

04-Report

June 17, 2020

1 Sorting: Homework

1.1 Exercise 3

Argue about the following statement and answer the questions

- a) HEAP SORT on a array A whose length is n takes time $\mathcal{O}(n)$.
- b) HEAP SORT on a array A whose length is n takes time $\Omega(n)$.
- c) What is the worst case complexity for HEAP SORT?
- d) QUICK SORT on a array A whose length is n takes time $\mathcal{O}(n^3)$.
- e) What is the complexity of QUICK SORT?
- f) BUBBLE SORT on a array A whose length is n takes time $\Omega(n)$.
- g) What is the complexity of BUBBLE SORT?

Solutions:

- a) By considering the pseudocode of `heap sort`, we know that `build_max_heap` has complexity $\Theta(n)$ and `extract_min` costs $\mathcal{O}(\log(i))$ (please remember that `extract_min` is called $|A| - 2$ times) so $T_h(n) = \Theta(n) + \sum_{i=2}^n \mathcal{O}(\log(i)) \leq \mathcal{O}(n) + \mathcal{O}(\sum_{i=2}^n \log n)$ so overall complexity of heap sort is $\mathcal{O}(n \log n)$ which makes statement wrong.
- b) Worst case of heap sort is $\Omega(n \log n)$ [proof](#). Therefore this statement wrong as well. There is only one case where a and b would be true where complexity of `extract_min` is $\Theta(1)$ (best case).
- c) Worst case complexity of Heap Sort is the when `extract_min` called n times. Calculations are already done in point a. $\Theta(n) + \sum_{i=2}^n \mathcal{O}(\log(i)) \leq \mathcal{O}(n) + \mathcal{O}(\sum_{i=2}^n \log n) = \mathcal{O}(n \log n)$
- d) `Quicksort` may always has the worst unbalanced partitions possible. By summing all the partitioning times for each level and using the arithmetic series we can see that worst-case running time is $\Theta(n^2)$. `Quicksort` best occurs when partitions are evenly balanced as possible and in this case complexity is $\Theta(n \log n)$. Since $\mathcal{O}(n \log n) \in \mathcal{O}(n^3)$ we can say that it is true but it is always recommend to use tight boundaries since it may spawn bad performance analysis.
- e) It is already stated in point d. Complexity of `Quicksort` is for worst-case $\Theta(n^2)$ and for best-case $\Theta(n \log n)$
- f) `Bubble sort` has one swap-block which cost $\Theta(1)$ and nested for-loop costs $\Theta(i)$ so overall complexity is $\sum_{i=2}^n \Theta(i) \cdot \Theta(1) = \Theta(n^2)$. $\Omega(n^2) \in \Omega(n)$ so statement is true but is it stated before since the boundary is not tight it may spawn bad performance analysis.

g) As it is explained in point f complexity of Bubble sort is $\Theta(n^2)$.

1.2 Exercise 4

Solve the following recursive equation:

$$T(n) = \begin{cases} \Theta(1) & n = 32 \\ 3 * T(n/4) + \Theta(n^{3/2}) & otherwise \end{cases}$$

After setting recursion tree, I sum all the cost for each level and following equation is obtained

$$cn^{3/2} + 3c\left(\frac{n}{4}\right)^{3/2} + 9c\left(\frac{n}{16}\right)^{3/2} + \dots + 3^i c\left(\frac{n}{4^i}\right)^{3/2} \quad (1)$$

where i is the level of recursion tree. This equation will go until the base case which is $n = 32, (\Theta(1))$.

$$\left(\frac{3}{4}\right)^i = 32i = \log_4 n^{3/2}$$

Hence cost of last level became $\Theta(n^{\log_4 3})$ (by writing i in the cost equation of last level)

However we can bound it with ∞ . By doing this also I can use the properties of geometric series.

So we can rewrite the equation 1 as the following form with previous clarifications:

$$cn^{3/2} \left[1 + \frac{3}{4^{3/2}} + \frac{9}{16^{3/2}} + \dots + \frac{3^i}{4^{3i/2}} \right] = cn^{3/2} \sum_{i=0}^{\log_4 n^{3/2} - 1} \left(\frac{3}{4^{3/2}}\right)^i + \Theta(n^{\log_4 3}) \quad (2)$$

$$\leq cn^{3/2} \sum_{i=0}^{\infty} \left(\frac{3}{4^{3/2}}\right)^i + \Theta(n^{\log_4 3}) \quad (3)$$

$$= cn^{3/2} \sum_{i=0}^{\infty} \left(\frac{3}{8}\right)^i \quad (4)$$

$$= cn^{3/2} \frac{8}{5} \in \mathcal{O}(n^{3/2}) \quad (5)$$

Hence we have $T(n) \in \mathcal{O}(n^{3/2})$