}}^- \{ \Gamma, \vec{\beta}^- \} \vdash \hat{\sigma}_0$ :

1. for  $\hat{\alpha}_i^- \in \vec{\hat{\alpha}}^-$ ,  $(\Theta, \vec{\hat{\alpha}}^- \{ \Gamma, \vec{\beta}^- \})(\hat{\alpha}_i^-) \vdash [\hat{\sigma}_0]\hat{\alpha}_i^-$ , i.e.  $\Gamma, \vec{\beta}^- \vdash [\sigma]\alpha_i^-$  holds since  $\Gamma, \vec{\beta}^- \vdash \sigma : \vec{\alpha}^-$ ,
2. for  $\hat{\beta}^\pm \in \mathbf{uv}(P') \subseteq \mathbf{dom}(\Theta)$ ,  $(\Theta, \vec{\hat{\alpha}}^- \{ \Gamma, \vec{\beta}^- \})(\hat{\beta}^\pm) \vdash [\hat{\sigma}_0]\hat{\beta}^\pm$ , i.e.  $\Theta(\hat{\beta}^\pm) \vdash [\hat{\sigma}]\hat{\beta}^\pm$  holds since  $\Theta \vdash \hat{\sigma}$ .

Now, let us show that  $\Gamma, \vec{\beta}^- \vdash [\hat{\sigma}_0]P_0 \geq_1 Q$ . To do that, we notice that  $[\hat{\sigma}_0]P_0 = [\hat{\sigma}][\sigma][\vec{\hat{\alpha}}^- / \vec{\alpha}^-]P_0$ : let us consider an arbitrary variable appearing freely in  $P_0$ :

1. if this variable is a metavariable  $\hat{\alpha}_i^- \in \vec{\hat{\alpha}}^-$ , then  $[\hat{\sigma}_0]\hat{\alpha}_i^- = [\sigma]\alpha_i^-$  and  $[\hat{\sigma}][\sigma][\vec{\hat{\alpha}}^- / \vec{\alpha}^-]\hat{\alpha}_i^- = [\hat{\sigma}][\sigma]\alpha_i^- = [\sigma]\alpha_i^-$ ,
2. if this variable is a metavariable  $\hat{\beta}^\pm \in \mathbf{uv}(P_0) \setminus \vec{\hat{\alpha}}^- = \mathbf{uv}(P')$ , then  $[\hat{\sigma}_0]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm$  and  $[\hat{\sigma}][\sigma][\vec{\hat{\alpha}}^- / \vec{\alpha}^-]\hat{\beta}^\pm = [\hat{\sigma}][\sigma]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm$ ,
3. if this variable is a regular variable from  $\mathbf{fv}(P_0)$ , both substitutions do not change it:  $\hat{\sigma}_0, \hat{\sigma}$  and  $\vec{\hat{\alpha}}^- / \vec{\alpha}^-$  act on metavariables, and  $\sigma$  is defined on  $\vec{\alpha}^-$ , however,  $\vec{\alpha}^- \cap \mathbf{fv}(P_0) = \emptyset$ .

This way,  $[\hat{\sigma}_0]P_0 = [\hat{\sigma}][\sigma][\vec{\hat{\alpha}}^- / \vec{\alpha}^-]P_0 = [\hat{\sigma}][\sigma]P'$ , and thus,  $\Gamma, \vec{\beta}^- \vdash [\hat{\sigma}_0]P_0 \geq_1 Q$ .

□

#### 4.14 Subtyping Constraint Merge

**Lemma 48** (Soundness of Constraint Entry Merge). *For a fixed context  $\Gamma$ , suppose that  $\Gamma \vdash e_1$  and  $\Gamma \vdash e_2$ . If  $\Gamma \vdash e_1$  &  $e_2 = e$  is defined then*

1.  $\Gamma \vdash e$
2. For any  $\Gamma \vdash P$ ,  $\Gamma \vdash P : e$  implies  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$

*Proof.* Let us consider the rule forming  $\Gamma \vdash e_1$  &  $e_2 = e$ .



**Case 1.** Rule  $(\simeq \&^+ \simeq)$ , i.e.  $\Gamma \vdash e_1 \& e_2 = e$  has form  $\Gamma \vdash (\hat{\alpha}^+ : \approx Q) \& (\hat{\alpha}^+ : \approx Q') = (\hat{\alpha}^+ : \approx Q)$  and  $\mathbf{nf}(Q) = \mathbf{nf}(Q')$ . The latter implies  $\Gamma \vdash Q \simeq_1^< Q'$  by lemma 30. Then

1.  $\Gamma \vdash e$ , i.e.  $\Gamma \vdash \hat{\alpha}^+ : \approx Q$  holds by assumption;
2. by inversion,  $\Gamma \vdash P : (\hat{\alpha}^+ : \approx Q)$  means  $\Theta \vdash P \simeq_1^D Q$ , and by transitivity of equivalence (corollary 5),  $\Theta \vdash P \simeq_1^D Q'$ . Thus,  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$  hold by Rule SATSCEPEq.

**Case 2.** Rule  $(\simeq \&^- \simeq)$  the negative case is proved in exactly the same way as the positive one.

**Case 3.** Rule  $(\geq \&^+ \geq)$  Then  $e_1$  is  $\hat{\alpha}^+ : \geq Q_1$ ,  $e_2$  is  $\hat{\alpha}^+ : \geq Q_2$ , and  $e_1 \& e_2 = e$  is  $\hat{\alpha}^+ : \geq Q$  where  $Q$  is the least upper bound of  $Q_1$  and  $Q_2$ . Then by lemma 42,

- $\Gamma \vdash Q$ ,
- $\Gamma \vdash Q \geq_1 Q_1$ ,
- $\Gamma \vdash Q \geq_1 Q_2$ .

Let us show the required properties.

- $\Gamma \vdash e$  holds from  $\Gamma \vdash Q$ ,
- Assuming  $\Gamma \vdash P : e$ , by inversion, we have  $\Gamma \vdash P \geq_1 Q$ . Combining it transitively with  $\Gamma \vdash Q \geq_1 Q_1$ , we have  $\Gamma \vdash P \geq_1 Q_1$ . Analogously,  $\Gamma \vdash P \geq_1 Q_2$ . Then  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$  hold by Rule SATSCESup.

**Case 4.** Rule  $(\geq \&^+ \simeq)$  Then  $e_1$  is  $\hat{\alpha}^+ : \geq Q_1$ ,  $e_2$  is  $\hat{\alpha}^+ : \approx Q_2$ , where  $\Gamma; \cdot \models Q_2 \geq Q_1 \Rightarrow \cdot$ , and the resulting  $e_1 \& e_2 = e$  is equal to  $e_2$ , that is  $\hat{\alpha}^+ : \approx Q_2$ .

Let us show the required properties.

- By assumption,  $\Gamma \vdash Q$ , and hence  $\Gamma \vdash e$ .
- Since  $\mathbf{uv}(Q_2) = \emptyset$ ,  $\Gamma; \cdot \models Q_2 \geq Q_1 \Rightarrow \cdot$  implies  $\Gamma \vdash Q_2 \geq_1 Q_1$  by the soundness of positive subtyping (lemma 46). Then let us take an arbitrary  $\Gamma \vdash P$  such that  $\Gamma \vdash P : e$ . Since  $e_2 = e$ ,  $\Gamma \vdash P : e_2$  holds immediately. By inversion,  $\Gamma \vdash P : (\hat{\alpha}^+ : \approx Q_2)$  means  $\Gamma \vdash P \simeq_1^< Q_2$ , and then by transitivity of subtyping (lemma 8),  $\Gamma \vdash P \geq_1 Q_1$ . Then  $\Gamma \vdash P : e_1$  holds by Rule SATSCESup.

**Case 5.** Rule  $(\simeq \&^+ \geq)$  The proof is analogous to the previous case.

□

**Lemma 49** (Soundness of Constraint Merge). *Suppose that  $\Theta \vdash SC_1$  and  $\Theta \vdash SC_2$  and  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined. Then*

1.  $\Theta \vdash SC$ ,
2. for any substitution  $\Theta \vdash \hat{\sigma}$ ,  $\Theta \vdash \hat{\sigma} : SC$  implies  $\Theta \vdash \hat{\sigma} : SC_1$  and  $\Theta \vdash \hat{\sigma} : SC_2$ .

*Proof.* By definition,  $SC_1 \& SC_2 = SC$  consists of three parts: entries of  $SC_1$  that do not have matching entries of  $SC$ , entries of  $SC_2$  that do not have matching entries of  $SC_1$ , and the merge of matching entries.

Let us show  $\Theta \vdash SC$ . First, let us assume that an entry  $e \in SC$  belongs to the first group, i.e.  $e \in SC_1$ . Let us denote the variable  $e$  as  $\hat{\alpha}^\pm$ . Then  $\Theta(\hat{\alpha}^\pm) \vdash e$  holds since  $\Theta \vdash SC_1 \ni e$ . Analogously, if  $e$  belongs to the second group, then  $\Theta(\hat{\alpha}^\pm) \vdash e$  holds since  $\Theta \vdash SC_2 \ni e$ . Finally, if  $e$  belongs to the third group, then  $e$  is a merge of two entries  $\Theta(\hat{\alpha}^\pm) \vdash e_1$  and  $\Theta(\hat{\alpha}^\pm) \vdash e_2$ . Then  $\Theta(\hat{\alpha}^\pm) \vdash e$  holds by lemma 48.

Let us show the second property. We take an arbitrary  $\hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma}$  and  $\Theta \vdash \hat{\sigma} : SC$ . To prove  $\Theta \vdash \hat{\sigma} : SC_1$ , we need to show that for any  $e_1 \in SC_1$ , restricting  $\hat{\alpha}^\pm$ ,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_1$  holds.

Let us assume that  $\hat{\alpha}^\pm \notin \mathbf{dom}(SC_2)$ . It means that  $SC \ni e_1$ , and then since  $\Theta \vdash \hat{\sigma} : SC$ ,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_1$ .

Otherwise,  $SC_2$  contains an entry  $e_2$  restricting  $\hat{\alpha}^\pm$ , and  $SC \ni e$  where  $\Theta(\hat{\alpha}^\pm) \vdash e_1 \& e_2 = e$ . Then since  $\Theta \vdash \hat{\sigma} : SC$ ,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e$ , and by lemma 48,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_1$ .

The proof of  $\Theta \vdash \hat{\sigma} : SC_2$  is symmetric.

□

**Lemma 50** (Completeness of Constraint Entry Merge). *For a fixed context  $\Gamma$ , suppose that  $\Gamma \vdash e_1$  and  $\Gamma \vdash e_2$  are matching constraint entries.*

- for a type  $P$  such that  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$ ,  $\Gamma \vdash e_1 \& e_2 = e$  is defined and  $\Gamma \vdash P : e$ .
- for a type  $N$  such that  $\Gamma \vdash N : e_1$  and  $\Gamma \vdash N : e_2$ ,  $\Gamma \vdash e_1 \& e_2 = e$  is defined and  $\Gamma \vdash N : e$ .

*Proof.* Let us consider the shape of  $e_1$  and  $e_2$ .

**Case 1.**  $e_1$  is  $\hat{\alpha}^+ : \approx Q_1$  and  $e_2$  is  $\hat{\alpha}^+ : \approx Q_2$ . Then  $\Gamma \vdash P : e_1$  means  $\Gamma \vdash P \simeq_1^{\leq} Q_1$ , and  $\Gamma \vdash P : e_2$  means  $\Gamma \vdash P \simeq_1^{\leq} Q_2$ . Then by transitivity of equivalence (corollary 5),  $\Gamma \vdash Q_1 \simeq_1^{\leq} Q_2$ , which means  $\mathbf{nf}(Q_1) = \mathbf{nf}(Q_2)$  by lemma 30. Hence, Rule  $(\simeq \&^+ \simeq)$  applies to infer  $\Gamma \vdash e_1 \& e_2 = e_2$ , and  $\Gamma \vdash P : e_2$  holds by assumption.

**Case 2.**  $e_1$  is  $\hat{\alpha}^+ : \approx Q_1$  and  $e_2$  is  $\hat{\alpha}^+ : \geq Q_2$ . Then  $\Gamma \vdash P : e_1$  means  $\Gamma \vdash P \simeq_1^{\leq} Q_1$ , and  $\Gamma \vdash P : e_2$  means  $\Gamma \vdash P \geq_1 Q_2$ . Then by transitivity of subtyping,  $\Gamma \vdash Q_1 \geq_1 Q_2$ , which means  $\Gamma; \cdot \models Q_1 \geq Q_2 \Rightarrow \cdot$  by lemma 47. This way, Rule  $(\simeq \&^+ \geq)$  applies to infer  $\Gamma \vdash e_1 \& e_2 = e_1$ , and  $\Gamma \vdash P : e_1$  holds by assumption.

**Case 3.**  $e_1$  is  $\hat{\alpha}^+ : \geq Q_1$  and  $e_2$  is  $\hat{\alpha}^+ : \geq Q_2$ . Then  $\Gamma \vdash P : e_1$  means  $\Gamma \vdash P \geq_1 Q_1$ , and  $\Gamma \vdash P : e_2$  means  $\Gamma \vdash P \geq_1 Q_2$ . By the completeness of the least upper bound (lemma 43),  $\Gamma \models Q_1 \vee Q_2 = Q$ , and  $\Gamma \vdash P \geq_1 Q$ . This way, Rule  $(\geq \&^+ \geq)$  applies to infer  $\Gamma \vdash e_1 \& e_2 = (\hat{\alpha}^+ : \geq Q)$ , and  $\Gamma \vdash P : (\hat{\alpha}^+ : \geq Q)$  holds by Rule SATSCESup.

