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# 1 Declarative System

First, we present the top-level system, which is easy to understand.

## 1.1 Grammar

We assume that there is an infinite set of positive and negative *type* variables. Positive type variables are denoted as  $\alpha^+$ ,  $\beta^+$ ,  $\gamma^+$ , etc. Negative type variables are denoted as  $\alpha^-$ ,  $\beta^-$ ,  $\gamma^-$ , etc. We assume there is an infinite set of *term* variables, which are denoted as  $x$ ,  $y$ ,  $z$ , etc. A list of objects (variables, types or terms) is denoted by an overline arrow. For instance,  $\overrightarrow{\alpha^+}$  is a list of positive type variables,  $\overrightarrow{\beta^-}$  is a list of negative type variables,  $\overrightarrow{v}$  is a list of values, which are arguments of a function.  $\mathbf{fv}(P)$  and  $\mathbf{fv}(N)$  denote the set of free variables in a type  $P$  and  $N$ , respectively.

$P, Q, R$	$::=$	multi-quantified positive types
		$\alpha^+$
		$\downarrow N$
		$\exists \overrightarrow{\alpha^+}.P$

$N, M, K$	$::=$	multi-quantified negative types
		$\alpha^-$
		$\uparrow P$
		$P \rightarrow N$
		$\forall \overrightarrow{\alpha^+}.N$

$v, w$	$::=$	value terms
		$x$
		$\{c\}$
		$(v : P)$

$c, d$	$::=$	computation terms
		$(c : N)$
		$\lambda x : P. c$
		$\Lambda \alpha^+. c$
		<b>return</b> $v$
		<b>let</b> $x = v; c$
		<b>let</b> $x : P = v(\overrightarrow{v}); c$
		<b>let</b> $x = v(\overrightarrow{v}); c$
		<b>let</b> $\exists(\overrightarrow{\alpha^-}, x) = v; c$

## 1.2 Equalities

For simplicity, assume alpha-equivalent terms equal. This way, we assume that substitutions do not capture bound variables. Besides, we equate  $\forall \alpha^+. \forall \beta^+. N$  with  $\forall \alpha^+, \beta^+. N$ , as well as  $\exists \alpha^-. \exists \beta^-. P$  with  $\exists \alpha^-, \beta^-. P$ , and lift these equations transitively and congruently to the whole system.

## 1.3 Contexts

**Definition 1** (Declarative Type Context).

Declarative type context  $\Gamma$  is represented by a set of type variables. The concatenation  $\Gamma_1, \Gamma_2$  means the union of two contexts  $\Gamma_1 \cup \Gamma_2$ .

## 1.4 Substitutions

**Definition 2** (Substitution). Substitutions (denoted as  $\sigma$ ) are represented by total functions from variables to types, preserving the polarity.

**Definition 3** (Substitution Application). Substitution application (denoted as  $[\sigma]P$  or  $[\sigma]N$ ) is defined congruently as follows:

- $[\sigma]\alpha^+ = \sigma(\alpha^+);$
- $[\sigma]\alpha^- = \sigma(\alpha^-);$

- $[\sigma]\downarrow N = \downarrow[\sigma]N;$
- $[\sigma]\uparrow P = \uparrow[\sigma]P;$
- $[\sigma]\exists\alpha^{\rightarrow}.Q = \exists\alpha^{\rightarrow}.[\sigma]Q,$
- $[\sigma]\forall\alpha^{\rightarrow}.N = \forall\alpha^{\rightarrow}.[\sigma]N$  (here we assume that  $\alpha^{\rightarrow}$  and  $\alpha^{\rightarrow}$  are lists of fresh variables, that is the variable capture never happens);
- $[\sigma](P \rightarrow N) = [\sigma]P \rightarrow [\sigma]N.$

**Definition 4** (Substitution Signature). *The signature  $\Gamma' \vdash \sigma : \Gamma$  means that*

1. *for any  $\alpha^{\pm} \in \Gamma, \Gamma' \vdash [\sigma]\alpha^{\pm};$  and*
2. *for any  $\alpha^{\pm} \notin \Gamma', [\sigma]\alpha^{\pm} = \alpha^{\pm}.$*

A substitution can be restricted to a set of variables. The restricted substitution is define as expected.

**Definition 5** (Substitution Restriction). *The specification  $\sigma|_{vars}$  is defined as a function such that*

1.  *$\sigma|_{vars}(\alpha^{\pm}) = \sigma(\alpha^{\pm}),$  if  $\alpha^{\pm} \in vars;$  and*
2.  *$\sigma|_{vars}(\alpha^{\pm}) = \alpha^{\pm},$  if  $\alpha^{\pm} \notin vars.$*

Two substitutions can be composed in two ways:  $\sigma_2 \circ \sigma_1$  corresponds to a consecutive application of  $\sigma_1$  and  $\sigma_2$ , while  $\sigma_2 \ll \sigma_1$  depends on a signature of  $\sigma_1$  and modifies  $\sigma_1$  by applying  $\sigma_2$  to its results on the domain.

**Definition 6** (Substitution Composition).  *$\sigma_2 \circ \sigma_1$  is defined as a function such that  $\sigma_2 \circ \sigma_1(\alpha^{\pm}) = \sigma_2(\sigma_1(\alpha^{\pm}))$ .*

**Definition 7** (Monadic Substitution Composition). *Suppose that  $\Gamma' \vdash \sigma_1 : \Gamma$ . Then we define  $\sigma_2 \ll \sigma_1$  as  $(\sigma_2 \circ \sigma_1)|_{\Gamma}$ .*

Notice that the result of  $\sigma_2 \ll \sigma_1$  depends on the specification of  $\sigma_1$ , which is not unique. However, we assume that the used specification clear from the context of the proof.

**Definition 8** (Equivalent Substitutions). *The substitution equivalence judgement  $\Gamma' \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : \Gamma$  indicates that on the domain  $\Gamma$ , the result of  $\sigma_1$  and  $\sigma_2$  are equivalent in context  $\Gamma'$ . Formally, for any  $\alpha^{\pm} \in \Gamma, \Gamma' \vdash [\sigma_1]\alpha^{\pm} \simeq_1^{\leq} [\sigma_2]\alpha^{\pm}.$*

Sometimes it is convenient to construct substitution explicitly mapping each variable from a list (or a set) to a type. Such substitutions are denoted as  $\vec{P}/\alpha^{\rightarrow}$  and  $\vec{N}/\alpha^{\rightarrow}$ , where  $\vec{P}$  and  $\vec{N}$  are lists of the corresponding types.

**Definition 9** (Explicit Substitution).

- *Suppose that  $\alpha^{\rightarrow}$  is a list of negative type variables, and  $\vec{N}$  is a list of negative types of the same length Then  $\vec{N}/\alpha^{\rightarrow}$  denotes a substitution such that*
  1. *for  $\alpha_i^+ \in \alpha^{\rightarrow}, [\vec{N}/\alpha^{\rightarrow}]\alpha_i^+ = N_i;$*
  2. *for  $\beta^+ \notin \alpha^{\rightarrow}, [\vec{N}/\alpha^{\rightarrow}]\beta^+ = \beta^+.$*
- + *Positive explicit substitution  $\vec{P}/\alpha^{\rightarrow}$  is defined symmetrically.*

## 1.5 Declarative Typing

$\boxed{\Gamma; \Phi \vdash v : P}$     Positive type inference

$$\begin{array}{c}
\frac{x : P \in \Phi}{\Gamma; \Phi \vdash x : P} \quad \text{DTVAR} \\
\\
\frac{\Gamma; \Phi \vdash c : N}{\Gamma; \Phi \vdash \{c\} : \downarrow N} \quad \text{DTTHUNK} \\
\\
\frac{\Gamma \vdash Q \quad \Gamma; \Phi \vdash v : P \quad \Gamma \vdash Q \geq_1 P}{\Gamma; \Phi \vdash (v : Q) : Q} \quad \text{DTPANNOT} \\
\\
\frac{\Gamma; \Phi \vdash v : P \quad \Gamma \vdash P \simeq_1^{\leq} P'}{\Gamma; \Phi \vdash v : P'} \quad \text{DTPEQUIV}
\end{array}$$

$\boxed{\Gamma; \Phi \vdash c : N}$     Negative type inference

$$\begin{array}{c}
\frac{\Gamma \vdash P \quad \Gamma; \Phi, x : P \vdash c : N}{\Gamma; \Phi \vdash \lambda x : P. c : P \rightarrow N} \quad \text{DTTLAM} \\
\\
\frac{\Gamma, \alpha^+; \Phi \vdash c : N}{\Gamma; \Phi \vdash \Lambda \alpha^+. c : \forall \alpha^+. N} \quad \text{DTTLAM} \\
\\
\frac{\Gamma; \Phi \vdash v : P}{\Gamma; \Phi \vdash \mathbf{return} v : \uparrow P} \quad \text{DTReturn} \\
\\
\frac{\Gamma; \Phi \vdash v : P \quad \Gamma; \Phi, x : P \vdash c : N}{\Gamma; \Phi \vdash \mathbf{let} x = v; c : N} \quad \text{DTVArLET} \\
\\
\frac{\Gamma; \Phi \vdash v : \downarrow M \quad \Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow Q \text{ unique} \quad \Gamma; \Phi, x : Q \vdash c : N}{\Gamma; \Phi \vdash \mathbf{let} x = v(\vec{v}); c : N} \quad \text{DTAPPLET} \\
\\
\frac{\Gamma \vdash P \quad \Gamma; \Phi \vdash v : \downarrow M \quad \Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow Q \quad \Gamma \vdash \uparrow Q \leq_1 \uparrow P \quad \Gamma; \Phi, x : P \vdash c : N}{\Gamma; \Phi \vdash \mathbf{let} x : P = v(\vec{v}); c : N} \quad \text{DTAPPLETANN} \\
\\
\frac{\Gamma; \Phi \vdash v : \exists \alpha^-. P \quad \mathbf{nf}(\exists \alpha^-. P) = \exists \alpha^-. P \quad \Gamma, \alpha^+; \Phi, x : P \vdash c : N \quad \Gamma \vdash N}{\Gamma; \Phi \vdash \mathbf{let}^{\exists}(\alpha^-, x) = v; c : N} \quad \text{DTUNPACK} \\
\\
\frac{\Gamma \vdash M \quad \Gamma; \Phi \vdash c : N \quad \Gamma \vdash N \simeq_1^{\leq} M}{\Gamma; \Phi \vdash (c : M) : M} \quad \text{DTNANNOT} \\
\\
\frac{\Gamma; \Phi \vdash c : N \quad \Gamma \vdash N \simeq_1^{\leq} N'}{\Gamma; \Phi \vdash c : N'} \quad \text{DTNEQUIV}
\end{array}$$

$\boxed{\Gamma; \Phi \vdash N \bullet \vec{v} \Rightarrow M}$  Application type inference

$$\begin{array}{c}
\frac{\Gamma \vdash N \simeq_1^{\leq} N'}{\Gamma; \Phi \vdash N \bullet \cdot \Rightarrow N'} \quad \text{DTEmptyAPP} \\
\\
\frac{\Gamma; \Phi \vdash v : P \quad \Gamma \vdash Q \geq_1 P \quad \Gamma; \Phi \vdash N \bullet \vec{v} \Rightarrow M}{\Gamma; \Phi \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M} \quad \text{DTARROWAPP} \\
\\
\frac{\Gamma \vdash \sigma : \alpha^+ \quad \Gamma; \Phi \vdash [\sigma]N \bullet \vec{v} \Rightarrow M \quad \vec{v} \neq \cdot \quad \alpha^+ \neq \cdot}{\Gamma; \Phi \vdash \forall \alpha^+. N \bullet \vec{v} \Rightarrow M} \quad \text{DTFORALLAPP}
\end{array}$$

## 1.6 Declarative Subtyping

$\boxed{\Gamma \vdash N \simeq_1^{\leq} M}$  Negative equivalence on MQ types

$$\frac{\Gamma \vdash N \leq_1 M \quad \Gamma \vdash M \leq_1 N}{\Gamma \vdash N \simeq_1^{\leq} M} \quad (\simeq_1^{\leq} -)$$

$\boxed{\Gamma \vdash P \simeq_1^{\leq} Q}$  Positive equivalence on MQ types

$$\frac{\Gamma \vdash P \geq_1 Q \quad \Gamma \vdash Q \geq_1 P}{\Gamma \vdash P \simeq_1^{\leq} Q} \quad (\simeq_1^{\leq} +)$$

$\boxed{\Gamma \vdash N \leq_1 M}$  Negative subtyping

$$\begin{array}{c}
\overline{\Gamma \vdash \alpha^- \leq_1 \alpha^-} \quad (\text{VAR}^{-\leq_1}) \\
\\
\frac{\Gamma \vdash P \simeq_1^{\leq} Q}{\Gamma \vdash \uparrow P \leq_1 \uparrow Q} \quad (\uparrow^{\leq_1}) \\
\\
\frac{\Gamma \vdash P \geq_1 Q \quad \Gamma \vdash N \leq_1 M}{\Gamma \vdash P \rightarrow N \leq_1 Q \rightarrow M} \quad (\rightarrow^{\leq_1}) \\
\\
\frac{\Gamma, \beta^+ \vdash \sigma : \alpha^+ \quad \Gamma, \beta^+ \vdash [\sigma]N \leq_1 M}{\Gamma \vdash \forall \alpha^+. N \leq_1 \forall \beta^+. M} \quad (\forall^{\leq_1})
\end{array}$$

$\boxed{\Gamma \vdash P \succcurlyeq_1 Q}$  Positive supertyping

$$\frac{}{\Gamma \vdash \alpha^+ \succcurlyeq_1 \alpha^+} \text{ (VAR}^+ \succcurlyeq_1 \text{)}$$

$$\frac{\Gamma \vdash N \simeq_1^{\leq} M}{\Gamma \vdash \downarrow N \succcurlyeq_1 \downarrow M} \text{ (}\downarrow \succcurlyeq_1 \text{)}$$

$$\frac{\Gamma, \vec{\beta}^- \vdash \sigma : \vec{\alpha}^- \quad \Gamma, \vec{\beta}^- \vdash [\sigma]P \succcurlyeq_1 Q}{\Gamma \vdash \exists \vec{\alpha}^-. P \succcurlyeq_1 \exists \vec{\beta}^-. Q} \text{ (}\exists \succcurlyeq_1 \text{)}$$

$\boxed{\Gamma_2 \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : \Gamma_1}$	Equivalence of substitutions
$\boxed{\Gamma \vdash \sigma_1 \simeq_1^{\leq} \sigma_2 : vars}$	Equivalence of substitutions
$\boxed{\Theta \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : \mathbf{varset}}$	Equivalence of unification substitutions
$\boxed{\Gamma \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : vars}$	Equivalence of unification substitutions
$\boxed{\Gamma \vdash \Phi_1 \simeq_1^{\leq} \Phi_2}$	Equivalence of contexts

## 1.7 Declarative Equivalence

$\boxed{N \simeq_1^D M}$  Negative multi-quantified type equivalence

$$\frac{}{\alpha^- \simeq_1^D \alpha^-} \text{ (VAR}^- \simeq_1^D \text{)}$$

$$\frac{P \simeq_1^D Q}{\uparrow P \simeq_1^D \uparrow Q} \text{ (}\uparrow \simeq_1^D \text{)}$$

$$\frac{P \simeq_1^D Q \quad N \simeq_1^D M}{P \rightarrow N \simeq_1^D Q \rightarrow M} \text{ (}\rightarrow \simeq_1^D \text{)}$$

$$\frac{\vec{\alpha}^+ \cap \mathbf{fv} M = \emptyset \quad \mu : (\vec{\beta}^+ \cap \mathbf{fv} M) \leftrightarrow (\vec{\alpha}^+ \cap \mathbf{fv} N) \quad N \simeq_1^D [\mu]M}{\forall \vec{\alpha}^+. N \simeq_1^D \forall \vec{\beta}^+. M} \text{ (}\forall \simeq_1^D \text{)}$$

$\boxed{P \simeq_1^D Q}$  Positive multi-quantified type equivalence

$$\frac{}{\alpha^+ \simeq_1^D \alpha^+} \text{ (VAR}^+ \simeq_1^D \text{)}$$

$$\frac{N \simeq_1^D M}{\downarrow N \simeq_1^D \downarrow M} \text{ (}\downarrow \simeq_1^D \text{)}$$

$$\frac{\vec{\alpha}^- \cap \mathbf{fv} Q = \emptyset \quad \mu : (\vec{\beta}^- \cap \mathbf{fv} Q) \leftrightarrow (\vec{\alpha}^- \cap \mathbf{fv} P) \quad P \simeq_1^D [\mu]Q}{\exists \vec{\alpha}^-. P \simeq_1^D \exists \vec{\beta}^-. Q} \text{ (}\exists \simeq_1^D \text{)}$$

$\boxed{P \simeq_1^D Q}$  Positive unification type equivalence

$\boxed{N \simeq_1^D M}$  Positive unification type equivalence

## 1.8 Well-Formedness

$\boxed{\Gamma \vdash N}$  Negative type well-formedness

$$\frac{\alpha^- \in \Gamma}{\Gamma \vdash \alpha^-} \text{ WFTNVAR}$$

$$\frac{\Gamma \vdash P}{\Gamma \vdash \uparrow P} \text{ WFTSHIFTU}$$

$$\frac{\Gamma \vdash P \quad \Gamma \vdash N}{\Gamma \vdash P \rightarrow N} \text{ WFTARROW}$$

$$\frac{\Gamma, \vec{\alpha}^+ \vdash N}{\Gamma \vdash \forall \vec{\alpha}^+. N} \text{ WFTFORALL}$$

$\boxed{\Gamma \vdash P}$  Positive type well-formedness

$$\frac{\alpha^+ \in \Gamma}{\Gamma \vdash \alpha^+} \text{ WFTPVAR}$$

$$\frac{\Gamma \vdash N}{\Gamma \vdash \downarrow N} \text{ WFTSHIFTD}$$

$$\frac{\Gamma, \vec{\alpha}^+ \vdash P}{\Gamma \vdash \exists \vec{\alpha}^+. P} \text{ WFTEXISTS}$$

$\boxed{\Gamma \vdash N}$  Negative type well-formedness

$\boxed{\Gamma \vdash P}$  Positive type well-formedness

$\boxed{\Gamma \vdash \vec{N}}$  Negative type list well-formedness

$\boxed{\Gamma \vdash \vec{P}}$  Positive type list well-formedness

$\boxed{\Gamma; \Xi \vdash N}$  Negative algorithmic type well-formedness

$$\frac{\alpha^- \in \Gamma}{\Gamma; \Xi \vdash \alpha^-} \text{ WFATNVAR}$$

$$\frac{\hat{\alpha}^- \in \Xi}{\Gamma; \Xi \vdash \hat{\alpha}^-} \text{ WFATNUVAR}$$

$$\frac{\Gamma; \Xi \vdash P}{\Gamma; \Xi \vdash \uparrow P} \text{ WFATSHIFTU}$$

$$\frac{\Gamma; \Xi \vdash P \quad \Gamma; \Xi \vdash N}{\Gamma; \Xi \vdash P \rightarrow N} \text{ WFATARROW}$$

$$\frac{\Gamma, \vec{\alpha}^+; \Xi \vdash N}{\Gamma; \Xi \vdash \forall \vec{\alpha}^+. N} \text{ WFATFORALL}$$

$\boxed{\Gamma; \Xi \vdash P}$  Positive algorithmic type well-formedness

$$\frac{\alpha^+ \in \Gamma}{\Gamma; \Xi \vdash \alpha^+} \text{ WFATPVAR}$$

$$\frac{\hat{\alpha}^+ \in \Xi}{\Gamma; \Xi \vdash \hat{\alpha}^+} \text{ WFATPUVAR}$$

$$\frac{\Gamma; \Xi \vdash N}{\Gamma; \Xi \vdash \downarrow N} \text{ WFATSHIFTD}$$

$$\frac{\Gamma, \vec{\alpha}^-; \Xi \vdash P}{\Gamma; \Xi \vdash \exists \vec{\alpha}^-. P} \text{ WFATEXISTS}$$

$\boxed{\Gamma; \Xi_2 \vdash \hat{\tau} : \Xi_1}$  Antiunification substitution well-formedness

$\boxed{\Gamma \vdash^\exists \Theta}$  Unification context well-formedness

$\boxed{\Gamma_1 \vdash \sigma : \Gamma_2}$  Substitution signature

$\boxed{\Theta \vdash \hat{\sigma}}$  Unification substitution signature

$\boxed{(\Theta \vdash UC) \vdash \hat{\sigma}}$  Unification substitution satisfies unification constraint

$\boxed{(\Theta \vdash SC) \vdash \hat{\sigma}}$  Unification substitution satisfies subtyping constraint

$\boxed{\Gamma \vdash \hat{\sigma} : \Xi}$  Unification substitution general signature

$\boxed{\Gamma \vdash e}$  Unification constraint entry well-formedness

$\boxed{\Gamma \vdash e}$  Subtyping constraint entry well-formedness

$\boxed{\Gamma \vdash P : e}$  Positive type satisfies unification constraint

$\boxed{\Gamma \vdash N : e}$  Negative type satisfies unification constraint

$\boxed{\Gamma \vdash P : e}$  Positive type satisfies subtyping constraint

$\boxed{\Gamma \vdash N : e}$  Negative type satisfies subtyping constraint

$\boxed{\Theta \vdash UC}$  Unification constraint well-formedness

$\boxed{\Theta \vdash SC}$  Subtyping constraint well-formedness

$\boxed{\Gamma \vdash \vec{v}}$  Argument List well-formedness

$\boxed{\Gamma \vdash \Phi}$  Context well-formedness

$\boxed{\Gamma \vdash v}$  Value well-formedness

$$\frac{}{\Gamma \vdash x} \text{WFATVAR}$$

$\boxed{\Gamma \vdash c}$  Computation well-formedness

$$\frac{\Gamma \vdash v \quad \Gamma \vdash c \quad \Gamma \vdash \vec{v}}{\Gamma \vdash \mathbf{let} \ x = v(\vec{v}); c} \text{WFATAPPLET}$$

## 2 Algorithm

### 2.1 Grammar

In the algorithmic system, we extend the grammar of types by adding positive and negative *algorithmic variables* ( $\hat{\alpha}^+$ ,  $\hat{\beta}^+$ ,  $\hat{\gamma}^+$ , etc. and  $\hat{\alpha}^-$ ,  $\hat{\beta}^-$ ,  $\hat{\gamma}^-$ , etc.). They represent the unknown types, which will be inferred by the algorithm. This way, we add two base cases to the grammar of positive and negative types, and use highlight to denote that the type can potentially contain algorithmic variables.

**Definition 10** (Algorithmic Types).

$$\begin{array}{lcl} P, Q & ::= & \text{a positive algorithmic type (potentially with metavariables)} \\ & | & \hat{\alpha}^+ \\ & | & \alpha^+ \\ & | & \downarrow N \\ & | & \exists \alpha^+ . P \end{array}$$

$$\begin{array}{lcl} N, M & ::= & \text{a negative algorithmic type (potentially with metavariables)} \\ & | & \hat{\alpha}^- \\ & | & \alpha^- \\ & | & \uparrow P \\ & | & P \rightarrow N \\ & | & \forall \alpha^+ . N \end{array}$$

### 2.2 Algorithmic Renaming

In several places of our algorithm, we turn a declarative type into the algorithmic one via replacing certain type variables with fresh algorithmic variables. This is done via algorithmic renaming

**Definition 11.** Suppose that  $\vec{\alpha}^-$  is a list of negative type variables,

### 2.3 Contexts

**Definition 12** (Algorithmic Type Context).

Algorithmic type context  $\Xi$  is represented by a set of algorithmic type variables ( $\hat{\alpha}^+$ ,  $\hat{\alpha}^-$ ,  $\hat{\beta}^+$ ,  $\dots$ ).

$\Gamma; \Xi \vdash P$  and  $\Gamma; \Xi \vdash N$  are used to denote that the algorithmic type is well-formed in the contexts  $\Gamma$  and  $\Xi$ , which means that each algorithmic variable of the type is contained in  $\Xi$ , and each free declarative type variable of the type is contained in  $\Gamma$ .

Algorithmic Type Context are used in the unification algorithm. In the subtyping algorithm, the context needs to remember additional information. In the subtyping context, each algorithmic variable is associated with a context it must be instantiated in (i.e. the context in which the type replacing the variable must be well-formed). This association is represented by algorithmic subtyping context  $\Theta$ .

**Definition 13** (Algorithmic Subtyping Context).

Algorithmic Subtyping Context  $\Theta$  is represented by a set of entries of form  $\hat{\alpha}^+ \{\Gamma\}$  and  $\hat{\alpha}^- \{\Gamma\}$ , where  $\hat{\alpha}^+$  and  $\hat{\alpha}^-$  are algorithmic variables, and  $\Gamma$  is a context in which they must be instantiated. We assume that no two entries associating the same variable appear in  $\Theta$ .

$\mathbf{dom}(\Theta)$  denotes the set of variables appearing in  $\Theta$ :  $\mathbf{dom}(\Theta) = \{\hat{\alpha}^\pm \mid \hat{\alpha}^\pm \{\Gamma\} \in \Theta\}$ .

## 2.4 Substitutions

Substitution that operates on algorithmic type variables is denoted as  $\hat{\sigma}$ . It is defined as a total function from algorithmic type variables to non-algorithmic types, preserving the polarity.

The signature  $\Theta \vdash \hat{\sigma}$  means that  $\hat{\sigma}$  maps each algorithmic variable appearing in  $\Theta$  to a type well-formed in the corresponding context; and for each variable not appearing in  $\text{dom}(\Theta)$ , acts as identity.

**Definition 14** (Signature of Algorithmic Substitution).  $\Theta \vdash \hat{\sigma}$  means that

1. for any  $\hat{\alpha}^\pm \{\Gamma\} \in \Theta$ ,  $\Gamma \vdash [\hat{\sigma}]\hat{\alpha}^\pm$  and
2. for any  $\hat{\alpha}^\pm \notin \text{dom}(\Theta)$ ,  $[\hat{\sigma}]\hat{\alpha}^\pm = \hat{\alpha}^\pm$ .

Anti-unification substitution is denoted as  $\hat{\tau}$  and  $\hat{\rho}$ . In contrast to algorithmic substitution  $\hat{\sigma}$ , it only defined on the negative algorithmic variables, and it allows mapping algorithmic variables to algorithmic terms.

The pair of contexts  $\Gamma$  and  $\Xi$ , in which the results of the anti-unification substitutions are formed, is fixed for the whole substitution. This way,  $\Gamma; \Xi_2 \vdash \hat{\tau} : \Xi_1$  means that  $\hat{\tau}$  maps each negative algorithmic variable appearing in  $\Xi_1$  to a term well-formed in  $\Gamma$  and  $\Xi_2$ .

**Definition 15** (Signature of Anti-unification substitution).  $\Gamma; \Xi_2 \vdash \hat{\tau} : \Xi_1$  means that

1. for any  $\hat{\alpha}^- \in \Xi_1$ ,  $\Gamma; \Xi_2 \vdash [\hat{\tau}]\hat{\alpha}^-$  and
2. for any  $\hat{\alpha}^- \notin \Xi_1$ ,  $[\hat{\tau}]\hat{\alpha}^- = \hat{\alpha}^-$ .

## 2.5 Normalization

### 2.5.1 Ordering

$$\boxed{\text{ord vars in } N = \vec{\alpha}}$$

$$\begin{array}{c} \frac{\alpha^- \in \text{vars}}{\text{ord vars in } \alpha^- = \alpha^-} \quad (\text{VAR}_{\in}^-) \\ \frac{\alpha^- \notin \text{vars}}{\text{ord vars in } \alpha^- = .} \quad (\text{VAR}_{\notin}^-) \\ \frac{\text{ord vars in } P = \vec{\alpha}}{\text{ord vars in } \uparrow P = \vec{\alpha}} \quad (\uparrow) \\ \frac{\text{ord vars in } P = \vec{\alpha}_1 \quad \text{ord vars in } N = \vec{\alpha}_2}{\text{ord vars in } P \rightarrow N = \vec{\alpha}_1, (\vec{\alpha}_2 \setminus \vec{\alpha}_1)} \quad (\rightarrow) \\ \frac{\text{vars} \cap \vec{\alpha}^+ = \emptyset \quad \text{ord vars in } N = \vec{\alpha}}{\text{ord vars in } \forall \vec{\alpha}^+. N = \vec{\alpha}} \quad (\forall) \end{array}$$

$$\boxed{\text{ord vars in } P = \vec{\alpha}}$$

$$\begin{array}{c} \frac{\alpha^+ \in \text{vars}}{\text{ord vars in } \alpha^+ = \alpha^+} \quad (\text{VAR}_{\in}^+) \\ \frac{\alpha^+ \notin \text{vars}}{\text{ord vars in } \alpha^+ = .} \quad (\text{VAR}_{\notin}^+) \\ \frac{\text{ord vars in } N = \vec{\alpha}}{\text{ord vars in } \downarrow N = \vec{\alpha}} \quad (\downarrow) \\ \frac{\text{vars} \cap \vec{\alpha}^- = \emptyset \quad \text{ord vars in } P = \vec{\alpha}}{\text{ord vars in } \exists \vec{\alpha}^-. P = \vec{\alpha}} \quad (\exists) \end{array}$$

$$\boxed{\text{ord vars in } N = \vec{\alpha}}$$

$$\frac{}{\text{ord vars in } \hat{\alpha}^- = .} \quad (\text{UVar}^-)$$

$$\boxed{\text{ord vars in } P = \vec{\alpha}}$$

$$\frac{}{\text{ord vars in } \hat{\alpha}^+ = .} \quad (\text{UVar}^+)$$



### 2.5.2 Quantifier Normalization

$$\boxed{\mathbf{nf}(N) = M}$$

$$\begin{array}{c} \overline{\mathbf{nf}(\alpha^-) = \alpha^-} \quad (\text{VAR}^-) \\ \frac{\mathbf{nf}(P) = Q}{\mathbf{nf}(\uparrow P) = \uparrow Q} \quad (\uparrow) \\ \frac{\mathbf{nf}(P) = Q \quad \mathbf{nf}(N) = M}{\mathbf{nf}(P \rightarrow N) = Q \rightarrow M} \quad (\rightarrow) \\ \frac{\mathbf{nf}(N) = N' \quad \text{ord } \overrightarrow{\alpha^+} \text{ in } N' = \overrightarrow{\alpha^{+'}}}{\mathbf{nf}(\overrightarrow{\forall \alpha^+}.N) = \overrightarrow{\forall \alpha^{+'}}.N'} \quad (\forall) \end{array}$$

$$\boxed{\mathbf{nf}(P) = Q}$$

$$\begin{array}{c} \overline{\mathbf{nf}(\alpha^+) = \alpha^+} \quad (\text{VAR}^+) \\ \frac{\mathbf{nf}(N) = M}{\mathbf{nf}(\downarrow N) = \downarrow M} \quad (\downarrow) \\ \frac{\mathbf{nf}(P) = P' \quad \text{ord } \overrightarrow{\alpha^-} \text{ in } P' = \overrightarrow{\alpha^{-'}}}{\mathbf{nf}(\overrightarrow{\exists \alpha^-}.P) = \overrightarrow{\exists \alpha^{-'}}.P'} \quad (\exists) \end{array}$$

$$\boxed{\mathbf{nf}(N) = M}$$

$$\boxed{\mathbf{nf}(P) = Q}$$

$$\overline{\mathbf{nf}(\hat{\alpha}^-) = \hat{\alpha}^-} \quad (\text{UVar}^-)$$

$$\overline{\mathbf{nf}(\hat{\alpha}^+) = \hat{\alpha}^+} \quad (\text{UVar}^+)$$

We also define normalization of a substitution pointwise:

**Definition 16** (Substitution Normalization). *For a substitution  $\sigma$ , we define  $\mathbf{nf}(\sigma)$  as a substitution that maps  $\alpha^\pm$  into  $\mathbf{nf}([\sigma]\alpha^\pm)$ .*

### 2.6 Singularity

$\boxed{e_1 \text{ singular with } P}$  *Positive Subtyping Constraint Entry Is Singular*

$$\begin{array}{c} \overline{\hat{\alpha}^+ : \approx P \text{ singular with } \mathbf{nf}(P)} \quad \text{SINGPEQ} \\ \overline{\hat{\alpha}^+ : \geq \overrightarrow{\exists \alpha^-}. \alpha^+ \text{ singular with } \alpha^+} \quad \text{SINGSUPVAR} \\ \overline{\hat{\alpha}^+ : \geq \overrightarrow{\exists \alpha^-}. \downarrow N \text{ singular with } \exists \alpha^-, \downarrow \alpha^-} \quad \text{SINGSUPSHIFT} \end{array}$$

$\boxed{e_1 \text{ singular with } N}$  *Negative Subtyping Constraint Entry Is Singular*

$$\overline{\hat{\alpha}^- : \approx N \text{ singular with } \mathbf{nf}(N)} \quad \text{SINGNEQ}$$

$\boxed{SC \text{ singular with } \hat{\sigma}}$  *Subtyping Constraint Is Singular*

## 2.7 Unification

$$\boxed{\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC}$$

*Negative unification*

$$\begin{array}{c} \overline{\Gamma; \Theta \models \alpha^- \stackrel{u}{\simeq} \alpha^- \Rightarrow \cdot} \quad (\text{VAR}^{-\stackrel{u}{\simeq}}) \\[10pt] \frac{\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC}{\Gamma; \Theta \models \uparrow P \stackrel{u}{\simeq} \uparrow Q \Rightarrow UC} \quad (\uparrow^{\stackrel{u}{\simeq}}) \\[10pt] \frac{\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC_1 \quad \Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC_2}{\Gamma; \Theta \models P \rightarrow N \stackrel{u}{\simeq} Q \rightarrow M \Rightarrow UC_1 \ \& \ UC_2} \quad (\rightarrow^{\stackrel{u}{\simeq}}) \\[10pt] \frac{\Gamma, \vec{\alpha}^+; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC}{\Gamma; \Theta \models \forall \vec{\alpha}^+. N \stackrel{u}{\simeq} \forall \vec{\alpha}^+. M \Rightarrow UC} \quad (\forall^{\stackrel{u}{\simeq}}) \\[10pt] \frac{\hat{\alpha}^-\{\Delta\} \in \Theta \quad \Delta \vdash N}{\Gamma; \Theta \models \hat{\alpha}^- \stackrel{u}{\simeq} N \Rightarrow (\hat{\alpha}^- : \approx N)} \quad (\text{UVar}^{-\stackrel{u}{\simeq}}) \end{array}$$

$$\boxed{\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC}$$

*Positive unification*

$$\begin{array}{c} \overline{\Gamma; \Theta \models \alpha^+ \stackrel{u}{\simeq} \alpha^+ \Rightarrow \cdot} \quad (\text{VAR}^{+\stackrel{u}{\simeq}}) \\[10pt] \frac{\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC}{\Gamma; \Theta \models \downarrow N \stackrel{u}{\simeq} \downarrow M \Rightarrow UC} \quad (\downarrow^{\stackrel{u}{\simeq}}) \\[10pt] \frac{\Gamma, \vec{\alpha}^-; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC}{\Gamma; \Theta \models \exists \vec{\alpha}^-. P \stackrel{u}{\simeq} \exists \vec{\alpha}^-. Q \Rightarrow UC} \quad (\exists^{\stackrel{u}{\simeq}}) \\[10pt] \frac{\hat{\alpha}^+\{\Delta\} \in \Theta \quad \Delta \vdash P}{\Gamma; \Theta \models \hat{\alpha}^+ \stackrel{u}{\simeq} P \Rightarrow (\hat{\alpha}^+ : \approx P)} \quad (\text{UVar}^{+\stackrel{u}{\simeq}}) \end{array}$$

## 2.8 Algorithmic Subtyping

$$\boxed{\Gamma; \Theta \models N \leq M \Rightarrow SC}$$

*Negative subtyping*

$$\begin{array}{c} \overline{\Gamma; \Theta \models \alpha^- \leq \alpha^- \Rightarrow \cdot} \quad (\text{VAR}^{-\leq}) \\[10pt] \frac{\Gamma; \Theta \models \mathbf{nf}(P) \stackrel{u}{\simeq} \mathbf{nf}(Q) \Rightarrow UC}{\Gamma; \Theta \models \uparrow P \leq \uparrow Q \Rightarrow UC} \quad (\uparrow^{\leq}) \\[10pt] \frac{\Gamma; \Theta \models P \geq Q \Rightarrow SC_1 \quad \Gamma; \Theta \models N \leq M \Rightarrow SC_2 \quad \Theta \vdash SC_1 \& SC_2 = SC}{\Gamma; \Theta \models P \rightarrow N \leq Q \rightarrow M \Rightarrow SC} \quad (\rightarrow^{\leq}) \\[10pt] \frac{\Gamma, \vec{\beta}^+; \Theta, \hat{\alpha}^+\{\Gamma, \vec{\beta}^+\} \models [\vec{\alpha}^+/\vec{\alpha}^+] N \leq M \Rightarrow SC}{\Gamma; \Theta \models \forall \vec{\alpha}^+. N \leq \forall \vec{\beta}^+. M \Rightarrow SC \setminus \vec{\alpha}^+} \quad (\forall^{\leq}) \end{array}$$

$$\boxed{\Gamma; \Theta \models P \geq Q \Rightarrow SC}$$

*Positive supertyping*

$$\begin{array}{c} \overline{\Gamma; \Theta \models \alpha^+ \geq \alpha^+ \Rightarrow \cdot} \quad (\text{VAR}^{+\geq}) \\[10pt] \frac{\Gamma; \Theta \models \mathbf{nf}(N) \stackrel{u}{\simeq} \mathbf{nf}(M) \Rightarrow UC}{\Gamma; \Theta \models \downarrow N \geq \downarrow M \Rightarrow UC} \quad (\downarrow^{\geq}) \\[10pt] \frac{\Gamma, \vec{\beta}^-; \Theta, \hat{\alpha}^-\{\Gamma, \vec{\beta}^-\} \models [\vec{\alpha}^-/\vec{\alpha}^-] P \geq Q \Rightarrow SC}{\Gamma; \Theta \models \exists \vec{\alpha}^-. P \geq \exists \vec{\beta}^-. Q \Rightarrow SC \setminus \vec{\alpha}^-} \quad (\exists^{\geq}) \\[10pt] \frac{\hat{\alpha}^+\{\Delta\} \in \Theta \quad \mathbf{upgrade} \Gamma \vdash P \mathbf{to} \Delta = Q}{\Gamma; \Theta \models \hat{\alpha}^+ \geq P \Rightarrow (\hat{\alpha}^+ : \geq Q)} \quad (\text{UVar}^{+\geq}) \end{array}$$

## 2.9 Constraints

Unification and subtyping algorithms are based on the constraint generation. The constraints are represented by set of constraint entries.

**Definition 17** (Unification Constraint).

- Unification entry (denoted as  $e$ ) is an expression of shape  $\hat{\alpha}^+ : \approx P$  or  $\hat{\alpha}^- : \approx N$ ;
- unification constraint (denoted as  $UC$ ) is a set of unification constraint entries.

**Definition 18** (Subtyping Constraint).

- Subtyping entry (denoted as  $e$ ) is an expression of shape  $\hat{\alpha}^+ : \geq P$ ,  $\hat{\alpha}^- : \approx N$ , or  $\hat{\alpha}^+ : \approx P$ ;
- subtyping constraint (denoted as  $SC$ ) is a set of subtyping constraint entries.

**Definition 19** (Well-formed Constraint Entry). We say that a constraint entry is well-formed in a context  $\Gamma$  if the type it restricts the unification variable to is well-formed in  $\Gamma$ .

- $\Gamma \vdash \hat{\alpha}^+ : \geq P$  iff  $\Gamma \vdash P$ ;
- $\Gamma \vdash \hat{\alpha}^+ : \approx P$  iff  $\Gamma \vdash P$ ;
- $\Gamma \vdash \hat{\alpha}^- : \approx N$  iff  $\Gamma \vdash N$ .

**Definition 20** (Matching Entries). We call two unification constraint entries or two subtyping constraint entries matching if they are restricting the same unification variable.

Two matching entries formed in the same context  $\Gamma$  can be merged in the following way:

**Definition 21** (Merge of Matching Constraint Entries).

$\Gamma \vdash e_1 \ \& \ e_2 = e_3$      Subtyping Constraint Entry Merge

$$\begin{array}{c}
\frac{\Gamma \models P_1 \vee P_2 = Q}{\Gamma \vdash (\hat{\alpha}^+ : \geq P_1) \ \& \ (\hat{\alpha}^+ : \geq P_2) = (\hat{\alpha}^+ : \geq Q)} \quad (\geq \ \&^+ \ \geq) \\
\\
\frac{\Gamma; \cdot \models P \geq Q = \cdot}{\Gamma \vdash (\hat{\alpha}^+ : \approx P) \ \& \ (\hat{\alpha}^+ : \geq Q) = (\hat{\alpha}^+ : \approx P)} \quad (\approx \ \&^+ \ \geq) \\
\\
\frac{\Gamma; \cdot \models Q \geq P = \cdot}{\Gamma \vdash (\hat{\alpha}^+ : \geq P) \ \& \ (\hat{\alpha}^+ : \approx Q) = (\hat{\alpha}^+ : \approx Q)} \quad (\geq \ \&^+ \ \approx) \\
\\
\frac{\mathbf{nf}(P) = \mathbf{nf}(P')}{\Gamma \vdash (\hat{\alpha}^+ : \approx P) \ \& \ (\hat{\alpha}^+ : \approx P') = (\hat{\alpha}^+ : \approx P)} \quad (\approx \ \&^+ \ \approx) \\
\\
\frac{\mathbf{nf}(N) = \mathbf{nf}(N')}{\Gamma \vdash (\hat{\alpha}^- : \approx N_1) \ \& \ (\hat{\alpha}^- : \approx N') = (\hat{\alpha}^- : \approx N)} \quad (\approx \ \&^- \ \approx)
\end{array}$$

**Definition 22** (Constraint Context). Constraint context (denoted as  $\Theta$ ) is a set of entries of shape  $\hat{\alpha}^+ \{\Gamma\}$  and  $\hat{\alpha}^- \{\Gamma\}$ , specifying that the unification variable ( $\hat{\alpha}^+$  or  $\hat{\alpha}^-$ ) must be instantiated in a type well-formed in context  $\Gamma$ . We assume that for each  $\hat{\alpha}^\pm$ , at most one entry of shape  $\hat{\alpha}^\pm \{\Gamma\}$  is in  $\Theta$ . If  $\hat{\alpha}^\pm \{\Gamma\} \in \Theta$ , we denote  $\Gamma$  as  $\Theta(\hat{\alpha}^\pm)$ .

**Definition 23** (Well-formed Constraint). We say that a constraint is well-formed in a constraint context  $\Theta$  if all its entries are well-formed in the corresponding elements of  $\Theta$ . More formally,  $\Theta \vdash UC$  iff for every  $e \in UC$ , such that  $e$  restricts  $\hat{\alpha}^\pm$ , there exists  $\hat{\alpha}^\pm \{\Gamma\} \in \Theta$  and  $\Gamma \vdash e$ .

**Definition 24** (Merge of Subtyping Constraints). Suppose that  $\Theta \vdash SC_1$  and  $\Theta \vdash SC_2$ . Then  $\Theta \vdash SC_1 \& SC_2 = SC$  defines a set such that  $e \in SC$  iff either

- $e \in SC_1$  and there is no matching  $e' \in SC_2$ ; or
- $e \in SC_2$  and there is no matching  $e' \in SC_1$ ; or
- $\Theta(\hat{\alpha}^\pm) \vdash e_1 \& e_2 = e$  for some  $e_1 \in SC_1$  and  $e_2 \in SC_2$  such that  $e_1$  matches with  $e_2$  restricting variable  $\hat{\alpha}^\pm$ .

## 2.10 Constraint Satisfaction

$\boxed{\Gamma \vdash P : e}$  *Positive type satisfies with the subtyping constraint entry*

$$\frac{\Gamma \vdash P \triangleright_1 Q}{\Gamma \vdash P : (\hat{\alpha}^+ : \triangleright Q)} \quad \text{SATSCESUP}$$

$$\frac{\Gamma \vdash P \simeq_1^{\leq} Q}{\Gamma \vdash P : (\hat{\alpha}^+ : \approx Q)} \quad \text{SATSCEPEQ}$$

$\boxed{\Gamma \vdash N : e}$  *Negative type satisfies with the subtyping constraint entry*

$$\frac{\Gamma \vdash N \simeq_1^{\leq} M}{\Gamma \vdash N : (\hat{\alpha}^- : \approx M)} \quad \text{SATSCENEQ}$$

## 2.11 Least Upper Bound

$\boxed{\Gamma \models P_1 \vee P_2 = Q}$  *Least Upper Bound (Least Common Supertype)*

$$\frac{\overline{\Gamma \models \alpha^+ \vee \alpha^+ = \alpha^+} \quad (\text{VAR}^\vee) \quad \frac{\Gamma, \cdot \models \mathbf{nf}(\downarrow N) \stackrel{a}{\simeq} \mathbf{nf}(\downarrow M) \Rightarrow (\Xi, \mathbf{P}, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \downarrow N \vee \downarrow M = \exists \alpha^-. [\alpha^- / \Xi] \mathbf{P}} \quad (\downarrow^\vee)}{\frac{\Gamma, \overrightarrow{\alpha}^-, \overrightarrow{\beta}^- \models P_1 \vee P_2 = Q}{\Gamma \models \exists \alpha^-. P_1 \vee \exists \beta^-. P_2 = Q} \quad (\exists^\vee)}$$

$\boxed{\text{upgrade } \Gamma \vdash P \text{ to } \Delta = Q}$

$$\frac{\Gamma = \Delta, \overrightarrow{\alpha}^\pm \quad \overrightarrow{\beta}^\pm \text{ is fresh} \quad \overrightarrow{\gamma}^\pm \text{ is fresh} \quad \Delta, \overrightarrow{\beta}^\pm, \overrightarrow{\gamma}^\pm \models [\overrightarrow{\beta}^\pm / \overrightarrow{\alpha}^\pm] P \vee [\overrightarrow{\gamma}^\pm / \overrightarrow{\alpha}^\pm] P = Q}{\text{upgrade } \Gamma \vdash P \text{ to } \Delta = Q} \quad (\text{UPG})$$

## 2.12 Antiunification

$\boxed{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)}$

$$\frac{\overline{\Gamma \models \alpha^+ \stackrel{a}{\simeq} \alpha^+ \Rightarrow (\cdot, \alpha^+, \cdot, \cdot)} \quad (\text{VAR}^{+\stackrel{a}{\simeq}}) \quad \frac{\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \downarrow N_1 \stackrel{a}{\simeq} \downarrow N_2 \Rightarrow (\Xi, \downarrow \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)} \quad (\downarrow^{\stackrel{a}{\simeq}})}{\frac{\overrightarrow{\alpha}^+ \cap \Gamma = \emptyset \quad \Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \exists \overrightarrow{\alpha}^+. P_1 \stackrel{a}{\simeq} \exists \overrightarrow{\alpha}^+. P_2 \Rightarrow (\Xi, \exists \overrightarrow{\alpha}^+. \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)} \quad (\exists^{\stackrel{a}{\simeq}})}$$

$\boxed{\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)}$

$$\frac{\overline{\Gamma \models \alpha^- \stackrel{a}{\simeq} \alpha^- \Rightarrow (\cdot, \alpha^-, \cdot, \cdot)} \quad (\text{VAR}^{-\stackrel{a}{\simeq}}) \quad \frac{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \uparrow P_1 \stackrel{a}{\simeq} \uparrow P_2 \Rightarrow (\Xi, \uparrow \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2)} \quad (\uparrow^{\stackrel{a}{\simeq}})}{\frac{\overrightarrow{\alpha}^+ \cap \Gamma = \emptyset \quad \Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)}{\Gamma \models \forall \overrightarrow{\alpha}^+. N_1 \stackrel{a}{\simeq} \forall \overrightarrow{\alpha}^+. N_2 \Rightarrow (\Xi, \forall \overrightarrow{\alpha}^+. \mathbf{M}, \hat{\tau}_1, \hat{\tau}_2)} \quad (\forall^{\stackrel{a}{\simeq}})} \quad \frac{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi_1, \mathbf{Q}, \hat{\tau}_1, \hat{\tau}_2) \quad \Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi_2, \mathbf{M}, \hat{\tau}'_1, \hat{\tau}'_2)}{\Gamma \models P_1 \rightarrow N_1 \stackrel{a}{\simeq} P_2 \rightarrow N_2 \Rightarrow (\Xi_1 \cup \Xi_2, \mathbf{Q} \rightarrow \mathbf{M}, \hat{\tau}_1 \cup \hat{\tau}'_1, \hat{\tau}_2 \cup \hat{\tau}'_2)} \quad (\rightarrow^{\stackrel{a}{\simeq}})} \quad \frac{\text{if any other rule is not applicable} \quad \Gamma \vdash N \quad \Gamma \vdash M}{\Gamma \models N \stackrel{a}{\simeq} M \Rightarrow (\hat{\alpha}^-_{\{N, M\}}, \hat{\alpha}^-_{\{N, M\}}, (\hat{\alpha}^-_{\{N, M\}} : \approx N), (\hat{\alpha}^-_{\{N, M\}} : \approx M))} \quad (\text{AU}^-)$$

## 2.13 Typing

$\boxed{\Gamma; \Phi \models v : P}$  *Positive type inference*

$$\frac{x : P \in \Phi}{\Gamma; \Phi \models x : \mathbf{nf}(P)} \text{ ATVAR}$$

$$\frac{\Gamma; \Phi \models c : N}{\Gamma; \Phi \models \{c\} : \downarrow N} \text{ ATTHUNK}$$

$$\frac{\Gamma \vdash Q \quad \Gamma; \Phi \models v : P \quad \Gamma; \cdot \models Q \triangleright P \Rightarrow \cdot}{\Gamma; \Phi \models (v : Q) : \mathbf{nf}(Q)} \text{ ATPANNOT}$$

$\boxed{\Gamma; \Phi \models c : N}$  *Negative type inference*

$$\frac{\Gamma \vdash M \quad \Gamma; \Phi \models c : N \quad \Gamma; \cdot \models N \triangleleft M \Rightarrow \cdot}{\Gamma; \Phi \models (c : M) : \mathbf{nf}(M)} \text{ ATNANNOT}$$

$$\frac{\Gamma \vdash P \quad \Gamma; \Phi, x : P \models c : N}{\Gamma; \Phi \models \lambda x : P. c : \mathbf{nf}(P \rightarrow N)} \text{ ATTLAM}$$

$$\frac{\Gamma, \alpha^+; \Phi \models c : N}{\Gamma; \Phi \models \Lambda \alpha^+. c : \mathbf{nf}(\forall \alpha^+. N)} \text{ ATTLAM}$$

$$\frac{\Gamma; \Phi \models v : P}{\Gamma; \Phi \models \mathbf{return} v : \uparrow P} \text{ ATRETURN}$$

$$\frac{\Gamma; \Phi \models v : P \quad \Gamma; \Phi, x : P \models c : N}{\Gamma; \Phi \models \mathbf{let} x = v; c : N} \text{ ATVARLET}$$

$$\frac{\begin{array}{l} \Gamma \vdash P \quad \Gamma; \Phi \models v : \downarrow M \\ \Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q \Rightarrow \Theta; SC_1 \quad \Gamma; \Theta \models \uparrow Q \triangleleft \uparrow P \Rightarrow SC_2 \\ \Theta \vdash SC_1 \& SC_2 = SC \quad \Gamma; \Phi, x : P \models c : N \end{array}}{\Gamma; \Phi \models \mathbf{let} x : P = v(\vec{v}); c : N} \text{ ATAPPLETANN}$$

$$\frac{\begin{array}{l} \Gamma; \Phi \models v : \downarrow M \quad \Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q \Rightarrow \Theta; SC \\ \mathbf{uv} Q \subseteq \mathbf{dom}(SC) \quad \mathbf{SC}|_{\mathbf{uv} Q} \text{ singular with } \hat{\sigma} \\ \Gamma; \Phi, x : [\hat{\sigma}] Q \models c : N \end{array}}{\Gamma; \Phi \models \mathbf{let} x = v(\vec{v}); c : N} \text{ ATAPPLET}$$

$$\frac{\begin{array}{l} \Gamma; \Phi \models v : \exists \alpha^-. P \quad \Gamma, \alpha^-; \Phi, x : P \models c : N \quad \Gamma \vdash N \end{array}}{\Gamma; \Phi \models \mathbf{let}^{\exists}(\alpha^-, x) = v; c : N} \text{ ATUNPACK}$$

$\boxed{\Gamma; \Phi; \Theta_1 \models N \bullet \vec{v} \Rightarrow M \Rightarrow \Theta_2; SC}$  *Application type inference*

$$\frac{}{\Gamma; \Phi; \Theta \models N \bullet \cdot \Rightarrow \mathbf{nf}(N) \Rightarrow \Theta; \cdot} \text{ ATEMPTYAPP}$$

$$\frac{\begin{array}{l} \Gamma; \Phi \models v : P \quad \Gamma; \Theta \models Q \triangleright P \Rightarrow SC_1 \quad \Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M \Rightarrow \Theta'; SC_2 \\ \Theta \vdash SC_1 \& SC_2 = SC \end{array}}{\Gamma; \Phi; \Theta \models Q \rightarrow N \bullet v, \vec{v} \Rightarrow M \Rightarrow \Theta'; SC} \text{ ATARROWAPP}$$

$$\frac{\begin{array}{l} \Gamma; \Phi; \Theta, \vec{\alpha}^+ \{ \Gamma \} \models [\vec{\alpha}^+ / \alpha^+] N \bullet \vec{v} \Rightarrow M \Rightarrow \Theta'; SC \\ \vec{v} \neq \cdot \quad \alpha^+ \neq \cdot \end{array}}{\Gamma; \Phi; \Theta \models \forall \alpha^+. N \bullet \vec{v} \Rightarrow M \Rightarrow \Theta'; SC} \text{ ATFORALLAPP}$$

## 3 Proofs

### 3.1 Type well-formedness

**Lemma 1** (Soundness of well-formedness).

- + if  $\Gamma \vdash P$  then  $\mathbf{fv}(P) \subseteq \Gamma$ ;
- if  $\Gamma \vdash N$  then  $\mathbf{fv}(N) \subseteq \Gamma$ .

*Proof.* The proof is done by a simple structural induction on  $\Gamma \vdash P$  and mutually,  $\Gamma \vdash N$ .

**Case 1.**  $\Gamma \vdash \alpha^\pm$  means by inversion that  $\alpha^\pm \in \Gamma$ , that is,  $\alpha^\pm = \mathbf{fv}(\alpha^\pm) \subseteq \Gamma$ .

**Case 2.**  $\Gamma \vdash Q \rightarrow M$  means by inversion that  $\Gamma \vdash Q$  and  $\Gamma \vdash M$ . Then by the induction hypothesis,  $\mathbf{fv}(Q) \subseteq \Gamma$  and  $\mathbf{fv}(M) \subseteq \Gamma$ , and hence,  $\mathbf{fv}(Q \rightarrow M) = \mathbf{fv}(Q) \cup \mathbf{fv}(M) \subseteq \Gamma$ .

**Case 3.** the cases when  $P = \downarrow N'$  or  $N = \uparrow P'$  are proven analogously.

**Case 4.**  $\Gamma \vdash \forall \alpha^+ . M$  means by inversion that  $\Gamma, \alpha^+ \vdash M$ . Then by the induction hypothesis,  $\mathbf{fv}(M) \subseteq \Gamma, \alpha^+$ , and hence,  $\mathbf{fv}(\forall \alpha^+ . M) = \mathbf{fv}(M) \setminus \alpha^+ \subseteq \Gamma, \alpha^+ \setminus \alpha^+ = \Gamma$ .

**Case 5.** The case  $P = \exists \alpha^- . Q$  is proven analogously.

□

**Lemma 2** (Completeness of well-formedness). *In the well-formedness judgment, only used variables matter:*

- + if  $\Gamma_1 \cap \mathbf{fv} P = \Gamma_2 \cap \mathbf{fv} P$  then  $\Gamma_1 \vdash P \iff \Gamma_2 \vdash P$ ,
- if  $\Gamma_1 \cap \mathbf{fv} N = \Gamma_2 \cap \mathbf{fv} N$  then  $\Gamma_1 \vdash N \iff \Gamma_2 \vdash N$ .

*Proof.* By simple mutual induction on  $P$  and  $N$ .

□

**Corollary 1** (Context Strengthening).

- + If  $\Gamma \vdash P$  then  $\mathbf{fv}(P) \vdash P$ ;
- If  $\Gamma \vdash N$  then  $\mathbf{fv}(N) \vdash N$ .

*Proof.* It follows from section 3.3 and lemma 1.

- + By lemma 1,  $\mathbf{fv}(P) \subseteq \Gamma$ , and hence,  $\Gamma \cap \mathbf{fv} P = \mathbf{fv} P$ , which makes section 3.3 applicable fore contexts  $\Gamma$  and  $\mathbf{fv}(P)$ .
- The negative case is proven analogously.

**Corollary 2** (Well-formedness Context Weakening). *Suppose that  $\Gamma_1 \subseteq \Gamma_2$ , then*

- + if  $\Gamma_1 \vdash P$  then  $\Gamma_2 \vdash P$ ,
- if  $\Gamma_1 \vdash N$  then  $\Gamma_2 \vdash N$ .

*Proof.* By lemma 1,  $\Gamma_1 \vdash P$  implies  $\mathbf{fv}(P) \subseteq \Gamma_1$ , which means that  $\mathbf{fv}(P) \subseteq \Gamma_2$ , and thus,  $\mathbf{fv}(P) = \mathbf{fv}(P) \cap \Gamma_1 = \mathbf{fv}(P) \cap \Gamma_2$ . Then by section 3.3,  $\Gamma_2 \vdash P$ . The negative case is symmetric.

□

**Corollary 3.** *Suppose that all the types below are well-formed in  $\Gamma$  and  $\Gamma' \subseteq \Gamma$ . Then*

- +  $\Gamma \vdash P \simeq_1^< Q$  implies  $\Gamma' \vdash P \iff \Gamma' \vdash Q$
- $\Gamma \vdash N \simeq_1^< M$  implies  $\Gamma' \vdash N \iff \Gamma' \vdash M$

*Proof.* From section 3.3 and corollary 7.

□

**Lemma 3** (Well-formedness agrees with substitution). *Suppose that  $\Gamma_2 \vdash \sigma : \Gamma_1$ . Then*

- +  $\Gamma, \Gamma_1 \vdash P$  implies  $\Gamma, \Gamma_2 \vdash [\sigma]P$ , and
- $\Gamma, \Gamma_1 \vdash N$  implies  $\Gamma, \Gamma_2 \vdash [\sigma]N$ .

*Proof.* We prove it by induction on  $\Gamma, \Gamma_1 \vdash P$  and mutually, on  $\Gamma, \Gamma_1 \vdash N$ . Let us consider the last rule used in the derivation.

**Case 1.** Rule WFTPVar, i.e.  $P$  is  $\alpha^+$ .

By inversion,  $\alpha^+ \in \Gamma, \Gamma_1$ , then

- if  $\alpha^+ \in \Gamma_1$  then  $\Gamma_2 \vdash [\sigma]\alpha^+$ , and by weakening (corollary 2),  $\Gamma, \Gamma_2 \vdash [\sigma]\alpha^+$ ;
- if  $\alpha^+ \in \Gamma \setminus \Gamma_1$  then  $[\sigma]\alpha^+ = \alpha^+$ , and by Rule WFTPVar,  $\Gamma, \Gamma_2 \vdash \alpha^+$ .

**Case 2.** Rule WFTShiftU, i.e.  $P$  is  $\downarrow N$ .

Then  $\Gamma, \Gamma_1 \vdash \downarrow N$  means  $\Gamma, \Gamma_1 \vdash N$  by inversion, and by the induction hypothesis,  $\Gamma, \Gamma_2 \vdash [\sigma]N$ . Then by Rule WFTShiftU,  $\Gamma, \Gamma_2 \vdash \downarrow [\sigma]N$ , which by definition of substitution is rewritten as  $\Gamma, \Gamma_2 \vdash [\sigma]\downarrow N$ .

**Case 3.** Rule  $\text{WFTEexists}$ , i.e.  $P$  is  $\exists \alpha^{\rightarrow}.Q$ .

Then  $\Gamma, \Gamma_1 \vdash \exists \alpha^{\rightarrow}.Q$  means  $\Gamma, \alpha^{\rightarrow}, \Gamma_1 \vdash Q$  by inversion, and by the induction hypothesis,  $\Gamma, \alpha^{\rightarrow}, \Gamma_2 \vdash [\sigma]Q$ . Then by Rule  $\text{WFTEexists}$ ,  $\Gamma, \alpha^{\rightarrow}, \Gamma_2 \vdash \exists \alpha^{\rightarrow}. [\sigma]Q$ , which by definition of substitution is rewritten as  $\Gamma, \Gamma_2 \vdash [\sigma] \exists \alpha^{\rightarrow}.Q$ .

**Case 4.** The negative cases are proved symmetrically. □

**Lemma 4** (Algorithmic renaming agrees with well-formedness).  $\Gamma, \alpha^{\rightarrow} \vdash P$  implies  $\Gamma; \alpha^{\rightarrow} \vdash [\alpha^{\rightarrow}/\alpha^{\rightarrow}]P$ ;

–  $\Gamma, \alpha^{\rightarrow} \vdash N$  implies  $\Gamma; \alpha^{\rightarrow} \vdash [\alpha^{\rightarrow}/\alpha^{\rightarrow}]N$ .

### 3.2 Substitution

**Lemma 5** (Substitution strengthening). *Restricting the substitution to the free variables of the substitution subject does not affect the result. Suppose that  $\sigma$  is a substitution,  $P$  and  $N$  are types. Then*

+  $[\sigma]P = [\sigma|_{\mathbf{fv} P}]P$ ,

–  $[\sigma]N = [\sigma|_{\mathbf{fv} N}]N$

*Proof.* First, we strengthen the statement by saying that one can restrict the substitution to an arbitrary superset of the free variables of the substitution subject:

+  $[\sigma]P = [\sigma|_{\text{vars}}]P$ , for any  $\text{vars} \supseteq \mathbf{fv} P$ , and

–  $[\sigma]N = [\sigma|_{\text{vars}}]N$ , for any  $\text{vars} \supseteq \mathbf{fv} N$ .

Then the proof is a straightforward induction on  $P$  and mutually, on  $N$ . For the base cases:

**Case 1.**  $N = \alpha^-$

Then  $[\sigma]\alpha^- = \sigma|_{\text{vars}}(\alpha^-)$  by definition, since  $\alpha^- \in \mathbf{fv} \alpha^- \subseteq \text{vars}$ .

**Case 2.**  $N = P \rightarrow M$

Then  $[\sigma](P \rightarrow M) = [\sigma]P \rightarrow [\sigma]M$  by definition. Since  $\mathbf{fv} P \subseteq \mathbf{fv} (P \rightarrow M) \subseteq \text{vars}$ , the induction hypothesis is applicable to  $[\sigma]P$ :  $[\sigma]P = [\sigma|_{\text{vars}}]P$ . Analogously, and  $[\sigma]M = [\sigma|_{\text{vars}}]M$ . Then  $[\sigma](P \rightarrow M) = [\sigma|_{\text{vars}}]P \rightarrow [\sigma|_{\text{vars}}]M = [\sigma|_{\text{vars}}](P \rightarrow M)$ .

**Case 3.**  $N = \uparrow P$  is proved analogously to the previous case.

**Case 4.**  $N = \forall \alpha^{\rightarrow}.M$  (where  $\alpha^{\rightarrow}$  is not empty)

Then  $[\sigma]\forall \alpha^{\rightarrow}.M = \forall \alpha^{\rightarrow}. [\sigma]M$  by definition. Let us assume  $\alpha^{\rightarrow}$  are fresh variables, it means that  $\sigma(\alpha^{\pm}) = \alpha^{\pm}$  for any  $\alpha^{\pm} \in \alpha^{\rightarrow}$ , and thus,  $[\sigma|_{\text{vars}}] = [\sigma|_{(\text{vars} \cup \alpha^{\rightarrow})}]$  immediately from the definition.

Since  $\text{vars} \subseteq \mathbf{fv} (\forall \alpha^{\rightarrow}.M) = \mathbf{fv} M \setminus \alpha^{\rightarrow}$ ,  $\text{vars} \cup \alpha^{\rightarrow} \subseteq \mathbf{fv} (M)$ . Then by the induction hypothesis,  $[\sigma]M = [\sigma|_{(\text{vars} \cup \alpha^{\rightarrow})}]M$ . Finally,  $[\sigma]\forall \alpha^{\rightarrow}.M = \forall \alpha^{\rightarrow}. [\sigma|_{(\text{vars} \cup \alpha^{\rightarrow})}]M = \forall \alpha^{\rightarrow}. [\sigma|_{\text{vars}}]M = [\sigma|_{\text{vars}}]\forall \alpha^{\rightarrow}.M$ .

**Case 5.** The positive cases are proved symmetrically. □

**Lemma 6** (Signature of a restricted substitution). *If  $\Gamma_2 \vdash \sigma : \Gamma_1$  then  $\Gamma_2 \vdash \sigma|_{\text{vars}} : \Gamma_1 \cap \text{vars}$ .*

*Proof.* Let us take an arbitrary  $\alpha^{\pm} \in \Gamma_1 \cap \text{vars}$ . Since  $\alpha^{\pm} \in \Gamma_1$ ,  $\Gamma_2 \vdash [\sigma]\alpha^{\pm}$  by the signature of  $\sigma$ .

Let us take an arbitrary  $\alpha^{\pm} \notin \Gamma_1 \cap \text{vars}$ . If  $\alpha^{\pm} \notin \text{vars}$  then  $[\sigma|_{\text{vars}}]\alpha^{\pm} = \alpha^{\pm}$  by definition of restriction. If  $\alpha^{\pm} \in \text{vars} \setminus \Gamma_1$  then  $[\sigma|_{\text{vars}}]\alpha^{\pm} = [\sigma]\alpha^{\pm}$  by definition and  $[\sigma]\alpha^{\pm} = \alpha^{\pm}$  by the signature of  $\sigma$ . □

**Lemma 7.** *Suppose that  $\sigma$  is a substitution with signature  $\Gamma_2 \vdash \sigma : \Gamma_1$ . Then if  $\text{vars}$  is disjoint from  $\Gamma_1$ , then  $\sigma|_{\text{vars}} = \text{id}$ .*

*Proof.* Let us take an arbitrary  $\alpha^{\pm}$ . If  $\alpha^{\pm} \notin \text{vars}$  then  $[\sigma|_{\text{vars}}]\alpha^{\pm} = \alpha^{\pm}$  by definition.

If  $\alpha^{\pm} \in \text{vars}$  then  $\alpha^{\pm} \notin \Gamma_1$  by assumption. Then  $[\sigma|_{\text{vars}}]\alpha^{\pm} = [\sigma]\alpha^{\pm}$  by definition of restricted substitution, and since  $\Gamma_2 \vdash \sigma : \Gamma_1$ , we have  $[\sigma]\alpha^{\pm} = \alpha^{\pm}$ . □

**Corollary 4** (Application of a disjoint substitution). *Suppose that  $\sigma$  is a substitution with signature  $\Gamma_2 \vdash \sigma : \Gamma_1$ . Then*

+ if  $\Gamma_1 \cap \mathbf{fv}(Q) = \emptyset$  then  $[\sigma]Q = Q$ ;

– if  $\Gamma_1 \cap \mathbf{fv}(N) = \emptyset$  then  $[\sigma]N = N$ .

**Lemma 8** (Substitution range weakening). *Suppose that  $\Gamma_2 \subseteq \Gamma'_2$  are contexts and  $\sigma$  is a substitution. Then  $\Gamma_2 \vdash \sigma : \Gamma_1$  implies  $\Gamma'_2 \vdash \sigma : \Gamma_1$ .*

*Proof.* For any  $\alpha^\pm \in \Gamma_1$ ,  $\Gamma_2 \vdash \sigma : \Gamma_1$  gives us  $\Gamma_2 \vdash [\sigma]\alpha^\pm$ , which can be weakened to  $\Gamma'_2 \vdash [\sigma]\alpha^\pm$  by corollary 2. This way,  $\Gamma'_2 \vdash \sigma : \Gamma_1$ .  $\square$

**Lemma 9.** *Suppose that  $\Gamma' \subseteq \Gamma$ ,  $\sigma_1$  and  $\sigma_2$  are substitutions of signature  $\Gamma \vdash \sigma_i : \Gamma'$ . Then*

- + *for a type  $\Gamma \vdash P$ , if  $\Gamma \vdash [\sigma_1]P \simeq_1^\leq [\sigma_2]P$  then  $\Gamma \vdash \sigma_1 \simeq_1^\leq \sigma_2 : \mathbf{fv} P \cap \Gamma'$ ;*
- *for a type  $\Gamma \vdash N$ , if  $\Gamma \vdash [\sigma_1]N \simeq_1^\leq [\sigma_2]N$  then  $\Gamma \vdash \sigma_1 \simeq_1^\leq \sigma_2 : \mathbf{fv} N \cap \Gamma'$ .*

*Proof.* Let us make an additional assumption that  $\sigma_1$ ,  $\sigma_2$ , and the mentioned types are normalized. If they are not, we normalize them first.

Notice that the normalization preserves the set of free variables (lemma 30), well-formedness (corollary 17), and equivalence (lemma 50), and distributes over substitution (lemma 34). This way, the assumed and desired properties are equivalent to their normalized versions.

We prove it by induction on the structure of  $P$  and mutually,  $N$ . Let us consider the shape of this type.

**Case 1.**  $P = \alpha^+ \in \Gamma'$ . Then  $\Gamma \vdash \sigma_1 \simeq_1^\leq \sigma_2 : \mathbf{fv} P \cap \Gamma'$  means  $\Gamma \vdash \sigma_1 \simeq_1^\leq \sigma_2 : \alpha^+$ , i.e.  $\Gamma \vdash [\sigma_1]\alpha^+ \simeq_1^\leq [\sigma_2]\alpha^+$ , which holds by assumption.

**Case 2.**  $P = \alpha^+ \in \Gamma \setminus \Gamma'$ . Then  $\mathbf{fv} P \cap \Gamma' = \emptyset$ , so  $\Gamma \vdash \sigma_1 \simeq_1^\leq \sigma_2 : \mathbf{fv} P \cap \Gamma'$  holds vacuously.

**Case 3.**  $P = \downarrow N$ . Then the induction hypothesis is applicable to type  $N$ :

1.  $N$  is normalized,
2.  $\Gamma \vdash N$  by inversion of  $\Gamma \vdash \downarrow N$ ,
3.  $\Gamma \vdash [\sigma_1]N \simeq_1^\leq [\sigma_2]N$  holds by inversion of  $\Gamma \vdash [\sigma_1]\downarrow N \simeq_1^\leq [\sigma_2]\downarrow N$ , i.e.  $\Gamma \vdash \downarrow[\sigma_1]N \simeq_1^\leq \downarrow[\sigma_2]N$ .

This way, we obtain  $\Gamma \vdash \sigma_1 \simeq_1^\leq \sigma_2 : \mathbf{fv} N \cap \Gamma'$ , which implies the required equivalence since  $\mathbf{fv} P \cap \Gamma' = \mathbf{fv} \downarrow N \cap \Gamma' = \mathbf{fv} N \cap \Gamma'$ .

**Case 4.**  $P = \exists \alpha^{\rightarrow}.Q$  Then the induction hypothesis is applicable to type  $Q$  well-formed in context  $\Gamma, \alpha^{\rightarrow}$ :

1.  $\Gamma' \subseteq \Gamma, \alpha^{\rightarrow}$  since  $\Gamma' \subseteq \Gamma$ ,
2.  $\Gamma, \alpha^{\rightarrow} \vdash \sigma_i : \Gamma'$  by weakening,
3.  $Q$  is normalized,
4.  $\Gamma, \alpha^{\rightarrow} \vdash Q$  by inversion of  $\Gamma \vdash \exists \alpha^{\rightarrow}.Q$ ,
5. Notice that  $[\sigma_i]\exists \alpha^{\rightarrow}.Q$  is normalized, and thus,  $[\sigma_1]\exists \alpha^{\rightarrow}.Q \simeq_1^D [\sigma_2]\exists \alpha^{\rightarrow}.Q$  implies  $[\sigma_1]\exists \alpha^{\rightarrow}.Q = [\sigma_2]\exists \alpha^{\rightarrow}.Q$  (by lemma 50). This equality means  $[\sigma_1]Q = [\sigma_2]Q$ , which implies  $\Gamma \vdash [\sigma_1]Q \simeq_1^\leq [\sigma_2]Q$ .

**Case 5.**  $N = P \rightarrow M$

$\square$

**Lemma 10** (Substitutions equal on the metavariables). *Suppose that  $\hat{\sigma}_1$  and  $\hat{\sigma}_2$  are normalized substitutions of signature  $\Theta \vdash \hat{\sigma}_i$ . Then*

- + *for a normalized type  $\Gamma; \mathbf{dom}(\Theta) \vdash P$ , if  $[\hat{\sigma}_1]P = [\hat{\sigma}_2]P$  then  $\hat{\sigma}_1|_{(\mathbf{uv} P)} = \hat{\sigma}_2|_{(\mathbf{uv} P)}$ ;*
- *for a normalized type  $\Gamma; \mathbf{dom}(\Theta) \vdash N$ , if  $[\hat{\sigma}_1]N = [\hat{\sigma}_2]N$  then  $\hat{\sigma}_1|_{(\mathbf{uv} N)} = \hat{\sigma}_2|_{(\mathbf{uv} N)}$ .*

*Proof.* The proof is a simple structural induction on  $\Gamma; \mathbf{dom}(\Theta) \vdash P$  and mutually, on  $\Gamma; \mathbf{dom}(\Theta) \vdash N$ . Let us consider the shape of  $N$  (the cases of  $P$  are symmetric).

**Case 1.**  $\Gamma; \mathbf{dom}(\Theta) \vdash \hat{\alpha}^-$ . Then  $[\hat{\sigma}_1]\hat{\alpha}^- = [\hat{\sigma}_2]\hat{\alpha}^-$  implies  $\hat{\sigma}_1|_{(\mathbf{uv} \hat{\alpha}^-)} = \hat{\sigma}_2|_{(\mathbf{uv} \hat{\alpha}^-)}$  immediately.

**Case 2.**  $\Gamma; \mathbf{dom}(\Theta) \vdash \alpha^-$ . Then  $\mathbf{uv} \hat{\alpha}^- = \emptyset$ , and  $\hat{\sigma}_1|_{(\mathbf{uv} \hat{\alpha}^-)} = \hat{\sigma}_2|_{(\mathbf{uv} \hat{\alpha}^-)}$  holds vacuously.

**Case 3.**  $\Gamma; \mathbf{dom}(\Theta) \vdash \forall \alpha^{\rightarrow}.N$ . Then we are proving that  $[\hat{\sigma}_1]\forall \alpha^{\rightarrow}.N = [\hat{\sigma}_2]\forall \alpha^{\rightarrow}.N$  implies  $\hat{\sigma}_1|_{(\mathbf{uv} \forall \alpha^{\rightarrow}.N)} = \hat{\sigma}_2|_{(\mathbf{uv} \forall \alpha^{\rightarrow}.N)}$ .

By definition of substitution and Rule  $(\forall \alpha^{\rightarrow})^D$ ,  $[\hat{\sigma}_1]N = [\hat{\sigma}_2]N$  implies  $\hat{\sigma}_1|_{\mathbf{uv} N} = \hat{\sigma}_2|_{\mathbf{uv} N}$ . Since  $\forall \alpha^{\rightarrow}.N$  is normalized, so is  $\Gamma, \alpha^{\rightarrow}; \mathbf{dom}(\Theta) \vdash N$ , hence, the induction hypothesis is applicable and implies  $\hat{\sigma}_1|_{\mathbf{uv} N} = \hat{\sigma}_2|_{\mathbf{uv} N}$ , as required.



**Case 4.**  $\Gamma; \text{dom}(\Theta) \vdash P \rightarrow N$ . Then we are proving that  $[\hat{\sigma}_1](P \rightarrow N) = [\hat{\sigma}_2](P \rightarrow N)$  implies  $\hat{\sigma}_1|_{(\mathbf{uv} P \rightarrow N)} = \hat{\sigma}_2|_{(\mathbf{uv} P \rightarrow N)}$ . By definition of substitution and congruence of equality,  $[\hat{\sigma}_1](P \rightarrow N) = [\hat{\sigma}_2](P \rightarrow N)$  means  $[\hat{\sigma}_1]P = [\hat{\sigma}_2]P$  and  $[\hat{\sigma}_1]N = [\hat{\sigma}_2]N$ . Notice that  $P$  and  $N$  are normalized since  $P \rightarrow N$  is normalized, and well-formed in the same contexts. This way, by the induction hypothesis,  $\hat{\sigma}_1|_{(\mathbf{uv} P)} = \hat{\sigma}_2|_{(\mathbf{uv} P)}$  and  $\hat{\sigma}_1|_{(\mathbf{uv} N)} = \hat{\sigma}_2|_{(\mathbf{uv} N)}$ , which since  $\mathbf{uv}(P \rightarrow N) = \mathbf{uv} P \cup \mathbf{uv} N$  implies  $\hat{\sigma}_1|_{(\mathbf{uv} P \rightarrow N)} = \hat{\sigma}_2|_{(\mathbf{uv} P \rightarrow N)}$ .

**Case 5.**  $\Gamma; \text{dom}(\Theta) \vdash \uparrow P$ . The proof is similar to the previous case: we apply congruence of substitution, equality, and normalization, then the induction hypothesis, and then the fact that  $\mathbf{uv}(\uparrow P) = \mathbf{uv} P$ . □

**Corollary 5** (Substitutions equivalent on the metavariables). *Suppose that  $\hat{\sigma}_1$  and  $\hat{\sigma}_2$  are substitutions of signature  $\Theta \vdash \hat{\sigma}_i$ . Then*

+ *for a type  $\Gamma; \text{dom}(\Theta) \vdash P$ , if  $\Theta \vdash [\hat{\sigma}_1]P \simeq_1^D [\hat{\sigma}_2]P$  then  $\Theta|_{\mathbf{uv} P} \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : \mathbf{varset}$ ;*

– *for a type  $\Gamma; \text{dom}(\Theta) \vdash N$ , if  $\Theta \vdash [\hat{\sigma}_1]N \simeq_1^D [\hat{\sigma}_2]N$  then  $\Theta|_{\mathbf{uv} N} \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : \mathbf{varset}$ .*

*Proof.* First, let us normalize the types and the substitutions, and show that the given equivalences and well-formedness properties are preserved.  $\Gamma; \text{dom}(\Theta) \vdash P$  implies  $\Gamma; \text{dom}(\Theta) \vdash \mathbf{nf}(P)$  by corollary 18.  $\Theta \vdash [\hat{\sigma}_1]P \simeq_1^D [\hat{\sigma}_2]P$  implies  $\mathbf{nf}([\hat{\sigma}_1]P) = \mathbf{nf}([\hat{\sigma}_2]P)$  by lemma 50. Then  $\mathbf{nf}([\hat{\sigma}_1]P) = \mathbf{nf}([\hat{\sigma}_2]P)$  implies  $[\mathbf{nf}(\hat{\sigma}_1)]\mathbf{nf}(P) = [\mathbf{nf}(\hat{\sigma}_2)]\mathbf{nf}(P)$  by lemma 34. Notice that by corollary 20  $\Theta \vdash \hat{\sigma}_i$  implies  $\Theta \vdash \mathbf{nf}(\hat{\sigma}_i)$ .

This way, by lemma 10,  $\Theta \vdash [\hat{\sigma}_1]P \simeq_1^D [\hat{\sigma}_2]P$  implies  $\mathbf{nf}(\hat{\sigma}_1)|_{(\mathbf{uv} \mathbf{nf}(P))} = \mathbf{nf}(\hat{\sigma}_2)|_{(\mathbf{uv} \mathbf{nf}(P))}$ . Then by lemma 31,  $\mathbf{nf}(\hat{\sigma}_1)|_{(\mathbf{uv} P)} = \mathbf{nf}(\hat{\sigma}_2)|_{(\mathbf{uv} P)}$ , and by *corollary : subst – subt – equiv – algorithmization*,  $\Theta|_{\mathbf{uv} P} \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : \mathbf{varset}$ .

Symmetrically,  $\Theta \vdash [\hat{\sigma}_1]N \simeq_1^D [\hat{\sigma}_2]N$  implies  $\Theta|_{\mathbf{uv} N} \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : \mathbf{varset}$ . □

**Lemma 11** (Substitution composition well-formedness). *If  $\Gamma'_1 \vdash \sigma_1 : \Gamma_1$  and  $\Gamma'_2 \vdash \sigma_2 : \Gamma_2$ , then  $\Gamma'_1, \Gamma'_2 \vdash \sigma_2 \circ \sigma_1 : \Gamma_1, \Gamma_2$ .*

**Lemma 12** (Substitution monadic composition well-formedness). *If  $\Gamma'_1 \vdash \sigma_1 : \Gamma_1$  and  $\Gamma'_2 \vdash \sigma_2 : \Gamma_2$ , then  $\Gamma'_2 \vdash \sigma_2 \ll \sigma_1 : \Gamma_1$ .*

**Lemma 13** (Substitution composition). *If  $\Gamma'_1 \vdash \sigma_1 : \Gamma_1$ ,  $\Gamma'_2 \vdash \sigma_2 : \Gamma_2$ ,  $\Gamma_1 \cap \Gamma'_2 = \emptyset$  and  $\Gamma_1 \cap \Gamma_2 = \emptyset$  then  $\sigma_2 \circ \sigma_1 = (\sigma_2 \ll \sigma_1) \circ \sigma_2$ .*

**Corollary 6** (Substitution composition commutativity). *If  $\Gamma'_1 \vdash \sigma_1 : \Gamma_1$ ,  $\Gamma'_2 \vdash \sigma_2 : \Gamma_2$ , and  $\Gamma_1 \cap \Gamma_2 = \emptyset$ ,  $\Gamma_1 \cap \Gamma'_2 = \emptyset$ , and  $\Gamma'_1 \cap \Gamma_2 = \emptyset$  then  $\sigma_2 \circ \sigma_1 = \sigma_1 \circ \sigma_2$ .*

*Proof.* by lemma 13,  $\sigma_2 \circ \sigma_1 = (\sigma_2 \ll \sigma_1) \circ \sigma_2$ . Since the codomain of  $\sigma_1$  is  $\Gamma'_1$ , and it is disjoint with the domain of  $\sigma_2$ ,  $\sigma_2 \ll \sigma_1 = \sigma_1$ . □

**Lemma 14** (Substitution domain weakening). *If  $\Gamma_2 \vdash \sigma : \Gamma_1$  then  $\Gamma_2, \Gamma' \vdash \sigma : \Gamma_1, \Gamma'$*

*Proof.* If the variable  $\alpha^\pm$  is in  $\Gamma_1$  then  $\Gamma_2 \vdash [\sigma]\alpha^\pm$  by assumption, and then  $\Gamma_2, \Gamma' \vdash [\sigma]\alpha^\pm$  by weakening. If the variable  $\alpha^\pm$  is in  $\Gamma' \setminus \Gamma_1$  then  $[\sigma]\alpha^\pm = \alpha^\pm \in \Gamma' \setminus \Gamma_2, \Gamma'$ , and thus,  $\Gamma_2, \Gamma' \vdash \alpha^\pm$ . □

**Lemma 15** (Free variables after substitution). *Suppose that  $\Gamma_2 \vdash \sigma : \Gamma_1$ , then*

+ *for a type  $P$ , the free variables of  $[\sigma]P$  are bounded in the following way:  $\mathbf{fv}(P) \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]P) \subseteq (\mathbf{fv}(P) \setminus \Gamma_1) \cup \Gamma_2$ ;*

– *for a type  $N$ , the free variables of  $[\sigma]P$  are bounded in the following way:  $\mathbf{fv}(N) \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]N) \subseteq (\mathbf{fv}(N) \setminus \Gamma_1) \cup \Gamma_2$ .*

*Proof.* We prove it by structural induction on  $P$  and mutually, on  $N$ .

**Case 1.**  $P = \alpha^+$

If  $\alpha^+ \in \Gamma_1$  then  $\Gamma_2 \vdash [\sigma]\alpha^+$ , and by lemma 1,  $\mathbf{fv}([\sigma]\alpha^+) \subseteq \Gamma_2$ .  $\mathbf{fv}(\alpha^+) \setminus \Gamma_1 = \emptyset$ , so  $\mathbf{fv}([\sigma]P) \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]\alpha^+)$  vacuously.

If  $\alpha^+ \notin \Gamma_1$  then  $[\sigma]\alpha^+ = \alpha^+$ , and  $\mathbf{fv}([\sigma]\alpha^+) = \alpha^+ = \alpha^+ \setminus \Gamma_1$ .

**Case 2.**  $P = \exists \alpha^{\rightarrow}.Q$

Then we need to show that  $\mathbf{fv}([\sigma]P) = \mathbf{fv}([\sigma]Q) \setminus \alpha^{\rightarrow}$  is a subset of  $(\mathbf{fv}(P) \setminus \Gamma_1) \cup \Gamma_2$  and a superset of  $\mathbf{fv}(P) \setminus \Gamma_1$ . Notice that  $\mathbf{fv}(P) = \mathbf{fv}(Q) \setminus \alpha^{\rightarrow}$  by definition. This way, we need to show that  $\mathbf{fv}(Q) \setminus \alpha^{\rightarrow} \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]Q) \setminus \alpha^{\rightarrow} \subseteq (\mathbf{fv}(Q) \setminus \alpha^{\rightarrow} \setminus \Gamma_1) \cup \Gamma_2$ ,

By the induction hypothesis,  $\mathbf{fv}([\sigma]Q) \subseteq (\mathbf{fv}(Q) \setminus \Gamma_1) \cup \Gamma_2$ . So for the second inclusion, it suffices to show that  $((\mathbf{fv}(Q) \setminus \Gamma_1) \cup \Gamma_2) \setminus \alpha^{\rightarrow} \subseteq (\mathbf{fv}(Q) \setminus \alpha^{\rightarrow} \setminus \Gamma_1) \cup \Gamma_2$ , which holds by set theoretical reasoning.

Also by the induction hypothesis,  $\mathbf{fv}(Q) \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]Q)$ , and thus, by subtracting  $\alpha^{\rightarrow}$  from both sides,  $\mathbf{fv}(Q) \setminus \alpha^{\rightarrow} \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]Q) \setminus \alpha^{\rightarrow}$ .

**Case 3.** The case  $N = \forall \alpha^{\rightarrow}.M$  is proved analogously.

**Case 4.**  $N = P \rightarrow M$

Then  $\mathbf{fv}([\sigma]N) = \mathbf{fv}([\sigma]P) \cup \mathbf{fv}([\sigma]M)$ . By the induction hypothesis,

1.  $\mathbf{fv}(P) \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]P) \subseteq (\mathbf{fv}(P) \setminus \Gamma_1) \cup \Gamma_2$  and
2.  $\mathbf{fv}(M) \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]M) \subseteq (\mathbf{fv}(M) \setminus \Gamma_1) \cup \Gamma_2$ .

We unite these inclusions vertically and obtain  $\mathbf{fv}(P) \setminus \Gamma_1 \cup \mathbf{fv}(M) \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]N) \subseteq ((\mathbf{fv}(P) \setminus \Gamma_1) \cup \Gamma_2) \cup ((\mathbf{fv}(M) \setminus \Gamma_1) \cup \Gamma_2)$ , which is equivalent to  $(\mathbf{fv}(P) \cup \mathbf{fv}(M)) \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]N) \subseteq (\mathbf{fv}(P) \cup \mathbf{fv}(M)) \setminus \Gamma_1 \cup \Gamma_2$ . Since  $\mathbf{fv}(P) \cup \mathbf{fv}(M) = \mathbf{fv}(N)$ ,  $\mathbf{fv}(N) \setminus \Gamma_1 \subseteq \mathbf{fv}([\sigma]N) \subseteq (\mathbf{fv}(N) \setminus \Gamma_1) \cup \Gamma_2$ .

**Case 5.** The cases when  $P = \downarrow M$  and  $N = \uparrow Q$  are proved analogously

□

**Lemma 16** (Free variables of a variable image). *Suppose that  $\sigma$  is an arbitrary substitution, Then*

- + if  $\alpha^\pm \in \mathbf{fv}(P)$  then  $\mathbf{fv}([\sigma]\alpha^\pm) \subseteq \mathbf{fv}([\sigma]P)$ ,
- if  $\alpha^\pm \in \mathbf{fv}(N)$  then  $\mathbf{fv}([\sigma]\alpha^\pm) \subseteq \mathbf{fv}([\sigma]N)$ .

*Proof.* By straightforward mutual induction on  $P$  and  $N$ .

□

### 3.3 Declarative Subtyping

**Lemma 17** (Free Variable Propagation). *In the judgments of negative subtyping or positive supertyping, free variables propagate left-to-right. For a context  $\Gamma$ ,*

- – if  $\Gamma \vdash N \leqslant_1 M$  then  $\mathbf{fv}(N) \subseteq \mathbf{fv}(M)$
- + if  $\Gamma \vdash P \geqslant_1 Q$  then  $\mathbf{fv}(P) \subseteq \mathbf{fv}(Q)$

*Proof.* Mutual induction on  $\Gamma \vdash N \leqslant_1 M$  and  $\Gamma \vdash P \geqslant_1 Q$ .

**Case 1.**  $\Gamma \vdash \alpha^- \leqslant_1 \alpha^-$

It is self-evident that  $\alpha^- \subseteq \alpha^-$ .

**Case 2.**  $\Gamma \vdash \uparrow P \leqslant_1 \uparrow Q$  From the inversion (and unfolding  $\Gamma \vdash P \simeq_1^< Q$ ), we have  $\Gamma \vdash P \geqslant_1 Q$ . Then by the induction hypothesis,  $\mathbf{fv}(P) \subseteq \mathbf{fv}(Q)$ . The desired inclusion holds, since  $\mathbf{fv}(\uparrow P) = \mathbf{fv}(P)$  and  $\mathbf{fv}(\uparrow Q) = \mathbf{fv}(Q)$ .

**Case 3.**  $\Gamma \vdash P \rightarrow N \leqslant_1 Q \rightarrow M$  The induction hypothesis applied to the premises gives:  $\mathbf{fv}(P) \subseteq \mathbf{fv}(Q)$  and  $\mathbf{fv}(N) \subseteq \mathbf{fv}(M)$ . Then  $\mathbf{fv}(P \rightarrow N) = \mathbf{fv}(P) \cup \mathbf{fv}(N) \subseteq \mathbf{fv}(Q) \cup \mathbf{fv}(M) = \mathbf{fv}(Q \rightarrow M)$ .

**Case 4.**  $\Gamma \vdash \forall \alpha^+. N \leqslant_1 \forall \beta^+. M$   
 $\mathbf{fv} \forall \alpha^+. N \subseteq \mathbf{fv}([\vec{P}/\alpha^+]N) \setminus \beta^+$  here  $\beta^+$  is excluded by the premise  $\mathbf{fv} N \cap \beta^+ = \emptyset$   
 $\subseteq \mathbf{fv} M \setminus \beta^+$  by the induction hypothesis,  $\mathbf{fv}([\vec{P}/\alpha^+]N) \subseteq \mathbf{fv} M$   
 $\subseteq \mathbf{fv} \forall \beta^+. M$

**Case 5.** The positive cases are symmetric.

□

**Corollary 7** (Free Variables of mutual subtypes).

- If  $\Gamma \vdash N \simeq_1^< M$  then  $\mathbf{fv} N = \mathbf{fv} M$ ,
- + If  $\Gamma \vdash P \simeq_1^< Q$  then  $\mathbf{fv} P = \mathbf{fv} Q$

**Lemma 18** (Decomposition of quantifier rules). *Assuming that  $\vec{\alpha}^+$ ,  $\vec{\beta}^+$ ,  $\vec{\alpha}^-$ , and  $\vec{\alpha}^-$  are disjoint from  $\Gamma$ ,*

- <sub>R</sub>  $\Gamma \vdash N \leqslant_1 \forall \beta^+. M$  holds if and only if  $\Gamma, \vec{\beta}^+ \vdash N \leqslant_1 M$ ;
- +<sub>R</sub>  $\Gamma \vdash P \geqslant_1 \exists \beta^+. Q$  holds if and only if  $\Gamma, \vec{\beta}^+ \vdash P \geqslant_1 Q$ ;
- <sub>L</sub> suppose  $M \neq \forall \dots$  then  $\Gamma \vdash \forall \alpha^+. N \leqslant_1 M$  holds if and only if  $\Gamma \vdash [\vec{P}/\alpha^+]N \leqslant_1 M$  for some  $\Gamma \vdash \vec{P}$ ;
- +<sub>L</sub> suppose  $Q \neq \exists \dots$  then  $\Gamma \vdash \exists \alpha^+. P \geqslant_1 Q$  holds if and only if  $\Gamma \vdash [\vec{N}/\alpha^+]P \geqslant_1 Q$  for some  $\Gamma \vdash \vec{N}$ .

*Proof.*

- <sub>R</sub> Let us prove both directions.

$\Rightarrow$  Let us assume  $\Gamma \vdash N \leq_1 \forall \vec{\beta}^+. M$ .  $\Gamma \vdash N \leq_1 \forall \vec{\beta}^+. M$ . Let us decompose  $M$  as  $\forall \vec{\beta}^{++}. M'$  where  $M'$  does not start with  $\forall$ , and decompose  $N$  as  $\forall \vec{\alpha}^+. N'$  where  $N'$  does not start with  $\forall$ . If  $\vec{\beta}^+$  is empty, then  $\Gamma, \vec{\beta}^+ \vdash N \leq_1 M$  holds by assumption. Otherwise,  $\Gamma \vdash \forall \vec{\alpha}^+. N' \leq_1 \forall \vec{\beta}^+. \forall \vec{\beta}^{++}. M$  is inferred by Rule  $(\forall^{\leq_1})$ , and by inversion:  $\Gamma, \vec{\beta}^+, \vec{\beta}^{++} \vdash [\vec{P}/\vec{\alpha}^+] N' \leq_1 M'$  for some  $\Gamma, \vec{\beta}^+, \vec{\beta}^{++} \vdash \vec{P}$ . Then again by Rule  $(\forall^{\leq_1})$  with the same  $\vec{P}$ ,  $\Gamma, \vec{\beta}^+ \vdash \forall \vec{\alpha}^+. N' \leq_1 \forall \vec{\beta}^{++}. M'$ , that is  $\Gamma, \vec{\beta}^+ \vdash N \leq_1 M$ .

$\Leftarrow$  let us assume  $\Gamma, \vec{\beta}^+ \vdash N \leq_1 M$ , and let us decompose  $N$  as  $\forall \vec{\alpha}^+. N'$  where  $N'$  does not start with  $\forall$ , and  $M$  as  $\forall \vec{\beta}^{++}. M'$  where  $M'$  does not start with  $\forall$ . if  $\vec{\alpha}^+$  and  $\vec{\beta}^{++}$  are empty then  $\Gamma, \vec{\beta}^+ \vdash N \leq_1 M$  is turned into  $\Gamma \vdash N \leq_1 \forall \vec{\beta}^+. M$  by Rule  $(\forall^{\leq_1})$ . Otherwise,  $\Gamma, \vec{\beta}^+ \vdash \forall \vec{\alpha}^+. N' \leq_1 \forall \vec{\beta}^{++}. M'$  is inferred by Rule  $(\forall^{\leq_1})$ , that is  $\Gamma, \vec{\beta}^+, \vec{\beta}^{++} \vdash [\vec{P}/\vec{\alpha}^+] N' \leq_1 M'$  for some  $\Gamma, \vec{\beta}^+, \vec{\beta}^{++} \vdash \vec{P}$ . Then by Rule  $(\forall^{\leq_1})$  again,  $\Gamma \vdash \forall \vec{\alpha}^+. N' \leq_1 \forall \vec{\beta}^{++}, \vec{\beta}^{++}. M'$ , in other words,  $\Gamma \vdash \forall \vec{\alpha}^+. N' \leq_1 \forall \vec{\beta}^+. \forall \vec{\beta}^{++}. M'$ , that is  $\Gamma \vdash N \leq_1 \forall \vec{\beta}^+. M$ .

$-_L$  Suppose  $M \neq \forall \dots$ . Let us prove both directions.

$\Rightarrow$  Let us assume  $\Gamma \vdash \forall \vec{\alpha}^+. N \leq_1 M$ . then if  $\vec{\alpha}^+ = \cdot$ ,  $\Gamma \vdash N \leq_1 M$  holds immediately. Otherwise, let us decompose  $iN$  as  $\forall \vec{\alpha}^{++}. N'$  where  $N'$  does not start with  $\forall$ . Then  $\Gamma \vdash \forall \vec{\alpha}^+. \forall \vec{\alpha}^{++}. N' \leq_1 M'$  is inferred by Rule  $(\forall^{\leq_1})$ , and by inversion, there exist  $\Gamma \vdash \vec{P}, \vec{P}'$  such that  $\Gamma \vdash [\vec{P}/\vec{\alpha}^+][\vec{P}'/\vec{\alpha}^{++}] N' \leq_1 M'$  (the decomposition of substitutions is possible since  $\vec{\alpha}^+ \cap \Gamma = \emptyset$ ). Then by Rule  $(\forall^{\leq_1})$  again,  $\Gamma \vdash \forall \vec{\alpha}^{++}. [\vec{P}'/\vec{\alpha}^{++}] N' \leq_1 M'$  (notice that  $[\vec{P}'/\vec{\alpha}^{++}] N'$  cannot start with  $\forall$ ).

$\Leftarrow$  Let us assume  $\Gamma \vdash [\vec{P}/\vec{\alpha}^+] N \leq_1 M$  for some  $\Gamma \vdash \vec{P}$ . let us decompose  $iN$  as  $\forall \vec{\alpha}^{++}. N'$  where  $N'$  does not start with  $\forall$ . Then  $\Gamma \vdash [\vec{P}/\vec{\alpha}^+] \forall \vec{\alpha}^{++}. N' \leq_1 M'$  or, equivalently,  $\Gamma \vdash \forall \vec{\alpha}^{++}. [\vec{P}/\vec{\alpha}^+] N' \leq_1 M'$  is inferred by Rule  $(\forall^{\leq_1})$  (notice that  $[\vec{P}/\vec{\alpha}^+] N'$  cannot start with  $\forall$ ). By inversion, there exist  $\Gamma \vdash \vec{P}'$  such that  $\Gamma \vdash [\vec{P}'/\vec{\alpha}^{++}][\vec{P}/\vec{\alpha}^+] N' \leq_1 M'$ . Since  $\vec{\alpha}^{++}$  is disjoint from the free variables of  $\vec{P}$  and from  $\vec{\alpha}^+$ , the composition of  $\vec{P}'/\vec{\alpha}^{++}$  and  $\vec{P}/\vec{\alpha}^+$  can be joined into a single substitution well-formed in  $\Gamma$ . Then by Rule  $(\forall^{\leq_1})$  again,  $\Gamma \vdash \forall \vec{\alpha}^+. N \leq_1 M$ .

$+$  The positive cases are proved symmetrically.

□

**Corollary 8** (Redundant quantifier elimination).

- $-_L$  Suppose that  $\vec{\alpha}^+ \cap \mathbf{fv}(N) = \emptyset$  then  $\Gamma \vdash \forall \vec{\alpha}^+. N \leq_1 M$  holds if and only if  $\Gamma \vdash N \leq_1 M$ ;
- $-_R$  Suppose that  $\vec{\alpha}^+ \cap \mathbf{fv}(M) = \emptyset$  then  $\Gamma \vdash N \leq_1 \forall \vec{\alpha}^+. M$  holds if and only if  $\Gamma \vdash N \leq_1 M$ ;
- $+_L$  Suppose that  $\vec{\alpha}^- \cap \mathbf{fv}(P) = \emptyset$  then  $\Gamma \vdash \exists \vec{\alpha}^-. P \geq_1 Q$  holds if and only if  $\Gamma \vdash P \geq_1 Q$ .
- $+_R$  Suppose that  $\vec{\alpha}^- \cap \mathbf{fv}(Q) = \emptyset$  then  $\Gamma \vdash P \geq_1 \exists \vec{\alpha}^-. Q$  holds if and only if  $\Gamma \vdash P \geq_1 Q$ .

*Proof.*  $-_R$  Suppose that  $\vec{\alpha}^+ \cap \mathbf{fv}(M) = \emptyset$  then by lemma 18,  $\Gamma \vdash N \leq_1 \forall \vec{\alpha}^+. M$  is equivalent to  $\Gamma, \vec{\alpha}^+ \vdash N \leq_1 M$ . By , since  $\vec{\alpha}^+ \cap \mathbf{fv}(N) = \emptyset$  and  $\vec{\alpha}^+ \cap \mathbf{fv}(M) = \emptyset$ ,  $\Gamma, \vec{\alpha}^+ \vdash N \leq_1 M$  is equivalent to  $\Gamma \vdash N \leq_1 M$ .

- $-_L$  Suppose that  $\vec{\alpha}^+ \cap \mathbf{fv}(N) = \emptyset$ . Let us decompose  $M$  as  $\forall \vec{\beta}^+. M'$  where  $M'$  does not start with  $\forall$ . By lemma 18,  $\Gamma \vdash \forall \vec{\alpha}^+. N \leq_1 \forall \vec{\beta}^+. M'$  is equivalent to  $\Gamma, \vec{\beta}^+ \vdash \forall \vec{\alpha}^+. N \leq_1 M'$ , which is equivalent to existence of  $\Gamma, \vec{\beta}^+ \vdash \vec{P}$  such that  $\Gamma, \vec{\beta}^+ \vdash [\vec{P}/\vec{\alpha}^+] N \leq_1 M'$ . Since  $[\vec{P}/\vec{\alpha}^+] N = N$ , the latter is equivalent to  $\Gamma, \vec{\beta}^+ \vdash N \leq_1 M'$ , which is equivalent to  $\Gamma \vdash N \leq_1 \forall \vec{\beta}^+. M'$ .  $\Gamma, \vec{\beta}^+ \vdash \vec{P}$  can be chosen arbitrary, for example,  $\vec{P}_i = \exists \alpha^-. \downarrow \alpha^-$ .

$+$  The positive cases are proved symmetrically.

□

**Lemma 19** (Subtypes and supertypes of a variable). Assuming  $\Gamma \vdash \alpha^-$ ,  $\Gamma \vdash \alpha^+$ ,  $\Gamma \vdash N$ , and  $\Gamma \vdash P$ ,

- $+$  if  $\Gamma \vdash P \geq_1 \exists \vec{\alpha}^-. \alpha^+$  or  $\Gamma \vdash \exists \vec{\alpha}^-. \alpha^+ \geq_1 P$  then  $P = \exists \vec{\beta}^-. \alpha^+$  (for some potentially empty  $\vec{\beta}^-$ )
- $-$  if  $\Gamma \vdash N \leq_1 \forall \vec{\alpha}^+. \alpha^-$  or  $\Gamma \vdash \forall \vec{\alpha}^+. \alpha^- \leq_1 N$  then  $N = \forall \vec{\beta}^+. \alpha^-$  (for some potentially empty  $\vec{\beta}^+$ )

*Proof.* We prove by induction on the tree inferring  $\Gamma \vdash P \geq_1 \exists \vec{\alpha}^-. \alpha^+$  or  $\Gamma \vdash \exists \vec{\alpha}^-. \alpha^+ \geq_1 P$  or or  $\Gamma \vdash N \leq_1 \forall \vec{\alpha}^+. \alpha^-$  or  $\Gamma \vdash \forall \vec{\alpha}^+. \alpha^- \leq_1 N$ .

Let us consider which one of these judgments is inferred.

**Case 1.**  $\Gamma \vdash P \geq_1 \exists \alpha^{\rightarrow} . \alpha^+$

If the size of the inference tree is 1 then the only rule that can infer it is Rule  $(\text{Var}^{+ \geq_1})$ , which implies that  $\alpha^{\rightarrow}$  is empty and  $P = \alpha^+$ .

If the size of the inference tree is  $> 1$  then the last rule inferring it must be Rule  $(\exists \geq_1)$ . By inverting this rule,  $P = \exists \beta^{\rightarrow} . P'$  where  $P'$  does not start with  $\exists$  and  $\Gamma, \alpha^{\rightarrow} \vdash [\vec{N}/\vec{\beta}^{\rightarrow}] P' \geq_1 \alpha^+$  for some  $\Gamma, \alpha^{\rightarrow} \vdash N_i$ .

By the induction hypothesis,  $[\vec{N}/\vec{\beta}^{\rightarrow}] P' = \exists \gamma^{\rightarrow} . \alpha^+$ . What shape can  $P'$  have? As mentioned, it does not start with  $\exists$ , and it cannot start with  $\uparrow$  (otherwise,  $[\vec{N}/\alpha^{\rightarrow}] P'$  would also start with  $\uparrow$  and would not be equal to  $\exists \beta^{\rightarrow} . \alpha^+$ ). This way,  $P'$  is a *positive* variable. As such,  $[\vec{N}/\alpha^{\rightarrow}] P' = P'$ , and then  $P' = \exists \gamma^{\rightarrow} . \alpha^+$  meaning that  $\gamma^{\rightarrow}$  is empty and  $P' = \alpha^+$ . This way,  $P = \exists \beta^{\rightarrow} . P' = \exists \beta^{\rightarrow} . \alpha^+$ , as required.

**Case 2.**  $\Gamma \vdash \exists \alpha^{\rightarrow} . \alpha^+ \geq_1 P$

If the size of the inference tree is 1 then the only rule that can infer it is Rule  $(\text{Var}^{+ \geq_1})$ , which implies that  $\alpha^{\rightarrow}$  is empty and  $P = \alpha^+$ .

If the size of the inference tree is  $> 1$  then the last rule inferring it must be Rule  $(\exists \geq_1)$ . By inverting this rule,  $P = \exists \beta^{\rightarrow} . Q$  where  $\Gamma, \beta^{\rightarrow} \vdash [\vec{N}/\alpha^{\rightarrow}] \alpha^+ \geq_1 Q$  and  $Q$  does not start with  $\exists$ . Notice that since  $\alpha^+$  is positive,  $[\vec{N}/\alpha^{\rightarrow}] \alpha^+ = \alpha^+$ , i.e.  $\Gamma, \beta^{\rightarrow} \vdash \alpha^+ \geq_1 Q$ .

By the induction hypothesis,  $Q = \exists \beta'^{\rightarrow} . \alpha^+$ , and since  $Q$  does not start with  $\exists$ ,  $\beta'^{\rightarrow}$  is empty. This way,  $P = \exists \beta^{\rightarrow} . Q = \exists \beta^{\rightarrow} . \alpha^+$ , as required.

**Case 3.** The negative cases ( $\Gamma \vdash N \leq_1 \forall \alpha^{\rightarrow} . \alpha^-$  and  $\Gamma \vdash \forall \alpha^{\rightarrow} . \alpha^- \leq_1 N$ ) are proved analogously.

□

**Corollary 9** (Variables have no proper subtypes and supertypes). *Assuming that all mentioned types are well-formed in  $\Gamma$ ,*

$$\begin{aligned} \Gamma \vdash P \geq_1 \alpha^+ &\iff P = \exists \beta^{\rightarrow} . \alpha^+ \iff \Gamma \vdash P \simeq_1^{\leq} \alpha^+ \iff P \simeq_1^D \alpha^+ \\ \Gamma \vdash \alpha^+ \geq_1 P &\iff P = \exists \beta^{\rightarrow} . \alpha^+ \iff \Gamma \vdash P \simeq_1^{\leq} \alpha^+ \iff P \simeq_1^D \alpha^+ \\ \Gamma \vdash N \leq_1 \alpha^- &\iff N = \forall \beta^{\rightarrow} . \alpha^- \iff \Gamma \vdash N \simeq_1^{\leq} \alpha^- \iff N \simeq_1^D \alpha^- \\ \Gamma \vdash \alpha^- \leq_1 N &\iff N = \forall \beta^{\rightarrow} . \alpha^- \iff \Gamma \vdash N \simeq_1^{\leq} \alpha^- \iff N \simeq_1^D \alpha^- \end{aligned}$$

*Proof.* Notice that  $\Gamma \vdash \exists \beta^{\rightarrow} . \alpha^+ \simeq_1^{\leq} \alpha^+$  and  $\exists \beta^{\rightarrow} . \alpha^+ \simeq_1^D \alpha^+$  and apply lemma 19. □

**Lemma 20** (Subtyping context irrelevance). *Suppose that all the mentioned types are well-formed in  $\Gamma_1$  and  $\Gamma_2$ . Then*

- +  $\Gamma_1 \vdash P \geq_1 Q$  is equivalent to  $\Gamma_2 \vdash P \geq_1 Q$ ;
- $\Gamma_1 \vdash N \leq_1 M$  is equivalent to  $\Gamma_2 \vdash N \leq_1 M$ .

*Proof.* We prove it by induction on the size of  $\Gamma_1 \vdash P \geq_1 Q$  and mutually, the size of  $\Gamma_1 \vdash N \leq_1 M$ .

All the cases except Rule  $(\exists \geq_1)$  and Rule  $(\forall \leq_1)$  are proven congruently: first, we apply the inversion to  $\Gamma_1 \vdash P \geq_1 Q$  to obtain the premises of the corresponding rule  $X$ , then we apply the induction hypothesis to each premise, and build the inference tree (with  $\Gamma_2$ ) by the same rule  $X$ .

Suppose that the judgement is inferred by Rule  $(\exists \geq_1)$ . Then we are proving that  $\Gamma_1 \vdash \exists \alpha^{\rightarrow} . P \geq_1 \exists \beta^{\rightarrow} . Q$  implies  $\Gamma_2 \vdash \exists \alpha^{\rightarrow} . P \geq_1 \exists \beta^{\rightarrow} . Q$  (the other implication is proven symmetrically).

By inversion of  $\Gamma_1 \vdash \exists \alpha^{\rightarrow} . P \geq_1 \exists \beta^{\rightarrow} . Q$ , we obtain  $\sigma$  such that  $\Gamma_1, \beta^{\rightarrow} \vdash \sigma : \alpha^{\rightarrow}$  and  $\Gamma_1, \beta^{\rightarrow} \vdash [\sigma]P \geq_1 Q$ . By lemma 17,  $\text{fv}([\sigma]P) \subseteq \text{fv}(Q)$ .

From the well-formedness statements  $\Gamma_i \vdash \exists \alpha^{\rightarrow} . P$  and  $\Gamma_i \vdash \exists \beta^{\rightarrow} . Q$  we have:

- $\Gamma_1, \alpha^{\rightarrow} \vdash P$ , which also means  $\Gamma_1, \beta^{\rightarrow} \vdash [\sigma]P$  by lemma 3;
- $\Gamma_2, \alpha^{\rightarrow} \vdash P$ ;
- $\Gamma_1, \beta^{\rightarrow} \vdash Q$ ; and
- $\Gamma_2, \beta^{\rightarrow} \vdash Q$ , which means  $\text{fv}(Q) \subseteq \Gamma_2, \beta^{\rightarrow}$  by lemma 1, and combining it with  $\text{fv}([\sigma]P) \subseteq \text{fv}(Q)$ , we have  $\text{fv}([\sigma]P) \subseteq \Gamma_2, \beta^{\rightarrow}$ .

Let us construct a substitution  $\sigma_0$  in the following way:

$$\begin{cases} [\sigma_0]\alpha_i^- = [\sigma]\alpha_i^- & \text{for } \alpha_i^- \in \overrightarrow{\alpha^-} \cap \mathbf{fv}(P) \\ [\sigma_0]\alpha_i^- = \forall \gamma^+. \uparrow \gamma^+ & \text{for } \alpha_i^- \in \overrightarrow{\alpha^-} \setminus \mathbf{fv}(P) \\ [\sigma_0]\gamma^\pm = \gamma^\pm & \text{for any other } \gamma^\pm \end{cases}$$

Notice that

1.  $[\sigma_0]P = [\sigma]P$ . Since  $\sigma_0|_{\mathbf{fv}(P)} = \sigma|_{\mathbf{fv}(P)}$  as functions (which follows from the construction of  $\sigma_0$  and the signature of  $\sigma$ ),  $[\sigma_0]P = [\sigma_0|_{\mathbf{fv}(P)}]P = [\sigma|_{\mathbf{fv}(P)}]P = [\sigma]P$  (where the first and the last equalities are by lemma 5).
2.  $\mathbf{fv}([\sigma]P) \vdash \sigma_0 : \overrightarrow{\alpha^-}$ . To show that, let us consider  $\alpha_i^-$ 
  - if  $\alpha_i^- \in \overrightarrow{\alpha^-} \setminus \mathbf{fv}(P)$  then  $\cdot \vdash [\sigma_0]\alpha_i^-$ , which can be weakened to  $\mathbf{fv}([\sigma]P) \vdash [\sigma_0]\alpha_i^-$ ;
  - if  $\alpha_i^- \in \overrightarrow{\alpha^-} \cap \mathbf{fv}(P)$ , we have  $[\sigma_0]\alpha_i^- = [\sigma]\alpha_i^-$ , and thus, by specification of  $\sigma$ ,  $\Gamma_1, \overrightarrow{\beta^+} \vdash [\sigma]\alpha_i^-$ . By corollary 1, it means  $\mathbf{fv}([\sigma]\alpha_i^-) \vdash [\sigma]\alpha_i^-$ , which we weaken (corollary 2) to  $\mathbf{fv}([\sigma]P) \vdash [\sigma]\alpha_i^-$  (since  $\mathbf{fv}([\sigma]\alpha_i^-) \subseteq \mathbf{fv}([\sigma]P)$  by lemma 16, and  $[\sigma_0]P = [\sigma]P$ , as noted above).

By corollary 1,  $\Gamma_1, \overrightarrow{\beta^+} \vdash [\sigma]P$  implies  $\mathbf{fv}([\sigma]P) \vdash [\sigma]P$ , which, since  $\mathbf{fv}([\sigma]P) \subseteq \Gamma_2, \overrightarrow{\beta^-}$ , is weakened to  $\Gamma_2, \overrightarrow{\beta^-} \vdash [\sigma]P$ . and rewritten as  $\Gamma_2, \overrightarrow{\beta^-} \vdash [\sigma_0]P$ .

Notice that the premises of the induction hold:

1.  $\Gamma_i, \overrightarrow{\beta^-} \vdash [\sigma_0]P$ ,
2.  $\Gamma_i, \overrightarrow{\beta^-} \vdash Q$ , and
3.  $\Gamma_1, \overrightarrow{\beta^-} \vdash [\sigma_0]P \geq_1 Q$ , notice that the tree inferring this judgement is the same tree inferring  $\Gamma_1, \overrightarrow{\beta^-} \vdash [\sigma]P \geq_1 Q$  (since  $[\sigma_0]P = [\sigma]P$ ), i.e., it is a subtree of  $\Gamma_1 \vdash \exists \alpha^-. P \geq_1 \exists \beta^-. Q$ .

This way, by the induction hypothesis,  $\Gamma_2, \overrightarrow{\beta^-} \vdash [\sigma_0]P \geq_1 Q$ . Combining it with  $\Gamma_2, \overrightarrow{\beta^-} \vdash \sigma_0 : \overrightarrow{\alpha^-}$  by Rule  $(\exists \geq_1)$ , we obtain  $\Gamma_2 \vdash \exists \alpha^-. P \geq_1 \exists \beta^-. Q$ .

The case of  $\Gamma_1 \vdash \forall \alpha^+. N \leq_1 \forall \beta^+. M$  is symmetric. □

**Lemma 21** (Weakening of subtyping context). *Suppose  $\Gamma_1$  and  $\Gamma_2$  are contexts and  $\Gamma_1 \subseteq \Gamma_2$ . Then*

- +  $\Gamma_1 \vdash P \geq_1 Q$  implies  $\Gamma_2 \vdash P \geq_1 Q$ ;
- $\Gamma_1 \vdash N \leq_1 M$  implies  $\Gamma_2 \vdash N \leq_1 M$ .

*Proof.* □

**Lemma 22** (Reflexivity of subtyping). *Assuming all the types are well-formed in  $\Gamma$ ,*

- $\Gamma \vdash N \leq_1 N$
- +  $\Gamma \vdash P \geq_1 P$

*Proof.* Let us prove it by the size of  $N$  and mutually,  $P$ .

**Case 1.**  $N = \alpha^-$

Then  $\Gamma \vdash \alpha^- \leq_1 \alpha^-$  is inferred immediately by Rule  $(\text{Var}^{\leq_1})$ .

**Case 2.**  $N = \forall \alpha^+. N'$  where  $\overrightarrow{\alpha^+}$  is not empty

First, we rename  $\overrightarrow{\alpha^+}$  to fresh  $\overrightarrow{\beta^+}$  in  $\forall \alpha^+. N'$  to avoid name clashes:  $\forall \alpha^+. N' = \forall \beta^+. [\overrightarrow{\alpha^+}/\overrightarrow{\beta^+}]N'$ . Then to infer  $\Gamma \vdash \forall \alpha^+. N' \leq_1 \forall \beta^+. [\overrightarrow{\alpha^+}/\overrightarrow{\beta^+}]N'$  we can apply Rule  $(\forall \leq_1)$ , instantiating  $\overrightarrow{\alpha^+}$  with  $\overrightarrow{\beta^+}$ :

- $\mathbf{fv} N \cap \overrightarrow{\beta^+} = \emptyset$  by choice of  $\overrightarrow{\beta^+}$ ,
- $\Gamma, \overrightarrow{\beta^+} \vdash \beta_i^+$ ,
- $\Gamma, \overrightarrow{\beta^+} \vdash [\overrightarrow{\beta^+}/\overrightarrow{\alpha^+}]N' \leq_1 [\overrightarrow{\beta^+}/\overrightarrow{\alpha^+}]N'$  by the induction hypothesis, since the size of  $[\overrightarrow{\beta^+}/\overrightarrow{\alpha^+}]N'$  is equal to the size of  $N'$ , which is smaller than the size of  $N = \forall \alpha^+. N'$ .

**Case 3.**  $N = P \rightarrow M$

Then  $\Gamma \vdash P \rightarrow M \leq_1 P \rightarrow M$  is inferred by Rule  $(\rightarrow \leq_1)$ , since  $\Gamma \vdash P \geq_1 P$  and  $\Gamma \vdash M \leq_1 M$  hold the induction hypothesis.

**Case 4.**  $N = \uparrow P$

Then  $\Gamma \vdash \uparrow P \leq_1 \uparrow P$  is inferred by Rule ( $\uparrow^{\leq_1}$ ), since  $\Gamma \vdash P \geq_1 P$  holds by the induction hypothesis.

**Case 5.** The positive cases are symmetric to the negative ones.

□

**Lemma 23** (Substitution preserves subtyping). *Suppose that all mentioned types are well-formed in  $\Gamma_1$ , and  $\sigma$  is a substitution  $\Gamma_2 \vdash \sigma : \Gamma_1$ .*

- If  $\Gamma_1 \vdash N \leq_1 M$  then  $\Gamma_2 \vdash [\sigma]N \leq_1 [\sigma]M$ .
- + If  $\Gamma_1 \vdash P \geq_1 Q$  then  $\Gamma_2 \vdash [\sigma]P \geq_1 [\sigma]Q$ .

*Proof.* We prove it by induction on the size of the derivation of  $\Gamma_1 \vdash N \leq_1 M$  and mutually,  $\Gamma_1 \vdash P \geq_1 Q$ . Let us consider the last rule used in the derivation:

**Case 1.** Rule ( $\text{Var}^{\leq_1}$ ). Then by inversion,  $N = \alpha^-$  and  $M = \alpha^-$ . By reflexivity of subtyping (lemma 22), we have  $\Gamma_2 \vdash [\sigma]\alpha^- \leq_1 [\sigma]\alpha^-$ , i.e.  $\Gamma_2 \vdash [\sigma]N \leq_1 [\sigma]M$ , as required.

**Case 2.** Rule ( $\forall^{\leq_1}$ ). Then by inversion,  $N = \forall \alpha^+ . N'$ ,  $M = \forall \beta^+ . M'$ , where  $\alpha^+$  or  $\beta^+$  is not empty. Moreover,  $\Gamma_1, \beta^+ \vdash [\vec{P}/\alpha^+]N' \leq_1 M'$  for some  $\Gamma_1, \beta^+ \vdash \vec{P}$ , and  $\text{fv } N \cap \beta^+ = \emptyset$ .

Notice that since the derivation of  $\Gamma_1, \beta^+ \vdash [\vec{P}/\alpha^+]N' \leq_1 M'$  is a subderivation of the derivation of  $\Gamma \vdash N \leq_1 M$ , its size is smaller, and hence, the induction hypothesis applies ( $\Gamma_1, \beta^+ \vdash \sigma : \Gamma_1, \beta^+$  by ) :  $\Gamma_2, \beta^+ \vdash [\sigma][\vec{P}/\alpha^+]N' \leq_1 [\sigma]M'$ .

Notice that by convention,  $\alpha^+$  and  $\beta^+$  are fresh, and thus,  $[\sigma]\forall \alpha^+ . N' = \forall \alpha^+ . [\sigma]N'$  and  $[\sigma]\forall \beta^+ . M' = \forall \beta^+ . [\sigma]M'$ , which means that the required  $\Gamma_2, \Gamma \vdash [\sigma]\forall \alpha^+ . N' \leq_1 [\sigma]\forall \beta^+ . M'$  is rewritten as  $\Gamma_2, \Gamma \vdash \forall \alpha^+ . [\sigma]N' \leq_1 \forall \beta^+ . [\sigma]M'$ .