**Case 4.** The negative cases are proved symmetrically. □

**Lemma 51** (Completeness of Constraint Merge). *Suppose that  $\Theta \vdash SC_1$  and  $\Theta \vdash SC_2$ . Then for any substitution  $\Theta \vdash \hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : SC_1$  and  $\Theta \vdash \hat{\sigma} : SC_2$ ,  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined.*

*Proof.* By definition,  $SC_1 \& SC_2$  is a union of

1. entries of  $SC_1$ , which do not have matching entries in  $SC_2$ ,
2. entries of  $SC_2$ , which do not have matching entries in  $SC_1$ , and
3. the merge of matching entries.

This way, to show that  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined, we need to demonstrate that each of these components is defined.

It is clear that the first two components of this union exist. Let us show that the third component exists. Let us take two entries  $e_1 \in SC_1$  and  $e_2 \in SC_2$  restricting the same variable  $\hat{\alpha}^\pm$ .  $\Theta \vdash \hat{\sigma} : SC_1$  means that  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_1$  and  $\Theta \vdash \hat{\sigma} : SC_2$  means  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_2$ . Then by lemma 50,  $\Theta(\hat{\alpha}^\pm) \vdash e_1 \& e_2 = e$  is defined and  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e$ . □

**Lemma 52** (Substitution existence). *If  $\Theta \vdash SC$  then there exists  $\Theta \vdash \hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : SC$ .*

*Proof.* □

## 4.15 Constraint Satisfaction

**Lemma 53.** *Suppose that  $\Theta \vdash SC$  then there exist  $\hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : SC$ .*

*Proof.* Let us define  $\hat{\sigma}$  on  $\mathbf{dom}(SC)$  in the following way:

$$[\hat{\sigma}]\hat{\alpha}^\pm = \begin{cases} P & \text{if } (\hat{\alpha}^\pm : \approx P) \in SC \\ P & \text{if } (\hat{\alpha}^\pm : \geq P) \in SC \\ N & \text{if } (\hat{\alpha}^\pm : \approx N) \in SC \end{cases}$$

Then  $\Theta \vdash \hat{\sigma} : SC$  follows immediately from the reflexivity of equivalence and subtyping (lemma 6) and the corresponding rules Rule SATSCEPeq, Rule SATSCENEq, and Rule SATSCESup. □

**Lemma 54** (Constraint Entry Satisfaction is Stable under Equivalence). *– If  $\Gamma \vdash N_1 : e$  and  $\Gamma \vdash N_1 \simeq_1^{\leq} N_2$  then  $\Gamma \vdash N_2 : e$ .*

*+ If  $\Gamma \vdash P_1 : e$  and  $\Gamma \vdash P_1 \simeq_1^{\leq} P_2$  then  $\Gamma \vdash P_2 : e$ .*

*Proof.* *–* Then  $e$  has form  $(\hat{\alpha}^- : \approx M)$ , and by inversion,  $\Gamma \vdash N_1 \simeq_1^{\leq} M$ . Then by transitivity,  $\Gamma \vdash N_2 \simeq_1^{\leq} M$ , meaning  $\Gamma \vdash N_2 : e$ .

*+ Let us consider what form  $e$  has.*

**Case 1.**  $e = (\hat{\alpha}^+ : \approx Q)$ . Then  $\Gamma \vdash P_1 \simeq_1^{\leq} Q$ , and hence,  $\Gamma \vdash P_2 \simeq_1^{\leq} Q$  by transitivity. Then  $\Gamma \vdash P_2 : e$ .

**Case 2.**  $e = (\hat{\alpha}^+ : \geq Q)$ . Then  $\Gamma \vdash P_1 \geq_1 Q$ , and hence,  $\Gamma \vdash P_2 \geq_1 Q$  by transitivity. Then  $\Gamma \vdash P_2 : e$ . □

## 4.16 Negative Subtyping

**Lemma 55** (Soundness of Negative Subtyping). *If  $\Gamma \vdash^\exists \Theta$ ,  $\Gamma \vdash M$ ,  $\Gamma; \Theta \vdash N$  and  $\Gamma; \Theta \models N \leq M \Rightarrow SC$ , then  $\Theta \vdash SC$  and for any normalized  $\hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : SC$ ,  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$ .*

*Proof.* We prove it by induction on  $\Gamma; \Theta \models N \leq M \Rightarrow SC$ .

Suppose that  $\hat{\sigma}$  is normalized and  $\Theta \vdash \hat{\sigma} : SC$ . Let us consider the last rule to infer this judgment.

**Case 1.** Rule ( $\rightarrow^{\leq}$ ). Then  $\Gamma; \Theta \models N \leq M \Rightarrow SC$  has shape  $\Gamma; \Theta \models P \rightarrow N' \leq Q \rightarrow M' \Rightarrow SC$

On the next step, the algorithm makes two recursive calls:  $\Gamma; \Theta \models P \geq Q \Rightarrow SC_1$  and  $\Gamma; \Theta \models N' \leq M' \Rightarrow SC_2$  and returns  $\Theta \vdash SC_1 \& SC_2 = SC$  as the result.

By the soundness of constraint merge (lemma 49),  $\Theta \vdash \hat{\sigma} : SC_1$  and  $\Theta \vdash \hat{\sigma} : SC_2$ . Then by the soundness of positive subtyping (lemma 46),  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ ; and by the induction hypothesis,  $\Gamma \vdash [\hat{\sigma}]N' \leq_1 M'$ . This way, by Rule ( $\rightarrow^{\leq_1}$ ),  $\Gamma \vdash [\hat{\sigma}](P \rightarrow N') \leq_1 Q \rightarrow M'$ .

**Case 2.** Rule ( $\text{Var}^{\leq}$ ), and then  $\Gamma; \Theta \models N \leq M \Rightarrow SC$  has shape  $\Gamma; \Theta \models \alpha^- \leq \alpha^- \Rightarrow \cdot$ .

This case is symmetric to case 2 of lemma 46.

**Case 3.** Rule ( $\uparrow^{\leq}$ ), and then  $\Gamma; \Theta \models N \leq M \Rightarrow SC$  has shape  $\Gamma; \Theta \models \uparrow P \leq \uparrow Q \Rightarrow SC$

This case is symmetric to case 3 of lemma 46.

**Case 4.** Rule ( $\forall^{\leq}$ ), and then  $\Gamma; \Theta \models N \leq M \Rightarrow SC$  has shape  $\Gamma; \Theta \models \forall \alpha^+. N' \leq \forall \beta^+. M' \Rightarrow SC$  s.t. either  $\alpha^+$  or  $\beta^+$  is not empty

This case is symmetric to case 4 of lemma 46.

□

**Lemma 56** (Completeness of the Negative Subtyping). *Suppose that  $\Gamma \vdash^\exists \Theta$ ,  $\Gamma \vdash M$ ,  $\Gamma; \Theta \vdash N$ , and  $N$  does not contain negative unification variables ( $\hat{\alpha}^- \notin \mathbf{uv} N$ ). Then for any  $\Theta \vdash \hat{\sigma}$  such that  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$ , there exists  $\Gamma; \Theta \models N \leq M \Rightarrow SC$ , such that  $\Theta \vdash SC$  and moreover,  $\Theta \vdash \hat{\sigma} : SC$ .*

*Proof.* We prove it by induction on  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$ . Let us consider the last rule used in the derivation of  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$ .

**Case 1.**  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$  is derived by Rule ( $\uparrow^{\leq_1}$ )

Then  $N = \uparrow P$ , since the substitution  $[\hat{\sigma}]N$  must preserve the top-level constructor of  $N \neq \hat{\alpha}^-$  (since by assumption,  $\hat{\alpha}^- \notin \mathbf{uv} N$ ), and  $Q = \downarrow M$ , and by inversion,  $\Gamma \vdash [\hat{\sigma}]N \simeq_1^{\leq} M$ . The rest of the proof is symmetric to case 3 of lemma 47: notice that the algorithm does not make a recursive call, and the difference in the induction statement for the positive and the negative case here does not matter.

**Case 2.**  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$  is derived by Rule ( $\rightarrow^{\leq_1}$ ), i.e.  $[\hat{\sigma}]N = [\hat{\sigma}]P \rightarrow [\hat{\sigma}]N'$  and  $M = Q \rightarrow M'$ , and by inversion,  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  and  $\Gamma \vdash [\hat{\sigma}]N' \leq_1 M'$ .

The algorithm makes two recursive calls:  $\Gamma; \Theta \models P \geq Q \Rightarrow SC_1$  and  $\Gamma; \Theta \models N' \leq M' \Rightarrow SC_2$ , and then returns  $\Theta \vdash SC_1 \& SC_2 = SC$  as the result. Let us show that these recursive calls are successful and the returning constraints are fulfilled by  $\hat{\sigma}$ .

Notice that from the inversion of  $\Gamma \vdash M$ , we have:  $\Gamma \vdash Q$  and  $\Gamma \vdash M'$ ; from the inversion of  $\Gamma; \Theta \vdash N$ , we have:  $\Gamma; \Theta \vdash P$  and  $\Gamma; \Theta \vdash N'$ ; and since  $N$  does not contain negative unification variables,  $N'$  does not contain negative unification variables either.

This way, we can apply the induction hypothesis to  $\Gamma \vdash [\hat{\sigma}]N' \leq_1 M'$  to obtain  $\Gamma; \Theta \models N' \leq M' \Rightarrow SC_2$  such that  $\Theta \vdash SC_2$  and  $\Theta \vdash \hat{\sigma} : SC_2$ . Also, we can apply the completeness of the positive subtyping (lemma 47) to  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  to obtain  $\Gamma; \Theta \models P \geq Q \Rightarrow SC_1$  such that  $\Theta \vdash SC_1$  and  $\Theta \vdash \hat{\sigma} : SC_1$ .

Finally, we need to show that the merge of  $SC_1$  and  $SC_2$  is successful and satisfies the required properties. To do so, we apply the completeness of subtyping constraint merge (lemma 51). This way,  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined,  $\Theta \vdash SC$ , and  $\Theta \vdash \hat{\sigma} : SC$ , as required.

**Case 3.**  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$  is derived by Rule ( $\forall^{\leq_1}$ ). Since  $N$  does not contain negative unification variables,  $N$  must be of the form  $\forall \alpha^+. N'$ , such that  $[\hat{\sigma}]N = \forall \alpha^+. [\hat{\sigma}]N'$  and  $[\hat{\sigma}]N' \neq \forall \dots$  (assuming  $\alpha^+$  does not intersect with the range of  $\hat{\sigma}$ ). Also,  $M = \forall \beta^+. M'$  and either  $\alpha^+$  or  $\beta^+$  is non-empty.

The rest of the proof is symmetric to ?? of lemma 47. To apply the induction hypothesis, we need to show additionally that there are no negative unification variables in  $N_0 = [\hat{\alpha}^+ / \alpha^+] N'$ . This is because  $\mathbf{uv} N_0 \subseteq \mathbf{uv} N \cup \hat{\alpha}^+$ , and  $N$  is free of negative unification variables by assumption.

**Case 4.**  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$  is derived by Rule  $(\text{Var}^{-\leq_1})$ .

Then  $N = [\hat{\sigma}]N = \alpha^- = M$ . Here the first equality holds because  $N$  is not a unification variable: by assumption,  $N$  is free of negative unification variables. The second and the third equations hold because Rule  $(\text{Var}^{-\leq_1})$  was applied.

The rest of the proof is symmetric to case 2 of lemma 47. □

## 4.17 Singularity

**Lemma 57** (Soundness of Entry Singularity).  $\quad +$  Suppose  $e$  **singular with**  $P$  for  $P$  well-formed in  $\Gamma$ . Then  $\Gamma \vdash P : e$  and for any  $\Gamma \vdash P' : e$ ,  $\Gamma \vdash P' \simeq_1^e P$ ;

$-$  Suppose  $e$  **singular with**  $N$  for  $N$  well-formed in  $\Gamma$ . Then  $\Gamma \vdash N : e$  and for any  $\Gamma \vdash N' : e$ ,  $\Gamma \vdash N' \simeq_1^e N$ .

*Proof.* Let us consider how  $e$  **singular with**  $P$  or  $e$  **singular with**  $N$  is formed.

**Case 1.** Rule SINGNEq, that is  $e = \hat{\alpha}^- : \approx N_0$ . and  $N$  is  $\mathbf{nf}(N_0)$ . Then  $\Gamma \vdash N' : e$  means  $\Gamma \vdash N' \simeq_1^e N_0$ , (by inversion of Rule SATSCENEq), which by transitivity, using corollary 14, means  $\Gamma \vdash N' \simeq_1^e \mathbf{nf}(N_0)$ , as required.

**Case 2.** Rule SINGPEq. This case is symmetric to the previous one.

**Case 3.** Rule SINGSupVar, that is  $e = \hat{\alpha}^+ : \geq \exists \alpha^- . \beta^+$ , and  $P = \beta^+$ .

Since  $\Gamma \vdash \beta^+ \geq_1 \exists \alpha^- . \beta^+$ , we have  $\Gamma \vdash \beta^+ : e$ , as required.

Notice that  $\Gamma \vdash P' : e$  means  $\Gamma \vdash P' \geq_1 \exists \alpha^- . \beta^+$ . Let us show that it implies  $\Gamma \vdash P' \simeq_1^e \beta^+$ . By applying lemma 40 once, we have  $\Gamma, \alpha^- \vdash P' \geq_1 \beta^+$ . By applying it again, we notice that  $\Gamma, \alpha^- \vdash P' \geq_1 \beta^+$  implies  $P_i = \exists \alpha^- . \beta^+$ . Finally, it is easy to see that  $\Gamma \vdash \exists \alpha^- . \beta^+ \simeq_1^e \beta^+$

**Case 4.** Rule SINGSupShift, that is  $e = \hat{\alpha}^+ : \geq \exists \beta^- . \downarrow N_1$ , where  $N_1 \simeq_1^D \beta_j^-$ , and  $P = \exists \alpha^- . \downarrow \alpha^-$ .