To infer it, we apply Rule ( $\forall^{\leq_1}$ ), instantiating  $\alpha_i^+$  with  $[\sigma]P_i$ :

- $\text{fv } [\sigma]N \cap \beta^+ = \emptyset$ ;
- $\Gamma_2, \Gamma, \beta^+ \vdash [\sigma]P_i$ , by lemma 3 since from the inversion,  $\Gamma_1, \Gamma, \beta^+ \vdash P_i$ ;
- $\Gamma, \beta^+ \vdash [[\sigma]\vec{P}/\alpha^+][\sigma]N' \leq_1 [\sigma]M'$  holds by lemma 13: Since  $\alpha^+$  is fresh, it is disjoint with the domain and the codomain of  $\sigma$  ( $\Gamma_1$  and  $\Gamma_2$ ), and thus,  $[\sigma][\vec{P}/\alpha^+]N' = [\sigma\ll\vec{P}/\alpha^+][\sigma]N' = [[\sigma]\vec{P}/\alpha^+][\sigma]N'$ . Then  $\Gamma_2, \Gamma, \beta^+ \vdash [\sigma][\vec{P}/\alpha^+]N' \leq_1 [\sigma]M'$  holds by the induction hypothesis.

**Case 3.** Rule ( $\rightarrow^{\leq_1}$ ). Then by inversion,  $N = P \rightarrow N_1$ ,  $M = Q \rightarrow M_1$ ,  $\Gamma \vdash P \geq_1 Q$ , and  $\Gamma \vdash N_1 \leq_1 M_1$ . And by the induction hypothesis,  $\Gamma' \vdash [\sigma]P \geq_1 [\sigma]Q$  and  $\Gamma' \vdash [\sigma]N_1 \leq_1 [\sigma]M_1$ . Then  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]M$ , i.e.  $\Gamma' \vdash [\sigma]P \rightarrow [\sigma]N_1 \leq_1 [\sigma]Q \rightarrow [\sigma]M_1$ , is inferred by Rule ( $\rightarrow^{\leq_1}$ ).

**Case 4.** Rule ( $\uparrow^{\leq_1}$ ). Then by inversion,  $N = \uparrow P$ ,  $M = \uparrow Q$ , and  $\Gamma \vdash P \leq_1^{\leq} Q$ , which by inversion means that  $\Gamma \vdash P \geq_1 Q$  and  $\Gamma \vdash Q \geq_1 P$ . Then the induction hypothesis applies, and we have  $\Gamma' \vdash [\sigma]P \geq_1 [\sigma]Q$  and  $\Gamma' \vdash [\sigma]Q \geq_1 [\sigma]P$ . Then by sequential application of Rule ( $\simeq_1^-$ ) and Rule ( $\uparrow^{\leq_1}$ ) to these judgments, we have  $\Gamma' \vdash \uparrow[\sigma]P \leq_1 \uparrow[\sigma]Q$ , i.e.  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]M$ , as required.

**Case 5.** The positive cases are proved symmetrically.

□

**Corollary 10** (Substitution preserves subtyping induced equivalence). *Suppose that  $\Gamma \vdash \sigma : \Gamma_1$ . Then*

- + if  $\Gamma_1 \vdash P$ ,  $\Gamma_1 \vdash Q$ , and  $\Gamma_1 \vdash P \simeq_1^{\leq} Q$  then  $\Gamma \vdash [\sigma]P \simeq_1^{\leq} [\sigma]Q$
- if  $\Gamma_1 \vdash N$ ,  $\Gamma_1 \vdash M$ , and  $\Gamma_1 \vdash N \simeq_1^{\leq} M$  then  $\Gamma \vdash [\sigma]N \simeq_1^{\leq} [\sigma]M$

**Lemma 24** (Transitivity of subtyping). *Assuming the types are well-formed in  $\Gamma$ ,*

- if  $\Gamma \vdash N_1 \leq_1 N_2$  and  $\Gamma \vdash N_2 \leq_1 N_3$  then  $\Gamma \vdash N_1 \leq_1 N_3$ ,
- + if  $\Gamma \vdash P_1 \geq_1 P_2$  and  $\Gamma \vdash P_2 \geq_1 P_3$  then  $\Gamma \vdash P_1 \geq_1 P_3$ .

*Proof.* To prove it, we formulate a stronger property, which will imply the required one, taking  $\sigma = \Gamma \vdash \text{id} : \Gamma$ .

Assuming all the types are well-formed in  $\Gamma$ ,

- if  $\Gamma \vdash N \leq_1 M_1$ ,  $\Gamma \vdash M_2 \leq_1 K$ , and for  $\Gamma' \vdash \sigma : \Gamma$ ,  $[\sigma]M_1 = [\sigma]M_2$  then  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]K$
- + if  $\Gamma \vdash P \geq_1 Q_1$ ,  $\Gamma \vdash Q_2 \geq_1 R$ , and for  $\Gamma' \vdash \sigma : \Gamma$ ,  $[\sigma]Q_1 = [\sigma]Q_2$  then  $\Gamma' \vdash [\sigma]P \geq_1 [\sigma]R$

We prove it by induction on  $\text{size}(\Gamma \vdash N \leq_1 M_1) + \text{size}(\Gamma \vdash M_2 \leq_1 K)$  and mutually, on  $\text{size}(\Gamma \vdash P \geq_1 Q_1) + \text{size}(\Gamma \vdash Q_2 \geq_1 R)$ . First, let us consider the 3 important cases.

**Case 1.** Let us consider the case when  $M_1 = \forall \vec{\beta}^+_1. \alpha^-$ . Then by lemma 19,  $\Gamma \vdash N \leq_1 M_1$  means that  $N = \forall \vec{\alpha}^+. \alpha^-$ .  $[\sigma]M_1 = [\sigma]M_2$  means that  $\forall \vec{\beta}^+_1. [\sigma]\alpha^- = [\sigma]M_2$ . Applying  $\sigma$  to both sides of  $\Gamma \vdash M_2 \leq_1 K$  (by lemma 23), we obtain  $\Gamma' \vdash [\sigma]M_2 \leq_1 [\sigma]K$ , that is  $\Gamma' \vdash \forall \vec{\beta}^+_1. [\sigma]\alpha^- \leq_1 [\sigma]K$ . Since  $\text{fv}([\sigma]\alpha^-) \subseteq \Gamma, \alpha^-$ , it is disjoint from  $\vec{\alpha}^+$  and  $\vec{\beta}^+_1$ . This way, by corollary 8,  $\Gamma' \vdash \forall \vec{\beta}^+_1. [\sigma]\alpha^- \leq_1 [\sigma]K$  is equivalent to  $\Gamma' \vdash [\sigma]\alpha^- \leq_1 [\sigma]K$ , which is equivalent to  $\Gamma' \vdash \forall \vec{\alpha}^+. [\sigma]\alpha^- \leq_1 [\sigma]K$ , that is  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]K$ .

**Case 2.** Let us consider the case when  $M_2 = \forall \vec{\beta}^+_2. \alpha^-$ . This case is symmetric to the previous one. Notice that lemma 19 and corollary 8 are agnostic to the side on which the the quantifiers occur, and thus, the proof stays the same.

**Case 3.** Let us decompose the types, by extracting the outer quantifiers:

- $N = \forall \vec{\alpha}^+. N'$ , where  $N' \neq \forall \dots$ ,
- $M_1 = \forall \vec{\beta}^+_1. M'_1$ , where  $M'_1 \neq \forall \dots$ ,
- $M_2 = \forall \vec{\beta}^+_2. M'_2$ , where  $M'_2 \neq \forall \dots$ ,
- $K = \forall \vec{\gamma}^+. K'$ , where  $K' \neq \forall \dots$ .

and assume that at least one of  $\vec{\alpha}^+$ ,  $\vec{\beta}^+_1$ ,  $\vec{\beta}^+_2$ , and  $\vec{\gamma}^+$  is not empty. Since  $[\sigma]M_1 = [\sigma]M_2$ , we have  $\forall \vec{\beta}^+_1. [\sigma]M'_1 = \forall \vec{\beta}^+_2. [\sigma]M'_2$ , and since  $M'_i$  are not variables (which was covered by the previous cases) and do not start with  $\forall$ ,  $[\sigma]M'_i$  do not start with  $\forall$  either, which means  $\vec{\beta}^+_1 = \vec{\beta}^+_2$  and  $[\sigma]M'_1 = [\sigma]M'_2$ . Let us rename  $\vec{\beta}^+_1$  and  $\vec{\beta}^+_2$  to  $\vec{\beta}^+$ . Then  $M_1 = \forall \vec{\beta}^+. M'_1$  and  $M_2 = \forall \vec{\beta}^+. M'_2$ .

By lemma 18 applied twice to  $\Gamma \vdash \forall \vec{\alpha}^+. N' \leq_1 \forall \vec{\beta}^+. M'_1$  and to  $\Gamma \vdash \forall \vec{\beta}^+. M'_2 \leq_1 \forall \vec{\gamma}^+. K'$ , we have the following:

1.  $\Gamma, \vec{\beta}^+ \vdash [\vec{P}/\vec{\alpha}^+]N' \leq_1 M'_1$  for some  $\Gamma, \vec{\beta}^+ \vdash \vec{P}$ ;
2.  $\Gamma, \vec{\gamma}^+ \vdash [\vec{Q}/\vec{\beta}^+]M'_2 \leq_1 K'$  for some  $\Gamma, \vec{\gamma}^+ \vdash \vec{Q}$ .

And since at least one of  $\vec{\alpha}^+$ ,  $\vec{\beta}^+$ , and  $\vec{\gamma}^+$  is not empty, either  $\Gamma \vdash N \leq_1 M_1$  or  $\Gamma \vdash M_2 \leq_1 K$  is inferred by Rule  $(\forall^{\leq_1})$ , meaning that either  $\Gamma, \vec{\beta}^+ \vdash [\vec{P}/\vec{\alpha}^+]N' \leq_1 M'_1$  is a proper subderivation of  $\Gamma \vdash N \leq_1 M_1$  or  $\Gamma, \vec{\gamma}^+ \vdash [\vec{Q}/\vec{\beta}^+]M'_2 \leq_1 K'$  is a proper subderivation of  $\Gamma \vdash M_2 \leq_1 K$ .

Notice that we can weaken and rearrange the contexts without changing the sizes of the derivations:  $\Gamma, \vec{\beta}^+, \vec{\gamma}^+ \vdash [\vec{P}/\vec{\alpha}^+]N' \leq_1 M'_1$  and  $\Gamma, \vec{\beta}^+, \vec{\gamma}^+ \vdash [\vec{Q}/\vec{\beta}^+]M'_2 \leq_1 K'$ . This way, the sum of the sizes of these derivations is smaller than the sum of the sizes of  $\Gamma \vdash N \leq_1 M_1$  and  $\Gamma \vdash M_2 \leq_1 K$ . Let us apply the induction hypothesis to these derivations, with the substitution  $\Gamma', \vec{\gamma}^+ \vdash \sigma \circ (\vec{Q}/\vec{\beta}^+) : \Gamma, \vec{\beta}^+, \vec{\gamma}^+$  (section 3.12). To apply the induction hypothesis, it is left to show that  $\sigma \circ (\vec{Q}/\vec{\beta}^+)$  unifies  $M'_1$  and  $[\vec{Q}/\vec{\beta}^+]M'_2$ :

$$\begin{aligned}
[\sigma \circ \vec{Q}/\vec{\beta}^+]M'_1 &= [\sigma][\vec{Q}/\vec{\beta}^+]M'_1 \\
&= [[\sigma]\vec{Q}/\vec{\beta}^+][\sigma]M'_1 && \text{by lemma 13} \\
&= [[\sigma]\vec{Q}/\vec{\beta}^+][\sigma]M'_2 && \text{Since } [\sigma]M'_1 = [\sigma]M'_2 \\
&= [\sigma][\vec{Q}/\vec{\beta}^+]M'_2 && \text{by lemma 13} \\
&= [\sigma][\vec{Q}/\vec{\beta}^+][\vec{Q}/\vec{\beta}^+]M'_2 && \text{Since } \Gamma, \vec{\gamma}^+ \vdash \vec{Q}, \text{ and } (\Gamma, \vec{\gamma}^+) \cap \vec{\beta}^+ = \emptyset \\
&= [\sigma \circ \vec{Q}/\vec{\beta}^+][\vec{Q}/\vec{\beta}^+]M'_2
\end{aligned}$$

This way the induction hypothesis gives us  $\Gamma', \vec{\gamma}^+ \vdash [\sigma][\vec{Q}/\vec{\beta}^+][\vec{P}/\vec{\alpha}^+]N' \leq_1 [\sigma][\vec{Q}/\vec{\beta}^+]K'$ , and since  $\Gamma, \vec{\gamma}^+ \vdash K'$ ,  $[\vec{Q}/\vec{\beta}^+]K' = K'$ , that is  $\Gamma', \vec{\gamma}^+ \vdash [\sigma][\vec{Q}/\vec{\beta}^+][\vec{P}/\vec{\alpha}^+]N' \leq_1 [\sigma]K'$ . Let us rewrite the substitution that we apply to  $N'$ :

$$\begin{aligned}
[\sigma \circ \vec{Q}/\vec{\beta}^+ \circ \vec{P}/\vec{\alpha}^+]N' &= [(\sigma \ll \vec{Q}/\vec{\beta}^+) \circ \sigma \circ \vec{P}/\vec{\alpha}^+]N' && \text{by lemma 13} \\
&= [(\sigma \ll \vec{Q}/\vec{\beta}^+) \circ (\sigma \ll \vec{P}/\vec{\alpha}^+) \circ \sigma]N' && \text{by lemma 13} \\
&= [(((\sigma \ll \vec{Q}/\vec{\beta}^+) \circ \sigma) \ll \vec{P}/\vec{\alpha}^+) \circ \sigma]N' && \text{Since } \text{fv}([\sigma]N') \cap \vec{\beta}^+ = \emptyset \\
&= [((\sigma \circ \vec{Q}/\vec{\beta}^+) \ll \vec{P}/\vec{\alpha}^+) \circ \sigma]N' && \text{by lemma 13} \\
&= [(\sigma \circ \vec{Q}/\vec{\beta}^+) \ll \vec{P}/\vec{\alpha}^+][\sigma]N'
\end{aligned}$$



Notice that  $(\sigma \circ \vec{Q}/\beta^+) \ll \vec{P}/\alpha^+$  is a substitution that turns  $\alpha_i^+$  into  $[\sigma \circ \vec{Q}/\beta^+]P_i$ , where  $\Gamma', \vec{\gamma}^+ \vdash [\sigma \circ \vec{Q}/\beta^+]P_i$ . This way,  $\Gamma', \vec{\gamma}^+ \vdash [(\sigma \circ \vec{Q}/\beta^+) \ll \vec{P}/\alpha^+][\sigma]N' \leq_1 [\sigma]K'$  means  $\Gamma \vdash \forall \alpha^+. [\sigma]N' \leq_1 \forall \gamma^+. [\sigma]K'$  by lemma 18, that is  $\Gamma \vdash [\sigma]N \leq_1 [\sigma]K$ , as required.

Now, we can assume that neither  $\Gamma \vdash N \leq_1 M_1$  nor  $\Gamma \vdash M_2 \leq_1 K$  is inferred by Rule  $(\forall^{\leq_1})$ , and that neither  $M_1$  nor  $M_2$  is equivalent to a variable. Because of that,  $[\sigma]M_1 = [\sigma]M_2$  means that  $M_1$  and  $M_2$  have the same outer constructor. Let us consider the shape of  $M_1$ .

**Case 1.**  $M_1 = \alpha^-$  this case has been considered;

**Case 2.**  $M_1 = \forall \beta^+. M'_1$  this case has been considered;

**Case 3.**  $M_1 = \uparrow Q_1$ . Then as noted above,  $[\sigma]M_1 = [\sigma]M_2$  means that  $M_2 = \uparrow Q_2$  and  $[\sigma]Q_1 = [\sigma]Q_2$ . Moreover,  $\Gamma \vdash N \leq_1 \uparrow Q_1$  can only be inferred by Rule  $(\uparrow^{\leq_1})$ , and thus,  $N = \uparrow P$ , and by inversion,  $\Gamma \vdash P \geq_1 Q_1$  and  $\Gamma \vdash Q_1 \geq_1 P$ . Analogously,  $\Gamma \vdash \uparrow Q_2 \leq_1 K$  means that  $K = \uparrow R$ ,  $\Gamma \vdash Q_2 \geq_1 R$ , and  $\Gamma \vdash R \geq_1 Q_2$ .

Notice that the derivations of  $\Gamma \vdash P \geq_1 Q_1$  and  $\Gamma \vdash Q_1 \geq_1 P$  are proper sub-derivations of  $\Gamma \vdash N \leq_1 M_1$ , and the derivations of  $\Gamma \vdash Q_2 \geq_1 R$  and  $\Gamma \vdash R \geq_1 Q_2$  are proper sub-derivations of  $\Gamma \vdash M_2 \leq_1 K$ . This way, the induction hypothesis is applicable:

- applying the induction hypothesis to  $\Gamma \vdash P \geq_1 Q_1$  and  $\Gamma \vdash Q_2 \geq_1 R$  with  $\Gamma' \vdash \sigma : \Gamma$  unifying  $Q_1$  and  $Q_2$ , we obtain  $\Gamma' \vdash [\sigma]P \geq_1 [\sigma]R$ ;
- applying the induction hypothesis to  $\Gamma \vdash R \geq_1 Q_2$  and  $\Gamma \vdash Q_1 \geq_1 P$  with  $\Gamma' \vdash \sigma : \Gamma$  unifying  $Q_2$  and  $Q_1$ , we obtain  $\Gamma' \vdash [\sigma]R \geq_1 [\sigma]P$ .

This way, by Rule  $(\uparrow^{\leq_1})$ ,  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]K$ , as required.

**Case 4.**  $M_1 = Q_1 \rightarrow M'_1$ . Then as noted above,  $[\sigma]M_1 = [\sigma]M_2$  means that  $M_2 = Q_2 \rightarrow M'_2$ ,  $[\sigma]Q_1 = [\sigma]Q_2$ , and  $[\sigma]M'_1 = [\sigma]M'_2$ . Moreover,  $\Gamma \vdash N \leq_1 Q_1 \rightarrow M'_1$  can only be inferred by Rule  $(\rightarrow^{\leq_1})$ , and thus,  $N = P \rightarrow N'$ , and by inversion,  $\Gamma \vdash P \geq_1 Q_1$  and  $\Gamma \vdash N' \leq_1 M'_1$ . Analogously,  $\Gamma \vdash Q_2 \rightarrow M'_2 \leq_1 K$  means that  $K = R \rightarrow K'$ ,  $\Gamma \vdash Q_2 \geq_1 R$ , and  $\Gamma \vdash M'_2 \leq_1 K'$ .

Notice that the derivations of  $\Gamma \vdash P \geq_1 Q_1$  and  $\Gamma \vdash N' \leq_1 M'_1$  are proper sub-derivations of  $\Gamma \vdash P \rightarrow N' \leq_1 Q_1 \rightarrow M'_1$ , and the derivations of  $\Gamma \vdash Q_2 \geq_1 R$  and  $\Gamma \vdash M'_2 \leq_1 K'$  are proper sub-derivations of  $\Gamma \vdash Q_2 \rightarrow M'_2 \leq_1 R \rightarrow K'$ . This way, the induction hypothesis is applicable:

- applying the induction hypothesis to  $\Gamma \vdash P \geq_1 Q_1$  and  $\Gamma \vdash Q_2 \geq_1 R$  with  $\Gamma' \vdash \sigma : \Gamma$  unifying  $Q_1$  and  $Q_2$ , we obtain  $\Gamma' \vdash [\sigma]P \geq_1 [\sigma]R$ ;
- applying the induction hypothesis to  $\Gamma \vdash N' \leq_1 M'_1$  and  $\Gamma \vdash M'_2 \leq_1 K'$  with  $\Gamma' \vdash \sigma : \Gamma$  unifying  $M'_1$  and  $M'_2$ , we obtain  $\Gamma' \vdash [\sigma]N' \leq_1 [\sigma]K'$ .

This way, by Rule  $(\rightarrow^{\leq_1})$ ,  $\Gamma' \vdash [\sigma]P \rightarrow [\sigma]N' \leq_1 [\sigma]R \rightarrow [\sigma]K'$ , that is  $\Gamma' \vdash [\sigma]N \leq_1 [\sigma]K$ , as required.

After that we consider all the analogous positive cases, and prove them symmetrically.  $\square$

**Corollary 11** (Transitivity of equivalence). *Assuming the types are well-formed in  $\Gamma$ ,*

- if  $\Gamma \vdash N_1 \simeq_1^{\leq} N_2$  and  $\Gamma \vdash N_2 \simeq_1^{\leq} N_3$  then  $\Gamma \vdash N_1 \simeq_1^{\leq} N_3$ ,
- + if  $\Gamma \vdash P_1 \simeq_1^{\leq} P_2$  and  $\Gamma \vdash P_2 \simeq_1^{\leq} P_3$  then  $\Gamma \vdash P_1 \simeq_1^{\leq} P_3$ .



### 3.4 Overview

Algorithm	Soundness	Completeness	Initiality
Ordering	$\overline{\mathbf{ord} \text{ vars in } N \equiv \text{vars} \cap \mathbf{fv} N}$	$\frac{N \simeq_1^D M}{\mathbf{ord} \text{ vars in } N = \mathbf{ord} \text{ vars in } M}$	—
Normalization	$\overline{N \simeq_1^D \mathbf{nf}(N)}$	$\frac{N \simeq_1^D M}{\mathbf{nf}(N) = \mathbf{nf}(M)}$	—
Equivalence	$\frac{\Gamma \vdash P \quad \Gamma \vdash Q \quad P \simeq_1^D Q}{\Gamma \vdash P \simeq_1^{\leq} Q}$	$\frac{\Gamma \vdash P \simeq_1^{\leq} Q}{P \simeq_1^D Q}$	—
Uppgrade	$\frac{\mathbf{upgrade} \Gamma \vdash P \text{ to } \Delta = Q}{Q \text{ is sound} \left\{ \begin{array}{l} \Delta \vdash Q \\ \Gamma \vdash Q \geq_1 P \end{array} \right.}$	$\frac{\exists \text{ sound } Q'}{\exists Q \text{ s.t. } \mathbf{upgrade} \Gamma \vdash P \text{ to } \Delta = Q}$	$\frac{Q' \text{ is sound} \quad \mathbf{upgrade} \Gamma \vdash P \text{ to } \Delta = Q}{\Delta \vdash Q' \geq_1 Q}$
LUB	$\frac{\Gamma \models P_1 \vee P_2 = Q}{Q \text{ is sound} \left\{ \begin{array}{l} \Gamma \vdash Q \\ \Gamma \vdash Q \geq_1 P_1 \\ \Gamma \vdash Q \geq_1 P_2 \end{array} \right.}$	$\frac{\exists \text{ sound } Q'}{\exists Q \text{ s.t. } \Gamma \models P_1 \vee P_2 = Q}$	$\frac{Q' \text{ is sound} \quad \Gamma \models P_1 \vee P_2 = Q}{\Delta \vdash Q' \geq_1 Q}$
Anti-unification	$\frac{\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)}{(\Xi, Q, \hat{\tau}_1, \hat{\tau}_2) \left\{ \begin{array}{l} \Xi \text{ is negative} \\ \Gamma; \Xi \vdash Q \\ \Gamma; \cdot \vdash \hat{\tau}_i : \Xi \\ [\hat{\tau}_i] Q = P_i \end{array} \right. \text{ is sound}}$	$\frac{\exists \text{ sound } (\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)}{\exists (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2) \text{ s.t.} \quad \Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)}$	$\frac{(\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2) \text{ is sound} \quad \Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)}{\exists \Gamma; \Xi \vdash \hat{\tau} : \Xi' \text{ s.t. } [\hat{\tau}] Q' = Q}$
Unification (matching)			—
Subtyping			—

### 3.5 Variable Ordering

**Definition 25** (Collision free bijection). *We say that a bijection  $\mu : A \leftrightarrow B$  between sets of variables is **collision free on sets  $P$  and  $Q$**  if and only if*

1.  $\mu(P \cap A) \cap Q = \emptyset$
2.  $\mu(Q \cap A) \cap P = \emptyset$

**Lemma 25** (Soundness of variable ordering). *Variable ordering extracts precisely used free variables.*

- $\mathbf{ord} \text{ vars in } N \equiv \text{vars} \cap \mathbf{fv} N$  (as sets)
- +  $\mathbf{ord} \text{ vars in } P \equiv \text{vars} \cap \mathbf{fv} P$  (as sets)

*Proof.* Straightforward mutual induction on  $\mathbf{ord} \text{ vars in } N = \vec{\alpha}$  and  $\mathbf{ord} \text{ vars in } P = \vec{\alpha}$  □

**Corollary 12** (Additivity of ordering). *Variable ordering is additive (in terms of set union) with respect to its first argument.*

- $\mathbf{ord} (\text{vars}_1 \cup \text{vars}_2) \text{ in } N \equiv \mathbf{ord} \text{ vars}_1 \text{ in } N \cup \mathbf{ord} \text{ vars}_2 \text{ in } N$  (as sets)
- +  $\mathbf{ord} (\text{vars}_1 \cup \text{vars}_2) \text{ in } P \equiv \mathbf{ord} \text{ vars}_1 \text{ in } P \cup \mathbf{ord} \text{ vars}_2 \text{ in } P$  (as sets)

**Corollary 13** (Weakening of ordering). *Extending the first argument of the ordering with unused variables does not change the result.*

- $\mathbf{ord} (\text{vars} \cap \mathbf{fv} N) \text{ in } N = \mathbf{ord} \text{ vars in } N$
- +  $\mathbf{ord} (\text{vars} \cap \mathbf{fv} P) \text{ in } P = \mathbf{ord} \text{ vars in } P$

**Corollary 14** (Idempotency of ordering).

- If  $\mathbf{ord} \text{ vars in } N = \vec{\alpha}$  then  $\mathbf{ord} \vec{\alpha} \text{ in } N = \vec{\alpha}$ ,

+ If  $\text{ord vars in } P = \vec{\alpha}$  then  $\text{ord } \vec{\alpha} \text{ in } P = \vec{\alpha}$ ;

*Proof.* By lemma 25 and corollary 13. □

□

**Lemma 26** (Distributivity of renaming over variable ordering). *Suppose that  $\mu$  is a bijection between two sets of variables  $\mu : A \leftrightarrow B$ .*

– If  $\mu$  is collision free on  $\text{vars}$  and  $\text{fv } N$  then  $[\mu](\text{ord vars in } N) = \text{ord } ([\mu]\text{vars}) \text{ in } [\mu]N$

+ If  $\mu$  is collision free on  $\text{vars}$  and  $\text{fv } P$  then  $[\mu](\text{ord vars in } P) = \text{ord } ([\mu]\text{vars}) \text{ in } [\mu]P$

*Proof.* Mutual induction on  $N$  and  $P$ .

**Case 1.**  $N = \alpha^-$

let us consider four cases:

a.  $\alpha^- \in A$  and  $\alpha^- \in \text{vars}$

$$\begin{aligned} \text{Then } [\mu](\text{ord vars in } N) &= [\mu](\text{ord vars in } \alpha^-) \\ &= [\mu]\alpha^- && \text{by Rule (Var}_\epsilon^+) \\ &= \beta^- && \text{for some } \beta^- \in B \text{ (notice that } \beta^- \in [\mu]\text{vars}) \\ &= \text{ord } [\mu]\text{vars in } \beta^- && \text{by Rule (Var}_\epsilon^+), \text{ because } \beta^- \in [\mu]\text{vars} \\ &= \text{ord } [\mu]\text{vars in } [\mu]\alpha^- \end{aligned}$$

b.  $\alpha^- \notin A$  and  $\alpha^- \notin \text{vars}$

Notice that  $[\mu](\text{ord vars in } N) = [\mu](\text{ord vars in } \alpha^-) = \cdot$  by Rule (Var $_{\neq}^+$ ). On the other hand,  $\text{ord } [\mu]\text{vars in } [\mu]\alpha^- = \text{ord } [\mu]\text{vars in } \alpha^- = \cdot$ . The latter equality is from Rule (Var $_{\neq}^+$ ), because  $\mu$  is collision free on  $\text{vars}$  and  $\text{fv } N$ , so  $\text{fv } N \ni \alpha^- \notin \mu(A \cap \text{vars}) \cup \text{vars} \supseteq [\mu]\text{vars}$ .

c.  $\alpha^- \in A$  but  $\alpha^- \notin \text{vars}$

Then  $[\mu](\text{ord vars in } N) = [\mu](\text{ord vars in } \alpha^-) = \cdot$  by Rule (Var $_{\neq}^+$ ). To prove that  $\text{ord } [\mu]\text{vars in } [\mu]\alpha^- = \cdot$ , we apply Rule (Var $_{\neq}^+$ ). Let us show that  $[\mu]\alpha^- \notin [\mu]\text{vars}$ . Since  $[\mu]\alpha^- = \mu(\alpha^-)$  and  $[\mu]\text{vars} \subseteq \mu(A \cap \text{vars}) \cup \text{vars}$ , it suffices to prove  $\mu(\alpha^-) \notin \mu(A \cap \text{vars}) \cup \text{vars}$ .

- (i) If there is an element  $x \in A \cap \text{vars}$  such that  $\mu x = \mu\alpha^-$ , then  $x = \alpha^-$  by bijectivity of  $\mu$ , which contradicts with  $\alpha^- \notin \text{vars}$ . This way,  $\mu(\alpha^-) \notin \mu(A \cap \text{vars})$ .
- (ii) Since  $\mu$  is collision free on  $\text{vars}$  and  $\text{fv } N$ ,  $\mu(A \cap \text{fv } N) \ni \mu(\alpha^-) \notin \text{vars}$ .

d.  $\alpha^- \notin A$  but  $\alpha^- \in \text{vars}$

$\text{ord } [\mu]\text{vars in } [\mu]\alpha^- = \text{ord } [\mu]\text{vars in } \alpha^- = \alpha^-$ . The latter is by Rule (Var $_{\neq}^+$ ), because  $\alpha^- = [\mu]\alpha^- \in [\mu]\text{vars}$  since  $\alpha^- \in \text{vars}$ . On the other hand,  $[\mu](\text{ord vars in } N) = [\mu](\text{ord vars in } \alpha^-) = [\mu]\alpha^- = \alpha^-$ .

**Case 2.**  $N = \uparrow P$

$$\begin{aligned} [\mu](\text{ord vars in } N) &= [\mu](\text{ord vars in } \uparrow P) \\ &= [\mu](\text{ord vars in } P) && \text{by Rule } (\uparrow) \\ &= \text{ord } [\mu]\text{vars in } [\mu]P && \text{by the induction hypothesis} \\ &= \text{ord } [\mu]\text{vars in } \uparrow[\mu]P && \text{by Rule } (\uparrow) \\ &= \text{ord } [\mu]\text{vars in } [\mu]\uparrow P && \text{by the definition of substitution} \\ &= \text{ord } [\mu]\text{vars in } [\mu]N \end{aligned}$$

**Case 3.**  $N = P \rightarrow M$

$$\begin{aligned} [\mu](\text{ord vars in } N) &= [\mu](\text{ord vars in } P \rightarrow M) \\ &= [\mu](\vec{\alpha}_1, (\vec{\alpha}_2 \setminus \vec{\alpha}_1)) && \text{where } \text{ord vars in } P = \vec{\alpha}_1 \text{ and } \text{ord vars in } M = \vec{\alpha}_2 \\ &= [\mu]\vec{\alpha}_1, [\mu](\vec{\alpha}_2 \setminus \vec{\alpha}_1) \\ &= [\mu]\vec{\alpha}_1, ([\mu]\vec{\alpha}_2 \setminus [\mu]\vec{\alpha}_1) && \text{by induction on } \vec{\alpha}_2; \text{ the inductive step is similar to case 1. Notice that } \mu \text{ is} \\ & && \text{collision free on } \vec{\alpha}_1 \text{ and } \vec{\alpha}_2 \text{ since } \vec{\alpha}_1 \subseteq \text{vars} \text{ and } \vec{\alpha}_2 \subseteq \text{fv } N \\ &= [\mu]\vec{\alpha}_1, ([\mu]\vec{\alpha}_2 \setminus [\mu]\vec{\alpha}_1) \\ (\text{ord } [\mu]\text{vars in } [\mu]N) &= (\text{ord } [\mu]\text{vars in } [\mu]P \rightarrow [\mu]M) \\ &= (\vec{\beta}_1, (\vec{\beta}_2 \setminus \vec{\beta}_1)) && \text{where } \text{ord } [\mu]\text{vars in } [\mu]P = \vec{\beta}_1 \text{ and } \text{ord } [\mu]\text{vars in } [\mu]M = \vec{\beta}_2 \\ & && \text{then by the induction hypothesis, } \vec{\beta}_1 = [\mu]\vec{\alpha}_1, \vec{\beta}_2 = [\mu]\vec{\alpha}_2, \\ &= [\mu]\vec{\alpha}_1, ([\mu]\vec{\alpha}_2 \setminus [\mu]\vec{\alpha}_1) \end{aligned}$$

**Case 4.**  $N = \forall \alpha^+ . M$

$$\begin{aligned}
[\mu](\mathbf{ord\,vars\,in}\,N) &= [\mu]\mathbf{ord\,vars\,in}\,\forall \alpha^+ . M \\
&= [\mu]\mathbf{ord\,vars\,in}\,M \\
&= \mathbf{ord}\,[\mu]\mathbf{vars\,in}\,[\mu]M \quad \text{by the induction hypothesis} \\
(\mathbf{ord}\,[\mu]\mathbf{vars\,in}\,[\mu]N) &= \mathbf{ord}\,[\mu]\mathbf{vars\,in}\,[\mu]\forall \alpha^+ . M \\
&= \mathbf{ord}\,[\mu]\mathbf{vars\,in}\,\forall \alpha^+ . [\mu]M \\
&= \mathbf{ord}\,[\mu]\mathbf{vars\,in}\,[\mu]M
\end{aligned}$$

□

**Lemma 27** (Ordering is not affected by independent substitutions). *Suppose that  $\Gamma_2 \vdash \sigma : \Gamma_1$ , i.e.  $\sigma$  maps variables from  $\Gamma_1$  into types taking free variables from  $\Gamma_2$ , and  $\mathbf{vars}$  is a set of variables disjoint with both  $\Gamma_1$  and  $\Gamma_2$ ,  $N$  and  $P$  are types. Then*

$$\begin{aligned}
- \mathbf{ord\,vars\,in}\,[\sigma]N &= \mathbf{ord\,vars\,in}\,N \\
+ \mathbf{ord\,vars\,in}\,[\sigma]P &= \mathbf{ord\,vars\,in}\,P
\end{aligned}$$

*Proof.* Mutual induction on  $N$  and  $P$ .

**Case 1.**  $N = \alpha^-$

If  $\alpha^- \notin \Gamma_1$  then  $[\sigma]\alpha^- = \alpha^-$  and  $\mathbf{ord\,vars\,in}\,[\sigma]\alpha^- = \mathbf{ord\,vars\,in}\,\alpha^-$ , as required. If  $\alpha^- \in \Gamma_1$  then  $\alpha^- \notin \mathbf{vars}$ , so  $\mathbf{ord\,vars\,in}\,\alpha^- = \cdot$ . Moreover,  $\Gamma_2 \vdash \sigma : \Gamma_1$  means  $\mathbf{fv}([\sigma]\alpha^-) \subseteq \Gamma_2$ , and thus, as a set,  $\mathbf{ord\,vars\,in}\,[\sigma]\alpha^- = \mathbf{vars} \cap \mathbf{fv}([\sigma]\alpha^-) \subseteq \mathbf{vars} \cap \Gamma_2 = \cdot$ .

**Case 2.**  $N = \forall \alpha^+ . M$

We can assume  $\alpha^+ \cap \Gamma_1 = \emptyset$  and  $\alpha^+ \cap \mathbf{vars} = \emptyset$ . Then

$$\begin{aligned}
\mathbf{ord\,vars\,in}\,[\sigma]N &= \mathbf{ord\,vars\,in}\,[\sigma]\forall \alpha^+ . M \\
&= \mathbf{ord\,vars\,in}\,\forall \alpha^+ . [\sigma]M \\
&= \mathbf{ord\,vars\,in}\,[\sigma]M \quad \text{by the induction hypothesis} \\
&= \mathbf{ord\,vars\,in}\,M \\
&= \mathbf{ord\,vars\,in}\,\forall \alpha^+ . M \\
&= \mathbf{ord\,vars\,in}\,N
\end{aligned}$$

**Case 3.**  $N = \uparrow P$

$$\begin{aligned}
\mathbf{ord\,vars\,in}\,[\sigma]N &= \mathbf{ord\,vars\,in}\,[\sigma]\uparrow P \\
&= \mathbf{ord\,vars\,in}\,\uparrow[\sigma]P \quad \text{by the definition of substitution} \\
&= \mathbf{ord\,vars\,in}\,[\sigma]P \quad \text{by the induction hypothesis} \\
&= \mathbf{ord\,vars\,in}\,P \quad \text{by the definition of substitution} \\
&= \mathbf{ord\,vars\,in}\,\uparrow P \quad \text{by the definition of ordering} \\
&= \mathbf{ord\,vars\,in}\,N
\end{aligned}$$

**Case 4.**  $N = P \rightarrow M$

$$\begin{aligned}
\mathbf{ord\,vars\,in}\,[\sigma]N &= \mathbf{ord\,vars\,in}\,[\sigma](P \rightarrow M) \\
&= \mathbf{ord\,vars\,in}\,([\sigma]P \rightarrow [\sigma]M) && \text{by the definition of substitution} \\
&= \mathbf{ord\,vars\,in}\,[\sigma]P, (\mathbf{ord\,vars\,in}\,[\sigma]M \setminus \mathbf{ord\,vars\,in}\,[\sigma]P) && \text{by the definition of ordering} \\
&= \mathbf{ord\,vars\,in}\,P, (\mathbf{ord\,vars\,in}\,M \setminus \mathbf{ord\,vars\,in}\,P) && \text{by the induction hypothesis} \\
&= \mathbf{ord\,vars\,in}\,P \rightarrow M && \text{by the definition of ordering} \\
&= \mathbf{ord\,vars\,in}\,N
\end{aligned}$$

**Case 5.** The proofs of the positive cases are symmetric.

□

**Lemma 28** (Completeness of variable ordering). *Variable ordering is invariant under equivalence. For arbitrary  $\mathbf{vars}$ ,*

$$\begin{aligned}
- \text{If } N \simeq_1^D M \text{ then } \mathbf{ord\,vars\,in}\,N &= \mathbf{ord\,vars\,in}\,M \text{ (as lists)} \\
+ \text{If } P \simeq_1^D Q \text{ then } \mathbf{ord\,vars\,in}\,P &= \mathbf{ord\,vars\,in}\,Q \text{ (as lists)}
\end{aligned}$$

*Proof.* Mutual induction on  $N \simeq_1^D M$  and  $P \simeq_1^D Q$ .

□

### 3.6 Normaliztaion

**Lemma 29.** *Set of free variables is invariant under equivalence.*

- If  $N \simeq_1^D M$  then  $\mathbf{fv} N \equiv \mathbf{fv} M$  (as sets)
- + If  $P \simeq_1^D Q$  then  $\mathbf{fv} P \equiv \mathbf{fv} Q$  (as sets)

*Proof.* Straightforward mutual induction on  $N \simeq_1^D M$  and  $P \simeq_1^D Q$  □

**Lemma 30.** *Free variables are not changed by the normalization*

- $\mathbf{fv} N \equiv \mathbf{fv} \mathbf{nf}(N)$
- +  $\mathbf{fv} P \equiv \mathbf{fv} \mathbf{nf}(P)$

*Proof.* By straightforward induction on  $N$  and mutually on  $P$ . □

**Lemma 31.** *Algorithmic variables are not changed by the normalization*

- $\mathbf{uv} N \equiv \mathbf{uv} \mathbf{nf}(N)$
- +  $\mathbf{uv} P \equiv \mathbf{uv} \mathbf{nf}(P)$

*Proof.* By straightforward induction on  $N$  and mutually on  $P$ . □

**Lemma 32** (Soundness of normalization).

- $N \simeq_1^D \mathbf{nf}(N)$
- +  $P \simeq_1^D \mathbf{nf}(P)$

*Proof.* Mutual induction on  $\mathbf{nf}(N) = M$  and  $\mathbf{nf}(P) = Q$ . Let us consider how this judgment is formed:

**Case 1.** ( $\text{Var}^-$ ) and ( $\text{Var}^+$ )

By the corresponding equivalence rules.

**Case 2.** ( $\uparrow$ ), ( $\downarrow$ ), and ( $\rightarrow$ )

By the induction hypothesis and the corresponding congruent equivalence rules.

**Case 3.** ( $\forall$ ), i.e.  $\mathbf{nf}(\forall \vec{\alpha}^+. N) = \forall \vec{\alpha}^{+'}. N'$

From the induction hypothesis, we know that  $N \simeq_1^D N'$ . In particular, by lemma 29,  $\mathbf{fv} N \equiv \mathbf{fv} N'$ . Then by lemma 25,  $\vec{\alpha}^{+'} \equiv \vec{\alpha}^+ \cap \mathbf{fv} N' \equiv \vec{\alpha}^+ \cap \mathbf{fv} N$ , and thus,  $\vec{\alpha}^{+'} \cap \mathbf{fv} N' \equiv \vec{\alpha}^+ \cap \mathbf{fv} N$ .

To prove  $\forall \vec{\alpha}^+. N \simeq_1^D \forall \vec{\alpha}^{+'}. N'$ , it suffices to provide a bijection  $\mu : \vec{\alpha}^{+'} \cap \mathbf{fv} N' \leftrightarrow \vec{\alpha}^+ \cap \mathbf{fv} N$  such that  $N \simeq_1^D [\mu]N'$ . Since these sets are equal, we take  $\mu = id$ .

**Case 4.** ( $\exists$ ) Same as for case 3. □

**Lemma 33** (Soundness of normalization of algorithmic types).

- $N \simeq_1^D \mathbf{nf}(N)$
- +  $P \simeq_1^D \mathbf{nf}(P)$

*Proof.* The proof coincides with the proof of lemma 32. □

**Corollary 15** (Normalization preserves ordering). *For any vars,*

- $\mathbf{ord vars in nf}(N) = \mathbf{ord vars in} M$
- +  $\mathbf{ord vars in nf}(P) = \mathbf{ord vars in} Q$

*Proof.* Immediately from lemmas 28 and 32. □

**Lemma 34** (Distributivity of normalization over substitution). *Normalization of a term distributes over substitution. Suppose that  $\sigma$  is a substitution,  $N$  and  $P$  are types. Then*

- $\mathbf{nf}([\sigma]N) = [\mathbf{nf}(\sigma)]\mathbf{nf}(N)$

$$+ \mathbf{nf}([\sigma]P) = [\mathbf{nf}(\sigma)]\mathbf{nf}(P)$$

where  $\mathbf{nf}(\sigma)$  means pointwise normalization:  $[\mathbf{nf}(\sigma)]\alpha^- = \mathbf{nf}([\sigma]\alpha^-)$ .

*Proof.* Mutual induction on  $N$  and  $P$ .

**Case 1.**  $N = \alpha^-$

$$\mathbf{nf}([\sigma]N) = \mathbf{nf}([\sigma]\alpha^-) = [\mathbf{nf}(\sigma)]\alpha^-.$$

$$[\mathbf{nf}(\sigma)]\mathbf{nf}(N) = [\mathbf{nf}(\sigma)]\mathbf{nf}(\alpha^-) = [\mathbf{nf}(\sigma)]\alpha^-.$$

**Case 2.**  $P = \alpha^+$

Similar to case 1.

**Case 3.** If the type is formed by  $\rightarrow$ ,  $\uparrow$ , or  $\downarrow$ , the required equality follows from the congruence of the normalization and substitution, and the induction hypothesis. For example, if  $N = P \rightarrow M$  then

$$\begin{aligned} \mathbf{nf}([\sigma]N) &= \mathbf{nf}([\sigma](P \rightarrow M)) \\ &= \mathbf{nf}([\sigma]P \rightarrow [\sigma]M) && \text{By the congruence of substitution} \\ &= \mathbf{nf}([\sigma]P) \rightarrow \mathbf{nf}([\sigma]M) && \text{By the congruence of normalization, i.e. Rule } (\rightarrow) \\ &= [\mathbf{nf}(\sigma)]\mathbf{nf}(P) \rightarrow [\mathbf{nf}(\sigma)]\mathbf{nf}(M) && \text{By the induction hypothesis} \\ &= [\mathbf{nf}(\sigma)](\mathbf{nf}(P) \rightarrow \mathbf{nf}(M)) && \text{By the congruence of substitution} \\ &= [\mathbf{nf}(\sigma)]\mathbf{nf}(P \rightarrow M) && \text{By the congruence of normalization} \\ &= [\mathbf{nf}(\sigma)]\mathbf{nf}(N) \end{aligned}$$

**Case 4.**  $N = \forall \alpha^{\vec{\alpha}}.M$

$$\begin{aligned} [\mathbf{nf}(\sigma)]\mathbf{nf}(N) &= [\mathbf{nf}(\sigma)]\mathbf{nf}(\forall \alpha^{\vec{\alpha}}.M) \\ &= [\mathbf{nf}(\sigma)]\forall \alpha^{\vec{\alpha}'}.\mathbf{nf}(M) \quad \text{Where } \vec{\alpha}^{\vec{\alpha}'} = \mathbf{ord} \vec{\alpha}^+ \text{ in } \mathbf{nf}(M) = \mathbf{ord} \vec{\alpha}^+ \text{ in } M \text{ (the latter is by corollary 15)} \end{aligned}$$

$$\begin{aligned} \mathbf{nf}([\sigma]N) &= \mathbf{nf}([\sigma]\forall \alpha^{\vec{\alpha}}.M) \\ &= \mathbf{nf}(\forall \alpha^{\vec{\alpha}}.[\sigma]M) && \text{Assuming } \vec{\alpha}^+ \cap \Gamma_1 = \emptyset \text{ and } \vec{\alpha}^+ \cap \Gamma_2 = \emptyset \\ &= \forall \beta^{\vec{\alpha}}.\mathbf{nf}([\sigma]M) && \text{Where } \vec{\beta}^{\vec{\alpha}} = \mathbf{ord} \vec{\alpha}^+ \text{ in } \mathbf{nf}([\sigma]M) = \mathbf{ord} \vec{\alpha}^+ \text{ in } [\sigma]M \text{ (the latter is by corollary 15)} \\ &= \forall \alpha^{\vec{\alpha}'}.\mathbf{nf}([\sigma]M) && \text{By lemma 27, } \vec{\beta}^{\vec{\alpha}} = \vec{\alpha}^{\vec{\alpha}'} \text{ since } \vec{\alpha}^+ \text{ is disjoint with } \Gamma_1 \text{ and } \Gamma_2 \\ &= \forall \alpha^{\vec{\alpha}'}.[\mathbf{nf}(\sigma)]\mathbf{nf}(M) && \text{By the induction hypothesis} \end{aligned}$$

To show alpha-equivalence of  $[\mathbf{nf}(\sigma)]\forall \alpha^{\vec{\alpha}'}.\mathbf{nf}(M)$  and  $\forall \alpha^{\vec{\alpha}'}.[\mathbf{nf}(\sigma)]\mathbf{nf}(M)$ , we can assume that  $\vec{\alpha}^{\vec{\alpha}'} \cap \Gamma_1 = \emptyset$ , and  $\vec{\alpha}^{\vec{\alpha}'} \cap \Gamma_2 = \emptyset$ .

**Case 5.**  $P = \exists \alpha^{\vec{\alpha}}.Q$

Same as for case 4.

□

**Corollary 16** (Commutativity of normalization and renaming). *Normalization of a term commutes with renaming. Suppose that  $\mu$  is a bijection between two sets of variables  $\mu : A \leftrightarrow B$ . Then*

$$\begin{aligned} - \mathbf{nf}([\mu]N) &= [\mu]\mathbf{nf}(N) \\ + \mathbf{nf}([\mu]P) &= [\mu]\mathbf{nf}(P) \end{aligned}$$

*Proof.* Immediately from lemma 34, after noticing that  $\mathbf{nf}(\mu) = \mu$ .

□

**Lemma 35** (Completeness of quantified normalization). *Normalization returns the same representative for equivalent types.*

$$\begin{aligned} - \text{If } N \simeq_1^D M \text{ then } \mathbf{nf}(N) &= \mathbf{nf}(M) \\ + \text{If } P \simeq_1^D Q \text{ then } \mathbf{nf}(P) &= \mathbf{nf}(Q) \end{aligned}$$

(Here equality means alpha-equivalence)

*Proof.* Mutual induction on  $N \simeq_1^D M$  and  $P \simeq_1^D Q$ .

**Case 1.** ( $\forall \approx_1^P$ )

From the definition of the normalization,

- $\mathbf{nf}(\forall \alpha^+ . N) = \forall \alpha^{+'} . \mathbf{nf}(N)$  where  $\alpha^{+'}$  is  $\mathbf{ord} \alpha^+ \mathbf{in} \mathbf{nf}(N)$
- $\mathbf{nf}(\forall \beta^+ . M) = \forall \beta^{+'} . \mathbf{nf}(M)$  where  $\beta^{+'}$  is  $\mathbf{ord} \beta^+ \mathbf{in} \mathbf{nf}(M)$

Let us take  $\mu : (\beta^+ \cap \mathbf{fv} M) \leftrightarrow (\alpha^+ \cap \mathbf{fv} N)$  from the inversion of the equivalence judgment. Notice that from lemmas 25 and 30, the domain and the codomain of  $\mu$  can be written as  $\mu : \beta^{+'} \leftrightarrow \alpha^{+'}$ .

To show the alpha-equivalence of  $\forall \alpha^{+'} . \mathbf{nf}(N)$  and  $\forall \beta^{+'} . \mathbf{nf}(M)$ , it suffices to prove that (i)  $[\mu] \mathbf{nf}(M) = \mathbf{nf}(N)$  and (ii)  $[\mu] \beta^{+'} = \alpha^{+'}$ .

(i)  $[\mu] \mathbf{nf}(M) = \mathbf{nf}([\mu]M) = \mathbf{nf}(N)$ . The first equality holds by corollary 16, the second—by the induction hypothesis.

$$\begin{aligned}
 \text{(ii) } [\mu] \beta^{+'} &= [\mu] \mathbf{ord} \beta^+ \mathbf{in} \mathbf{nf}(M) && \text{by the definition of } \beta^{+'} \\
 &= [\mu] \mathbf{ord} (\beta^+ \cap \mathbf{fv} M) \mathbf{in} \mathbf{nf}(M) && \text{from lemma 30 and corollary 13} \\
 &= \mathbf{ord} [\mu](\beta^+ \cap \mathbf{fv} M) \mathbf{in} [\mu] \mathbf{nf}(M) && \text{by lemma 26, because } \alpha^+ \cap \mathbf{fv} N \cap \mathbf{fv} \mathbf{nf}(M) \subseteq \alpha^+ \cap \mathbf{fv} M = \emptyset \\
 &\quad \text{and } \alpha^+ \cap \mathbf{fv} N \cap (\beta^+ \cap \mathbf{fv} M) \subseteq \alpha^+ \cap \mathbf{fv} M = \emptyset \\
 &= \mathbf{ord} [\mu](\beta^+ \cap \mathbf{fv} M) \mathbf{in} \mathbf{nf}(N) && \text{since } [\mu] \mathbf{nf}(M) = \mathbf{nf}(N) \text{ is proved} \\
 &= \mathbf{ord} (\alpha^+ \cap \mathbf{fv} N) \mathbf{in} \mathbf{nf}(N) && \text{because } \mu \text{ is a bijection between } \alpha^+ \cap \mathbf{fv} N \text{ and } \beta^+ \cap \mathbf{fv} M \\
 &= \mathbf{ord} \alpha^+ \mathbf{in} \mathbf{nf}(N) && \text{from lemma 30 and corollary 13} \\
 &= \alpha^{+'} && \text{by the definition of } \alpha^{+'}
 \end{aligned}$$

**Case 2.** ( $\exists \approx_1^P$ ) Same as for case 1.

**Case 3.** Other rules are congruent, and thus, proved by the corresponding congruent alpha-equivalence rule, which is applicable by the induction hypothesis. □

**Lemma 36** (Idempotence of normalization). *Normalization is idempotent*

- $\mathbf{nf}(\mathbf{nf}(N)) = \mathbf{nf}(N)$
- +  $\mathbf{nf}(\mathbf{nf}(P)) = \mathbf{nf}(P)$

*Proof.* By applying lemma 35 to lemma 32. □

**Lemma 37.** *The result of a substitution is normalized if and only if the initial type and the substitution are normalized.*

*Suppose that  $\sigma$  is a substitution  $\Gamma_2 \vdash \sigma : \Gamma_1$ ,  $P$  is a positive type ( $\Gamma_1 \vdash P$ ),  $N$  is a negative type ( $\Gamma_1 \vdash N$ ). Then*

$$\begin{aligned}
 + \quad [\sigma]P \text{ is normal} &\iff \begin{cases} \sigma|_{\mathbf{fv}(P)} & \text{is normal} \\ P & \text{is normal} \end{cases} \\
 - \quad [\sigma]N \text{ is normal} &\iff \begin{cases} \sigma|_{\mathbf{fv}(N)} & \text{is normal} \\ N & \text{is normal} \end{cases}
 \end{aligned}$$

*Proof.* Mutual induction on  $\Gamma_1 \vdash P$  and  $\Gamma_1 \vdash N$ .

**Case 1.**  $N = \alpha^-$

Then  $N$  is always normal, and the normality of  $\sigma|_{\alpha^-}$  by the definition means  $[\sigma]\alpha^-$  is normal.

**Case 2.**  $N = P \rightarrow M$

$$\begin{aligned}
[\sigma](P \rightarrow M) \text{ is normal} &\iff [\sigma]P \rightarrow [\sigma]M \text{ is normal} && \text{by the substitution congruence} \\
&\iff \begin{cases} [\sigma]P & \text{is normal} \\ [\sigma]M & \text{is normal} \end{cases} \\
&\iff \begin{cases} P & \text{is normal} \\ \sigma|_{\mathbf{fv}(P)} & \text{is normal} \\ M & \text{is normal} \\ \sigma|_{\mathbf{fv}(M)} & \text{is normal} \end{cases} && \text{by the induction hypothesis} \\
&\iff \begin{cases} P \rightarrow M & \text{is normal} \\ \sigma|_{\mathbf{fv}(P) \cup \mathbf{fv}(M)} & \text{is normal} \end{cases} \\
&\iff \begin{cases} P \rightarrow M & \text{is normal} \\ \sigma|_{\mathbf{fv}(P \rightarrow M)} & \text{is normal} \end{cases}
\end{aligned}$$

**Case 3.**  $N = \uparrow P$

By congruence and the inductive hypothesis, similar to case 2

**Case 4.**  $N = \forall \alpha^+ . M$

$$\begin{aligned}
[\sigma](\forall \alpha^+ . M) \text{ is normal} &\iff (\forall \alpha^+ . [\sigma]M) \text{ is normal} && \text{assuming } \vec{\alpha}^+ \cap \Gamma_1 = \emptyset \text{ and } \vec{\alpha}^+ \cap \Gamma_2 = \emptyset \\
&\iff \begin{cases} [\sigma]M \text{ is normal} \\ \mathbf{ord} \vec{\alpha}^+ \text{ in } [\sigma]M = \vec{\alpha}^+ \end{cases} && \text{by the definition of normalization} \\
&\iff \begin{cases} [\sigma]M \text{ is normal} \\ \mathbf{ord} \vec{\alpha}^+ \text{ in } M = \vec{\alpha}^+ \end{cases} && \text{by lemma 27} \\
&\iff \begin{cases} \sigma|_{\mathbf{fv}(M)} \text{ is normal} \\ M \text{ is normal} \\ \mathbf{ord} \vec{\alpha}^+ \text{ in } M = \vec{\alpha}^+ \end{cases} && \text{by the induction hypothesis} \\
&\iff \begin{cases} \sigma|_{\mathbf{fv}(\forall \alpha^+ . M)} \text{ is normal} \\ \forall \alpha^+ . M \text{ is normal} \end{cases} && \begin{array}{l} \text{since } \mathbf{fv}(\forall \alpha^+ . M) = \mathbf{fv}(M); \\ \text{by the definition of normalization} \end{array}
\end{aligned}$$

**Case 5.**  $P = \dots$

The positive cases are done in the same way as the negative ones.

□

### 3.7 Equivalence

**Lemma 38** (Declarative Equivalence is invariant under bijections). *Suppose  $\mu$  is a bijection  $\mu : \text{vars}_1 \leftrightarrow \text{vars}_2$ , then*

- +  $P_1 \simeq_1^D P_2$  implies  $[\mu]P_1 \simeq_1^D [\mu]P_2$ , and there exists an inference tree of  $[\mu]P_1 \simeq_1^D [\mu]P_2$  with the same shape as the one inferring  $P_1 \simeq_1^D P_2$ ;
- $N_1 \simeq_1^D N_2$  implies  $[\mu]N_1 \simeq_1^D [\mu]N_2$ , and there exists an inference tree of  $[\mu]N_1 \simeq_1^D [\mu]N_2$  with the same shape as the one inferring  $N_1 \simeq_1^D N_2$ .

*Proof.* We prove it by induction on  $P_1 \simeq_1^D P_2$  and mutually, on  $N_1 \simeq_1^D N_2$ . Let us consider the last rule used in the derivation.

**Case 1.** Rule  $(\forall \simeq_1^D)$

Then we decompose  $N_1$  as  $\forall \vec{\alpha}^+_1 . M_1$  and  $N_2$  as  $\forall \vec{\alpha}^+_2 . M_2$ , where  $M_1$  and  $M_2$  do not start with  $\forall$ -quantifiers. where  $|\vec{\alpha}^+_1| + |\vec{\alpha}^+_2| > 0$ . By convention, let us assume that  $\vec{\alpha}^+_1$  and  $\vec{\alpha}^+_2$  are disjoint from  $\text{vars}_2$  and  $\text{vars}_1$ .

By inversion,  $\vec{\alpha}^+_1 \cap \mathbf{fv} M_2 = \emptyset$  and  $M_1 \simeq_1^D [\mu']M_2$  for some bijection  $\mu' : (\vec{\alpha}^+_2 \cap \mathbf{fv} M_2) \leftrightarrow (\vec{\alpha}^+_1 \cap \mathbf{fv} M_1)$ . Then let us apply the induction hypothesis to  $M_1 \simeq_1^D [\mu']M_2$  to obtain  $[\mu]M_1 \simeq_1^D [\mu][\mu']M_2$  inferred by the tree of the same shape as  $M_1 \simeq_1^D [\mu']M_2$ .

Notice that  $[\mu]M_1$  and  $[\mu]M_2$  do not start with  $\forall$ , That is  $[\mu]\forall \vec{\alpha}^+_1 . M_1 \simeq_1^D [\mu]\forall \vec{\alpha}^+_2 . M_2$ , rewritten as  $\forall \vec{\alpha}^+_1 . [\mu]M_1 \simeq_1^D \forall \vec{\alpha}^+_2 . [\mu]M_2$ , can be inferred by Rule  $(\forall \simeq_1^D)$ :

1.  $\vec{\alpha}^+_1$  is disjoint from  $\text{vars}_2 \cup \mathbf{fv} M_2 \subseteq \mathbf{fv} [\mu]M_2$ ;

2.  $[\mu]M_1 \simeq_1^D [\mu'][\mu]M_2$  because  $[\mu'][\mu]M_2 = [\mu][\mu']M_2$  (by corollary 6:  $\mu' : (\vec{\alpha}^+_2 \cap \mathbf{fv} M_2) \leftrightarrow (\vec{\alpha}^+_1 \cap \mathbf{fv} M_1)$ ,  $\mu : \text{vars}_1 \leftrightarrow \text{vars}_2$ ,  $\text{vars}_1$  is disjoint from  $\vec{\alpha}^+_2$  and  $\vec{\alpha}^+_1$ ;  $\vec{\alpha}^+_2$  is disjoint from  $\text{vars}_1$  and  $\text{vars}_2$ )

Notice that it is the same rule as the one inferring  $N_1 \simeq_1^D N_2$ , and thus, the shapes of the trees are the same.

**Case 2.** Rule  $(\text{Var}^{-\simeq_1^D})$

Then  $N_1 = N_2 = \alpha^-$ , and the required  $[\mu]\alpha^- = [\mu]\alpha^-$  is also inferred by Rule  $(\text{Var}^{-\simeq_1^D})$ , since  $[\mu]\alpha^-$  is a variable.

**Case 3.** Rule  $(\rightarrow \simeq_1^D)$

Then we are proving that  $P_1 \rightarrow M_1 \simeq_1^D P_2 \rightarrow M_2$  implies  $[\mu](P_1 \rightarrow M_1) \simeq_1^D [\mu](P_2 \rightarrow M_2)$  (preserving the tree structure).

By inversion, we have  $P_1 \simeq_1^D P_2$  and  $M_1 \simeq_1^D M_2$ , and thus, by the induction hypothesis,  $[\mu]P_1 \simeq_1^D [\mu]P_2$  and  $[\mu]M_1 \simeq_1^D [\mu]M_2$ . Then  $[\mu](P_1 \rightarrow M_1) \simeq_1^D [\mu](P_2 \rightarrow M_2)$ , or in other words,  $[\mu]P_1 \rightarrow [\mu]M_1 \simeq_1^D [\mu]P_2 \rightarrow [\mu]M_2$ , is inferred by the same rule—Rule  $(\rightarrow \simeq_1^D)$ .

**Case 4.** Rule  $(\uparrow \simeq_1^D)$  This case is done by similar congruent arguments as the previous one.

**Case 5.** The positive cases are proved symmetrically. □

**Lemma 39** (Declarative equivalence is transitive).

- + if  $P_1 \simeq_1^D P_2$  and  $P_2 \simeq_1^D P_3$  then  $P_1 \simeq_1^D P_3$ ,
- if  $N_1 \simeq_1^D N_2$  and  $N_2 \simeq_1^D N_3$  then  $N_1 \simeq_1^D N_3$ .

*Proof.* We prove it by  $\text{size}(P_1 \simeq_1^D P_2) + \text{size}(P_2 \simeq_1^D P_3)$  and mutually,  $\text{size}(N_1 \simeq_1^D N_2) + \text{size}(N_2 \simeq_1^D N_3)$ , where by size, we mean the size of the nodes in the corresponding inference tree.

**Case 1.** First, let us consider the case when either  $N_1 \simeq_1^D N_2$  or  $N_2 \simeq_1^D N_3$  is inferred by Rule  $(\forall \simeq_1^D)$ . Let us decompose  $N_1$ ,  $N_2$ , and  $N_3$  as follows:  $N_1 = \forall \vec{\alpha}^+_1.M_1$ ,  $N_2 = \forall \vec{\alpha}^+_2.M_2$ , and  $N_3 = \forall \vec{\alpha}^+_3.M_3$ .

Then by inversion of  $\forall \vec{\alpha}^+_1.M_1 \simeq_1^D \forall \vec{\alpha}^+_2.M_2$  (or if  $\vec{\alpha}^+_1$  and  $\vec{\alpha}^+_2$  are both empty, by assumption) :

1.  $\vec{\alpha}^+_1 \cap \mathbf{fv} M_2 = \emptyset$  and
2. there exists a bijection on variables  $\mu_1 : (\vec{\alpha}^+_2 \cap \mathbf{fv} M_2) \leftrightarrow (\vec{\alpha}^+_1 \cap \mathbf{fv} M_1)$  such that  $M_1 \simeq_1^D [\mu_1]M_2$ .

Analogously,  $\forall \vec{\alpha}^+_1.M_1 \simeq_1^D \forall \vec{\alpha}^+_2.M_2$  implies:

1.  $\vec{\alpha}^+_2 \cap \mathbf{fv} M_3 = \emptyset$  and
2.  $M_2 \simeq_1^D [\mu_2]M_3$  for some bijection  $\mu_2 : (\vec{\alpha}^+_3 \cap \mathbf{fv} M_3) \leftrightarrow (\vec{\alpha}^+_2 \cap \mathbf{fv} M_2)$ .

Notice that either  $M_1 \simeq_1^D [\mu_1]M_2$  is inferred by a proper sub-tree of  $\forall \vec{\alpha}^+_1.M_1 \simeq_1^D \forall \vec{\alpha}^+_2.M_2$  or  $M_2 \simeq_1^D [\mu_2]M_3$  is inferred by a proper sub-tree of  $\forall \vec{\alpha}^+_2.M_2 \simeq_1^D \forall \vec{\alpha}^+_3.M_3$ .

Then by lemma 38,  $[\mu_1]M_2 \simeq_1^D [\mu_1 \circ \mu_2]M_3$  and moreover,  $\text{size}([\mu_1]M_2 \simeq_1^D [\mu_1 \circ \mu_2]M_3) = \text{size}(M_2 \simeq_1^D [\mu_2]M_3)$ .

Since at least one of the trees inferring  $M_1 \simeq_1^D [\mu_1]M_2$  and  $M_2 \simeq_1^D [\mu_2]M_3$  is a proper sub-tree of the corresponding original tree,  $\text{size}(M_1 \simeq_1^D [\mu_1]M_2) + \text{size}(M_2 \simeq_1^D [\mu_2]M_3) < \text{size}(\forall \vec{\alpha}^+_1.M_1 \simeq_1^D \forall \vec{\alpha}^+_2.M_2) + \text{size}(\forall \vec{\alpha}^+_2.M_2 \simeq_1^D \forall \vec{\alpha}^+_3.M_3)$ , i.e., the induction hypothesis is applicable.

By the induction hypothesis,  $M_1 \simeq_1^D [\mu_1 \circ \mu_2]M_3$ . Where  $\mu_1 \circ \mu_2$  is a bijection on variables  $\mu_1 \circ \mu_2 : (\vec{\alpha}^+_3 \cap \mathbf{fv} M_3) \leftrightarrow (\vec{\alpha}^+_1 \cap \mathbf{fv} M_1)$ . Then  $\forall \vec{\alpha}^+_1.M_1 \simeq_1^D \forall \vec{\alpha}^+_3.M_3$  by Rule  $(\forall \simeq_1^D)$ .

Once this case has been considered, we can assume that neither  $N_1 \simeq_1^D N_2$  nor  $N_2 \simeq_1^D N_3$  is inferred by Rule  $(\forall \simeq_1^D)$ .

**Case 2.**  $N_1 \simeq_1^D N_2$  is inferred by Rule  $(\text{Var}^{-\simeq_1^D})$

Then  $N_1 = N_2 = \alpha^-$ , and thus,  $N_1 \simeq_1^D N_3$  holds since  $N_2 \simeq_1^D N_3$ .

**Case 3.**  $N_1 \simeq_1^D N_2$  is inferred by Rule  $(\rightarrow \simeq_1^D)$

Then  $N_1 = P_1 \rightarrow M_1$  and  $N_2 = P_2 \rightarrow M_2$ , and by inversion,  $P_1 \simeq_1^D P_2$  and  $M_1 \simeq_1^D M_2$ .

Moreover, since  $N_3$  does not start with  $\forall$ ,  $N_2 \simeq_1^D N_3$  is also inferred by Rule  $(\rightarrow \simeq_1^D)$ , which means that  $N_3 = P_3 \rightarrow M_3$ ,  $P_2 \simeq_1^D P_3$ , and  $M_2 \simeq_1^D M_3$ .

Then by the induction hypothesis,  $P_1 \simeq_1^D P_3$  and  $M_1 \simeq_1^D M_3$ , and thus,  $P_1 \rightarrow M_1 \simeq_1^D P_3 \rightarrow M_3$  by Rule  $(\rightarrow \simeq_1^D)$ .



**Case 4.**  $N_1 \simeq_1^D N_2$  is inferred by Rule  $(\rightarrow \simeq_1^D)$

For this case, the reasoning is the same as for the previous one.

**Case 5.** The positive cases are proved symmetrically.

□

**Lemma 40** (Algorithmization of declarative equivalence). *Declarative equivalence is the equality of normal forms.*

- +  $P \simeq_1^D Q \iff \mathbf{nf}(P) = \mathbf{nf}(Q)$ ,
- $N \simeq_1^D M \iff \mathbf{nf}(N) = \mathbf{nf}(M)$ .

*Proof.*

- + Let us prove both directions separately.
  - $\Rightarrow$  exactly by lemma 35,
  - $\Leftarrow$  from lemma 32, we know  $P \simeq_1^D \mathbf{nf}(P) = \mathbf{nf}(Q) \simeq_1^D Q$ , then by transitivity (lemma 39),  $P \simeq_1^D Q$ .
- The proof is exactly the same.

□

**Lemma 41** (Type well-formedness is invariant under equivalence). *Mutual subtyping implies declarative equivalence.*

- + if  $P \simeq_1^D Q$  then  $\Gamma \vdash P \iff \Gamma \vdash Q$ ,
- if  $N \simeq_1^D M$  then  $\Gamma \vdash N \iff \Gamma \vdash M$

*Proof.* We prove it by induction on  $P \simeq_1^D Q$  and mutually, on  $N \simeq_1^D M$ . Let us consider the last rule used in the derivation.

**Case 1.** Rule  $(\text{Var}^- \simeq_1^D)$ , that is  $N \simeq_1^D M$  has shape  $\alpha^- \simeq_1^D \alpha^-$ .

Then  $\Gamma \vdash P \iff \Gamma \vdash Q$  is rewritten as  $\Gamma \vdash \alpha^- \iff \Gamma \vdash \alpha^-$ , which holds trivially.

**Case 2.** Rule  $(\uparrow \simeq_1^D)$ , that is  $N \simeq_1^D M$  has shape  $\uparrow P \simeq_1^D \uparrow Q$ .

By inversion,  $P \simeq_1^D Q$ , and by the induction hypothesis,  $\Gamma \vdash P \iff \Gamma \vdash Q$ . Also notice that  $\Gamma \vdash \uparrow P \iff \Gamma \vdash P$  and  $\Gamma \vdash \uparrow Q \iff \Gamma \vdash Q$  by inversion and Rule WFTShiftU. This way,  $\Gamma \vdash \uparrow P \iff \Gamma \vdash P \iff \Gamma \vdash Q \iff \Gamma \vdash \uparrow Q$ .

**Case 3.** Rule  $(\rightarrow \simeq_1^D)$ , that is  $N \simeq_1^D M$  has shape  $P \rightarrow N' \simeq_1^D Q \rightarrow M'$ .

Then by inversion,  $P \simeq_1^D Q$  and  $N' \simeq_1^D M'$ , and by the induction hypothesis,  $\Gamma \vdash P \iff \Gamma \vdash Q$  and  $\Gamma \vdash N' \iff \Gamma \vdash M'$ .  
 $\Gamma \vdash P \rightarrow N' \iff \Gamma \vdash P$  and  $\Gamma \vdash N'$  by inversion and Rule WFTArrow

$$\begin{aligned} &\iff \Gamma \vdash Q \text{ and } \Gamma \vdash M' && \text{as noted above} \\ &\iff \Gamma \vdash Q \rightarrow M' && \text{by Rule WFTArrow and inversion} \end{aligned}$$

**Case 4.** Rule  $(\forall \simeq_1^D)$ , that is  $N \simeq_1^D M$  has shape  $\forall \alpha^+. N' \simeq_1^D \forall \beta^+. M'$ .

By inversion,  $\forall \alpha^+. N' \simeq_1^D \forall \beta^+. M'$  means that  $\overrightarrow{\alpha^+} \cap \mathbf{fv} M = \emptyset$  and that there exists a bijection on variables  $\mu : (\overrightarrow{\beta^+} \cap \mathbf{fv} M') \leftrightarrow (\overrightarrow{\alpha^+} \cap \mathbf{fv} N')$  such that  $N' \simeq_1^D [\mu]M'$ .

By inversion and Rule WFTForall,  $\Gamma \vdash \forall \alpha^+. N'$  is equivalent to  $\Gamma, \overrightarrow{\alpha^+} \vdash N'$ , and by section 3.3, it is equivalent to  $\Gamma, (\overrightarrow{\alpha^+} \cap \mathbf{fv} N') \vdash N'$ , which, by the induction hypothesis, is equivalent to  $\Gamma, (\overrightarrow{\alpha^+} \cap \mathbf{fv} N') \vdash [\mu]M'$ .

Analogously,  $\Gamma \vdash \forall \beta^+. M'$  is equivalent to  $\Gamma, (\overrightarrow{\beta^+} \cap \mathbf{fv} M') \vdash M'$ . By lemma 3, it implies  $\Gamma, (\overrightarrow{\alpha^+} \cap \mathbf{fv} M') \vdash [\mu]M'$ . And vice versa,  $\Gamma, (\overrightarrow{\alpha^+} \cap \mathbf{fv} M') \vdash [\mu]M'$  implies  $\Gamma, (\overrightarrow{\beta^+} \cap \mathbf{fv} M') \vdash [\mu^{-1}][\mu]M'$ .

This way, both  $\Gamma \vdash \forall \alpha^+. N'$  and  $\Gamma \vdash \forall \beta^+. M'$  are equivalent to  $\Gamma, (\overrightarrow{\alpha^+} \cap \mathbf{fv} N') \vdash [\mu]M'$ .

**Case 5.** For the cases of the positive types, the proofs are symmetric.

□

**Lemma 42** (Algorithmic type well-formedness is invariant under equivalence). *Mutual subtyping implies declarative equivalence.*

- + if  $P \simeq_1^D Q$  then  $\Gamma; \Xi \vdash P \iff \Gamma; \Xi \vdash Q$ ,
- if  $N \simeq_1^D M$  then  $\Gamma; \Xi \vdash N \iff \Gamma; \Xi \vdash M$

*Proof.* The proof coincides with the proof of lemma 41, and adds two cases for equating two positive or two negative metavariables, which must be equal by inversion, and thus,  $\Gamma; \Xi \vdash \hat{\alpha}^\pm \iff \Gamma; \Xi \vdash \hat{\alpha}^\pm$  holds trivially.  $\square$

**Corollary 17** (Normalization preserves well-formedness).

$$+ \Gamma \vdash P \iff \Gamma \vdash \mathbf{nf}(P),$$

$$- \Gamma \vdash N \iff \Gamma \vdash \mathbf{nf}(N)$$

*Proof.* Immediately from lemmas 32 and 41.  $\square$

**Lemma 43** (Normalization preserves substitution signature). *Suppose that  $\sigma$  is a substitution,  $\Gamma_1$  and  $\Gamma_2$  are contexts. Then  $\Gamma_2 \vdash \sigma : \Gamma_1$  implies  $\Gamma_2 \vdash \mathbf{nf}(\sigma) : \Gamma_1$ .*

*Proof.* Suppose that  $\alpha^\pm \in \Gamma_1$ . Then by corollary 17,  $\Gamma_2 \vdash \mathbf{nf}([\sigma]\alpha^\pm) = [\mathbf{nf}(\sigma)]\alpha^\pm$  is equivalent to  $\Gamma_2 \vdash [\sigma]\alpha^\pm$ .

Suppose that  $\alpha^\pm \notin \Gamma_1$ .  $\Gamma_2 \vdash \sigma : \Gamma_1$  means that  $[\sigma]\alpha^\pm = \alpha^\pm$ , and then  $[\mathbf{nf}(\sigma)]\alpha^\pm = \mathbf{nf}([\sigma]\alpha^\pm) = \mathbf{nf}(\alpha^\pm) = \alpha^\pm$ .  $\square$

**Corollary 18** (Normalization preserves well-formedness of algorithmic types).  $+ \Gamma; \Xi \vdash \mathbf{P} \iff \Gamma; \Xi \vdash \mathbf{nf}(P),$

$$- \Gamma; \Xi \vdash \mathbf{N} \iff \Gamma; \Xi \vdash \mathbf{nf}(N)$$

*Proof.* Immediately from lemmas 33 and 42.  $\square$

**Corollary 19** (Normalization preserves well-formedness of substitution).

$$\Gamma_2 \vdash \sigma : \Gamma_1 \iff \Gamma_2 \vdash \mathbf{nf}(\sigma) : \Gamma_1$$

*Proof.* Let us prove the forward direction. Suppose that  $\alpha^\pm \in \Gamma_1$ . Let us show that  $\Gamma_2 \vdash [\mathbf{nf}(\sigma)]\alpha^\pm$ . By the definition of substitution normalization,  $[\mathbf{nf}(\sigma)]\alpha^\pm = \mathbf{nf}([\sigma]\alpha^\pm)$ . Then by corollary 18, to show  $\Gamma_2 \vdash \mathbf{nf}([\sigma]\alpha^\pm)$ , it suffices to show  $\Gamma_2 \vdash [\sigma]\alpha^\pm$ , which holds by the assumption  $\Gamma_2 \vdash \sigma : \Gamma_1$ .

The backward direction is proved analogously.  $\square$

**Corollary 20** (Normalization preserves the signature of the algorithmic substitution).

$$\Theta \vdash \hat{\sigma} \iff \Theta \vdash \mathbf{nf}(\hat{\sigma}) \text{ and } \Gamma \vdash \hat{\sigma} : \Xi \iff \Gamma \vdash \mathbf{nf}(\hat{\sigma}) : \Xi.$$

*Proof.* The proof is analogous to corollary 19.  $\square$

**Lemma 44** (Soundness of equivalence). *Declarative equivalence implies mutual subtyping.*

$$+ \text{ if } \Gamma \vdash P, \Gamma \vdash Q, \text{ and } P \simeq_1^D Q \text{ then } \Gamma \vdash P \preceq_1^\leq Q,$$

$$- \text{ if } \Gamma \vdash N, \Gamma \vdash M, \text{ and } N \simeq_1^D M \text{ then } \Gamma \vdash N \preceq_1^\leq M.$$

*Proof.* We prove it by mutual induction on  $P \simeq_1^D Q$  and  $N \simeq_1^D M$ .

**Case 1.**  $\alpha^- \simeq_1^D \alpha^-$

Then  $\Gamma \vdash \alpha^- \preceq_1^\leq \alpha^-$  by Rule ( $\text{Var}^- \preceq_1^\leq$ ), which immediately implies  $\Gamma \vdash \alpha^- \preceq_1^\leq \alpha^-$  by Rule ( $\preceq_1^\leq^-$ ).

**Case 2.**  $\uparrow P \simeq_1^D \uparrow Q$

Then by inversion of Rule ( $\uparrow \preceq_1^\leq$ ),  $P \simeq_1^D Q$ , and from the induction hypothesis,  $\Gamma \vdash P \preceq_1^\leq Q$ , and (by symmetry)  $\Gamma \vdash Q \preceq_1^\leq P$ .

When Rule ( $\uparrow \preceq_1^\leq$ ) is applied to  $\Gamma \vdash P \preceq_1^\leq Q$ , it gives us  $\Gamma \vdash \uparrow P \preceq_1^\leq \uparrow Q$ ; when it is applied to  $\Gamma \vdash Q \preceq_1^\leq P$ , we obtain  $\Gamma \vdash \uparrow Q \preceq_1^\leq \uparrow P$ . Together, it implies  $\Gamma \vdash \uparrow P \preceq_1^\leq \uparrow Q$ .

**Case 3.**  $P \rightarrow N \simeq_1^D Q \rightarrow M$

Then by inversion of Rule ( $\rightarrow \preceq_1^\leq$ ),  $P \simeq_1^D Q$  and  $N \simeq_1^D M$ . By the induction hypothesis,  $\Gamma \vdash P \preceq_1^\leq Q$  and  $\Gamma \vdash N \preceq_1^\leq M$ , which means by inversion: (i)  $\Gamma \vdash P \geq_1^\leq Q$ , (ii)  $\Gamma \vdash Q \geq_1^\leq P$ , (iii)  $\Gamma \vdash N \leq_1^\leq M$ , (iv)  $\Gamma \vdash M \leq_1^\leq N$ . Applying Rule ( $\rightarrow \preceq_1^\leq$ ) to (i) and (iii), we obtain  $\Gamma \vdash P \rightarrow N \leq_1^\leq Q \rightarrow M$ ; applying it to (ii) and (iv), we have  $\Gamma \vdash Q \rightarrow M \leq_1^\leq P \rightarrow N$ . Together, it implies  $\Gamma \vdash P \rightarrow N \preceq_1^\leq Q \rightarrow M$ .

**Case 4.**  $\forall \vec{\alpha}^+. N \simeq_1^D \forall \vec{\beta}^+. M$

Then by inversion, there exists bijection  $\mu : (\vec{\beta}^+ \cap \mathbf{fv} M) \leftrightarrow (\vec{\alpha}^+ \cap \mathbf{fv} N)$ , such that  $N \simeq_1^D [\mu]M$ . By the induction hypothesis,  $\Gamma, \vec{\alpha}^+ \vdash N \preceq_1^\leq [\mu]M$ . From lemma 51 and the fact that  $\mu$  is bijective, we also have  $\Gamma, \vec{\beta}^+ \vdash [\mu^{-1}]N \preceq_1^\leq M$ .

Let us construct a substitution  $\vec{\alpha}^+ \vdash \vec{P}/\vec{\beta}^+ : \vec{\beta}^+$  by extending  $\mu$  with arbitrary positive types on  $\vec{\beta}^+ \setminus \mathbf{fv} M$ .

Notice that  $[\mu]M = [\vec{P}/\vec{\beta}^+]M$ , and therefore,  $\Gamma, \vec{\alpha}^+ \vdash N \preceq_1^\leq [\mu]M$  implies  $\Gamma, \vec{\alpha}^+ \vdash [\vec{P}/\vec{\beta}^+]M \leq_1^\leq N$ . Then by Rule ( $\forall \preceq_1^\leq$ ),  $\Gamma \vdash \forall \vec{\beta}^+. M \leq_1^\leq \forall \vec{\alpha}^+. N$ .

Analogously, we construct the substitution from  $\mu^{-1}$ , and use it to instantiate  $\vec{\alpha}^+$  in the application of Rule ( $\forall \preceq_1^\leq$ ) to infer  $\Gamma \vdash \forall \vec{\alpha}^+. N \leq_1^\leq \forall \vec{\beta}^+. M$ .

This way,  $\Gamma \vdash \forall \vec{\beta}^+. M \leq_1^\leq \forall \vec{\alpha}^+. N$  and  $\Gamma \vdash \forall \vec{\alpha}^+. N \leq_1^\leq \forall \vec{\beta}^+. M$  gives us  $\Gamma \vdash \forall \vec{\beta}^+. M \preceq_1^\leq \forall \vec{\alpha}^+. N$ .

**Case 5.** For the cases of the positive types, the proofs are symmetric. □

**Corollary 21** (Normalization is sound w.r.t. subtyping-induced equivalence).

- + if  $\Gamma \vdash P$  then  $\Gamma \vdash P \simeq_1^{\leq} \mathbf{nf}(P)$ ,
- if  $\Gamma \vdash N$  then  $\Gamma \vdash N \simeq_1^{\leq} \mathbf{nf}(N)$ .

*Proof.* Immediately from lemmas 32 and 44 and corollary 17. □

**Corollary 22** (Normalization preserves subtyping). *Assuming all the types are well-formed in context  $\Gamma$ ,*

- +  $\Gamma \vdash P \geq_1 Q \iff \Gamma \vdash \mathbf{nf}(P) \geq_1 \mathbf{nf}(Q)$ ,
- $\Gamma \vdash N \leq_1 M \iff \Gamma \vdash \mathbf{nf}(N) \leq_1 \mathbf{nf}(M)$ .

*Proof.*

- +  $\Rightarrow$  Let us assume  $\Gamma \vdash P \geq_1 Q$ . By corollary 21,  $\Gamma \vdash P \simeq_1^{\leq} \mathbf{nf}(P)$  and  $\Gamma \vdash Q \simeq_1^{\leq} \mathbf{nf}(Q)$ , in particular, by inversion,  $\Gamma \vdash \mathbf{nf}(P) \geq_1 P$  and  $\Gamma \vdash Q \geq_1 \mathbf{nf}(Q)$ . Then by the transitivity of subtyping (??),  $\Gamma \vdash \mathbf{nf}(P) \geq_1 \mathbf{nf}(Q)$ .
- $\Leftarrow$  Let us assume  $\Gamma \vdash \mathbf{nf}(P) \geq_1 \mathbf{nf}(Q)$ . Also by corollary 21 and inversion,  $\Gamma \vdash P \geq_1 \mathbf{nf}(P)$  and  $\Gamma \vdash \mathbf{nf}(Q) \geq_1 Q$ . Then by the transitivity,  $\Gamma \vdash P \geq_1 Q$ .
- The negative case is proved symmetrically. □

**Lemma 45** (Subtyping induced by disjoint substitutions). *If two disjoint substitutions induce subtyping, they are degenerate (so is the subtyping). Suppose that  $\Gamma \vdash \sigma_1 : \Gamma_1$  and  $\Gamma \vdash \sigma_2 : \Gamma_1$ , where  $\Gamma_i \subseteq \Gamma$  and  $\Gamma_1 \cap \Gamma_2 = \emptyset$ . Then*

- assuming  $\Gamma \vdash N$ ,  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  implies  $\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} N$
- + assuming  $\Gamma \vdash P$ ,  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$  implies  $\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} P$

*Proof.* Proof by induction on  $\Gamma \vdash N$  (and mutually on  $\Gamma \vdash P$ ).

**Case 1.**  $N = \alpha^-$

Then  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  is rewritten as  $\Gamma \vdash [\sigma_1]\alpha^- \leq_1 [\sigma_2]\alpha^-$ . Let us consider the following cases:

- a.  $\alpha^- \notin \Gamma_1$  and  $\alpha^- \notin \Gamma_2$   
Then  $\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \alpha^-$  holds immediately, since  $[\sigma_i]\alpha^- = [\text{id}]\alpha^- = \alpha^-$  and  $\Gamma \vdash \alpha^- \simeq_1^{\leq} \alpha^-$ .
- b.  $\alpha^- \in \Gamma_1$  and  $\alpha^- \in \Gamma_2$   
This case is not possible by assumption:  $\Gamma_1 \cap \Gamma_2 = \emptyset$ .
- c.  $\alpha^- \in \Gamma_1$  and  $\alpha^- \notin \Gamma_2$   
Then we have  $\Gamma \vdash [\sigma_1]\alpha^- \leq_1 \alpha^-$ , which by corollary 9 means  $\Gamma \vdash [\sigma_1]\alpha^- \simeq_1^{\leq} \alpha^-$ , and hence,  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \text{id} : \alpha^-$ .  
 $\Gamma \vdash \sigma_2 \simeq_1^{\leq} \text{id} : \alpha^-$  holds since  $[\sigma_2]\alpha^- = \alpha^-$ , similarly to case 1.a.
- d.  $\alpha^- \notin \Gamma_1$  and  $\alpha^- \in \Gamma_2$   
Then we have  $\Gamma \vdash \alpha^- \leq_1 [\sigma_2]\alpha^-$ , which by corollary 9 means  $\Gamma \vdash \alpha^- \simeq_1^{\leq} [\sigma_2]\alpha^-$ , and hence,  $\Gamma \vdash \sigma_2 \simeq_1^{\leq} \text{id} : \alpha^-$ .  
 $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \text{id} : \alpha^-$  holds since  $[\sigma_1]\alpha^- = \alpha^-$ , similarly to case 1.a.

**Case 2.**  $N = \forall \alpha^+. M$

Then by inversion,  $\Gamma, \alpha^+ \vdash M$ .  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  is rewritten as  $\Gamma \vdash [\sigma_1]\forall \alpha^+. M \leq_1 [\sigma_2]\forall \alpha^+. M$ . By the congruence of substitution and by the inversion of Rule ( $\forall^{\leq_1}$ ),  $\Gamma, \alpha^+ \vdash [\vec{Q}/\alpha^+][\sigma_1]M \leq_1 [\sigma_2]M$ , where  $\Gamma, \alpha^+ \vdash Q_i$ . Let us denote the (Kleisli) composition of  $\sigma_1$  and  $\vec{Q}/\alpha^+$  as  $\sigma'_1$ , noting that  $\Gamma, \alpha^+ \vdash \sigma'_1 : \Gamma_1, \alpha^+$ , and  $\Gamma_1, \alpha^+ \cap \Gamma_2 = \emptyset$ .

Let us apply the induction hypothesis to  $M$  and the substitutions  $\sigma'_1$  and  $\sigma_2$  with  $\Gamma, \alpha^+ \vdash [\sigma'_1]M \leq_1 [\sigma_2]M$  to obtain:

$$\Gamma, \alpha^+ \vdash \sigma'_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} M \quad (1)$$

$$\Gamma, \alpha^+ \vdash \sigma_2 \simeq_1^{\leq} \text{id} : \mathbf{fv} M \quad (2)$$

Then  $\Gamma \vdash \sigma_2 \simeq_1^{\leq} \text{id} : \mathbf{fv} \forall \alpha^+. M$  holds by strengthening of 2: for any  $\beta^\pm \in \mathbf{fv} \forall \alpha^+. M = \mathbf{fv} M \setminus \alpha^+$ ,  $\Gamma, \alpha^+ \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \beta^\pm$  is strengthened to  $\Gamma \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \beta^\pm$ , because  $\mathbf{fv} [\sigma_2]\beta^\pm = \mathbf{fv} \beta^\pm = \{\beta^\pm\} \subseteq \Gamma$ .

To show that  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} \forall \alpha^{\vec{\tau}}. M$ , let us take an arbitrary  $\beta^\pm \in \mathbf{fv} \forall \alpha^{\vec{\tau}}. M = \mathbf{fv} M \setminus \alpha^{\vec{\tau}}$ .

$$\begin{aligned} \beta^\pm &= [\text{id}] \beta^\pm && \text{by definition of id} \\ &\simeq_1^{\leq} [\sigma'_1] \beta^\pm && \text{by 1} \\ &= [\vec{Q}/\alpha^{\vec{\tau}}][\sigma_1] \beta^\pm && \text{by definition of } \sigma'_1 \\ &= [\sigma_1] \beta^\pm && \text{because } \alpha^{\vec{\tau}} \cap \mathbf{fv} [\sigma_1] \beta^\pm \subseteq \alpha^{\vec{\tau}} \cap \Gamma = \emptyset \end{aligned}$$

This way,  $\Gamma \vdash [\sigma_1] \beta^\pm \simeq_1^{\leq} \beta^\pm$  for any  $\beta^\pm \in \mathbf{fv} \forall \alpha^{\vec{\tau}}. M$  and thus,  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} \forall \alpha^{\vec{\tau}}. M$ .

**Case 3.**  $N = P \rightarrow M$

Then by inversion,  $\Gamma \vdash P$  and  $\Gamma \vdash M$ .  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  is rewritten as  $\Gamma \vdash [\sigma_1](P \rightarrow M) \leq_1 [\sigma_2](P \rightarrow M)$ , then by congruence of substitution,  $\Gamma \vdash [\sigma_1]P \rightarrow [\sigma_1]M \leq_1 [\sigma_2]P \rightarrow [\sigma_2]M$ , then by inversion  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$  and  $\Gamma \vdash [\sigma_1]M \leq_1 [\sigma_2]M$ .

Applying the induction hypothesis to  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$  and to  $\Gamma \vdash [\sigma_1]M \leq_1 [\sigma_2]M$ , we obtain (respectively):

$$\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} P \quad (3)$$

$$\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} M \quad (4)$$

Noting that  $\mathbf{fv}(P \rightarrow M) = \mathbf{fv} P \cup \mathbf{fv} M$ , we combine eqs. (3) and (4) to conclude:  $\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv}(P \rightarrow M)$ .

**Case 4.**  $N = \uparrow P$

Then by inversion,  $\Gamma \vdash P$ .  $\Gamma \vdash [\sigma_1]N \leq_1 [\sigma_2]N$  is rewritten as  $\Gamma \vdash [\sigma_1]\uparrow P \leq_1 [\sigma_2]\uparrow P$ , then by congruence of substitution and by inversion,  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$ .

Applying the induction hypothesis to  $\Gamma \vdash [\sigma_1]P \geq_1 [\sigma_2]P$ , we obtain  $\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} P$ . Since  $\mathbf{fv} \uparrow P = \mathbf{fv} P$ , we can conclude:  $\Gamma \vdash \sigma_i \simeq_1^{\leq} \text{id} : \mathbf{fv} \uparrow P$ .

**Case 5.** The positive cases are proved symmetrically.

□

**Corollary 23** (Substitution cannot induce proper subtypes or supertypes). *Assuming all mentioned types are well-formed in  $\Gamma$  and  $\sigma$  is a substitution  $\Gamma \vdash \sigma : \Gamma$ ,*

$$\begin{aligned} \Gamma \vdash [\sigma]N \leq_1 N &\Rightarrow \Gamma \vdash [\sigma]N \simeq_1^{\leq} N \text{ and } \Gamma \vdash \sigma \simeq_1^{\leq} \text{id} : \mathbf{fv} N \\ \Gamma \vdash N \leq_1 [\sigma]N &\Rightarrow \Gamma \vdash N \simeq_1^{\leq} [\sigma]N \text{ and } \Gamma \vdash \sigma \simeq_1^{\leq} \text{id} : \mathbf{fv} N \\ \Gamma \vdash [\sigma]P \geq_1 P &\Rightarrow \Gamma \vdash [\sigma]P \simeq_1^{\leq} P \text{ and } \Gamma \vdash \sigma \simeq_1^{\leq} \text{id} : \mathbf{fv} P \\ \Gamma \vdash P \geq_1 [\sigma]P &\Rightarrow \Gamma \vdash P \simeq_1^{\leq} [\sigma]P \text{ and } \Gamma \vdash \sigma \simeq_1^{\leq} \text{id} : \mathbf{fv} P \end{aligned}$$

**Lemma 46.** *Assuming that the mentioned types ( $P$ ,  $Q$ ,  $N$ , and  $M$ ) are well-formed in  $\Gamma$  and that the substitutions ( $\sigma_1$  and  $\sigma_2$ ) have signature  $\Gamma \vdash \sigma_i : \Gamma$ ,*

- + if  $\Gamma \vdash [\sigma_1]P \geq_1 Q$  and  $\Gamma \vdash [\sigma_2]Q \geq_1 P$   
then there exists a bijection  $\mu : \mathbf{fv} P \leftrightarrow \mathbf{fv} Q$  such that  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \mu : \mathbf{fv} P$  and  $\Gamma \vdash \sigma_2 \simeq_1^{\leq} \mu^{-1} : \mathbf{fv} Q$ ;
- if  $\Gamma \vdash [\sigma_1]N \leq_1 M$  and  $\Gamma \vdash [\sigma_2]N \leq_1 M$   
then there exists a bijection  $\mu : \mathbf{fv} N \leftrightarrow \mathbf{fv} M$  such that  $\Gamma \vdash \sigma_1 \simeq_1^{\leq} \mu : \mathbf{fv} N$  and  $\Gamma \vdash \sigma_2 \simeq_1^{\leq} \mu^{-1} : \mathbf{fv} M$ .

*Proof.*

- + Applying  $\sigma_2$  to both sides of  $\Gamma \vdash [\sigma_1]P \geq_1 Q$  (by lemma 23), we have:  $\Gamma \vdash [\sigma_2 \circ \sigma_1]P \geq_1 [\sigma_2]Q$ . Composing it with  $\Gamma \vdash [\sigma_2]Q \geq_1 P$  by transitivity (lemma 24), we have  $\Gamma \vdash [\sigma_2 \circ \sigma_1]P \geq_1 P$ . Then by corollary 23,  $\Gamma \vdash \sigma_2 \circ \sigma_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} P$ . By a symmetric argument, we also have:  $\Gamma \vdash \sigma_1 \circ \sigma_2 \simeq_1^{\leq} \text{id} : \mathbf{fv} Q$ .

Now, we prove that  $\Gamma \vdash \sigma_2 \circ \sigma_1 \simeq_1^{\leq} \text{id} : \mathbf{fv} P$  and  $\Gamma \vdash \sigma_1 \circ \sigma_2 \simeq_1^{\leq} \text{id} : \mathbf{fv} Q$  implies that  $\sigma_1$  and  $\sigma_1$  are (equivalent to) mutually inverse bijections.

To do so, it suffices to prove that

- (i) for any  $\alpha^\pm \in \mathbf{fv} P$  there exists  $\beta^\pm \in \mathbf{fv} Q$  such that  $\Gamma \vdash [\sigma_1]\alpha^\pm \simeq_1^{\leq} \beta^\pm$  and  $\Gamma \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \alpha^\pm$ ; and
- (ii) for any  $\beta^\pm \in \mathbf{fv} Q$  there exists  $\alpha^\pm \in \mathbf{fv} P$  such that  $\Gamma \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \alpha^\pm$  and  $\Gamma \vdash [\sigma_1]\alpha^\pm \simeq_1^{\leq} \beta^\pm$ .

Then these correspondences between  $\mathbf{fv} P$  and  $\mathbf{fv} Q$  are mutually inverse functions, since for any  $\beta^\pm$  there can be at most one  $\alpha^\pm$  such that  $\Gamma \vdash [\sigma_2]\beta^\pm \simeq_1^{\leq} \alpha^\pm$  (and vice versa).

(i) Let us take  $\alpha^\pm \in \mathbf{fv} P$ .

(a) if  $\alpha^\pm$  is positive ( $\alpha^\pm = \alpha^+$ ), from  $\Gamma \vdash [\sigma_2][\sigma_1]\alpha^+ \simeq_1^{\rightarrow} \alpha^+$ , by corollary 9, we have  $[\sigma_2][\sigma_1]\alpha^+ = \exists \overrightarrow{\beta^-}.\alpha^+$ .

What shape can  $[\sigma_1]\alpha^+$  have? It cannot be  $\exists \overrightarrow{\alpha^-}.\downarrow N$  (for potentially empty  $\overrightarrow{\alpha^-}$ ), because the outer constructor  $\downarrow$  would remain after the substitution  $\sigma_2$ , whereas  $\exists \overrightarrow{\beta^-}.\alpha^+$  does not have  $\downarrow$ . The only case left is  $[\sigma_1]\alpha^+ = \exists \overrightarrow{\alpha^-}.\gamma^+$ .

Notice that  $\Gamma \vdash \exists \overrightarrow{\alpha^-}.\gamma^+ \simeq_1^{\rightarrow} \gamma^+$ , meaning that  $\Gamma \vdash [\sigma_1]\alpha^+ \simeq_1^{\rightarrow} \gamma^+$ . Also notice that  $[\sigma_2]\exists \overrightarrow{\alpha^-}.\gamma^+ = \exists \overrightarrow{\beta^-}.\alpha^+$  implies  $\Gamma \vdash [\sigma_2]\gamma^+ \simeq_1^{\rightarrow} \alpha^+$ .

(b) if  $\alpha^\pm$  is negative ( $\alpha^\pm = \alpha^-$ ) from  $\Gamma \vdash [\sigma_2][\sigma_1]\alpha^- \simeq_1^{\rightarrow} \alpha^-$ , by corollary 9, we have  $[\sigma_2][\sigma_1]\alpha^- = \forall \overrightarrow{\beta^+}.\alpha^-$ .

What shape can  $[\sigma_1]\alpha^-$  have? It cannot be  $\forall \overrightarrow{\alpha^+}.\uparrow P$  nor  $\forall \overrightarrow{\alpha^+}.P \rightarrow M$  (for potentially empty  $\overrightarrow{\alpha^+}$ ), because the outer constructor ( $\rightarrow$  or  $\uparrow$ ), remaining after the substitution  $\sigma_2$ , is however absent in the resulting  $\forall \overrightarrow{\beta^+}.\alpha^-$ . Hence, the only case left is  $[\sigma_1]\alpha^- = \forall \overrightarrow{\alpha^+}.\gamma^-$ . Notice that  $\Gamma \vdash \gamma^- \simeq_1^{\rightarrow} \forall \overrightarrow{\alpha^+}.\gamma^-$ , meaning that  $\Gamma \vdash [\sigma_1]\alpha^- \simeq_1^{\rightarrow} \gamma^-$ . Also notice that  $[\sigma_2]\forall \overrightarrow{\alpha^+}.\gamma^- = \forall \overrightarrow{\beta^+}.\alpha^-$  implies  $\Gamma \vdash [\sigma_2]\gamma^- \simeq_1^{\rightarrow} \alpha^-$ .

(ii) The proof is symmetric: We swap  $P$  and  $Q$ ,  $\sigma_1$  and  $\sigma_2$ , and exploit  $\Gamma \vdash [\sigma_1][\sigma_2]\alpha^\pm \simeq_1^{\rightarrow} \alpha^\pm$  instead of  $\Gamma \vdash [\sigma_2][\sigma_1]\alpha^\pm \simeq_1^{\rightarrow} \alpha^\pm$ .

– The proof is symmetric to the positive case.

□

**Lemma 47** (Equivalent substitution act equivalently). *Suppose that  $\Gamma' \vdash \sigma_1 : \Gamma$  and  $\Gamma' \vdash \sigma_2 : \Gamma$  are substitutions equivalent on their domain, that is  $\Gamma' \vdash \sigma_1 \simeq_1^{\rightarrow} \sigma_2 : \Gamma$ . Then*

+ *for any  $\Gamma \vdash P$ ,  $\Gamma' \vdash [\sigma_1]P \simeq_1^{\rightarrow} [\sigma_2]P$ ;*

– *for any  $\Gamma \vdash N$ ,  $\Gamma' \vdash [\sigma_1]N \simeq_1^{\rightarrow} [\sigma_2]N$ .*

*Proof.* We prove it by induction on  $P$  (and mutually on  $N$ ).

**Case 1.**  $N = \alpha^-$

Then since by inversion,  $\alpha^- \in \Gamma$ ,  $\Gamma' \vdash [\sigma_1]\alpha^- \simeq_1^{\rightarrow} [\sigma_2]\alpha^-$  holds by definition of  $\Gamma' \vdash \sigma_1 \simeq_1^{\rightarrow} \sigma_2 : \Gamma$ .

**Case 2.**  $N = \uparrow P$

Then by inversion,  $\Gamma \vdash P$ . By the induction hypothesis,  $\Gamma' \vdash [\sigma_1]P \simeq_1^{\rightarrow} [\sigma_2]P$ . Then by Rule ( $\uparrow \simeq_1^{\rightarrow}$ ),  $\Gamma' \vdash \uparrow[\sigma_1]P \simeq_1^{\rightarrow} \uparrow[\sigma_2]P$ , and symmetrically,  $\Gamma' \vdash \uparrow[\sigma_2]P \simeq_1^{\rightarrow} \uparrow[\sigma_1]P$ , together meaning that  $\Gamma' \vdash \uparrow[\sigma_1]P \simeq_1^{\rightarrow} \uparrow[\sigma_2]P$ , or equivalently,  $\Gamma' \vdash [\sigma_1]\uparrow P \simeq_1^{\rightarrow} [\sigma_2]\uparrow P$ .

**Case 3.**  $N = P \rightarrow M$

Then by inversion,  $\Gamma \vdash P$  and  $\Gamma \vdash M$ . By the induction hypothesis,  $\Gamma' \vdash [\sigma_1]P \simeq_1^{\rightarrow} [\sigma_2]P$  and  $\Gamma' \vdash [\sigma_1]M \simeq_1^{\rightarrow} [\sigma_2]M$ , that is  $\Gamma' \vdash [\sigma_1]P \geq_1 [\sigma_2]P$ ,  $\Gamma' \vdash [\sigma_2]P \geq_1 [\sigma_1]P$ ,  $\Gamma' \vdash [\sigma_1]M \leq_1 [\sigma_2]M$ , and  $\Gamma' \vdash [\sigma_2]M \leq_1 [\sigma_1]M$ . Then by Rule ( $\rightarrow \simeq_1^{\rightarrow}$ ),  $\Gamma' \vdash [\sigma_1]P \rightarrow [\sigma_1]M \leq_1 [\sigma_2]P \rightarrow [\sigma_2]M$ , and again by Rule ( $\rightarrow \simeq_1^{\rightarrow}$ ),  $\Gamma' \vdash [\sigma_2]P \rightarrow [\sigma_2]M \leq_1 [\sigma_1]P \rightarrow [\sigma_1]M$ . This way,  $\Gamma' \vdash [\sigma_1]P \rightarrow [\sigma_1]M \simeq_1^{\rightarrow} [\sigma_2]P \rightarrow [\sigma_2]M$ , or equivalently,  $\Gamma' \vdash [\sigma_1](P \rightarrow M) \simeq_1^{\rightarrow} [\sigma_2](P \rightarrow M)$ .

**Case 4.**  $N = \forall \overrightarrow{\alpha^+}.M$  We can assume that  $\overrightarrow{\alpha^+}$  is disjoint from  $\Gamma$  and  $\Gamma'$ . By inversion,  $\Gamma \vdash \forall \overrightarrow{\alpha^+}.M$  implies  $\Gamma, \overrightarrow{\alpha^+} \vdash M$ . Notice that  $\Gamma' \vdash \sigma_i : \Gamma$  and  $\Gamma' \vdash \sigma_1 \simeq_1^{\rightarrow} \sigma_2 : \Gamma$  can be extended to  $\Gamma', \overrightarrow{\alpha^+} \vdash \sigma_i : \Gamma, \overrightarrow{\alpha^+}$  and  $\Gamma', \overrightarrow{\alpha^+} \vdash \sigma_1 \simeq_1^{\rightarrow} \sigma_2 : \Gamma, \overrightarrow{\alpha^+}$  by section 3.12. Then by the induction hypothesis,  $\Gamma', \overrightarrow{\alpha^+} \vdash [\sigma_1]M \simeq_1^{\rightarrow} [\sigma_2]M$ , meaning by inversion that  $\Gamma', \overrightarrow{\alpha^+} \vdash [\sigma_1]M \leq_1 [\sigma_2]M$  and  $\Gamma', \overrightarrow{\alpha^+} \vdash [\sigma_2]M \leq_1 [\sigma_1]M$ .

To infer  $\Gamma' \vdash \forall \overrightarrow{\alpha^+}.[\sigma_1]M \leq_1 \forall \overrightarrow{\alpha^+}.[\sigma_2]M$ , we apply Rule ( $\forall \leq_1$ ) with the substitution  $\Gamma', \overrightarrow{\alpha^+} \vdash \text{id} : \overrightarrow{\alpha^+}$ , noting that  $\Gamma', \overrightarrow{\alpha^+} \vdash [\text{id}][\sigma_1]M \leq_1 [\sigma_2]M$  holds since  $\Gamma', \overrightarrow{\alpha^+} \vdash [\sigma_1]M \leq_1 [\sigma_2]M$ , as noted above.

Symmetrically, we infer  $\Gamma' \vdash \forall \overrightarrow{\alpha^+}.[\sigma_2]M \leq_1 \forall \overrightarrow{\alpha^+}.[\sigma_1]M$ , which together with  $\Gamma' \vdash \forall \overrightarrow{\alpha^+}.[\sigma_1]M \leq_1 \forall \overrightarrow{\alpha^+}.[\sigma_2]M$  means  $\Gamma' \vdash \forall \overrightarrow{\alpha^+}.[\sigma_1]M \simeq_1^{\rightarrow} \forall \overrightarrow{\alpha^+}.[\sigma_2]M$ , or equivalently,  $\Gamma' \vdash [\sigma_1]\forall \overrightarrow{\alpha^+}.M \simeq_1^{\rightarrow} [\sigma_2]\forall \overrightarrow{\alpha^+}.M$ .

**Case 5.** The positive cases are proved symmetrically.

□

**Lemma 48** (Equivalence of polymorphic types).

– *For  $\Gamma \vdash \forall \overrightarrow{\alpha^+}.N$  and  $\Gamma \vdash \forall \overrightarrow{\beta^+}.M$ ,*

*if  $\Gamma \vdash \forall \overrightarrow{\alpha^+}.N \simeq_1^{\rightarrow} \forall \overrightarrow{\beta^+}.M$  then there exists a bijection  $\mu : \overrightarrow{\beta^+} \cap \mathbf{fv} M \leftrightarrow \overrightarrow{\alpha^+} \cap \mathbf{fv} N$  such that  $\Gamma, \overrightarrow{\alpha^+} \vdash N \simeq_1^{\rightarrow} [\mu]M$ ,*

+ *For  $\Gamma \vdash \exists \overrightarrow{\alpha^-}.P$  and  $\Gamma \vdash \exists \overrightarrow{\beta^-}.Q$ ,*

*if  $\Gamma \vdash \exists \overrightarrow{\alpha^-}.P \simeq_1^{\rightarrow} \exists \overrightarrow{\beta^-}.Q$  then there exists a bijection  $\mu : \overrightarrow{\beta^-} \cap \mathbf{fv} Q \leftrightarrow \overrightarrow{\alpha^-} \cap \mathbf{fv} P$  such that  $\Gamma, \overrightarrow{\beta^-} \vdash P \simeq_1^{\rightarrow} [\mu]Q$ .*

*Proof.*

- First, by  $\alpha$ -conversion, we ensure  $\vec{\alpha}^+ \cap \mathbf{fv} M = \emptyset$  and  $\vec{\beta}^+ \cap \mathbf{fv} N = \emptyset$ . By inversion,  $\Gamma \vdash \forall \vec{\alpha}^+. N \simeq_1^{\leq} \forall \vec{\beta}^+. M$  implies
  1.  $\Gamma, \vec{\beta}^+ \vdash [\sigma_1]N \leq_1 M$  for  $\Gamma, \vec{\beta}^+ \vdash \sigma_1 : \vec{\alpha}^+$  and
  2.  $\Gamma, \vec{\alpha}^+ \vdash [\sigma_2]M \leq_1 N$  for  $\Gamma, \vec{\alpha}^+ \vdash \sigma_2 : \vec{\beta}^+$ .

To apply lemma 46, we weaken and rearrange the contexts, and extend the substitutions to act as identity outside of their initial domain:

1.  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\sigma_1]N \leq_1 M$  for  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_1 : \Gamma, \vec{\alpha}^+, \vec{\beta}^+$  and
2.  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\sigma_2]M \leq_1 N$  for  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_2 : \Gamma, \vec{\alpha}^+, \vec{\beta}^+$ .

Then from lemma 46, there exists a bijection  $\mu : \mathbf{fv} M \leftrightarrow \mathbf{fv} N$  such that  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_2 \simeq_1^{\leq} \mu : \mathbf{fv} M$  and  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_1 \simeq_1^{\leq} \mu^{-1} : \mathbf{fv} N$ .

Let us show that  $\mu|_{\vec{\beta}^+}$  is the appropriate candidate.

First, we show that if we restrict the domain of  $\mu$  to  $\vec{\beta}^+$ , its range will be contained in  $\vec{\alpha}^+$ .

Let us take  $\gamma^+ \in \vec{\beta}^+ \cap \mathbf{fv} M$  and assume  $[\mu]\gamma^+ \notin \vec{\alpha}^+$ . Then since  $\Gamma, \vec{\beta}^+ \vdash \sigma_1 : \vec{\alpha}^+$ ,  $\sigma_1$  acts as identity outside of  $\vec{\alpha}^+$ , i.e.  $[\sigma_1][\mu]\gamma^+ = [\mu]\gamma^+$  (notice that  $\gamma^+$  is in the domain of  $\mu$ ). Since  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_1 \simeq_1^{\leq} \mu^{-1} : \mathbf{fv} N$ , application of  $\sigma_1$  to  $[\mu]\gamma^+ \in \mathbf{fv} N$  is equivalent to application of  $\mu^{-1}$ , then  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\mu^{-1}][\mu]\gamma^+ \simeq_1^{\leq} [\mu]\gamma^+$ , i.e.  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \gamma^+ \simeq_1^{\leq} [\mu]\gamma^+$ , which means  $\gamma^+ \in \mathbf{fv} [\mu]\gamma^+ \subseteq \mathbf{fv} N$ . By assumption,  $\gamma^+ \in \vec{\beta}^+ \cap \mathbf{fv} M$ , i.e.  $\vec{\beta}^+ \cap \mathbf{fv} N \neq \emptyset$ , hence contradiction.

Second, we will show  $\Gamma, \vec{\alpha}^+ \vdash N \simeq_1^{\leq} [\mu|_{\vec{\beta}^+}]M$ .

Since  $\Gamma, \vec{\alpha}^+ \vdash \sigma_2 : \vec{\beta}^+$  and  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_2 \simeq_1^{\leq} \mu : \mathbf{fv} M$ , we have  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_2 \simeq_1^{\leq} \mu|_{\vec{\beta}^+} : \mathbf{fv} M$ : for any  $\alpha^\pm \in \mathbf{fv} M \setminus \vec{\beta}^+$ ,  $[\sigma_2]\alpha^\pm = \alpha^\pm$  since  $\Gamma, \vec{\alpha}^+ \vdash \sigma_2 : \vec{\beta}^+$ , and  $[\mu|_{\vec{\beta}^+}]\alpha^\pm = \alpha^\pm$  by definition of substitution restriction; for  $\beta^+ \in \vec{\beta}^+$ ,  $[\mu|_{\vec{\beta}^+}]\beta^+ = [\mu]\beta^+$ , and thus,  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\sigma_2]\beta^+ \simeq_1^{\leq} [\mu]\beta^+$  can be rewritten to  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\sigma_2]\beta^+ \simeq_1^{\leq} [\mu|_{\vec{\beta}^+}]\beta^+$ .

By lemma 47,  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash \sigma_2 \simeq_1^{\leq} \mu|_{\vec{\beta}^+} : \mathbf{fv} M$  implies  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\sigma_2]M \simeq_1^{\leq} [\mu|_{\vec{\beta}^+}]M$ . By similar reasoning,  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\sigma_1]N \simeq_1^{\leq} [\mu^{-1}|_{\vec{\alpha}^+}]N$ .

This way, by transitivity of subtyping (lemma 24),

$$\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\mu^{-1}|_{\vec{\alpha}^+}]N \leq_1 M \quad (5)$$

$$\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\mu|_{\vec{\beta}^+}]M \leq_1 N \quad (6)$$

By applying  $\mu|_{\vec{\beta}^+}$  to both sides of 5 (lemma 23), we have  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash [\mu|_{\vec{\beta}^+}][\mu^{-1}|_{\vec{\alpha}^+}]N \leq_1 [\mu|_{\vec{\beta}^+}]M$ . By contracting  $\mu^{-1}|_{\vec{\alpha}^+} \circ \mu|_{\vec{\beta}^+} = \mu|_{\vec{\beta}^+}^{-1} \circ \mu|_{\vec{\beta}^+}$  (notice that  $\mathbf{fv} N \cap \vec{\beta}^+ = \emptyset$ ), we have  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash N \leq_1 [\mu|_{\vec{\beta}^+}]M$ , which together with 6 means  $\Gamma, \vec{\alpha}^+, \vec{\beta}^+ \vdash N \simeq_1^{\leq} [\mu|_{\vec{\beta}^+}]M$ , and by strengthening,  $\Gamma, \vec{\alpha}^+ \vdash N \simeq_1^{\leq} [\mu|_{\vec{\beta}^+}]M$ .

- + The proof is symmetric to the proof of the negative case.

□

**Lemma 49** (Completeness of equivalence). *Mutual subtyping implies declarative equivalence. Assuming all the types below are well-formed in  $\Gamma$ :*

- + if  $\Gamma \vdash P \simeq_1^{\leq} Q$  then  $P \simeq_1^D Q$ ,
- if  $\Gamma \vdash N \simeq_1^{\leq} M$  then  $N \simeq_1^D M$ .

*Proof.* – Induction on the sum of sizes of  $N$  and  $M$ . By inversion,  $\Gamma \vdash N \simeq_1^{\leq} M$  means  $\Gamma \vdash N \leq_1 M$  and  $\Gamma \vdash M \leq_1 N$ . Let us consider the last rule that forms  $\Gamma \vdash N \leq_1 M$ :

**Case 1.** Rule ( $\text{Var}^{\leq_1}$ ) i.e.  $\Gamma \vdash N \leq_1 M$  is of the form  $\Gamma \vdash \alpha^- \leq_1 \alpha^-$   
Then  $N \simeq_1^D M$  (i.e.  $\alpha^- \simeq_1^D \alpha^-$ ) holds immediately by Rule ( $\text{Var}^{\simeq_1^D}$ ).

**Case 2.** Rule ( $\uparrow^{\leq_1}$ ) i.e.  $\Gamma \vdash N \leq_1 M$  is of the form  $\Gamma \vdash \uparrow P \leq_1 \uparrow Q$   
Then by inversion,  $\Gamma \vdash P \simeq_1^{\leq} Q$ , and by induction hypothesis,  $P \simeq_1^D Q$ . Then  $N \simeq_1^D M$  (i.e.  $\uparrow P \simeq_1^D \uparrow Q$ ) holds by Rule ( $\uparrow^{\simeq_1^D}$ ).

**Case 3.** Rule  $(\rightarrow^{\leq_1})$  i.e.  $\Gamma \vdash N \leq_1 M$  is of the form  $\Gamma \vdash P \rightarrow N' \leq_1 Q \rightarrow M'$

Then by inversion,  $\Gamma \vdash P \geq_1 Q$  and  $\Gamma \vdash N' \leq_1 M'$ . Notice that  $\Gamma \vdash M \leq_1 N$  is of the form  $\Gamma \vdash Q \rightarrow M' \leq_1 P \rightarrow N'$ , which by inversion means  $\Gamma \vdash Q \geq_1 P$  and  $\Gamma \vdash M' \leq_1 N'$ .

This way,  $\Gamma \vdash Q \simeq_1^{\leq} P$  and  $\Gamma \vdash M' \simeq_1^{\leq} N'$ . Then by induction hypothesis,  $Q \simeq_1^D P$  and  $M' \simeq_1^D N'$ . Then  $N \simeq_1^D M$  (i.e.  $P \rightarrow N' \simeq_1^D Q \rightarrow M'$ ) holds by Rule  $(\rightarrow^D)$ .

**Case 4.** Rule  $(\forall^{\leq_1})$  i.e.  $\Gamma \vdash N \leq_1 M$  is of the form  $\Gamma \vdash \forall \alpha^+. N' \leq_1 \forall \beta^+. M'$

Then by case 4,  $\Gamma \vdash \forall \alpha^+. N' \simeq_1^{\leq} \forall \beta^+. M'$  means that there exists a bijection  $\mu : \vec{\beta}^+ \cap \mathbf{fv} M' \leftrightarrow \vec{\alpha}^+ \cap \mathbf{fv} N'$  such that  $\Gamma, \vec{\alpha}^+ \vdash [\mu]M' \simeq_1^{\leq} N'$ .

Notice that the application of bijection  $\mu$  to  $M'$  does not change its size (which is less than the size of  $M$ ), hence the induction hypothesis applies. This way,  $[\mu]M' \simeq_1^D N'$  (and by symmetry,  $N' \simeq_1^D [\mu]M'$ ) holds by induction. Then we apply Rule  $(\forall^D)$  to get  $\forall \alpha^+. N' \simeq_1^D \forall \beta^+. M'$ , i.e.  $N \simeq_1^D M$ .

+ The proof is symmetric to the proof of the negative case. □

**Corollary 24** (Normalization is complete w.r.t. subtyping-induced equivalence). *Assuming all the types below are well-formed in  $\Gamma$ :*

- + if  $\Gamma \vdash P \simeq_1^{\leq} Q$  then  $\mathbf{nf}(P) = \mathbf{nf}(Q)$ ,
- if  $\Gamma \vdash N \simeq_1^{\leq} M$  then  $\mathbf{nf}(N) = \mathbf{nf}(M)$ .

*Proof.* Immediately from lemmas 35 and 49. □

**Lemma 50** (Algorithmization of subtyping-induced equivalence). *Mutual subtyping is equality of normal forms. Assuming all the types below are well-formed in  $\Gamma$ :*

- +  $\Gamma \vdash P \simeq_1^{\leq} Q \iff \mathbf{nf}(P) = \mathbf{nf}(Q)$ ,
- $\Gamma \vdash N \simeq_1^{\leq} M \iff \mathbf{nf}(N) = \mathbf{nf}(M)$ .

*Proof.* Let us prove the positive case, the negative case is symmetric. We prove both directions of  $\iff$  separately:

$\Rightarrow$  exactly corollary 24;

$\Leftarrow$  by lemmas 40 and 44. □

**Corollary 25** (Algorithmic substitution equivalence becomes equality after normalization). *Suppose that  $\Theta \vdash \hat{\sigma}_1$  and  $\Theta \vdash \hat{\sigma}_2$  are algorithmic substitutions. Then  $\Theta \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : \mathbf{varset} \iff \mathbf{nf}(\hat{\sigma}_1)|_{\mathbf{dom}(\Theta)} = \mathbf{nf}(\hat{\sigma}_2)|_{\mathbf{dom}(\Theta)}$  and  $\Gamma \vdash \hat{\sigma}_1 \simeq_1^{\leq} \hat{\sigma}_2 : \Xi \iff \mathbf{nf}(\hat{\sigma}_1)|_{\Xi} = \mathbf{nf}(\hat{\sigma}_2)|_{\Xi}$ .*

**Lemma 51** (Substitution preserves declarative equivalence). *Suppose that  $\sigma$  is a substitution. Then*

- +  $P \simeq_1^D Q$  implies  $[\sigma]P \simeq_1^D [\sigma]Q$
- $N \simeq_1^D M$  implies  $[\sigma]N \simeq_1^D [\sigma]M$

*Proof.*  $P \simeq_1^D Q \Rightarrow \mathbf{nf}(P) = \mathbf{nf}(Q)$  by lemma 50 □

$$\Rightarrow [\mathbf{nf}(\sigma)]\mathbf{nf}(P) = [\mathbf{nf}(\sigma)]\mathbf{nf}(Q)$$

$$\Rightarrow \mathbf{nf}([\sigma]P) = \mathbf{nf}([\sigma]Q) \quad \text{by lemma 34}$$

$$\Rightarrow [\sigma]P \simeq_1^D [\sigma]Q \quad \text{by lemma 50}$$

### 3.8 Unification Constraint Merge

**Lemma 52** (Soundness of Unification Constraint Merge). *Suppose that  $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$  are normalized unification constraints. If  $\Theta \vdash UC_1 \& UC_2 = UC$  is defined then  $UC = UC_1 \cup UC_2$ .*

*Proof.*

- $UC_1 \& UC_2 \subseteq UC_1 \cup UC_2$

By definition,  $UC_1 \& UC_2$  consists of three parts: entries of  $UC_1$  that do not have matching entries of  $UC_2$ , entries of  $UC_2$  that do not have matching entries of  $UC_1$ , and the merge of matching entries.