Since  $\Gamma \vdash \exists \alpha^- . \downarrow \alpha^- \geq_1 \exists \beta^- . \downarrow N_1$  (by Rule  $(\exists \geq_1)$ , with substitution  $N_1/\alpha^-$ ), we have  $\Gamma \vdash \exists \alpha^- . \downarrow \alpha^- : e$ , as required.

Notice  $\Gamma \vdash P' : e$  means  $\Gamma \vdash P' \geq_1 \exists \beta^- . \downarrow N_1$ . Let us show that it implies  $\Gamma \vdash P' \simeq_1^e \exists \alpha^- . \downarrow \alpha^-$ .

$$\begin{aligned}
[h]\Gamma \vdash P' \geq_1 \exists \beta^- . \downarrow N_1 &\Rightarrow \Gamma \vdash \mathbf{nf}(P') \geq_1 \exists \beta^- . \downarrow \mathbf{nf}(N_1) \text{ where } \mathbf{ord} \beta^- \text{ in } N' = \beta^- && \text{by corollary 15} \\
&\Rightarrow \Gamma \vdash \mathbf{nf}(P') \geq_1 \exists \beta^- . \downarrow \mathbf{nf}(\beta_j^-) && \text{by lemma 19} \\
&\Rightarrow \Gamma \vdash \mathbf{nf}(P') \geq_1 \exists \beta^- . \downarrow \beta_n^- && \text{by definition of normalization} \\
&\Rightarrow \Gamma \vdash \mathbf{nf}(P') \geq_1 \exists \beta_j^- . \downarrow \beta_j^- && \text{since } \mathbf{ord} \beta^- \text{ in } \mathbf{nf}(N_1) = \beta_j^- \\
&\Rightarrow \Gamma, \beta_j^- \vdash \mathbf{nf}(P') \geq_1 \downarrow \beta_j^- \text{ and } \beta_j^- \notin \mathbf{fv}(\mathbf{nf}(P')) && \text{by lemma 41}
\end{aligned}$$

By lemma 41, the last subtyping means that  $\mathbf{nf}(P') = \exists \alpha^- . \downarrow N'$ , such that

1.  $\Gamma, \beta_j^-, \alpha^- \vdash N'$
2.  $\mathbf{ord} \alpha^- \text{ in } N' = \alpha^-$
3. for some substitution  $\Gamma, \beta_j^- \vdash \sigma : \alpha^-$ ,  $[\sigma]N' = \beta_j^-$ .

Since  $\beta_j^- \notin \mathbf{fv}(\mathbf{nf}(P'))$ , the latter means that  $N' = \alpha^-$ , and then  $\mathbf{nf}(P') = \exists \alpha^- . \downarrow \alpha^-$  for some  $\alpha^-$ . Finally, notice that all the types of shape  $\exists \alpha^- . \downarrow \alpha^-$  are equal. □

**Lemma 58** (Completeness of Entry Singularity).

$-$  Suppose that there exists  $N$  well-formed in  $\Gamma$  such that for any  $N'$  well-formed in  $\Gamma$ ,  $\Gamma \vdash N' : e$  implies  $\Gamma \vdash N' \simeq_1^e N$ . Then  $e$  **singular with**  $\mathbf{nf}(N)$ .

$+$  Suppose that there exists  $P$  well-formed in  $\Gamma$  such that for any  $P'$  well-formed in  $\Gamma$ ,  $\Gamma \vdash P' : e$  implies  $\Gamma \vdash P' \simeq_1^e P$ . Then  $e$  **singular with**  $\mathbf{nf}(P)$ .

*Proof.*

$-$  By lemma 53, there exists  $\Gamma \vdash N' : e$ . Since  $N'$  is negative, by inversion of  $\Gamma \vdash N' : e$ ,  $e$  has shape  $\hat{\alpha}^- : \approx M$ , where  $\Gamma \vdash N' \simeq_1^e M$ , and transitively,  $\Gamma \vdash N \simeq_1^e M$ . Then  $\mathbf{nf}(M) = \mathbf{nf}(N)$ , and  $e$  **singular with**  $\mathbf{nf}(M)$  (by Rule SINGNEq) is rewritten as  $e$  **singular with**  $\mathbf{nf}(N)$ .

+ By lemma 53, there exists  $\Gamma \vdash P' : e$ , then by assumption,  $\Gamma \vdash P' \simeq_1^{\leq} P$ , which by lemma 54 implies  $\Gamma \vdash P : e$ .

Let us consider the shape of  $e$ :

**Case 1.**  $e = (\hat{\alpha}^+ : \approx Q)$  then inversion of  $\Gamma \vdash P : e$  implies  $\Gamma \vdash P \simeq_1^{\leq} Q$ , and hence,  $\mathbf{nf}(P) = \mathbf{nf}(Q)$  (by lemma 30). Then  $e$  **singular with  $\mathbf{nf}(Q)$** , which holds by Rule SINGPEq, is rewritten as  $e$  **singular with  $\mathbf{nf}(P)$** .

**Case 2.**  $e = (\hat{\alpha}^+ : \geq Q)$ . Then the inversion of  $\Gamma \vdash P : e$  implies  $\Gamma \vdash P \geq_1 Q$ . Let us consider the shape of  $Q$ :

a.  $Q = \exists \vec{\beta}^-. \beta^+$  (for potentially empty  $\vec{\beta}^-$ ). Then  $\Gamma \vdash P \geq_1 \exists \vec{\beta}^-. \beta^+$  implies  $\Gamma \vdash P \simeq_1^{\leq} \beta^+$  by lemma 40, as was noted in the proof of lemma 57, and hence,  $\mathbf{nf}(P) = \beta^+$ .

Then  $e$  **singular with  $\beta^+$** , which holds by Rule SINGSupVar, can be rewritten as  $e$  **singular with  $\mathbf{nf}(P)$** .

b.  $Q = \exists \vec{\beta}^-. \downarrow N$  (for potentially empty  $\vec{\beta}^-$ ). Notice that  $\Gamma \vdash \exists \gamma^-. \downarrow \gamma^- \geq_1 \exists \vec{\beta}^-. \downarrow N$  (by Rule  $(\exists \geq_1)$ ), with substitution  $N/\gamma^-$ , and thus,  $\Gamma \vdash \exists \gamma^-. \downarrow \gamma^- : e$  by Rule SATSCESup.

Then by assumption,  $\Gamma \vdash \exists \gamma^-. \downarrow \gamma^- \simeq_1^{\leq} P$ , that is  $\mathbf{nf}(P) = \exists \gamma^-. \downarrow \gamma^-$ . To apply Rule SINGSupShift to infer  $(\hat{\alpha}^+ : \geq \exists \vec{\beta}^-. \downarrow N)$  **singular with  $\exists \gamma^-. \downarrow \gamma^-$** , it is left to show that  $N \simeq_1^D \beta_i^-$  for some  $i$ .

Since  $\Gamma \vdash Q : e$ , by assumption,  $\Gamma \vdash Q \simeq_1^{\leq} P$ , and by transitivity,  $\Gamma \vdash Q \simeq_1^{\leq} \exists \gamma^-. \downarrow \gamma^-$ . It implies  $\mathbf{nf}(\exists \vec{\beta}^-. \downarrow N) = \exists \gamma^-. \downarrow \gamma^-$  (by lemma 30), which by definition of normalization means  $\exists \vec{\beta}^-. \downarrow \mathbf{nf}(N) = \exists \gamma^-. \downarrow \gamma^-$ , where **ord**  $\vec{\beta}^-$  in  $N' = \beta^{-'}$ . This way,  $\vec{\beta}^{-'}$  is a variable  $\beta^-$ , and  $\mathbf{nf}(N) = \beta^-$ . Notice that  $\beta^- \in \beta^{-'} \subseteq \beta^-$  by lemma 11. This way,  $N \simeq_1^D \beta^-$  for  $\beta^- \in \beta^-$  (by lemma 30),

□

**Lemma 59** (Soundness of Singularity). *Suppose  $\Theta \vdash SC$ , and  $SC$  **singular with  $\hat{\sigma}$** . Then  $\Theta \vdash \hat{\sigma} : SC$  and for any  $\hat{\sigma}'$  such that  $\Theta \vdash \hat{\sigma}' : SC$ ,  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma} : \mathbf{dom}(SC)$ .*

*Proof.* Suppose that  $\Theta \vdash \hat{\sigma}' : SC$ . It means that for every  $e \in SC$  restricting  $\hat{\alpha}^\pm$ ,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}']\hat{\alpha}^\pm : e$  holds.  $SC$  **singular with  $\hat{\sigma}$**  means  $e$  **singular with  $[\hat{\sigma}]\hat{\alpha}^\pm$** , and hence, by lemma 58,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}']\hat{\alpha}^\pm \simeq_1^{\leq} [\hat{\sigma}]\hat{\alpha}^\pm$  holds.

Since the uniqueness holds for every variable from  $\mathbf{dom}(SC)$ ,  $\hat{\sigma}$  is equivalent to  $\hat{\sigma}'$  on this set. □

**Lemma 60** (Completeness of Singularity).

**Lemma 61** (Completeness of Singularity). *Suppose there exists  $\Theta \vdash \hat{\sigma}_1$  such that for any  $\Theta \vdash \hat{\sigma}$ ,  $\Theta \vdash \hat{\sigma} : SC$  implies  $\Theta \vdash \hat{\sigma} \simeq_1^{\leq} \hat{\sigma}_1 : \mathbf{vars}$ . Then*

- $\mathbf{SC}|_{\mathbf{vars}}$  **singular with  $\hat{\sigma}_0$**  for some  $\hat{\sigma}_0$ , and
- $\mathbf{vars} \subseteq \mathbf{dom}(SC)$ .

*Proof.* □

## 4.18 Declarative Typing

**Lemma 62.** *If  $\Gamma; \Phi \vdash N_1 \bullet \vec{v} \Rightarrow M$  and  $\Gamma \vdash N_1 \simeq_1^{\leq} N_2$  then  $\Gamma; \Phi \vdash N_2 \bullet \vec{v} \Rightarrow M$ .*

*Proof.* By lemma 29,  $\Gamma \vdash N_1 \simeq_1^{\leq} N_2$  implies  $N_1 \simeq_1^D N_2$ . Let us prove the required judgement by induction on  $N_1 \simeq_1^D N_2$ . Let us consider the last rule used in the derivation.

**Case 1.** Rule  $(\text{Var} \simeq_1^D)$ . It means that  $N_1$  is  $\alpha^-$  and  $N_2$  is  $\alpha^-$ . Then the required property coincides with the assumption.

**Case 2.** Rule  $(\uparrow \simeq_1^D)$ . It means that  $N_1$  is  $\uparrow P_1$  and  $N_2$  is  $\uparrow P_2$ . where  $P_1 \simeq_1^D P_2$ .

Then the only rule applicable to infer  $\Gamma; \Phi \vdash \uparrow P_1 \bullet \vec{v} \Rightarrow M$  is Rule DTEmptyApp, meaning that  $\vec{v} = \cdot$  and  $\Gamma \vdash \uparrow P_1 \simeq_1^{\leq} M$ . Then by transitivity of equivalence corollary 5,  $\Gamma \vdash \uparrow P_2 \simeq_1^{\leq} M$ , and then Rule DTEmptyApp is applicable to infer  $\Gamma; \Phi \vdash \uparrow P_2 \bullet \cdot \Rightarrow M$ .

**Case 3.** Rule  $(\rightarrow \simeq_1^D)$ . Then we are proving that  $\Gamma; \Phi \vdash (Q_1 \rightarrow N_1) \bullet v, \vec{v} \Rightarrow M$  and  $Q_1 \rightarrow N_1 \simeq_1^D Q_2 \rightarrow N_2$  imply  $\Gamma; \Phi \vdash (Q_2 \rightarrow N_2) \bullet v, \vec{v} \Rightarrow M$ .

By inversion,  $(Q_1 \rightarrow N_1) \simeq_1^D (Q_2 \rightarrow N_2)$  means  $Q_1 \simeq_1^D Q_2$  and  $N_1 \simeq_1^D N_2$ .

By inversion of  $\Gamma; \Phi \vdash (Q_1 \rightarrow N_1) \bullet v, \vec{v} \Rightarrow M$ :

1.  $\Gamma; \Phi \vdash v : P$
2.  $\Gamma \vdash Q_1 \geq_1 P$ , and then by transitivity corollary 4,  $\Gamma \vdash Q_2 \geq_1 P$ ;
3.  $\Gamma; \Phi \vdash N_1 \bullet \vec{v} \Rightarrow M$ , and then by induction hypothesis,  $\Gamma; \Phi \vdash N_2 \bullet \vec{v} \Rightarrow M$ .

Since we have  $\Gamma; \Phi \vdash v : P$ ,  $\Gamma \vdash Q_2 \geq_1 P$  and  $\Gamma; \Phi \vdash N_2 \bullet \vec{v} \Rightarrow M$ , we can apply Rule DTArrowApp to infer  $\Gamma; \Phi \vdash (Q_2 \rightarrow N_2) \bullet v, \vec{v} \Rightarrow M$ .

**Case 4.** Rule ( $\forall \xrightarrow{\text{D}}$ ) Then we are proving that  $\Gamma; \Phi \vdash \forall \alpha^+_{\vec{1}}. N'_1 \bullet \vec{v} \Rightarrow M$  and  $\forall \alpha^+_{\vec{1}}. N'_1 \simeq^D_1 \forall \alpha^+_{\vec{2}}. N'_2$  imply  $\Gamma; \Phi \vdash \forall \alpha^+_{\vec{2}}. N'_2 \bullet \vec{v} \Rightarrow M$ .

By inversion of  $\forall \alpha^+_{\vec{1}}. N'_1 \simeq^D_1 \forall \alpha^+_{\vec{2}}. N'_2$ :

1.  $\alpha^+_{\vec{2}} \cap \mathbf{fv} N_1 = \emptyset$ ,
2. there exists a bijection  $\mu : (\alpha^+_{\vec{2}} \cap \mathbf{fv} N'_2) \leftrightarrow (\alpha^+_{\vec{1}} \cap \mathbf{fv} N'_1)$  such that  $N'_1 \simeq^D_1 [\mu] N'_2$ .