If  $e$  is from the first or the second part, then  $e \in UC_1 \cup UC_2$  holds immediately. If  $e$  is from the third part, then  $e$  is the merge of two matching entries  $e_1 \in UC_1$  and  $e_2 \in UC_2$ . Since  $UC_1$  and  $UC_2$  are normalized unification,  $e_1$  and  $e_2$  have one of the following forms:



- $\hat{\alpha}^+ : \approx P_1$  and  $\hat{\alpha}^+ : \approx P_2$ , where  $P_1$  and  $P_2$  are normalized, and then since  $\Theta(\hat{\alpha}^+) \vdash e_1 \& e_2 = e$  exists, Rule ?? was applied to infer it. It means that  $e = e_1 = e_2$ ;
- $\hat{\alpha}^- : \approx N_1$  and  $\hat{\alpha}^- : \approx N_2$ , then symmetrically,  $\Theta(\hat{\alpha}^-) \vdash e_1 \& e_2 = e = e_1 = e_2$

In both cases,  $e \in UC_1 \cup UC_2$ .

- $UC_1 \cup UC_2 \subseteq UC_1 \& UC_2$

Let us take an arbitrary  $e_1 \in UC_1$ . Then since  $UC_1$  is a unification constraint,  $e_1$  has one of the following forms:

- $\hat{\alpha}^+ : \approx P$  where  $P$  is normalized. If  $\hat{\alpha}^+ \notin \mathbf{dom}(UC_2)$ , then  $e_1 \in UC_1 \& UC_2$ . Otherwise, there is a normalized matching  $e_2 = (\hat{\alpha}^+ : \approx P') \in UC_2$  and then since  $UC_1 \& UC_2$  exists, Rule ?? was applied to construct  $e_1 \& e_2 \in UC_1 \& UC_2$ . By inversion of Rule ??,  $e_1 \& e_2 = e_1$ , and  $\mathbf{nf}(P) = \mathbf{nf}(P')$ , which since  $P$  and  $P'$  are normalized, implies that  $P = P'$ , that is  $e_1 = e_2 \in UC_1 \& UC_2$ .
- $\hat{\alpha}^- : \approx N$  where  $N$  is normalized. Then symmetrically,  $e_1 = e_2 \in UC_1 \& UC_2$ .

Similarly, if we take an arbitrary  $e_2 \in UC_2$ , then  $e_1 = e_2 \in UC_1 \& UC_2$ . □

**Corollary 26.** *Suppose that  $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$  are normalized unification constraints. If  $\Theta \vdash UC_1 \& UC_2 = UC$  is defined then*

1.  $\Theta \vdash UC$  is normalized unification constraint,
2. for any substitution  $\Theta \vdash \hat{\sigma}$ ,  $(\Theta \vdash UC) \vdash \hat{\sigma}$  implies  $(\Theta \vdash UC_1) \vdash \hat{\sigma}$  and  $(\Theta \vdash UC_2) \vdash \hat{\sigma}$ .

*Proof.* It is clear that since  $UC = UC_1 \cup UC_2$  (by lemma 52), and being normalized means that all entries are normalized,  $UC$  is a normalized unification constraint. Analogously,  $\Theta \vdash UC = UC_1 \cup UC_2$  holds immediately, since  $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$ .

Let us take an arbitrary substitution  $\Theta \vdash \hat{\sigma}$  and assume that  $(\Theta \vdash UC) \vdash \hat{\sigma}$ . Then  $(\Theta \vdash UC_i) \vdash \hat{\sigma}$  holds by definition: If  $e \in UC_i \subseteq UC_1 \cup UC_2 = UC$ . So  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e$  holds. □

**Lemma 53** (Completeness of Unification Constraint Entry Merge). *For a fixed context  $\Gamma$ , suppose that  $\Gamma \vdash e_1$  and  $\Gamma \vdash e_2$  are matching constraint entries.*

- for a type  $P$  such that  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$ ,  $\Gamma \vdash e_1 \& e_2 = e$  is defined and  $\Gamma \vdash P : e$ .
- for a type  $N$  such that  $\Gamma \vdash N : e_1$  and  $\Gamma \vdash N : e_2$ ,  $\Gamma \vdash e_1 \& e_2 = e$  is defined and  $\Gamma \vdash N : e$ .

*Proof.* The proof repeats the one of lemma 73 and is done by the case analysis on the shape of  $e_1$  and  $e_2$ . However, it only needs to consider two cases.

**Case 1.**  $e_1$  is  $\hat{\alpha}^+ : \approx Q_1$  and  $e_2$  is  $\hat{\alpha}^+ : \approx Q_2$ .

**Case 2.**  $e_1$  is  $\hat{\alpha}^- : \approx N_1$  and  $e_2$  is  $\hat{\alpha}^- : \approx M_2$ .

The proof of these cases is based only on lemma 50 and corollary 11, and does not require the properties of the least upper bound or subtyping. □

**Lemma 54** (Completeness of Unification Constraint Merge). *Suppose that  $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$ . Then for any substitution  $\Theta \vdash \hat{\sigma}$  such that  $(\Theta \vdash UC_1) \vdash \hat{\sigma}$  and  $(\Theta \vdash UC_2) \vdash \hat{\sigma}$ ,*

1.  $\Theta \vdash UC_1 \& UC_2 = UC$  is defined and
2.  $(\Theta \vdash UC) \vdash \hat{\sigma}$ .

*Proof.* The proof repeats the proof of lemma 74 but uses lemma 53 instead of lemma 73. □

### 3.9 Unification

**Lemma 55** (Soundness of Unification).

- + For normalized  $P$  and  $Q$  such that  $\Gamma; \mathbf{dom}(\Theta) \vdash P$  and  $\Gamma \vdash Q$ ,  
if  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC$  then  $\Theta \vdash UC$  and for any normalized  $\hat{\sigma}$  such that  $(\Theta \vdash UC) \vdash \hat{\sigma}$ ,  $[\hat{\sigma}]P = Q$ .
- For normalized  $N$  and  $M$  such that  $\Gamma; \mathbf{dom}(\Theta) \vdash N$  and  $\Gamma \vdash M$ ,  
if  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$  then  $\Theta \vdash UC$  and for any normalized  $\hat{\sigma}$  such that  $(\Theta \vdash UC) \vdash \hat{\sigma}$ ,  $[\hat{\sigma}]N = M$ .

*Proof.* We prove by induction on the derivation of  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$  and mutually  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC$ . Let us consider the last rule forming this derivation.



**Case 1.** Rule  $(\text{Var}^{-\text{u}})$ , then  $N = \alpha^- = M$ . The resulting unification constraint is empty:  $UC = \cdot$ . It satisfies  $\Theta \vdash UC$  vacuously, and  $[\hat{\sigma}]\alpha^- = \alpha^-$ , that is  $[\hat{\sigma}]N = M$ .

**Case 2.** Rule  $(\uparrow^{\text{u}})$ , then  $N = \uparrow P$  and  $M = \uparrow Q$ . The algorithm makes a recursive call to  $\Gamma; \Theta \models P \stackrel{\text{u}}{\simeq} Q \Rightarrow UC$  returning  $UC$ . By induction hypothesis,  $\Theta \vdash UC$  and for any  $(\Theta \vdash UC) \vdash \hat{\sigma}$ ,  $[\hat{\sigma}]N = [\hat{\sigma}]\uparrow P = \uparrow[\hat{\sigma}]P = \uparrow Q = M$ , as required.

**Case 3.** Rule  $(\rightarrow^{\text{u}})$ , then  $N = P \rightarrow N'$  and  $M = Q \rightarrow M'$ . The algorithm makes two recursive calls to  $\Gamma; \Theta \models P \stackrel{\text{u}}{\simeq} Q \Rightarrow UC_1$  and  $\Gamma; \Theta \models N' \stackrel{\text{u}}{\simeq} M' \Rightarrow UC_2$  returning  $\Theta \vdash UC_1 \& UC_2 = UC$  as the result.

It is clear that  $P$ ,  $N'$ ,  $Q$ , and  $M'$  are normalized, and that  $\Gamma; \text{dom}(\Theta) \vdash P$ ,  $\Gamma; \text{dom}(\Theta) \vdash N'$ ,  $\Gamma \vdash Q$ , and  $\Gamma \vdash M'$ . This way, the induction hypothesis is applicable to both recursive calls.

By applying the induction hypothesis to  $\Gamma; \Theta \models P \stackrel{\text{u}}{\simeq} Q \Rightarrow UC_1$ , we have:

- $\Theta \vdash UC_1$ ,
- for any  $(\text{lift}UC1)u'$ ,  $[\hat{\sigma}']P = Q$ .

By applying it to  $\Gamma; \Theta \models N' \stackrel{\text{u}}{\simeq} M' \Rightarrow UC_2$ , we have:

- $\Theta \vdash UC_2$ ,
- for any  $(\Theta \vdash UC_2) \vdash \hat{\sigma}'$ ,  $[\hat{\sigma}']N' = M'$ .

Let us take an arbitrary  $(\Theta \vdash UC) \vdash \hat{\sigma}$ . By the soundness of the constraint merge (lemma 72),  $\Theta \vdash UC_1 \& UC_2 = UC$  implies  $(\Theta \vdash UC_1) \vdash \hat{\sigma}$  and  $(\Theta \vdash UC_2) \vdash \hat{\sigma}$ .

Applying the induction hypothesis to  $(\Theta \vdash UC_1) \vdash \hat{\sigma}$ , we have  $[\hat{\sigma}]P = Q$ ; applying it to  $(\Theta \vdash UC_2) \vdash \hat{\sigma}$ , we have  $[\hat{\sigma}]N' = M'$ . This way,  $[\hat{\sigma}]N = [\hat{\sigma}]P \rightarrow [\hat{\sigma}]N' = Q \rightarrow M' = M$ .

**Case 4.** Rule  $(\forall^{\text{u}})$ , then  $N = \forall \alpha^+. N'$  and  $M = \forall \alpha^+. M'$ . The algorithm makes a recursive call to  $\Gamma, \alpha^+; \Theta \models N' \stackrel{\text{u}}{\simeq} M' \Rightarrow UC$  returning  $UC$  as the result.

The induction hypothesis is applicable:  $\Gamma, \alpha^+; \text{dom}(\Theta) \vdash N'$  and  $\Gamma, \alpha^+ \vdash M'$  hold by inversion, and  $N'$  and  $M'$  are normalized, since  $N$  and  $M$  are. Let us take an arbitrary  $(\Theta \vdash UC) \vdash \hat{\sigma}$ . By the induction hypothesis,  $[\hat{\sigma}]N' = M'$ . Then  $[\hat{\sigma}]N = [\hat{\sigma}]\forall \alpha^+. N' = \forall \alpha^+. [\hat{\sigma}]N' = \forall \alpha^+. M' = M$ .

**Case 5.** Rule  $(\text{UVar}^{-\text{u}})$ , then  $N = \hat{\alpha}^-$ ,  $\hat{\alpha}^-\{\Delta\} \in \Theta$ , and  $\Delta \vdash M$ . As the result, the algorithm returns  $UC = (\hat{\alpha}^- : \approx M)$ .

It is clear that  $\hat{\alpha}^-\{\Delta\} \vdash (\hat{\alpha}^- : \approx M)$ , since  $\Delta \vdash M$ , meaning that  $\Theta \vdash UC$ .

Let us take an arbitrary  $\hat{\sigma}$  such that  $(\Theta \vdash UC) \vdash \hat{\sigma}$ . Since  $UC = (\hat{\alpha}^- : \approx M)$ ,  $(\Theta \vdash UC) \vdash \hat{\sigma}$  implies  $\Theta(\hat{\alpha}^-) \vdash [\hat{\sigma}]\hat{\alpha}^- : (\hat{\alpha}^- : \approx M)$ . By inversion of Rule SATSCENEq, it means  $\Theta(\hat{\alpha}^-) \vdash [\hat{\sigma}]\hat{\alpha}^- \simeq_1 M$ . This way,  $\Theta(\hat{\alpha}^-) \vdash [\hat{\sigma}]N \simeq_1 M$ . Notice that  $\hat{\sigma}$  and  $N$  are normalized, and by lemma 34, so is  $[\hat{\sigma}]N$ . Since both sides of  $\Theta(\hat{\alpha}^-) \vdash [\hat{\sigma}]N \simeq_1 M$  are normalized, by lemma 50, we have  $[\hat{\sigma}]N = M$ .

**Case 6.** The positive cases are proved symmetrically. □

**Lemma 56** (Completeness of Unification).

- + For normalized  $P$  and  $Q$  such that  $\Gamma; \text{dom}(\Theta) \vdash P$  and  $\Gamma \vdash Q$ , for any  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]P = Q$ , there exists  $\Gamma; \Theta \models P \stackrel{\text{u}}{\simeq} Q \Rightarrow UC$ , and  $(\Theta \vdash UC) \vdash \hat{\sigma}$ .
- For normalized  $N$  and  $M$  such that  $\Gamma; \text{dom}(\Theta) \vdash N$  and  $\Gamma \vdash M$ , for any  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]N = M$ , there exists  $\Gamma; \Theta \models N \stackrel{\text{u}}{\simeq} M \Rightarrow UC$ , and  $(\Theta \vdash UC) \vdash \hat{\sigma}$ .

*Proof.* We prove it by induction on the structure of  $P$  and mutually,  $N$ .

**Case 1.**  $N = \hat{\alpha}^-$

$\Gamma; \text{dom}(\Theta) \vdash \hat{\alpha}^-$  means that  $\hat{\alpha}^-\{\Delta\} \in \Theta$  for some  $\Delta$ .

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]\hat{\alpha}^- = M$ .  $\Theta \vdash \hat{\sigma}$  means that  $\Delta \vdash M$ . This way, Rule  $(\text{UVar}^{-\text{u}})$  is applicable to infer  $\Gamma; \Theta \models \hat{\alpha}^- \stackrel{\text{u}}{\simeq} M \Rightarrow (\hat{\alpha}^- : \approx M)$ .  $(\Theta \vdash (\hat{\alpha}^- : \approx M)) \vdash \hat{\sigma}$  holds by Rule SATSCENEq.

**Case 2.**  $N = \alpha^-$

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]\alpha^- = M$ . The latter means  $M = \alpha^-$ .

Then  $[\hat{\sigma}]\alpha^- = M$  means  $M = \alpha^-$ . This way, Rule  $(\text{Var}^{-\text{u}})$  infers  $\Gamma; \Theta \models \alpha^- \stackrel{\text{u}}{\simeq} \alpha^- \Rightarrow \cdot$ , which is rewritten as  $\Gamma; \Theta \models N \stackrel{\text{u}}{\simeq} M \Rightarrow \cdot$ , and  $(\Theta \vdash \cdot) \vdash \hat{\sigma}$  holds trivially.

**Case 3.**  $N = \uparrow P$

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]\uparrow P = M$ . The latter means  $\uparrow[\hat{\sigma}]P = M$ , i.e.  $M = \uparrow Q$  for some  $Q$  and  $[\hat{\sigma}]P = Q$ . Let us show that the induction hypothesis is applicable to  $[\hat{\sigma}]P = Q$ . Notice that  $P$  is normalized, since  $N = \uparrow P$  is normalized,  $\Gamma; \mathbf{dom}(\Theta) \vdash P$  holds by inversion of  $\Gamma; \mathbf{dom}(\Theta) \vdash \uparrow P$ , and  $\Gamma \vdash Q$  holds by inversion of  $\Gamma \vdash \uparrow Q$ .

This way, by the induction hypothesis there exists  $UC$  such that  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC$ , and moreover,  $(\Theta \vdash UC) \vdash \hat{\sigma}$ .

**Case 4.**  $N = P \rightarrow N'$

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}](P \rightarrow N') = M$ . The latter means  $[\hat{\sigma}]P \rightarrow [\hat{\sigma}]N' = M$ , i.e.  $M = Q \rightarrow M'$  for some  $Q$  and  $M'$ , such that  $[\hat{\sigma}]P = Q$  and  $[\hat{\sigma}]N' = M'$ .

Let us show that the induction hypothesis is applicable to  $[\hat{\sigma}]P = Q$  and to  $[\hat{\sigma}]N' = M'$ :

- $P$  and  $N'$  are normalized, since  $N = P \rightarrow N'$  is normalized
- $\Gamma; \mathbf{dom}(\Theta) \vdash P$  and  $\Gamma; \mathbf{dom}(\Theta) \vdash N'$  follow from the inversion of  $\Gamma; \mathbf{dom}(\Theta) \vdash P \rightarrow N'$ ,
- $\Gamma \vdash Q$  and  $\Gamma \vdash M'$  follow from inversion of  $\Gamma \vdash Q \rightarrow M'$ .

Then by the induction hypothesis,  $\Gamma; \Theta \models P \stackrel{u}{\simeq} Q \Rightarrow UC_1$  and  $(\Theta \vdash UC_1) \vdash \hat{\sigma}$ ,  $\Gamma; \Theta \models N' \stackrel{u}{\simeq} M' \Rightarrow UC_2$  and  $(\Theta \vdash UC_2) \vdash \hat{\sigma}$ . To apply Rule  $(\rightarrow^{\simeq})$  and infer the required  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$ , we need to show that  $\Theta \vdash UC_1 \& UC_2 = UC$  is defined and  $(\Theta \vdash UC) \vdash \hat{\sigma}$ . It holds by the completeness of the unification constraint merge (lemma 74):

- $\Theta \vdash UC_1$  and  $\Theta \vdash UC_2$  holds by the soundness of unification (lemma 55)
- $(\Theta \vdash UC_1) \vdash \hat{\sigma}$  and  $(\Theta \vdash UC_2) \vdash \hat{\sigma}$  holds as noted above

**Case 5.**  $N = \forall \alpha^+ . N'$

Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  such that  $[\hat{\sigma}]\forall \alpha^+ . N' = M$ . The latter means  $\forall \alpha^+ . [\hat{\sigma}]N' = M$ , i.e.  $M = \forall \alpha^+ . M'$  for some  $M'$  such that  $[\hat{\sigma}]N' = M'$ .

Let us show that the induction hypothesis is applicable to  $[\hat{\sigma}]N' = M'$ . Notice that  $N'$  is normalized, since  $N = \forall \alpha^+ . N'$  is normalized,  $\Gamma, \alpha^+; \mathbf{dom}(\Theta) \vdash N'$  follows from inversion of  $\Gamma; \mathbf{dom}(\Theta) \vdash \forall \alpha^+ . N'$ ,  $\Gamma, \alpha^+ \vdash M'$  follows from inversion of  $\Gamma \vdash \forall \alpha^+ . M'$ , and  $\Theta \vdash \hat{\sigma}$  by assumption.

This way, by the induction hypothesis,  $\Gamma, \alpha^+; \Theta \models N' \stackrel{u}{\simeq} M' \Rightarrow UC$  exists and moreover,  $(\Theta \vdash UC) \vdash \hat{\sigma}$ . Hence, Rule  $(\forall^{\simeq})$  is applicable to infer  $\Gamma; \Theta \models \forall \alpha^+ . N' \stackrel{u}{\simeq} \forall \alpha^+ . M' \Rightarrow UC$ , that is  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$ .

**Case 6.** The positive cases are proved symmetrically.

□

### 3.10 Anti-unification

**Observation 1** (Anti-unification algorithm is deterministic).

- + If  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)$ , then  $\Xi = \Xi'$ ,  $Q = Q'$ ,  $\hat{\tau}_1 = \hat{\tau}'_1$ , and  $\hat{\tau}_2 = \hat{\tau}'_2$ .
- If  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  and  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi', M', \hat{\tau}'_1, \hat{\tau}'_2)$ , then  $\Xi = \Xi'$ ,  $M = M'$ ,  $\hat{\tau}_1 = \hat{\tau}'_1$ , and  $\hat{\tau}_2 = \hat{\tau}'_2$ .

*Proof.* By trivial induction on  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and mutually on  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ . □

**Observation 2.** Names of the anti-unification variables are uniquely defined by the types they are mapped to by the resulting substitutions.

- + Assuming  $P_1$  and  $P_2$  are normalized, if  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  then for any  $\hat{\beta}^- \in \Xi$ ,  $\hat{\beta}^- = \hat{\alpha}^-_{\{[\hat{\tau}_1]\hat{\beta}^-, [\hat{\tau}_2]\hat{\beta}^-\}}$
- Assuming  $N_1$  and  $N_2$  are normalized, if  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  then for any  $\hat{\beta}^- \in \Xi$ ,  $\hat{\beta}^- = \hat{\alpha}^-_{\{[\hat{\tau}_1]\hat{\beta}^-, [\hat{\tau}_2]\hat{\beta}^-\}}$

*Proof.* By simple induction on  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and mutually on  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ . Let us consider the last rule applied to infer this judgment.

**Case 1.** Rule  $(\text{Var}^{+\simeq})$  or Rule  $(\text{Var}^{-\simeq})$ , then  $\Xi = \cdot$ , and the property holds vacuously.

**Case 2.** Rule (AU<sup>-</sup>) Then  $\Xi = \hat{\alpha}_{\{N_1, N_2\}}^-, \hat{\tau}_1 = \hat{\alpha}_{\{N_1, N_2\}}^- : \approx N_1$ , and  $\hat{\tau}_2 = \hat{\alpha}_{\{N_1, N_2\}}^- : \approx N_2$ . So the property holds trivially.

**Case 3.** Rule ?? In this case,  $\Xi = \Xi' \cup \Xi'', \hat{\tau}_1 = \hat{\tau}_1' \cup \hat{\tau}_1'',$  and  $\hat{\tau}_2 = \hat{\tau}_2' \cup \hat{\tau}_2''$ , where the property holds for  $(\Xi', \hat{\tau}_1', \hat{\tau}_2')$  and  $(\Xi'', \hat{\tau}_1'', \hat{\tau}_2'')$  by the induction hypothesis. Then since the union of solutions does not change the types the variables are mapped to, the required property holds for  $\Xi, \hat{\tau}_1$ , and  $\hat{\tau}_2$ .

**Case 4.** For the other rules, the resulting  $\Xi$  is taken from the recursive call and the required property holds immediately by the induction hypothesis. □

**Lemma 57** (Soundness of Anti-Unification).

+ Assuming  $P_1$  and  $P_2$  are normalized, if  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  then

1.  $\Gamma; \Xi \vdash Q$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
3.  $[\hat{\tau}_i]Q = P_i$  for  $i \in \{1, 2\}$ .

- Assuming  $N_1$  and  $N_2$  are normalized, if  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  then

1.  $\Gamma; \Xi \vdash M$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
3.  $[\hat{\tau}_i]M = N_i$  for  $i \in \{1, 2\}$ .

*Proof.* We prove it by induction on  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  and mutually,  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ . Let us consider the last rule applied to infer this judgement.

**Case 1.** Rule (Var<sup>-a</sup>), then  $N_1 = \alpha^- = N_2, \Xi = \cdot, M = \alpha^-$ , and  $\hat{\tau}_1 = \hat{\tau}_2 = \cdot$ .

1.  $\Gamma; \cdot \vdash \alpha^-$  follows from the assumption  $\Gamma \vdash \alpha^-$ ,
2.  $\Gamma; \cdot \vdash \cdot : \cdot$  holds trivially, and
3.  $[\cdot]\alpha^- = \alpha^-$  holds trivially.

**Case 2.** Rule ( $\uparrow^a$ ), then  $N_1 = \uparrow P_1, N_2 = \uparrow P_2$ , and the algorithm makes the recursive call:  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ , returning  $(\Xi, \uparrow Q, \hat{\tau}_1, \hat{\tau}_2)$  as the result.

Since  $N_1 = \uparrow P_1$  and  $N_2 = \uparrow P_2$  are normalized, so are  $P_1$  and  $P_2$ , and thus, the induction hypothesis is applicable to  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ :

1.  $\Gamma; \Xi \vdash Q$ , and hence,  $\Gamma; \Xi \vdash \uparrow Q$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  for  $i \in \{1, 2\}$ , and
3.  $[\hat{\tau}_i]Q = P_i$  for  $i \in \{1, 2\}$ , and then by the definition of the substitution,  $[\hat{\tau}_i]\uparrow Q = \uparrow P_i$  for  $i \in \{1, 2\}$ .

**Case 3.** Rule ( $\rightarrow^a$ ), then  $N_1 = P_1 \rightarrow N_1', N_2 = P_2 \rightarrow N_2'$ , and the algorithm makes two recursive calls:  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and  $\Gamma \models N_1' \stackrel{a}{\simeq} N_2' \Rightarrow (\Xi', M, \hat{\tau}_1', \hat{\tau}_2')$  and returns  $(\Xi \cup \Xi', Q \rightarrow M, \hat{\tau}_1 \cup \hat{\tau}_1', \hat{\tau}_2 \cup \hat{\tau}_2')$  as the result.

Notice that the induction hypothesis is applicable to  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ :  $P_1$  and  $P_2$  are normalized, since  $N_1 = P_1 \rightarrow N_1'$  and  $N_2 = P_2 \rightarrow N_2'$  are normalized. Similarly, the induction hypothesis is applicable to  $\Gamma \models N_1' \stackrel{a}{\simeq} N_2' \Rightarrow (\Xi', M, \hat{\tau}_1', \hat{\tau}_2')$ .

This way, by the induction hypothesis:

1.  $\Gamma; \Xi \vdash Q$  and  $\Gamma; \Xi' \vdash M$ . Then by weakening (??),  $\Gamma; \Xi \cup \Xi' \vdash Q$  and  $\Gamma; \Xi \cup \Xi' \vdash M$ , which implies  $\Gamma; \Xi \cup \Xi' \vdash Q \rightarrow M$ ;
2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  and  $\Gamma; \cdot \vdash \hat{\tau}_i' : \Xi'$ . Then  $\Gamma; \cdot \vdash \hat{\tau}_i \cup \hat{\tau}_i' : \Xi \cup \Xi'$  are well-defined anti-unification solution. Let us take an arbitrary  $\hat{\beta}^- \in \Xi \cup \Xi'$ . If  $\hat{\beta}^- \in \Xi$ . then  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  implies that  $\hat{\tau}_i$ , and hence,  $\hat{\tau}_i \cup \hat{\tau}_i'$  contains an entry well-formed in  $\Gamma$ . If  $\hat{\beta}^- \in \Xi'$ , the reasoning is symmetric.  
 $\hat{\tau}_i \cup \hat{\tau}_i'$  is a well-defined anti-unification solution: any anti-unification variable occurs uniquely  $\hat{\tau}_i \cup \hat{\tau}_i'$ , since by observation 2, the name of the variable is in one-to-one correspondence with the pair of types it is mapped to by  $\hat{\tau}_1$  and  $\hat{\tau}_2$ , and is in one-to-one correspondence with the pair of types it is mapped to by  $\hat{\tau}_1'$  and  $\hat{\tau}_2'$  i.e. if  $\hat{\beta}^- \in \Xi \cap \Xi'$  then  $[\hat{\tau}_1]\hat{\beta}^- = [\hat{\tau}_1']\hat{\beta}^-$ , and  $[\hat{\tau}_2]\hat{\beta}^- = [\hat{\tau}_2']\hat{\beta}^-$ .
3.  $[\hat{\tau}_i]Q = P_i$  and  $[\hat{\tau}_i']M = N_i'$ . Since  $\hat{\tau}_i \cup \hat{\tau}_i'$  restricted to  $\Xi$  is  $\hat{\tau}_i$ , and  $\hat{\tau}_i \cup \hat{\tau}_i'$  restricted to  $\Xi'$  is  $\hat{\tau}_i'$ , we have  $[\hat{\tau}_i \cup \hat{\tau}_i']Q = P_i$  and  $[\hat{\tau}_i \cup \hat{\tau}_i']M = N_i'$ , and thus,  $[\hat{\tau}_i \cup \hat{\tau}_i']Q \rightarrow M = P_i \rightarrow N_i'$

**Case 4.** Rule  $(\forall^{\alpha})$ , then  $N_1 = \forall \alpha^{\rightarrow}.N'_1$ ,  $N_2 = \forall \alpha^{\rightarrow}.N'_2$ , and the algorithm makes a recursive call:  $\Gamma \models N'_1 \stackrel{a}{\simeq} N'_2 \Rightarrow (\Xi, \underline{M}, \hat{\tau}_1, \hat{\tau}_2)$  and returns  $(\Xi, \forall \alpha^{\rightarrow}.\underline{M}, \hat{\tau}_1, \hat{\tau}_2)$  as the result.

Similarly to case 2, we apply the induction hypothesis to  $\Gamma \models N'_1 \stackrel{a}{\simeq} N'_2 \Rightarrow (\Xi, \underline{M}, \hat{\tau}_1, \hat{\tau}_2)$  to obtain:

1.  $\Gamma; \Xi \vdash \underline{M}$ , and hence,  $\Gamma; \Xi \vdash \forall \alpha^{\rightarrow}.\underline{M}$ ;
2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  for  $i \in \{1, 2\}$ , and
3.  $[\hat{\tau}_i]\underline{M} = N'_i$  for  $i \in \{1, 2\}$ , and then by the definition of the substitution,  $[\hat{\tau}_i]\forall \alpha^{\rightarrow}.\underline{M} = \forall \alpha^{\rightarrow}.N'_i$  for  $i \in \{1, 2\}$ .

**Case 5.** Rule  $(AU^-)$ , which applies when other rules do not, and  $\Gamma \vdash N_i$ , returning as the result  $(\Xi, \underline{M}, \hat{\tau}_1, \hat{\tau}_2) = (\hat{\alpha}_{\{N_1, N_2\}}^-, \hat{\alpha}_{\{N_1, N_2\}}^-, N_1), (\hat{\alpha}_{\{N_1, N_2\}}^-, \hat{\alpha}_{\{N_1, N_2\}}^-, \approx N_2))$ .

1.  $\Gamma; \Xi \vdash \underline{M}$  is rewritten as  $\Gamma; \hat{\alpha}_{\{N_1, N_2\}}^- \vdash \hat{\alpha}_{\{N_1, N_2\}}^-$ , which holds trivially;
2.  $\Gamma; \cdot \vdash \hat{\tau}_i : \Xi$  is rewritten as  $\Gamma; \cdot \vdash (\hat{\alpha}_{\{N_1, N_2\}}^- : \approx N_i) : \hat{\alpha}_{\{N_1, N_2\}}^-$ , which holds since  $\Gamma \vdash N_i$  by the premise of the rule;
3.  $[\hat{\tau}_i]\underline{M} = N_i$  is rewritten as  $[\hat{\alpha}_{\{N_1, N_2\}}^- : \approx N_i]\hat{\alpha}_{\{N_1, N_2\}}^- = N_i$ , which holds trivially by the definition of substitution.

**Case 6.** Positive cases are proved symmetrically. □

**Lemma 58** (Completeness of Anti-Unification).

+ Assume that  $P_1$  and  $P_2$  are normalized, and there exists  $(\Xi', \underline{Q}', \hat{\tau}'_1, \hat{\tau}'_2)$  such that

1.  $\Gamma; \Xi' \vdash \underline{Q}'$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
3.  $[\hat{\tau}'_i]\underline{Q}' = P_i$  for  $i \in \{1, 2\}$ .

Then the anti-unification algorithm terminates, that is there exists  $(\Xi, \underline{Q}, \hat{\tau}_1, \hat{\tau}_2)$  such that  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, \underline{Q}, \hat{\tau}_1, \hat{\tau}_2)$

– Assume that  $N_1$  and  $N_2$  are normalized, and there exists  $(\Xi', \underline{M}', \hat{\tau}'_1, \hat{\tau}'_2)$  such that

1.  $\Gamma; \Xi' \vdash \underline{M}'$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  for  $i \in \{1, 2\}$ , are anti-unification solutions, and
3.  $[\hat{\tau}'_i]\underline{M}' = N_i$  for  $i \in \{1, 2\}$ .

Then the anti-unification algorithm succeeds, that is there exists  $(\Xi, \underline{M}, \hat{\tau}_1, \hat{\tau}_2)$  such that  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, \underline{M}, \hat{\tau}_1, \hat{\tau}_2)$ .

*Proof.* We prove it by the induction on  $\underline{M}'$  and mutually on  $\underline{Q}'$ .

**Case 1.**  $\underline{M}' = \hat{\alpha}^-$  Then since  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$ ,  $\Gamma \vdash [\hat{\tau}'_i]\underline{M}' = N_i$ . This way, Rule  $(AU^-)$  is always applicable if other rules are not.

**Case 2.**  $\underline{M}' = \alpha^-$  Then  $\alpha^- = [\hat{\tau}'_i]\alpha^- = N_i$ , which means that Rule  $(Var^{\approx})$  is applicable.

**Case 3.**  $\underline{M}' = \uparrow \underline{Q}'$  Then  $\uparrow[\hat{\tau}'_i]\underline{Q}' = [\hat{\tau}'_i]\uparrow \underline{Q}' = N_i$ , that is  $N_1$  and  $N_2$  have form  $\uparrow P_1$  and  $\uparrow P_2$  respectively.

Moreover,  $[\hat{\tau}'_i]\underline{Q}' = P_i$ , which means that  $(\Xi', \underline{Q}', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $P_1$  and  $P_2$ . Then by the induction hypothesis, there exists  $(\Xi, \underline{Q}, \hat{\tau}_1, \hat{\tau}_2)$  such that  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, \underline{Q}, \hat{\tau}_1, \hat{\tau}_2)$ , and hence,  $\Gamma \models \uparrow P_1 \stackrel{a}{\simeq} \uparrow P_2 \Rightarrow (\Xi, \uparrow \underline{Q}, \hat{\tau}_1, \hat{\tau}_2)$  by Rule  $(\uparrow^{\approx})$ .

**Case 4.**  $\underline{M}' = \forall \alpha^{\rightarrow}.\underline{M}''$  This case is similar to the previous one: we consider  $\forall \alpha^{\rightarrow}$  as a constructor. Notice that  $\forall \alpha^{\rightarrow}.[\hat{\tau}'_i]\underline{M}'' = [\hat{\tau}'_i]\forall \alpha^{\rightarrow}.\underline{M}'' = N_i$ , that is  $N_1$  and  $N_2$  have form  $\forall \alpha^{\rightarrow}.N''_1$  and  $\forall \alpha^{\rightarrow}.N''_2$  respectively.

Moreover,  $[\hat{\tau}'_i]\underline{M}'' = N''_i$ , which means that  $(\Xi', \underline{M}'', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $N''_1$  and  $N''_2$ . Then by the induction hypothesis, there exists  $(\Xi, \underline{M}, \hat{\tau}_1, \hat{\tau}_2)$  such that  $\Gamma \models N''_1 \stackrel{a}{\simeq} N''_2 \Rightarrow (\Xi, \underline{M}, \hat{\tau}_1, \hat{\tau}_2)$ , and hence,  $\Gamma \models \forall \alpha^{\rightarrow}.N''_1 \stackrel{a}{\simeq} \forall \alpha^{\rightarrow}.N''_2 \Rightarrow (\Xi, \forall \alpha^{\rightarrow}.\underline{M}, \hat{\tau}_1, \hat{\tau}_2)$  by Rule  $(\forall^{\approx})$ .

**Case 5.**  $\underline{M}' = \underline{Q}' \rightarrow \underline{M}''$  Then  $[\hat{\tau}'_i]\underline{Q}' \rightarrow [\hat{\tau}'_i]\underline{M}'' = [\hat{\tau}'_i](\underline{Q}' \rightarrow \underline{M}'') = N_i$ , that is  $N_1$  and  $N_2$  have form  $\underline{P}_1 \rightarrow \underline{N}'_1$  and  $\underline{P}_2 \rightarrow \underline{N}'_2$  respectively.

Moreover,  $[\hat{\tau}'_i]\underline{Q}' = P_i$  and  $[\hat{\tau}'_i]\underline{M}'' = N''_i$ , which means that  $(\Xi', \underline{Q}', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $P_1$  and  $P_2$ , and  $(\Xi', \underline{M}'', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $N''_1$  and  $N''_2$ . Then by the induction hypothesis,  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi_1, \underline{Q}, \hat{\tau}_1, \hat{\tau}_2)$  and  $\Gamma \models N''_1 \stackrel{a}{\simeq} N''_2 \Rightarrow (\Xi_2, \underline{M}, \hat{\tau}_3, \hat{\tau}_4)$  succeed. The result of the algorithm is  $(\Xi_1 \cup \Xi_2, \underline{Q} \rightarrow \underline{M}, \hat{\tau}_1 \cup \hat{\tau}_3, \hat{\tau}_2 \cup \hat{\tau}_4)$ .

**Case 6.**  $Q' = \hat{\alpha}^+$  This case is not possible, since  $\Gamma; \Xi' \vdash Q'$  means  $\hat{\alpha}^+ \in \Xi'$ , but  $\Xi'$  can only contain negative variables.

**Case 7.** Other positive cases are proved symmetrically to the corresponding negative ones. □

**Lemma 59** (Initiality of Anti-Unification).

+ Assume that  $P_1$  and  $P_2$  are normalized, and  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$ , then  $(\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  is more specific than any other sound anti-unifier  $(\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)$ , i.e. if

1.  $\Gamma; \Xi' \vdash Q'$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
3.  $[\hat{\tau}'_i]Q' = P_i$  for  $i \in \{1, 2\}$

then there exists  $\hat{\rho}$  such that  $\Gamma; \Xi \vdash \hat{\rho} : (\Xi'|_{\mathbf{uv} Q'})$  and  $[\hat{\rho}]Q' = Q$ . Moreover,  $[\hat{\rho}]\hat{\beta}^-$  can be uniquely determined by  $[\hat{\tau}'_1]\hat{\beta}^-$ ,  $[\hat{\tau}'_2]\hat{\beta}^-$ , and  $\Gamma$ .

– Assume that  $N_1$  and  $N_2$  are normalized, and  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ , then  $(\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$  is more specific than any other sound anti-unifier  $(\Xi', M', \hat{\tau}'_1, \hat{\tau}'_2)$ , i.e. if

1.  $\Gamma; \Xi' \vdash M'$ ,
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  for  $i \in \{1, 2\}$  are anti-unification solutions, and
3.  $[\hat{\tau}'_i]M' = N_i$  for  $i \in \{1, 2\}$

then there exists  $\hat{\rho}$  such that  $\Gamma; \Xi \vdash \hat{\rho} : (\Xi'|_{\mathbf{uv} M'})$  and  $[\hat{\rho}]M' = M$ . Moreover,  $[\hat{\rho}]\hat{\beta}^-$  can be uniquely determined by  $[\hat{\tau}'_1]\hat{\beta}^-$ ,  $[\hat{\tau}'_2]\hat{\beta}^-$ , and  $\Gamma$ .

*Proof.* First, let us assume that  $M'$  is a metavariable  $\hat{\alpha}^-$ . Then we can take  $\hat{\rho} = \hat{\alpha}^- \mapsto M$ , which satisfies the required properties:

- $\Gamma; \Xi \vdash \hat{\rho} : (\Xi'|_{\mathbf{uv} M'})$  holds since  $\Xi'|_{\mathbf{uv} M'} = \hat{\alpha}^-$  and  $\Gamma; \Xi \vdash M$  by the soundness of anti-unification (lemma 57);
- $[\hat{\rho}]M' = M$  holds by construction
- $[\hat{\rho}]\hat{\alpha}^- = M$  is the anti-unifier of  $N_1 = [\hat{\tau}'_1]\hat{\alpha}^-$  and  $N_2 = [\hat{\tau}'_2]\hat{\alpha}^-$  in context  $\Gamma$ , and hence, it is uniquely determined by them (observation 1).

Now, we can assume that  $M'$  is not a metavariable. We prove by induction on the derivation of  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, Q, \hat{\tau}_1, \hat{\tau}_2)$  and mutually on the derivation of  $\Gamma \models N_1 \stackrel{a}{\simeq} N_2 \Rightarrow (\Xi, M, \hat{\tau}_1, \hat{\tau}_2)$ .

Since  $M'$  is not a metavariable, the substitution acting on  $M'$  preserves its outer constructor. In other words,  $[\hat{\tau}'_i]M' = N_i$  means that  $M'$ ,  $N_1$  and  $N_2$  have the same outer constructor. Let us consider the algorithmic anti-unification rule corresponding to this constructor, and show that it was successfully applied to anti-unify  $N_1$  and  $N_2$  (or  $P_1$  and  $P_2$ ).

**Case 1.** Rule  $(\text{Var}^{-\hat{\alpha}})$ , i.e.  $N_1 = \alpha^- = N_2$ . This rule is applicable since it has no premises.

Then  $\Xi = \cdot$ ,  $M = \alpha^-$ , and  $\hat{\tau}_1 = \hat{\tau}_2 = \cdot$ . Since  $[\hat{\tau}'_i]M' = N_i = \alpha^-$  and  $M'$  is not a metavariable,  $M' = \alpha^-$ . Then we can take  $\hat{\rho} = \cdot$ , which satisfies the required properties:

- $\Gamma; \Xi \vdash \hat{\rho} : (\Xi'|_{\mathbf{uv} M'})$  holds vacuously since  $\Xi'|_{\mathbf{uv} M'} = \emptyset$ ;
- $[\hat{\rho}]M' = M$ , that is  $[\cdot]\alpha^- = \alpha^-$  holds by substitution properties;
- the unique determination of  $[\hat{\rho}]\hat{\alpha}^-$  for  $\hat{\alpha}^- \in \Xi'|_{\mathbf{uv} M'} = \emptyset$  holds vacuously.

**Case 2.** Rule  $(\uparrow \stackrel{a}{\simeq})$ , i.e.  $N_1 = \uparrow P_1$  and  $N_2 = \uparrow P_2$ .

Then since  $[\hat{\tau}'_i]M' = N_i = \uparrow P_i$  and  $M'$  is not a metavariable,  $M' = \uparrow Q'$ , where  $[\hat{\tau}'_i]Q' = P_i$ . Let us show that  $(\Xi', Q', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $P_1$  and  $P_2$ .

1.  $\Gamma; \Xi' \vdash Q'$  holds by inversion of  $\Gamma; \Xi' \vdash \uparrow Q'$ ;
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  holds by assumption;
3.  $[\hat{\tau}'_i]Q' = P_i$  holds by assumption.

This way, by the completeness of anti-unification (lemma 58), the anti-unification algorithm succeeds on  $P_1$  and  $P_2$ :  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi, \overrightarrow{Q}, \hat{\tau}_1, \hat{\tau}_2)$ , which means that Rule  $(\stackrel{a}{\simeq})$  is applicable to infer  $\Gamma \models \uparrow P_1 \stackrel{a}{\simeq} \uparrow P_2 \Rightarrow (\Xi, \uparrow \overrightarrow{Q}, \hat{\tau}_1, \hat{\tau}_2)$ .

Moreover, by the induction hypothesis,  $(\Xi, \overrightarrow{Q}, \hat{\tau}_1, \hat{\tau}_2)$  is more specific than  $(\Xi', \overrightarrow{Q}', \hat{\tau}'_1, \hat{\tau}'_2)$ , which immediately implies that  $(\Xi, \uparrow \overrightarrow{Q}, \hat{\tau}_1, \hat{\tau}_2)$  is more specific than  $(\Xi', \uparrow \overrightarrow{Q}', \hat{\tau}'_1, \hat{\tau}'_2)$  (we keep the same  $\hat{\rho}$ ).

**Case 3.** Rule  $(\forall^{\hat{\alpha}})$ , i.e.  $N_1 = \forall \alpha^+. N'_1$  and  $N_2 = \forall \alpha^+. N'_2$ . The proof is symmetric to the previous case. Notice that the context  $\Gamma$  is not changed in Rule  $(\forall^{\hat{\alpha}})$ , as it represents the context in which the anti-unification variables must be instantiated, rather than the context forming the types that are being anti-unified.

**Case 4.** Rule  $(\rightarrow^{\hat{\alpha}})$ , i.e.  $N_1 = P_1 \rightarrow N'_1$  and  $N_2 = P_2 \rightarrow N'_2$ .

Then since  $[\hat{\tau}'_i] M' = N_i = P_i \rightarrow N'_i$  and  $M'$  is not a metavariable,  $M' = Q' \rightarrow M''$ , where  $[\hat{\tau}'_i] Q' = P_i$  and  $[\hat{\tau}'_i] M'' = N'_i$ .

Let us show that  $(\Xi', \overrightarrow{Q}', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $P_1$  and  $P_2$ .

1.  $\Gamma; \Xi' \vdash \overrightarrow{Q}'$  holds by inversion of  $\Gamma; \Xi' \vdash Q' \rightarrow M''$ ;
2.  $\Gamma; \cdot \vdash \hat{\tau}'_i : \Xi'$  holds by assumption;
3.  $[\hat{\tau}'_i] Q' = P_i$  holds by assumption.

Similarly,  $(\Xi', \overrightarrow{M}'', \hat{\tau}'_1, \hat{\tau}'_2)$  is an anti-unifier of  $N'_1$  and  $N'_2$ .

Then by the completeness of anti-unification (lemma 58), the anti-unification algorithm succeeds on  $P_1$  and  $P_2$ :  $\Gamma \models P_1 \stackrel{a}{\simeq} P_2 \Rightarrow (\Xi_1, \overrightarrow{Q}, \hat{\tau}_1, \hat{\tau}_2)$ ; and on  $N'_1$  and  $N'_2$ :  $\Gamma \models N'_1 \stackrel{a}{\simeq} N'_2 \Rightarrow (\Xi_2, \overrightarrow{M}'', \hat{\tau}_3, \hat{\tau}_4)$ . Notice that  $\hat{\tau}_1$  &  $\hat{\tau}_3$  and  $\hat{\tau}_2$  &  $\hat{\tau}_4$  are defined, in other words, for any  $\hat{\beta}^- \in \Xi_1 \cap \Xi_2$ ,  $[\hat{\tau}_1] \hat{\beta}^- = [\hat{\tau}_3] \hat{\beta}^-$  and  $[\hat{\tau}_2] \hat{\beta}^- = [\hat{\tau}_4] \hat{\beta}^-$ , which follows immediately from observation 2. This way, the algorithm proceeds by applying Rule  $(\rightarrow^{\hat{\alpha}})$  and returns  $(\Xi_1 \cup \Xi_2, \overrightarrow{Q} \rightarrow \overrightarrow{M}'', \hat{\tau}_1 \cup \hat{\tau}_3, \hat{\tau}_2 \cup \hat{\tau}_4)$ .

It is left to construct  $\hat{\rho}$  such that  $\Gamma; \Xi \vdash \hat{\rho} : (\Xi' |_{\text{uv } M'})$  and  $[\hat{\rho}] M' = M$ . By the induction hypothesis, there exist  $\hat{\rho}_1$  and  $\hat{\rho}_2$  such that  $\Gamma; \Xi_1 \vdash \hat{\rho}_1 : (\Xi' |_{\text{uv } Q'})$ ,  $\Gamma; \Xi_2 \vdash \hat{\rho}_2 : (\Xi' |_{\text{uv } M''})$ ,  $[\hat{\rho}_1] Q' = Q$ , and  $[\hat{\rho}_2] M'' = M''$ .

Let us show that  $\hat{\rho} = \hat{\rho}_1 \cup \hat{\rho}_2$  satisfies the required properties:

- $\Gamma; \Xi_1 \cup \Xi_2 \vdash \hat{\rho}_1 \cup \hat{\rho}_2 : (\Xi' |_{\text{uv } M'})$  holds since  $\Xi' |_{\text{uv } M'} = \Xi' |_{\text{uv } Q' \rightarrow M''} = (\Xi' |_{\text{uv } Q'}) \cup (\Xi' |_{\text{uv } M''})$ ,  $\Gamma; \Xi_1 \vdash \hat{\rho}_1 : (\Xi' |_{\text{uv } Q'})$  and  $\Gamma; \Xi_2 \vdash \hat{\rho}_2 : (\Xi' |_{\text{uv } M''})$ ;
- $[\hat{\rho}] M' = [\hat{\rho}] (Q' \rightarrow M'') = [\hat{\rho} |_{\text{uv } Q'}] Q' \rightarrow [\hat{\rho} |_{\text{uv } M''}] M'' = [\hat{\rho}_1] Q' \rightarrow [\hat{\rho}_2] M'' = Q \rightarrow M'' = M$ ;
- Since  $[\hat{\rho}] \hat{\beta}^-$  is either equal to  $[\hat{\rho}_1] \hat{\beta}^-$  or  $[\hat{\rho}_2] \hat{\beta}^-$ , it inherits their property that it is uniquely determined by  $[\hat{\tau}'_1] \hat{\beta}^-$ ,  $[\hat{\tau}'_2] \hat{\beta}^-$ , and  $\Gamma$ .

**Case 5.**  $P_1 = P_2 = \alpha^+$ . This case is symmetric to case 1.

**Case 6.**  $P_1 = \downarrow N_1$  and  $P_2 = \downarrow N_2$ . This case is symmetric to case 2

**Case 7.**  $P_1 = \exists \alpha^-. P'_1$  and  $P_2 = \exists \alpha^-. P'_2$ . This case is symmetric to case 3

□

### 3.11 Upper Bounds

**Lemma 60** (Characterization of the Supertypes). *Let us define the set of upper bounds of a positive type  $\text{UB}(P)$  in the following way:*

$\Gamma \vdash P$	$\text{UB}(\Gamma \vdash P)$
$\Gamma \vdash \beta^+$	$\{\exists \alpha^-. \beta^+ \mid \text{for } \alpha^-\}$
$\Gamma \vdash \exists \beta^-. Q$	$\text{UB}(\Gamma, \beta^- \vdash Q) \text{ not using } \beta^-$
$\Gamma \vdash \downarrow M$	$\left\{ \begin{array}{l} \exists \alpha^-. \downarrow M' \mid \text{for } \alpha^-, M', \text{ and } \vec{N} \text{ s.t.} \\ \Gamma \vdash N_i, \Gamma, \alpha^- \vdash M', \text{ and } [\vec{N}/\alpha^-] \downarrow M' \simeq_1^P \downarrow M \end{array} \right\}$
Then $\text{UB}(\Gamma \vdash P) \equiv \{Q \mid \Gamma \vdash Q \geq_1 P\}$ .	

*Proof.* By induction on  $\Gamma \vdash P$ .

**Case 1.**  $P = \beta^+$

Immediately from lemma 19

**Case 2.**  $P = \exists \vec{\beta}^{\rightarrow}.P'$

Then if  $\Gamma \vdash Q \geq_1 \exists \vec{\beta}^{\rightarrow}.P'$ , then by ??,  $\Gamma, \vec{\beta}^{\rightarrow} \vdash Q \geq_1 P'$ , and  $\mathbf{fv} Q \cap \vec{\beta}^{\rightarrow} = \emptyset$  by the Barendregt's convention. The other direction holds by Rule  $(\exists^{\geq_1})$ . This way,  $\{Q \mid \Gamma \vdash Q \geq_1 \exists \vec{\beta}^{\rightarrow}.P'\} = \{Q \mid \Gamma, \vec{\beta}^{\rightarrow} \vdash Q \geq_1 P' \text{ s.t. } \mathbf{fv}(Q) \cap \vec{\beta}^{\rightarrow} = \emptyset\}$ . From the induction hypothesis, the latter is equal to  $\text{UB}(\Gamma, \vec{\beta}^{\rightarrow} \vdash P')$  not using  $\vec{\beta}^{\rightarrow}$ , i.e.  $\text{UB}(\Gamma \vdash \exists \vec{\beta}^{\rightarrow}.P')$ .

**Case 3.**  $P = \downarrow M$

Then let us consider two subcases upper bounds without outer quantifiers (we denote the corresponding set restriction as  $|\#$ ) and upper bounds with outer quantifiers ( $|\exists$ ). We prove that for both of these groups, the restricted sets are equal.

a.  $Q \neq \exists \vec{\beta}^{\rightarrow}.Q'$

Then the last applied rule to infer  $\Gamma \vdash Q \geq_1 \downarrow M$  must be Rule  $(\downarrow^{\geq_1})$ , which means  $Q = \downarrow M'$ , and by inversion,  $\Gamma \vdash M' \simeq_1^{\leq} M$ , then by lemma 49 and Rule  $(\downarrow^{\simeq_1^D})$ ,  $\downarrow M' \simeq_1^D \downarrow M$ . This way,  $Q = \downarrow M' \in \{\downarrow M' \mid \downarrow M' \simeq_1^D \downarrow M\} = \text{UB}(\Gamma \vdash \downarrow M)|_{\#}$ .

In the other direction,  $\downarrow M' \simeq_1^D \downarrow M \Rightarrow \Gamma \vdash \downarrow M' \simeq_1^{\leq} \downarrow M$  by lemma 44, since  $\Gamma \vdash \downarrow M'$  by lemma 41

$$\Rightarrow \Gamma \vdash \downarrow M' \geq_1 \downarrow M \quad \text{by inversion}$$

b.  $Q = \exists \vec{\beta}^{\rightarrow}.Q'$  (for non-empty  $\vec{\beta}^{\rightarrow}$ )

Then the last rule applied to infer  $\Gamma \vdash \exists \vec{\beta}^{\rightarrow}.Q' \geq_1 \downarrow M$  must be Rule  $(\exists^{\geq_1})$ . Inversion of this rule gives us  $\Gamma \vdash [\vec{N}/\vec{\beta}^{\rightarrow}]Q' \geq_1 \downarrow M$  for some  $\Gamma \vdash N_i$ . Notice that  $[\vec{N}/\vec{\beta}^{\rightarrow}]Q'$  has no outer quantifiers. Thus from case 3.a,  $[\vec{N}/\vec{\beta}^{\rightarrow}]Q' \simeq_1^D \downarrow M$ , which is only possible if  $Q' = \downarrow M'$ . This way,  $Q = \exists \vec{\beta}^{\rightarrow}.\downarrow M' \in \text{UB}(\Gamma \vdash \downarrow M)|_{\exists}$  (notice that  $\vec{\beta}^{\rightarrow}$  is not empty).

In the other direction,  $[\vec{N}/\vec{\beta}^{\rightarrow}]\downarrow M' \simeq_1^D \downarrow M \Rightarrow \Gamma \vdash [\vec{N}/\vec{\beta}^{\rightarrow}]\downarrow M' \simeq_1^{\leq} \downarrow M$  by lemma 44, since  $\Gamma \vdash [\vec{N}/\vec{\beta}^{\rightarrow}]\downarrow M'$  by lemma 41

$$\Rightarrow \Gamma \vdash [\vec{N}/\vec{\beta}^{\rightarrow}]\downarrow M' \geq_1 \downarrow M \quad \text{by inversion}$$

$$\Rightarrow \Gamma \vdash \exists \vec{\beta}^{\rightarrow}.\downarrow M' \geq_1 \downarrow M \quad \text{by Rule } (\exists^{\geq_1})$$

□

**Lemma 61** (Characterization of the Normalized Supertypes). *For a normalized positive type  $P = \mathbf{nf}(P)$ , let us define the set of normalized upper bounds in the following way:*

$\Gamma \vdash P$	$\text{NFUB}(\Gamma \vdash P)$
$\Gamma \vdash \beta^+$	$\{\beta^+\}$
$\Gamma \vdash \exists \vec{\beta}^{\rightarrow}.P$	$\text{NFUB}(\Gamma, \vec{\beta}^{\rightarrow} \vdash P) \text{ not using } \vec{\beta}^{\rightarrow}$
$\Gamma \vdash \downarrow M$	$\left\{ \exists \vec{\alpha}^{\rightarrow}.\downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^{\rightarrow}, M', \text{ and } \vec{N} \text{ s.t. } \mathbf{ord} \vec{\alpha}^{\rightarrow} \text{ in } M' = \vec{\alpha}^{\rightarrow}, \\ \Gamma \vdash N_i, \Gamma, \vec{\alpha}^{\rightarrow} \vdash M', \text{ and } [\vec{N}/\vec{\alpha}^{\rightarrow}]\downarrow M' = \downarrow M \end{array} \right\}$

Then  $\text{NFUB}(\Gamma \vdash P) \equiv \{\mathbf{nf}(Q) \mid \Gamma \vdash Q \geq_1 P\}$ .

*Proof.* By induction on  $\Gamma \vdash P$ .

**Case 1.**  $P = \beta^+$

Then from lemma 60,  $\{\mathbf{nf}(Q) \mid \Gamma \vdash Q \geq_1 \beta^+\} = \{\mathbf{nf}(\exists \vec{\alpha}^{\rightarrow}.\beta^+) \mid \text{for some } \vec{\alpha}^{\rightarrow}\} = \{\beta^+\}$

**Case 2.**  $P = \exists \vec{\beta}^{\rightarrow}.P'$

$\text{NFUB}(\Gamma \vdash \exists \vec{\beta}^{\rightarrow}.P') = \text{NFUB}(\Gamma, \vec{\beta}^{\rightarrow} \vdash P') \text{ not using } \vec{\beta}^{\rightarrow}$

$$= \{\mathbf{nf}(Q) \mid \Gamma, \vec{\beta}^{\rightarrow} \vdash Q \geq_1 P'\} \text{ not using } \vec{\beta}^{\rightarrow}$$

by the induction hypothesis

$$= \{\mathbf{nf}(Q) \mid \Gamma, \vec{\beta}^{\rightarrow} \vdash Q \geq_1 P' \text{ s.t. } \mathbf{fv} Q \cap \vec{\beta}^{\rightarrow} = \emptyset\}$$

because  $\mathbf{fv} \mathbf{nf}(Q) = \mathbf{fv} Q$  by lemma 30

$$= \{\mathbf{nf}(Q) \mid Q \in \text{UB}(\Gamma, \vec{\beta}^{\rightarrow} \vdash P') \text{ s.t. } \mathbf{fv} Q \cap \vec{\beta}^{\rightarrow} = \emptyset\}$$

by lemma 60

$$= \{\mathbf{nf}(Q) \mid Q \in \text{UB}(\Gamma \vdash \exists \vec{\beta}^{\rightarrow}.P')\}$$

by the definition of UB

$$= \{\mathbf{nf}(Q) \mid \Gamma \vdash Q \geq_1 \exists \vec{\beta}^{\rightarrow}.P'\}$$

by lemma 60

**Case 3.**  $P = \downarrow M$  Let us prove the set equality by two inclusions.

$\subseteq$  Suppose that  $\Gamma \vdash Q \geq_1 \downarrow M$  and  $M$  is normalized.

By lemma 60,  $Q \in \text{UB}(\Gamma \vdash \downarrow M)$ . Then by definition of UB,  $Q = \exists \vec{\alpha}^{\rightarrow}.\downarrow M'$  for some  $\vec{\alpha}^{\rightarrow}$ ,  $M'$ , and  $\Gamma \vdash \sigma : \vec{\alpha}^{\rightarrow}$  s.t.  $[\sigma]\downarrow M' \simeq_1^D \downarrow M$ .

We need to show that  $\mathbf{nf}(Q) \in \mathbf{NFUB}(\Gamma \vdash \downarrow M)$ . Notice that  $\mathbf{nf}(Q) = \mathbf{nf}(\exists \vec{\alpha}^-. \downarrow M') = \exists \vec{\alpha}^-. \downarrow M_0$ , where  $\mathbf{nf}(M') = M_0$  and  $\mathbf{ord} \vec{\alpha}^- \text{ in } M_0 = \vec{\alpha}^-_0$ .

The belonging of  $\exists \vec{\alpha}^-. \downarrow M_0$  to  $\mathbf{NFUB}(\Gamma \vdash \downarrow M)$  means that

1.  $\mathbf{ord} \vec{\alpha}^-_0 \text{ in } M_0 = \vec{\alpha}^-_0$  and
2. that there exists  $\Gamma \vdash \sigma_0 : \vec{\alpha}^-_0$  such that  $[\sigma_0] \downarrow M_0 = \downarrow M$ .