By inversion of  $\Gamma; \Phi \vdash \forall \alpha^+_{\vec{1}}. N'_1 \bullet \vec{v} \Rightarrow M$ :

1.  $\Gamma \vdash \sigma : \alpha^+_{\vec{1}}$
2.  $\Gamma; \Phi \vdash [\sigma] N'_1 \bullet \vec{v} \Rightarrow M$
3.  $\vec{v} \neq \cdot$ .

Let us construct  $\Gamma \vdash \sigma_0 : \alpha^+_{\vec{2}}$  in the following way:

$$\begin{cases} [\sigma_0] \alpha^+ = [\sigma][\mu] \alpha^+ & \text{if } \alpha^+ \in \alpha^+_{\vec{2}} \cap \mathbf{fv} N'_2 \\ [\sigma_0] \alpha^+ = \exists \beta^-. \downarrow \beta^- & \text{otherwise (the type does not matter here)} \end{cases}$$

Then to infer  $\Gamma; \Phi \vdash N_2 \bullet \vec{v} \Rightarrow M$ , we apply Rule DTArrowApp with  $\sigma_0$ . Let us show the required premises:

1.  $\Gamma \vdash \sigma_0 : \alpha^+_{\vec{2}}$  by construction;
2.  $\vec{v} \neq \cdot$  as noted above;
3. To show  $\Gamma; \Phi \vdash [\sigma_0] N'_2 \bullet \vec{v} \Rightarrow M$ , Notice that  $[\sigma_0] N'_2 = [\sigma][\mu] N'_2$  and since  $[\mu] N'_2 \simeq^D_1 N'_1$ ,  $[\sigma][\mu] N'_2 \simeq^D_1 [\sigma] N'_1$ . This way, by lemma 25,  $\Gamma \vdash [\sigma] N'_1 \simeq^S_1 [\sigma_0] N'_2$ . Then the required judgement holds by the induction hypothesis applied to  $\Gamma; \Phi \vdash [\sigma] N'_1 \bullet \vec{v} \Rightarrow M$ .

□

**Definition 5** (Number of prenex quantifiers). *Let us define  $\text{npq}(N)$  and  $\text{npq}(P)$  as the number of prenex quantifiers in these types, i.e.*

$$+ \text{npq}(\exists \alpha^-. P) = |\text{nas}|, \text{ if } P \neq \exists \beta^-. P',$$

$$- \text{npq}(\forall \alpha^+. N) = |\text{pas}|, \text{ if } N \neq \forall \beta^+. N'.$$

**Definition 6** (Size of a Declarative Judgement). *For a declarative typing judgement  $J$  let us define a metrics  $\text{size}(J)$  as a pair of numbers in the following way:*

$$+ \text{size}(\Gamma; \Phi \vdash v : P) = (\text{size}(v), 0);$$

$$- \text{size}(\Gamma; \Phi \vdash c : N) = (\text{size}(c), 0);$$

$$\bullet \text{size}(\Gamma; \Phi \vdash N \bullet \vec{v} \Rightarrow M) = (\text{size}(\vec{v}), \text{npq}(N))$$

where  $\text{size}(v)$  or  $\text{size}(c)$  is the size of the syntax tree of the term  $v$  or  $c$  and  $\text{size}(\vec{v})$  is the sum of sizes of the terms in  $\vec{v}$ .

**Definition 7** (Number of Equivalence Nodes). *For a tree  $T$  inferring a declarative typing judgement, let us a function  $\text{eq\_nodes}(T)$  as the number of nodes in  $T$  labeled with Rule DTPEquiv or Rule DTNEquiv.*

**Definition 8** (Metric). *For a tree  $T$  inferring a declarative typing judgement  $J$ , let us define a metric  $\text{metric}(T)$  as a pair  $(\text{size}(J), \text{eq\_nodes}(T))$ .*

**Lemma 63** (Declarative typing is preserved under context equivalence). *Assuming  $\Gamma \vdash \Phi_1$ ,  $\Gamma \vdash \Phi_2$ , and  $\Gamma \vdash \Phi_1 \simeq^S_1 \Phi_2$ :*

$$+ \text{for any tree } T_1 \text{ inferring } \Gamma; \Phi_1 \vdash v : P, \text{ there exists a tree } T_2 \text{ inferring } \Gamma; \Phi_2 \vdash v : P.$$

$$- \text{for any tree } T_1 \text{ inferring } \Gamma; \Phi_1 \vdash c : N, \text{ there exists a tree } T_2 \text{ inferring } \Gamma; \Phi_2 \vdash c : N.$$

$$\bullet \text{for any tree } T_1 \text{ inferring } \Gamma; \Phi_1 \vdash N \bullet \vec{v} \Rightarrow M, \text{ there exists a tree } T_2 \text{ inferring } \Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M.$$

*Proof.* Let us prove it by induction on the  $\text{metric}(T_1)$ . Let us consider the last rule applied in  $T_1$  (i.e., its root node).

**Case 1.** Rule DTVar

Then we are proving that  $\Gamma; \Phi_1 \vdash x : P$  implies  $\Gamma; \Phi_2 \vdash x : P$ . By inversion,  $x : P \in \Phi_1$ , and since  $\Gamma \vdash \Phi_1 \simeq^S_1 \Phi_2$ ,  $x : P' \in \Phi_2$  for some  $P'$  such that  $\Gamma \vdash P \simeq^S_1 P'$ . Then we infer  $\Gamma; \Phi_2 \vdash x : P'$  by Rule DTVar, and next,  $\Gamma; \Phi_2 \vdash x : P$  by Rule DTPEquiv.



**Case 2.** For Rule DTThunk, Rule DTPAnnot, Rule DTTLam, Rule DTReturn, and Rule DTNAnnot the proof is analogous. We apply the induction hypothesis to the premise of the rule to substitute  $\Phi_1$  for  $\Phi_2$  in it. The induction is applicable because the metric of the premises is less than the metric of the conclusion: the term in the premise is a syntactic subterm of the term in the conclusion.

And after that, we apply the same rule to infer the required judgement.

**Case 3.** Rule DTPEquiv and Rule DTNEquiv In these cases, the induction hypothesis is also applicable to the premise: although the first component of the metric is the same for the premise and the conclusion:  $\text{size}(\Gamma; \Phi \vdash c : N') = \text{size}(\Gamma; \Phi \vdash c : N) = \text{size}(c)$ , the second component of the metric is less for the premise by one, since the equivalence rule was applied to turn the premise tree into  $T1$ . Having made this note, we continue the proof in the same way as in the previous case.

**Case 4.** Rule DTtLam Then we are proving that  $\Gamma; \Phi_1 \vdash \lambda x : P.c : P \rightarrow N$  implies  $\Gamma; \Phi_2 \vdash \lambda x : P.c : P \rightarrow N$ . Analogously to the previous cases, we apply the induction hypothesis to the equivalent contexts  $\Gamma \vdash \Phi_1, x : P \simeq_1^< \Phi_2, x : P$  and the premise  $\Gamma; \Phi_1, x : P \vdash c : N$  to obtain  $\Gamma; \Phi_2, x : P \vdash c : N$ . Notice that  $c$  is a subterm of  $\lambda x : P.c$ , i.e., the metric of the premise tree is less than the metric of the conclusion, and the induction hypothesis is applicable. Then we infer  $\Gamma; \Phi_2 \vdash \lambda x : P.c : P \rightarrow N$  by Rule DTtLam.

**Case 5.** Rule DTVarLet Then we are proving that  $\Gamma; \Phi_1 \vdash \text{let } x = v; c : N$  implies  $\Gamma; \Phi_2 \vdash \text{let } x = v; c : N$ . First, we apply the induction hypothesis to  $\Gamma; \Phi_1 \vdash v : P$  to obtain  $\Gamma; \Phi_2 \vdash v : P$  of the same pure size.

Then we apply the induction hypothesis to the equivalent contexts  $\Gamma \vdash \Phi_1, x : P \simeq_1^< \Phi_2, x : P$  and the premise  $\Gamma; \Phi_1, x : P \vdash c : N$  to obtain  $\Gamma; \Phi_2, x : P \vdash c : N$ . Then we infer  $\Gamma; \Phi_2 \vdash \text{let } x = v; c : N$  by Rule DTVarLet.

**Case 6.** Rule DTAppLet Then we are proving that  $\Gamma; \Phi_1 \vdash \text{let } x = v(\vec{v}); c : N$  implies  $\Gamma; \Phi_2 \vdash \text{let } x = v(\vec{v}); c : N$ .

We apply the induction hypothesis to each of the premises. to rewrite:

- $\Gamma; \Phi_1 \vdash v : \downarrow M$  into  $\Gamma; \Phi_2 \vdash v : \downarrow M$ ,
- $\Gamma; \Phi_1 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$  into  $\Gamma; \Phi_2 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$ .
- $\Gamma; \Phi_1, x : Q \vdash c : N$  into  $\Gamma; \Phi_2, x : Q \vdash c : N$  (notice that  $\Gamma \vdash \Phi_1, x : Q \simeq_1^< \Phi_2, x : Q$ ).

It is left to show the uniqueness of  $\Gamma; \Phi_2 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$ . Let us assume that this judgement holds for other  $Q'$ , i.e. there exists a tree  $T_0$  inferring  $\Gamma; \Phi_2 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q'$ . Then notice that the induction hypothesis is applicable to  $T_0$ : the first component of the first component of  $\text{metric}(T_0)$  is  $S = \sum_{v \in \vec{v}} \text{size}(v)$ , and it is less than the corresponding component of  $\text{metric}(T_1)$ , which is  $\text{size}(\text{let } x = v(\vec{v}); c) = 1 + \text{size}(v) + \text{size}(c) + S$ . This way,  $\Gamma; \Phi_1 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q'$  holds by the induction hypothesis, but since  $\Gamma; \Phi_1 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$  unique, we have  $\Gamma \vdash Q' \simeq_1^< Q$ .

Then we infer  $\Gamma; \Phi_2 \vdash \text{let } x = v(\vec{v}); c : N$  by Rule DTAppLet.

**Case 7.** Rule DTAppLetAnn Then we are proving that  $\Gamma; \Phi_1 \vdash \text{let } x : P = v(\vec{v}); c : N$  implies  $\Gamma; \Phi_2 \vdash \text{let } x : P = v(\vec{v}); c : N$ .

As in the previous case, we apply the induction hypothesis to each of the premises and rewrite:

- $\Gamma; \Phi_1 \vdash v : \downarrow M$  into  $\Gamma; \Phi_2 \vdash v : \downarrow M$ ,
- $\Gamma; \Phi_1 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$  into  $\Gamma; \Phi_2 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$ , and
- $\Gamma; \Phi_1, x : P \vdash c : N$  into  $\Gamma; \Phi_2, x : P \vdash c : N$  (notice that  $\Gamma \vdash \Phi_1, x : P \simeq_1^< \Phi_2, x : P$ ).

Notice that  $\Gamma \vdash P$  and  $\Gamma \vdash \uparrow Q \leq_1 \uparrow P$  do not depend on the variable context, and hold by assumption. Then we infer  $\Gamma; \Phi_2 \vdash \text{let } x : P = v(\vec{v}); c : N$  by Rule DTAppLetAnn.

**Case 8.** Rule DTUnpack, and Rule DTNAnnot are proved in the same way.

**Case 9.** Rule DTEmptyApp Then we are proving that  $\Gamma; \Phi_1 \vdash N \bullet \cdot \Rightarrow N'$  (inferred by Rule DTEmptyApp) implies  $\Gamma; \Phi_2 \vdash N \bullet \cdot \Rightarrow N'$ .

To infer  $\Gamma; \Phi_2 \vdash N \bullet \cdot \Rightarrow N'$ , we apply Rule DTEmptyApp, noting that  $\Gamma \vdash N \simeq_1^< N'$  holds by assumption.

**Case 10.** Rule DTArrowApp Then we are proving that  $\Gamma; \Phi_1 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$  (inferred by Rule DTArrowApp) implies  $\Gamma; \Phi_2 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$ . And uniqueness of the  $M$  in the first case implies uniqueness in the second case.

By induction, we rewrite  $\Gamma; \Phi_1 \vdash v : P$  into  $\Gamma; \Phi_2 \vdash v : P$ , and  $\Gamma; \Phi_1 \vdash N \bullet \vec{v} \Rightarrow M$  into  $\Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M$ . Then we infer  $\Gamma; \Phi_2 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$  by Rule DTArrowApp.

Now, let us show the uniqueness. The only rule that can infer  $\Gamma; \Phi_1 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$  is Rule DTArrowApp. Then by inversion, uniqueness of  $\Gamma; \Phi_1 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$  implies uniqueness of  $\Gamma; \Phi_1 \vdash N \bullet \vec{v} \Rightarrow M$ . By the induction hypothesis, it implies the uniqueness of  $\Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M$ .

Suppose that  $\Gamma; \Phi_2 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M'$ . By inversion,  $\Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M'$ , which by uniqueness of  $\Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M$  implies  $\Gamma \vdash M \simeq_1^< M'$ .

**Case 11.** Rule DTForallApp Then we are proving that  $\Gamma; \Phi_1 \vdash \forall \alpha^+ \vec{v} \Rightarrow M$  (inferred by Rule DTForallApp) implies  $\Gamma; \Phi_2 \vdash \forall \alpha^+ \vec{v} \Rightarrow M$ .

By inversion, we have  $\sigma$  such that  $\Gamma \vdash \sigma : \alpha^+$  and  $\Gamma; \Phi_1 \vdash [\sigma]N \bullet \vec{v} \Rightarrow M$  is inferred. Let us denote the inference tree as  $T'_1$ . Notice that the induction hypothesis is applicable to  $T'_1$ :  $\text{metric}(T'_1) = ((\text{size}(\vec{v}), 0), x)$  is less than  $\text{metric}(T_1) = ((\text{size}(\vec{v}), |\alpha^+|), y)$  for any  $x$  and  $y$ , since  $|\alpha^+| > 0$  by inversion.

This way, by the induction hypothesis, there exists a tree  $T'_2$  inferring  $\Gamma; \Phi_2 \vdash [\sigma]N \bullet \vec{v} \Rightarrow M$ . Notice that the premises  $\vec{v} \neq \cdot$ ,  $\Gamma \vdash \sigma : \alpha^+$ , and  $\alpha^+ \neq \cdot$  do not depend on the variable context, and hold by inversion. Then we infer  $\Gamma; \Phi_2 \vdash \forall \alpha^+ \vec{v} \Rightarrow M$  by Rule DTForallApp.

□

## 4.19 Algorithmic Typing

Let us extend the declarative typing metric (definition 8) to the algorithmic typing.

**Definition 9** (Size of an Algorithmic Judgement). *For an algorithmic typing judgement  $J$  let us define a metrics  $\text{size}(J)$  as a pair of numbers in the following way:*

- +  $\text{size}(\Gamma; \Phi \models v : P) = (\text{size}(v), 0);$
- $\text{size}(\Gamma; \Phi \models c : N) = (\text{size}(c), 0);$
- $\text{size}(\Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M \Leftarrow \Theta'; SC) = (\text{size}(\vec{v}), \text{npq}(N))$

**Definition 10** (Metric). *We extend the metric from definition 8 to the algorithmic typing in the following way. For a tree  $T$  inferring an algorithmic typing judgement  $J$ , we define  $\text{size}(T)$  as  $(\text{size}(J), 0)$ .*

Soundness and the completeness are proved by mutual induction on the metric of the inference tree.

**Lemma 64** (Soundness of typing). *For an inference tree  $T_1$ ,*

- + *If  $T_1$  infers  $\Gamma; \Phi \models v : P$  then  $\Gamma \vdash P$  and  $\Gamma; \Phi \vdash v : P$*
- *If  $T_1$  infers  $\Gamma; \Phi \models c : N$  then  $\Gamma \vdash N$  and  $\Gamma; \Phi \vdash c : N$*
- *For  $\Gamma \vdash^\geq \Theta$  and  $\Gamma; \Theta \vdash N$  free from negative metavariables, if  $T_1$  infers  $\Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M \Leftarrow \Theta'; SC$  then*
  1.  $\Gamma \vdash^\geq \Theta'$
  2.  $\Theta \subseteq \Theta'$
  3.  $\Gamma; \Theta' \vdash M$
  4.  $M$  is normalized and free from negative metavariables
  5.  $\Theta' \vdash SC$
  6. for any  $\Theta' \vdash \hat{\sigma} : SC$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$

*Proof.* We prove it by induction on  $\text{metric}(T_1)$ , mutually with the completeness of typing (??). Let us consider the last rule used to infer the derivation.

**Case 1.** Rule ATVar

**Case 2.** Rule ATThunk

**Case 3.** Rule ATPAnnot

**Case 4.** Rule ATNAnnot

**Case 5.** Rule ATtLam

**Case 6.** Rule ATTLam

**Case 7.** Rule ATReturn

**Case 8.** Rule ATVarLet

**Case 9.** Rule ATAppLetAnn By inversion, we have:

1.  $c$  is **let**  $x : P = v(\vec{v}); c'$



2.  $\Gamma \vdash P$
3.  $\Gamma; \Phi \models v : \downarrow M$
4.  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q = \Theta; SC_1$
5.  $\Gamma; \Theta \models \uparrow Q \leq \uparrow P = SC_2$
6.  $\Theta \vdash SC_1 \& SC_2 = SC$
7.  $\Gamma; \Phi, x : P \models c' : N$

By the soundness of constraint merge (lemma 49), we have  $\Theta \vdash SC$ . Let us take  $\hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma} : SC$  (it exists by lemma 52). Notice that by the soundness of constraint merge,  $\Theta \vdash \hat{\sigma} : SC_1$  and  $\Theta \vdash \hat{\sigma} : SC_2$ .

By the induction hypothesis applied to  $\Gamma; \Phi \models v : \downarrow M$ , we have  $\Gamma; \Phi \vdash v : \downarrow M$  and  $\Gamma \vdash \downarrow M$  (and hence,  $\Gamma; \Theta \vdash M$ ).

By the induction hypothesis applied to  $\Gamma; \Phi, x : P \models c' : N$ , we have  $\Gamma; \Phi, x : P \vdash c' : N$  and  $\Gamma \vdash N$ .

By the induction hypothesis applied to  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q = \Theta; SC_1$ , we have:

1.  $\Gamma \vdash \supset \Theta$ ,
2.  $\Gamma; \Theta \vdash \uparrow Q$ ,
3.  $\Theta' \vdash SC_1$ ,
4. for any  $\Theta' \vdash \hat{\sigma} : SC_1$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}] M \bullet \vec{v} \Rightarrow [\hat{\sigma}] \uparrow Q$ . In particular, it holds for the  $\hat{\sigma}$  chosen above.

By the soundness of negative subtyping (??) applied to  $\Gamma; \Theta \models \uparrow Q \leq \uparrow P = SC$ , we have  $\Gamma \vdash \uparrow[\hat{\sigma}] Q \leq_1 \uparrow P$ .

To infer  $\Gamma; \Phi \vdash \text{let } x : P = v(\vec{v}); c' : N$ , we apply the corresponding declarative rule Rule DAppLetAnn, where  $Q$  is  $[\hat{\sigma}] Q$ . Notice that all the premises were already shown to hold above:

1.  $\Gamma \vdash P$  and  $\Gamma; \Phi \vdash v : \downarrow M$  from the inversion,
2.  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow[\hat{\sigma}] Q$  holds since  $[\hat{\sigma}] \uparrow Q = \uparrow[\hat{\sigma}] Q$ ,
3.  $\Gamma \vdash \uparrow[\hat{\sigma}] Q \leq_1 \uparrow P$  by the soundness of negative subtyping,
4.  $\Gamma; \Phi, x : P \vdash c' : N$  from the the induction hypothesis.

**Case 10.** Rule ATAppLet By the inversion, we have:

1.  $c$  is  $\text{let } x = v(\vec{v}); c'$
2.  $\Gamma; \Phi \models v : \downarrow M$
3.  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q = \Theta; SC$
4.  $\text{uv } Q \subseteq \text{dom}(SC)$
5.  $SC|_{\text{uv}(Q)}$  singular with  $\hat{\sigma}_3$
6.  $\Gamma; \Phi, x : [\hat{\sigma}_3] Q \models c' : N$

By the induction hypothesis applied to  $\Gamma; \Phi \models v : \downarrow M$ , we have  $\Gamma; \Phi \vdash v : \downarrow M$  and  $\Gamma \vdash \downarrow M$  (and thus,  $\Gamma; \Theta \vdash M$ ).

By the induction hypothesis applied to  $\Gamma; \Phi, x : [\hat{\sigma}_3] Q \models c' : N$ , we have  $\Gamma \vdash N$  and  $\Gamma; \Phi, x : [\hat{\sigma}_3] Q \vdash c' : N$ .

By the induction hypothesis applied to  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q = \Theta; SC$ , we have:

1.  $\Gamma \vdash \supset \Theta$
2.  $\Gamma; \Theta \vdash \uparrow Q$
3.  $\Theta \vdash SC$
4. for any  $\Theta \vdash \hat{\sigma} : SC$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}] M \bullet \vec{v} \Rightarrow [\hat{\sigma}] \uparrow Q$ , which, since  $M$  is ground means  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow[\hat{\sigma}] Q$ .

To infer  $\Gamma; \Phi \vdash \text{let } x = v(\vec{v}); c' : N$ , we apply the corresponding declarative rule Rule DAppLet. Let us show that the premises hold:

- $\Gamma; \Phi \vdash v : \downarrow M$  holds by the induction hypothesis;
- $\Gamma; \Phi, x : [\hat{\sigma}_3] Q \vdash c' : N$  also holds by the induction hypothesis, as noted above;
- Let us take an arbitrary substitution  $\hat{\sigma}$  satisfying  $\Theta \vdash \hat{\sigma} : SC$  (it exists by lemma 53). Then  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow[\hat{\sigma}] Q$  holds, as noted above;
- To show the uniqueness of  $\uparrow[\hat{\sigma}] Q$ , we assume that for some other type  $K$  holds  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow K$ , that is  $\Gamma; \Phi \vdash [\cdot] M \bullet \vec{v} \Rightarrow K$ . Then by the completeness of typing (??), there exist  $N'$ ,  $\Theta'$ , and  $SC'$  such that

1.  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow N' \equiv \Theta'; SC'$  and
2. there exists a substitution  $\Theta' \vdash \hat{\sigma}' : SC'$  such that  $\Gamma \vdash [\hat{\sigma}'] N' \simeq_1^{\leq} K$ .

By the determinicity of the typing algorithm (??),  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow N' \equiv \Theta'; SC'$ , means that  $SC'$  is  $SC$ ,  $\Theta'$  is  $\Theta$ , and  $N'$  is  $\uparrow Q$ . This way,  $\Gamma \vdash [\hat{\sigma}'] \uparrow Q \simeq_1^{\leq} K$  for a substitution  $\Theta \vdash \hat{\sigma}' : SC$ .

It is left to show that  $\Gamma \vdash [\hat{\sigma}'] \uparrow Q \simeq_1^{\leq} [\hat{\sigma}] \uparrow Q$ , then by transitivity of the equivalence, we will have  $\Gamma \vdash [\hat{\sigma}] \uparrow Q \simeq_1^{\leq} K$ . Since  $\Theta \vdash \hat{\sigma} : \mathbf{SC}|_{\mathbf{uv}(Q)}$  and  $\Theta \vdash \hat{\sigma}' : \mathbf{SC}|_{\mathbf{uv}(Q)}$ , and  $\mathbf{SC}|_{\mathbf{uv}(Q)}$  **singular with**  $\hat{\sigma}_3$ , we have  $\Theta \vdash \hat{\sigma} \simeq_1^{\leq} \hat{\sigma}_3 : \mathbf{dom}(\mathbf{SC}|_{\mathbf{uv}(Q)})$  and  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_3 : \mathbf{dom}(\mathbf{SC}|_{\mathbf{uv}(Q)})$ . Then since  $\mathbf{uv}(Q) \subseteq \mathbf{dom}(SC)$ , we have  $\mathbf{dom}(\mathbf{SC}|_{\mathbf{uv}(Q)}) = \mathbf{uv}(Q)$ . This way, by transitivity and symmetry of the equivalence,  $\Theta \vdash \hat{\sigma} \simeq_1^{\leq} \hat{\sigma}' : \mathbf{uv}(Q)$ , which implies  $\Gamma \vdash [\hat{\sigma}'] \uparrow Q \simeq_1^{\leq} [\hat{\sigma}] \uparrow Q$ .

**Case 11.** Rule ATUnpack By the inversion, we have:

1.  $c$  is  $\mathbf{let}^{\exists}(\vec{\alpha}^-, x) = v; c'$
2.  $\Gamma; \Phi \models v : \exists \vec{\alpha}^-. P$
3.  $\Gamma, \vec{\alpha}^-; \Phi, x : P \models c' : N$
4.  $\Gamma \vdash N$

By the induction hypothesis applied to  $\Gamma; \Phi \models v : \exists \vec{\alpha}^-. P$ , we have  $\Gamma; \Phi \vdash v : \exists \vec{\alpha}^-. P$  and  $\exists \vec{\alpha}^-. P$  is normalized. By the induction hypothesis applied to  $\Gamma, \vec{\alpha}^-; \Phi, x : P \models c' : N$ , we have  $\Gamma, \vec{\alpha}^-; \Phi, x : P \vdash c' : N$ .

To show  $\Gamma; \Phi \vdash \mathbf{let}^{\exists}(\vec{\alpha}^-, x) = v; c' : N$ , we apply the corresponding declarative rule Rule DTUnpack. Let us show that the premises hold:

1.  $\Gamma; \Phi \vdash v : \exists \vec{\alpha}^-. P$  holds by the induction hypothesis, as noted above,
2.  $\mathbf{nf}(\exists \vec{\alpha}^-. P) = \exists \vec{\alpha}^-. P$  holds since  $\exists \vec{\alpha}^-. P$  is normalized,
3.  $\Gamma, \vec{\alpha}^-; \Phi, x : P \vdash c' : N$  also holds by the induction hypothesis,
4.  $\Gamma \vdash N$  holds by the inversion, as noted above.

**Case 12.** Rule ATEmptyApp Then by assumption:

- $\Gamma \vdash^{\exists} \Theta$ ,
- $\Gamma; \Theta \vdash N$  is free from negative metavariables,
- $\Gamma; \Phi; \Theta \models N \bullet \cdot \Rightarrow \mathbf{nf}(N) \equiv \Theta; \cdot$ , which by inversion means that  $N \neq \forall \alpha^+. M$ .

Let us show the required properties:

1.  $\Gamma \vdash^{\exists} \Theta$  holds by assumption,
2.  $\Theta \subseteq \Theta$  holds trivially,
3.  $\mathbf{nf}(N)$  is evidently normalized,  $\Gamma; \Theta \vdash N$  implies  $\Gamma; \Theta \vdash \mathbf{nf}(N)$  by corollary 12, and lemma 16 means that  $\mathbf{nf}(N)$  is inherently free from negative metavariables,
4.  $\Theta \vdash \cdot$  holds trivially,
5. for any  $\Theta \vdash \hat{\sigma} : \cdot$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}] N \bullet \cdot \Rightarrow [\hat{\sigma}] N$ . To show  $\Gamma; \Phi \vdash [\hat{\sigma}] N \bullet \cdot \Rightarrow [\hat{\sigma}] N$ , we apply the corresponding declarative rule Rule DTEmptyApp.