The first requirement holds by corollary 14. To show the second requirement, we construct  $\sigma_0$  as  $\mathbf{nf}(\sigma|_{\mathbf{fv}(M')})$ . Let us show the required properties of  $\sigma_0$ :

1.  $\Gamma \vdash \sigma_0 : \vec{\alpha}^-_0$ . Notice that by lemma 6,  $\Gamma \vdash \sigma|_{\mathbf{fv}(M')} : \vec{\alpha}^- \cap \mathbf{fv}(M')$ , which we rewrite as  $\Gamma \vdash \sigma|_{\mathbf{fv}(M')} : \vec{\alpha}^-_0$  (since by lemma 25  $\vec{\alpha}^-_0 = \vec{\alpha}^- \cap \mathbf{fv} M_0$  as sets, and  $\mathbf{fv}(M_0) = \mathbf{fv}(M')$  by lemma 30). Then by lemma 43,  $\Gamma \vdash \mathbf{nf}(\sigma|_{\mathbf{fv}(M')}) : \vec{\alpha}^-_0$ , that is  $\Gamma \vdash \sigma_0 : \vec{\alpha}^-_0$ .
2.  $[\sigma_0] \downarrow M_0 = \downarrow M$ .  $[\sigma] \downarrow M' \simeq_1^D \downarrow M$  means  $[\sigma|_{\mathbf{fv}(M')}] \downarrow M' \simeq_1^D \downarrow M$  by lemma 5. Then by lemma 40,  $\mathbf{nf}([\sigma|_{\mathbf{fv}(M')}] \downarrow M') = \mathbf{nf}(\downarrow M)$ , implying  $[\sigma_0] \downarrow M_0 = \mathbf{nf}(\downarrow M)$  by lemma 34, and further  $[\sigma_0] \downarrow M_0 = \downarrow M$  by lemma 36 (since  $\downarrow M$  is normal by assumption).

$\supseteq$  Suppose that a type belongs to  $\mathbf{NFUB}(\Gamma \vdash \downarrow M)$  for a normalized  $\downarrow M$ . Then it must have shape  $\exists \vec{\alpha}^-. \downarrow M_0$  for some  $\vec{\alpha}^-_0$ ,  $M_0$ , and  $\Gamma \vdash \sigma_0 : \vec{\alpha}^-_0$  such that  $\mathbf{ord} \vec{\alpha}^-_0 \text{ in } M_0 = \vec{\alpha}^-_0$  and  $[\sigma_0] \downarrow M_0 = \downarrow M$ . It suffices to show that 1.  $\exists \vec{\alpha}^-. \downarrow M_0$  is normalized itself, and 2.  $\Gamma \vdash \exists \vec{\alpha}^-. \downarrow M_0 \geq_1 \downarrow M$ .

1. By definition,  $\mathbf{nf}(\exists \vec{\alpha}^-. \downarrow M_0) = \exists \vec{\alpha}^-_1. \downarrow M_1$ , where  $M_1 = \mathbf{nf}(M_0)$  and  $\mathbf{ord} \vec{\alpha}^-_0 \text{ in } M_1 = \vec{\alpha}^-_1$ . First, notice that by lemmas 28 and 32,  $\mathbf{ord} \vec{\alpha}^-_0 \text{ in } M_1 = \mathbf{ord} \vec{\alpha}^-_0 \text{ in } M_0 = \vec{\alpha}^-_0$ . This way,  $\mathbf{nf}(\exists \vec{\alpha}^-. \downarrow M_0) = \exists \vec{\alpha}^-_0. \downarrow \mathbf{nf}(M_0)$ . Second,  $M_0$  is normalized by lemma 37, since  $[\sigma_0] \downarrow M_0 = \downarrow M$  is normal. As such,  $\mathbf{nf}(\exists \vec{\alpha}^-. \downarrow M_0) = \exists \vec{\alpha}^-_0. \downarrow M_0$ , in other words,  $\exists \vec{\alpha}^-_0. \downarrow M_0$  is normalized.
2.  $\Gamma \vdash \exists \vec{\alpha}^-_0. \downarrow M_0 \geq_1 \downarrow M$  holds immediately by Rule  $(\exists \geq_1)$  with the substitution  $\sigma_0$ . Notice that  $\Gamma \vdash [\sigma_0] \downarrow M_0 \geq_1 \downarrow M$  follows from  $[\sigma_0] \downarrow M_0 = \downarrow M$  by reflexivity of subtyping (lemma 22).

□

**Lemma 62.** *Upper bounds of a type do not depend on the context as soon as the type is well-formed in it.*

*If  $\Gamma_1 \vdash P$  and  $\Gamma_2 \vdash P$  then  $\mathbf{UB}(\Gamma_1 \vdash P) = \mathbf{UB}(\Gamma_2 \vdash P)$  and  $\mathbf{NFUB}(\Gamma_1 \vdash P) = \mathbf{NFUB}(\Gamma_2 \vdash P)$*

*Proof.* We prove both inclusions by structural induction on  $P$ .

**Case 1.**  $P = \beta^+$ . Then  $\mathbf{UB}(\Gamma_1 \vdash \beta^+) = \mathbf{UB}(\Gamma_2 \vdash \beta^+) = \{\exists \vec{\alpha}^-. \beta^+ \mid \text{for some } \vec{\alpha}^-\}$ .  $\mathbf{NFUB}(\Gamma_1 \vdash \beta^+) = \mathbf{NFUB}(\Gamma_2 \vdash \beta^+) = \{\beta^+\}$ .

**Case 2.**  $P = \exists \vec{\beta}^-. P'$ . Then  $\mathbf{UB}(\Gamma_1 \vdash \exists \vec{\beta}^-. P') = \mathbf{UB}(\Gamma_1, \vec{\beta}^- \vdash P')$  not using  $\vec{\beta}^-$ .  $\mathbf{UB}(\Gamma_2 \vdash \exists \vec{\beta}^-. P') = \mathbf{UB}(\Gamma_2, \vec{\beta}^- \vdash P')$  not using  $\vec{\beta}^-$ . By the induction hypothesis,  $\mathbf{UB}(\Gamma_1, \vec{\beta}^- \vdash P') = \mathbf{UB}(\Gamma_2, \vec{\beta}^- \vdash P')$ , and if we restrict these sets to the same domain, they stay equal. Analogously,  $\mathbf{NFUB}(\Gamma_1 \vdash \exists \vec{\beta}^-. P') = \mathbf{NFUB}(\Gamma_2 \vdash \exists \vec{\beta}^-. P')$ .

**Case 3.**  $P = \downarrow M$ . Suppose that  $\exists \vec{\alpha}^-. \downarrow M' \in \mathbf{UB}(\Gamma_1 \vdash \downarrow M)$ . It means that  $\Gamma_1, \vec{\alpha}^- \vdash M'$  and there exist  $\Gamma_1 \vdash \vec{N}$  s.t.  $[\vec{N}/\vec{\alpha}^-] \downarrow M' \simeq_1^D \downarrow M$ , or in other terms, there exists  $\Gamma_1 \vdash \sigma : \vec{\alpha}^-$  such that  $[\sigma] \downarrow M' \simeq_1^D \downarrow M$ .

We need to show that  $\exists \vec{\alpha}^-. \downarrow M' \in \mathbf{UB}(\Gamma_2 \vdash \downarrow M)$ , in other words,  $\Gamma_2, \vec{\alpha}^- \vdash M'$  and there exists  $\Gamma_2 \vdash \sigma_0 : \vec{\alpha}^-$  such that  $[\sigma_0] \downarrow M' \simeq_1^D \downarrow M$ .

First, let us show  $\Gamma_2, \vec{\alpha}^- \vdash M'$ . Notice that  $[\sigma] \downarrow M' \simeq_1^D \downarrow M$  implies  $\mathbf{fv}([\sigma]M') = \mathbf{fv}(\downarrow M)$  by lemma 29. By lemma 15,  $\mathbf{fv}(M') \setminus \vec{\alpha}^- \subseteq \mathbf{fv}([\sigma]M')$ . This way,  $\mathbf{fv}(M') \setminus \vec{\alpha}^- \subseteq \mathbf{fv}(M)$ , implying  $\mathbf{fv}(M') \subseteq \mathbf{fv}(M) \cup \vec{\alpha}^-$ . By lemma 1,  $\Gamma_2 \vdash \downarrow M$  implies  $\mathbf{fv} M \subseteq \Gamma_2$ , hence,  $\mathbf{fv} M' \subseteq \Gamma_2, \vec{\alpha}^-$ , which by corollary 1 means  $\Gamma_2, \vec{\alpha}^- \vdash M'$ .

Second, let us construct the required  $\sigma_0$  in the following way:

$$\begin{cases} [\sigma_0] \alpha_i^- = [\sigma] \alpha_i^- & \text{for } \alpha_i^- \in \vec{\alpha}^- \cap \mathbf{fv}(M') \\ [\sigma_0] \alpha_i^- = \forall \gamma^+. \uparrow \gamma^+ & \text{for } \alpha_i^- \in \vec{\alpha}^- \setminus \mathbf{fv}(M') \\ [\sigma_0] \gamma^\pm = \gamma^\pm & \text{for any other } \gamma^\pm \end{cases}$$

This construction of a substitution coincides with the one from the proof of lemma 20. This way, for  $\sigma_0$ , hold the same properties:

1.  $[\sigma_0]M' = [\sigma]M'$ , which in particular, implies  $[\sigma_0] \downarrow M = [\sigma] \downarrow M$ , and thus,  $[\sigma] \downarrow M' \simeq_1^D \downarrow M$  can be rewritten to  $[\sigma_0] \downarrow M' \simeq_1^D \downarrow M$ ; and
2.  $\mathbf{fv}([\sigma]M') \vdash \sigma_0 : \vec{\alpha}^-$ , which, as noted above, can be rewritten to  $\mathbf{fv}(M) \vdash \sigma_0 : \vec{\alpha}^-$ , and since  $\mathbf{fv} M \subseteq \Gamma_2$ , weakened to  $\Gamma_2 \vdash \sigma_0 : \vec{\alpha}^-$ .



The proof of  $\text{NFUB}(\Gamma_1 \vdash \downarrow M) \subseteq \text{NFUB}(\Gamma_2 \vdash \downarrow M)$  is analogous. The differences are:

1.  $\text{ord } \vec{\alpha}^- \text{ in } M' = \vec{\alpha}^-$  holds by assumption,
2.  $[\sigma]\downarrow M' = \downarrow M$  implies  $\mathbf{fv}([\sigma]M') = \mathbf{fv}(\downarrow M)$  by rewriting,
3.  $[\sigma]\downarrow M' = \downarrow M$  and  $[\sigma_0]\downarrow M = [\sigma]\downarrow M$  imply  $[\sigma_0]\downarrow M' = \downarrow M$  by rewriting.

□

**Lemma 63** (Soundness of the Least Upper Bound). *For types  $\Gamma \vdash P_1$ , and  $\Gamma \vdash P_2$ , if  $\Gamma \models P_1 \vee P_2 = Q$  then*

- (i)  $\Gamma \vdash Q$
- (ii)  $\Gamma \vdash Q \geq_1 P_1$  and  $\Gamma \vdash Q \geq_1 P_2$

*Proof.* Induction on  $\Gamma \models P_1 \vee P_2 = Q$ .

**Case 1.**  $\Gamma \models \alpha^+ \vee \alpha^+ = \alpha^+$

Then  $\Gamma \vdash \alpha^+$  by assumption, and  $\Gamma \vdash \alpha^+ \geq_1 \alpha^+$  by Rule (Var<sup>+</sup><sub>1</sub>).

**Case 2.**  $\Gamma \models \exists \vec{\alpha}^-. P_1 \vee \exists \vec{\beta}^-. P_2 = Q$

Then by inversion of  $\Gamma \vdash \exists \vec{\alpha}^-. P_i$  and weakening,  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash P_i$ , hence, the induction hypothesis applies to  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \models P_1 \vee P_2 = Q$ . Then

- (i)  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash Q$ ,
- (ii)  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash Q \geq_1 P_1$ ,
- (iii)  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash Q \geq_1 P_2$ .

To prove  $\Gamma \vdash Q$ , it suffices to show that  $\mathbf{fv}(Q) \cap \Gamma, \vec{\alpha}^-, \vec{\beta}^- = \mathbf{fv}(Q) \cap \Gamma$  (and then apply section 3.3). The inclusion right-to-left is self-evident. To show  $\mathbf{fv}(Q) \cap \Gamma, \vec{\alpha}^-, \vec{\beta}^- \subseteq \mathbf{fv}(Q) \cap \Gamma$ , we prove that  $\mathbf{fv}(Q) \subseteq \Gamma$

$$\begin{aligned} \mathbf{fv}(Q) &\subseteq \mathbf{fv} P_1 \cap \mathbf{fv} P_2 && \text{by lemma 17} \\ &\subseteq (\Gamma, \vec{\alpha}^- \setminus \vec{\beta}^-) \cap (\Gamma, \vec{\beta}^- \setminus \vec{\alpha}^-) && \begin{aligned} &\text{since } \Gamma \vdash \exists \vec{\alpha}^-. P_1, \mathbf{fv}(P_1) \subseteq \Gamma, \vec{\alpha}^- = \Gamma, \vec{\alpha}^- \setminus \vec{\beta}^- \\ &\text{(the latter is because by the Barendregt's convention,} \\ &\Gamma, \vec{\alpha}^- \cap \vec{\beta}^- = \emptyset); \text{ similarly, } \mathbf{fv}(P_2) \subseteq \Gamma, \vec{\beta}^- \setminus \vec{\alpha}^- \end{aligned} \\ &\subseteq \Gamma \end{aligned}$$

To show  $\Gamma \vdash Q \geq_1 \exists \vec{\alpha}^-. P_1$ , we apply Rule ( $\exists \geq_1$ ). Then  $\Gamma, \vec{\alpha}^- \vdash Q \geq_1 P_1$  holds since  $\Gamma, \vec{\alpha}^-, \vec{\beta}^- \vdash Q \geq_1 P_1$  (by the induction hypothesis),  $\Gamma, \vec{\alpha}^- \vdash Q$  (by weakening), and  $\Gamma, \vec{\alpha}^- \vdash P_1$ .

Judgment  $\Gamma \vdash Q \geq_1 \exists \vec{\beta}^-. P_2$  is proved symmetrically.

**Case 3.**  $\Gamma \models \downarrow N \vee \downarrow M = \exists \vec{\alpha}^-. [\vec{\alpha}^- / \Xi] P$ . By the inversion,  $\Gamma, \cdot \models \mathbf{nf}(\downarrow N) \stackrel{a}{\simeq} \mathbf{nf}(\downarrow M) = (\Xi, P, \hat{\tau}_1, \hat{\tau}_2)$ . Then by the soundness of anti-unification (??),

- (i)  $\Gamma; \Xi \vdash P$ , then by ??,

$$\Gamma, \vec{\alpha}^- \vdash [\vec{\alpha}^- / \Xi] P \tag{7}$$

- (ii)  $\Gamma; \cdot \vdash \hat{\tau}_1 : \Xi$  and  $\Gamma; \cdot \vdash \hat{\tau}_2 : \Xi$ . Assuming that  $\Xi = \hat{\beta}_1^-, \dots, \hat{\beta}_n^-$ , the antiunification solutions  $\hat{\tau}_1$  and  $\hat{\tau}_2$  can be put explicitly as  $\hat{\tau}_1 = (\hat{\beta}_1^- \approx N_1, \dots, \hat{\beta}_n^- \approx N_n)$ , and  $\hat{\tau}_2 = (\hat{\beta}_1^- \approx M_1, \dots, \hat{\beta}_n^- \approx M_n)$ . Then

$$\hat{\tau}_1 = (\vec{N} / \vec{\alpha}^-) \circ (\vec{\alpha}^- / \Xi) \tag{8}$$

$$\hat{\tau}_2 = (\vec{M} / \vec{\alpha}^-) \circ (\vec{\alpha}^- / \Xi) \tag{9}$$

- (iii)  $[\hat{\tau}_1]Q = P_1$  and  $[\hat{\tau}_2]Q = P_1$ , which, by 8 and 9, means

$$[\vec{N} / \vec{\alpha}^-][\vec{\alpha}^- / \Xi] P = \mathbf{nf}(\downarrow N) \tag{10}$$

$$[\vec{M} / \vec{\alpha}^-][\vec{\alpha}^- / \Xi] P = \mathbf{nf}(\downarrow M) \tag{11}$$

Then  $\Gamma \vdash \exists \vec{\alpha}^-. [\vec{\alpha}^- / \Xi] P$  follows directly from 7.

To show  $\Gamma \vdash \exists \vec{\alpha}^-. [\vec{\alpha}^- / \Xi] P \geq_1 \downarrow N$ , we apply Rule ( $\exists \geq_1$ ), instantiating  $\vec{\alpha}^-$  with  $\vec{N}$ . Then  $\Gamma \vdash [\vec{N} / \vec{\alpha}^-][\vec{\alpha}^- / \Xi] P \geq_1 \downarrow N$  follows from 10 and since  $\Gamma \vdash \mathbf{nf}(\downarrow N) \geq_1 \downarrow N$  (by corollary 21).

Analogously, instantiating  $\vec{\alpha}^-$  with  $\vec{M}$ , gives us  $\Gamma \vdash [\vec{M} / \vec{\alpha}^-][\vec{\alpha}^- / \Xi] P \geq_1 \downarrow M$  (from 11), and hence,  $\Gamma \vdash \exists \vec{\alpha}^-. [\vec{\alpha}^- / \Xi] P \geq_1 \downarrow M$ .

□

**Lemma 64** (Completeness and Initiality of the Least Upper Bound). *For types  $\Gamma \vdash P_1$ ,  $\Gamma \vdash P_2$ , and  $\Gamma \vdash Q$  such that  $\Gamma \vdash Q \geq_1 P_1$  and  $\Gamma \vdash Q \geq_1 P_2$ , there exists  $Q'$  s.t.  $\Gamma \models P_1 \vee P_2 = Q'$  and  $\Gamma \vdash Q \geq_1 Q'$ .*

*Proof.* Induction on the pair  $(P_1, P_2)$ . From lemma 61,  $Q \in \text{UB}(\Gamma \vdash P_1) \cap \text{UB}(\Gamma \vdash P_2)$ . Let us consider the cases of what  $P_1$  and  $P_2$  are (i.e. the last rules to infer  $\Gamma \vdash P_i$ ).

**Case 1.**  $P_1 = \exists \vec{\beta}^{-}_1. Q_1$ ,  $P_2 = \exists \vec{\beta}^{-}_2. Q_2$ , where either  $\vec{\beta}^{-}_1$  or  $\vec{\beta}^{-}_2$  is not empty

$$\begin{aligned} \text{Then } Q &\in \text{UB}(\Gamma \vdash \exists \vec{\beta}^{-}_1. Q_1) \cap \text{UB}(\Gamma \vdash \exists \vec{\beta}^{-}_2. Q_2) \\ &\subseteq \text{UB}(\Gamma, \vec{\beta}^{-}_1 \vdash Q_1) \cap \text{UB}(\Gamma, \vec{\beta}^{-}_2 \vdash Q_2) && \text{from the definition of UB} \\ &= \text{UB}(\Gamma, \vec{\beta}^{-}_1, \vec{\beta}^{-}_2 \vdash Q_1) \cap \text{UB}(\Gamma, \vec{\beta}^{-}_1, \vec{\beta}^{-}_2 \vdash Q_2) && \text{by lemma 62, weakening and exchange} \\ &= \{Q' \mid \Gamma, \vec{\beta}^{-}_1, \vec{\beta}^{-}_2 \vdash Q' \geq_1 Q_1\} \cap \{Q' \mid \Gamma, \vec{\beta}^{-}_1, \vec{\beta}^{-}_2 \vdash Q' \geq_1 Q_2\} && \text{by lemma 60,} \end{aligned}$$

meaning that  $\Gamma, \vec{\beta}^{-}_1, \vec{\beta}^{-}_2 \vdash Q \geq_1 Q_1$  and  $\Gamma, \vec{\beta}^{-}_1, \vec{\beta}^{-}_2 \vdash Q \geq_1 Q_2$ . Then the next step of the algorithm—the recursive call  $\Gamma, \vec{\beta}^{-}_1, \vec{\beta}^{-}_2 \models Q_1 \vee Q_2 = Q'$  terminates by the induction hypothesis, and moreover,  $\Gamma, \vec{\beta}^{-}_1, \vec{\beta}^{-}_2 \vdash Q \geq_1 Q'$ . This way, the result of the algorithm is  $Q'$ , i.e.  $\Gamma \models P_1 \vee P_2 = Q'$ .

Since both  $Q$  and  $Q'$  are sound upper bounds,  $\Gamma \vdash Q$  and  $\Gamma \vdash Q'$ , and therefore,  $\Gamma, \vec{\beta}^{-}_1, \vec{\beta}^{-}_2 \vdash Q \geq_1 Q'$  can be strengthened to  $\Gamma \vdash Q \geq_1 Q'$  by lemma 20.

**Case 2.**  $P_1 = \alpha^+$  and  $P_2 = \downarrow N$

Then the set of common upper bounds of  $\downarrow N$  and  $\alpha^+$  is empty, and thus,  $Q \in \text{UB}(\Gamma \vdash P_1) \cap \text{UB}(\Gamma \vdash P_2)$  gives a contradiction:

$$\begin{aligned} Q &\in \text{UB}(\Gamma \vdash \alpha^+) \cap \text{UB}(\Gamma \vdash \downarrow N) \\ &= \{\exists \vec{\alpha}^{-}. \alpha^+ \mid \dots\} \cap \{\exists \vec{\beta}^{-}. \downarrow M' \mid \dots\} && \text{by the definition of UB} \\ &= \emptyset && \text{since } \alpha^+ \neq \downarrow M' \text{ for any } M' \end{aligned}$$

**Case 3.**  $P_1 = \downarrow N$  and  $P_2 = \alpha^+$

Symmetric to case 2

**Case 4.**  $P_1 = \alpha^+$  and  $P_2 = \beta^+$  (where  $\beta^+ \neq \alpha^+$ )

Similarly to case 2, the set of common upper bounds is empty, which leads to the contradiction:

$$\begin{aligned} Q &\in \text{UB}(\Gamma \vdash \alpha^+) \cap \text{UB}(\Gamma \vdash \beta^+) \\ &= \{\exists \vec{\alpha}^{-}. \alpha^+ \mid \dots\} \cap \{\exists \vec{\beta}^{-}. \beta^+ \mid \dots\} && \text{by the definition of UB} \\ &= \emptyset && \text{since } \alpha^+ \neq \beta^+ \end{aligned}$$

**Case 5.**  $P_1 = \alpha^+$  and  $P_2 = \alpha^+$

Then the algorithm terminates in one step (Rule (Var<sup>v</sup>)) and the result is  $\alpha^+$ , i.e.  $\Gamma \models \alpha^+ \vee \alpha^+ = \alpha^+$ .

Since  $Q \in \text{UB}(\Gamma \vdash \alpha^+)$ ,  $Q = \exists \vec{\alpha}^{-}. \alpha^+$ . Then  $\Gamma \vdash \exists \vec{\alpha}^{-}. \alpha^+ \geq_1 \alpha^+$  by Rule ( $\exists \geq_1$ ):  $\vec{\alpha}^{-}$  can be instantiated with arbitrary negative types (for example  $\forall \beta^+. \uparrow \beta^+$ ), since the substitution for unused variables does not change the term  $[\vec{N}/\vec{\alpha}^{-}] \alpha^+ = \alpha^+$ , and then  $\Gamma \vdash \alpha^+ \geq_1 \alpha^+$  by Rule (Var<sup>>1</sup>).

**Case 6.**  $P_1 = \downarrow M_1$  and  $P_2 = \downarrow M_2$

Then on the next step, the algorithm tries to anti-unify  $\mathbf{nf}(\downarrow M_1)$  and  $\mathbf{nf}(\downarrow M_2)$ . By ??, to show that the anti-unification algorithm terminates, it suffices to demonstrate that a sound anti-unification solution exists.

Notice that

$$\begin{aligned} \mathbf{nf}(Q) &\in \text{NFUB}(\Gamma \vdash \mathbf{nf}(\downarrow M_1)) \cap \text{NFUB}(\Gamma \vdash \mathbf{nf}(\downarrow M_2)) \\ &= \text{NFUB}(\Gamma \vdash \downarrow \mathbf{nf}(M_1)) \cap \text{NFUB}(\Gamma \vdash \downarrow \mathbf{nf}(M_2)) \\ &= \left\{ \exists \vec{\alpha}^{-}. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^{-}, M', \text{ and } \vec{N} \text{ s.t. } \mathbf{ord} \vec{\alpha}^{-} \text{ in } M' = \vec{\alpha}^{-}, \\ \Gamma \vdash N_i, \Gamma, \vec{\alpha}^{-} \vdash M', \text{ and } [\vec{N}/\vec{\alpha}^{-}] \downarrow M' = \downarrow \mathbf{nf}(M_1) \end{array} \right\} \\ &= \left\{ \exists \vec{\alpha}^{-}. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^{-}, M', \text{ and } \vec{N} \text{ s.t. } \mathbf{ord} \vec{\alpha}^{-} \text{ in } M' = \vec{\alpha}^{-}, \\ \Gamma \vdash \vec{N}_1, \Gamma \vdash \vec{N}_2, \Gamma, \vec{\alpha}^{-} \vdash M', \text{ and } [\vec{N}/\vec{\alpha}^{-}] \downarrow M' = \downarrow \mathbf{nf}(M_2) \end{array} \right\} \\ &= \left\{ \exists \vec{\alpha}^{-}. \downarrow M' \mid \begin{array}{l} \text{for } \vec{\alpha}^{-}, M', \vec{N}_1 \text{ and } \vec{N}_2 \text{ s.t. } \mathbf{ord} \vec{\alpha}^{-} \text{ in } M' = \vec{\alpha}^{-}, \\ \Gamma \vdash \vec{N}_1, \Gamma \vdash \vec{N}_2, \Gamma, \vec{\alpha}^{-} \vdash M', [\vec{N}_1/\vec{\alpha}^{-}] \downarrow M' = \downarrow \mathbf{nf}(M_1), \text{ and } [\vec{N}_2/\vec{\alpha}^{-}] \downarrow M' = \downarrow \mathbf{nf}(M_2) \end{array} \right\} \end{aligned}$$

The fact that the latter set is non-empty means that there exist  $\vec{\alpha}^{-}$ ,  $M'$ ,  $\vec{N}_1$  and  $\vec{N}_2$  such that

- (i)  $\Gamma, \vec{\alpha}^- \vdash M'$  (notice that  $M'$  is normal)
- (ii)  $\Gamma \vdash \vec{N}_1$  and  $\Gamma \vdash \vec{N}_1$ ,
- (iii)  $[\vec{N}_1/\vec{\alpha}^-]\downarrow M' = \downarrow \mathbf{nf}(M_1)$  and  $[\vec{N}_2/\vec{\alpha}^-]\downarrow M' = \downarrow \mathbf{nf}(M_2)$

For each negative variable  $\alpha^-$  from  $\vec{\alpha}^-$ , let us choose a fresh negative anti-unification variable  $\hat{\alpha}^-$ , and denote the list of these variables as  $\vec{\hat{\alpha}}^-$ . Let us show that  $(\vec{\hat{\alpha}}^-, [\vec{\hat{\alpha}}^-/\vec{\alpha}^-]\downarrow M', \vec{N}_1/\vec{\hat{\alpha}}^-, \vec{N}_2/\vec{\hat{\alpha}}^-)$  is a sound anti-unifier of  $\mathbf{nf}(\downarrow M_1)$  and  $\mathbf{nf}(\downarrow M_2)$  in context  $\Gamma$ :

- $\vec{\hat{\alpha}}^-$  is negative by construction,
- $\Gamma; \vec{\hat{\alpha}}^- \vdash [\vec{\hat{\alpha}}^-/\vec{\alpha}^-]\downarrow M'$  because  $\Gamma, \vec{\alpha}^- \vdash \downarrow M'$  **Ilya: lemma!**,
- $\Gamma; \cdot \vdash (\vec{N}_1/\vec{\hat{\alpha}}^-) : \vec{\hat{\alpha}}^-$  because  $\Gamma \vdash \vec{N}_1$  and  $\Gamma; \cdot \vdash (\vec{N}_2/\vec{\hat{\alpha}}^-) : \vec{\hat{\alpha}}^-$  because  $\Gamma \vdash \vec{N}_2$ ,
- $[\vec{N}_1/\vec{\hat{\alpha}}^-][\vec{\hat{\alpha}}^-/\vec{\alpha}^-]\downarrow M' = [\vec{N}_1/\vec{\alpha}^-]\downarrow M' = \downarrow \mathbf{nf}(M_1) = \mathbf{nf}(\downarrow M_1)$ .
- $[\vec{N}_2/\vec{\hat{\alpha}}^-][\vec{\hat{\alpha}}^-/\vec{\alpha}^-]\downarrow M' = [\vec{N}_2/\vec{\alpha}^-]\downarrow M' = \downarrow \mathbf{nf}(M_2) = \mathbf{nf}(\downarrow M_2)$ .

Then by the completeness of the anti-unification (lemma 58), the anti-unification algorithm terminates, so is the Least Upper Bound algorithm invoking it, i.e.  $Q' = \exists \vec{\beta}^-. [\vec{\beta}^-/\Xi] P$ , where  $(\Xi, P, \hat{\tau}_1, \hat{\tau}_2)$  is the result of the anti-unification of  $\mathbf{nf}(\downarrow M_1)$  and  $\mathbf{nf}(\downarrow M_2)$  in context  $\Gamma$ .

Moreover, lemma 58 also says that the found anti-unification solution is initial, i.e. there exists  $\hat{\tau}$  such that  $\Gamma; \Xi \vdash \hat{\tau} : \vec{\hat{\alpha}}^-$  and  $[\hat{\tau}][\vec{\hat{\alpha}}^-/\vec{\alpha}^-]\downarrow M' = P$ .

Let  $\sigma$  be a sequential Kleisli composition of the following substitutions: (i)  $\vec{\hat{\alpha}}^-/\vec{\alpha}^-$ , (ii)  $\hat{\tau}$ , and (iii)  $\vec{\beta}^-/\Xi$ . Notice that  $\Gamma, \vec{\beta}^- \vdash \sigma : \vec{\hat{\alpha}}^-$  and  $[\sigma]\downarrow M' = [\vec{\beta}^-/\Xi][\hat{\tau}][\vec{\hat{\alpha}}^-/\vec{\alpha}^-]\downarrow M' = [\vec{\beta}^-/\Xi] P$ . In particular, from the reflexivity of subtyping:  $\Gamma, \vec{\beta}^- \vdash [\sigma]\downarrow M' \geq_1 [\vec{\beta}^-/\Xi] P$ .

It allows us to show  $\Gamma \vdash \mathbf{nf}(Q) \geq_1 Q'$ , i.e.  $\Gamma \vdash \exists \vec{\alpha}^-. \downarrow M' \geq_1 \exists \vec{\beta}^-. [\vec{\beta}^-/\Xi] P$ , by applying Rule  $(\exists \geq_1)$ , instantiating  $\vec{\alpha}^-$  with respect to  $\sigma$ . Finally,  $\Gamma \vdash Q \geq_1 Q'$  by transitively combining  $\Gamma \vdash \mathbf{nf}(Q) \geq_1 Q'$  and  $\Gamma \vdash Q \geq_1 \mathbf{nf}(Q)$  (holds by corollary 21 and inversion).

□

### 3.12 Upgrade

Let us consider a type  $P$  well-formed in  $\Gamma$ . Some of its  $\Gamma$ -supertypes are also well-formed in a smaller context  $\Delta \subseteq \Gamma$ . The upgrade is the operation that returns the least of such supertypes.

**Lemma 65** (Soundness of Upgrade). *Assuming  $P$  is well-formed in  $\Gamma = \Delta, \alpha^\pm$ , if  $\mathbf{upgrade} \Gamma \vdash P \mathbf{to} \Delta = Q$  then*

1.  $\Delta \vdash Q$
2.  $\Gamma \vdash Q \geq_1 P$

*Proof.* By inversion,  $\mathbf{upgrade} \Gamma \vdash P \mathbf{to} \Delta = Q$  means that for fresh  $\vec{\beta}^\pm$  and  $\vec{\gamma}^\pm$ ,  $\Delta, \vec{\beta}^\pm, \vec{\gamma}^\pm \models [\vec{\beta}^\pm/\vec{\alpha}^\pm] P \vee [\vec{\gamma}^\pm/\vec{\alpha}^\pm] P = Q$ . Then by the soundness of the least upper bound (lemma 63),

1.  $\Delta, \vec{\beta}^\pm, \vec{\gamma}^\pm \vdash Q$ ,
2.  $\Delta, \vec{\beta}^\pm, \vec{\gamma}^\pm \vdash Q \geq_1 [\vec{\beta}^\pm/\vec{\alpha}^\pm] P$ , and
3.  $\Delta, \vec{\beta}^\pm, \vec{\gamma}^\pm \vdash Q \geq_1 [\vec{\gamma}^\pm/\vec{\alpha}^\pm] P$ .

$$\begin{aligned}
\mathbf{fv} Q &\subseteq \mathbf{fv} [\vec{\beta}^\pm/\vec{\alpha}^\pm] P \cap \mathbf{fv} [\vec{\gamma}^\pm/\vec{\alpha}^\pm] P \\
&\subseteq ((\mathbf{fv} P \setminus \vec{\alpha}^\pm) \cup \vec{\beta}^\pm) \cap ((\mathbf{fv} P \setminus \vec{\alpha}^\pm) \cup \vec{\gamma}^\pm) \\
&= (\mathbf{fv} P \setminus \vec{\alpha}^\pm) \cap (\mathbf{fv} P \setminus \vec{\alpha}^\pm) \\
&= \mathbf{fv} P \setminus \vec{\alpha}^\pm \\
&\subseteq \Gamma \setminus \vec{\alpha}^\pm \\
&\subseteq \Delta
\end{aligned}$$

Since by lemma 17,  $\mathbf{fv} Q \subseteq \mathbf{fv} [\vec{\beta}^\pm/\vec{\alpha}^\pm] P$  and  $\mathbf{fv} Q \subseteq \mathbf{fv} [\vec{\gamma}^\pm/\vec{\alpha}^\pm] P$

since  $\vec{\beta}^\pm$  and  $\vec{\gamma}^\pm$  are fresh

since  $P$  is well-formed in  $\Gamma$

This way, by section 3.3,  $\Delta \vdash Q$ .

Let us apply  $\vec{\alpha}^\pm/\vec{\beta}^\pm$ —the inverse of the substitution  $\vec{\beta}^\pm/\vec{\alpha}^\pm$  to both sides of  $\Delta, \vec{\beta}^\pm, \vec{\gamma}^\pm \vdash Q \geq_1 [\vec{\beta}^\pm/\vec{\alpha}^\pm]P$  and by lemma 23 (since  $\vec{\beta}^\pm/\vec{\alpha}^\pm$  can be specified as  $\Delta, \vec{\beta}^\pm, \vec{\gamma}^\pm \vdash \vec{\beta}^\pm/\vec{\alpha}^\pm : \Delta, \vec{\alpha}^\pm, \vec{\gamma}^\pm$  by ) obtain  $\Delta, \vec{\alpha}^\pm, \vec{\gamma}^\pm \vdash [\vec{\alpha}^\pm/\vec{\beta}^\pm]Q \geq_1 P$ . Notice that  $\Delta \vdash Q$  implies that  $\mathbf{fv} Q \cap \vec{\beta}^\pm = \emptyset$ , then by corollary 4,  $[\vec{\alpha}^\pm/\vec{\beta}^\pm]Q = Q$ , and thus  $\Delta, \vec{\alpha}^\pm, \vec{\gamma}^\pm \vdash Q \geq_1 P$ . By context strengthening,  $\Delta, \vec{\alpha}^\pm \vdash Q \geq_1 P$ .  $\square$

**Lemma 66** (Completeness and Initiality of Upgrade). *The upgrade returns the least  $\Gamma$ -supertype of  $P$  well-formed in  $\Delta$ . Assuming  $P$  is well-formed in  $\Gamma = \Delta, \vec{\alpha}^\pm$ , For any  $Q'$  such that*

1.  $\Delta \vdash Q'$  and
2.  $\Gamma \vdash Q' \geq_1 P$ ,

*The result of the upgrade algorithm  $Q$  exists (**upgrade**  $\Gamma \vdash P$  to  $\Delta = Q$ ) and satisfies  $\Delta \vdash Q' \geq_1 Q$ .*

*Proof.* Let us consider fresh (not intersecting with  $\Gamma$ )  $\vec{\beta}^\pm$  and  $\vec{\gamma}^\pm$ .

If we apply substitution  $\vec{\beta}^\pm/\vec{\alpha}^\pm$  to both sides of  $\Delta, \vec{\alpha}^\pm \vdash Q' \geq_1 P$ , we have  $\Delta, \vec{\beta}^\pm \vdash [\vec{\beta}^\pm/\vec{\alpha}^\pm]Q' \geq_1 [\vec{\beta}^\pm/\vec{\alpha}^\pm]P$ , which by corollary 4, since  $\vec{\alpha}^\pm$  is disjoint from  $\mathbf{fv}(Q')$  (because  $\Delta \vdash Q'$ ), simplifies to  $\Delta, \vec{\beta}^\pm \vdash Q' \geq_1 [\vec{\beta}^\pm/\vec{\alpha}^\pm]P$ .

Analogously, if we apply substitution  $\vec{\gamma}^\pm/\vec{\alpha}^\pm$  to both sides of  $\Delta, \vec{\alpha}^\pm \vdash Q' \geq_1 P$ , we have  $\Delta, \vec{\gamma}^\pm \vdash Q' \geq_1 [\vec{\gamma}^\pm/\vec{\alpha}^\pm]P$ .

This way,  $Q'$  is a common supertype of  $[\vec{\beta}^\pm/\vec{\alpha}^\pm]P$  and  $[\vec{\gamma}^\pm/\vec{\alpha}^\pm]P$  in context  $\Delta, \vec{\beta}^\pm, \vec{\gamma}^\pm$ . It means that we can apply the completeness of the least upper bound (lemma 64):

1. there exists  $Q$  s.t.  $\Gamma \models [\vec{\beta}^\pm/\vec{\alpha}^\pm]P \vee [\vec{\gamma}^\pm/\vec{\alpha}^\pm]P = Q$
2.  $\Gamma \vdash Q' \geq_1 Q$ .

The former means that the upgrade algorithm terminates and returns  $Q$ . The latter means that since both  $Q'$  and  $Q$  are well-formed in  $\Delta$ , by ??,  $\Delta \vdash Q' \geq_1 Q$ .  $\square$

### 3.13 Constraint Satisfaction

**Lemma 67** (Any constraint is satisfiable). *Suppose that  $\Theta \vdash SC$  then there exists  $\hat{\sigma}$  such that  $(\Theta \vdash SC) \vdash \hat{\sigma}$ .*

*Proof.* Let us define  $\hat{\sigma}$  on  $\mathbf{dom}(SC)$  in the following way:

$$[\hat{\sigma}]\hat{\alpha}^\pm = \begin{cases} P & \text{if } (\hat{\alpha}^\pm : \approx P) \in SC \\ P & \text{if } (\hat{\alpha}^\pm : \geq P) \in SC \\ N & \text{if } (\hat{\alpha}^\pm : \approx N) \in SC \end{cases}$$

Then  $(\Theta \vdash SC) \vdash \hat{\sigma}$  follows immediately from the reflexivity of equivalence and subtyping (lemma 22) and the corresponding rules Rule SATSCEPeq, Rule SATSCENEq, and Rule SATSCESup.  $\square$

**Lemma 68** (Constraint Entry Satisfiability is Stable under Equivalence). *— If  $\Gamma \vdash N_1 : e$  and  $\Gamma \vdash N_1 \simeq_1^< N_2$  then  $\Gamma \vdash N_2 : e$ .*

*+ If  $\Gamma \vdash P_1 : e$  and  $\Gamma \vdash P_1 \simeq_1^< P_2$  then  $\Gamma \vdash P_2 : e$ .*

*Proof.* — Then  $e$  has form  $(\hat{\alpha}^- : \approx M)$ , and by inversion,  $\Gamma \vdash N_1 \simeq_1^< M$ . Then by transitivity,  $\Gamma \vdash N_2 \simeq_1^< M$ , meaning  $\Gamma \vdash N_2 : e$ .

*+ Let us consider what form  $e$  has.*

**Case 1.**  $e = (\hat{\alpha}^+ : \approx Q)$ . Then  $\Gamma \vdash P_1 \simeq_1^< Q$ , and hence,  $\Gamma \vdash P_2 \simeq_1^< Q$  by transitivity. Then  $\Gamma \vdash P_2 : e$ .

**Case 2.**  $e = (\hat{\alpha}^+ : \geq Q)$ . Then  $\Gamma \vdash P_1 \geq_1 Q$ , and hence,  $\Gamma \vdash P_2 \geq_1 Q$  by transitivity. Then  $\Gamma \vdash P_2 : e$ .  $\square$

**Corollary 27** (Constraint Satisfaction is stable under Equivalence).

*If  $(\Theta \vdash SC) \vdash \hat{\sigma}_1$  and  $\Theta|_{\mathbf{dom}(SC)} \vdash \hat{\sigma}_1 \simeq_1^< \hat{\sigma}_2 : \mathbf{varset}$  then  $(\Theta \vdash SC) \vdash \hat{\sigma}_2$ ;*

*if  $(\Theta \vdash UC) \vdash \hat{\sigma}_1$  and  $\Theta|_{\mathbf{dom}(SC)} \vdash \hat{\sigma}_1 \simeq_1^< \hat{\sigma}_2 : \mathbf{varset}$  then  $(\Theta \vdash UC) \vdash \hat{\sigma}_2$ .*

**Corollary 28** (Normalization preserves Constraint Satisfaction).

*If  $(\Theta \vdash SC) \vdash \hat{\sigma}$  then  $(SC)nf(u)$ ;*

*if  $(\Theta \vdash UC) \vdash \hat{\sigma}$  then  $(UC)nf(u)$ .*

### 3.14 Positive Subtyping

**Lemma 69** (Soundness of the Positive Subtyping). *If  $\Gamma \vdash^\supset \Theta$ ,  $\Gamma \vdash Q$ ,  $\Gamma; \mathbf{dom}(\Theta) \vdash P$ , and  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$ , then  $\Theta \vdash SC$  and for any normalized  $\hat{\sigma}$  such that  $(\Theta \vdash SC) \vdash \hat{\sigma}$ ,  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ .*

*Proof.* We prove it by induction on  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$ . Let us consider the last rule to infer this judgment.

**Case 1.** Rule (UVar<sup>></sup>) then  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  has shape  $\Gamma; \Theta \models \hat{\alpha}^+ \geq P' \Rightarrow (\hat{\alpha}^+ : \geq Q')$  where  $\hat{\alpha}^+ \{\Delta\} \in \Theta$  and **upgrade**  $\Gamma \vdash P' \text{ to } \Delta = Q'$ .

Notice that  $\hat{\alpha}^+ \{\Delta\} \in \Theta$  and  $\Gamma \vdash^\supset \Theta$  implies  $\Gamma = \Delta, \bar{\alpha}^\pm$  for some  $\bar{\alpha}^\pm$ , hence, the soundness of upgrade (lemma 65) is applicable:

1.  $\Delta \vdash Q'$  and
2.  $\Gamma \vdash Q' \geq_1 P$ .

Since  $\hat{\alpha}^+ \{\Delta\} \in \Theta$  and  $\Delta \vdash Q'$ , it is clear that  $\Theta \vdash (\hat{\alpha}^+ : \geq Q')$ .

It is left to show that  $\Gamma \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 P'$  for any normalized  $\hat{\sigma}$  s.t.  $(\Theta \vdash (\hat{\alpha}^+ : \geq Q')) \vdash \hat{\sigma}$ . The latter means that  $\Theta(\hat{\alpha}^+) \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q'$ , i.e.  $\Delta \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q'$ . By weakening the context to  $\Gamma$  and combining this judgment transitively with  $\Gamma \vdash Q' \geq_1 P$ , we have  $\Gamma \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 P$ , as required.

**Case 2.** Rule (Var<sup>+></sup>) then  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  has shape  $\Gamma; \Theta \models \alpha^+ \geq \alpha^+ \Rightarrow \cdot$ . Then **uv**  $\alpha^+ = \emptyset$ , and  $SC = \cdot$  satisfies  $\Theta \vdash \cdot$ . Since **uv**  $\alpha^+ = \emptyset$ , application of any substitution  $\hat{\sigma}$  does not change  $\alpha^+$ , i.e.  $[\hat{\sigma}]\alpha^+ = \alpha^+$ . Therefore,  $\Gamma \vdash [\hat{\sigma}]\alpha^+ \geq_1 \alpha^+$  holds by Rule (Var<sup>-<1</sup>).

**Case 3.** Rule ( $\downarrow$ <sup>></sup>) then  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  has shape  $\Gamma; \Theta \models \downarrow N \geq \downarrow M \Rightarrow SC$ .

Then the next step of the algorithm is the unification of **nf** ( $N$ ) and **nf** ( $M$ ), and it returns the resulting unification constraint  $UC = SC$  as the result. By the soundness of unification (lemma 55),  $\Theta \vdash SC$  and for any normalized  $\hat{\sigma}$ ,  $(\Theta \vdash SC) \vdash \hat{\sigma}$  implies  $[\hat{\sigma}]\mathbf{nf}(N) = \mathbf{nf}(M)$ , then we rewrite the left-hand side by lemma 34:  $\mathbf{nf}([\hat{\sigma}]N) = \mathbf{nf}(M)$  and apply lemma 50:  $\Gamma \vdash [\hat{\sigma}]N \simeq_1^< M$ , then by Rule ( $\uparrow$ <sup><1</sup>),  $\Gamma \vdash \downarrow[\hat{\sigma}]N \geq_1 \downarrow M$ .

**Case 4.** Rule ( $\exists$ <sup>></sup>) then  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  has shape  $\Gamma; \Theta \models \exists \bar{\alpha}^-. P' \geq \exists \bar{\beta}^-. Q' \Rightarrow SC$  s.t. either  $\bar{\alpha}^-$  or  $\bar{\beta}^-$  is not empty.

Then the algorithm creates fresh unification variables  $\bar{\alpha}^-\{\Gamma, \bar{\beta}^-\}$ , substitutes the old  $\bar{\alpha}^-$  with them in  $P'$ , and makes the recursive call:  $\Gamma, \bar{\beta}^-; \Theta, \bar{\alpha}^-\{\Gamma, \bar{\beta}^-\} \models [\bar{\alpha}^-/\bar{\alpha}^-]P' \geq Q' \Rightarrow SC'$ , returning as the result  $SC = SC' \setminus \bar{\alpha}^-$ .

Let us take an arbitrary normalized  $\hat{\sigma}$  s.t.  $(\Theta \vdash SC' \setminus \bar{\alpha}^-) \vdash \hat{\sigma}$ . We wish to show  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ , i.e.  $\Gamma \vdash \exists \bar{\alpha}^-. [\hat{\sigma}]P' \geq_1 \exists \bar{\beta}^-. Q'$ . To do that, we apply Rule ( $\exists$ <sup>>1</sup>), and what is left to show is  $\Gamma, \bar{\beta}^- \vdash [\bar{N}/\bar{\alpha}^-][\hat{\sigma}]P' \geq_1 Q'$  for some  $\bar{N}$ . If we construct a normalized  $\hat{\sigma}'$  such that  $(\Theta, \bar{\alpha}^-\{\Gamma, \bar{\beta}^-\} \vdash SC') \vdash \hat{\sigma}'$  and for some  $\bar{N}$ ,  $[\bar{N}/\bar{\alpha}^-][\hat{\sigma}]P' = [\hat{\sigma}'][\bar{\alpha}^-/\bar{\alpha}^-]P'$ , we can apply the induction hypothesis to  $\Gamma, \bar{\beta}^-; \Theta, \bar{\alpha}^-\{\Gamma, \bar{\beta}^-\} \models [\bar{\alpha}^-/\bar{\alpha}^-]P \geq Q \Rightarrow SC'$  and infer the required subtyping.

Let us construct such  $\hat{\sigma}'$  by extending  $\hat{\sigma}$  with  $\bar{\alpha}^-$  mapped to the corresponding types in  $SC'$ :

$$[\hat{\sigma}']\hat{\beta}^\pm = \begin{cases} [\hat{\sigma}]\hat{\beta}^\pm & \text{if } \hat{\beta}^\pm \in \mathbf{dom}(SC') \setminus \bar{\alpha}^- \\ \mathbf{nf}(N) & \text{if } \hat{\beta}^\pm \in \bar{\alpha}^- \text{ and } (\hat{\beta}^\pm : \approx N) \in SC' \end{cases}$$

It is easy to see that  $\hat{\sigma}'$  is normalized: it inherits this property from  $\hat{\sigma}$ . Let us show that  $(\Theta, \bar{\alpha}^-\{\Gamma, \bar{\beta}^-\} \vdash SC') \vdash \hat{\sigma}'$ . Let us take an arbitrary entry  $e$  from  $SC'$  restricting a variable  $\hat{\beta}^\pm$ . Suppose  $\hat{\beta}^\pm \in \mathbf{dom}(SC') \setminus \bar{\alpha}^-$ . Then  $(\Theta, \bar{\alpha}^-\{\Gamma, \bar{\beta}^-\})(\hat{\beta}^\pm) \vdash [\hat{\sigma}']\hat{\beta}^\pm : e$  is rewritten as  $\Theta(\hat{\beta}^\pm) \vdash [\hat{\sigma}]\hat{\beta}^\pm : e$ , which holds since  $(\Theta \vdash SC') \vdash \hat{\sigma}$ . Suppose  $\hat{\beta}^\pm = \hat{\alpha}_i^- \in \bar{\alpha}^-$ . Then  $e = (\hat{\alpha}_i^- : \approx N)$  for some  $N$ ,  $[\hat{\sigma}']\hat{\alpha}_i^- = \mathbf{nf}(N)$  by the definition, and  $\Gamma, \bar{\beta}^- \vdash \mathbf{nf}(N) : (\hat{\alpha}_i^- : \approx N)$  by Rule SATSCENEq, since  $\Gamma \vdash \mathbf{nf}(N) \simeq_1^< N$  by lemma 50.

Finally, let us show that  $[\bar{N}/\bar{\alpha}^-][\hat{\sigma}]P' = [\hat{\sigma}'][\bar{\alpha}^-/\bar{\alpha}^-]P'$ . For  $N_i$ , we take the *normalized* type restricting  $\hat{\alpha}_i^-$  in  $SC'$ . Let us take an arbitrary variable from  $P$ .

1. If this variable is a unification variable  $\hat{\beta}^\pm$ , then  $[\bar{N}/\bar{\alpha}^-][\hat{\sigma}]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm$ , since  $(\Theta \vdash SC' \setminus \bar{\alpha}^-) \vdash \hat{\sigma}$  and  $\mathbf{dom}(\Theta) \cap \bar{\alpha}^- = \emptyset$ . Notice that  $\hat{\beta}^\pm \in \mathbf{dom}(\Theta)$ , which is disjoint from  $\bar{\alpha}^-$ , that is  $\hat{\beta}^\pm \in \mathbf{dom}(SC') \setminus \bar{\alpha}^-$ . This way,  $[\hat{\sigma}'][\bar{\alpha}^-/\bar{\alpha}^-]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm$  by the definition of  $\hat{\sigma}'$ ,
2. If this variable is a regular variable  $\beta^\pm \notin \bar{\alpha}^-$ , then  $[\bar{N}/\bar{\alpha}^-][\hat{\sigma}]\beta^\pm = \beta^\pm$  and  $[\hat{\sigma}'][\bar{\alpha}^-/\bar{\alpha}^-]\beta^\pm = \beta^\pm$ .
3. If this variable is a regular variable  $\alpha_i^- \in \bar{\alpha}^-$ , then  $[\bar{N}/\bar{\alpha}^-][\hat{\sigma}]\alpha_i^- = N_i = \mathbf{nf}(N_i)$  (the latter equality holds since  $N_i$  is normalized) and  $[\hat{\sigma}'][\bar{\alpha}^-/\bar{\alpha}^-]\alpha_i^- = [\hat{\sigma}']\hat{\alpha}_i^- = \mathbf{nf}(N_i)$ .

□

**Lemma 70** (Completeness of the Positive Subtyping). *Suppose that  $\Gamma \vdash^\geq \Theta$ ,  $\Gamma \vdash Q$  and  $\Gamma; \mathbf{dom}(\Theta) \vdash P$ . Then for any  $\Theta \vdash \hat{\sigma}$  such that  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ , there exists  $\Gamma; \Theta \models P \geq Q \Rightarrow SC$  and moreover,  $(\Theta \vdash SC) \vdash \hat{\sigma}$ .*

*Proof.* Let us prove this lemma by induction on  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ . Let us consider the last rule used in the derivation, but first, consider the base case for the substitution  $[\hat{\sigma}]P$ :

**Case 1.**  $P = \exists \vec{\beta}^-. \hat{\alpha}^+$  (for potentially empty  $\vec{\beta}^-$ )

Then by assumption,  $\Gamma \vdash \exists \vec{\beta}^-. [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q$  (where  $\vec{\beta}^- \cap \mathbf{fv}[\hat{\sigma}]\hat{\alpha}^+ = \emptyset$ ). Let us decompose  $Q$  as  $Q = \exists \vec{\gamma}^-. Q_0$ , where  $Q_0$  does not start with  $\exists$ .

By inversion,  $\Gamma; \mathbf{dom}(\Theta) \vdash \exists \vec{\beta}^-. \hat{\alpha}^+$  implies  $\hat{\alpha}^+ \{\Delta\} \in \Theta$  for some  $\Delta \subseteq \Gamma$ .

By lemma 18 applied twice,  $\Gamma \vdash \exists \vec{\beta}^-. [\hat{\sigma}]\hat{\alpha}^+ \geq_1 \exists \vec{\gamma}^-. Q_0$  implies  $\Gamma, \vec{\gamma}^- \vdash [\vec{N}/\vec{\beta}^-][\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q_0$  for some  $N$ , and since  $\vec{\beta}^- \cap \mathbf{fv}([\hat{\sigma}]\hat{\alpha}^+) \subseteq \vec{\beta}^- \cap \Theta(\hat{\alpha}^+) \subseteq \vec{\beta}^- \cap \Gamma = \emptyset$ ,  $[\vec{N}/\vec{\beta}^-][\hat{\sigma}]\hat{\alpha}^+ = [\hat{\sigma}]\hat{\alpha}^+$ , that is  $\Gamma, \vec{\gamma}^- \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q_0$ .

When algorithm tries to infer the subtyping  $\Gamma; \Theta \models \exists \vec{\beta}^-. \hat{\alpha}^+ \geq \exists \vec{\gamma}^-. Q_0 \Rightarrow SC$ , it applies Rule  $(\exists \geq)$ , which reduces the problem to  $\Gamma, \vec{\gamma}^-; \Theta, \vec{\beta}^- \{\Gamma, \vec{\gamma}^-\} \models [\vec{\beta}^-/\vec{\beta}^-]\hat{\alpha}^+ \geq Q_0 \Rightarrow SC$ , which is equivalent to  $\Gamma, \vec{\gamma}^-; \Theta, \vec{\beta}^- \{\Gamma, \vec{\gamma}^-\} \models \hat{\alpha}^+ \geq Q_0 \Rightarrow SC$ .

Next, the algorithm tries to apply Rule  $(UVar \geq)$  and the resulting restriction is  $SC = (\hat{\alpha}^+ \geq Q'_0)$  where  $\mathbf{upgrade} \Gamma, \vec{\gamma}^- \vdash Q_0 \text{ to } \Delta = Q'_0$ .

Why does the upgrade procedure terminate? Because  $[\hat{\sigma}]\hat{\alpha}^+$  satisfies the pre-conditions of the completeness of the upgrade (lemma 66):

1.  $\Delta \vdash [\hat{\sigma}]\hat{\alpha}^+$  because  $\Theta \vdash \hat{\sigma}$  and  $\hat{\alpha}^+ \{\Delta\} \in \Theta$ ,
2.  $\Gamma, \vec{\gamma}^- \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q_0$  as noted above

Moreover, the completeness of upgrade also says that  $Q'_0$  is the least supertype of  $Q_0$  among types well-formed in  $\Delta$ , that is  $\Delta \vdash [\hat{\sigma}]\hat{\alpha}^+ \geq_1 Q'_0$ , which means  $(\Theta \vdash (\hat{\alpha}^+ \geq Q'_0)) \vdash \hat{\sigma}$ , that is  $(\Theta \vdash SC) \vdash \hat{\sigma}$ .

**Case 2.**  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  is derived by Rule  $(Var^+ \geq_1)$

Then  $P = [\hat{\sigma}]P = \alpha^+ = Q$ , where the first equality holds because  $P$  is not a unification variable: it has been covered by case 1; and the second equality hold because Rule  $(Var^+ \geq_1)$  was applied.

The algorithm applies Rule  $(Var^+ \geq)$  and infers  $SC = \cdot$ , i.e.  $\Gamma; \Theta \models \alpha^+ \geq \alpha^+ \Rightarrow \cdot$ . Then  $(\Theta \vdash \cdot) \vdash \hat{\sigma}$  holds trivially.

**Case 3.**  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  is derived by Rule  $(\downarrow \geq_1)$ ,

Then  $P = \downarrow N$ , since the substitution  $[\hat{\sigma}]P$  must preserve the top-level constructor of  $P \neq \hat{\alpha}^+$  (the case  $P = \hat{\alpha}^+$  has been covered by case 1), and  $Q = \downarrow M$ , and by inversion,  $\Gamma \vdash [\hat{\sigma}]N \simeq_1^{\leq} M$ .

Since both types start with  $\downarrow$ , the algorithm tries to apply Rule  $(\downarrow \geq)$ :  $\Gamma; \Theta \models \downarrow N \geq \downarrow M \Rightarrow SC$ . The premise of this rule is the unification of  $\mathbf{nf}(N)$  and  $\mathbf{nf}(M)$ :  $\Gamma; \Theta \models \mathbf{nf}(N) \stackrel{u}{\simeq} \mathbf{nf}(M) \Rightarrow UC$ . And the algorithm returns it as a subtyping constraint  $SC = UC$ .

To demonstrate that the unification terminates and  $\hat{\sigma}$  satisfies the resulting constraints, we apply the completeness of the unification algorithm (lemma 56). In order to do that, we need to provide a substitution unifying  $\mathbf{nf}(N)$  and  $\mathbf{nf}(M)$ . Let us show that  $\mathbf{nf}(\hat{\sigma})$  is such a substitution.

- $\mathbf{nf}(N)$  and  $\mathbf{nf}(M)$  are normalized
- $\Gamma; \mathbf{dom}(\Theta) \vdash \mathbf{nf}(N)$  because  $\Gamma; \mathbf{dom}(\Theta) \vdash N$  (corollary 18)
- $\Gamma \vdash \mathbf{nf}(M)$  because  $\Gamma \vdash M$  (corollary 17)
- $\Theta \vdash \mathbf{nf}(\hat{\sigma})$  because  $\Theta \vdash \hat{\sigma}$  (corollary 20)
- $\Gamma \vdash [\hat{\sigma}]N \simeq_1^{\leq} M \Rightarrow [\hat{\sigma}]N \simeq_1^D M$  by lemma 49
- $\Rightarrow \mathbf{nf}([\hat{\sigma}]N) = \mathbf{nf}(M)$  by lemma 35
- $\Rightarrow [\mathbf{nf}(\hat{\sigma})]\mathbf{nf}(N) = \mathbf{nf}(M)$  by lemma 34

Then by the completeness of the unification,  $\Gamma; \Theta \models N \stackrel{u}{\simeq} M \Rightarrow UC$  exists, and  $(lift UC)nf(u)$ . Then by corollary 27,  $(\Theta \vdash UC) \vdash \hat{\sigma}$ .

**Case 4.**  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  is derived by Rule  $(\exists \geq_1)$ .

We should only consider the case when the substitution  $[\hat{\sigma}]P$  results in the existential type  $\exists \vec{\alpha}^-. P''$  (for  $P'' \neq \exists \dots$ ) by congruence, i.e.  $P = \exists \vec{\alpha}^-. P'$  (for  $P' \neq \exists \dots$ ) and  $[\hat{\sigma}]P' = P''$ . This is because the case when  $P = \exists \vec{\beta}^-. \hat{\alpha}^+$  has been covered (case 1), and thus, the substitution  $\hat{\sigma}$  must preserve all the outer quantifiers of  $P$  and does not generate any new ones.

This way,  $P \xrightarrow{\alpha^-} \exists \alpha^- . P'$ ,  $[\hat{\sigma}]P = \exists \alpha^- . [\hat{\sigma}]P'$  (assuming  $\alpha^-$  does not intersect with the range of  $\hat{\sigma}$ ) and  $Q = \exists \beta^- . Q'$ , where either  $\alpha^-$  or  $\beta^-$  is not empty.

By inversion,  $\Gamma \vdash [\sigma][\hat{\sigma}]P' \geq_1 Q'$  for some  $\Gamma, \beta^- \vdash \sigma : \alpha^-$ . Since  $\sigma$  and  $\hat{\sigma}$  have disjoint domains, and the range of one does not intersect with the domain of the other, they commute, i.e.  $\Gamma, \beta^- \vdash [\hat{\sigma}][\sigma]P' \geq_1 Q'$  (notice that the tree inferring this judgement is a proper subtree of the tree inferring  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ ).

At the next step, the algorithm creates fresh (disjoint with  $\mathbf{uv} P'$ ) unification variables  $\hat{\alpha}^-$ , replaces  $\alpha^-$  with them in  $P'$ , and makes the recursive call:  $\Gamma, \beta^-; \Theta, \hat{\alpha}^- \{ \Gamma, \beta^- \} \models P_0 \geq Q' \models SC_1$ , (where  $P_0 = [\hat{\alpha}^- / \alpha^-] P'$ ), returning  $SC_1 \setminus \hat{\alpha}^-$  as the result.