**Case 13.** Rule ATArrowApp

By assumption:

1.  $\Gamma \vdash^{\exists} \Theta$ ,
2.  $\Gamma; \Theta \vdash Q \rightarrow N$  is free from negative metavariables,
3.  $\Theta \vdash SC_1 \& SC_2 = SC$ ,
4.  $\Gamma; \Phi; \Theta \models Q \rightarrow N \bullet v, \vec{v} \Rightarrow M \equiv \Theta'; SC$ , and by inversion:
  - (a)  $\Gamma; \Phi \models v : P$ , and by the induction hypothesis applied to this judgment,  $\Gamma; \Phi \vdash v : P$ ,
  - (b)  $\Gamma; \Theta \models Q \triangleright P \equiv SC_1$ , and by the soundness of subtyping:  $\Theta \vdash SC$  and for any  $\Theta \vdash \hat{\sigma} : SC_1$ , we have  $\Gamma \vdash [\hat{\sigma}] Q \triangleright_1 P$ ,
  - (c)  $\Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC_2$ , and by the induction hypothesis applied to this judgment,
    - i.  $\Gamma \vdash^{\exists} \Theta'$ ,
    - ii.  $\Theta \subseteq \Theta'$ ,
    - iii.  $\Gamma; \Theta' \vdash M$  is free from negative metavariables,

- iv.  $\Theta' \vdash SC_2$ ,
- v. for any  $\Theta' \vdash \hat{\sigma} : SC_2$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$ .

Let us show the required properties:

1.  $\Gamma \vdash^\supset \Theta'$  is shown above,
2.  $\Theta \subseteq \Theta'$  is shown above,
3.  $\Gamma; \Theta' \vdash M$  free from negative metavariables, as shown above,
4.  $\Theta' \vdash SC$  holds:  $\Theta \vdash SC_1$  implies  $\Theta' \vdash SC_1$ , then we apply the soundness of constraint merge (lemma 49) to  $\Theta' \vdash SC_1$  &  $SC_2$ ,
  - (a)  $\Theta' \vdash SC_1$ ,
  - (b) for any  $\Theta' \vdash \hat{\sigma} : SC$ ,  $\Theta' \vdash \hat{\sigma} : SC_i$  holds;
5. suppose that  $\Theta' \vdash \hat{\sigma} : SC$ . Then to show  $\Gamma; \Phi \vdash [\hat{\sigma}](Q \rightarrow N) \bullet v, \vec{v} \Rightarrow [\hat{\sigma}]M$ , that is  $\Gamma; \Phi \vdash [\hat{\sigma}]Q \rightarrow [\hat{\sigma}]N \bullet v, \vec{v} \Rightarrow [\hat{\sigma}]M$ , we apply the corresponding declarative rule Rule DTAarrowApp. Let us show the required premises:
  - (a)  $\Gamma; \Phi \vdash v : P$  holds as shown above,
  - (b)  $\Gamma \vdash [\hat{\sigma}]Q \geq_1 P$  holds by the soundness of subtyping as noted above, since  $\Theta' \vdash \hat{\sigma} : SC$  implies  $\Theta \vdash \hat{\sigma} : SC_1$ .
  - (c)  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$  holds by the induction hypothesis as shown above, since  $\Theta' \vdash \hat{\sigma} : SC$  implies  $\Theta' \vdash \hat{\sigma} : SC_2$ .

**Case 14. Rule ATforallApp**

By assumption:

1.  $\Gamma \vdash^\supset \Theta$ ,
2.  $\Gamma; \Theta \vdash \forall \vec{\alpha}^+. N$ ,
3.  $\Gamma; \Phi; \Theta \models \forall \vec{\alpha}^+. N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC$ , which by inversion means  $\vec{v} \neq \cdot$  and  $\Gamma; \Phi; \Theta, \widehat{\alpha}^+\{\Gamma\} \models [\widehat{\alpha}^+/\alpha^+]N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC$ . It is easy to see that the induction hypothesis is applicable to the latter judgment:  $\Gamma \vdash^\supset \Theta, \widehat{\alpha}^+\{\Gamma\}$  is implied by  $\Gamma \vdash^\supset \Theta$ , and  $\Gamma; \Theta, \widehat{\alpha}^+\{\Gamma\} \vdash [\widehat{\alpha}^+/\alpha^+]N$  is holds since  $\Gamma; \Theta \vdash \forall \vec{\alpha}^+. N$ . Let us apply the inductive hypothesis to the latter judgment to obtain:
  - (a)  $\Gamma \vdash^\supset \Theta'$ ,
  - (b)  $\Theta, \widehat{\alpha}^+\{\Gamma\} \subseteq \Theta'$ ,
  - (c)  $\Gamma; \Theta' \vdash M$  is free from negative metavariables,
  - (d)  $\Theta' \vdash SC$ ,
  - (e) for any  $\Theta' \vdash \hat{\sigma} : SC$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}][\widehat{\alpha}^+/\alpha^+]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$ .

Let us show the required properties:

1.  $\Gamma \vdash^\supset \Theta'$  is shown above,
2.  $\Theta \subseteq \Theta'$  since  $\Theta, \widehat{\alpha}^+\{\Gamma\} \subseteq \Theta'$ ,
3.  $\Gamma; \Theta' \vdash M$  is free from negative metavariables, as shown above,
4.  $\Theta' \vdash SC$  is shown above,
5. let us assume  $\Theta' \vdash \hat{\sigma} : SC$  Then to show  $\Gamma; \Phi \vdash [\hat{\sigma}]\forall \vec{\alpha}^+. N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$ , we apply the corresponding declarative rule Rule DTforallApp with substitution  $\Gamma \vdash \sigma : \alpha^+$  defined in the following way:  $[\sigma]\alpha_i^+ = [\hat{\sigma}]\widehat{\alpha}_i^+$ . Let us show that its premises hold:
  - (a)  $\Gamma \vdash \sigma : \alpha^+$ , i.e.  $\Gamma \vdash [\sigma]\alpha_i^+$  holds since  $\Theta' \vdash \hat{\sigma}$  and  $\Gamma \vdash^\supset \Theta'$ ;
  - (b)  $\Gamma; \Phi \vdash [\sigma][\hat{\sigma}]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$  holds by rewriting  $\Gamma; \Phi \vdash [\hat{\sigma}][\widehat{\alpha}^+/\alpha^+]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$  using equality  $[\hat{\sigma}][\widehat{\alpha}^+/\alpha^+]N = [\sigma][\hat{\sigma}]N$ :
    - i. for  $\alpha_i^+ \in \vec{\alpha}^+$ ,  $[\hat{\sigma}][\widehat{\alpha}^+/\alpha^+]\alpha_i^+ = [\hat{\sigma}]\widehat{\alpha}_i^+ = [\sigma]\alpha_i^+ = [\sigma][\hat{\sigma}]\alpha_i^+$ ,
    - ii. for  $\beta^\pm \in \mathbf{dom}(\hat{\sigma})$ ,  $[\hat{\sigma}][\widehat{\alpha}^+/\alpha^+]\beta^\pm = [\hat{\sigma}]\beta^\pm = [\sigma][\hat{\sigma}]\beta^\pm$ , where the latter equality holds since  $\vec{\alpha}^+ \cap \Gamma = \emptyset$ .
  - (c)  $\vec{v} \neq \cdot$  holds by assumption

□

**Lemma 65** (Completeness of Typing). *For an inference tree  $T_1$ ,*

+ *If  $T_1$  infers  $\Gamma; \Phi \vdash v : P$  then  $\Gamma; \Phi \models v : \mathbf{nf}(P)$*

- If  $T_1$  infers  $\Gamma; \Phi \vdash c : N$  then  $\Gamma; \Phi \models c : \mathbf{nf}(N)$
- Suppose that  $T_1$  infers  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow M$  for some  $\Gamma \vdash^\supset \Theta$ ,  $\Gamma; \Theta \vdash N$  (free from negative metavariables, that is  $\hat{\alpha}^- \notin \mathbf{uv} N$ ),  $\Theta \vdash \hat{\sigma}$ , and  $\Gamma \vdash M$ . Then there exist  $M'$  (normalized and free from negative metavariables),  $\Theta'$ , and  $SC$  such that
  1.  $\Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M' \Rightarrow \Theta'; SC$  and
  2. for any  $\Theta \vdash \hat{\sigma}$  and  $\Gamma \vdash M$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow M$ , there exists  $\hat{\sigma}'$  such that
    - (a)  $\Theta' \vdash \hat{\sigma}' : SC$ ,
    - (b)  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma} : \mathbf{dom}(\Theta)$ , and
    - (c)  $\Gamma \vdash [\hat{\sigma}']M' \simeq_1^{\leq} M$ .

*Proof.* We prove it by induction on  $\mathbf{metric}(T_1)$ , mutually with the soundness of typing ( $??$ ). Let us consider the last rule applied to infer the derivation.

**Case 1.** Rule DTThunk

Then we are proving that if  $\Gamma; \Phi \vdash \{c\} : \downarrow N$  (inferred by Rule DTThunk) then  $\Gamma; \Phi \models \{c\} : \mathbf{nf}(\downarrow N)$ . By inversion of  $\Gamma; \Phi \vdash \{c\} : \downarrow N$ , we have  $\Gamma; \Phi \vdash c : N$ , which we apply the induction hypothesis to to obtain  $\Gamma; \Phi \models c : \mathbf{nf}(N)$ . Then by Rule ATThunk, we have  $\Gamma; \Phi \models \{c\} : \downarrow \mathbf{nf}(N)$ . It is left to notice that  $\downarrow \mathbf{nf}(N) = \mathbf{nf}(\downarrow N)$ .

**Case 2.** Rule DTReturn

The proof is symmetric to the previous case ( $??$ ).

**Case 3.** Rule DTPAnnot

Then we are proving that if  $\Gamma; \Phi \vdash (v : Q) : Q$  is inferred by Rule DTPAnnot then  $\Gamma; \Phi \models (v : Q) : \mathbf{nf}(Q)$ . By inversion, we have

1.  $\Gamma; \Phi \vdash v : P$ , which by the induction hypothesis implies  $\Gamma; \Phi \models v : \mathbf{nf}(P)$ ;
2.  $\Gamma \vdash Q \geq_1 P$ , and by transitivity,  $\Gamma \vdash Q \geq_1 \mathbf{nf}(P)$ . Since  $Q$  is ground, we have  $\Gamma; \cdot \vdash Q$  and  $\Gamma \vdash [\cdot]Q \geq_1 \mathbf{nf}(P)$ . Then by the completeness of subtyping ( $??$ ), we have  $\Gamma; \cdot \models Q \geq \mathbf{nf}(P) \Rightarrow SC$ , where  $\cdot \vdash SC$  (implying  $SC = \cdot$ ). This way,  $\Gamma; \cdot \models Q \geq \mathbf{nf}(P) \Rightarrow \cdot$ .

Then we can apply Rule ATPAnnot to  $\Gamma; \Phi \models v : \mathbf{nf}(P)$  and  $\Gamma; \cdot \models Q \geq \mathbf{nf}(P) \Rightarrow \cdot$  to infer  $\Gamma; \Phi \models (v : Q) : \mathbf{nf}(Q)$ .

**Case 4.** Rule DTNAnnot

The proof is symmetric to the previous case ( $??$ ).

**Case 5.** Rule DTtLam

Then we are proving that if  $\Gamma; \Phi \vdash \lambda x : P.c : P \rightarrow N$  is inferred by Rule DTtLam, then  $\Gamma; \Phi \models \lambda x : P.c : \mathbf{nf}(P \rightarrow N)$ .

By inversion of  $\Gamma; \Phi \vdash \lambda x : P.c : P \rightarrow N$ , we have  $\Gamma; \Phi, x : P \vdash c : N$ . Then by the induction hypothesis,  $\Gamma; \Phi, x : P \models c : \mathbf{nf}(N)$ . and by Rule ATtLam, we infer  $\Gamma; \Phi \models \lambda x : P.c : \mathbf{nf}(P \rightarrow \mathbf{nf}(N))$ . By idempotence of normalization,  $\mathbf{nf}(P \rightarrow \mathbf{nf}(N)) = \mathbf{nf}(P \rightarrow N)$ , which concludes the proof for this case.

**Case 6.** Rule DTTLam

Then we are proving that if  $\Gamma; \Phi \vdash \Lambda \alpha^+.c : \forall \alpha^+.N$  is inferred by Rule DTTLam, then  $\Gamma; \Phi \models \Lambda \alpha^+.c : \mathbf{nf}(\forall \alpha^+.N)$ . By inversion of  $\Gamma; \Phi \vdash \Lambda \alpha^+.c : \forall \alpha^+.N$ , we have  $\Gamma, \alpha^+; \Phi \vdash c : N$ , and then by the induction hypothesis,  $\Gamma, \alpha^+; \Phi \models c : \mathbf{nf}(N)$ . Then by Rule ATTLam, we infer  $\Gamma; \Phi \models \Lambda \alpha^+.c : \mathbf{nf}(\forall \alpha^+.\mathbf{nf}(N))$ .

It is left to show that  $\mathbf{nf}(\forall \alpha^+.\mathbf{nf}(N)) = \mathbf{nf}(\forall \alpha^+.N)$ . Assume  $N = \forall \vec{\beta}^+.M$  (where  $M$  does not start with  $\forall$ ).

- Then by definition,  $\mathbf{nf}(\forall \alpha^+.N) = \mathbf{nf}(\forall \alpha^+.\vec{\beta}^+.M) = \forall \vec{\gamma}^+.\mathbf{nf}(M)$ , where  $\mathbf{ord} \alpha^+.\vec{\beta}^+ \text{ in } \mathbf{nf}(M) = \vec{\gamma}^+$ .
- On the other hand,  $\mathbf{nf}(N) = \forall \vec{\gamma}^+.\mathbf{nf}(M)$ , where  $\mathbf{ord} \vec{\beta}^+ \text{ in } \mathbf{nf}(M) = \vec{\gamma}^+$ , and thus,  $\mathbf{nf}(\forall \alpha^+.\mathbf{nf}(N)) = \mathbf{nf}(\forall \alpha^+.\vec{\gamma}^+.\mathbf{nf}(M)) = \forall \vec{\gamma}^+.\mathbf{nf}(\mathbf{nf}(M)) = \forall \vec{\gamma}^+.\mathbf{nf}(M)$ , where  $\mathbf{ord} \alpha^+.\vec{\gamma}^+ \text{ in } \mathbf{nf}(\mathbf{nf}(M)) = \vec{\gamma}^+$ .