To show that the recursive call terminates and that  $(\Theta \vdash SC_1 \setminus \hat{\alpha}^-) \vdash \hat{\sigma}$ , it suffices to build  $\Theta, \hat{\alpha}^- \{ \Gamma, \beta^- \} \vdash \hat{\sigma}_0$ —an extension of  $\hat{\sigma}$  with  $\hat{\alpha}^-$  such that  $\Gamma, \beta^- \vdash [\hat{\sigma}_0]P_0 \geq_1 Q$ . Then by the induction hypothesis,  $(\Theta, \hat{\alpha}^- \{ \Gamma, \beta^- \} \vdash SC_1) \vdash \hat{\sigma}_0$ , and hence,  $(\Theta \vdash SC_1 \setminus \hat{\alpha}^-) \vdash \hat{\sigma}$ , as required.

Let us construct such a substitution  $\hat{\sigma}_0$ :

$$[\hat{\sigma}_0]\hat{\beta}^\pm = \begin{cases} [\sigma]\alpha_i^- & \text{if } \hat{\beta}^\pm = \hat{\alpha}_i^- \in \hat{\alpha}^- \\ [\hat{\sigma}]\hat{\beta}^\pm & \text{if } \hat{\beta}^\pm \in \mathbf{uv}(P') \end{cases}$$

It is easy to see  $\Theta, \hat{\alpha}^- \{ \Gamma, \beta^- \} \vdash \hat{\sigma}_0$ :

1. for  $\hat{\alpha}_i^- \in \hat{\alpha}^-$ ,  $(\Theta, \hat{\alpha}^- \{ \Gamma, \beta^- \}) (\hat{\alpha}_i^-) \vdash [\hat{\sigma}_0]\hat{\alpha}_i^-$ , i.e.  $\Gamma, \beta^- \vdash [\sigma]\alpha_i^-$  holds since  $\Gamma, \beta^- \vdash \sigma : \alpha^-$ ,
2. for  $\hat{\beta}^\pm \in \mathbf{uv}(P') \subseteq \mathbf{dom}(\Theta)$ ,  $(\Theta, \hat{\alpha}^- \{ \Gamma, \beta^- \}) (\hat{\beta}^\pm) \vdash [\hat{\sigma}_0]\hat{\beta}^\pm$ , i.e.  $\Theta(\hat{\beta}^\pm) \vdash [\hat{\sigma}]\hat{\beta}^\pm$  holds since  $\Theta \vdash \hat{\sigma}$ .

Now, let us show that  $\Gamma, \beta^- \vdash [\hat{\sigma}_0]P_0 \geq_1 Q$ . To do that, we notice that  $[\hat{\sigma}_0]P_0 = [\hat{\sigma}][\sigma][\alpha^- / \hat{\alpha}^-]P_0$ : let us consider an arbitrary variable appearing freely in  $P_0$ :

1. if this variable is a metavariable  $\hat{\alpha}_i^- \in \hat{\alpha}^-$ , then  $[\hat{\sigma}_0]\hat{\alpha}_i^- = [\sigma]\alpha_i^-$  and  $[\hat{\sigma}][\sigma][\alpha^- / \hat{\alpha}^-]\hat{\alpha}_i^- = [\hat{\sigma}][\sigma]\alpha_i^- = [\sigma]\alpha_i^-$ ,
2. if this variable is a metavariable  $\hat{\beta}^\pm \in \mathbf{uv}(P_0) \setminus \hat{\alpha}^- = \mathbf{uv}(P')$ , then  $[\hat{\sigma}_0]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm$  and  $[\hat{\sigma}][\sigma][\alpha^- / \hat{\alpha}^-]\hat{\beta}^\pm = [\hat{\sigma}][\sigma]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm$ ,
3. if this variable is a regular variable from  $\mathbf{fv}(P_0)$ , both substitutions do not change it:  $\hat{\sigma}_0$ ,  $\hat{\sigma}$  and  $\alpha^- / \hat{\alpha}^-$  act on metavariables, and  $\sigma$  is defined on  $\alpha^-$ , however,  $\alpha^- \cap \mathbf{fv}(P_0) = \emptyset$ .

This way,  $[\hat{\sigma}_0]P_0 = [\hat{\sigma}][\sigma][\alpha^- / \hat{\alpha}^-]P_0 = [\hat{\sigma}][\sigma]P'$ , and thus,  $\Gamma, \beta^- \vdash [\hat{\sigma}_0]P_0 \geq_1 Q'$ .

□

### 3.15 Subtyping Constraint Merge

**Lemma 71** (Soundness of Constraint Entry Merge). *For a fixed context  $\Gamma$ , suppose that  $\Gamma \vdash e_1$  and  $\Gamma \vdash e_2$ . If  $\Gamma \vdash e_1$  &  $e_2 = e$  is defined then*

1.  $\Gamma \vdash e$
2. For any  $\Gamma \vdash P$ ,  $\Gamma \vdash P : e$  implies  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$

*Proof.* Let us consider the rule forming  $\Gamma \vdash e_1$  &  $e_2 = e$ .

**Case 1.** Rule  $(\simeq \&^+ \simeq)$ , i.e.  $\Gamma \vdash e_1$  &  $e_2 = e$  has form  $\Gamma \vdash (\hat{\alpha}^+ : \simeq Q) \& (\hat{\alpha}^+ : \simeq Q') = (\hat{\alpha}^+ : \simeq Q)$  and  $\mathbf{nf}(Q) = \mathbf{nf}(Q')$ . The latter implies  $\Gamma \vdash Q \simeq_1^< Q'$  by lemma 50. Then

1.  $\Gamma \vdash e$ , i.e.  $\Gamma \vdash \hat{\alpha}^+ : \simeq Q$  holds by assumption;
2. by inversion,  $\Gamma \vdash P : (\hat{\alpha}^+ : \simeq Q)$  means  $\Theta \vdash P \simeq_1^D Q$ , and by transitivity of equivalence (corollary 11),  $\Theta \vdash P \simeq_1^D Q'$ . Thus,  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$  hold by Rule SATSCEPEq.

**Case 2.** Rule  $(\simeq \&^- \simeq)$  the negative case is proved in exactly the same way as the positive one.

**Case 3.** Rule  $(\geq \&^+ \geq)$  Then  $e_1$  is  $\hat{\alpha}^+ : \geq Q_1$ ,  $e_2$  is  $\hat{\alpha}^+ : \geq Q_2$ , and  $e_1$  &  $e_2 = e$  is  $\hat{\alpha}^+ : \geq Q$  where  $Q$  is the least upper bound of  $Q_1$  and  $Q_2$ . Then by lemma 63,

- $\Gamma \vdash Q$ ,
- $\Gamma \vdash Q \geq_1 Q_1$ ,
- $\Gamma \vdash Q \geq_1 Q_2$ .



Let us show the required properties.

- $\Gamma \vdash e$  holds from  $\Gamma \vdash Q$ ,
- Assuming  $\Gamma \vdash P : e$ , by inversion, we have  $\Gamma \vdash P \geq_1 Q$ . Combining it transitively with  $\Gamma \vdash Q \geq_1 Q_1$ , we have  $\Gamma \vdash P \geq_1 Q_1$ . Analogously,  $\Gamma \vdash P \geq_1 Q_2$ . Then  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$  hold by Rule SATSCESup.

**Case 4.** Rule  $(\geq \&^+ \simeq)$  Then  $e_1$  is  $\hat{\alpha}^+ : \geq Q_1$ ,  $e_2$  is  $\hat{\alpha}^+ : \approx Q_2$ , where  $\Gamma; \cdot \models Q_2 \geq Q_1 \Rightarrow \cdot$ , and the resulting  $e_1 \& e_2 = e$  is equal to  $e_2$ , that is  $\hat{\alpha}^+ : \approx Q_2$ .

Let us show the required properties.

- By assumption,  $\Gamma \vdash Q$ , and hence  $\Gamma \vdash e$ .
- Since  $\mathbf{uv}(Q_2) = \emptyset$ ,  $\Gamma; \cdot \models Q_2 \geq Q_1 \Rightarrow \cdot$  implies  $\Gamma \vdash Q_2 \geq_1 Q_1$  by the soundness of positive subtyping (lemma 69). Then let us take an arbitrary  $\Gamma \vdash P$  such that  $\Gamma \vdash P : e$ . Since  $e_2 = e$ ,  $\Gamma \vdash P : e_2$  holds immediately. By inversion,  $\Gamma \vdash P : (\hat{\alpha}^+ : \approx Q_2)$  means  $\Gamma \vdash P \simeq_1^< Q_2$ , and then by transitivity of subtyping (lemma 24),  $\Gamma \vdash P \geq_1 Q_1$ . Then  $\Gamma \vdash P : e_1$  holds by Rule SATSCESup.

**Case 5.** Rule  $(\simeq \&^+ \geq)$  The proof is analogous to the previous case. □

**Lemma 72** (Soundness of Constraint Merge). *Suppose that  $\Theta \vdash SC_1$  and  $\Theta \vdash SC_2$  and  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined. Then*

1.  $\Theta \vdash SC$ ,
2. for any substitution  $\Theta \vdash \hat{\sigma}$ ,  $(\Theta \vdash SC) \vdash \hat{\sigma}$  implies  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$  and  $(\Theta \vdash SC_2) \vdash \hat{\sigma}$ .

*Proof.* By definition,  $SC_1 \& SC_2 = SC$  consists of three parts: entries of  $SC_1$  that do not have matching entries of  $SC$ , entries of  $SC_2$  that do not have matching entries of  $SC_1$ , and the merge of matching entries.

Let us show  $\Theta \vdash SC$ . First, let us assume that an entry  $e \in SC$  belongs to the first group, i.e.  $e \in SC_1$ . Let us denote the variable  $e$  as  $\hat{\alpha}^\pm$ . Then  $\Theta(\hat{\alpha}^\pm) \vdash e$  holds since  $\Theta \vdash SC_1 \ni e$ . Analogously, if  $e$  belongs to the second group, then  $\Theta(\hat{\alpha}^\pm) \vdash e$  holds since  $\Theta \vdash SC_2 \ni e$ . Finally, if  $e$  belongs to the third group, then  $e$  is a merge of two entries  $\Theta(\hat{\alpha}^\pm) \vdash e_1$  and  $\Theta(\hat{\alpha}^\pm) \vdash e_2$ . Then  $\Theta(\hat{\alpha}^\pm) \vdash e$  holds by lemma 71.

Let us show the second property. We take an arbitrary  $\hat{\sigma}$  such that  $\Theta \vdash \hat{\sigma}$  and  $(\Theta \vdash SC) \vdash \hat{\sigma}$ . To prove  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$ , we need to show that for any  $e_1 \in SC_1$ , restricting  $\hat{\alpha}^\pm$ ,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_1$  holds.

Let us assume that  $\hat{\alpha}^\pm \notin \mathbf{dom}(SC_2)$ . It means that  $SC \ni e_1$ , and then since  $(\Theta \vdash SC) \vdash \hat{\sigma}$ ,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_1$ .

Otherwise,  $SC_2$  contains an entry  $e_2$  restricting  $\hat{\alpha}^\pm$ , and  $SC \ni e$  where  $\Theta(\hat{\alpha}^\pm) \vdash e_1 \& e_2 = e$ . Then since  $(\Theta \vdash SC) \vdash \hat{\sigma}$ ,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e$ , and by lemma 71,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_1$ .

The proof of  $(\Theta \vdash SC_2) \vdash \hat{\sigma}$  is symmetric. □

**Lemma 73** (Completeness of Constraint Entry Merge). *For a fixed context  $\Gamma$ , suppose that  $\Gamma \vdash e_1$  and  $\Gamma \vdash e_2$  are matching constraint entries.*

- for a type  $P$  such that  $\Gamma \vdash P : e_1$  and  $\Gamma \vdash P : e_2$ ,  $\Gamma \vdash e_1 \& e_2 = e$  is defined and  $\Gamma \vdash P : e$ .
- for a type  $N$  such that  $\Gamma \vdash N : e_1$  and  $\Gamma \vdash N : e_2$ ,  $\Gamma \vdash e_1 \& e_2 = e$  is defined and  $\Gamma \vdash N : e$ .

*Proof.* Let us consider the shape of  $e_1$  and  $e_2$ .

**Case 1.**  $e_1$  is  $\hat{\alpha}^+ : \approx Q_1$  and  $e_2$  is  $\hat{\alpha}^+ : \approx Q_2$ . Then  $\Gamma \vdash P : e_1$  means  $\Gamma \vdash P \simeq_1^< Q_1$ , and  $\Gamma \vdash P : e_2$  means  $\Gamma \vdash P \simeq_1^< Q_2$ . Then by transitivity of equivalence (corollary 11),  $\Gamma \vdash Q_1 \simeq_1^< Q_2$ , which means  $\mathbf{nf}(Q_1) = \mathbf{nf}(Q_2)$  by lemma 50. Hence, Rule  $(\simeq \&^+ \simeq)$  applies to infer  $\Gamma \vdash e_1 \& e_2 = e_2$ , and  $\Gamma \vdash P : e_2$  holds by assumption.

**Case 2.**  $e_1$  is  $\hat{\alpha}^+ : \approx Q_1$  and  $e_2$  is  $\hat{\alpha}^+ : \geq Q_2$ . Then  $\Gamma \vdash P : e_1$  means  $\Gamma \vdash P \simeq_1^< Q_1$ , and  $\Gamma \vdash P : e_2$  means  $\Gamma \vdash P \geq_1 Q_2$ . Then by transitivity of subtyping,  $\Gamma \vdash Q_1 \geq_1 Q_2$ , which means  $\Gamma; \cdot \models Q_1 \geq Q_2 \Rightarrow \cdot$  by lemma 70. This way, Rule  $(\simeq \&^+ \geq)$  applies to infer  $\Gamma \vdash e_1 \& e_2 = e_1$ , and  $\Gamma \vdash P : e_1$  holds by assumption.

**Case 3.**  $e_1$  is  $\hat{\alpha}^+ : \geq Q_1$  and  $e_2$  is  $\hat{\alpha}^+ : \geq Q_2$ . Then  $\Gamma \vdash P : e_1$  means  $\Gamma \vdash P \geq_1 Q_1$ , and  $\Gamma \vdash P : e_2$  means  $\Gamma \vdash P \geq_1 Q_2$ . By the completeness of the least upper bound (lemma 64),  $\Gamma \models Q_1 \vee Q_2 = Q$ , and  $\Gamma \vdash P \geq_1 Q$ . This way, Rule  $(\geq \&^+ \geq)$  applies to infer  $\Gamma \vdash e_1 \& e_2 = (\hat{\alpha}^+ : \geq Q)$ , and  $\Gamma \vdash P : (\hat{\alpha}^+ : \geq Q)$  holds by Rule SATSCESup.

**Case 4.** The negative cases are proved symmetrically. □

**Lemma 74** (Completeness of Constraint Merge). *Suppose that  $\Theta \vdash SC_1$  and  $\Theta \vdash SC_2$ . Then for any substitution  $\Theta \vdash \hat{\sigma}$  such that  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$  and  $(\Theta \vdash SC_2) \vdash \hat{\sigma}$ ,  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined.*



*Proof.* By definition,  $SC_1$  &  $SC_2$  is a union of

1. entries of  $SC_1$ , which do not have matching entries in  $SC_2$ ,
2. entries of  $SC_2$ , which do not have matching entries in  $SC_1$ , and
3. the merge of matching entries.

This way, to show that  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined, we need to demonstrate that each of these components is defined.

It is clear that the first two components of this union exist. Let us show that the third component exists. Let us take two entries  $e_1 \in SC_1$  and  $e_2 \in SC_2$  restricting the same variable  $\hat{\alpha}^\pm$ .  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$  means that  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_1$  and  $(\Theta \vdash SC_2) \vdash \hat{\sigma}$  means  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e_2$ . Then by lemma 73,  $\Theta(\hat{\alpha}^\pm) \vdash e_1 \& e_2 = e$  is defined and  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}]\hat{\alpha}^\pm : e$ .  $\square$

**Lemma 75** (Substitution existence). *If  $\Theta \vdash SC$  then there exists  $\Theta \vdash \hat{\sigma}$  such that  $(\Theta \vdash SC) \vdash \hat{\sigma}$ .*

*Proof.*  $\square$

### 3.16 Negative Subtyping

**Lemma 76** (Soundness of Negative Subtyping). *If  $\Gamma \vdash^\exists \Theta$ ,  $\Gamma \vdash M$ ,  $\Gamma; \mathbf{dom}(\Theta) \vdash N$  and  $\Gamma; \Theta \models N \leq M \Rightarrow SC$ , then  $\Theta \vdash SC$  and for any normalized  $\hat{\sigma}$  such that  $(\Theta \vdash SC) \vdash \hat{\sigma}$ ,  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$ .*

*Proof.* We prove it by induction on  $\Gamma; \Theta \models N \leq M \Rightarrow SC$ .

Suppose that  $\hat{\sigma}$  is normalized and  $(\Theta \vdash SC) \vdash \hat{\sigma}$ . Let us consider the last rule to infer this judgment.

**Case 1.** Rule  $(\rightarrow^{\leq})$ . Then  $\Gamma; \Theta \models N \leq M \Rightarrow SC$  has shape  $\Gamma; \Theta \models P \rightarrow N' \leq Q \rightarrow M' \Rightarrow SC$

On the next step, the algorithm makes two recursive calls:  $\Gamma; \Theta \models P \geq Q \Rightarrow SC_1$  and  $\Gamma; \Theta \models N' \leq M' \Rightarrow SC_2$  and returns  $\Theta \vdash SC_1 \& SC_2 = SC$  as the result.

By the soundness of constraint merge (lemma 72),  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$  and  $(\Theta \vdash SC_2) \vdash \hat{\sigma}$ . Then by the soundness of positive subtyping (lemma 69),  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$ ; and by the induction hypothesis,  $\Gamma \vdash [\hat{\sigma}]N' \leq_1 M'$ . This way, by Rule  $(\rightarrow^{\leq_1})$ ,  $\Gamma \vdash [\hat{\sigma}](P \rightarrow N') \leq_1 Q \rightarrow M'$ .

**Case 2.** Rule  $(\text{Var}^{\leq})$ , and then  $\Gamma; \Theta \models N \leq M \Rightarrow SC$  has shape  $\Gamma; \Theta \models \alpha^- \leq \alpha^- \Rightarrow$ .

This case is symmetric to case 2 of lemma 69.

**Case 3.** Rule  $(\uparrow^{\leq})$ , and then  $\Gamma; \Theta \models N \leq M \Rightarrow SC$  has shape  $\Gamma; \Theta \models \uparrow P \leq \uparrow Q \Rightarrow SC$

This case is symmetric to case 3 of lemma 69.

**Case 4.** Rule  $(\forall^{\leq})$ , and then  $\Gamma; \Theta \models N \leq M \Rightarrow SC$  has shape  $\Gamma; \Theta \models \forall \alpha^+. N' \leq \forall \beta^+. M' \Rightarrow SC$  s.t. either  $\overrightarrow{\alpha^+}$  or  $\overrightarrow{\beta^+}$  is not empty

This case is symmetric to case 4 of lemma 69.  $\square$

**Lemma 77** (Completeness of the Negative Subtyping). *Suppose that  $\Gamma \vdash^\exists \Theta$ ,  $\Gamma \vdash M$ ,  $\Gamma; \mathbf{dom}(\Theta) \vdash N$ , and  $N$  does not contain negative unification variables ( $\hat{\alpha}^- \notin \mathbf{uv} N$ ). Then for any  $\Theta \vdash \hat{\sigma}$  such that  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$ , there exists  $\Gamma; \Theta \models N \leq M \Rightarrow SC$ , such that  $\Theta \vdash SC$  and moreover,  $(\Theta \vdash SC) \vdash \hat{\sigma}$ .*

*Proof.* We prove it by induction on  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$ . Let us consider the last rule used in the derivation of  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$ .

**Case 1.**  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$  is derived by Rule  $(\uparrow^{\leq_1})$

Then  $N = \uparrow P$ , since the substitution  $[\hat{\sigma}]N$  must preserve the top-level constructor of  $N \neq \hat{\alpha}^-$  (since by assumption,  $\hat{\alpha}^- \notin \mathbf{uv} N$ ), and  $Q = \downarrow M$ , and by inversion,  $\Gamma \vdash [\hat{\sigma}]N \simeq_1^{\leq} M$ . The rest of the proof is symmetric to case 3 of lemma 70: notice that the algorithm does not make a recursive call, and the difference in the induction statement for the positive and the negative case here does not matter.

**Case 2.**  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$  is derived by Rule  $(\rightarrow^{\leq_1})$ , i.e.  $[\hat{\sigma}]N = [\hat{\sigma}]P \rightarrow [\hat{\sigma}]N'$  and  $M = Q \rightarrow M'$ , and by inversion,  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  and  $\Gamma \vdash [\hat{\sigma}]N' \leq_1 M'$ .

The algorithm makes two recursive calls:  $\Gamma; \Theta \models P \geq Q \Rightarrow SC_1$  and  $\Gamma; \Theta \models N' \leq M' \Rightarrow SC_2$ , and then returns  $\Theta \vdash SC_1 \& SC_2 = SC$  as the result. Let us show that these recursive calls are successful and the returning constraints are fulfilled by  $\hat{\sigma}$ .

Notice that from the inversion of  $\Gamma \vdash M$ , we have:  $\Gamma \vdash Q$  and  $\Gamma \vdash M'$ ; from the inversion of  $\Gamma; \mathbf{dom}(\Theta) \vdash N$ , we have:  $\Gamma; \mathbf{dom}(\Theta) \vdash P$  and  $\Gamma; \mathbf{dom}(\Theta) \vdash N'$ ; and since  $N$  does not contain negative unification variables,  $N'$  does not contain negative unification variables either.

This way, we can apply the induction hypothesis to  $\Gamma \vdash [\hat{\sigma}]N' \leq_1 M'$  to obtain  $\Gamma; \Theta \models N' \leq M' \Rightarrow SC_2$  such that  $\Theta \vdash SC_2$  and  $(\Theta \vdash SC_2) \vdash \hat{\sigma}$ . Also, we can apply the completeness of the positive subtyping (lemma 70) to  $\Gamma \vdash [\hat{\sigma}]P \geq_1 Q$  to obtain  $\Gamma; \Theta \models P \geq Q \Rightarrow SC_1$  such that  $\Theta \vdash SC_1$  and  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$ .

Finally, we need to show that the merge of  $SC_1$  and  $SC_2$  is successful and satisfies the required properties. To do so, we apply the completeness of subtyping constraint merge (lemma 74). This way,  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined,  $\Theta \vdash SC$ , and  $(\Theta \vdash SC) \vdash \hat{\sigma}$ , as required.

**Case 3.**  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$  is derived by Rule  $(\forall^{\leq_1})$ . Since  $N$  does not contain negative unification variables,  $N$  must be of the form  $\forall \vec{\alpha}^+. N'$ , such that  $[\hat{\sigma}]N = \forall \vec{\alpha}^+. [\hat{\sigma}]N'$  and  $[\hat{\sigma}]N' \neq \forall \dots$  (assuming  $\vec{\alpha}^+$  does not intersect with the range of  $\hat{\sigma}$ ). Also,  $M = \forall \vec{\beta}^+. M'$  and either  $\alpha^+$  or  $\beta^+$  is non-empty.

The rest of the proof is symmetric to ?? of lemma 70. To apply the induction hypothesis, we need to show additionally that there are no negative unification variables in  $N_0 = [\vec{\alpha}^+ / \alpha^+]N'$ . This is because  $\mathbf{uv} N_0 \subseteq \mathbf{uv} N \cup \vec{\alpha}^+$ , and  $N$  is free of negative unification variables by assumption.

**Case 4.**  $\Gamma \vdash [\hat{\sigma}]N \leq_1 M$  is derived by Rule  $(\text{Var}^{-\leq_1})$ .

Then  $N = [\hat{\sigma}]N = \alpha^- = M$ . Here the first equality holds because  $N$  is not a unification variable: by assumption,  $N$  is free of negative unification variables. The second and the third equations hold because Rule  $(\text{Var}^{-\leq_1})$  was applied.

The rest of the proof is symmetric to case 2 of lemma 70.

□

### 3.17 Singularity

**Lemma 78** (Soundness of Entry Singularity).  $+ \text{ Suppose } e \text{ singular with } P \text{ for } P \text{ well-formed in } \Gamma. \text{ Then } \Gamma \vdash P : e \text{ and for any } \Gamma \vdash P' \text{ such that } \Gamma \vdash P' : e, \Gamma \vdash P' \simeq_1^{\leq} P;$

$- \text{ Suppose } e \text{ singular with } N \text{ for } N \text{ well-formed in } \Gamma. \text{ Then } \Gamma \vdash N : e \text{ and for any } \Gamma \vdash N' \text{ such that } \Gamma \vdash N' : e, \Gamma \vdash N' \simeq_1^{\leq} N.$

*Proof.* Let us consider how  $e$  singular with  $P$  or  $e$  singular with  $N$  is formed.

**Case 1.** Rule SINGNEq, that is  $e = \hat{\alpha}^- : \approx N_0$ . and  $N$  is  $\mathbf{nf}(N_0)$ . Then  $\Gamma \vdash N' : e$  means  $\Gamma \vdash N' \simeq_1^{\leq} N_0$ , (by inversion of Rule SATSCENEq), which by transitivity, using corollary 21, means  $\Gamma \vdash N' \simeq_1^{\leq} \mathbf{nf}(N_0)$ , as required.

**Case 2.** Rule SINGPEq. This case is symmetric to the previous one.

**Case 3.** Rule SINGSupVar, that is  $e = \hat{\alpha}^+ : \geq \exists \vec{\alpha}^+. \beta^+$ , and  $P = \beta^+$ .

Since  $\Gamma \vdash \beta^+ \geq_1 \exists \vec{\alpha}^+. \beta^+$ , we have  $\Gamma \vdash \beta^+ : e$ , as required.

Notice that  $\Gamma \vdash P' : e$  means  $\Gamma \vdash P' \geq_1 \exists \vec{\alpha}^+. \beta^+$ . Let us show that it implies  $\Gamma \vdash P' \simeq_1^{\leq} \beta^+$ . By applying lemma 60 once, we have  $\Gamma, \vec{\alpha}^+ \vdash P' \geq_1 \beta^+$ . By applying it again, we notice that  $\Gamma, \vec{\alpha}^+ \vdash P' \geq_1 \beta^+$  implies  $P_i = \exists \vec{\alpha}^+. \beta^+$ . Finally, it is easy to see that  $\Gamma \vdash \exists \vec{\alpha}^+. \beta^+ \simeq_1^{\leq} \beta^+$

**Case 4.** Rule SINGSupShift, that is  $e = \hat{\alpha}^+ : \geq \exists \vec{\beta}^+. \downarrow N_1$ , where  $N_1 \simeq_1^D \beta_j^-$ , and  $P = \exists \alpha^-. \downarrow \alpha^-$ .

Since  $\Gamma \vdash \exists \alpha^-. \downarrow \alpha^- \geq_1 \exists \vec{\beta}^+. \downarrow N_1$  (by Rule  $(\exists^{\geq_1})$ , with substitution  $N_1/\alpha^-$ ), we have  $\Gamma \vdash \exists \alpha^-. \downarrow \alpha^- : e$ , as required.

Notice  $\Gamma \vdash P' : e$  means  $\Gamma \vdash P' \geq_1 \exists \vec{\beta}^+. \downarrow N_1$ . Let us show that it implies  $\Gamma \vdash P' \simeq_1^{\leq} \exists \alpha^-. \downarrow \alpha^-$ .

$$\begin{aligned}
[h]\Gamma \vdash P' \geq_1 \exists \vec{\beta}^+. \downarrow N_1 &\Rightarrow \Gamma \vdash \mathbf{nf}(P') \geq_1 \exists \vec{\beta}^+. \downarrow \mathbf{nf}(N_1) \text{ where } \mathbf{ord} \vec{\beta}^+ \text{ in } N' = \vec{\beta}^+ && \text{by corollary 22} \\
&\Rightarrow \Gamma \vdash \mathbf{nf}(P') \geq_1 \exists \vec{\beta}^+. \downarrow \mathbf{nf}(\beta_j^-) && \text{by lemma 35} \\
&\Rightarrow \Gamma \vdash \mathbf{nf}(P') \geq_1 \exists \vec{\beta}^+. \downarrow \beta_n^- && \text{by definition of normalization} \\
&\Rightarrow \Gamma \vdash \mathbf{nf}(P') \geq_1 \exists \beta_j^- \downarrow \beta_j^- && \text{since } \mathbf{ord} \vec{\beta}^+ \text{ in } \mathbf{nf}(N_1) = \beta_j^- \\
&\Rightarrow \Gamma, \beta_j^- \vdash \mathbf{nf}(P') \geq_1 \downarrow \beta_j^- \text{ and } \beta_j^- \notin \mathbf{fv}(\mathbf{nf}(P')) && \text{by lemma 61}
\end{aligned}$$

By lemma 61, the last subtyping means that  $\mathbf{nf}(P') = \exists \vec{\alpha}^+. \downarrow N'$ , such that

1.  $\Gamma, \beta_j^-, \vec{\alpha}^+ \vdash N'$
2.  $\mathbf{ord} \vec{\alpha}^+ \text{ in } N' = \vec{\alpha}^+$
3. for some substitution  $\Gamma, \beta_j^- \vdash \sigma : \vec{\alpha}^+, [\sigma]N' = \beta_j^-$ .

Since  $\beta_j^- \notin \mathbf{fv}(\mathbf{nf}(P'))$ , the latter means that  $N' = \alpha^-$ , and then  $\mathbf{nf}(P') = \exists \alpha^-. \downarrow \alpha^-$  for some  $\alpha^-$ . Finally, notice that all the types of shape  $\exists \alpha^-. \downarrow \alpha^-$  are equal. □

**Lemma 79** (Completeness of Entry Singularity).

- Suppose that there exists  $N$  well-formed in  $\Gamma$  such that for any  $N'$  well-formed in  $\Gamma$ ,  $\Gamma \vdash N' : e$  implies  $\Gamma \vdash N' \simeq_1^{\leq} N$ . Then  $e$  **singular with  $\mathbf{nf}(N)$** .
- + Suppose that there exists  $P$  well-formed in  $\Gamma$  such that for any  $P'$  well-formed in  $\Gamma$ ,  $\Gamma \vdash P' : e$  implies  $\Gamma \vdash P' \simeq_1^{\leq} P$ . Then  $e$  **singular with  $\mathbf{nf}(P)$** .

*Proof.*

- By lemma 67, there exists  $\Gamma \vdash N' : e$ . Since  $N'$  is negative, by inversion of  $\Gamma \vdash N' : e$ ,  $e$  has shape  $\hat{\alpha}^- : \approx M$ , where  $\Gamma \vdash N' \simeq_1^{\leq} M$ , and transitively,  $\Gamma \vdash N \simeq_1^{\leq} M$ . Then  $\mathbf{nf}(M) = \mathbf{nf}(N)$ , and  $e$  **singular with  $\mathbf{nf}(M)$**  (by Rule SINGNEq) is rewritten as  $e$  **singular with  $\mathbf{nf}(N)$** .
- + By lemma 67, there exists  $\Gamma \vdash P' : e$ , then by assumption,  $\Gamma \vdash P' \simeq_1^{\leq} P$ , which by lemma 68 implies  $\Gamma \vdash P : e$ .

Let us consider the shape of  $e$ :

**Case 1.**  $e = (\hat{\alpha}^+ : \approx Q)$  then inversion of  $\Gamma \vdash P : e$  implies  $\Gamma \vdash P \simeq_1^{\leq} Q$ , and hence,  $\mathbf{nf}(P) = \mathbf{nf}(Q)$  (by lemma 50). Then  $e$  **singular with  $\mathbf{nf}(Q)$** , which holds by Rule SINGPEq, is rewritten as  $e$  **singular with  $\mathbf{nf}(P)$** .

**Case 2.**  $e = (\hat{\alpha}^+ : \geq Q)$ . Then the inversion of  $\Gamma \vdash P : e$  implies  $\Gamma \vdash P \geq_1 Q$ . Let us consider the shape of  $Q$ :

- a.  $Q = \exists \overrightarrow{\beta}^-. \beta^+$  (for potentially empty  $\overrightarrow{\beta}^-$ ). Then  $\Gamma \vdash P \geq_1 \exists \overrightarrow{\beta}^-. \beta^+$  implies  $\Gamma \vdash P \simeq_1^{\leq} \beta^+$  by lemma 60, as was noted in the proof of lemma 78, and hence,  $\mathbf{nf}(P) = \beta^+$ .  
Then  $e$  **singular with  $\beta^+$** , which holds by Rule SINGSupVar, can be rewritten as  $e$  **singular with  $\mathbf{nf}(P)$** .
- b.  $Q = \exists \overrightarrow{\beta}^-. \downarrow N$  (for potentially empty  $\overrightarrow{\beta}^-$ ). Notice that  $\Gamma \vdash \exists \gamma^-. \downarrow \gamma^- \geq_1 \exists \overrightarrow{\beta}^-. \downarrow N$  (by Rule  $(\exists \geq_1)$ ), with substitution  $N/\gamma^-$ , and thus,  $\Gamma \vdash \exists \gamma^-. \downarrow \gamma^- : e$  by Rule SATSCESup.  
Then by assumption,  $\Gamma \vdash \exists \gamma^-. \downarrow \gamma^- \simeq_1^{\leq} P$ , that is  $\mathbf{nf}(P) = \exists \gamma^-. \downarrow \gamma^-$ . To apply Rule SINGSupShift to infer  $(\hat{\alpha}^+ : \geq \exists \overrightarrow{\beta}^-. \downarrow N)$  **singular with  $\exists \gamma^-. \downarrow \gamma^-$** , it is left to show that  $N \simeq_1^D \beta_i^-$  for some  $i$ .  
Since  $\Gamma \vdash Q : e$ , by assumption,  $\Gamma \vdash Q \simeq_1^{\leq} P$ , and by transitivity,  $\Gamma \vdash Q \simeq_1^{\leq} \exists \gamma^-. \downarrow \gamma^-$ . It implies  $\mathbf{nf}(\exists \overrightarrow{\beta}^-. \downarrow N) = \exists \gamma^-. \downarrow \gamma^-$  (by lemma 50), which by definition of normalization means  $\exists \overrightarrow{\beta}^-. \downarrow \mathbf{nf}(N) = \exists \gamma^-. \downarrow \gamma^-$ , where  $\mathbf{ord} \overrightarrow{\beta}^- \text{ in } N' = \beta^{-'}$ . This way,  $\beta^{-'}$  is a variable  $\beta^-$ , and  $\mathbf{nf}(N) = \beta^-$ . Notice that  $\beta^- \in \beta^{-'} \subseteq \beta^-$  by lemma 25. This way,  $N \simeq_1^D \beta^-$  for  $\beta^- \in \beta^-$  (by lemma 50),

□

**Lemma 80** (Soundness of Singularity). Suppose  $\Theta \vdash SC$ , and  $SC$  **singular with  $\hat{\sigma}$** . Then  $(\Theta \vdash SC) \vdash \hat{\sigma}$  and for any  $\hat{\sigma}'$  such that  $(SC)u', \Theta|_{\mathbf{dom}(SC)} \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma} : \mathbf{varset}$ .

*Proof.* Suppose that  $(SC)u'$ . It means that for every  $e \in SC$  restricting  $\hat{\alpha}^\pm$ ,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}']\hat{\alpha}^\pm : e$  holds.  $SC$  **singular with  $\hat{\sigma}$**  means  $e$  **singular with  $[\hat{\sigma}]\hat{\alpha}^\pm$** , and hence, by lemma 79,  $\Theta(\hat{\alpha}^\pm) \vdash [\hat{\sigma}']\hat{\alpha}^\pm \simeq_1^{\leq} [\hat{\sigma}]\hat{\alpha}^\pm$  holds.

Since the uniqueness holds for every variable from  $\mathbf{dom}(SC)$ ,  $\hat{\sigma}$  is equivalent to  $\hat{\sigma}'$  on this set. □

**Lemma 81** (Completeness of Singularity). For a given  $\Theta \vdash SC$  and  $\mathbf{vars} \subseteq \mathbf{dom}(\Theta)$ , suppose that all the substitutions satisfying  $SC$  are equivalent, in other words, suppose that there exists  $\Theta \vdash \hat{\sigma}_1$  such that for any  $\Theta \vdash \hat{\sigma}$ ,  $(\Theta \vdash SC) \vdash \hat{\sigma}$  implies  $\Theta|_{\mathbf{vars}} \vdash \hat{\sigma} \simeq_1^{\leq} \hat{\sigma}_1 : \mathbf{varset}$ . Then

- $SC|_{\mathbf{vars}}$  **singular with  $\hat{\sigma}_0$**  for some  $\hat{\sigma}_0$ , and
- $\mathbf{vars} \subseteq \mathbf{dom}(SC)$ .

*Proof.* □

### 3.18 Declarative Typing

**Lemma 82.** *If  $\Gamma; \Phi \vdash N_1 \bullet \vec{v} \Rightarrow M$  and  $\Gamma \vdash N_1 \simeq_1^{\leq} N_2$  then  $\Gamma; \Phi \vdash N_2 \bullet \vec{v} \Rightarrow M$ .*

*Proof.* By lemma 49,  $\Gamma \vdash N_1 \simeq_1^{\leq} N_2$  implies  $N_1 \simeq_1^D N_2$ . Let us prove the required judgement by induction on  $N_1 \simeq_1^D N_2$ . Let us consider the last rule used in the derivation.

**Case 1.** Rule  $(\text{Var}^{\simeq_1^D})$ . It means that  $N_1$  is  $\alpha^-$  and  $N_2$  is  $\alpha^-$ . Then the required property coincides with the assumption.

**Case 2.** Rule  $(\uparrow^{\simeq_1^D})$ . It means that  $N_1$  is  $\uparrow P_1$  and  $N_2$  is  $\uparrow P_2$ , where  $P_1 \simeq_1^D P_2$ .

Then the only rule applicable to infer  $\Gamma; \Phi \vdash \uparrow P_1 \bullet \vec{v} \Rightarrow M$  is Rule DTEmpyApp, meaning that  $\vec{v} = \cdot$  and  $\Gamma \vdash \uparrow P_1 \simeq_1^{\leq} M$ . Then by transitivity of equivalence corollary 11,  $\Gamma \vdash \uparrow P_2 \simeq_1^{\leq} M$ , and then Rule DTEmpyApp is applicable to infer  $\Gamma; \Phi \vdash \uparrow P_2 \bullet \cdot \Rightarrow M$ .

**Case 3.** Rule  $(\rightarrow^{\simeq_1^D})$ . Then we are proving that  $\Gamma; \Phi \vdash (Q_1 \rightarrow N_1) \bullet v, \vec{v} \Rightarrow M$  and  $Q_1 \rightarrow N_1 \simeq_1^D Q_2 \rightarrow N_2$  imply  $\Gamma; \Phi \vdash (Q_2 \rightarrow N_2) \bullet v, \vec{v} \Rightarrow M$ .

By inversion,  $(Q_1 \rightarrow N_1) \simeq_1^D (Q_2 \rightarrow N_2)$  means  $Q_1 \simeq_1^D Q_2$  and  $N_1 \simeq_1^D N_2$ .

By inversion of  $\Gamma; \Phi \vdash (Q_1 \rightarrow N_1) \bullet v, \vec{v} \Rightarrow M$ :

1.  $\Gamma; \Phi \vdash v : P$
2.  $\Gamma \vdash Q_1 \geq_1 P$ , and then by transitivity ??,  $\Gamma \vdash Q_2 \geq_1 P$ ;
3.  $\Gamma; \Phi \vdash N_1 \bullet \vec{v} \Rightarrow M$ , and then by induction hypothesis,  $\Gamma; \Phi \vdash N_2 \bullet \vec{v} \Rightarrow M$ .

Since we have  $\Gamma; \Phi \vdash v : P$ ,  $\Gamma \vdash Q_2 \geq_1 P$  and  $\Gamma; \Phi \vdash N_2 \bullet \vec{v} \Rightarrow M$ , we can apply Rule DTArowApp to infer  $\Gamma; \Phi \vdash (Q_2 \rightarrow N_2) \bullet v, \vec{v} \Rightarrow M$ .

**Case 4.** Rule  $(\forall^{\simeq_1^D})$  Then we are proving that  $\Gamma; \Phi \vdash \forall \alpha^+_{\vec{\alpha}_1}. N'_1 \bullet \vec{v} \Rightarrow M$  and  $\forall \alpha^+_{\vec{\alpha}_1}. N'_1 \simeq_1^D \forall \alpha^+_{\vec{\alpha}_2}. N'_2$  imply  $\Gamma; \Phi \vdash \forall \alpha^+_{\vec{\alpha}_2}. N'_2 \bullet \vec{v} \Rightarrow M$ .

By inversion of  $\forall \alpha^+_{\vec{\alpha}_1}. N'_1 \simeq_1^D \forall \alpha^+_{\vec{\alpha}_2}. N'_2$ :

1.  $\alpha^+_{\vec{\alpha}_2} \cap \mathbf{fv} N_1 = \emptyset$ ,
2. there exists a bijection  $\mu : (\alpha^+_{\vec{\alpha}_2} \cap \mathbf{fv} N'_2) \leftrightarrow (\alpha^+_{\vec{\alpha}_1} \cap \mathbf{fv} N'_1)$  such that  $N'_1 \simeq_1^D [\mu] N'_2$ .

By inversion of  $\Gamma; \Phi \vdash \forall \alpha^+_{\vec{\alpha}_1}. N'_1 \bullet \vec{v} \Rightarrow M$ :

1.  $\Gamma \vdash \sigma : \alpha^+_{\vec{\alpha}_1}$
2.  $\Gamma; \Phi \vdash [\sigma] N'_1 \bullet \vec{v} \Rightarrow M$
3.  $\vec{v} \neq \cdot$ .

Let us construct  $\Gamma \vdash \sigma_0 : \alpha^+_{\vec{\alpha}_2}$  in the following way:

$$\begin{cases} [\sigma_0] \alpha^+ = [\sigma][\mu] \alpha^+ & \text{if } \alpha^+ \in \alpha^+_{\vec{\alpha}_2} \cap \mathbf{fv} N'_2 \\ [\sigma_0] \alpha^+ = \exists \beta^- . \downarrow \beta^- & \text{otherwise (the type does not matter here)} \end{cases}$$

Then to infer  $\Gamma; \Phi \vdash N_2 \bullet \vec{v} \Rightarrow M$ , we apply Rule DTArowApp with  $\sigma_0$ . Let us show the required premises:

1.  $\Gamma \vdash \sigma_0 : \alpha^+_{\vec{\alpha}_2}$  by construction;
2.  $\vec{v} \neq \cdot$  as noted above;
3. To show  $\Gamma; \Phi \vdash [\sigma_0] N'_2 \bullet \vec{v} \Rightarrow M$ , Notice that  $[\sigma_0] N'_2 = [\sigma][\mu] N'_2$  and since  $[\mu] N'_2 \simeq_1^D N'_1$ ,  $[\sigma][\mu] N'_2 \simeq_1^D [\sigma] N'_1$ . This way, by lemma 44,  $\Gamma \vdash [\sigma] N'_1 \simeq_1^{\leq} [\sigma_0] N'_2$ . Then the required judgement holds by the induction hypothesis applied to  $\Gamma; \Phi \vdash [\sigma] N'_1 \bullet \vec{v} \Rightarrow M$ .

□

**Definition 26** (Number of prenex quantifiers). *Let us define  $\text{npq}(N)$  and  $\text{npq}(P)$  as the number of prenex quantifiers in these types, i.e.*

$$+ \text{npq}(\exists \alpha^-. P) = |\text{nas}|, \text{ if } P \neq \exists \beta^-. P',$$

$$- \text{npq}(\forall \alpha^+. N) = |\text{pas}|, \text{ if } N \neq \forall \beta^+. N'.$$

**Definition 27** (Size of a Declarative Judgement). For a declarative typing judgement  $J$  let us define a metrics  $\text{size}(J)$  as a pair of numbers in the following way:

- +  $\text{size}(\Gamma; \Phi \vdash v : P) = (\text{size}(v), 0);$
- $\text{size}(\Gamma; \Phi \vdash c : N) = (\text{size}(c), 0);$
- $\text{size}(\Gamma; \Phi \vdash N \bullet \vec{v} \Rightarrow M) = (\text{size}(\vec{v}), \text{npq}(N))$

where  $\text{size}(v)$  or  $\text{size}(c)$  is the size of the syntax tree of the term  $v$  or  $c$  and  $\text{size}(\vec{v})$  is the sum of sizes of the terms in  $\vec{v}$ .

**Definition 28** (Number of Equivalence Nodes). For a tree  $T$  inferring a declarative typing judgement, let us a function  $\text{eq\_nodes}(T)$  as the number of nodes in  $T$  labeled with Rule DTPEquiv or Rule DTNEquiv.

**Definition 29** (Metric). For a tree  $T$  inferring a declarative typing judgement  $J$ , let us define a metric  $\text{metric}(T)$  as a pair  $(\text{size}(J), \text{eq\_nodes}(T))$ .

**Lemma 83** (Declarative typing is preserved under context equivalence). Assuming  $\Gamma \vdash \Phi_1$ ,  $\Gamma \vdash \Phi_2$ , and  $\Gamma \vdash \Phi_1 \simeq_1^< \Phi_2$ :

- + for any tree  $T_1$  inferring  $\Gamma; \Phi_1 \vdash v : P$ , there exists a tree  $T_2$  inferring  $\Gamma; \Phi_2 \vdash v : P$ .
- for any tree  $T_1$  inferring  $\Gamma; \Phi_1 \vdash c : N$ , there exists a tree  $T_2$  inferring  $\Gamma; \Phi_2 \vdash c : N$ .
- for any tree  $T_1$  inferring  $\Gamma; \Phi_1 \vdash N \bullet \vec{v} \Rightarrow M$ , there exists a tree  $T_2$  inferring  $\Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M$ .

*Proof.* Let us prove it by induction on the  $\text{metric}(T_1)$ . Let us consider the last rule applied in  $T_1$  (i.e., its root node).

**Case 1.** Rule DTVar

Then we are proving that  $\Gamma; \Phi_1 \vdash x : P$  implies  $\Gamma; \Phi_2 \vdash x : P$ . By inversion,  $x : P \in \Phi_1$ , and since  $\Gamma \vdash \Phi_1 \simeq_1^< \Phi_2$ ,  $x : P' \in \Phi_2$  for some  $P'$  such that  $\Gamma \vdash P \simeq_1^< P'$ . Then we infer  $\Gamma; \Phi_2 \vdash x : P'$  by Rule DTVar, and next,  $\Gamma; \Phi_2 \vdash x : P$  by Rule DTPEquiv.

**Case 2.** For Rule DTThunk, Rule DTPAnnot, Rule DTTLam, Rule DTReturn, and Rule DTNAnnot the proof is analogous. We apply the induction hypothesis to the premise of the rule to substitute  $\Phi_1$  for  $\Phi_2$  in it. The induction is applicable because the metric of the premises is less than the metric of the conclusion: the term in the premise is a syntactic subterm of the term in the conclusion.

And after that, we apply the same rule to infer the required judgement.

**Case 3.** Rule DTPEquiv and Rule DTNEquiv In these cases, the induction hypothesis is also applicable to the premise: although the first component of the metric is the same for the premise and the conclusion:  $\text{size}(\Gamma; \Phi \vdash c : N') = \text{size}(\Gamma; \Phi \vdash c : N) = \text{size}(c)$ , the second component of the metric is less for the premise by one, since the equivalence rule was applied to turn the premise tree into  $T_1$ . Having made this note, we continue the proof in the same way as in the previous case.

**Case 4.** Rule DTtLam Then we are proving that  $\Gamma; \Phi_1 \vdash \lambda x : P.c : P \rightarrow N$  implies  $\Gamma; \Phi_2 \vdash \lambda x : P.c : P \rightarrow N$ . Analogously to the previous cases, we apply the induction hypothesis to the equivalent contexts  $\Gamma \vdash \Phi_1, x : P \simeq_1^< \Phi_2, x : P$  and the premise  $\Gamma; \Phi_1, x : P \vdash c : N$  to obtain  $\Gamma; \Phi_2, x : P \vdash c : N$ . Notice that  $c$  is a subterm of  $\lambda x : P.c$ , i.e., the metric of the premise tree is less than the metric of the conclusion, and the induction hypothesis is applicable. Then we infer  $\Gamma; \Phi_2 \vdash \lambda x : P.c : P \rightarrow N$  by Rule DTtLam.

**Case 5.** Rule DTVarLet Then we are proving that  $\Gamma; \Phi_1 \vdash \text{let } x = v; c : N$  implies  $\Gamma; \Phi_2 \vdash \text{let } x = v; c : N$ . First, we apply the induction hypothesis to  $\Gamma; \Phi_1 \vdash v : P$  to obtain  $\Gamma; \Phi_2 \vdash v : P$  of the same pure size.

Then we apply the induction hypothesis to the equivalent contexts  $\Gamma \vdash \Phi_1, x : P \simeq_1^< \Phi_2, x : P$  and the premise  $\Gamma; \Phi_1, x : P \vdash c : N$  to obtain  $\Gamma; \Phi_2, x : P \vdash c : N$ . Then we infer  $\Gamma; \Phi_2 \vdash \text{let } x = v; c : N$  by Rule DTVarLet.

**Case 6.** Rule DTAppLet Then we are proving that  $\Gamma; \Phi_1 \vdash \text{let } x = v(\vec{v}); c : N$  implies  $\Gamma; \Phi_2 \vdash \text{let } x = v(\vec{v}); c : N$ .

We apply the induction hypothesis to each of the premises. to rewrite:

- $\Gamma; \Phi_1 \vdash v : \downarrow M$  into  $\Gamma; \Phi_2 \vdash v : \downarrow M$ ,
- $\Gamma; \Phi_1 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$  into  $\Gamma; \Phi_2 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$ .
- $\Gamma; \Phi_1, x : Q \vdash c : N$  into  $\Gamma; \Phi_2, x : Q \vdash c : N$  (notice that  $\Gamma \vdash \Phi_1, x : Q \simeq_1^< \Phi_2, x : Q$ ).

It is left to show the uniqueness of  $\Gamma; \Phi_2 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$ . Let us assume that this judgement holds for other  $Q'$ , i.e. there exists a tree  $T_0$  inferring  $\Gamma; \Phi_2 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q'$ . Then notice that the induction hypothesis is applicable to  $T_0$ : the first component of the first component of  $\text{metric}(T_0)$  is  $S = \sum_{v \in \vec{v}} \text{size}(v)$ , and it is less than the corresponding component of  $\text{metric}(T_1)$ , which is  $\text{size}(\text{let } x = v(\vec{v}); c) = 1 + \text{size}(v) + \text{size}(c) + S$ . This way,  $\Gamma; \Phi_1 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q'$  holds by the induction hypothesis, but since  $\Gamma; \Phi_1 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$  unique, we have  $\Gamma \vdash Q' \simeq_1^< Q$ .

Then we infer  $\Gamma; \Phi_2 \vdash \text{let } x = v(\vec{v}); c : N$  by Rule DTAppLet.

**Case 7.** Rule DTAppLetAnn Then we are proving that  $\Gamma; \Phi_1 \vdash \text{let } x : P = v(\vec{v}); c : N$  implies  $\Gamma; \Phi_2 \vdash \text{let } x : P = v(\vec{v}); c : N$ .

As in the previous case, we apply the induction hypothesis to each of the premises and rewrite:

- $\Gamma; \Phi_1 \vdash v : \downarrow M$  into  $\Gamma; \Phi_2 \vdash v : \downarrow M$ ,
- $\Gamma; \Phi_1 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$  into  $\Gamma; \Phi_2 \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$ , and
- $\Gamma; \Phi_1, x : P \vdash c : N$  into  $\Gamma; \Phi_2, x : P \vdash c : N$  (notice that  $\Gamma \vdash \Phi_1, x : P \simeq_1^{\leq} \Phi_2, x : P$ ).

Notice that  $\Gamma \vdash P$  and  $\Gamma \vdash \uparrow Q \leq_1 \uparrow P$  do not depend on the variable context, and hold by assumption. Then we infer  $\Gamma; \Phi_2 \vdash \text{let } x : P = v(\vec{v}); c : N$  by Rule DTAppLetAnn.

**Case 8.** Rule DTUnpack, and Rule DTNAnnot are proved in the same way.

**Case 9.** Rule DTEmptyApp Then we are proving that  $\Gamma; \Phi_1 \vdash N \bullet \cdot \Rightarrow N'$  (inferred by Rule DTEmptyApp) implies  $\Gamma; \Phi_2 \vdash N \bullet \cdot \Rightarrow N'$ .

To infer  $\Gamma; \Phi_2 \vdash N \bullet \cdot \Rightarrow N'$ , we apply Rule DTEmptyApp, noting that  $\Gamma \vdash N \simeq_1^{\leq} N'$  holds by assumption.

**Case 10.** Rule DTArrowApp Then we are proving that  $\Gamma; \Phi_1 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$  (inferred by Rule DTArrowApp) implies  $\Gamma; \Phi_2 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$ . And uniqueness of the  $M$  in the first case implies uniqueness in the second case.

By induction, we rewrite  $\Gamma; \Phi_1 \vdash v : P$  into  $\Gamma; \Phi_2 \vdash v : P$ , and  $\Gamma; \Phi_1 \vdash N \bullet \vec{v} \Rightarrow M$  into  $\Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M$ . Then we infer  $\Gamma; \Phi_2 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$  by Rule DTArrowApp.

Now, let us show the uniqueness. The only rule that can infer  $\Gamma; \Phi_1 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$  is Rule DTArrowApp. Then by inversion, uniqueness of  $\Gamma; \Phi_1 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M$  implies uniqueness of  $\Gamma; \Phi_1 \vdash N \bullet \vec{v} \Rightarrow M$ . By the induction hypothesis, it implies the uniqueness of  $\Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M$ .

Suppose that  $\Gamma; \Phi_2 \vdash Q \rightarrow N \bullet v, \vec{v} \Rightarrow M'$ . By inversion,  $\Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M'$ , which by uniqueness of  $\Gamma; \Phi_2 \vdash N \bullet \vec{v} \Rightarrow M$  implies  $\Gamma \vdash M \simeq_1^{\leq} M'$ .

**Case 11.** Rule DTForallApp Then we are proving that  $\Gamma; \Phi_1 \vdash \forall \vec{\alpha}^+. N \bullet \vec{v} \Rightarrow M$  (inferred by Rule DTForallApp) implies  $\Gamma; \Phi_2 \vdash \forall \vec{\alpha}^+. N \bullet \vec{v} \Rightarrow M$ .

By inversion, we have  $\sigma$  such that  $\Gamma \vdash \sigma : \vec{\alpha}^+$  and  $\Gamma; \Phi_1 \vdash [\sigma]N \bullet \vec{v} \Rightarrow M$  is inferred. Let us denote the inference tree as  $T'_1$ . Notice that the induction hypothesis is applicable to  $T'_1$ :  $\text{metric}(T'_1) = ((\text{size}(\vec{v}), 0), x)$  is less than  $\text{metric}(T_1) = ((\text{size}(\vec{v}), |\vec{\alpha}^+|), y)$  for any  $x$  and  $y$ , since  $|\vec{\alpha}^+| > 0$  by inversion.

This way, by the induction hypothesis, there exists a tree  $T'_2$  inferring  $\Gamma; \Phi_2 \vdash [\sigma]N \bullet \vec{v} \Rightarrow M$ . Notice that the premises  $\vec{v} \neq \cdot$ ,  $\Gamma \vdash \sigma : \vec{\alpha}^+$ , and  $\vec{\alpha}^+ \neq \cdot$  do not depend on the variable context, and hold by inversion. Then we infer  $\Gamma; \Phi_2 \vdash \forall \vec{\alpha}^+. N \bullet \vec{v} \Rightarrow M$  by Rule DTForallApp.

□

### 3.19 Algorithmic Typing

Let us extend the declarative typing metric (definition 29) to the algorithmic typing.

**Definition 30** (Size of an Algorithmic Judgement). For an algorithmic typing judgement  $J$  let us define a metrics  $\text{size}(J)$  as a pair of numbers in the following way:

- +  $\text{size}(\Gamma; \Phi \models v : P) = (\text{size}(v), 0)$ ;
- $\text{size}(\Gamma; \Phi \models c : N) = (\text{size}(c), 0)$ ;
- $\text{size}(\Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M \Leftarrow \Theta'; SC) = (\text{size}(\vec{v}), \text{npq}(N))$

**Definition 31** (Metric). We extend the metric from definition 29 to the algorithmic typing in the following way. For a tree  $T$  inferring an algorithmic typing judgement  $J$ , we define  $\text{size}(T)$  as  $(\text{size}(J), 0)$ .

Soundness and the completeness are proved by mutual induction on the metric of the inference tree.

**Lemma 84** (Soundness of typing). Suppose that  $\Gamma \vdash \Phi$ . For an inference tree  $T_1$ ,

- + If  $T_1$  infers  $\Gamma; \Phi \models v : P$  then  $\Gamma \vdash P$  and  $\Gamma; \Phi \vdash v : P$
- If  $T_1$  infers  $\Gamma; \Phi \models c : N$  then  $\Gamma \vdash N$  and  $\Gamma; \Phi \vdash c : N$
- For  $\Gamma \vdash \Theta$  and  $\Gamma; \text{dom}(\Theta) \vdash N$  free from negative metavariables, if  $T_1$  infers  $\Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M \Leftarrow \Theta'; SC$  then



1.  $\Gamma \vdash^= \Theta'$
2.  $\Theta \subseteq \Theta'$
3.  $\Gamma; \mathbf{dom}(\Theta') \vdash M$
4.  $M$  is normalized and free from negative metavariables
5.  $\Theta' \vdash SC$
6. for any  $(\Theta' \vdash SC) \vdash \hat{\sigma}$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$

*Proof.* We prove it by induction on  $\mathbf{metric}(T_1)$ , mutually with the completeness of typing (lemma 84). Let us consider the last rule used to infer the derivation.

**Case 1.** Rule ATVar We are proving that if  $\Gamma; \Phi \models x : \mathbf{nf}(P)$  then  $\Gamma \vdash \mathbf{nf}(P)$  and  $\Gamma; \Phi \vdash x : \mathbf{nf}(P)$ .

By inversion,  $x : P \in \Phi$ . Since  $\Gamma \vdash \Phi$ , we have  $\Gamma \vdash P$ , and by corollary 17,  $\Gamma \vdash \mathbf{nf}(P)$ .

By applying Rule DTVar to  $x : P \in \Phi$ , we infer  $\Gamma; \Phi \vdash x : P$ . Finally, by Rule DTPEquiv, since  $\Gamma \vdash P \simeq_1^{\leq} \mathbf{nf}(P)$  (corollary 21), we have  $\Gamma; \Phi \vdash x : \mathbf{nf}(P)$ .

**Case 2.** Rule ATThunk

We are proving that if  $\Gamma; \Phi \models \{c\} : \downarrow N$  then  $\Gamma \vdash \downarrow N$  and  $\Gamma; \Phi \vdash \{c\} : \downarrow N$ .

By inversion of  $\Gamma; \Phi \models \{c\} : \downarrow N$ , we have  $\Gamma; \Phi \models c : N$ . By the induction hypothesis applied to  $\Gamma; \Phi \models c : N$ , we have

1.  $\Gamma \vdash N$ , and hence,  $\Gamma \vdash \downarrow N$ ;
2.  $\Gamma; \Phi \vdash c : N$ , which by Rule DTThunk implies  $\Gamma; \Phi \vdash \{c\} : \downarrow N$ .

**Case 3.** Rule ATReturn The proof is symmetric to the previous case (case 2).

**Case 4.** Rule ATPAnnot We are proving that if  $\Gamma; \Phi \models (v : Q) : \mathbf{nf}(Q)$  then  $\Gamma \vdash \mathbf{nf}(Q)$  and  $\Gamma; \Phi \vdash (v : Q) : \mathbf{nf}(Q)$ .

By inversion of  $\Gamma; \Phi \models (v : Q) : \mathbf{nf}(Q)$ , we have:

1.  $\Gamma \vdash (v : Q)$ , hence,  $\Gamma \vdash Q$ , and by corollary 17,  $\Gamma \vdash \mathbf{nf}(Q)$ ;
2.  $\Gamma; \Phi \models v : P$ , which by the induction hypothesis implies  $\Gamma \vdash P$  and  $\Gamma; \Phi \vdash v : P$ ;
3.  $\Gamma; \cdot \models Q \succcurlyeq P \Rightarrow \cdot$ , which by lemma 69 implies  $\Gamma \vdash [\cdot]Q \geq_1 P$ , that is  $\Gamma \vdash Q \geq_1 P$ .

To infer  $\Gamma; \Phi \vdash (v : Q) : Q$ , we apply Rule DTPAnnot to  $\Gamma; \Phi \vdash v : P$  and  $\Gamma \vdash Q \geq_1 P$ . Then by Rule DTPEquiv,  $\Gamma; \Phi \vdash (v : Q) : \mathbf{nf}(Q)$ .

**Case 5.** Rule ATNAnnot The proof is symmetric to the previous case (case 4).

**Case 6.** Rule ATtLam We are proving that if  $\Gamma; \Phi \models \lambda x : P.c : \mathbf{nf}(P \rightarrow N)$  then  $\Gamma \vdash \mathbf{nf}(P \rightarrow N)$  and  $\Gamma; \Phi \vdash \lambda x : P.c : \mathbf{nf}(P \rightarrow N)$ .

By inversion of  $\Gamma; \Phi \models \lambda x : P.c : \mathbf{nf}(P \rightarrow N)$ , we have  $\Gamma \vdash \lambda x : P.c$ , which implies  $\Gamma \vdash P$ .

Also by inversion of  $\Gamma; \Phi \models \lambda x : P.c : \mathbf{nf}(P \rightarrow N)$ , we have  $\Gamma; \Phi, x : P \models c : N$ , applying induction hypothesis to which gives us:

1.  $\Gamma \vdash N$ , thus  $\Gamma \vdash P \rightarrow N$ , and by corollary 17,  $\Gamma \vdash \mathbf{nf}(P \rightarrow N)$ ;
2.  $\Gamma; \Phi, x : P \vdash c : N$ , which by Rule DTtLam implies  $\Gamma; \Phi \vdash \lambda x : P.c : P \rightarrow N$ , and by Rule DTPEquiv,  $\Gamma; \Phi \vdash \lambda x : P.c : \mathbf{nf}(P \rightarrow N)$ .

**Case 7.** Rule ATTLam We are proving that if  $\Gamma; \Phi \models \Lambda\alpha^+.c : \mathbf{nf}(\forall\alpha^+.N)$  then  $\Gamma; \Phi \vdash \Lambda\alpha^+.c : \mathbf{nf}(\forall\alpha^+.N)$  and  $\Gamma \vdash \mathbf{nf}(\forall\alpha^+.N)$ .

By inversion of  $\Gamma, \alpha^+; \Phi \models c : N$ , we have  $\Gamma \vdash \Lambda\alpha^+.c$ , which implies  $\Gamma, \alpha^+ \vdash c$ .

Also by inversion of  $\Gamma, \alpha^+; \Phi \models c : N$ , we have  $\Gamma, \alpha^+; \Phi \models c : N$ . Obtaining the induction hypothesis to  $\Gamma, \alpha^+; \Phi \models c : N$ , we have:

1.  $\Gamma, \alpha^+ \vdash N$ , thus  $\Gamma \vdash \forall\alpha^+.N$ , and by corollary 17,  $\Gamma \vdash \mathbf{nf}(\forall\alpha^+.N)$ ;
2.  $\Gamma, \alpha^+; \Phi \vdash c : N$ , which by Rule DTTLam implies  $\Gamma; \Phi \vdash \Lambda\alpha^+.c : \forall\alpha^+.N$ , and by Rule DTPEquiv,  $\Gamma; \Phi \vdash \Lambda\alpha^+.c : \mathbf{nf}(\forall\alpha^+.N)$ .

**Case 8.** Rule ATVarLet We are proving that if  $\Gamma; \Phi \models \mathbf{let} x = v; c : N$  then  $\Gamma; \Phi \vdash \mathbf{let} x = v; c : N$  and  $\Gamma \vdash N$ .

By inversion of  $\Gamma; \Phi \models \mathbf{let} x = v; c : N$ , we have:

1.  $\Gamma \vdash \mathbf{let} x = v; c$ , which gives us  $\Gamma \vdash v$  and  $\Gamma \vdash c$ .
2.  $\Gamma; \Phi \models v : P$ , which by the induction hypothesis implies  $\Gamma \vdash P$  (and thus,  $\Gamma \vdash \Phi, x : P$ ) and  $\Gamma; \Phi \vdash v : P$ ;
3.  $\Gamma; \Phi, x : P \models c : N$ , which by the induction hypothesis implies  $\Gamma \vdash N$  and  $\Gamma; \Phi, x : P \vdash c : N$ .

This way,  $\Gamma; \Phi \vdash \mathbf{let } x = v; c : N$  holds by Rule DTVarLet.

**Case 9.** Rule ATAppLetAnn We are proving that  $\Gamma; \Phi \models \mathbf{let } x : P = v(\vec{v}); c' : N$  then  $\Gamma; \Phi \vdash \mathbf{let } x : P = v(\vec{v}); c' : N$  and  $\Gamma \vdash N$ .

By inversion, we have:

1.  $\Gamma \vdash P$ , hence,  $\Gamma \vdash \Phi, x : P$
2.  $\Gamma; \Phi \models v : \downarrow M$
3.  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q \Rightarrow \Theta; SC_1$
4.  $\Gamma; \Theta \models \uparrow Q \leq \uparrow P \Rightarrow SC_2$
5.  $\Theta \vdash SC_1 \& SC_2 = SC$
6.  $\Gamma; \Phi, x : P \models c' : N$

By the soundness of constraint merge (lemma 72), we have  $\Theta \vdash SC$ . Let us take  $\hat{\sigma}$  such that  $(\Theta \vdash SC) \vdash \hat{\sigma}$  (it exists by lemma 75). Notice that by the soundness of constraint merge,  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$  and  $(\Theta \vdash SC_2) \vdash \hat{\sigma}$ .

By the induction hypothesis applied to  $\Gamma; \Phi \models v : \downarrow M$ , we have  $\Gamma; \Phi \vdash v : \downarrow M$  and  $\Gamma \vdash \downarrow M$  (and hence,  $\Gamma; \mathbf{dom}(\Theta) \vdash M$ ).

By the induction hypothesis applied to  $\Gamma; \Phi, x : P \models c' : N$ , we have  $\Gamma; \Phi, x : P \vdash c' : N$  and  $\Gamma \vdash N$ .

By the induction hypothesis applied to  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q \Rightarrow \Theta; SC_1$ , we have:

1.  $\Gamma \vdash \supset \Theta$ ,
2.  $\Gamma; \mathbf{dom}(\Theta) \vdash \uparrow Q$ ,
3.  $\Theta' \vdash SC_1$ ,
4. for any  $(\Theta' \vdash SC_1) \vdash \hat{\sigma}$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}]M \bullet \vec{v} \Rightarrow [\hat{\sigma}]\uparrow Q$ . In particular, it holds for the  $\hat{\sigma}$  chosen above.

By the soundness of negative subtyping (??) applied to  $\Gamma; \Theta \models \uparrow Q \leq \uparrow P \Rightarrow SC$ , we have  $\Gamma \vdash \uparrow[\hat{\sigma}]Q \leq_1 \uparrow P$ .

To infer  $\Gamma; \Phi \vdash \mathbf{let } x : P = v(\vec{v}); c' : N$ , we apply the corresponding declarative rule Rule DTAppLetAnn, where  $Q$  is  $[\hat{\sigma}]Q$ . Notice that all the premises were already shown to hold above:

1.  $\Gamma \vdash P$  and  $\Gamma; \Phi \vdash v : \downarrow M$  from the assumption,
2.  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow[\hat{\sigma}]Q$  holds since  $[\hat{\sigma}]\uparrow Q = \uparrow[\hat{\sigma}]Q$ ,
3.  $\Gamma \vdash \uparrow[\hat{\sigma}]Q \leq_1 \uparrow P$  by the soundness of negative subtyping,
4.  $\Gamma; \Phi, x : P \vdash c' : N$  from the the induction hypothesis.

**Case 10.** Rule ATAppLet We are proving that if  $\Gamma; \Phi \models \mathbf{let } x = v(\vec{v}); c' : N$  then  $\Gamma; \Phi \vdash \mathbf{let } x = v(\vec{v}); c' : N$  and  $\Gamma \vdash N$ .

By the inversion, we have:

1.  $\Gamma; \Phi \models v : \downarrow M$
2.  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q \Rightarrow \Theta; SC$
3.  $\mathbf{uv } Q \subseteq \mathbf{dom}(SC)$
4.  $\mathbf{SC}|_{\mathbf{uv}(Q)} \mathbf{singular with } \hat{\sigma}_3$
5.  $\Gamma; \Phi, x : [\hat{\sigma}_3]Q \models c' : N$

By the induction hypothesis applied to  $\Gamma; \Phi \models v : \downarrow M$ , we have  $\Gamma; \Phi \vdash v : \downarrow M$  and  $\Gamma \vdash \downarrow M$  (and thus,  $\Gamma; \mathbf{dom}(\Theta) \vdash M$ ).

By the induction hypothesis applied to  $\Gamma; \Phi, x : [\hat{\sigma}_3]Q \models c' : N$ , we have  $\Gamma \vdash N$  and  $\Gamma; \Phi, x : [\hat{\sigma}_3]Q \vdash c' : N$ .

By the induction hypothesis applied to  $\Gamma; \Phi; \cdot \models M \bullet \vec{v} \Rightarrow \uparrow Q \Rightarrow \Theta; SC$ , we have:

1.  $\Gamma \vdash \supset \Theta$
2.  $\Gamma; \mathbf{dom}(\Theta) \vdash \uparrow Q$
3.  $\Theta \vdash SC$
4. for any  $(\Theta \vdash SC) \vdash \hat{\sigma}$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}]M \bullet \vec{v} \Rightarrow [\hat{\sigma}]\uparrow Q$ , which, since  $M$  is ground means  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow[\hat{\sigma}]Q$ .

To infer  $\Gamma; \Phi \vdash \mathbf{let } x = v(\vec{v}); c' : N$ , we apply the corresponding declarative rule Rule DTAppLet. Let us show that the premises hold:

- $\Gamma; \Phi \vdash v : \downarrow M$  holds by the induction hypothesis;
- $\Gamma; \Phi, x : [\hat{\sigma}_3]Q \vdash c' : N$  also holds by the induction hypothesis, as noted above;



- Let us take an arbitrary substitution  $\hat{\sigma}$  satisfying  $(\Theta \vdash SC) \vdash \hat{\sigma}$  (it exists by lemma 67). Then  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow[\hat{\sigma}]Q$  holds, as noted above;
- To show the uniqueness of  $\uparrow[\hat{\sigma}]Q$ , we assume that for some other type  $K$  holds  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow K$ , that is  $\Gamma; \Phi \vdash [\cdot]M \bullet \vec{v} \Rightarrow K$ . Then by the completeness of typing (lemma 85), there exist  $N'$ ,  $\Theta'$ , and  $SC'$  such that
  1.  $\Gamma; \Phi; \cdot \vdash M \bullet \vec{v} \Rightarrow N' \Rightarrow \Theta'; SC'$  and
  2. there exists a substitution  $(SC')u'$  such that  $\Gamma \vdash [\hat{\sigma}']N' \simeq_1^{\leq} K$ .

By the determinicity of the typing algorithm (??),  $\Gamma; \Phi; \cdot \vdash M \bullet \vec{v} \Rightarrow N' \Rightarrow \Theta'; SC'$ , means that  $SC'$  is  $SC$ ,  $\Theta'$  is  $\Theta$ , and  $N'$  is  $\uparrow Q$ . This way,  $\Gamma \vdash [\hat{\sigma}']\uparrow Q \simeq_1^{\leq} K$  for a substitution  $(SC')u'$ .

It is left to show that  $\Gamma \vdash [\hat{\sigma}']\uparrow Q \simeq_1^{\leq} [\hat{\sigma}]\uparrow Q$ , then by transitivity of the equivalence, we will have  $\Gamma \vdash [\hat{\sigma}]\uparrow Q \simeq_1^{\leq} K$ . Since  $(\Theta \vdash \mathbf{SC}|_{\mathbf{uv}(Q)}) \vdash \hat{\sigma}$  and  $(SC|_{\mathbf{uv}(uQ)})u'$ , and  $\mathbf{SC}|_{\mathbf{uv}(Q)}$  **singular with**  $\hat{\sigma}_3$ , we have  $\Theta|_{(\mathbf{dom}(SC) \cap \mathbf{uv}(Q))} \vdash \hat{\sigma} \simeq_1^{\leq} \hat{\sigma}_3 : \mathbf{varset}$  and  $\Theta|_{(\mathbf{dom}(SC) \cap \mathbf{uv}(Q))} \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_3 : \mathbf{varset}$ . Then since  $\mathbf{uv}(Q) \subseteq \mathbf{dom}(SC)$ , we have  $\mathbf{dom}(SC) \cap \mathbf{uv}(Q) = \mathbf{uv}(Q)$ . This way, by transitivity and symmetry of the equivalence,  $\Theta|_{\mathbf{uv}(Q)} \vdash \hat{\sigma} \simeq_1^{\leq} \hat{\sigma}' : \mathbf{varset}$ , which implies  $\Gamma \vdash [\hat{\sigma}']\uparrow Q \simeq_1^{\leq} [\hat{\sigma}]\uparrow Q$ .

**Case 11.** Rule ATUnpack We are proving that if  $\Gamma; \Phi \models \mathbf{let}^3(\vec{\alpha}^-, x) = v; c' : N$  then  $\Gamma; \Phi \vdash \mathbf{let}^3(\vec{\alpha}^-, x) = v; c' : N$  and  $\Gamma \vdash N$ . By the inversion, we have:

1.  $\Gamma; \Phi \models v : \exists \vec{\alpha}^-. P$
2.  $\Gamma, \vec{\alpha}^-; \Phi, x : P \models c' : N$
3.  $\Gamma \vdash N$

By the induction hypothesis applied to  $\Gamma; \Phi \models v : \exists \vec{\alpha}^-. P$ , we have  $\Gamma; \Phi \vdash v : \exists \vec{\alpha}^-. P$  and  $\exists \vec{\alpha}^-. P$  is normalized. By the induction hypothesis applied to  $\Gamma, \vec{\alpha}^-; \Phi, x : P \models c' : N$ , we have  $\Gamma, \vec{\alpha}^-; \Phi, x : P \vdash c' : N$ .

To show  $\Gamma; \Phi \vdash \mathbf{let}^3(\vec{\alpha}^-, x) = v; c' : N$ , we apply the corresponding declarative rule Rule DTUnpack. Let us show that the premises hold:

1.  $\Gamma; \Phi \vdash v : \exists \vec{\alpha}^-. P$  holds by the induction hypothesis, as noted above,
2.  $\mathbf{nf}(\exists \vec{\alpha}^-. P) = \exists \vec{\alpha}^-. P$  holds since  $\exists \vec{\alpha}^-. P$  is normalized,
3.  $\Gamma, \vec{\alpha}^-; \Phi, x : P \vdash c' : N$  also holds by the induction hypothesis,
4.  $\Gamma \vdash N$  holds by the inversion, as noted above.

**Case 12.** Rule ATEmptyApp Then by assumption:

- $\Gamma \vdash^{\exists} \Theta$ ,
- $\Gamma; \mathbf{dom}(\Theta) \vdash N$  is free from negative metavariables,
- $\Gamma; \Phi; \Theta \models N \bullet \cdot \Rightarrow \mathbf{nf}(N) \Rightarrow \Theta; \cdot$ .

Let us show the required properties:

1.  $\Gamma \vdash^{\exists} \Theta$  holds by assumption,
2.  $\Theta \subseteq \Theta$  holds trivially,
3.  $\mathbf{nf}(N)$  is evidently normalized,  $\Gamma; \mathbf{dom}(\Theta) \vdash N$  implies  $\Gamma; \mathbf{dom}(\Theta) \vdash \mathbf{nf}(N)$  by ??, and lemma 30 means that  $\mathbf{nf}(N)$  is inherently free from negative metavariables,
4.  $\Theta \vdash \cdot$  holds trivially,
5. for any  $(\Theta \vdash \cdot) \vdash \hat{\sigma}$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \cdot \Rightarrow [\hat{\sigma}]N$ . To show  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \cdot \Rightarrow [\hat{\sigma}]\mathbf{nf}(N)$ , we apply the corresponding declarative rule Rule DTEmptyApp. To show  $\Gamma \vdash [\hat{\sigma}]N \simeq_1^{\leq} [\hat{\sigma}]\mathbf{nf}(N)$ , we apply the following sequence:  $N \simeq_1^P \mathbf{nf}(N)$  by lemma 32, then  $[\hat{\sigma}]N \simeq_1^P [\hat{\sigma}]\mathbf{nf}(N)$  by lemma 51, then  $\Gamma \vdash [\hat{\sigma}]N \simeq_1^{\leq} [\hat{\sigma}]\mathbf{nf}(N)$  by lemma 44.

**Case 13.** Rule ATArrowApp By assumption:

1.  $\Gamma \vdash^{\exists} \Theta$ ,
2.  $\Gamma; \mathbf{dom}(\Theta) \vdash Q \rightarrow N$  is free from negative metavariables, and hence, so are  $Q$  and  $N$ ,
3.  $\Gamma; \Phi; \Theta \models Q \rightarrow N \bullet v, \vec{v} \Rightarrow M \Rightarrow \Theta'; SC$ , and by inversion:
  - (a)  $\Gamma; \Phi \models v : P$ , and by the induction hypothesis applied to this judgment, we have  $\Gamma; \Phi \vdash v : P$ , and  $\Gamma \vdash P$ ;
  - (b)  $\Gamma; \Theta \models Q \geq P \Rightarrow SC_1$ , and by the soundness of subtyping:  $\Theta \vdash SC$  and for any  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$ , we have  $\Gamma \vdash [\hat{\sigma}]Q \geq_1 P$ ;

- (c)  $\Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC_2$ , and by the induction hypothesis applied to this judgment,
  - i.  $\Gamma \vdash^\supset \Theta'$ ,
  - ii.  $\Theta \subseteq \Theta'$ ,
  - iii.  $\Gamma; \mathbf{dom}(\Theta') \vdash M$  is normalized and free from negative metavariables,
  - iv.  $\Theta' \vdash SC_2$ ,
  - v. for any  $(\Theta' \vdash SC_2) \vdash \hat{\sigma}$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$ ;
- (d)  $\Theta \vdash SC_1 \& SC_2 = SC$ .

Let us show the required properties:

1.  $\Gamma \vdash^\supset \Theta'$  is shown above,
2.  $\Theta \subseteq \Theta'$  is shown above,
3.  $\Gamma; \mathbf{dom}(\Theta') \vdash M$  is normalized and free from negative metavariables, as shown above,
4.  $\Theta' \vdash SC$  holds:  $\Theta \vdash SC_1$  and  $\Theta \subseteq \Theta'$  imply  $\Theta' \vdash SC_1$ , then we apply the soundness of constraint merge (lemma 72) to  $\Theta' \vdash SC_1 \& SC_2$ :
  - (a)  $\Theta' \vdash SC_1$ ,
  - (b) for any  $(\Theta' \vdash SC) \vdash \hat{\sigma}$ ,  $(\Theta' \vdash SC_i) \vdash \hat{\sigma}$  holds;
5. suppose that  $(\Theta' \vdash SC) \vdash \hat{\sigma}$ . Then to show  $\Gamma; \Phi \vdash [\hat{\sigma}](Q \rightarrow N) \bullet v, \vec{v} \Rightarrow [\hat{\sigma}]M$ , that is  $\Gamma; \Phi \vdash [\hat{\sigma}]Q \rightarrow [\hat{\sigma}]N \bullet v, \vec{v} \Rightarrow [\hat{\sigma}]M$ , we apply the corresponding declarative rule Rule DTArrowApp. Let us show the required premises:
  - (a)  $\Gamma; \Phi \vdash v : P$  holds as shown above,
  - (b)  $\Gamma \vdash [\hat{\sigma}]Q \geqslant_1 P$  holds by the soundness of subtyping as noted above, since  $(\Theta' \vdash SC) \vdash \hat{\sigma}$  implies  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$ .
  - (c)  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$  holds by the induction hypothesis as shown above, since  $(\Theta' \vdash SC) \vdash \hat{\sigma}$  implies  $(\Theta' \vdash SC_2) \vdash \hat{\sigma}$ .

#### Case 14. Rule ATForallApp

By assumption:

1.  $\Gamma \vdash^\supset \Theta$ ,
2.  $\Gamma; \mathbf{dom}(\Theta) \vdash \forall \alpha^+. N$  is free from negative metavariables,
3.  $\Gamma; \Phi; \Theta \models \forall \alpha^+. N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC$ , which by inversion means  $\vec{v} \neq \cdot$ ,  $\alpha^+ \neq \cdot$ , and  $\Gamma; \Phi; \Theta, \vec{\alpha}^+ \{ \Gamma \} \models [\vec{\alpha}^+ / \alpha^+] N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC$ . It is easy to see that the induction hypothesis is applicable to the latter judgment:
  - $\Gamma \vdash^\supset \Theta, \vec{\alpha}^+ \{ \Gamma \}$  holds by  $\Gamma \vdash^\supset \Theta$ ,
  - $\Gamma; \mathbf{dom}(\Theta), \vec{\alpha}^+ \vdash [\vec{\alpha}^+ / \alpha^+] N$  holds since  $\Gamma; \mathbf{dom}(\Theta) \vdash \forall \alpha^+. N$   $[\vec{\alpha}^+ / \alpha^+] N$  is normalized and free from negative metavariables since so is  $N$ ;

This way, by the inductive hypothesis applied to  $\Gamma; \Phi; \Theta, \vec{\alpha}^+ \{ \Gamma \} \models [\vec{\alpha}^+ / \alpha^+] N \bullet \vec{v} \Rightarrow M \equiv \Theta'; SC$ , we have:

- (a)  $\Gamma \vdash^\supset \Theta'$ ,
- (b)  $\Theta, \vec{\alpha}^+ \{ \Gamma \} \subseteq \Theta'$ ,
- (c)  $\Gamma; \mathbf{dom}(\Theta') \vdash M$  is normalized and free from negative metavariables,
- (d)  $\Theta' \vdash SC$ ,
- (e) for any  $(\Theta' \vdash SC) \vdash \hat{\sigma}$ , we have  $\Gamma; \Phi \vdash [\hat{\sigma}][\vec{\alpha}^+ / \alpha^+] N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$ .

Let us show the required properties:

1.  $\Gamma \vdash^\supset \Theta'$  is shown above;
2.  $\Theta \subseteq \Theta'$  since  $\Theta, \vec{\alpha}^+ \{ \Gamma \} \subseteq \Theta'$ ;
3.  $\Gamma; \mathbf{dom}(\Theta') \vdash M$  is normalized and free from negative metavariables, as shown above;
4.  $\Theta' \vdash SC$  is shown above;
5. let us assume  $(\Theta' \vdash SC) \vdash \hat{\sigma}$ . Then to show  $\Gamma; \Phi \vdash [\hat{\sigma}]\forall \alpha^+. N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$ , we apply the corresponding declarative rule Rule DTForallApp with substitution  $\Gamma \vdash \sigma : \alpha^+$  defined in the following way:  $[\sigma]\alpha_i^+ = [\hat{\sigma}]\hat{\alpha}_i^+$ . Let us show that the premises of Rule DTForallApp hold:
  - (a)  $\Gamma \vdash \sigma : \alpha^+$ , i.e.  $\Gamma \vdash [\sigma]\alpha_i^+$  holds since  $\Theta' \vdash \hat{\sigma}$  and  $\Gamma \vdash^\supset \Theta'$ ;
  - (b)  $\Gamma; \Phi \vdash [\sigma][\hat{\sigma}]N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$  holds by rewriting it into  $\Gamma; \Phi \vdash [\hat{\sigma}][\vec{\alpha}^+ / \alpha^+] N \bullet \vec{v} \Rightarrow [\hat{\sigma}]M$  using equality  $[\hat{\sigma}][\vec{\alpha}^+ / \alpha^+] N = [\sigma][\hat{\sigma}]N$ :

- i. for  $\alpha_i^+ \in \vec{\alpha}^+$ ,  $[\hat{\sigma}][\vec{\alpha}^+/\vec{\alpha}^+]\alpha_i^+ = [\hat{\sigma}]\widehat{\alpha}_i^+ = [\sigma]\alpha_i^+ = [\sigma][\hat{\sigma}]\alpha_i^+$ ,
- ii. for  $\hat{\beta}^\pm \in \mathbf{dom}(\hat{\sigma})$ ,  $[\hat{\sigma}][\vec{\alpha}^+/\vec{\alpha}^+]\hat{\beta}^\pm = [\hat{\sigma}]\hat{\beta}^\pm = [\sigma][\hat{\sigma}]\hat{\beta}^\pm$ , where the latter equality holds since  $\vec{\alpha}^+ \cap \Gamma = \emptyset$ .
- iii.  $\vec{v} \neq \cdot$  and  $\vec{\alpha}^+ \neq \cdot$  hold by assumption.

□

**Lemma 85** (Completeness of Typing). *Suppose that  $\Gamma \vdash \Phi$ . For an inference tree  $T_1$ ,*

- + *If  $T_1$  infers  $\Gamma; \Phi \vdash v : P$  then  $\Gamma; \Phi \models v : \mathbf{nf}(P)$*
- *If  $T_1$  infers  $\Gamma; \Phi \vdash c : N$  then  $\Gamma; \Phi \models c : \mathbf{nf}(N)$*
- *Suppose that  $T_1$  infers  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow M$  for some  $\Gamma \vdash^\supset \Theta$ ,  $\Gamma; \mathbf{dom}(\Theta) \vdash N$  (free from negative metavariables, that is  $\hat{\alpha}^- \notin \mathbf{uv} N$ ),  $\Theta \vdash \hat{\sigma}$ , and  $\Gamma \vdash M$ . Then there exist  $M'$ ,  $\Theta'$ , and  $SC$  such that*
  1.  $\Gamma; \Phi; \Theta \models N \bullet \vec{v} \Rightarrow M' \equiv \Theta'; SC$  and
  2. *for any  $\Theta \vdash \hat{\sigma}$  and  $\Gamma \vdash M$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \vec{v} \Rightarrow M$ , there exists  $\hat{\sigma}'$  such that*
    - (a)  $(SC)u'$ ,
    - (b)  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma} : \mathbf{varset}$ , and
    - (c)  $\Gamma \vdash [\hat{\sigma}']M' \simeq_1^{\leq} M$ .

*Proof.* We prove it by induction on  $\mathbf{metric}(T_1)$ , mutually with the soundness of typing (lemma 84). Let us consider the last rule applied to infer the derivation.

**Case 1.** Rule DTThunk

Then we are proving that if  $\Gamma; \Phi \vdash \{c\} : \downarrow N$  (inferred by Rule DTThunk) then  $\Gamma; \Phi \models \{c\} : \mathbf{nf}(\downarrow N)$ . By inversion of  $\Gamma; \Phi \vdash \{c\} : \downarrow N$ , we have  $\Gamma; \Phi \vdash c : N$ , which we apply the induction hypothesis to to obtain  $\Gamma; \Phi \models c : \mathbf{nf}(N)$ . Then by Rule ATThunk, we have  $\Gamma; \Phi \models \{c\} : \downarrow \mathbf{nf}(N)$ . It is left to notice that  $\downarrow \mathbf{nf}(N) = \mathbf{nf}(\downarrow N)$ .

**Case 2.** Rule DTReturn

The proof is symmetric to the previous case (case 1).

**Case 3.** Rule DTPAnnot

Then we are proving that if  $\Gamma; \Phi \vdash (v : Q) : Q$  is inferred by Rule DTPAnnot then  $\Gamma; \Phi \models (v : Q) : \mathbf{nf}(Q)$ . By inversion, we have:

1.  $\Gamma \vdash Q$ ;
2.  $\Gamma; \Phi \vdash v : P$ , which by the induction hypothesis implies  $\Gamma; \Phi \models v : \mathbf{nf}(P)$ ;
3.  $\Gamma \vdash Q \geq_1 P$ , and by transitivity,  $\Gamma \vdash Q \geq_1 \mathbf{nf}(P)$ ; Since  $Q$  is ground, we have  $\Gamma; \cdot \vdash Q$  and  $\Gamma \vdash [\cdot]Q \geq_1 \mathbf{nf}(P)$ . Then by the completeness of subtyping (??), we have  $\Gamma; \cdot \models Q \geq \mathbf{nf}(P) \equiv SC$ , where  $\cdot \vdash SC$  (implying  $SC = \cdot$ ). This way,  $\Gamma; \cdot \models Q \geq \mathbf{nf}(P) \equiv \cdot$ .

Then we can apply Rule ATPAnnot to  $\Gamma \vdash Q$ ,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$  and  $\Gamma; \cdot \models Q \geq \mathbf{nf}(P) \equiv \cdot$  to infer  $\Gamma; \Phi \models (v : Q) : \mathbf{nf}(Q)$ .

**Case 4.** Rule DTNAnnot

The proof is symmetric to the previous case (case 3).

**Case 5.** Rule DTtLam

Then we are proving that if  $\Gamma; \Phi \vdash \lambda x : P.c : P \rightarrow N$  is inferred by Rule DTtLam, then  $\Gamma; \Phi \models \lambda x : P.c : \mathbf{nf}(P \rightarrow N)$ .

By inversion of  $\Gamma; \Phi \vdash \lambda x : P.c : P \rightarrow N$ , we have  $\Gamma \vdash P$  and  $\Gamma; \Phi, x : P \vdash c : N$ . Then by the induction hypothesis,  $\Gamma; \Phi, x : P \models c : \mathbf{nf}(N)$ . By Rule ATtLam, we infer  $\Gamma; \Phi \models \lambda x : P.c : \mathbf{nf}(P \rightarrow \mathbf{nf}(N))$ . By idempotence of normalization (lemma 36),  $\mathbf{nf}(P \rightarrow \mathbf{nf}(N)) = \mathbf{nf}(P \rightarrow N)$ , which concludes the proof for this case.

**Case 6.** Rule DTTLam

Then we are proving that if  $\Gamma; \Phi \vdash \Lambda \alpha^+.c : \forall \alpha^+.N$  is inferred by Rule DTTLam, then  $\Gamma; \Phi \models \Lambda \alpha^+.c : \mathbf{nf}(\forall \alpha^+.N)$ . Similar to the previous case, by inversion of  $\Gamma; \Phi \vdash \Lambda \alpha^+.c : \forall \alpha^+.N$ , we have  $\Gamma, \alpha^+; \Phi \vdash c : N$ , and then by the induction hypothesis,  $\Gamma, \alpha^+; \Phi \models c : \mathbf{nf}(N)$ . After that, application of Rule ATTlam, gives as  $\Gamma; \Phi \models \Lambda \alpha^+.c : \mathbf{nf}(\forall \alpha^+.\mathbf{nf}(N))$ .

It is left to show that  $\mathbf{nf}(\forall \alpha^+.\mathbf{nf}(N)) = \mathbf{nf}(\forall \alpha^+.N)$ . Assume  $N = \forall \vec{\beta}^+.M$  (where  $M$  does not start with  $\forall$ ).

- Then by definition,  $\mathbf{nf}(\forall \alpha^+.N) = \mathbf{nf}(\forall \alpha^+.\vec{\beta}^+.M) = \forall \vec{\gamma}^+.\mathbf{nf}(M)$ , where  $\mathbf{ord} \alpha^+.\vec{\beta}^+ \text{ in } \mathbf{nf}(M) = \vec{\gamma}^+$ .
- On the other hand,  $\mathbf{nf}(N) = \forall \vec{\gamma}^+.\mathbf{nf}(M)$ , where  $\mathbf{ord} \vec{\beta}^+ \text{ in } \mathbf{nf}(M) = \vec{\gamma}^+$ , and thus,  $\mathbf{nf}(\forall \alpha^+.\mathbf{nf}(N)) = \mathbf{nf}(\forall \alpha^+.\vec{\gamma}^+.\mathbf{nf}(M)) = \forall \vec{\gamma}^+.\mathbf{nf}(\mathbf{nf}(M)) = \forall \vec{\gamma}^+.\mathbf{nf}(M)$ , where  $\mathbf{ord} \alpha^+.\vec{\gamma}^+ \text{ in } \mathbf{nf}(\mathbf{nf}(M)) = \vec{\gamma}^+$ .

It is left to show that  $\overrightarrow{\gamma^{+''}} = \overrightarrow{\gamma^{+}}$ .

$$\begin{aligned}
\overrightarrow{\gamma^{+''}} &= \mathbf{ord} \alpha^+, \overrightarrow{\gamma^{+'}} \mathbf{in} \mathbf{nf}(\mathbf{nf}(M)) \\
&= \mathbf{ord} \alpha^+, \overrightarrow{\gamma^{+'}} \mathbf{in} \mathbf{nf}(M) && \text{by idempotence (lemma 36)} \\
&= \mathbf{ord} \alpha^+ \cup \overrightarrow{\beta^+} \cap \mathbf{fv} \mathbf{nf}(M) \mathbf{in} \mathbf{nf}(M) && \text{by definition of } \overrightarrow{\gamma^{+'}} \text{ and lemma 25} \\
&= \mathbf{ord} (\alpha^+ \cup \overrightarrow{\beta^+} \cap \mathbf{fv} \mathbf{nf}(M)) \cap \mathbf{fv} \mathbf{nf}(M) \mathbf{in} \mathbf{nf}(M) && \text{by corollary 13} \\
&= \mathbf{ord} (\alpha^+ \cup \overrightarrow{\beta^+}) \cap \mathbf{fv} \mathbf{nf}(M) \mathbf{in} \mathbf{nf}(M) && \text{by set properties} \\
&= \mathbf{ord} \alpha^+, \overrightarrow{\beta^+} \mathbf{in} \mathbf{nf}(M) \\
&= \overrightarrow{\gamma^{+}}
\end{aligned}$$

**Case 7.** Rule DTUnpack

Then we are proving that if  $\Gamma; \Phi \vdash \mathbf{let}^{\exists}(\overrightarrow{\alpha^-}, x) = v; c : N$  is inferred by Rule DTUnpack, then  $\Gamma; \Phi \models \mathbf{let}^{\exists}(\overrightarrow{\alpha^-}, x) = v; c : \mathbf{nf}(N)$ .

By inversion of  $\Gamma; \Phi \vdash \mathbf{let}^{\exists}(\overrightarrow{\alpha^-}, x) = v; c : N$ , we have

1.  $\mathbf{nf}(\exists \overrightarrow{\alpha^-}. P) = \exists \overrightarrow{\alpha^-}. P$ ,
2.  $\Gamma; \Phi \vdash v : \exists \overrightarrow{\alpha^-}. P$ , which by the induction hypothesis implies  $\Gamma; \Phi \models v : \mathbf{nf}(\exists \overrightarrow{\alpha^-}. P)$ , and hence,  $\Gamma; \Phi \models v : \exists \overrightarrow{\alpha^-}. P$ .
3.  $\Gamma, \overrightarrow{\alpha^-}; \Phi, x : P \vdash c : N$ , and by the induction hypothesis,  $\Gamma, \overrightarrow{\alpha^-}; \Phi, x : P \models c : \mathbf{nf}(N)$ .
4.  $\Gamma \vdash N$ .

This way, we can apply Rule ATUnpack to infer  $\Gamma; \Phi \models \mathbf{let}^{\exists}(\overrightarrow{\alpha^-}, x) = v; c : \mathbf{nf}(N)$ .

**Case 8.** Rule DTPEquiv

Then we are proving that if  $\Gamma; \Phi \vdash v : P'$  is inferred by Rule DTPEquiv, then  $\Gamma; \Phi \models v : \mathbf{nf}(P')$ . By inversion,  $\Gamma; \Phi \vdash v : P$  and  $\Gamma \vdash P \simeq_1^{\leq} P'$ , and the metric of the tree inferring  $\Gamma; \Phi \vdash v : P$  is less than the one inferring  $\Gamma; \Phi \vdash v : P'$ . Then by the induction hypothesis,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$ .

By lemma 50  $\Gamma \vdash P \simeq_1^{\leq} P'$  implies  $\mathbf{nf}(P) = \mathbf{nf}(P')$ , and thus,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$  can be rewritten to  $\Gamma; \Phi \models v : \mathbf{nf}(P')$ .

**Case 9.** Rule DTVar

Then we are proving that  $\Gamma; \Phi \vdash x : P$  implies  $\Gamma; \Phi \models x : \mathbf{nf}(P)$ . By inversion of  $\Gamma; \Phi \vdash x : P$ , we have  $x : P \in \Phi$ . Then Rule ATVar applies to infer  $\Gamma; \Phi \models x : \mathbf{nf}(P)$ .

**Case 10.** Rule DTVarLet

Then we are proving that  $\Gamma; \Phi \vdash \mathbf{let} x = v(\vec{v}); c : N$  implies  $\Gamma; \Phi \models \mathbf{let} x = v(\vec{v}); c : \mathbf{nf}(N)$ .

By inversion of  $\Gamma; \Phi \vdash \mathbf{let} x = v(\vec{v}); c : N$ , we have

1.  $\Gamma; \Phi \vdash v : P$ , and by the induction hypothesis,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$ .
2.  $\Gamma; \Phi, x : P \vdash c : N$ , and by lemma 83, since  $\Gamma \vdash P \simeq_1^{\leq} \mathbf{nf}(P)$ , we have  $\Gamma; \Phi, x : \mathbf{nf}(P) \vdash c : N$ . Then by the induction hypothesis,  $\Gamma; \Phi, x : \mathbf{nf}(P) \models c : \mathbf{nf}(N)$ .

Together,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$  and  $\Gamma; \Phi, x : \mathbf{nf}(P) \models c : \mathbf{nf}(N)$  imply  $\Gamma; \Phi \models \mathbf{let} x = v(\vec{v}); c : \mathbf{nf}(N)$  by Rule ATVarLet.

**Case 11.** Rule DTAppLetAnn

Then we are proving that  $\Gamma; \Phi \vdash \mathbf{let} x : P = v(\vec{v}); c : N$  implies  $\Gamma; \Phi \models \mathbf{let} x : P = v(\vec{v}); c : \mathbf{nf}(N)$ .

By inversion of  $\Gamma; \Phi \vdash \mathbf{let} x : P = v(\vec{v}); c : N$ , we have

1.  $\Gamma \vdash P$
2.  $\Gamma; \Phi \vdash v : \downarrow M$  for some ground  $M$ , which by the induction hypothesis means  $\Gamma; \Phi \models v : \downarrow \mathbf{nf}(M)$
3.  $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$ . By lemma 82, since  $\Gamma \vdash M \simeq_1^{\leq} \mathbf{nf}(M)$ , we have  $\Gamma; \Phi \vdash [\cdot] \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$ , which by the induction hypothesis means that there exist normalized  $M'$ ,  $\Theta$ , and  $SC_1$  such that (noting that  $M$  is ground):
  - (a)  $\Gamma; \Phi; \cdot \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow M' \Rightarrow \Theta; SC_1$ , where by the soundness,  $\Gamma; \mathbf{dom}(\Theta) \vdash M'$  and  $\Theta \vdash SC_1$ .
  - (b) for any  $\Gamma \vdash M''$  such that  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow M''$  there exists  $\hat{\sigma}$  such that
    - i.  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$ , and
    - ii.  $\Gamma \vdash [\hat{\sigma}] M' \simeq_1^{\leq} M''$ ,

In particular, there exists  $(\Theta \vdash SC_1) \vdash \hat{\sigma}_0$  such that  $\Gamma \vdash [\hat{\sigma}_0] M' \simeq_1^{\leq} \uparrow Q$ . Moreover, since  $uM'$  is normalized and free of negative metavariables,  $M' = \uparrow Q_0$ , and  $\Gamma \vdash [\hat{\sigma}_0] Q_0 \simeq_1^{\leq} Q$ .

4.  $\Gamma \vdash \uparrow Q \leq_1 \uparrow P$ , and by transitivity, since  $\Gamma \vdash [\hat{\sigma}_0] \uparrow Q_0 \simeq_1^{\leq} \uparrow Q$ , we have  $\Gamma \vdash [\hat{\sigma}_0] \uparrow Q_0 \leq_1 \uparrow P$ .  
Let us apply lemma 77 to  $\Gamma \vdash [\hat{\sigma}_0] \uparrow Q_0 \leq_1 \uparrow P$  and obtain  $\Theta \vdash SC_2$  such that
  - (a)  $\Gamma; \Theta \models \uparrow Q_0 \leq \uparrow P \Rightarrow SC_2$  and
  - (b)  $(\Theta \vdash SC_2) \vdash \hat{\sigma}_0$ .
5.  $\Gamma; \Phi, x : P \vdash c : N$ , and by the induction hypothesis,  $\Gamma; \Phi, x : P \models c : \mathbf{nf}(N)$ .

To infer  $\Gamma; \Phi \models \mathbf{let} x : P = v(\vec{v}); c : \mathbf{nf}(N)$ , we apply the corresponding algorithmic rule Rule ATAppLetAnn. Let us show that the premises hold:

1.  $\Gamma \vdash P$ ,
2.  $\Gamma; \Phi \models v : \downarrow \mathbf{nf}(M)$ ,
3.  $\Gamma; \Phi; \cdot \models \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q_0 \Rightarrow \Theta; SC_1$ ,
4.  $\Gamma; \Theta \models \uparrow Q_0 \leq \uparrow P \Rightarrow SC_2$ , and
5.  $\Gamma; \Phi, x : P \vdash c : \mathbf{nf}(N)$  hold as noted above;
6.  $\Theta \vdash SC_1 \& SC_2 = SC$  is defined by lemma 74, since  $(\Theta \vdash SC_1) \vdash \hat{\sigma}_0$  and  $(\Theta \vdash SC_2) \vdash \hat{\sigma}_0$ .

**Case 12.** Rule DTAppLet

By assumption,  $c$  is  $\mathbf{let} x = v(\vec{v}); c' : N$ . Then by inversion of  $\Gamma; \Phi \vdash \mathbf{let} x = v(\vec{v}); c' : N$ :

- $\Gamma; \Phi \vdash v : \downarrow M$ , which by the induction hypothesis means  $\Gamma; \Phi \models v : \downarrow \mathbf{nf}(M)$ ;
- $\Gamma; \Phi \vdash M \bullet \vec{v} \Rightarrow \uparrow Q$  unique. Then by lemma 82, since  $\Gamma \vdash M \simeq_1^{\leq} \mathbf{nf}(M)$ , we have  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$  and moreover,  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$  unique (since symmetrically,  $\mathbf{nf}(M)$  can be replaced back by  $M$ ). Then the induction hypothesis applied to  $\Gamma; \Phi \vdash [\cdot] \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$  implies that there exist  $M'$ ,  $\Theta$ , and  $SC$  such that (considering  $M$  is ground):
  1.  $\Gamma; \Phi; \cdot \models \mathbf{nf}(M) \bullet \vec{v} \Rightarrow M' \Rightarrow \Theta; SC$ , which, by the soundness, implies, in particular that
    - (a)  $\Gamma; \mathbf{dom}(\Theta) \vdash M$  is normalized and free of negative metavariables,
    - (b)  $M'$
    - (c) for any  $(\Theta \vdash SC) \vdash \hat{\sigma}$ , we have  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow [\hat{\sigma}] M'$ , which, since  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow Q$  unique, means  $\Gamma \vdash [\hat{\sigma}] M' \simeq_1^{\leq} \uparrow Q$ .
 and
  2. for any  $\Gamma \vdash M''$  such that  $\Gamma; \Phi \vdash \mathbf{nf}(M) \bullet \vec{v} \Rightarrow M''$ , (and in particular, for  $\Gamma \vdash \uparrow Q$ ) there exists  $\hat{\sigma}_1$  such that
    - (a)  $(\Theta \vdash SC) \vdash \hat{\sigma}_1$ , and
    - (b)  $\Gamma \vdash [\hat{\sigma}_1] M' \simeq_1^{\leq} M''$ , and in particular,  $\Gamma \vdash [\hat{\sigma}_1] M' \simeq_1^{\leq} \uparrow Q$ . Since  $M'$  is normalized and free of negative metavariables, it means that  $M' = \uparrow P$  for some  $P$  ( $\Gamma; \mathbf{dom}(\Theta) \vdash P$ ) that is  $\Gamma \vdash [\hat{\sigma}_1] P \simeq_1^{\leq} Q$ .
- $\Gamma; \Phi, x : Q \vdash c' : N$

To infer  $\Gamma; \Phi \models \mathbf{let} x = v(\vec{v}); c' : \mathbf{nf}(N)$ , let us apply the corresponding algorithmic rule (Rule ATAppLet):

1.  $\Gamma; \Phi \models v : \downarrow \mathbf{nf}(M)$  holds as noted above;
2.  $\Gamma; \Phi; \cdot \models \mathbf{nf}(M) \bullet \vec{v} \Rightarrow \uparrow P \Rightarrow \Theta; SC$  holds as noted above;
3. To show  $\mathbf{uv} P \subseteq \mathbf{dom}(SC)$  and  $\mathbf{SC}|_{\mathbf{uv} P}$  singular with  $\hat{\sigma}_0$  (for some  $\hat{\sigma}_0$ ), we apply lemma 81. Let us show that the premise of this lemma holds.  
As noted in 1c, for any  $\hat{\sigma}$ ,  $(\Theta \vdash SC) \vdash \hat{\sigma}$  implies  $\Gamma \vdash [\hat{\sigma}] M' \simeq_1^{\leq} \uparrow Q$ , which is rewritten as  $\Gamma \vdash [\hat{\sigma}] P \simeq_1^{\leq} Q$ . And since  $\Gamma \vdash [\hat{\sigma}'] P \simeq_1^{\leq} Q$ , we have  $\Gamma \vdash [\hat{\sigma}] P \simeq_1^{\leq} [\hat{\sigma}'] P$ . It implies  $\Theta|_{\mathbf{uv} P} \vdash \hat{\sigma} \simeq_1^{\leq} \hat{\sigma}' : \mathbf{varset}$  by corollary 5.
4. Let us show  $\Gamma; \Phi, x : [\hat{\sigma}_0] P \vdash c' : \mathbf{nf}(N)$ . By the soundness of singularity (lemma 80), we have  $(\Theta \vdash SC) \vdash \hat{\sigma}_0$ , which by 1c means  $\Gamma \vdash [\hat{\sigma}_0] M' \simeq_1^{\leq} \uparrow Q$ , that is  $\Gamma \vdash [\hat{\sigma}_0] P \simeq_1^{\leq} Q$ , and thus,  $\Gamma \vdash \Phi, x : Q \simeq_1^{\leq} \Phi, x : [\hat{\sigma}_0] P$ .  
Then by lemma 83,  $\Gamma; \Phi, x : Q \vdash c' : N$  can be rewritten as  $\Gamma; \Phi, x : [\hat{\sigma}_0] P \vdash c' : N$ . Then by the induction hypothesis applied to it,  $\Gamma; \Phi, x : [\hat{\sigma}_0] P \models c' : \mathbf{nf}(N)$  holds.

**Case 13.** Rule DTForallApp

Since  $N$  cannot be a metavariable, if  $[\hat{\sigma}] N$  starts with  $\forall$ , so does  $N$ . This way,  $N = \forall \alpha^+. N_1$ . Then by assumption:

1.  $\Gamma \vdash \supset \Theta$
2.  $\Gamma; \mathbf{dom}(\Theta) \vdash \forall \alpha^+. N_1$  is free from negative metavariables, and then  $\Gamma, \alpha^+; \mathbf{dom}(\Theta) \vdash N_1$  is free from negative metavariables too;
3.  $\Theta \vdash \hat{\sigma}$ ;

4.  $\Gamma \vdash M$ ;
5.  $\Gamma; \Phi \vdash [\hat{\sigma}] \forall \alpha^+. N_1 \bullet \vec{v} \Rightarrow M$ , that is  $\Gamma; \Phi \vdash (\forall \alpha^+. [\hat{\sigma}] N_1) \bullet \vec{v} \Rightarrow M$ . Then by inversion there exists  $\sigma$  such that
  - (a)  $\Gamma \vdash \sigma : \alpha^+$ ;
  - (b)  $\vec{v} \neq \cdot$  and  $\alpha^+ \neq \cdot$ ; and
  - (c)  $\Gamma; \Phi \vdash [\sigma][\hat{\sigma}] N_1 \bullet \vec{v} \Rightarrow M$ . Notice that  $\sigma$  and  $\hat{\sigma}$  commute because the codomain of  $\sigma$  does not contain metavariables (and thus, does not intersect with the domain of  $\hat{\sigma}$ ), and the codomain of  $\hat{\sigma}$  is  $\Gamma$  and does not intersect with  $\alpha^+$ —the domain of  $\sigma$ .
 Let us construct  $N_0 = [\hat{\alpha}^+ / \alpha^+] N_1$  and  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}_0$  defined as

$$\begin{cases} [\hat{\sigma}_0] \hat{\alpha}_i^+ = [\sigma] \alpha_i^+ & \text{for } \hat{\alpha}_i^+ \in \hat{\alpha}^+ \\ [\hat{\sigma}_0] \hat{\beta}^\pm = [\hat{\sigma}] \hat{\beta}^\pm & \text{for } \hat{\beta}^\pm \in \mathbf{dom}(\Theta) \end{cases}$$

Then it is easy to see that  $[\hat{\sigma}_0][\hat{\alpha}^+ / \alpha^+] N_1 = [\sigma][\hat{\sigma}] N_1$  because this substitution compositions coincide on  $\alpha^+ \cup \mathbf{dom}(\Theta)$ , their domain. In other words,  $[\hat{\sigma}_0] N_0 = [\sigma][\hat{\sigma}] N_1$ .

Then let us apply the induction hypothesis to  $\Gamma; \Phi \vdash [\hat{\sigma}_0] N_0 \bullet \vec{v} \Rightarrow M$  and obtain  $M', \Theta'$ , and  $SC$  such that

- $\Gamma; \Phi; \Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash N_0 \bullet \vec{v} \Rightarrow M' \Rightarrow \Theta'; SC$  and
- for any  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}_0$  and  $\Gamma \vdash M$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}_0] N_0 \bullet \vec{v} \Rightarrow M$ , there exists  $\hat{\sigma}'_0$  such that
  - i.  $(\Theta' \vdash SC) \vdash \hat{\sigma}'_0$ ,
  - ii.  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}'_0 \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{varset}$ , and
  - iii.  $\Gamma \vdash [\hat{\sigma}'_0] M' \simeq_1^{\leq} M$ .

Let us take  $M', \Theta'$ , and  $SC$  from the induction hypothesis (5c) and show they satisfy the required properties.

1. to infer  $\Gamma; \Phi; \Theta \vdash \forall \alpha^+. N_1 \bullet \vec{v} \Rightarrow M' \Rightarrow \Theta'; SC$  we apply the corresponding algorithmic rule Rule ATforallApp, not that the required premises hold, as noted above:
  - (a)  $\vec{v} \neq \cdot, \alpha^+ \neq \cdot$ ; and
  - (b)  $\Gamma; \Phi; \Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash [\hat{\alpha}^+ / \alpha^+] N_1 \bullet \vec{v} \Rightarrow M' \Rightarrow \Theta'; SC$  is obtained by unfolding the definition of  $N_0$  in  $\Gamma; \Phi; \Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash N_0 \bullet \vec{v} \Rightarrow M' \Rightarrow \Theta'; SC$  (5c).
2. Let us take an arbitrary  $\Theta \vdash \hat{\sigma}$  and  $\Gamma \vdash M$  and assume  $\Gamma; \Phi \vdash [\hat{\sigma}] \forall \alpha^+. N_1 \bullet \vec{v} \Rightarrow M$ . Then the same reasoning as in 5c applies. In particular, we construct  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}_0$  as an extension of  $\hat{\sigma}$  and obtain  $\Gamma; \Phi \vdash [\hat{\sigma}_0] N_0 \bullet \vec{v} \Rightarrow M$ . It means, we can apply the property inferred from the induction hypothesis (5c) to obtain  $\hat{\sigma}'_0$  such that
  - (a)  $(\Theta' \vdash SC) \vdash \hat{\sigma}'_0$ ,
  - (b)  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}'_0 \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{varset}$ , and
  - (c)  $\Gamma \vdash [\hat{\sigma}'_0] M' \simeq_1^{\leq} M$ .

Let us show that the obtained  $\hat{\sigma}'_0$  satisfies the required properties.

- (a)  $(\Theta' \vdash SC) \vdash \hat{\sigma}'_0$  holds as shown,
- (b)  $\Gamma \vdash [\hat{\sigma}'_0] M' \simeq_1^{\leq} M$  holds as shown,
- (c)  $\Theta \vdash \hat{\sigma}'_0 \simeq_1^{\leq} \hat{\sigma} : \mathbf{varset}$ . Let us take an arbitrary  $\hat{\beta}^\pm \in \mathbf{dom}(\Theta) \subseteq \mathbf{dom}(\Theta) \cup \hat{\alpha}^+$ . Then since  $\Theta, \hat{\alpha}^+ \{ \Gamma \} \vdash \hat{\sigma}'_0 \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{varset}$ , we have  $\Theta(\hat{\beta}^\pm) \vdash [\hat{\sigma}'_0] \hat{\beta}^\pm \simeq_1^{\leq} [\hat{\sigma}_0] \hat{\beta}^\pm$  and by definition of  $\hat{\sigma}_0$ ,  $[\hat{\sigma}_0] \hat{\beta}^\pm = [\hat{\sigma}] \hat{\beta}^\pm$ .

#### Case 14. Rule DTarrowApp

Since  $N$  cannot be a metavariable, if the shape of  $[\hat{\sigma}] N$  is an arrow, so is the shape of  $N$ . This way,  $N = Q \rightarrow N_1$ . Then by assumption:

1.  $\Gamma \vdash \supset \Theta$ ;
2.  $\Gamma; \mathbf{dom}(\Theta) \vdash Q \rightarrow N_1$  is free from negative metavariables;
3.  $\Theta \vdash \hat{\sigma}$ ;
4.  $\Gamma \vdash M$ ;
5.  $\Gamma; \Phi \vdash [\hat{\sigma}](Q \rightarrow N_1) \bullet v, \vec{v} \Rightarrow M$ , that is  $\Gamma; \Phi \vdash ([\hat{\sigma}] Q \rightarrow [\hat{\sigma}] N_1) \bullet v, \vec{v} \Rightarrow M$ , and by inversion:
  - (a)  $\Gamma; \Phi \vdash v : P$ , and by the induction hypothesis,  $\Gamma; \Phi \models v : \mathbf{nf}(P)$ ;
  - (b)  $\Gamma \vdash [\hat{\sigma}] Q \geq_1 P$ , which by transitivity (lemma 24) means  $\Gamma \vdash [\hat{\sigma}] Q \geq_1 P'$ , and then by completeness of subtyping (lemma 70),  $\Gamma; \Theta \models Q \geq P' \Rightarrow SC_1$ , for some  $\Theta \vdash SC_1$ , and moreover,  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$ ;

- (c)  $\Gamma; \Phi \vdash [\hat{\sigma}]N_1 \bullet \vec{v} \Rightarrow M$ . Notice that the induction hypothesis is applicable to this case:  $\Gamma; \mathbf{dom}(\Theta) \vdash N_1$  is free from negative metavariables because so is  $Q \rightarrow N_1$ . This way, there exist  $M'$ ,  $\Theta'$ , and  $SC_2$  such that
- i.  $\Gamma; \Phi; \Theta \models N_1 \bullet \vec{v} \Rightarrow M' \equiv \Theta'; SC_2$  and then by the soundness of typing (i.e. the induction hypothesis),
    - A.  $\Theta \subseteq \Theta'$
    - B.  $\Gamma; \mathbf{dom}(\Theta') \vdash M'$
  - ii. for any  $\Theta \vdash \hat{\sigma}$  and  $\Gamma \vdash M$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}]N_1 \bullet \vec{v} \Rightarrow M$ , there exists  $\hat{\sigma}'$  such that
    - A.  $(SC_2)u'$ ,
    - B.  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma} : \mathbf{varset}$ , and
    - C.  $\Gamma \vdash [\hat{\sigma}']M' \simeq_1^{\leq} M$ .

Let us take  $\Theta \vdash \hat{\sigma}$  and  $M$  and construct  $\Theta' \vdash \hat{\sigma}'$  by the induction hypothesis (5(c)ii). Then  $(SC_2)u'$  and  $(SC_1)u'$  holds and since  $(\Theta \vdash SC_1) \vdash \hat{\sigma}$  and  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma} : \mathbf{varset}$ . Then by the completeness of constraint merge (lemma 74),  $\Theta' \vdash SC_1 \& SC_2 = SC$  exists,  $\Theta' \vdash SC$ , and  $(\Theta' \vdash SC) \vdash \hat{\sigma}$ .

To show the required properties, we take  $M'$  and  $\Theta'$  from the induction hypothesis (5(c)ii), and  $SC$  defined above. Then

1.  $\Gamma; \Phi; \Theta \models Q \rightarrow N_1 \bullet v, \vec{v} \Rightarrow M' \equiv \Theta'; SC$  is inferred by Rule ATArrowApp:
  - (a)  $\Gamma; \Phi \models v : P'$  as noted above,
  - (b)  $\Gamma; \Theta \models Q \geq P' \equiv SC_1$  as noted above,
  - (c)  $\Gamma; \Phi; \Theta \models N_1 \bullet \vec{v} \Rightarrow M' \equiv \Theta'; SC_2$  as noted above;
2. let us take an arbitrary  $\Theta \vdash \hat{\sigma}_0$  and  $\Gamma \vdash M_0$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}_0](Q \rightarrow N_1) \bullet v, \vec{v} \Rightarrow M_0$ . Then by inversion of  $\Gamma; \Phi \vdash [\hat{\sigma}_0]Q \rightarrow [\hat{\sigma}_0]N_1 \bullet v, \vec{v} \Rightarrow M_0$ , we have the same properties as in 5. In particular,
  - $\Gamma; \Phi \vdash [\hat{\sigma}_0]N_1 \bullet \vec{v} \Rightarrow M_0$ . Then by 5(c)ii, there exists  $\hat{\sigma}'$  such that
    - (a)  $(SC_2)u'$ ,
    - (b)  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{varset}$ , and
    - (c)  $\Gamma \vdash [\hat{\sigma}']M' \simeq_1^{\leq} M_0$ .
  - $\Gamma \vdash [\hat{\sigma}_0]Q \geq_1 P'$  and by the completeness of subtyping (lemma 70),  $(\Theta \vdash SC_1) \vdash \hat{\sigma}_0$ .

This way,

- $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{varset}$  holds as noted above;
- $(\Theta' \vdash SC_1) \vdash \hat{\sigma}'$  holds because  $(\Theta \vdash SC_1) \vdash \hat{\sigma}_0$  and  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_0 : \mathbf{varset}$ , and  $(\Theta' \vdash SC_1) \vdash \hat{\sigma}'$  together with  $(\Theta' \vdash SC_2) \vdash \hat{\sigma}'$  implies  $(\Theta' \vdash SC) \vdash \hat{\sigma}'$  by the completeness of constraint merge (lemma 74); and
- $\Gamma \vdash [\hat{\sigma}']M' \simeq_1^{\leq} M_0$  holds as noted above.

#### Case 15. Rule DTEmptyApp

By assumption:

1.  $\Gamma \vdash^{\exists} \Theta$ ,
2.  $\Gamma; \mathbf{dom}(\Theta) \vdash N$ ,
3.  $\Theta \vdash \hat{\sigma}$ ,
4.  $\Gamma; \Phi \vdash [\hat{\sigma}]N \bullet \cdot \Rightarrow [\hat{\sigma}]N$ .

Then we can apply the corresponding algorithmic rule Rule ATEmptyApp to infer  $\Gamma; \Phi; \Theta \models N \bullet \cdot \Rightarrow \mathbf{nf}(N) \equiv \Theta; \cdot$ . Let us show the required properties. Let us take an arbitrary  $\Theta \vdash \hat{\sigma}_1$  and  $\Gamma \vdash M$  such that  $\Gamma; \Phi \vdash [\hat{\sigma}_1]N \bullet \cdot \Rightarrow M$ . Then we can take  $\hat{\sigma}' = \hat{\sigma}_1$ :

1.  $(\Theta \vdash \cdot) \vdash \hat{\sigma}'$  holds vacuously,
2.  $\Theta \vdash \hat{\sigma}' \simeq_1^{\leq} \hat{\sigma}_1 : \mathbf{varset}$  holds by reflexivity of equivalence,
3. Let us show  $\Gamma \vdash [\hat{\sigma}']\mathbf{nf}(N) \simeq_1^{\leq} M$ . Notice that  $\Gamma; \Phi \vdash [\hat{\sigma}_1]N \bullet \cdot \Rightarrow M$  can only be inferred by Rule DTEmptyApp, and thus,  $\Gamma \vdash [\hat{\sigma}_1]N \simeq_1^{\leq} M$ . By corollary 22,  $\Gamma \vdash [\hat{\sigma}_1]N \simeq_1^{\leq} [\hat{\sigma}_1]\mathbf{nf}(N)$ , and then by transitivity,  $\Gamma \vdash [\hat{\sigma}_1]\mathbf{nf}(N) \simeq_1^{\leq} M$ , that is  $\Gamma \vdash [\hat{\sigma}']\mathbf{nf}(N) \simeq_1^{\leq} M$ .

□