It is left to show that  $\vec{\gamma}^+ = \vec{\gamma}^+$ .

$$\begin{aligned}
\vec{\gamma}^+ &= \mathbf{ord} \alpha^+.\vec{\gamma}^+ \text{ in } \mathbf{nf}(\mathbf{nf}(M)) \\
&= \mathbf{ord} \alpha^+.\vec{\gamma}^+ \text{ in } \mathbf{nf}(M) && \text{by idempotence} \\
&= \mathbf{ord} \alpha^+ \cup \vec{\beta}^+ \cap \mathbf{fv} \mathbf{nf}(M) \text{ in } \mathbf{nf}(M) && \text{by definition of } \vec{\gamma}^+ \text{ and lemma 11} \\
&= \mathbf{ord} (\alpha^+ \cup \vec{\beta}^+ \cap \mathbf{fv} \mathbf{nf}(M)) \cap \mathbf{fv} \mathbf{nf}(M) \text{ in } \mathbf{nf}(M) && \text{by corollary 9} \\
&= \mathbf{ord} (\alpha^+ \cup \vec{\beta}^+) \cap \mathbf{fv} \mathbf{nf}(M) \text{ in } \mathbf{nf}(M) && \text{by set properties} \\
&= \mathbf{ord} \alpha^+.\vec{\beta}^+ \text{ in } \mathbf{nf}(M) \\
&= \vec{\gamma}^+
\end{aligned}$$

**Case 7.** Rule DTUnpack

Then we are proving that if  $\Gamma; \Phi \vdash \text{let}^\exists(\vec{\alpha}^-, x) = v; c : N$  is inferred by Rule DTUnpack, then  $\Gamma; \Phi \models \text{let}^\exists(\vec{\alpha}^-, x) = v; c : \mathbf{nf}(N)$ .

By inversion of  $\Gamma; \Phi \vdash \text{let}^\exists(\vec{\alpha}^-, x) = v; c : N$ , we have

1.  $\mathbf{nf}(\exists \vec{\alpha}^-. P) = \exists \vec{\alpha}^-. P$ ,
2.  $\Gamma; \Phi \vdash v : \exists \vec{\alpha}^-. P$ , which by the induction hypothesis implies  $\Gamma; \Phi \models v : \mathbf{nf}(\exists \vec{\alpha}^-. P)$ , and hence,  $\Gamma; \Phi \models v : \exists \vec{\alpha}^-. P$ .
3.  $\Gamma, \vec{\alpha}^-; \Phi, x : P \vdash c : N$ , and by the induction hypothesis,  $\Gamma, \vec{\alpha}^-; \Phi, x : P \models c : \mathbf{nf}(N)$ .
4.  $\Gamma \vdash N$

This way, we can apply Rule ATUnpack to infer  $\Gamma; \Phi \models \text{let}^\exists(\vec{\alpha}^-, x) = v; c : \mathbf{nf}(N)$ .

**Case 8.** Rule DTPEquiv

Then we are proving that if  $\Gamma; \Phi \vdash v : P'$  is inferred by Rule DTPEquiv, then  $\Gamma; \Phi \models v : \mathbf{nf}(P')$ . By inversion,  $\Gamma; \Phi \vdash v : P$  and  $\Gamma \vdash P \simeq_1^< P'$ , and the tree inferring  $\Gamma; \Phi \vdash v : P$  is less than the one inferring  $\Gamma; \Phi \vdash v : P'$  by the inductive metric. Then by the induction hypothesis,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$ .

By lemma 30  $\Gamma \vdash P \simeq_1^< P'$  implies  $\mathbf{nf}(P) = \mathbf{nf}(P')$ , and thus,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$  can be rewritten to  $\Gamma; \Phi \models v : \mathbf{nf}(P')$ .

**Case 9.** Rule DTVar

Then we are proving that  $\Gamma; \Phi \vdash x : P$  implies  $\Gamma; \Phi \models x : \mathbf{nf}(P)$ . By inversion of  $\Gamma; \Phi \vdash x : P$ , we have  $x : P \in \Phi$ . Then Rule ATVar applies to infer  $\Gamma; \Phi \models x : \mathbf{nf}(P)$ .

**Case 10.** Rule DTVarLet

Then we are proving that  $\Gamma; \Phi \vdash \text{let } x = v(\vec{v}); c : N$  implies  $\Gamma; \Phi \models \text{let } x = v(\vec{v}); c : \mathbf{nf}(N)$ .

By inversion of  $\Gamma; \Phi \vdash \text{let } x = v(\vec{v}); c : N$ , we have

1.  $\Gamma; \Phi \vdash v : P$ , and by the induction hypothesis,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$ .
2.  $\Gamma; \Phi, x : P \vdash c : N$ , and by lemma 63, since  $\Gamma \vdash P \simeq_1^< \mathbf{nf}(P)$ , we have  $\Gamma; \Phi, x : \mathbf{nf}(P) \vdash c : N$ . Then by the induction hypothesis,  $\Gamma; \Phi, x : \mathbf{nf}(P) \models c : \mathbf{nf}(N)$ .

Together,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$  and  $\Gamma; \Phi, x : \mathbf{nf}(P) \models c : \mathbf{nf}(N)$  imply  $\Gamma; \Phi \models \text{let } x = v(\vec{v}); c : \mathbf{nf}(N)$  by Rule ATVarLet.

**Case 11.** Rule DTAppLetAnn

Then we are proving that  $\Gamma; \Phi \vdash \text{let } x : P = v(\vec{v}); c : N$  implies  $\Gamma; \Phi \models \text{let } x : P = v(\vec{v}); c : \mathbf{nf}(N)$ .

By inversion of  $\Gamma; \Phi \vdash \text{let } x : P = v(\vec{v}); c : N$ , we have

1.  $\Gamma \vdash P$
2.  $\Gamma; \Phi \vdash v : \downarrow M$  for some ground  $M$ , which by the induction hypothesis means  $\Gamma; \Phi \models v : \downarrow \mathbf{nf}(M)$
3.  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$ . By lemma 62, since  $\Gamma \vdash M \simeq_1^< \mathbf{nf}(M)$ , we have  $\Gamma; \Phi \vdash [\cdot] \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$ , which by the induction hypothesis means that there exist normalized  $M'$ ,  $\Theta$ , and  $SC_1$  such that (noting that  $M$  is ground):
  - (a)  $\Gamma; \Phi; \cdot \models \mathbf{nf}(M) \bullet \vec{v} \Rightarrow M' \equiv \Theta; SC_1$ , where by the soundness,  $\Gamma; \Theta \vdash M'$  and  $\Theta \vdash SC_1$ .
  - (b) for any  $\Gamma \vdash M''$  such that  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow M''$  there exists  $\hat{\sigma}$  such that
    - i.  $\Theta \vdash \hat{\sigma} : SC_1$ , and
    - ii.  $\Gamma \vdash [\hat{\sigma}] M' \simeq_1^< M''$ ,
 In particular, there exists  $\Theta \vdash \hat{\sigma}_0 : SC_1$  such that  $\Gamma \vdash [\hat{\sigma}_0] M' \simeq_1^< \uparrow Q$ . Moreover, since  $uM'$  is normalized and free of negative metavariables,  $M' = \uparrow Q_0$ , and  $\Gamma \vdash [\hat{\sigma}_0] Q_0 \simeq_1^< Q$ .
4.  $\Gamma \vdash \uparrow Q \leq_1 \uparrow P$ , and by transitivity, since  $\Gamma \vdash [\hat{\sigma}_0] \uparrow Q_0 \simeq_1^< \uparrow Q$ , we have  $\Gamma \vdash [\hat{\sigma}_0] \uparrow Q_0 \leq_1 \uparrow P$ .  
 Let us apply lemma 56 to  $\Gamma \vdash [\hat{\sigma}_0] \uparrow Q_0 \leq_1 \uparrow P$  and obtain  $\Theta \vdash SC_2$  such that
  - (a)  $\Gamma; \Theta \models \uparrow Q_0 \leq \uparrow P \equiv SC_2$  and
  - (b)  $\Theta \vdash \hat{\sigma}_0 : SC_2$ .
5.  $\Gamma; \Phi, x : P \vdash c : N$ , and by the induction hypothesis,  $\Gamma; \Phi, x : P \models c : \mathbf{nf}(N)$ .

To infer  $\Gamma; \Phi \models \text{let } x : P = v(\vec{v}); c : \mathbf{nf}(N)$ , we apply the corresponding algorithmic rule Rule ATAppLetAnn. Let us show that the premises hold:

1.  $\Gamma \vdash P$ ,
2.  $\Gamma; \Phi \models v : \downarrow \mathbf{nf}(M)$ ,
3.  $\Gamma; \Phi; \cdot \models \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q_0 \equiv \Theta; SC_1$ ,

4.  $\Gamma; \Theta \models \uparrow Q_0 \leq \uparrow P \Rightarrow SC_2$ , and
5.  $\Gamma; \Phi, x : P \models c : \mathbf{nf}(N)$  hold as noted above;
6.  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined by lemma 51, since  $\Theta \vdash \hat{\sigma}_0 : SC_1$  and  $\Theta \vdash \hat{\sigma}_0 : SC_2$ .

**Case 12.** Rule DTAppLet

By assumption,  $c$  is  $\mathbf{let} x = v(\vec{v}); c'$ . Then by inversion of  $\Gamma; \Phi \vdash \mathbf{let} x = v(\vec{v}); c' : N$ :

- $\Gamma; \Phi \vdash v : \downarrow M$ , which by the induction hypothesis means  $\Gamma; \Phi \vdash v : \downarrow \mathbf{nf}(M)$ ;
- $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$  unique. Then by lemma 62, since  $\Gamma \vdash M \simeq_1^{\leq} \mathbf{nf}(M)$ , we have  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$  and moreover,  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$  unique. Then the induction hypothesis applied to  $\Gamma; \Phi \vdash [\cdot] \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$  implies that there exist  $M'$ ,  $\Theta$ , and  $SC$  such that (considering  $M$  is ground):
  1.  $\Gamma; \Phi; \cdot \models \mathbf{nf}(M) \bullet \vec{v} \Rightarrow M' \Rightarrow \Theta; SC$ , which, by the soundness, implies, in particular that
    - (a)  $M'$  is normalized and free of negative metavariables,
    - (b) for any  $\Theta \vdash \hat{\sigma} : SC$ , we have  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow [\hat{\sigma}] M'$ , which, since  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$  unique, means  $\Gamma \vdash [\hat{\sigma}] M' \simeq_1^{\leq} \uparrow Q$ .
  - and
  2. for any  $\Gamma \vdash M''$  such that  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow M''$ , (and in particular, for  $\Gamma \vdash \uparrow Q$ ) there exists  $\hat{\sigma}_1$  such that
    - (a)  $\Theta \vdash \hat{\sigma}_1 : SC$ , and
    - (b)  $\Gamma \vdash [\hat{\sigma}_1] M' \simeq_1^{\leq} M''$ , and in particular,  $\Gamma \vdash [\hat{\sigma}_1] M' \simeq_1^{\leq} \uparrow Q$ . Since  $M'$  is normalized and free of negative metavariables, it means that  $M' = \uparrow P$  for some  $P$ , that is  $\Gamma \vdash [\hat{\sigma}_1] P \simeq_1^{\leq} Q$ .
- $\Gamma; \Phi, x : Q \vdash c' : N$

To infer  $\Gamma; \Phi \models \mathbf{let} x = v(\vec{v}); c' : \mathbf{nf}(N)$ , let us apply the corresponding algorithmic rule (Rule ATAppLet):

1.  $\Gamma; \Phi \models v : \downarrow \mathbf{nf}(M)$  holds as noted above;
2.  $\Gamma; \Phi; \cdot \models \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow P \Rightarrow \Theta; SC$  holds as noted above;
3. To show  $\mathbf{uv} P \subseteq \mathbf{dom}(SC)$  and  $\mathbf{SC}|_{\mathbf{uv} P}$  singular with  $\hat{\sigma}_0$  (for some  $\hat{\sigma}_0$ ), we apply lemma 61. Let us show that the premise of this lemma holds.
 As noted in ??, for any  $\hat{\sigma}$ ,  $\Theta \vdash \hat{\sigma} : SC$  implies  $\Gamma \vdash [\hat{\sigma}] M' \simeq_1^{\leq} \uparrow Q$ , which is rewritten as  $\Gamma \vdash [\hat{\sigma}] P \simeq_1^{\leq} Q$ . And since  $\Gamma \vdash [\hat{\sigma}'] P \simeq_1^{\leq} Q$ , we have  $\Gamma \vdash [\hat{\sigma}] P \simeq_1^{\leq} [\hat{\sigma}'] P$ . It implies  $\Theta \vdash \hat{\sigma} \simeq_1^{\leq} \hat{\sigma}' : \mathbf{uv} P$  by lemma 3.
4. Let us show  $\Gamma; \Phi, x : [\hat{\sigma}_0] P \vdash c' : \mathbf{nf}(N)$ . By the soundness of singularity (lemma 59),  $\Theta \vdash \hat{\sigma}_0 : SC$ , which by ?? means  $\Gamma \vdash [\hat{\sigma}_0] M' \simeq_1^{\leq} \uparrow Q$ , that is  $\Gamma \vdash [\hat{\sigma}_0] P \simeq_1^{\leq} Q$ , and thus,  $\Gamma \vdash \Phi, x : Q \simeq_1^{\leq} \Phi, x : [\hat{\sigma}_0] P$ .
 Then by lemma 63,  $\Gamma; \Phi, x : Q \vdash c' : N$  can be rewritten as  $\Gamma; \Phi, x : [\hat{\sigma}_0] P \vdash c' : N$ . Then by the induction hypothesis applied to it,  $\Gamma; \Phi, x : [\hat{\sigma}_0] P \models c' : \mathbf{nf}(N)$  holds.

**Case 13.** Rule DTForallApp

Since  $N$  cannot be a metavariable, if  $[\hat{\sigma}] N$  starts from  $\forall$ , so does  $N$ . This way,  $N = \forall \alpha^+ . N_1$ . Then by assumption:

1.  $\Gamma; \Theta \vdash \forall \alpha^+ . N_1$  is free from negative metavariables, and then  $\Gamma, \alpha^+; \Theta \vdash N_1$  is free from negative metavariables;
  2.  $\Theta \vdash \hat{\sigma}$ ;
  3.  $\Gamma \vdash M$ ;
  4.  $\Gamma; \Phi \vdash [\hat{\sigma}] \forall \alpha^+ . N_1 \bullet \vec{v} \Rightarrow M$ , that is  $\Gamma; \Phi \vdash (\forall \alpha^+ . [\hat{\sigma}] N_1) \bullet \vec{v} \Rightarrow M$ . Then by inversion there exists  $\sigma$  such that
    - (a)  $\Gamma \vdash \sigma : \alpha^+$ ;
    - (b)  $\vec{v} \neq \cdot$ ; and
    - (c)  $\Gamma; \Phi \vdash [\sigma][\hat{\sigma}] N_1 \bullet \vec{v} \Rightarrow M$ . Notice that  $\sigma$  and  $\hat{\sigma}$  commute because the codomain of  $\sigma$  does not contain metavariables (and thus, does not intersect with the domain of  $\hat{\sigma}$ ), and the codomain of  $\hat{\sigma}$  is  $\Gamma$  and does not intersect with  $\alpha^+$ —the domain of  $\sigma$ .
- Let us construct  $N_0 = [\hat{\alpha}^+ / \alpha^+] N_1$  and  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}_0$  defined as

$$\begin{cases} [\hat{\sigma}_0] \hat{\alpha}_i^+ = [\sigma] \alpha_i^+ & \text{for } \hat{\alpha}_i^+ \in \hat{\alpha}^+ \\ [\hat{\sigma}_0] \hat{\beta}^\pm = [\hat{\sigma}] \hat{\beta}^\pm & \text{for } \hat{\beta}^\pm \in \mathbf{dom}(\Theta) \end{cases}$$

Then it is easy to see that  $[\hat{\sigma}_0][\hat{\alpha}^+ / \alpha^+] N_1 = [\sigma][\hat{\sigma}] N_1$  because this substitution compositions coincide on  $\alpha^+ \cup \mathbf{dom}(\Theta)$ , their domain. In other words,  $[\hat{\sigma}_0] N_0 = [\sigma][\hat{\sigma}] N_1$ .

Then let us apply the induction hypothesis to  $\Gamma; \Phi \vdash [\hat{\sigma}_0] N_0 \bullet \vec{v} \Rightarrow M$  and obtain  $M'$ ,  $\Theta'$ , and  $SC$  such that

- $\Gamma; \Phi; \Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash N_0 \bullet \vec{v} \Rightarrow M' \Rightarrow \Theta'; SC$  and

- for any  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}_0$  and  $\Gamma \vdash M$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}_0] N_0 \bullet \vec{v} \Rightarrow M$ , there exists  $\hat{\sigma}'_0$  such that
  - i.  $\Theta' \vdash \hat{\sigma}'_0 : SC$ ,
  - ii.  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}'_0 \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{dom}(\Theta) \cup \hat{\alpha}^+$ , and
  - iii.  $\Gamma \vdash [\hat{\sigma}'_0] M' \simeq_1^{\leq} M$ .

Let us take  $M'$ ,  $\Theta'$ , and  $SC$  from the induction hypothesis (??) and show they satisfy the required properties.

1. to infer  $\Gamma; \Phi; \Theta \models \forall \alpha^+. N_1 \bullet \vec{v} \Rightarrow M' \equiv \Theta'; SC$  we apply the corresponding algorithmic rule Rule ATForallApp, not that the required premises hold, as noted above:
  - (a)  $\vec{v} \neq \cdot$ , and
  - (b)  $\Gamma; \Phi; \Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash [\hat{\alpha}^+ / \alpha^+] N_1 \bullet \vec{v} \Rightarrow M' \equiv \Theta'; SC$  can be rewritten as  $\Gamma; \Phi; \Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash N_0 \bullet \vec{v} \Rightarrow M' \equiv \Theta'; SC$ .
2. Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  and  $\Gamma \vdash M$  and assume  $\Gamma; \Phi \vdash [\hat{\sigma}] \forall \alpha^+. N_1 \bullet \vec{v} \Rightarrow M$ . Then the same reasoning as in ?? applies. In particular, we construct  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}_0$  as an extension of  $\hat{\sigma}$  and obtain  $\Gamma; \Phi \vdash [\hat{\sigma}_0] N_0 \bullet \vec{v} \Rightarrow M$ . It means, we can apply the property inferred from the induction hypothesis (??) to obtain  $\hat{\sigma}'_0$  such that

- (a)  $\Theta' \vdash \hat{\sigma}'_0 : SC$ ,
- (b)  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}'_0 \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{dom}(\Theta) \cup \hat{\alpha}^+$ , and
- (c)  $\Gamma \vdash [\hat{\sigma}'_0] M' \simeq_1^{\leq} M$ .

Let us show that the obtained  $\hat{\sigma}'_0$  satisfies the required properties.

- (a)  $\Theta' \vdash \hat{\sigma}'_0 : SC$  holds as shown,
- (b)  $\Gamma \vdash [\hat{\sigma}'_0] M' \simeq_1^{\leq} M$  holds as shown,
- (c)  $\Theta \vdash \hat{\sigma}'_0 \simeq_1^{\leq} \hat{\sigma} : \mathbf{dom}(\Theta)$ , holds. Let us take an arbitrary  $\hat{\beta}^\pm \in \mathbf{dom}(\Theta) \subseteq \mathbf{dom}(\Theta) \cup \hat{\alpha}^+$ . Then since  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}_0 \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{dom}(\Theta) \cup \hat{\alpha}^+$ , we have  $[\hat{\sigma}'_0] \hat{\beta}^\pm = [\hat{\sigma}_0] \hat{\beta}^\pm$  and by definition of  $\hat{\sigma}_0$ ,  $[\hat{\sigma}_0] \hat{\beta}^\pm = [\hat{\sigma}] \hat{\beta}^\pm$ .

#### Case 14. Rule DTArrowApp

Since  $N$  cannot be a metavariable, if the shape of  $[\hat{\sigma}] N$  is an arrow, so is the shape of  $N$ . This way,  $N = Q \rightarrow N_1$ . Then by assumption:

1.  $\Gamma; \Theta \vdash Q \rightarrow N_1$  is free from negative metavariables;
2.  $\Theta \vdash \hat{\sigma}$ ;
3.  $\Gamma \vdash M$ ;
4.  $\Gamma; \Phi \vdash [\hat{\sigma}](Q \rightarrow N_1) \bullet v, \vec{v} \Rightarrow M$ , that is  $\Gamma; \Phi \vdash ([\hat{\sigma}] Q \rightarrow [\hat{\sigma}] N_1) \bullet v, \vec{v} \Rightarrow M$ , and by inversion:
  - (a)  $\Gamma; \Phi \vdash v : P$ , and by the induction hypothesis,  $\Gamma; \Phi \models v : P'$  for some  $P'$  such that  $\Gamma \vdash P' \simeq_1^{\leq} P$ ;
  - (b)  $\Gamma \vdash [\hat{\sigma}] Q \geq_1 P$ , which by transitivity (lemma 8) means  $\Gamma \vdash [\hat{\sigma}] Q \geq_1 P'$ , and then by completeness of subtyping (lemma 47),  $\Gamma; \Theta \models Q \geq P' \equiv SC_1$ , for some  $\Theta \vdash SC_1$ , and moreover,  $\Theta \vdash \hat{\sigma} : SC_1$ ;
  - (c)  $\Gamma; \Phi \vdash [\hat{\sigma}] N_1 \bullet \vec{v} \Rightarrow M$ . Notice that the induction hypothesis is applicable to this case:  $\Gamma; \Theta \vdash N_1$  is free from negative metavariables because so is  $Q \rightarrow N_1$ . This way, there exist  $M'$ ,  $\Theta'$ , and  $SC_2$  such that
    - i.  $\Gamma; \Phi; \Theta \models N_1 \bullet \vec{v} \Rightarrow M' \equiv \Theta'; SC_2$  and then by the soundness of typing (i.e. the induction hypothesis),
      - A.  $\Theta \subseteq \Theta'$
      - B.  $\Gamma; \Theta' \vdash M'$
    - ii. for any  $\Theta \vdash \hat{\sigma}$  and  $\Gamma \vdash M$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}] N_1 \bullet \vec{v} \Rightarrow M$ , there exists  $\hat{\sigma}'$  such that
      - A.  $\Theta' \vdash \hat{\sigma}' : SC_2$ ,
      - B.  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma} : \mathbf{dom}(\Theta)$ , and
      - C.  $\Gamma \vdash [\hat{\sigma}'] M' \simeq_1^{\leq} M$ .

Let us take  $\Theta \vdash \hat{\sigma}$  and  $M$  and construct  $\Theta' \vdash \hat{\sigma}'$  by the induction hypothesis (??). Then  $\Theta' \vdash \hat{\sigma}' : SC_2$  and  $\Theta' \vdash \hat{\sigma}' : SC_1$  holds and since  $\Theta \vdash \hat{\sigma} : SC_1$  and  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma} : \mathbf{dom}(\Theta)$ . Then by the completeness of constraint merge (lemma 51),  $\Theta' \vdash SC_1 \& SC_2 = SC$  exists,  $\Theta' \vdash SC$ , and  $\Theta' \vdash \hat{\sigma} : SC$ .

To show the required properties, we take  $M'$  and  $\Theta'$  from the induction hypothesis (??), and  $SC$  defined above. Then

1.  $\Gamma; \Phi; \Theta \models Q \rightarrow N_1 \bullet v, \vec{v} \Rightarrow M' \equiv \Theta'; SC$  is inferred by Rule ATArrowApp:
  - (a)  $\Gamma; \Phi \models v : P'$  as noted above,
  - (b)  $\Gamma; \Theta \models Q \geq P' \equiv SC_1$  as noted above,
  - (c)  $\Gamma; \Phi; \Theta \models N_1 \bullet \vec{v} \Rightarrow M' \equiv \Theta'; SC_2$  as noted above;



2. let us take an arbitrary  $\Theta \vdash \hat{\sigma}_0$  and  $\Gamma \vdash M_0$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}_0](Q \rightarrow N_1) \bullet v, \vec{v} \Rightarrow M_0$ . Then by inversion of  $\Gamma; \Phi \vdash [\hat{\sigma}_0]Q \rightarrow [\hat{\sigma}_0]N_1 \bullet v, \vec{v} \Rightarrow M_0$ , we have the same properties as in ?? . In particular,
  - $\Gamma; \Phi \vdash [\hat{\sigma}_0]N_1 \bullet \vec{v} \Rightarrow M_0$ . Then by ??, there exists  $\hat{\sigma}'$  such that
    - (a)  $\Theta' \vdash \hat{\sigma}' : SC_2$ ,
    - (b)  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{dom}(\Theta)$ , and
    - (c)  $\Gamma \vdash [\hat{\sigma}']M' \simeq_1^{\leq} M_0$ .
  - $\Gamma \vdash [\hat{\sigma}_0]Q \geq_1 P'$  and by the completeness of subtyping (lemma 47),  $\Theta \vdash \hat{\sigma}_0 : SC_1$ .

This way,

- $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{dom}(\Theta)$  holds as noted above;
- $\Theta' \vdash \hat{\sigma}' : SC_1$  holds because  $\Theta \vdash \hat{\sigma}_0 : SC_1$  and  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{dom}(\Theta)$ , and  $\Theta' \vdash \hat{\sigma}' : SC_1$  together with  $\Theta' \vdash \hat{\sigma}' : SC_2$  implies  $\Theta' \vdash \hat{\sigma}' : SC$  by the completeness of constraint merge (lemma 51); and
- $\Gamma \vdash [\hat{\sigma}']M' \simeq_1^{\leq} M_0$  holds as noted above.

#### Case 15. Rule DTEmptyApp

By assumption:

1.  $\Gamma; \Theta \vdash N$ ,
2.  $\Theta \vdash \hat{\sigma}$ ,
3.  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \cdot \Rightarrow [\hat{\sigma}]N$ .

Then we can apply the corresponding algorithmic rule Rule ATEmptyApp to infer  $\Gamma; \Phi; \Theta \vdash N \bullet \cdot \Rightarrow N \doteq \Theta; \cdot$ . Let us show the required properties. Let us take an arbitrary  $\Theta \vdash \hat{\sigma}_1$  and  $\Gamma \vdash M$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}_1]N \bullet \cdot \Rightarrow M$ . Then we can take  $\hat{\sigma}' = \hat{\sigma}_1$ :

1.  $\Theta \vdash \hat{\sigma}' : \cdot$  holds vacuously,
2.  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_1 : \mathbf{dom}(\Theta)$  holds by reflexivity of equivalence,
3.  $\Gamma \vdash [\hat{\sigma}']N \simeq_1^{\leq} M$  or equivalently,  $\Gamma \vdash [\hat{\sigma}]N \simeq_1^{\leq} M$  holds because  $\Gamma; \Phi \vdash [\hat{\sigma}_1]N \bullet \cdot \Rightarrow M$  can only be inferred by Rule DTEmptyApp, meaning  $[\hat{\sigma}_1]N = M$ .

□